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7           **Climate Models as Guidance for the Design of Observing Systems:**  
8                   **the Case of Polar Climate and Sea Ice Prediction**

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## 1 **Abstract**

2 The Arctic and Antarctic are among the regions most exposed to climate change, but ironically,  
3 they are also the ones for which the least observations are available. Climate models have been  
4 instrumental in completing the big picture. It is generally accepted that observations feed the  
5 development of climate models: parameterizations are designed based on empirically observed  
6 relationships, climate model predictions are initialized using observational products, and numerical  
7 simulations are evaluated given matching observational datasets. Recent research suggests that the  
8 opposite also holds: climate models can feed the development of polar observational networks by  
9 indicating the type, location, frequency, and timing of measurements that would be most useful for  
10 answering a specific scientific question. Here, we review the foundations of this emerging notion  
11 with five cases borrowed from the field of polar prediction with a focus on sea ice (sub-seasonal to  
12 centennial time scales). We suggest that climate models, besides their usual purposes, can be used  
13 to objectively prioritize future observational needs – if, of course, the limitations of the realism of  
14 these models have been recognized. This idea, which has been already extensively exploited in the  
15 context of Numerical Weather Prediction, reinforces the notion that observations and models are  
16 two sides of the same coin rather than distinct conceptual entities.

# 1 **Introduction**

2 Numerical models of the climate system, referred collectively to as “climate models” from here on,  
3 are cornerstones of climate science because they allow answering questions that observations, or  
4 theory alone, cannot address. Climate models fulfill at least three primary purposes. First, they can  
5 be exploited to refine our understanding of how the climate system works: How are energy, water,  
6 and carbon cycled in the Earth system (1,2)? What are the main spatial and temporal modes of  
7 climate variability, from the deep ocean to the upper stratosphere (3)? Second, by offering the  
8 possibility to run counterfactual worlds, they can be used to quantify the influence that specific  
9 drivers may have on observed climatic events: What is the fraction of global warming attributable  
10 to human activities (4)? By how much has the likelihood of an observed extreme event increased  
11 due to background climate change (5)? Finally, by simulating the future of the climate system,  
12 climate models can be used as a support for adaptation and mitigation policies: Will a world with  
13 2°C warming above pre-industrial levels be fundamentally different from one with 1.5°C warming  
14 (6)? Is geoengineering a viable solution for offsetting climate change (7)?

15 Here, we posit that climate models fulfill a fourth essential purpose besides the three listed above:  
16 they can help to explore hypotheses regarding the use of existing or potentially new observational  
17 data. More specifically, climate models can be used to optimize the design of future observing  
18 systems in order to address specific climate-related questions. To support this hypothesis, we take  
19 the case of Polar Regions, with the following background scientific question in mind: How can the  
20 current observing system be enhanced in order to improve polar predictions from months to  
21 centuries? Polar prediction is a “textbook example” for illustrating the idea that models can drive  
22 the development of observational systems. Indeed, at high latitudes, the observational network is  
23 sparse, the demand for environmental predictions is high, and the resources that can be allocated  
24 to the deployment of new observing platforms are limited. A rational strategy for the development  
25 of cost-effective observation systems is thus desirable, if not required. As will be illustrated in this  
26 article, much knowledge can be inherited from methods and concepts developed in Numerical  
27 Weather Prediction (NWP).

28 The current polar observing network provides a mixture of data that can broadly be categorized  
29 into two types: in-situ and remote sensing observations. In-situ observations have been collected  
30 for decades from automatic weather stations (8), drifting buoys (9,10), moorings (11),

1 oceanographic vessels (12), radiosonde launches (13), aircraft-borne instruments (14,15),  
2 submarines (16), instruments onboard unmanned aerial (17) or underwater vehicles (18), among  
3 others. These point observations are particularly invaluable to study the interior of the ocean–sea  
4 ice system, which cannot be sensed remotely. In-situ observations are not free of errors, but their  
5 main limitation for climate applications is their lack of representativeness in time and space, owing  
6 to a lack of sufficient spatial coverage and inherent intermittency. On the other hand, remote  
7 sensing observations have been collected using passive infrared and microwave instruments  
8 onboard satellites (19–21) since the late 1970s, followed later by backscatter, laser (22,23) and  
9 radar altimetry (24) measurements since the 1990s. While the raw measurements (e.g., radiances)  
10 can be accurate as long as instruments are well-calibrated, the products derived from these  
11 measurements can be tainted with significant errors due to uncertainties in the transfer models.

