Using Persistent Identifiers at the GESIS Data Archive

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Persistent Identification within CESSDA, CESSDA Seminar in Cologne, Germany, Feb 18-19, 2016

Questions

- Specific Requirements
- Decision on PID System and Provider

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- PID System and Workflow
- Challenges for Introduction
- Satisfaction with current system
- Future plans concerning PIDs
- Role of CESSDA in this

Specific Requirements at the GESIS Data Archive

- Integration into existing systems, e.g. the Data Catalogue (DBK)
- Citation requirement for data users
- Clear understanding of what to identify
- Guarantee for unique names
- Expectation of stability
- Usage of important metadata
- Support for internal workflows

Decision on PID System and Provider

- GESIS joined the DataCite consortium
 - Using DOIs (Handle) as PI system with existing infrastructure
- Supporting citation of research data
 - By establishing da|ra to enable other agencies to assign DOIs
 - To register DOI names for the Data Archive and other GESIS products
- Introduction of a versioning policy at the Data Archive: We identify each published version of a dataset



PID System and Workflow

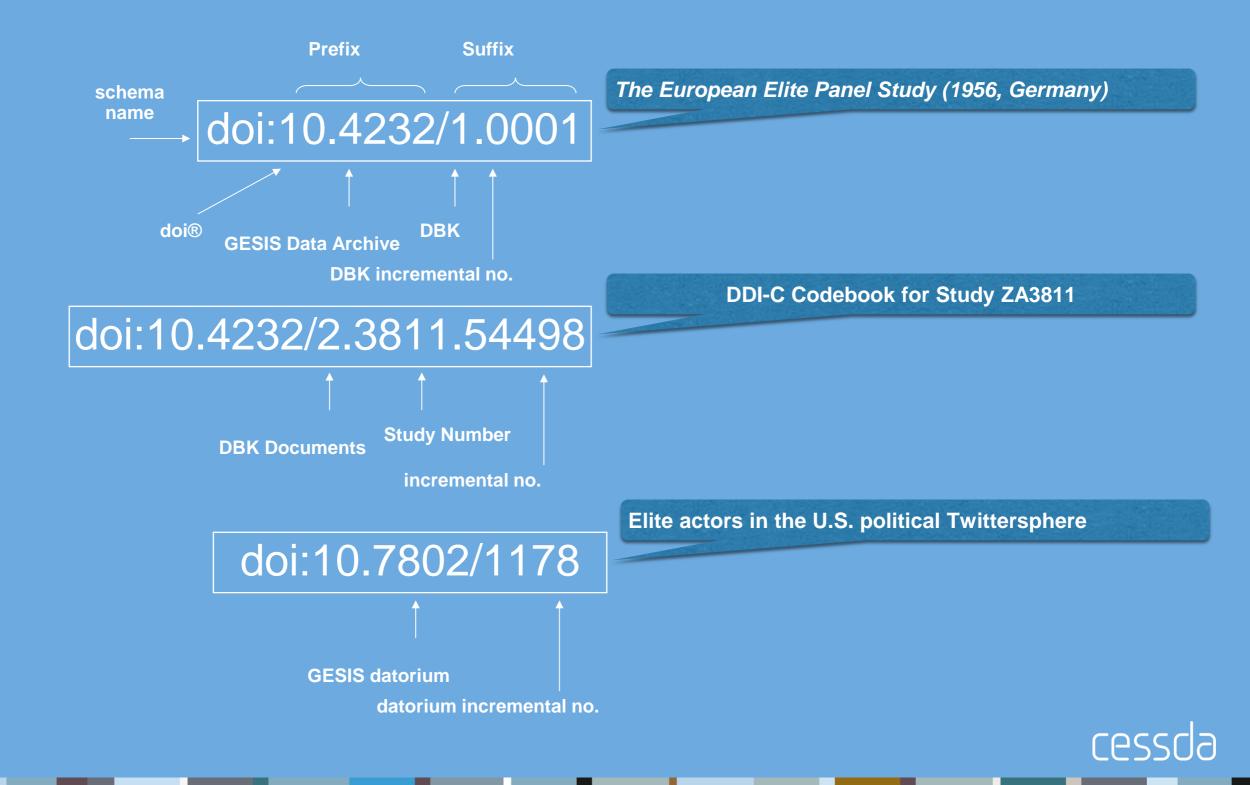
- The GESIS Data Archive uses dalra
- Integration into the Data Catalogue DBK is done via the da ra webservice
 - da|ra is the service provider for DOI allocation:
 - A DOI proposal is transmitted from DBKEdit for each published version of a dataset
 - Together with the URL and some metadata
 - DOI allocation takes place here
 - Changes of URL and/or metadata have to be transmitted from DBKEdit again
 - DBKEdit user may send the registration immediately or wait for a script that registers once a day

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Workflow Example

- DBKEdit is used as management system at the Archive:
 - Introduction of mandatory version numbers in 2010
 - Format: N.N.N (in accordance to DDI standard) starting with 1.0.0
 - Rules for increasing major, minor, revision number
 - Documenting also the version date, author, title and description
 - Errata of the current version are documented (optional)
 - Corrected errata are kept for documenting a new version
 - Version History and Errata are published
 - Current version of a dataset is registered with the DOI

