



# THE NEW RESEARCH ASSESSMENT REFORMS IN CHINA--- ERADICATING THE 'FIVE ONLY' IN S&T MANAGEMENT

National Science Library, Chinese Academy of Sciences (CAS)

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ERADICATING THE 'FIVE ONLY'

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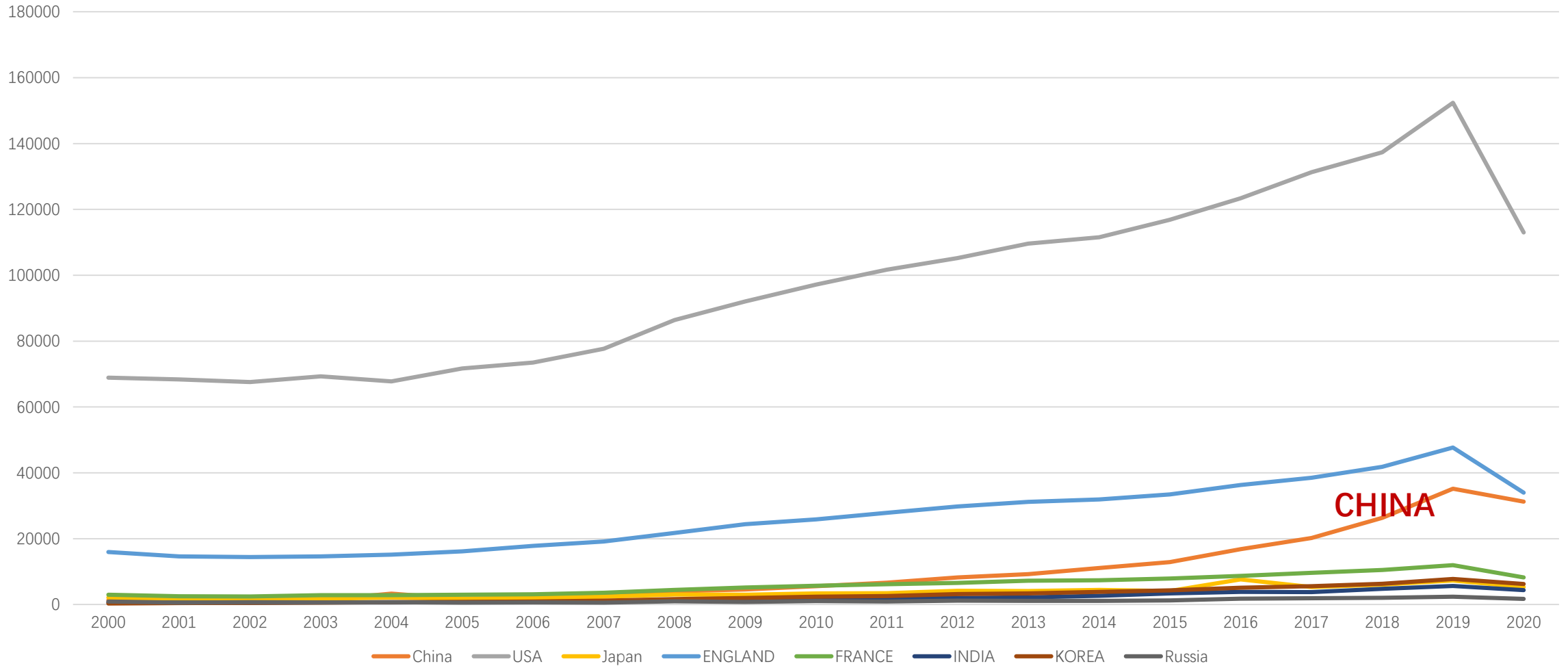
# The Background



# What's the 'Five Only'

- In November 2018, the Ministry of Science and Technology (MOST), Human Resources and Social Security Ministry, Chinese Academy of Sciences (CAS) and Chinese Academy of Engineering (CAE) began to clean up "only academic papers, only professional titles, only educational background, only awards" .
- Therefore, scientific research institutions began to eradicate "**only academic papers, only talent titles, only professional titles, only educational background, only awards**" in research assessment. (hereinafter referred to as **the "Five Only"**).

