

29 **Abstract**

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31 The Helical Carotenoid Proteins (HCPs) are a large group of newly identified carotenoid-
32 binding proteins found in ecophysiologicaly diverse cyanobacteria. They likely evolved before
33 becoming the effector (quenching) domain of the modular Orange Carotenoid Protein (OCP). The
34 number of discrete HCP families—at least nine—suggests they are involved in multiple distinct
35 functions. Here we report the 1.7 Å crystal structure of HCP2, one of the most widespread HCPs
36 found in nature, from the chromatically acclimating cyanobacterium *Tolypothrix* sp. PCC 7601.
37 By purifying HCP2 from the native source we are able to identify its natively-bound carotenoid,
38 which is exclusively canthaxanthin. In solution, HCP2 is a monomer with an absorbance maximum
39 of 530 nm. However, the HCP2 crystals have a maximum absorbance at 548 nm, which is
40 accounted by the stacking of the β 1 rings of the carotenoid in the two molecules in the asymmetric
41 unit. Our results demonstrate how HCPs provide a valuable system to study carotenoid-protein
42 interactions and their spectroscopic implications, and contribute to efforts to understand the
43 functional roles of this large, newly discovered family of pigment proteins, which to-date remain
44 enigmatic.

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47 **Keywords:** Helical Carotenoid Protein, photoprotection, cyanobacteria, spectroscopic, crystal
48 structure, carotenoid aggregates.

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67 **Introduction**

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69 Carotenoids are ancient pigments that carry out a diverse range of biological functions such
70 as providing membrane structural support, contributing to light harvesting or producing
71 ecologically relevant colorations (1-4). Despite their highly hydrophobic nature, carotenoids are
72 ubiquitous in aqueous cellular environments. To enhance solubility, carotenoids form complexes
73 with proteins. The binding of carotenoids to proteins also extends their functional repertoire, for
74 example by holding them in defined orientations proximal to other chromophores to serve as
75 accessory pigments in photosynthetic complexes (5-7). Carotenoproteins also confer
76 photoprotective functions by absorbing and/or dissipating excess absorbed light energy or by
77 ‘quenching’ reactive oxygen species (ROS) (8, 9).

78 In cyanobacteria, the Orange Carotenoid Protein (OCP) is a 35 kDa water-soluble
79 photoactive protein (recently reviewed in (10, 11)) responsible for a non-photochemical quenching
80 mechanism that enables cells to avoid photodamage and growth inhibition caused by high light or
81 nutrient stresses (12). The OCP is structurally and functionally modular (10, 11, 13), consisting of
82 a sensor and an effector domain. The first crystal structure of the OCP (14) revealed two discrete
83 structural domains: an all-helical carotenoid-binding N-terminal domain (NTD), composed of two
84 discontinuous four-helix bundles, and a C-terminal domain (CTD) with a mixed α - β fold. The
85 NTD (pfam09150) is found only in cyanobacteria, whereas the CTD is a member of the ubiquitous
86 Nuclear Transport Factor-2 (NTF2) superfamily (pfam02136). The carotenoid spans the two
87 protein domains, through the largest interacting surface (the major interface) which is stabilized
88 by a salt bridge. The OCP has been shown to bind various keto-carotenoids when purified from
89 cyanobacteria (3'-hydroxy-echinenone (hECN), echinenone (ECN) and canthaxanthin (CAN))
90 (14, 15).

91 Upon absorption of blue-light, the OCP converts from a dark-stable orange form, OCP⁰, to
92 a light-activated red form, OCP^R (16). The color is related to the spectroscopic properties of the
93 absorbing state, S₂, while the lowest excited state S₁ is forbidden for one-photon transitions from
94 the ground state and thereby invisible in absorption spectra (1). Yet, the S₁ state is quickly
95 populated from the S₂ state, and in many photosynthetic systems the S₁ state is important for both
96 light-harvesting and photoprotection. Photoactivation is also characterized by the dissociation of
97 the interaction of the NTD and CTD (17, 18) and the carotenoid translocates 12 Å to the NTD

98 (19). Thus, the carotenoid of the OCP occupies two distinct positions in the protein depending on
99 the state of photoactivation: resting (OCP⁰) and activated (OCP^R). The residues defining these two
100 distinct positions are referred to as carotenoid-protein configurations cpcO and cpcR, respectively.
101 In the OCP⁰, the carotenoid is only sparingly solvent accessible, with ca. 96% of its surface area
102 interacting with the protein. However, the solvent accessibility of the carotenoid is increased in
103 OCP^R, with the β 1 ring becoming much more solvent exposed after domain separation at the major
104 interface (Figure 1 in (19)). The NTD of the OCP^R form mediates excitation quenching of
105 phycobilisomes (PBS) (13, 20).

106 The more than doubling of the number of available cyanobacterial genomes in recent years
107 has enabled the identification of new families of the OCP and homologs to its constituent domains
108 (20, 21). At least nine different clades of NTD homologs have been identified across
109 ecophysiologically diverse cyanobacteria (10, 11). These paralogs have been named Helical
110 Carotenoid Proteins (HCPs), as they are all predicted to conserve both the all-helical fold of the
111 NTD as well as the residues specific for binding carotenoid (21). Homologs to the CTD (CTDHs)
112 have also been found in nearly every genome encoding an HCP (21). The principles of protein
113 evolution by gene fusion combined with the taxonomic species distribution among the HCP/NTD
114 phylogeny have led to the suggestion that the NTD of the OCP was derived from an HCP, and was
115 likely to have combined with a CTDH into a single polypeptide as a result of a domain fusion
116 event (10, 14, 22, 23).

117 The first systematic attempt to determine the function of paralogous HCPs focused on the
118 four HCPs (HCP1-4) that are found in *Nostoc* PCC 7120 (hereafter *Nostoc*) (24). Carotenoid
119 binding was confirmed when the genes were overexpressed in a CAN-producing *E. coli* strain.
120 Functionally, HCP4 (*all4941*) was the only paralog shown to bind and quench the PBS, where it
121 induced constitutive fluorescence quenching. Notably, the primary structure of the HCP4 is most
122 similar to the OCP-NTD, consistent with the proposal that it is the HCP most closely related to the
123 ancestor of the NTD (21). *Nostoc* HCP2 and HCP3 (*all3221* and *alr4783*, respectively) were
124 shown to be effective ¹O₂ quenchers, similar to the OCP-NTD (24) and the Red Carotenoid Protein
125 (RCP)(14). However, singlet oxygen quenching is not unexpected for carotenoproteins.
126 Ecophysiologically, the reason for the multiplicity of HCP paralogs in a single organism, each

127 presumably with a specific function, their relative carotenoid binding specificity, and their distinct
128 functional roles in general, remains unclear.

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130 The genome of the filamentous, chromatically acclimating cyanobacterium *Tolypothrix* sp.
131 PCC 7601 (also known as *Fremyella diplosiphon* (UTEX481), hereafter Tolypothrix) encodes an
132 OCP1, an OCP2, three HCPs (HCP1, HCP2 and HCP3) and one CTDH. Here, we present a
133 structural and biophysical characterization of Tolypothrix HCP2 (gene ID: 2501541399). We
134 developed a system to overexpress the carotenoproteins in Tolypothrix, enabling identification of
135 the natively bound pigment. Holo-HCP2 is a monomer in solution and quenches singlet oxygen.
136 The 1.7 Å resolution crystal structure of HCP2 revealed a putative dimer with a stacking of the
137 carotenoid β 1 rings; this stacking is strikingly manifested spectroscopically, the visible absorbance
138 peak is shifted 18 nm in the HCP2 crystal relative to solution. Our results provide an ideal model
139 system to spectrally characterize and probe carotenoid-protein interactions.

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143 **Materials and methods**

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145 **1. Overexpression and purification of HCP2 in Tolypothrix**

146 To construct an overexpression vector of HCP2-10x His-tagged, the gene was PCR-amplified
147 from genomic DNA of Tolypothrix, cloned between the constitutively expressed *apcA* promoter
148 (25) and a sequence coding for HisTag, and expressed on a pDU1-based replicating plasmid (26).
149 The resulting plasmid (pSL88) was introduced into WT Tolypothrix strain SF33, a shortened
150 filament strain (27), by conjugation, using the conjugative plasmid pRL443 (28) and the
151 methylating plasmid pSL17 (Lechno-Yossef *et al.*, unpublished). The HCP2 overexpression strain
152 was grown in buffered BG-11 pH 8.0 medium supplemented with 25 µg/ml of kanamycin.

