

WORKSHOP

Transitioning Scholarly Journals to Open Access: A Guide to Transformative Agreements

June 23, 2020 | 10:00–13:00 CEST



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Workshop Ground Rules

- Keep your microphone muted and camera off during presentations;
- **Questions?** Put them in the chat. We will address them after the presentations;
- **Slides and other useful links** – in the ‘materials’ section. They will also be made available after the training;
- **Technical issues:** Joined from the web and having a problem? Refresh your page! Not solved? Check the system requirements and FAQ;
- **Break out room:** Once in the breakout room, you need to wait for the host to close it in order to leave.

Thank you for your attention and enjoy the session!

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Transitioning Scholarly Journals to Open Access: A Guide to Transformative Agreements

This workshop is organised by the **LIBER Open Access Working Group** in close collaboration with the Open Access 2020 Initiative (OA2020) and the ESAC Initiative Data Analytics Working Group

Hosts:

Sofie Wennström, Stockholm University Library, Chair of the Working Group

Colleen Campbell, Max Planck Digital Library & OA 2020

Paul Johnson, Reading University Library

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Transitioning Scholarly Journals to Open Access: A Guide to Transformative Agreements

AGENDA

The workshop will be organised in two parts, both followed by a breakout session.

1. Working with data from different sources and methods of analysis to inform negotiations of Transformative Agreements.
2. Based on the shared experiences from negotiating Transformative Agreements – How can we create an updated version of the LIBER principles for negotiations with publishers?

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WORKSHOP

Transitioning Scholarly Journals to Open Access: A Guide to Transformative Agreements

AGENDA

10:00 – 10:15 **Welcome and Introductions, Workshop objectives, Five Open Access Principles for Negotiations with Publishers**

Presenter: Sofie Wennström, LIBER Open Access Working Group Chair

10:15 – 10:45 **Putting the LIBER 5 Principles into practice: perspectives of data analysts**

Speakers: Jens Aasheim, Unit – Norway; Michael Svendsen, Royal Danish Library – Denmark; Najko Jahn, State and University Library Göttingen – Germany; Adam Der, Max Planck Digital Library - Germany

10:45- 11:15 **Break-out session**

Chairs: Sofie Wennström & Colleen Campbell

11:15– 11.25 **Take a break!**

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Transitioning Scholarly Journals to Open Access: A Guide to Transformative Agreements

AGENDA

11:25–11:45 Guided by our principles, how far have we come?

Presenter: Colleen Campbell, Open Access 2020 Initiative

11:45 – 12:00 Defining new negotiation principles, what more can we aim for?

Speaker: Caren Milloy, Director of Licensing, Jisc

12:00 - 12:30 How can we make our principles more powerful? Break-out work

12:30–12:50 Break-out session feedback and recommendations

Chair: Sofie Wennström

12:50 - 13:00 Wrap-up, prioritization and next steps

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Workshop: Transitioning Scholarly Journals to Open Access – *A Guide to Transformative Agreements*

Welcome!



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2018-2022 Strategy

Powering Sustainable Knowledge In the Digital Age

- Open Access is the **main form** of publishing.
- Research Data is **Findable, Accessible, Interoperable and Reusable** (FAIR).
- Digital Skills underpin a more **open and transparent** research life cycle.
- Research Infrastructure is **participatory, tailored and scaled** to the needs of diverse disciplines.
- Tomorrow's cultural heritage is built on **today's digital information**.



5

OPEN ACCESS PRINCIPLES *for* NEGOTIATIONS WITH PUBLISHERS

1 LICENSING &
OPEN ACCESS
GO HAND-IN-
HAND



2 NO OPEN
ACCESS,
NO PRICE
INCREASE



3 TRANSPARENCY
FOR LICENSING
DEALS:
NO NON-
DISCLOSURE



4 KEEP ACCESS
SUSTAINABLE



5 USAGE REPORTS
SHOULD
INCLUDE OPEN
ACCESS



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The current principles

- The 5 principles for negotiations with publishers was created as a common and general framework for LIBER libraries
- Lots has happened in the OA world since 2017!
- Transformative agreements is just one example
- There is no 'one size fits all'
- Goal is towards affordable Gold OA for as many as possible



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Next steps for the principles

- Renew or update the **5 principles for negotiations with publishers** according to the current need for a framework
- Following recommendations from governments and research funding organisations
- Create general advice that works for as many LIBER libraries as possible
- Encourage the transition to full Open Access
- Communicate update before the end of 2020



Open Access | Open Data | Open Science

Will be the main form of scholarly communication in the future

(LIBER strategy 2018–2022)



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Enjoy today's workshop!

We are looking forward
to interact with you.

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La Disparition de Stéphanie Mailer

— Dans quatre jours seulement. Je suis encore flic pendant quatre jours. Lundi, quand je l'ai vue, Stéphanie disait avoir un rendez-vous qui allait lui apporter les éléments manquant à son dossier...

— Laisse l'affaire à l'un de tes collègues, me suggéra-t-il.
— Hors de question ! Derek, cette fille m'a assuré qu'en 1994...

Il ne me laissa pas terminer ma phrase :
— On a bouclé l'enquête, Jesse ! C'est du passé ! Qu'est-ce qui te prend tout d'un coup ? Pourquoi veux-tu à tout prix te replonger là-dedans ? Tu as vraiment envie de revivre tout ça ?