# The Background



# The Background

## ONLY FIVE



## Bad Effects



### The development of domestic Journal

Taking SCI as the main assessment index results in a serious lack of high-quality paper for domestic journal.



### Scientific research culture

Change the emphasis on quantity over quality.



### The selection of young talents

The selection of talents with professional and talent titles is not conducive to the selection of young talents.



### Types of scientific research achievements

Taking paper as the main assessment dimension is not conducive to the diversity of scientific research achievements.

ERADICATING THE 'FIVE ONLY'

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**RELATED POLICY**

02

# POLICY ABOUT THE FIVE ONLY

2018.02

- **Guiding Opinions on promoting the Reform of talent Assessment Mechanism by *classification*** Issued by The General Office of the Communist Party of China (CPC) Central Committee and the General Office of the State Council.

2018.05

- A classified assessment system based on **the quality, contribution and performance** of scientific and technological innovation, president Xi Jinping said at the meeting of Academician of Chinese Academy of Sciences and Chinese Academy of Engineering.

2018.07

- **Opinions on Deepening Reform of Project Assessment, Talent Assessment and Organization Assessment** by the State Council.



# POLICY ABOUT THE FIVE ONLY

2018.09

- At the National Education Conference, President Xi Jinping called for resolute efforts to overcome longstanding problems that focus only on academic scores, advanced degrees, diplomas, titles

2018.11

- Notice on the special action of clearing up "**Only papers, only titles, only academic qualifications and only awards**", MOST.

2019.04

- *Some opinions of Tsinghua University on perfecting the Academic assessment System*

# POLICY ABOUT THE FIVE ONLY

2019.08

- According to **"only academic" and "only contribution"**, the natural Science Foundation of China (NSFC) puts forward four assessment criteria about innovation in talent project assessment

2020.02

- **MOST** put forward Some Measures to Break the Bad Orientation of **"Only Papers"** in Science and Technology assessment (Trial), Some Opinions on Standardizing **the Use of Related Indexes of SCI Papers** in Colleges and Universities and Establishing Correct Orientation of assessment

2020.04

- Fujian Provincial Department of Science and Technology **issued** a notice on Measures to Break the Poor Orientation of **"Only Papers"** in Science and Technology assessment (Trial)

# POLICY ABOUT THE FIVE ONLY

2020.05

- The Department of Science and Technology of Jilin province and Jiangsu province issued a notice on Measures to Break the Poor Orientation of "**Only Papers**" in Science and Technology assessment (Trial)

2020.07

- Guidelines on Deepening the Reform of **The Professional Title System for Teachers** in Institutions of Higher Learning issued by the Ministry of Education (MOE)

2020.08

- The proposal on improving **the discipline assessment system** in colleges and universities and promoting the modernization of educational governance system and capacity issued by MOE.