153 Cell cultures were grown in liquid BG-11 media at 30 °C, bubbled with air containing 3% CO₂
154 with stirring and continuous illumination with red light. Cells were harvested at 14,900 g for 20
155 min. The cells pellet was resuspended in 100 ml of 50 mM Tris pH 8.0 /200 mM NaCl containing
156 *DNaseI* (*Sigma*) and protease inhibitor cocktail (*Sigma*). The cells were lysed using a French
157 Pressure cell (SLM/Aminco model FA-079) at 1,000 PSI. The lysate was cleared by centrifugation
158 (45 min at 41,600 g at 4 °C). Purification of HCP2 was performed by Ni-NTA affinity
159 chromatography (HisTrap Affinity column, *GE Healthcare*) followed by size exclusion
160 chromatography (Superdex 75 pg 16/60 GL, *GE Healthcare*). The isolated HCP2 holoprotein was
161 identified by SDS-PAGE and immunodetection with antibodies against the HisTag.

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163 **2. Expression and purification of apo-HCP2 in *E. coli***

164 To produce Apo-HCP2 in *E. coli*, N-terminal 6x His-tagged Tolypothrix *hcp2* was cloned in a
165 pET28 vector (*Novogene*) and expressed in BL21(DE3) cells. Cells were grown at 37 °C to an
166 OD₆₀₀ ca. 0.6-0.8, followed by induction with 100 µM isopropyl β-D-1-thiogalactopyranoside
167 (IPTG), and incubated in a shaker overnight at 30 °C. The harvested cells were resuspended in 50
168 mM Tris pH 8.0/200 mM NaCl, containing protease inhibitor cocktail (*Sigma*) and DNase I
169 (*Sigma*) and lysed through a cell disruptor (*Constant Systems* Aberdeenshire, UK) at 35 kPSI. The
170 clarified lysate was applied to a Ni-NTA affinity chromatography followed by a size exclusion
171 chromatography as explained above.

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175 **3. Native polyacrylamide gel electrophoresis analysis**

176 The HCP2 samples were subjected to electrophoretic separation in a 15% polyacrylamide gel
177 (*Bio-Rad*) and run at a voltage of 200 V for 60 min at 4 °C. Gels were stained with Coomassie
178 Brilliant Blue G250 for protein visualization.

179

180 **4. Extraction and analysis of carotenoids by LC-MS/MS**

181 Samples of purified HCP2 were extracted with acetone at -20 °C for 30 min. After
182 centrifugation (21,000 g for 10 min at 4 °C), the supernatant was incubated at -20 °C for 30 min
183 and then centrifuged. This procedure was repeated several times until a white pellet was obtained,
184 which indicated the absence of pigment in the protein sample. The combined supernatants were
185 evaporated to dryness, dissolved in 70 μ l of solvent A (methanol-acetonitrile-water [42:33:25 by
186 volume]) and 30 μ l of solvent B (methanol-acetonitrile-ethyl acetate [50:20:30 by volume]).
187 Carotenoids were identified by liquid chromatography-mass spectrometry using a *Waters Xevo*
188 G2-XS QToF mass spectrometer interfaced with a *Waters Acquity UPLC* system. 5 μ l of sample
189 were injected onto a *Waters Acquity BEH C18* column (2.1 x 100 mm) and separated using the
190 following gradient: 30% solvent B at the time of injection, linear increase to 100% solvent B over
191 10 min, 100% solvent B for 2 min, and linear decrease to 30% solvent B for 3 min (total time = 15
192 min) using a flow rate of 0.3 ml/min and column temperature of 40 °C. Pigments were ionized by
193 APCI (atmospheric pressure chemical ionization) in negative ion mode and identified by a
194 combination of elution time and accurate mass analysis.

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196 **5. Analytical Size Exclusion chromatography (SEC)**

197 Analytical SEC to estimate the oligomeric state of HCP2 holoproteins was carried out at 4 °C
198 on a *Superdex 75 10/300 GL* column (*GE Healthcare*) with 50 mM Tris-HCl, pH 8.0, and 200
199 mM NaCl as the running buffer. 100 μ l of sample was injected onto the column. The elution
200 volume was used for molecular mass estimation using standard curves for column calibration with
201 *Bio-Rad* molecular mass standards.

202

203 **6. Measurement of ultraviolet-visible spectra in solution**

204 Samples were buffer-exchanged into 50 mM Tris-HCl, pH 8.0, and 200 mM NaCl before
205 spectroscopic measurements. Ultraviolet–visible absorption spectra were collected with a Cary 60
206 spectrophotometer (*Agilent*).

207 **7. Single crystal microspectrophotometric measurements**

208 Single crystal UV-visible absorption spectra were measured at beam line 9-1 at the Stanford
209 Synchrotron Radiation Lightsource (SSRL) with a modified 4DX microspectrophotometer (29).
210 A Hamamatsu light source with deuterium and halogen lamps (model DH-2000-BAL, Ocean
211 Optics Inc.) was used to illuminate the sample with an output from 250 nm to 900 nm. The UV
212 solarization-resistant optical fibers (50 micron and 450 micron) were employed to deliver the light
213 to the sample and transmitting the light through the sample to the detector. The UV-visible
214 absorption spectra were collected on a spectrum analyzer (model QE65000, *Ocean Optics Inc.*)
215 under PC computer control with SpectraSuite (*Ocean Optics, Inc.*) software.

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217 **8. Crystallization of HCP2**

218 Crystals of Tolypothrix HCP2 were obtained by vapor diffusion in sitting drop experiments at
219 room temperature. 3 μ l of protein solution (40 μ M, 1 mg/ml in 10 mM Tris-HCl pH 8.0/ 50 mM
220 NaCl) was mixed with 1 μ l of reservoir solution containing 0.2 M ammonium iodide and 20%
221 PEG 3,350. Crystals were stabilized by adding 0.2 M ammonium iodide and 20% PEG 3,350 (in
222 reservoir solution) to the drop, mounted on a nylon loop (CrystalCap ALS HT, *Hampton*
223 *Research*), and then frozen in liquid nitrogen. X-ray diffraction was measured at beam line 5.0.2
224 of the Advanced Light Source (ALS) at Lawrence Berkeley National Lab.

225

226 Diffraction data were integrated with XDS (30) and scaled with SCALA (CCP4) (31). The
227 HCP2 structure was solved by phenix.MR_Rosetta (32) starting with a homology model generated
228 with Phyre (33). The resulting solution was refined and rebuilt using phenix.refine (34) and COOT
229 (35). Statistics for diffraction data collection, structure determination and refinement are
230 summarized in Table 1.

231

232 Further analysis of the structure was performed using the following software: PDBePISA at
233 the EBI (<http://www.ebi.ac.uk/pdbe/pisa/>) and Profunc ([https://www.ebi.ac.uk/thornton-](https://www.ebi.ac.uk/thornton-srv/databases/profunc/)
234 [srv/databases/profunc/](https://www.ebi.ac.uk/thornton-srv/databases/profunc/)) (31).

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237 **9. Singlet Oxygen quenching assay by Electron Paramagnetic Resonance (EPR)**