Je regrettai son manque de soutien.
— Alors, tu ne veux pas venir à Orphea avec moi ?
— Non, Jesse. Désolé. Je crois que tu déliras complètement.

C'est donc seul que je me rendis à Orphea, vingt ans après y avoir mis les pieds pour la dernière fois. Depuis le

— 7. Disparition d'une journaliste, Lundi 23. — Page 19 sur 123

Thank you!

Sofie Wennström /
Stockholm University Library

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Website: libereurope.eu

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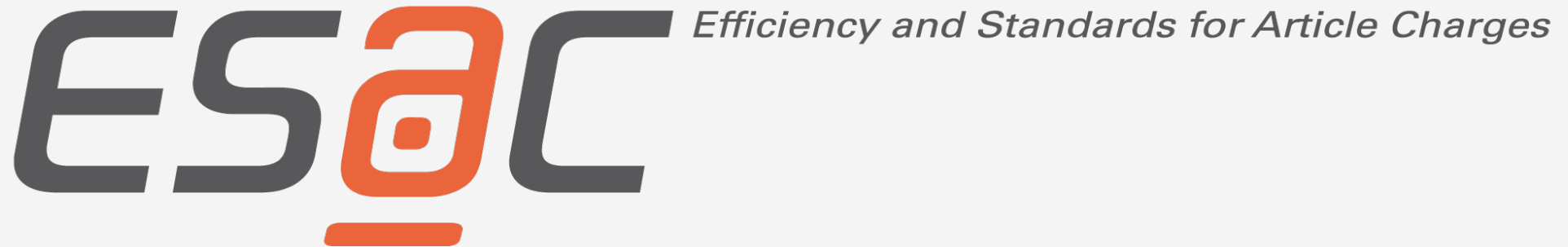


Thank You for Participating!



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Putting the LIBER Five Principles into practice: Perspectives of data analysts

23 June 2020 | LIBER Online Conference 2020

Jens H. Aasheim (Norway)



UNIT

Directorate for ICT and Joint Services in
Higher Education and Research

Senior Advisor
/Unit/License Agreements & Open Access

jens.aasheim@unit.no



Unit Consortium

- 150+ member institutions and libraries
- 39 different agreements (journals, databases, etc.)
- Transformative OA Agreements:
 - Elsevier
 - Wiley
 - Springer
 - Taylor & Francis
 - ++*

* Updated and complete list: <https://bit.ly/3fQBsVJ>

Data Driven Negotiations

Positioning

- National Mandate: <https://bit.ly/2YFzqAs>
- Member & Community Support: <https://bit.ly/3d7kHDO>

Preparation

Total Journal Interaction

- Publications: Gold, Green, Hybrid or Closed
- Financial: Subscription and APC Cost
- References: Do our researchers cite this journal?
- Citation: Journal Citation Average vs Member Publications
- Usage: COUNTER

Evaluation

Monitoring Publication Output

- Numbers
- Affiliations
- Article types



Michael Svendsen (BIO)



Head of Research Support Services
Royal Danish Library | Copenhagen University Library

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UCPH profile: bit.ly/2ToEnga

 [@tullemich](https://twitter.com/tullemich)

misv@kb.dk



DK development addressing LIBER 5 principles



- Danish **national research database** (publication bibliography via CRIS (PURE): <https://www.forskningsdatabasen.dk/en>)
- Open Access Indicator (OAI) - **Monitoring OA performance** in DK (2025 strategy): <https://www.oaindikator.dk/en>
- Open Access Monitor (OAM-DK): **Monitoring publication costs** in Denmark: <https://ebooks.au.dk/aui/catalog/book/291> (DK project 2017-2018)
- National license negotiations: Shared **outline for national analysis and calculations** (preparation group under KB-NL (Consortia))
- Implementation of **Permanent Access** in Denmark: LOCKKS
- Locally: **JournalFinder pilot** b/w ChronosHub and University of Copenhagen/Royal Danish Library (2020). News: <https://chronoshub.io/news-and-media/knowledge-hub/chronos-hub-faculty-of-science-university-of-copenhagen-and-the-royal-danish-library-join-forces/> and pilot platform: <https://ku.chronos-oa.com/>

About me



Hi, I am **Najko Jahn** and work as a **Data Analyst** at the State and University Library Göttingen, Germany.

My Role:

- Design and implement new services for analysing scholarly communication to support researchers, and for decision-making
- Particular focus on open data and open tools to access, discover and analyse metadata about scholarly works
- Actively contributing to OA 2020 and ESAC with my data work
- Managing a team of Data Analysts

@najkoja | https://subugoe.github.io/scholcomm_analytics/

LIBER Principle 1

“Licensing and Open Access go Hand-in-Hand”

Data Analyst contributions

- Insights on institutional OA uptake and impact
- Evaluation of data sources and tools
- Benchmarking

Challenges

- Conceptual and methodological challenges in the OA study
- Where to locate the expertise in the library?
- How to build up expertise in an beyond the library?