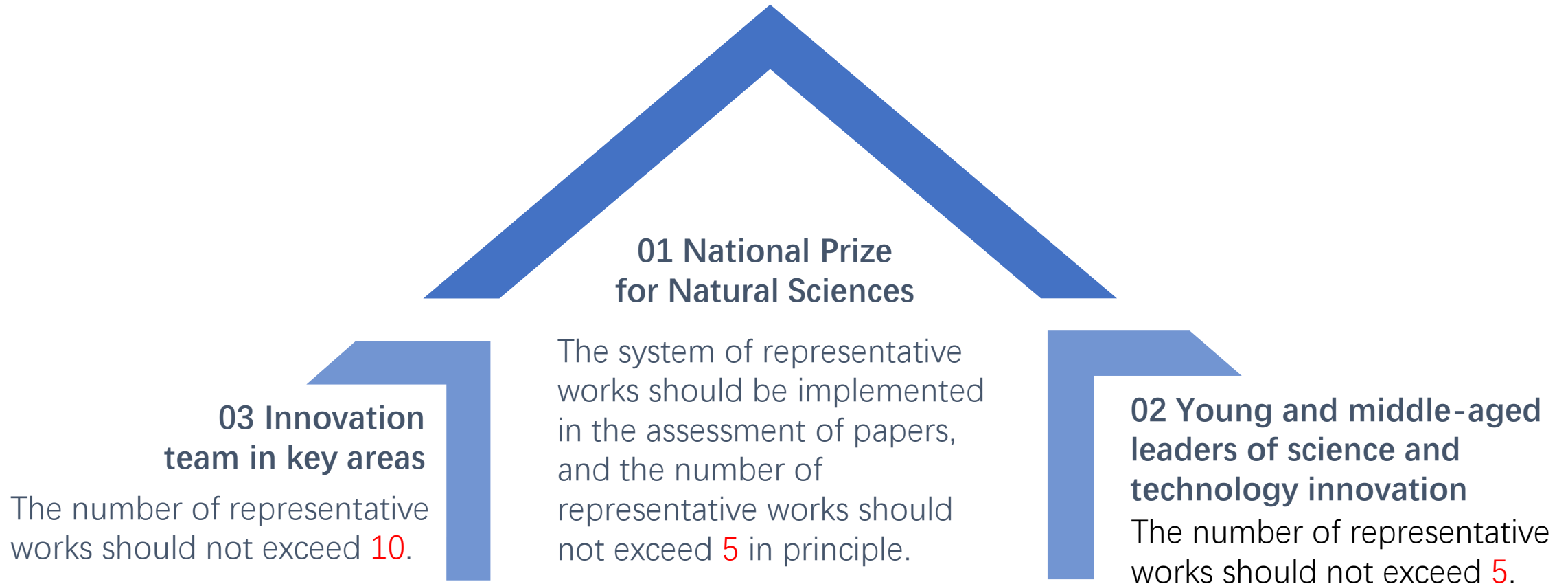
# POLICY ABOUT THE FIVE ONLY

President Xi	the General Offices of the CPC Central Committee and the State Council	the Ministry of Science and Technology (MOST)	the Ministry of Education (MOE)	the natural Science Foundation of China (NSFC)	University、Province
2018.05	2018.02	2020.02	2018.11	2019.08	2019.04
2018.09	2018.07	2020.02	2020.07	2020.07	2020.05
				2020.08	

# MAIN POINT OF THESE POLICY

Key Words	Word Frequency
Representative Paper	72
Classification Assessment	52
Use of SCI indicators	30
Peer Review	29
Research Integrity	29

# REPRESENTATIVE ASSESSMENT SYSTEM



**Source:** The General Offices of the CPC Central Committee and the State Council issued Guiding Opinions on promoting the Reform of talent assessment Mechanism by classification

# CLASSIFICATION ASSESSMENT



## ■ Occupational attributes and posts

According to the **characteristics** and **responsibilities** of talents in different occupations, positions and levels, combine commonness, particularity, level performance and development potential, qualitative and quantitative assessment, establish scientific and reasonable criteria for evaluating talents that cover such elements as morality, knowledge, competence, performance and contribution.

**Source:** The General Offices of the CPC Central Committee and the State Council issued Guiding Opinions on promoting the Reform of talent assessment Mechanism by classification

# CLASSIFICATION ASSESSMENT

1



## Basic Research

The assessment should focus on the **innovation level** and **scientific value** of the paper, and does not take related indexes of SCI papers as the direct judgment basis

2



## Applied research and technological innovation

The key point of assessment is the actual contribution to solving the **key technical problems** in production practice, and the actual effect of realizing industrialization application of new technology, new product and new process work, rather than the paper as a single assessment basis

**Source:** The Ministry of Education and the Ministry of Science and Technology issued several Opinions on Standardizing the Use of Related Indexes of **SCI Papers** in Institutions of Higher Learning and Establishing Correct assessment Guidance



# CLASSIFICATION ASSESSMENT

3



## The service of national defense

For national defense research and transformation of scientific and technological achievements, academic paper is generally not regarded as an assessment index.