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239 A 1 M solution of 2,2,6,6-tetramethyl-4-piperidone hydrochloride (TEMPD-HCl) (*Sigma*) was
240 prepared fresh in Tris buffered saline (100 mM Tris pH 8.0, 400 mM NaCl) before each assay.
241 Each quenching reaction was prepared such that the final solution contained 50 mM Tris pH 8.0,
242 200 mM NaCl, 0.1 mM methylene blue as a photosensitizer, 100 mM TEMPD-HCl, and a variable
243 amount of HCP2 protein. Reactions were prepared in quartz EPR tubes (2 mm OD) and illuminated
244 with $\sim 1,000 \mu\text{E}/\text{m}^2\text{s}$ of red light (575 – 725 nm) from an LED source for 3 min. EPR spectra were
245 measured in the dark immediately following illumination. EPR spectra were collected using a
246 Bruker E680 spectrometer at X-Band (9.8 GHz) equipped with a TE 011 mode cylindrical
247 resonator at room temperature (*Bruker Biospin Corp.*). After illumination with red light, EPR
248 samples were placed in the spectrometer in the dark and spectra were collected using 100 kHz
249 modulation frequency, 0.1 mT modulation amplitude, and 40 mW microwave power. The time
250 constant was 82 ms and total scan duration was 335.5 sec. 1024 points were collected for each
251 scan and two scans were averaged for each sample. Built-in Xepr software (*Bruker BioSpin Corp.*)
252 was used to quantify the magnitude of the low field feature of the three-line spectrum of the
253 TEMPD nitroxide radical for each sample by manual double integration. The difference between
254 the area calculated from double integration of each illuminated sample and a control reaction kept
255 in the dark and not excited with red light (containing no protein) was used to quantify the amount
256 of TEMPD radical formed as a function of illumination and HCP2 concentration. A Stern-Volmer
257 plot was generated by dividing the amount of TEMPD radical produced after illumination in the
258 absence of HCP2 by the amount of TEMPD radical produced after illumination in the presence of
259 different concentrations of HCP2. Where present, the error bars represent the standard deviation
260 of the quotient of their respective arithmetic means from three technical replicates. The Stern-
261 Volmer data was fit with a linear regression ($Y_{\text{-HCP2}}/Y_{\text{+HCP2}} = 1 + K_{\text{SV}}[\text{HCP2}]$; where $Y_{\text{-HCP2}}$ is the
262 EPR signal intensity without HCP2, $Y_{\text{+HCP2}}$ is the EPR signal intensity with HCP2, and the Stern-

263 Volmer constant K_{SV} is the slope) using IgorPro (*Wavemetrics Inc*, Lake Oswego, OR); and the
264 I50 estimated by calculating the HCP2 concentration for which the TEMPD radical signal is
265 reduced to 50% of its initial value (i.e. when $Y_{-HCP2}/Y_{+HCP2} = 2$).

266

267 **10. Isolation of PBS and *in vitro* PBS fluorescence quenching assays**

268 The Tolypothrix WT cell cultures were grown in liquid BG-11 media at 30 °C, bubbled with
269 air containing 3% CO₂ with stirring and continuous illumination with red light, where no
270 phycoerythrin is expressed (36). PBS were isolated as described previously (36, 37). PBS were
271 kept in 0.75 M potassium phosphate buffer, pH 7.5. Isolated PBS protein composition was assessed
272 by SDS–PAGE and ultraviolet–visible spectra to confirm its integrity. For fluorescence quenching
273 measurement, HCP2 was added to the isolated PBS at different protein ratios. The fluorescence of
274 PBS was excited at 580 and 660 nm and fluorescence emission spectra were recorded at room
275 temperature (22 °C) from 600 to 800 nm in a fluorimeter (SpectraMax M2, *Molecular Devices*).

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277 **11. Accession numbers**

278 Sequence data from this article can be accessed from the IMG database
279 (<https://img.jgi.doe.gov/>) using the gene ID 2501541399 listed in the Supplementary Table 1.
280 Structural coordinates have been deposited in the Research Collaboratory for Structure
281 Bioinformatics (RSCB) Protein Data Bank (<http://www.rcsb.org/pdb>) under the accession code
282 6MCJ.

283

284 **Results**

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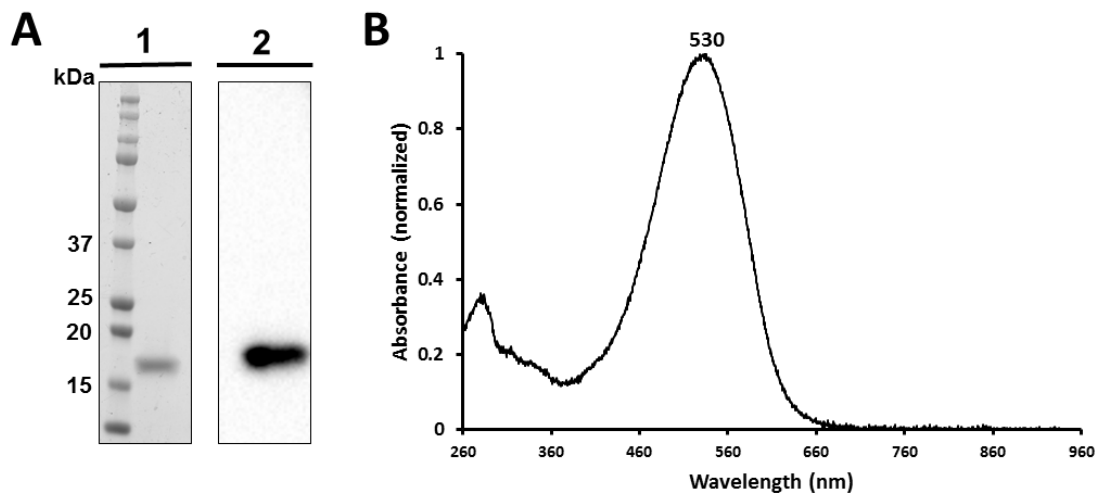
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288 **1. Purification, spectroscopy of HCP2 in solution and identification of the native-bound**
carotenoid

289 HCP2 was overexpressed in *Tolypothrix* from a plasmid with a C-terminal 10x His-Tag using
290 the constitutive promoter *apcA*. The overexpression strain was grown under red-enriched light
291 with 3% CO₂, and it yielded cell extracts that were used to purify the His-tagged HCP2 holoprotein
292 by affinity chromatography followed by size exclusion chromatography (SEC) to yield pure
293 protein (Figure 1A).

294 The purified HCP2 was pink-violet confirming the binding of the carotenoid with an
295 absorption maximum of 530 nm ($A_{530}/A_{280} = 2.7$). The absorption spectra of the isolated HCP2 did
296 not exhibit vibrational features and no spectral bands at long wavelengths were identified (Figure
297 1B). Furthermore, analysis by LC-MS/MS showed that HCP2 bound 100% of the ketocarotenoid
298 CAN.



299

300 **Figure 1: Biochemical and spectroscopic properties of the HCP2 overexpressed in**
301 ***Tolypothrix*.** (A) (1) Coomassie blue-stained SDS-PAGE. (2) Anti-His Immunodetection. 15 μ l
302 of the protein were loaded. (B) UV-visible absorbance spectrum (260-960 nm).

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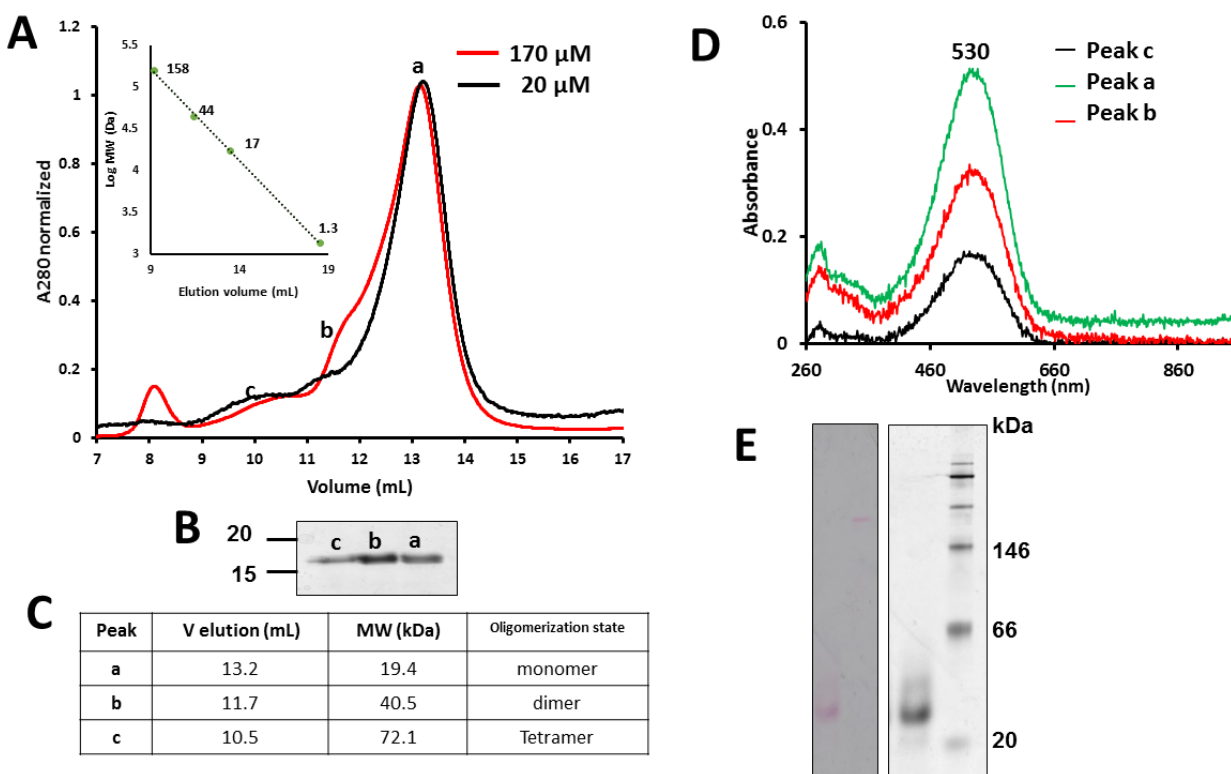
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304 **2. Characterization of the quaternary structure of HCP2 in solution**