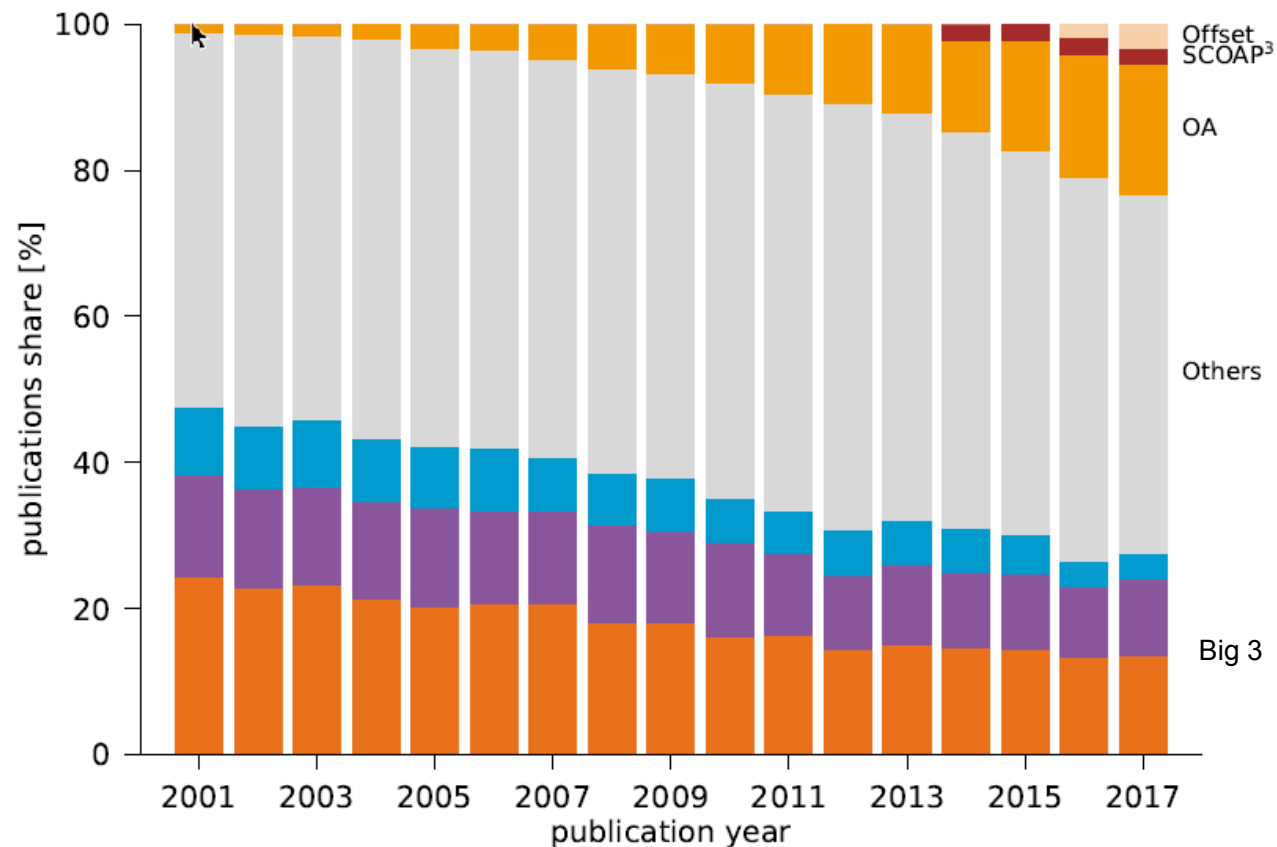
MAX PLANCK
digital library

License Manager
Max Planck Digital Library, Germany
der@mpdl.mpg.de

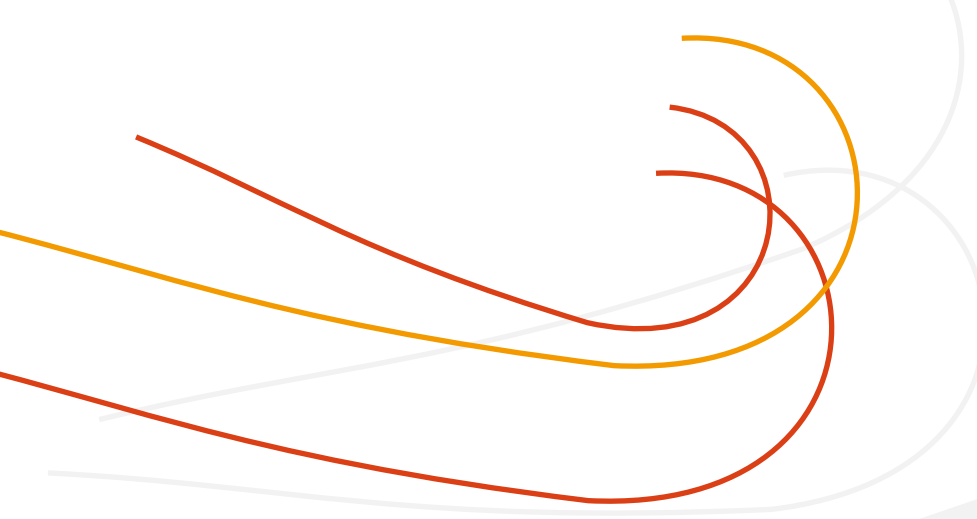
<https://www.mpdl.mpg.de/en/services/service-catalog/oa-goldpublishing.html>

Max Planck Digital Library

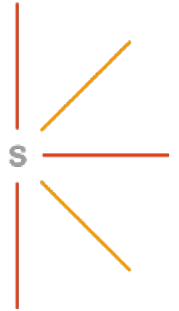
Development and distribution of MPG publications