4



## Improve discipline and school assessment

Reduce the ranking assessment of subjects and schools, and insist on classification and sub-field assessment.

**Source:** The Ministry of Education and the Ministry of Science and Technology issued several Opinions on Standardizing the Use of Related Indexes of **SCI Papers** in Institutions of Higher Learning and Establishing Correct assessment Guidance

# SCI PAPERS



**Project  
Assessment**



**Talent  
Assessment**



**Organization  
Assessment**

Do not take the **related indexes of SCI papers** as the direct basis of professional titles assessment and selection, and as the preconditions for personnel employment, the direct linkage between the related indexes of SCI papers and the allocation of resources and performance awards would be removed.

**Source:** The Ministry of Education and the Ministry of Science and Technology issued several Opinions on Standardizing the Use of Related Indexes of **SCI Papers** in Institutions of Higher Learning and Establishing Correct assessment Guidance

# PEER REVIEW

## Objectivity & Fairness

Carry out peer assessment and introduce international assessment. Further improve the openness of science and technology assessment activities, ensure the independence and impartiality of assessment work, and ensure the scientific and objective assessment results.



**OPENESS**



**IMPARTIALITY**



**SCIENTIFICITY**



**INDEPENDENCE**

**Source:** The General Offices of the CPC Central Committee and the State Council issued Opinions on deepening reform of Project assessment, talent assessment and Organization assessment

# PEER REVIEW



## Basic Research

Give **priority** to peer academic assessment and strengthen **international** peer review.



## Applied Research and Technology Development

Highlight the market assessment by **users, market** and **experts** and other relevant third-party assessment.



## Philosophy and Social Sciences

Emphasis on peer **recognition** and social **benefits**.

**Source:** The General Offices of the CPC Central Committee and the State Council issued Guiding Opinions on promoting the Reform of talent assessment Mechanism by classification

# RESEARCH INTEGRITY

## 3 Advocating Integrity

Resist the unhealthy tendencies such as impatience, eagerness for quick success and instant benefits, and strictly control academic misconduct

## 2 Assessment Index

Strengthen the assessment of talents for their scientific spirit, professional ethics and professional conduct

## 1 Morality assessment

Regard moral character as the primary content of talent assessment



## 4 Integrity System

Improve the integrity system for evaluating talents, and establish a system for keeping promises, recording and punishing dishonest behaviors.

## 5 Exit Mechanism

Explore the exit mechanism based on moral and integrity.

**Source:** The General Offices of the CPC Central Committee and the State Council issued Guiding Opinions on promoting the Reform of talent assessment Mechanism by classification

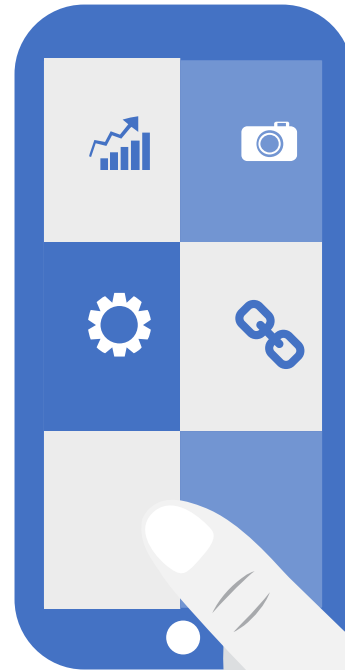
# NSFC---DEFINITION OF INNOVATION

## 1 Methodological innovation

Whether an original scientific method has been created that can be used to **solve important scientific problems.**

## 2 Key scientific evidence

Whether it provides new, critical, and reliable evidence for **the solution of important scientific problems**



## 3 Theoretical cognition or social needs

Whether it has made a substantial contribution to the cognitive system of the discipline or to the basic scientific problems underlying **the solution of important social needs.**

## 4 Disciplinary development

Whether the research work can **lead to the field of research direction,** category, field of vision (perspective) change or the field of cognitive system of significant progress

Source: New Rules for talent Project assessment of **Geosciences Division, NSFC**

# Tsinghua University

## Discipline Evaluation

To study and formulate a classification and evaluation system in line with the characteristics of **the discipline**

## Academic Integrity

Improve **academic integrity** education and disciplinary system.