305 To characterize the quaternary structure of the HCP2 in solution, two different concentrations
306 of protein (20 μ M and 170 μ M) were subjected to analytical size exclusion chromatography (SEC)

307 (Figure 2A). The estimated mass for the main peak at both concentrations was 19.4 kDa, which
 308 matches the calculated size for a monomeric HCP2 (18.2 kDa). In the chromatogram, two
 309 shoulders are observed in the higher concentrated sample (peak b and c in Figure 2A). The
 310 calculated sizes for those indicate potential dimer and tetramer states of HCP2, although the main
 311 oligomeric state is a monomer. The absorption spectra for all oligomeric forms were similar,
 312 exhibiting a maximum peak at 530 nm (Figure 2D). In addition, the HCP2 (20 μ M) migrated as a
 313 single band in a native gel (Figure 2E).

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317 **Figure 2: Quaternary structure of HCP2 in solution.** (A) size-exclusion chromatography for
 318 HCP2 at two concentrations, 20 and 170 μ M. (B) Coomassie stained SDS-PAGE of the fractions
 319 collected from the chromatography. (C) Summary of the analytical SEC data (D) UV-visible
 320 spectra of the fractions from the peaks in the chromatogram. (E) Unstained (left) and Coomassie
 321 blue stained (right) native gel. 15 μ l of protein were loaded.

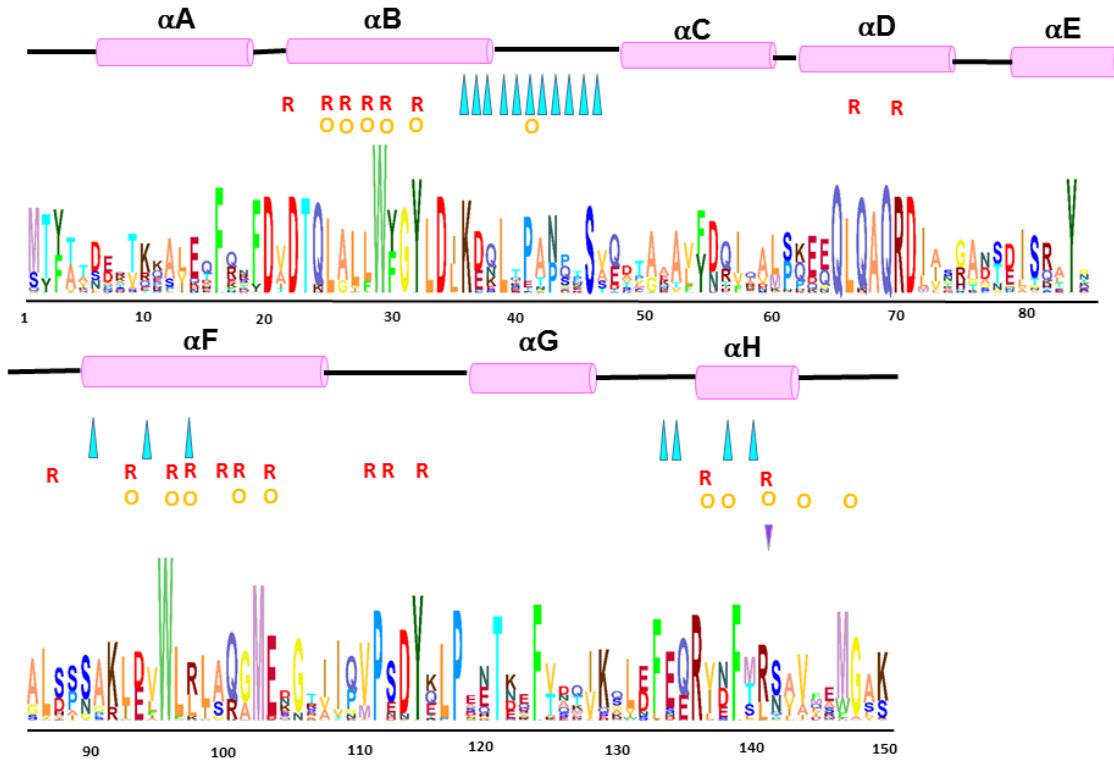
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3. Crystal Structure of the HCP2

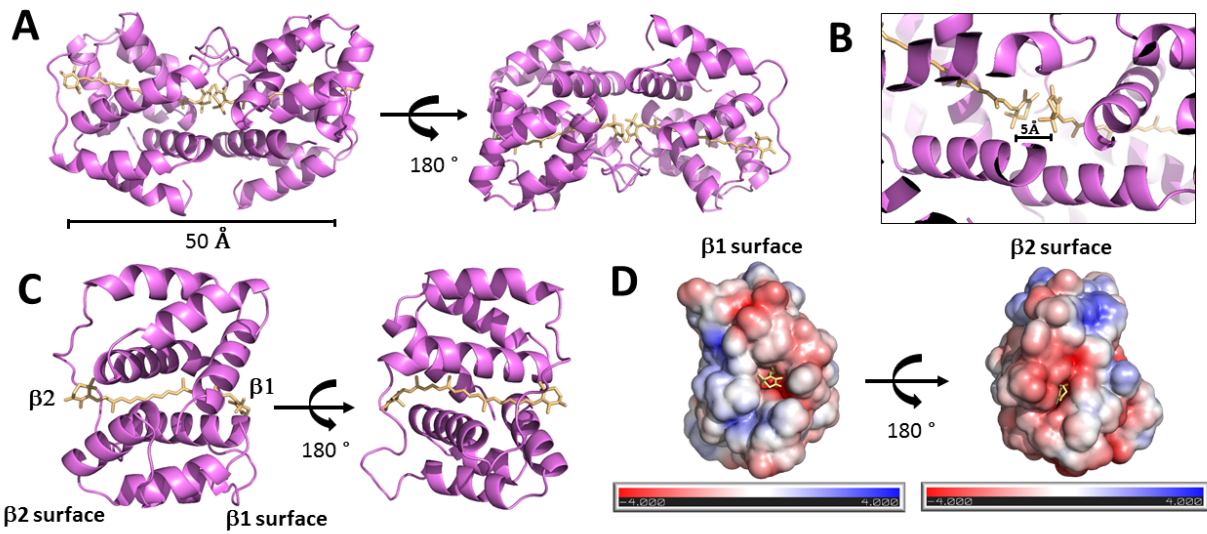
328 The structure of the HCP2 was determined at a resolution of 1.7 Å. The asymmetric unit
329 contains two HCP2 molecules. Clear electron density is observed for the non-covalently bound
330 CAN for both chains of the HCP2 structure (Supplementary Figure 1). The HCP2 is composed of
331 eight α -helices (A-H) organized as an all-helical domain (Figure 3, 4). The helical domain can be
332 divided into two four-helix bundles (Figure 4). The two bundles are composed of discontinuous
333 segments of the protein. Bundle 1 is formed by helices A, B, G and H and bundle 2 is formed by
334 helices C, D, E and F. Both bundles are joined through a fourteen residues interbundle loop
335 (between helices f and g). The two bundles form the binding site of the CAN between helices H
336 and B (from bundle 1) and F and D (from bundle 2). The two bundles are structurally similar and
337 can be superimposed with a root-mean-square (RMSD) C_{α} of 0.940 Å (228 aligned atoms). The
338 carotenoid is largely buried within the protein, with only 15% of its surface exposed to solvent.
339 The areas of solvent exposure are concentrated near the two terminal rings β 1 and β 2. The protein
340 surface surrounding these rings are of distinctly different charge: the β 1-adjacent surface (β 1
341 surface) is predominantly positively charged, whereas the charge on the protein surrounding the
342 β 2 ring (β 2 surface) is mostly negative (Figures 4D and Supplementary Figure 7). Furthermore,
343 the dimerization in the crystal reduces the solvent accessibility to 5.4% (Figure 4A).