Analysis based on **Web of Science**



o p e n a c c e s s
2 0 2 0



**Guided by our principles,
see how far we have come**

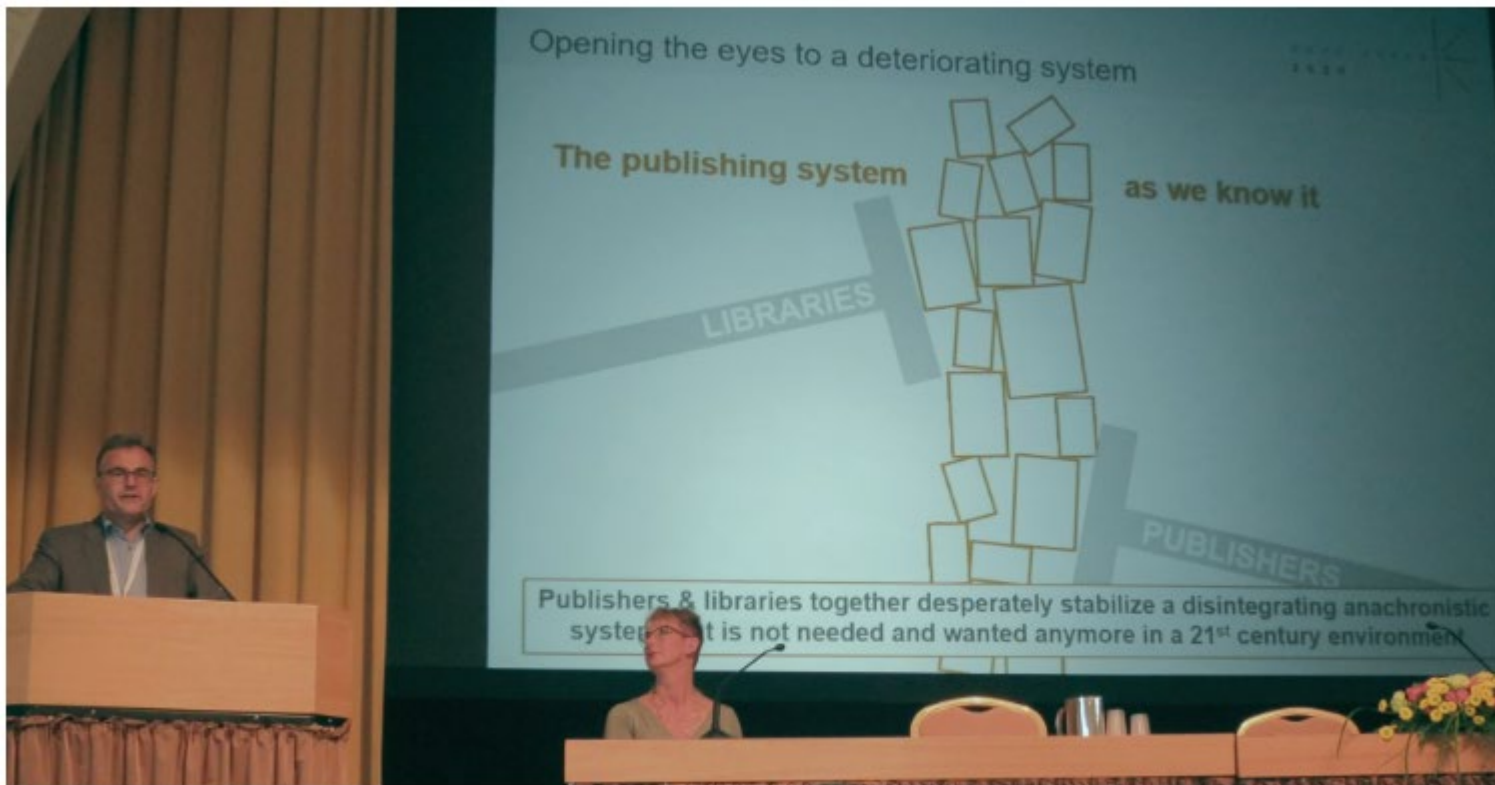
Transitioning Scholarly Journals to Open Access

23 June 2019 | LIBER 2020 Online

Workshop organized by the LIBER OA Working Group

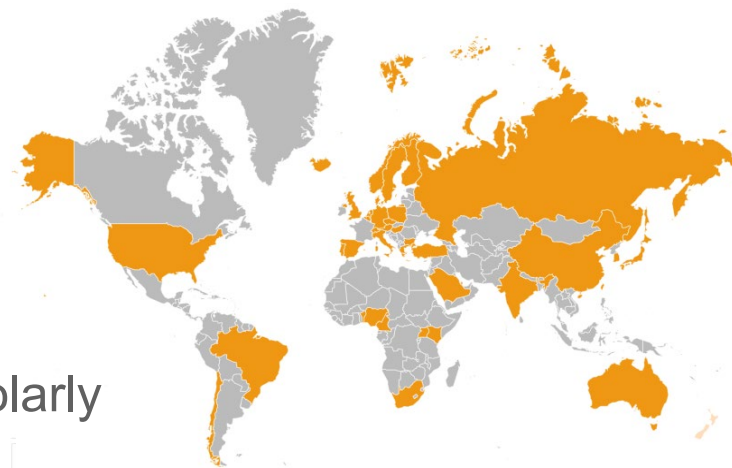
2015

_____ There is already enough money in the system



2016

Open Access 2020 Initiative



We aim to transform a majority of today's scholarly journals from subscription to OA publishing in accordance with community-specific publication preferences. At the same time, we continue to support new and improved forms of OA publishing.