## Rewards Evaluation

Reform **the science and technology reward** system in school.

01

04

02

03

06

05

## Teacher Evaluation

To build a teacher evaluation system based on **academic responsibility and achievement.**

## Evaluation of Academic Dissertation

Perfect **the academic evaluation standard** of graduate degree thesis.

## Evaluation of Students

Improve the system of **honors and rewards for college students.**

**Source:** Some Opinions on Improving the Academic Evaluation System, Tsinghua University

ERADICATING THE 'FIVE ONLY'

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THE EFFORTS OF NSL

03



# THE EFFORTS OF NSL

**Go OA** | 开放论文一站式发现  
开放期刊投稿分析

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▼ **热点概念**

- Human(3218)
- gene expression(1251)
- aging(1207)
- biomarker(650)
- evolution(630)
- angiogenesis(591)
- teaching In Astronomy(585)
- antioxidant(530)
- DNA methylation(530)
- autophagy(419)

+ 更多

▼ **来源期刊**

- BioMed Research International(16141)
- Mathematical Problems in Engineering(1260)
- Atmospheric Chemistry and Physics(9087)
- eLife(8435)
- The Scientific World Journal(7192)
- Scientific Reports(6879)
- Evidence-Based Complementry and Altern(5288)
- BMC Cancer(5288)
- BMC Bioinformatics(5176)
- Annales Geophysicae(4949)

+ 更多

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+ 更多

▶ **出版日期**

▶ **出版者**

▶ **附加信息**

2227种 GoOA收录期刊 477家 OA出版社 561856篇 OA论文 512306幅 图表

论文 题名 检索

月热门文章 中科院作者最新文章 月热点期刊 GoOA期刊收录标准 最新消息

**Numerical analysis of agricultural emissions impacts on PM<sub>2.5</sub> in China using a high-resolution ammonia emission inventory**  
China is one of the largest agricultural countries in the world. Thus, NH<sub>3</sub> emission from agricultural activities in China considerably affects the country's.....  
*Atmospheric Chemistry and Physics* 2020-08-27  
原文链接 (浏览次数:671 下载次数:87)

**What have we missed when studying the impact of aerosols on surface ozone via changing photolysis rates?**  
Previous studies have emphasized that the decrease in photolysis rate at the surface induced by the light extinction of aerosols could weaken ozone photochemistry.....  
*Atmospheric Chemistry and Physics* 2020-09-15  
原文链接 (浏览次数:325 下载次数:3)