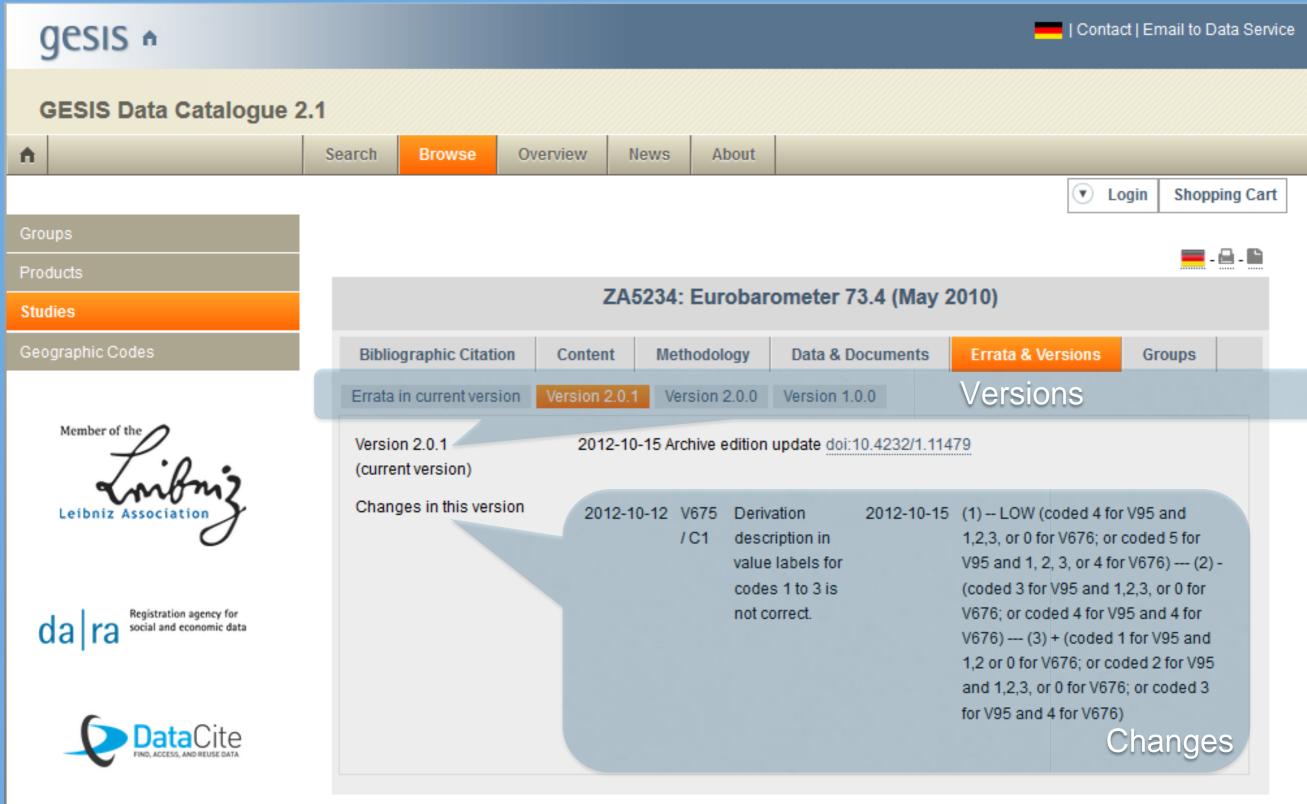
DOI Name Structure



Data Catalogue DBK Example

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		Study No.		ZA52	ZA5234							
		Title	Title		Eurobarometer 73.4 (May 2010)							
	hegistration agency for social and economic data	Other Tit	Other Titles		 Financial and Economic Crisis, the Future of the European Union, Globalization, and European Citizenship (Subtitle) 							
(Current	Version	2.0.1	2.0.1, 2012-10-15, doi:10.4232/1.11479							
		Alternate	Alternate IDs 1		 doi:10.3886/ICPSR34384.v1 (DOI), 2.0,1 							
	DataCite	Date of 0	Date of Collection			05.05.2010 - 28.05.2010						
	DBKSearch 2.1 © GESIS	Authorin	Principal Investigator/ Authoring Entity, Institution		 Papacostas, Antonis - European Commission, Brussels DG Communication, Public Opinion Analysis Sector 							
		Categori	Categories 1			 International Institutions, Relations, Conditions 						

Versioning Example



Challenges for Introduction

- Introduction of the versioning policy:
 - We want to identify datasets
 - Not study series, studies, data collection events
 - Not variables or questions
 - Independent from specific format (SPSS, STATA, SAS)
- We keep track of dataset versions, but not for metadata versions
 - Documentation inside the dataset
 - DBK only holds metadata for current version, but da|ra for each version (=DOI)
- Update of URLs (due to server migration) was not possible via the da|ra webservice (not it is)
 - All updates required all metadata, which we did not have for "older" versions

Satisfaction with current system

- Very good, requirements are met:
 - Integration into DBK
 - Citation requirement (minor differences)

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- What to identify
- Unique names
- Stability
- Important metadata
- Support for internal workflows

Future plans concerning PIDs

- Usage for other types than datasets
 - DDI metadata
- Review different metadata expressions for the same object
 - DDI-Codebook
 - DDI-Lifecycle
 - DataCite
 - Dublin Core
- Review metadata "completeness"
- Implement single source of metadata for all workflows



Role of CESSDA in this

- Coordination of policies
- Providing best practises

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Exchange of metadata

Thank you!

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