We will pursue this transformation process by **converting resources currently spent on journal subscriptions into funds to support sustainable OA business models.**

Accordingly, we intend to re-organize the underlying cash flows, to establish transparency with regard to costs and potential savings, and to adopt mechanisms to avoid undue publication barriers.

2017

LIBER 5 Principles

5 OPEN ACCESS PRINCIPLES *for* NEGOTIATIONS WITH PUBLISHERS

1 LICENSING & OPEN ACCESS GO HAND-IN-HAND

2 NO OPEN ACCESS, NO PRICE INCREASE

3 TRANSPARENCY FOR LICENSING DEALS: NO NON-DISCLOSURE

4 KEEP ACCESS SUSTAINABLE

5 USAGE REPORTS SHOULD INCLUDE OPEN ACCESS

LIBER LIBER

2018

14th Berlin Open Access Conference Final Statement

*We are all committed to authors retaining their copyrights,
We are all committed to complete and immediate open access,
We are all committed to accelerating the progress of open access through **transformative agreements** that are temporary and transitional, with a shift to full open access within a very few years. **These agreements should, at least initially, be cost-neutral**, with the expectation that economic adjustments will follow as the markets transform.*

***Publishers are expected to work with all members of the global research community** to effect complete and immediate open access according to this statement.*

ALIGNING STRATEGIES TO
ENABLE OPEN ACCESS

14th Berlin Open Access Conference
3-4 December 2018 | Haus der Kulturen der Welt



2019

ESAC Guidelines for Transformative Agreements

- Temporary and transitional
- Authors retain exclusive rights to their works
- Agreements must be transparent
- Constrain costs of scholarly communication and foster equity in scholarly publishing
- Govern service and workflow requirements to ensure that the needs of authors and administrators are addressed

2020

The progress we have made in our principles...

Principles for Advancing Openness through Journal Negotiations

1. PRIORITIZE OPENNESS

As part of a public land grant university with a mission to create and share knowledge to make Iowa and the world a better place, the University Library will prioritize agreements that advance open access and other methods of open dissemination for research outputs. Participating in a global movement advocating for open access, we work toward democratizing access to knowledge by reducing financial barriers inherent in traditional publishing practices.



2. TRANSPARENCY — NO NON-DISCLOSURE

Fair agreements that advance open access and control costs will only emerge from fair negotiations. Fair negotiations can only take place when publishers and libraries have equal access to information. Publisher practice has been to require non-disclosure agreements that restrict libraries from sharing the prices they pay and the terms and conditions of their agreements. The informational asymmetry this creates greatly favors the interests of publishers at the expense of libraries and their campuses. We will demonstrate our commitment to open access and transparency by rejecting non-disclosure language in our agreements and sharing our agreements publicly.



3. FINANCIALLY SUSTAINABLE

Financially sustainable journal agreements will emerge from a diverse scholarly publishing landscape—where learned societies and not-for-profit publishers can compete and thrive; where innovation is incentivized and rewarded; and where reasonable profit margins are the norm. We will prioritize agreements that help shape this world and provide long-term financial sustainability to our library.



IRel's requirements include that:

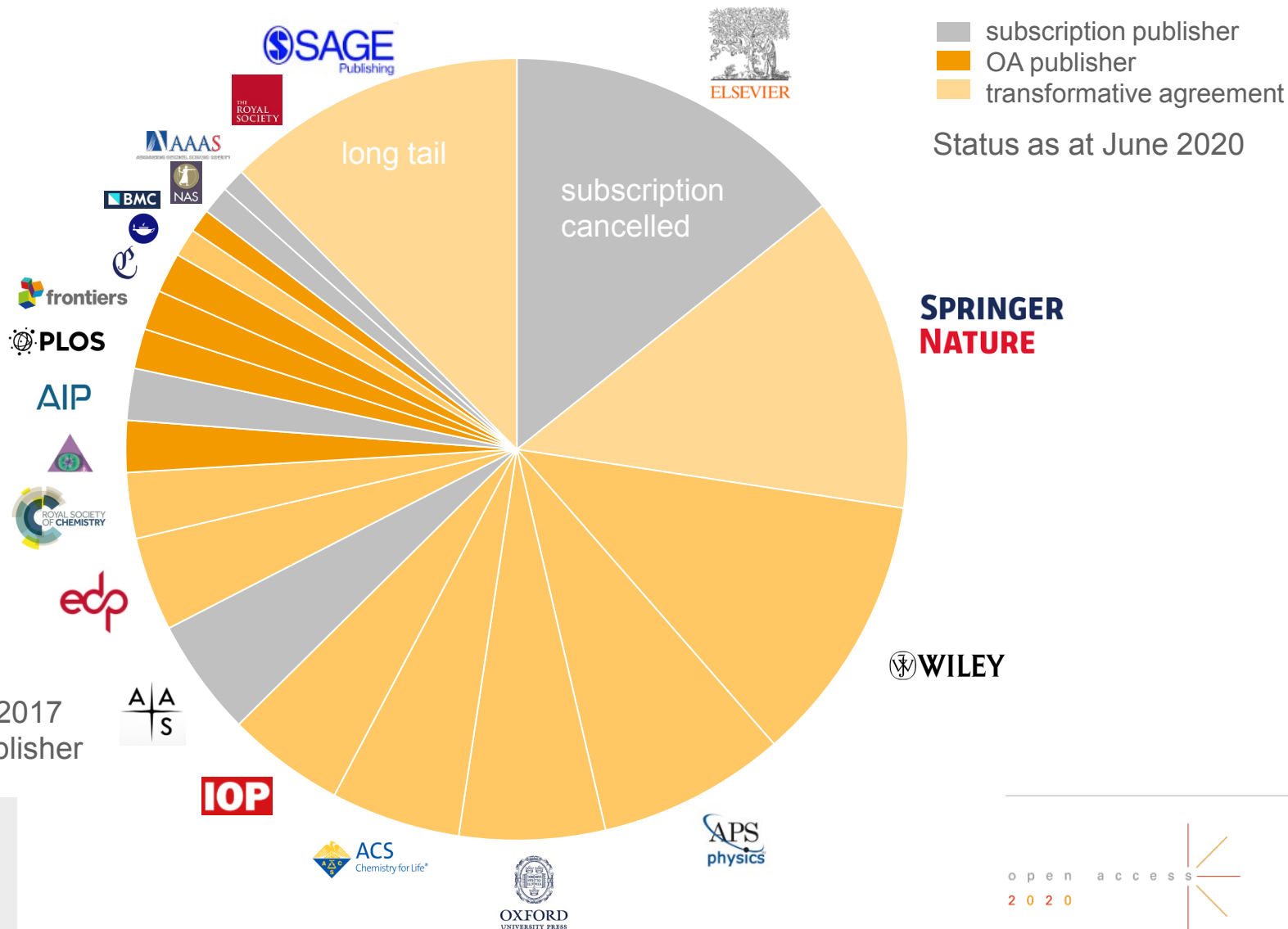
- agreements should cover both the read costs (reader access to subscription content) and publication costs (for publishing Open Access articles from IRel members). Increased spending on publication should result in proportionately lower spending on subscription fees.
- all articles from corresponding authors based in member institutions be immediately Open Access on publication at no additional cost outside the agreement.
- Open Access publication of author's articles is available across all the publisher's titles, including [hybrid titles](#).

<https://irel.ie/irel-principles-for-open-access-publisher-agreements-from-2020/>

https://lib.dr.iastate.edu/cgi/viewcontent.cgi?article=1000&context=cas_reports



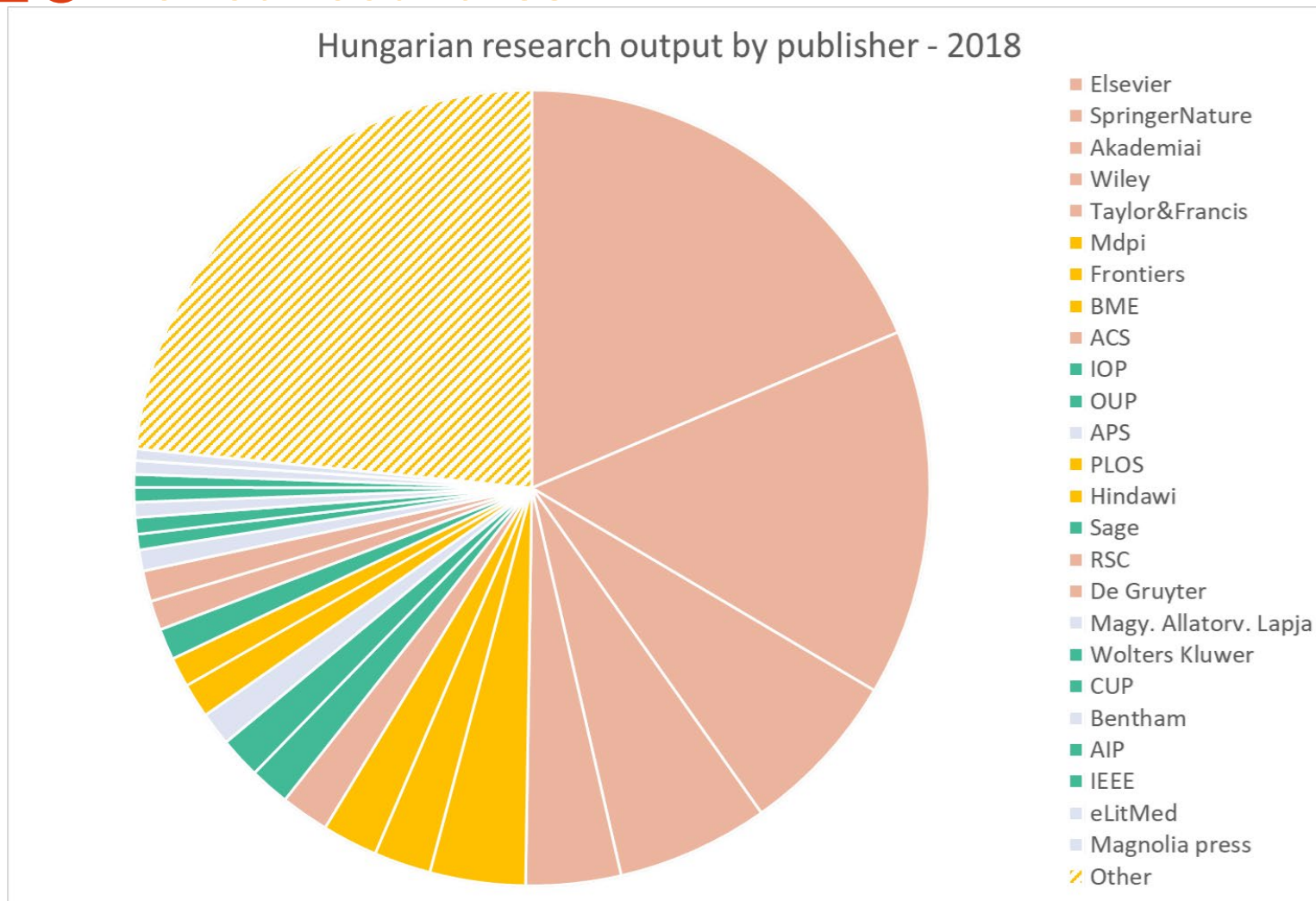
2020 in negotiating open access for our researchers...