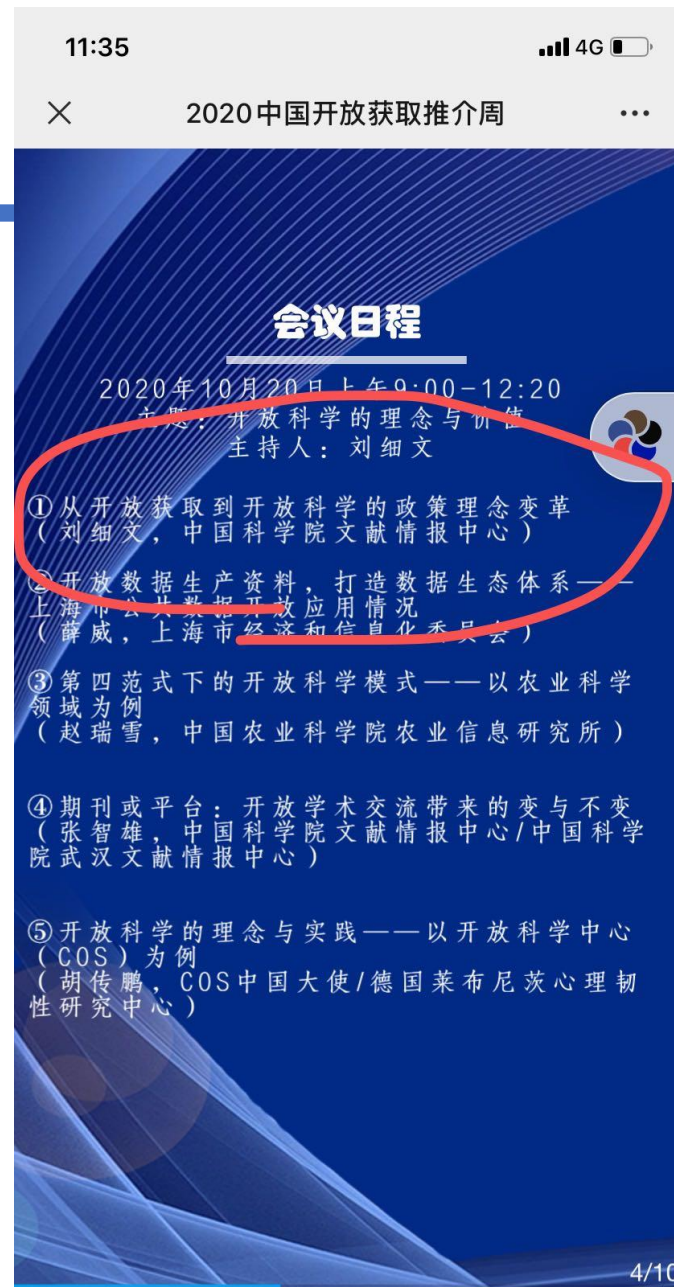
**New evidence for atmospheric mercury transformations in the marine boundary layer from stable mercury isotopes**  
The marine boundary layer (MBL) is the largest transport place and reaction vessel of atmospheric mercury (Hg). The transformations of atmospheric Hg in the MBL.....  
*Atmospheric Chemistry and Physics* 2020-08-19  
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**The nitrogen budget of laboratory-simulated western US wildfires during the FIREX 2016 Fire Lab study**  
Reactive nitrogen (N<sub>r</sub>, defined as all nitrogen-containing compounds except for N<sub>2</sub> and N<sub>2</sub>O) is one of the most important classes of compounds emitted.....  
*Atmospheric Chemistry and Physics* 2020-07-24  
原文链接 (浏览次数:218 下载次数:2)



Source: <http://gooa.las.ac.cn/external/index.jsp>、[www.las.cas.cn/xwzx/zhxw/201910/t20191029\\_5413786.html](http://www.las.cas.cn/xwzx/zhxw/201910/t20191029_5413786.html)

THE



# THE EFFORTS OF NSL



# THE EFFORTS OF NSL

中国科学院文献情报中心期刊分区表

浏览 检索 批量检索 阈值 公告 升级版 绑定微信 dingjielan 退出

2019

大类

地学

地学

地学天文

工程技术

管理科学

化学

环境科学与生态学

农林科学

社会科学

生物

数学

物理

医学

综合性期刊

### 期刊浏览

可按照学科分类、期刊等级（分区）来浏览各个学科、各个分区的期刊列表，点击期刊列表任意一本期刊可展开查看该本期刊的详细信息。

### 分类体系

小类学科体系基于Clarivate Analytics发布的JCR的学科；大类学科体系为地学、地学天文、环境科学、农林科学、工程技术、物理、化学、生物、数学、医学、社会科学、管理科学、综合性期刊等学科所构成的分类体系。