344 The two HCP2 molecules in the asymmetric unit interact across the β 1 surface (Figure 4A).
345 The interaction along β 1 surface includes a coplanar stacking of the 4-keto rings of the CAN
346 molecules, the distance between the two rings is about 5 Å (Figure 4B). Each monomer has
347 approximately 4.6% of its surface involved in the formation of the putative dimer (871 Å² buried
348 area from the total surface 18,800 Å²). 10 out of 18 residues involved in the dimerization (Figure
349 3) are conserved in the HCP2 clade (Supplementary Figure 2). Of the 13 hydrogen bonds, only
350 one (Asn138-Asn138) involves residues highly conserved in HCP2 (Figure 3 and Supplementary
351 Figure 2). The calculated ΔG of interaction is ca. - 1.6 kcal/mol indicative of a weak interaction.



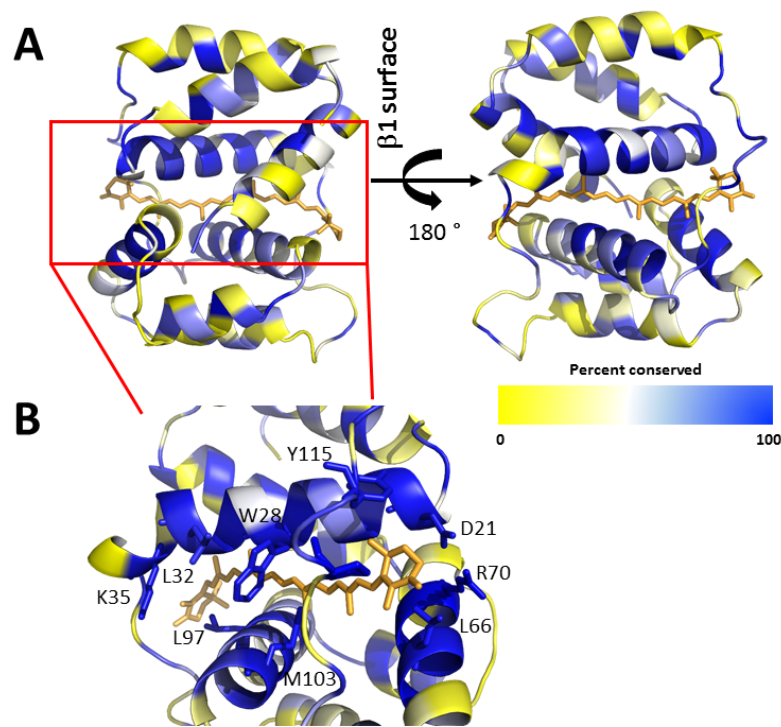
352
 353 **Figure 3: Secondary Structure and Sequence Conservation of the HCP2.** Secondary structure
 354 cartoon showing the conserved residues within 4 Å of the carotenoid that correspond to the cpcR
 355 (R) and cpcO (O) configuration. Positions of residues that are involved in the putative dimerization
 356 in the crystal are highlighted with turquoise triangles. The purple triangle indicates the conserved
 357 residue R141 (R155). HMM is adapted from (21).

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 362 **Figure 4: Structure of the HCP2 Dimer** (A) Ribbon diagram of the dimer in the HCP2
 363 asymmetric unit. The carotenoid is shown in yellow sticks. (B) Close-up view of the interaction
 364 between $\beta 1$ rings in the dimer. (C). Structure of the HCP2 monomer, showing the $\beta 1$ and $\beta 2$
 365 surfaces. (D) Charge distribution of HCP2 with the surface colored by electrostatic potential from
 366 -4 kT/e (red) to 4 kT/e (blue). HCP2 is oriented as 90° from panel c.

367
 368 The 24 residues within 4 \AA of the carotenoid are listed in Supplementary Table 2. Of these 20
 369 residues are highly conserved in the HCP2 clade (Figure 5). The carotenoid in HCP2 is surrounded
 370 by seven aromatic residues (six are absolutely conserved) (Supplementary Figure 3B). In HCP2,
 371 the intraprotein aromatic-sulfur interactions between Trp28-Met103, Trp96-Met147 and Tyr115-
 372 Met111 flank the central portion of the polyene. The Trp28 and Met147 are in bundle 1 and Met103
 373 and Trp96 are in bundle 2, therefore aromatic-sulfur interactions connect the two bundles, while
 374 the Tyr115-Met111 interaction is in the interbundle linker.



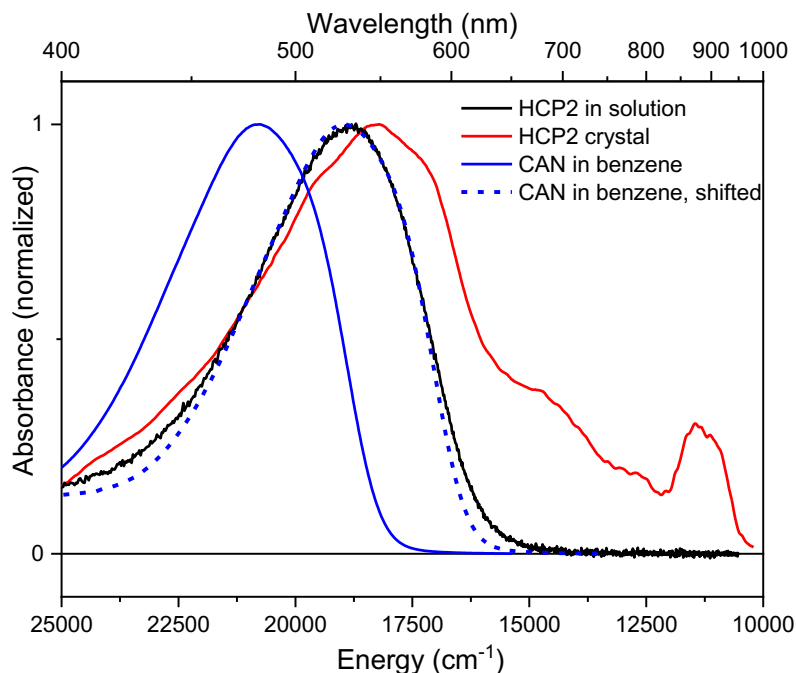
375
 376 **Figure 5: Amino acid sequence conservation of HCP2 mapped on Tolypothrix HCP2**
 377 **structure.** (A) Cartoon model of secondary structure elements colored by amino acid
 378 conservation, from least conserved (yellow) to most conserved (blue) in accordance with the color
 379 bar. (B) Zoomed view of the most conserved region with highly conserved residues labeled.

380
 381 **4. Spectroscopic properties of crystalline HCP2**

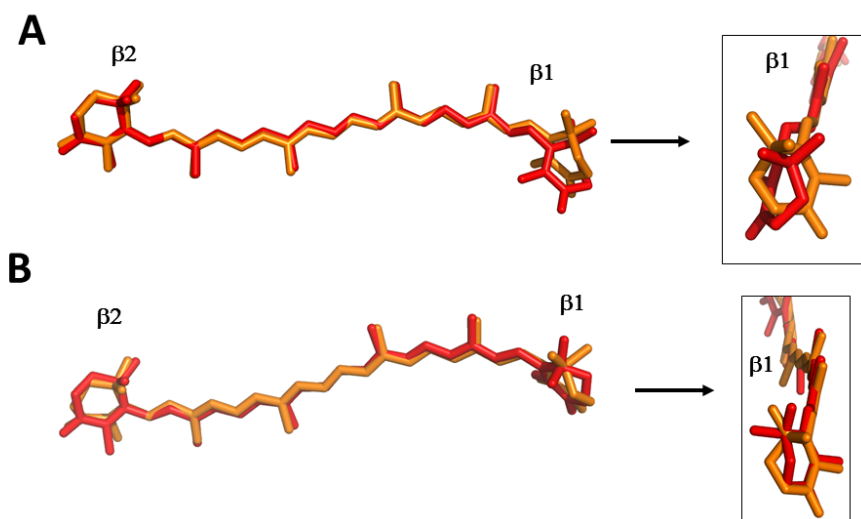
382 Figure 6 shows the absorption spectra of CAN in benzene, of HCP2 in solution and in the
 383 HCP2 crystal. The absorption maximum of HCP2 in solution is at 530 nm, which is 50 nm red-
 384 shifted from the absorption maximum of CAN in benzene (480 nm). The origin of this significant
 385 red-shift is partially due to protein-induced change of CAN configuration. In solution the $\beta 1$ and
 386 $\beta 2$ rings of CAN take the *s-cis* configuration, which represents the lowest energy configuration of
 387 carotenoids with conjugation extended to the end rings (38). Binding to the HCP2 twists the $\beta 1$
 388 ring to the *s-trans* configuration (Figure 4), effectively prolonging the conjugation length, and
 389 resulting in the observed red shift of absorption spectrum.