Max Planck Society 2017 articles output by publisher



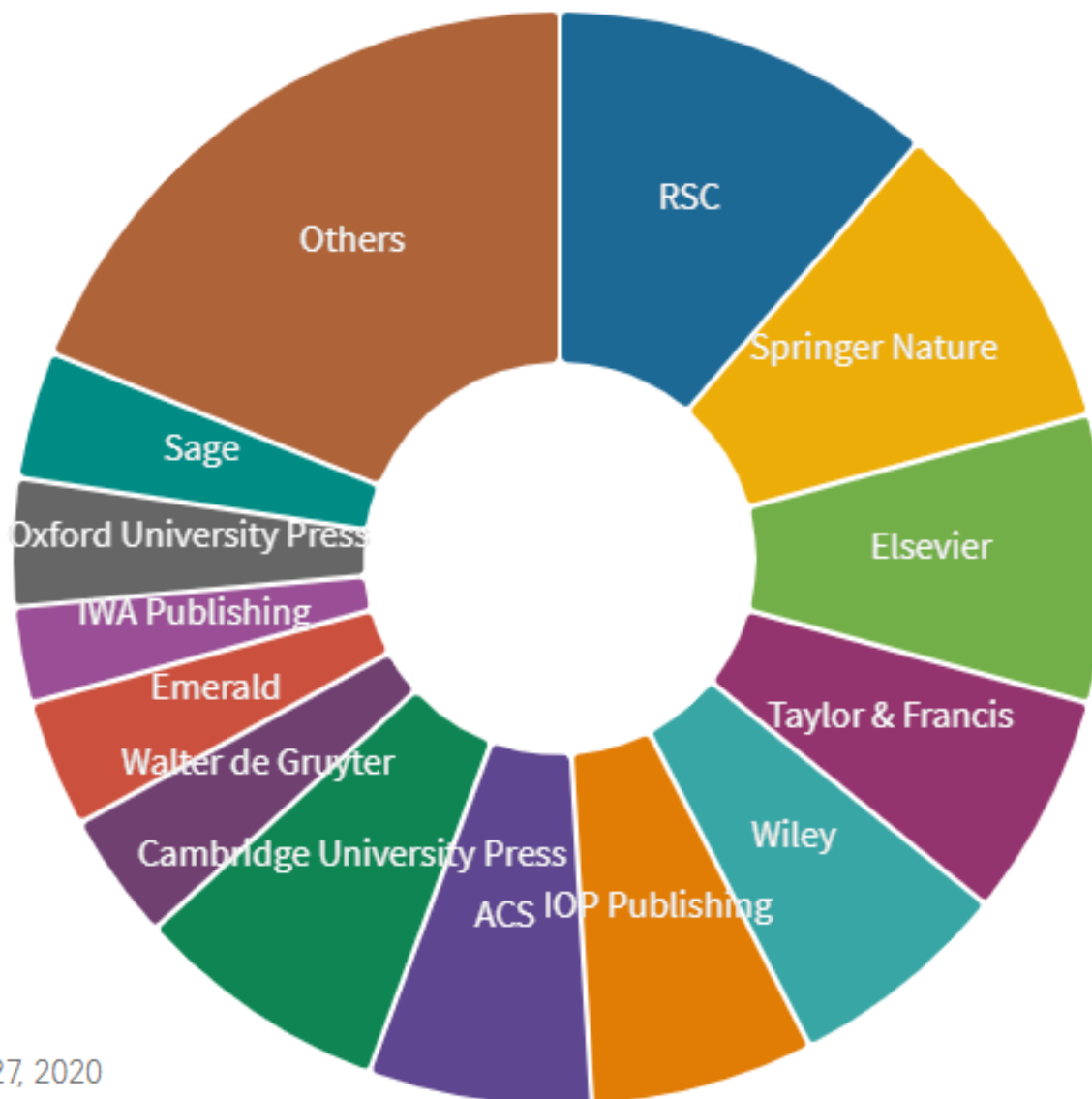
2020 for our countries...



Slide from 2019

Gold OA publisher Transformative agreement Negotiations under way/under preparation

2020 with publishers large and small...



Data retrieved on May 27, 2020

<https://esac-initiative.org/about/transformational-agreements/agreement-registry/>

2020 on a global scale.