### 分区指标

3年平均IF：即期刊3年影响因子的平均值。

### 分区阈值

每个学科各期刊等级（分区）的期刊集合的最低3年平均IF。  
Review期刊只占排名，不占分区名额。

### 学科影响因子

当年：学科所有期刊的当年影响因子（IF）的平均值。  
最近3年：该学科所有期刊最近3年平均影响因子（IF）的平均值。

## Journal Subdivision Table – Liying Yang

Source: <http://www.fenqubiao.com/Core/CategoryList.aspx>

# THE EFFORTS OF NSL

Research Paper

## CiteOpinion: Evidence-based Evaluation Tool for Academic Contributions of Research Papers Based on Citing Sentences

Xiaoqiu Le<sup>1†</sup>, Jingdan Chu<sup>2</sup>, Siyi Deng<sup>2</sup>, Qihang Jiao<sup>2</sup>,  
Jingjing Pei<sup>2</sup>, Liya Zhu<sup>1</sup>, Junliang Yao<sup>2</sup>

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Citation: Xiaoqiu Le, Jingdan Chu, Siyi Deng, Qihang Jiao, Jingjing Pei, Liya Zhu, Junliang Yao (2019). CiteOpinion: Evidence-based evaluation tool for academic contributions of research papers based on citing sentences. Journal of Data and Information Science, 4(4), 26–41  
DOI: 10.2478/jdis-2019-0019

Received: Nov. 8, 2019  
Revised: Nov. 22, 2019  
Accepted: Nov. 28, 2019

### Abstract

**Purpose:** To uncover the evaluation information on the academic contribution of research papers cited by peers based on the content cited by citing papers, and to provide an evidence-based tool for evaluating the academic value of cited papers.

**Design/methodology/approach:** CiteOpinion uses a deep learning model to automatically extract citing sentences from representative citing papers; it starts with an analysis on the citing sentences, then it identifies major academic contribution points of the cited paper, positive/negative evaluations from citing authors and the changes in the subjects of subsequent citing authors by means of Recognizing Categories of Moves (problems, methods, conclusions, etc.), and sentiment analysis and topic clustering.

**Findings:** Citing sentences in a citing paper contain substantial evidences useful for academic evaluation. They can also be used to objectively and authentically reveal the nature and degree of contribution of the cited paper reflected by citation, beyond simple citation statistics.

**Practical implications:** The evidence-based evaluation tool CiteOpinion can provide an objective and in-depth academic value evaluation basis for the representative papers of scientific researchers, research teams, and institutions.

**Originality/value:** No other similar practical tool is found in papers retrieved.

**Research limitations:** There are difficulties in acquiring full text of citing papers. There is a need to refine the calculation based on the sentiment scores of citing sentences. Currently, the tool is only used for academic contribution evaluation, while its value in policy studies, technical application, and promotion of science is not yet tested.

**Keywords** Cited paper; Citing paper; Citing sentence; Citation motive; Citation sentiment; Academic contribution; Evaluation



JDIS  
Journal of Data and  
Information Science

<sup>†</sup> Corresponding author: Xiaoqiu Le (E-mail: lexq@mail.las.ac.cn).

## A Peer Review Method Based on Identify Experts' Guanxi

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Research Center for Shandong Science and Technology Statistics, Jinan (China)

### Abstract

In Chinese society, guanxi (interpersonal relationships) are involved in everyday social interactions. In the processing of peer review, interpersonal relationships between experts and person to be reviewed often interfere the objectively assessing of evaluation, leading to the review invalid or injustice. According to the requirement of

## 基于学术关系的同行评议专家回避关系计量研究

雷雪 王立学

中国科学技术信息研究所

**摘要:** 以学术关系为研究对象和切入点,进行同行评议专家回避关系计量初探。在阐述国内外研究现状的基础上,探讨与回避相关的学术关系的抽取与挖掘,进而提出面向不同评议场景的学术关系的融合及回避评估模型构建思路。本研究可为科研管理者遴选同行评议专家提供参考和依据,促进同行评议的规范性、公平性和民主性。

**关键词:** 学术关系; 同行评议专家; 回避关系;

**基金资助:** 中国科学技术信息研究所科研项目预研基金“基于学术关系的同行评议回避计量方法研究”(项目编号:YY2016-06);

**专辑:** 电子技术及信息科学

**专题:** 图书情报与数字图书馆

**分类号:** G350



# THANK YOU!

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