390 The absorption spectrum of the HCP2 crystal exhibits significant differences from the
 391 absorption spectrum of HCP2 in buffer (Figure 6). The spectrum was corrected for scattering by
 392 subtracting the scattering curve, as shown in Supplementary Figure 4. The main absorption band

393 of the HCP2 crystal has a peak at 548 nm, further red-shifted relative to HCP2 in buffer. The major
394 difference between HCP2 absorption spectrum in buffer and in the crystal are the spectral bands
395 in the 650-960 nm region that are observed exclusively in HCP2 crystals. For carotenoids in
396 solution as well as in proteins in a buffer, this region is typically free from any spectral bands, as
397 the lowest energy transition is forbidden for one photon processes, thus being invisible in
398 absorption spectra (39).



399
400 **Figure 6. Absorption spectra of HCP2.** Absorption spectra of HCP2 in buffer (black) and HCP2
401 crystal (red) compared to the absorption spectrum of CAN in benzene (blue). The blue dashed line
402 represents the absorption spectrum of CAN in benzene shifted to overlap with absorption of HCP2
403 in buffer. All spectra are normalized to absorption maximum. The absorption spectra are presented
404 with a linear energy scale (cm^{-1}) to be able to directly compare the widths of various spectral bands.
405



406
 407 **Figure 7: Superposition between CAN carotenoids from different structures.** (A)
 408 Superposition of the CAN molecule from the structures HCP1 (pdb: 5FCX) and HCP2 (pdb:
 409 6MCJ). (B) Superposition of the CAN from the structures of RCP (pdb: 4BX4) and HCP2 (pdb:
 410 6MCJ).

411

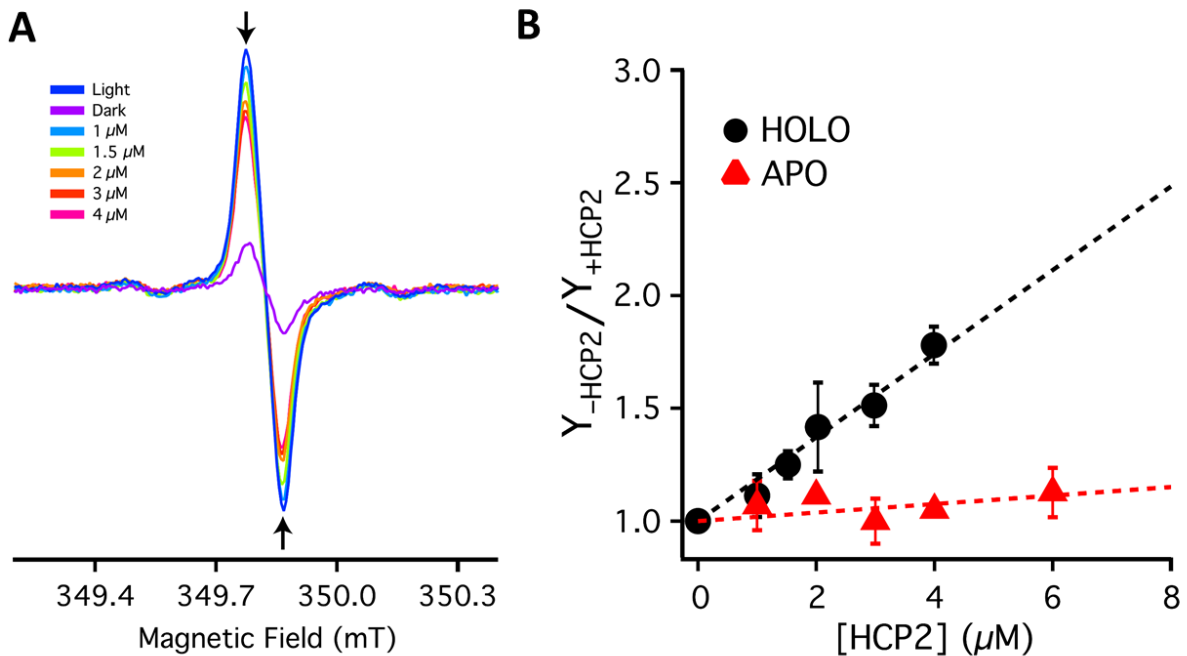
412 **5. Does HCP2 function similarly to the OCP NTD?**

413 We tested if HCP2 could quench PBS fluorescence in vitro using different PBS:HCP2 ratios
 414 (from 1:40 to 1: 1,640) to establish if the function of the HCP2 was in non-photochemical
 415 quenching. We tested concentration ranges that reflect less avid quenching (Supplementary Figure
 416 5) but even across this concentration range HCP2 is not able to quench PBS. We also measured
 417 the singlet oxygen ($^1\text{O}_2$) quenching activity of HCP2 since this has been observed as a secondary
 418 function of the OCP (14, 40) Electron paramagnetic resonance (EPR) spin trapping was applied
 419 for $^1\text{O}_2$ detection using 2,2,6,6-tetramethyl 4-piperidone hydrochloride (TEMPD-HCl). When this
 420 nitron reacts with $^1\text{O}_2$, it is converted into the stable nitroxide radical which is paramagnetic and
 421 detectable by EPR spectroscopy. The production of $^1\text{O}_2$ was induced with the illumination of the
 422 photosensitizer methylene blue. Figure 8A shows the typical EPR signal of the nitroxide radical
 423 obtained after 3 min of illumination ($1000 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$) with or without illumination of a
 424 solution containing methylene blue and TEMPD-HCl. When this reaction was incubated in
 425 presence of increasing concentrations of purified HCP2, a decrease in the EPR signal was detected

426 (Figure 8A), which indicates that HCP2 acts as a $^1\text{O}_2$ quencher. The Stern-Volmer plot shows a
427 direct relation between the concentration of HCP2 and the $^1\text{O}_2$ quenching activity (Figure 8B) with
428 a calculated I_{50} of $5.4 \mu\text{M}$.

429 To investigate the relative contribution of the carotenoid and protein binding to the
430 quenching of $^1\text{O}_2$, we tested the HCP2 apoprotein under the same conditions. The apoprotein
431 quenches $^1\text{O}_2$ only sparingly (I_{50} of $53.1 \mu\text{M}$) (Figure 8B).

432



433

434

435 **Figure 8: $^1\text{O}_2$ quenching activity of HCP2.** (A) Representative EPR spectra of the low-field
436 TEMPD radical signal following illumination in the presence of different concentrations of Holo-
437 HCP2. (B) Stern-Volmer plots of singlet oxygen quenching by holo (black squares and dotted line)
438 and apo (red triangles and dotted line) HCP2 measured using the yield of TEMPD radical in the
439 absence (Y_{-HCP2}) or presence (Y_{+HCP2}) of HCP2. Error bars represent the standard deviation from
440 the mean of three technical replicates. Fits of the data with a straight line with a Y-intercept of 1
441 are plotted as dashed lines for samples containing holo (black) and apo (red) HCP2.

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449 **Discussion**

450

451 HCP2 is one of the most widespread subtype of HCPs in cyanobacteria. It typically co-
452 occurs with three paralogs (HCP1, HCP3 and HCP4). This hints at critical functions for these
453 proteins, and possible distinctive roles that rely on subtle differences in the carotenoprotein
454 interactions. There are seven cyanobacterial strains that, like Tolypothrix, encode HCP1-HCP3
455 and CTDH but lack HCP4 (*Nostoc* ATCC 29133, *Scytonema* PCC 7110, *Calothrix* PCC 7102,
456 *Synechocystis* PCC 7509, *Leptolyngbya* 2LT21S03, *Leptolyngbya* JSC-1 and Tolypothrix PCC
457 7601). Notably, all of these genomes, except *Leptolyngbya* 2LT21S03, encode an OCP gene (either
458 OCP1, OCP2 or both). In addition, in the Tolypothrix genome, HCP2 is adjacent to HCP3, and
459 proximal to the CTDH. This arrangement however is not generally conserved among
460 cyanobacteria. Based on RNAseq analysis in Tolypothrix, full-length OCPs (OCP1 and OCP2)
461 and the HCP paralogs are differentially regulated. For instance, OCP1 and OCP2 are upregulated
462 in the Δ caE mutant that cannot chromatically acclimate, whereas the expression of the HCP
463 paralogs is either down or not significantly impacted (41). We therefore conclude that HCPs and
464 the OCPs have distinct roles.