Australia



Austria



Czech Republic



Finland



France



Germany



Greece



Hungary



Ireland



Netherlands



Norway



Qatar



Slovenia



Spain



Sweden



Switzerland



United Kingdom



United States



Data retrieved on May 27, 2020

<https://esac-initiative.org/about/transformative-agreements/agreement-registry/>

2020

We have achieved new benchmarks across Europe...

swissuniversities

swissuniversities and Elsevier adopt a pilot agreement combining reading and publishing

26.05.2020



Jisc, UK institutions and Wiley
agree ground-breaking deal

2 March 2020



Dutch research institutions and Elsevier reach framework agreement



Springer Nature and Germany's Projekt
DEAL Finalise World's Largest
Transformative Open Access Agreement

2020

We have achieved new benchmarks across Europe...

American Chemical Society and Lithuanian Research Library Consortium sign agreement to advance open access publishing

Free APC vouchers at Emerald Publishing

Published: 12. December 2019

Agreement between [COSEC](#) and [Emerald Publishing](#) will issue **15 Vouchers** (15 free of charge articles) for Eligible Authors in Emerald gold open access publishing services. Eligible Authors can use the Vouchers for articles accepted for publication in any [Emerald Premier journal](#) or in any [Pure Gold Open Access journal](#) or any [Emerald open access publishing platform](#).

Open Access Publishing

The license agreement between the FinELib-consortium and SAGE contains the right to publish an unlimited amount of articles Open Access in SAGE's 937 hybrid journals and with 20 % discount in SAGE's 149 Full OA -journals during the agreement period (1.1.2020–31.12.2022).

2020 and beyond...

MIT and Royal Society of Chemistry Sign First North American “Read and Publish” Agreement for Scholarly Articles

Oxford University Press and National Science Library, Chinese Academy of Sciences agree first Read & Publish deal in China

Carnegie Mellon University and Elsevier Thursday announced a new agreement to radically change how the institution pays to read and publish research.

First transformative agreement for Australia and New Zealand

The Council of Australian University Librarians (CAUL) and the UK-based Microbiology Society are delighted to announce the signing of the first transformative agreement for Australia and New Zealand (ANZ).

KAUST Signs Multi-Year Transformative Agreement with AIP Publishing

The University of Toronto (U of T) and Karger Publishers have entered into a transformative open access agreement that includes reading access to all Karger e-journals with immediate open access to U of T-authored articles. This agreement is Karger’s first open access deal in Canada.

Qatar National Library Consortium and Elsevier sign combined reading and publishing pilot agreement - **May 6, 2020**

IEEE and the University of Illinois Begin Open Access Agreement

LSU Libraries is first in North America to sign a “Read and Publish” transformative agreement with the American Chemical Society

MSU LIBRARIES AND DE GRUYTER SIGN AGREEMENT TO PROVIDE OPEN ACCESS PUBLISHING FOR MSU AUTHORS



2020 and our agreements are becoming ever more powerful.

WIRED

Universities Step Up the Fight for Open-Access Research

Today's deal between the University of California and publisher Springer Nature is a big milestone on the path to dismantling paywalls around academic journals.

Springer Nature and UC will conduct an OA pilot for *Nature* journals in 2021 and negotiate fees for UC authors to publish OA in them. The publisher **committed in April** to transition most of its subscription journals, including those in the Nature Research group, to immediate OA for all authors globally. So far, however, it hasn't set a timetable for that change or released other details.

Still, MacKie-Mason says just having Springer Nature's commitment in the UC deal to explore that change, with an explicit implementation date, is promising. "It's a bigger step than Springer Nature has agreed with anyone else in the world," he adds. There was no such provision in Springer Nature's **agreement in August 2019 with Project DEAL**, a consortium of institutions in Germany that is the world's largest OA deal. And if UC and the publisher cannot reach terms over the *Nature* titles, UC retains the right to stop subscribing to them starting in 2022.

<https://www.sciencemag.org/news/2020/06/huge-open-access-journal-deal-inked-university-california-and-springer-nature>

2020 Thanks to the principled negotiations of libraries, publishers are embracing the open access transition.



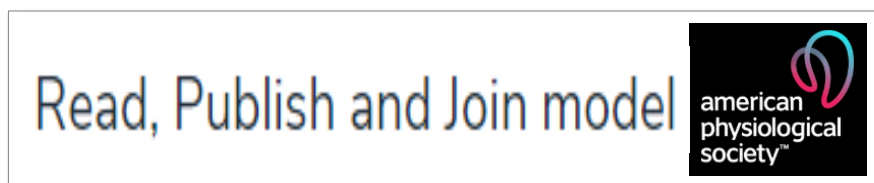
SocPC members share the common ambition to see an orderly and sustainable transition to open scholarship and to improve the efficiency of the scholarly communication ecosystem for the benefit of researchers and society at large in a fair and sustainable way. In order to help achieve this we wish to work with researchers, funders, institutions and other stakeholders.

The Institution of Engineering and Technology and Wiley Announce Open Access Publishing Partnership

Published: Wed 17 Jun 2020



The ground-breaking subscribe-to-open pilot - Berghahn Open Anthro - will flip thirteen anthropology journals to open access in 2020



2021... When will the transition be over?

Transformative agreements come with a purpose: to create a cost-neutral setting in which the **logic**, **operations**, and **money flows** of subscriptions can be shifted to support open access publishing of an institution or country's outputs.

In their many iterations, they do not constitute a standard, but pave the way for further evolution.

The power and impact of an agreement is evident at its entry point, but progress in the open access transition is measured in their **uptake** and **proliferation**.



Onward!



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