465

466 Previous functional investigation of HCPs used proteins prepared in an *E. coli* expression
467 system that only produced CAN (and also contained large amounts of co-purified apoprotein),
468 therefore the identity of the natively bound carotenoid was unknown. There is a precedent for
469 HCPs to bind a range of carotenoids: OCP and HCP1 have both been shown to bind various
470 carotenoids including CAN, ECN, β -carotene, 3'-hECN and the carotenoid glycoside
471 deoxymyxoxanthophyll (15, 21). The production of HCP2 in Tolypothrix enabled us to purify
472 100% holoprotein and to identify the carotenoid it selectively binds in vivo; HCP2 binds
473 exclusively CAN. The selectivity of CAN by HCP2 is likely functionally relevant. Tolypothrix
474 produces β -carotene, ECN, CAN and myxoxanthophyll (42). Interestingly, when the cells were
475 grown under white or red light CAN was only about 10% of the total carotenoid (42). Our cells
476 were grown under enriched red light; therefore, we can exclude the idea that the selectivity of
477 HCP2 for CAN is due to the abundance of the pigment in the cell. In general, CAN levels in
478 cyanobacteria are highly increased under high light or UV-B stress conditions (43-45). We suggest

479 that the selectivity of CAN by HCP2 is directly related to the function of the protein but that
480 function remains enigmatic.

481

482 HCPs are homologs to the NTD of the OCP, and comprise at least nine distinct families of
483 HCPs(21). While our study is focused on structural and spectroscopic characterization of this
484 carotenoprotein, we tested if our HCP2 preparation, containing the natively bound carotenoid,
485 functions similarly to the NTD of the OCP. Unlike the OCP, HCP2 does not quench PBS in the
486 range 1:40 to 1:1,640 (Supplementary Figure 5). The OCP has a secondary protective function,
487 the quenching of reactive oxygen species (14, 40). The Nostoc HCPs prepared in *E. coli* were
488 shown to quench singlet oxygen variously (24). In that study the relative contribution of the protein
489 and the carotenoid to singlet quenching was not evaluated. Given that the HCPs are enriched in
490 aromatic amino acids, which are known to be important for quenching, we measured the
491 Tolypothrix HCP2 $^1\text{O}_2$ quenching activity in vitro, comparing the apo- and holoprotein. The
492 holoprotein exhibited significantly higher $^1\text{O}_2$ quenching activity than apoprotein, underlining the
493 importance of CAN, yet Tolypothrix HCP2 showed a lower $^1\text{O}_2$ quenching activity than the *E. coli*
494 derived Nostoc HCP2 (I_{50} of 5.4 μM versus 1.2-1.5 μM , respectively) (24). Considering both
495 HCP2s contain the same carotenoid, we could not attribute the difference in I_{50} to the pigment
496 content. Among carotenoids, CAN is a relatively good quencher of singlet oxygen (46) due to its
497 increased conjugated double-bond system. Moreover since CAN is a 4,4'-diketo β -carotene
498 derivative, it is also more stable against oxidation than carotenoids lacking the keto groups (47). It
499 must be noted though that other Nostoc HCPs (expressed in the CAN-producing *E.coli* strain)
500 showed a lower capacity for singlet oxygen quenching than Tolypothrix HCP2, indicating that the
501 presence of CAN is not the key factor determining the efficiency of singlet oxygen quenching.

502

503 To date, the only structure of an HCP1 determined is 2.5 \AA resolution from Nostoc (21),
504 which contained a mixture of carotenoids that precluded a precise analysis of carotenoid-protein
505 interactions. Notably, both HCP2 and HCP1 contain dimers in the asymmetric unit. Moreover, in
506 both dimers there is a coplanar stacking of the β 1-ring of the CAN molecules \sim 5 \AA apart.
507 Furthermore, the structure of the NTD with bound carotenoid (also known as RCP (pdb: 4XB4),
508 also contains a similarly oriented (across the β 1 face) dimer in the asymmetric unit. The HCP1 and
509 HCP2 monomers are structurally similar and can be superimposed with a C- α RMSD of 0.88 \AA

510 (over 714 aligned atoms) (Supplementary Figure 6). HCP1 and HCP2 dimers share some features,
511 but also key differences: both bury approximately the same amount of surface area (876 Å² in
512 HCP1) but the dimerization is mediated by six hydrogen bonds in HCP1 but only two of them
513 involve highly conserved residues (Thr50-Asn111 and Ala54-Glu57) (21). Moreover, in HCP1,
514 the loop connecting the α -C and α -D helices is proteolyzed. Given the weak binding interface, we
515 question the physiological relevance of the HCP2 dimer. An electrostatic rendering of the β 1
516 surface shows a sizeable nonpolar region (Figure 4 and Supplementary Figure 7), consistent with
517 the hypothesis that the protein buries the β 1 surface by interacting with some other binding partner
518 in vivo. The most obvious prospective interaction partners for HCP2 are the CTDH or the PBS.
519 However, we were unable to show an interaction between the HCP2 and CTDH (data not shown)
520 nor between HCP2 and the PBS under the conditions that we tested. Therefore, we speculate that
521 HCP2 has an unknown binding partner, which most likely will be related to one of its functions.

522

523 In addition to the striking observation of the carotenoid ring stacking in HCPs, the HCP2
524 structure and the absorption spectra of the crystals provide a valuable model for probing
525 carotenoid-protein interactions. HCP2 in buffer exhibited a maximum peak at 530 nm (Figure 1A).
526 Similar absorption maxima (525 nm) are reported for RCP(13, 19) or HCP1(21) binding CAN,
527 suggesting comparable effects of the binding site on spectroscopic properties of CAN in these
528 three proteins. Small differences in the absorption maxima of HCP1 and HCP2 could be related to
529 slightly different rotation of the end ring in *s-trans* configuration (β 1) that are rotated in respect to
530 the main conjugation plane by 60° and 34° degrees for HCP1 and HCP2, respectively (Figure 7).
531 The more planar orientation of the β 1 ring in HCP2 may account for the slightly larger red-shift
532 of HCP2 absorption spectrum.

533

534 However, the spectroscopic properties of HCP2 in solution differ markedly from those in
535 the crystal. Although the binding cleft induces a significant red shift of the absorption maximum
536 of CAN, the width and overall shape of the CAN absorption band remains nearly the same in
537 solution and in HCP2 as evidenced by the artificially shifted absorption spectrum of CAN in
538 solution to match the absorption maximum of CAN in HCP2 (dashed blue line in Figure 6). Since
539 the width and shape of the carotenoid absorption band is related to a conformational disorder of
540 terminal rings(1), it implies that the terminal rings of CAN in HCP2 still have some freedom to

541 rotate as they do in solution. Thus, although the mean values of the ring torsions obtained from
542 crystal structure are different than in solution (38), the conformational disorder remains
543 comparable to that in solution. This is clearly caused by the fact that the terminal rings of CAN in
544 HCP2 are exposed to buffer (Figure 4), making it comparable to solution. This is in striking
545 contrast to OCP structure, in which the terminal rings are deep in the binding cleft and locked in
546 specific configuration by hydrogen bonds (14). Therefore, OCP exhibits a narrower absorption
547 spectrum with clearly resolved vibrational bands indicating significantly diminished
548 conformational disorder compared to HCP2. The loss of vibrational structure in HCP2 (and also
549 in RCP and HCP1) is a clear spectroscopic sign of less constrained terminal rings in comparison
550 to OCP.

551
552 Previously, different spectroscopic features have been reported for OCP crystals (48, 49). A
553 red-shift, albeit smaller, of crystal vs. buffer absorption spectrum has been recently reported also
554 by Bandara et al. for OCP (48). The even more red-shifted spectrum of the HCP2 crystal may be
555 related to more constrained terminal rings in the crystal, which may take slightly different
556 orientation as in the buffer as both terminal rings are at the surface of the protein (Figure 4) and
557 thus exposed to buffer. Thus, the packing of HCP2 in crystal may mildly affect the terminal ring
558 orientation resulting in the observed red-shift. The effect of the crystal packing on the CAN
559 terminal rings is also demonstrated by the clearly-resolved vibrational bands of the crystal
560 absorption spectrum. The energy distance between the sub-bands in the main absorption bands is
561 around 1200 cm^{-1} , nicely matching the vibrational separation of carotenoid vibrational bands in
562 solution (1). To restore the resolution of vibrational bands, the conformational disorder of the
563 terminal rings must be diminished, implying that the packing of HCP2 in the crystal put some
564 constraints on the movement of the terminal rings, locking them in a certain defined orientation
565 which eventually results in the observed vibrational bands in the absorption spectrum. When HCP2
566 is dissolved in a buffer, the constraints are released, resulting in the featureless absorption spectrum
567 as shown in Figure 6.

568
569 Apart from a single example of a peridinin deoxyderivative (50), the lowest energy transition
570 has never been observed in absorption spectra of carotenoids. Recently, however, Bandara et al.
571 reported absorption spectrum of an OCP binding echinenone crystal with a pronounced spectral

572 band at 800 nm (48) and they suspected this band may be related to the formally forbidden S_0 - S_1 band of
573 echinenone. Here, the spectrum of HCP2 crystal is even more complicated, as there are a few
574 spectral bands within the 650-950 nm spectral region. The possible origin of the bands can be, at
575 least partially, traced back to the arrangement of CAN molecules in the HCP2 crystal. The crystal
576 packing exhibits a clear dimerization of CAN molecules, in the form of the head-to-tail (J-type)
577 aggregate. J-type aggregates (or dimers in this case) are typically composed of molecules forming a chain.
578 In the arrangement in the HCP2 crystal, the β -ring of one CAN molecule (head) is only 5 Å from the β -ring
579 of the other CAN molecule (tail). Due to this very short distance, the two carotenoid molecules do not
580 behave as individual entities, but rather form a “supermolecule” with spectroscopic properties that differ
581 from those of individual molecules. This is a well-known effect described for carotenoids in hydrated
582 solvents, in which the carotenoids tend to form aggregates characterized by new spectral bands, with
583 positions dependent on the type of aggregation. J-aggregates of carotenoids generate spectral bands
584 that are significantly red-shifted from the main absorption band (51). There are no studies of CAN
585 aggregates, but aggregation of astaxanthin (which differs from CAN only by the presence of
586 hydroxyl groups at the terminal rings) in hydrated solvents has been extensively studied (52, 53).
587 It is known that astaxanthin J-aggregates can generate spectral bands red-shifted by nearly 3000
588 cm^{-1} from the lowest main absorption band. Here, the shoulder peaking around 680 nm is shifted
589 from the main absorption maximum by $\sim 3500 \text{ cm}^{-1}$, which is a realistic aggregation-induced shift
590 in a well-defined J-type dimer that appears in the crystal packing. The two CANs in the HCP2
591 dimer are arranged in the head-to-tail orientation with ring-to-ring distance of 5 Å, which can also
592 induce some π - π stacking of the ring, further enhancing the interaction between the CANs in the
593 dimer. Thus, we propose that the 680 nm band is due to dimerization of HCP2 in the crystal that
594 brings two CAN molecules into a close contact, forming J-type dimer. This dimer exists only in
595 the crystal, so no such band is observed when HCP2 is dissolved in a buffer.

596

597 The most red absorption band peaking at 890 nm can, however, can hardly be explained by the
598 CAN aggregation in the crystal. It has a certain resemblance to the spectral band reported by
599 Bandara et al. (48), but there are arguments against assigning it to the S_0 - S_1 transition. First, the
600 spectral band is far too narrow to be associated with such a transition. From weak S_1 fluorescence
601 data that were reported for a few carotenoids we know that the spectral profile of the S_0 - S_1
602 transition should have a width comparable to that of the main absorption band (S_0 - S_2 transition)

603 (54). It is evident from Figure 5 that it is clearly not the case here as the 890 nm band is much
604 narrower than the main absorption band. Further, the 890 nm absorption band has most likely too
605 low energy to be assigned to the S_0 - S_1 transition. We know from the fluorescence measurements
606 that the S_0 - S_1 emission band peaks at the second (0-2) vibrational band (54). Then, assuming the
607 mirror image between the S_1 - S_0 emission and hypothetical S_0 - S_1 absorption band, it would place
608 the S_1 energy significantly below 11000 cm^{-1} , which is nearly 4000 cm^{-1} lower than the S_1 energy
609 experimentally observed for hydroxyechinenone in OCP (55). Even though the expected S_1 energy
610 of CAN should be lower than that of hydroxyechinenone due to the one additional conjugated keto
611 oxygen of CAN, based on the measured S_1 energies of various carotenoids, the downshift of the
612 S_1 energy when going from hydroxyechinenone to CAN should not exceed 500 cm^{-1} (1). Thus, the
613 890 nm spectral band cannot be associated with the S_0 - S_1 transition. Instead, this band could be
614 due to long-range arrangement of carotenoids in the HCP2 crystal as it is observed solely for
615 crystals, never for HCP2 in buffer.

616
617 Our data showing that absorption spectrum of HCP2 crystal differs from that measured for
618 HCP2 in buffer is in line with recent theoretical investigation of another carotenoid-binding
619 protein, crustacyanin (56). This protein binds two molecules of carotenoid astaxanthin. There is a
620 very large ($>100\text{ nm}$) red shift of absorption maximum upon carotenoid binding to crustacyanin
621 apoprotein (57); the origin of this shift has been a matter of considerable debate. Recent
622 calculations showed that to explain the color tuning by the protein it is necessary to include
623 dynamical effects and temperature-dependent fluctuations (56). These authors showed that certain
624 key parameters determining spectroscopic properties, such as bond length changes in astaxanthin,
625 may differ significantly in crystal and in a less constrained environment that allows for
626 temperature-induced fluctuations. We suggest it is likely that similar effects occur also for HCP2
627 resulting in the observed difference between absorption spectra of HCP2 crystal and HCP2 in
628 solution.

629

630 **Conclusions**

631 HCP2, indeed potentially the entire family of the HCPs, offer a useful model system to probe
632 carotenoid protein interactions in different environments; the subtle differences among the HCPs
633 undoubtedly reflects distinctive functions, in perhaps distinct subcellular environmental

634 conditions. Our structural and biophysical characterization of HCP2 provides an important step in
635 understanding the structural basis of function in the large, poorly characterized family of water
636 soluble carotenoid-binding proteins.

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643 **Table 1:** Data collection and refinement statistics
 644

Data collection	HCP2
Resolution range (Å)	44.26 - 1.71 (1.80 - 1.71)
Space group	P 21
Unit cell dimensions	35.2 71.6 56.3 Å 90 92.3 90 °
Total reflections	98580 (13974)
Unique reflections	29788 (4255)
Multiplicity	3.3 (3.3)
Completeness (%)	98.8 (97.6)
Mean I/sigma(I)	15.7 (4.1)
R-merge	0.036 (0.273)
R-meas	0.052 (0.341)
CC ^{1/2}	0.999 (0.893)
Refinement	
Number of reflections	29761 (2931)
Number of reflections used for R-free	2009 (191)
R-work (%)	16.7 (25.7)
R-free (%)	19.9 (30.4)
Number of non-hydrogen atoms	2576
macromolecules	2300
ligands	108
solvent	290
Protein residues	290
RMS (bonds, Å)	0.005
RMS (angles, °)	1.00
Ramachandran favored (%)	99.3
Ramachandran allowed (%)	0.7
Ramachandran outliers (%)	0
Clashscore	2.78
Average B-factor (Å ²)	27.6

645 Statistics for the highest-resolution shell are shown in parentheses.
 646
 647
 648

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847 **Author contribution**

848
849 M.A.D.M. designed and performed research, analysed and interpreted data, and wrote the
850 manuscript. C.A.K. designed the research, analysed and interpreted data, and wrote the manuscript.
851 T. P. interpreted the spectroscopic data and wrote the manuscript. B. F. performed the EPR
852 experiments. M.S., S.L.-Y. and B.L.M. performed research and contributed to the analysis and
853 interpretation of the data.

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