12 Despite known spatiotemporal gaps and limitations, polar observations have been sufficient to  
13 formally detect high-latitude climate changes, e.g., tropospheric and stratospheric warming, Arctic  
14 sea ice retreat (25), Northern Hemisphere continental snow cover decline (26), and net mass loss  
15 from Greenland (27) and Antarctic (28) ice sheets. However, a question arises: If the observational  
16 network is adapted to detect changes that have already happened, is it necessarily adapted for  
17 feeding the climate models that will predict future changes?

18 Polar prediction has received much attention in recent years, sparked by new opportunities but also  
19 inevitable risks associated with rapid climate shifts occurring at high-latitudes (29). Here, we refer  
20 to “polar prediction” in a broad sense as any tentative to predict the evolution of the atmosphere,  
21 the ocean, and the cryosphere on sub-seasonal to centennial time scales (thus as a result of internal  
22 climate variability, external forcing, or both), with climate models. In response to the increased  
23 interest in polar prediction, the scientific output on the topic has flourished in recent years (Fig. 1),  
24 with about 50% of the scientific contributions published since 2014. While prediction skill has  
25 overall improved in many components (atmosphere, sea ice, ocean), a key limitation is the lack of  
26 satisfactory observational data, whether it is for improving process-based models, for initializing  
27 predictions or for verifying them (30).

28 The goal of this article is not to provide actual recommendations regarding future polar observing  
29 systems, but instead to demonstrate that a wealth of conceptual tools, most of them directly  
30 inherited from NWP, can be used to improve current observing systems in order to eventually

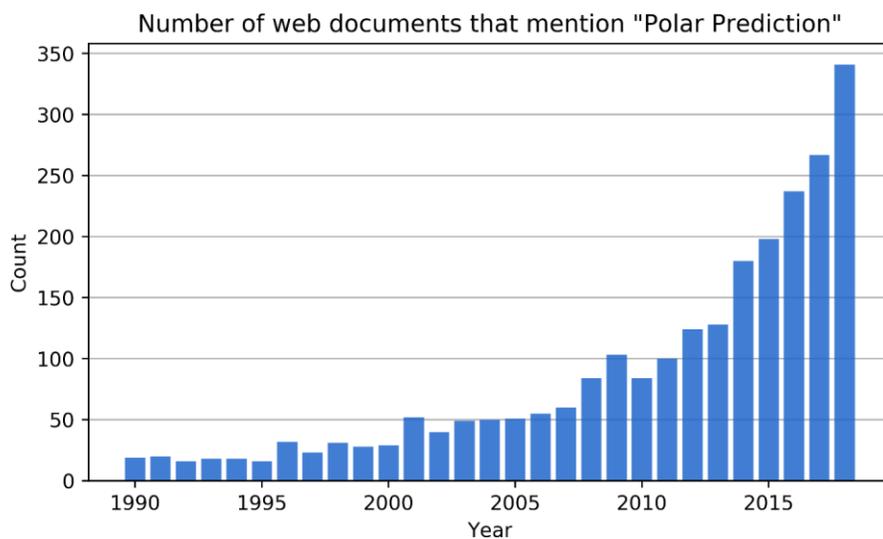
1 address climate-related questions in Polar Regions. We illustrate this idea with five concrete cases  
2 drawing from the recent literature on polar and sea ice prediction:

3 Case 1 *Observing System (Simulation) Experiments and Quantitative Network Design*. Climate  
4 models can be used to test the influence that an existing type of observations, or a  
5 hypothetical new type of observations, has on prediction skill.

6 Case 2 *Emulation of satellites and their retrieval algorithms*. Climate models can be used to  
7 explore how assumptions in satellite retrieval algorithms (choice of transfer model, values  
8 of geophysical parameters) affect the final observational product.

9 Case 3 *Constraining long-term projections*. Climate models can be used to identify the  
10 observational gaps that, if filled, would allow reducing uncertainty in projected changes  
11 thanks to improved model evaluation and selection.

12 Case 4 *Evaluation of observational products*. Climate models can be used to evaluate the  
13 reliability and quality of large-scale observational products (satellite data, reanalyses, re-  
14 gridded datasets).



**Figure 1.** Number of publications listed by Google Scholar (<https://scholar.google.com>) that include any of the following phrases: “Arctic ... prediction”, “Antarctic ... prediction”, “Southern Ocean ... prediction” or “Polar ... prediction” where “...” denotes up to any three words. The results comprise scientific papers, presentations, and conference abstracts.

1 Case 5 *Strategic placement of in-situ sampling sites.* Climate models can be used to optimize the  
2 location of future in-situ observational sites that would best monitor the main modes of  
3 polar climate variability.

## 4 **Integrating climate models in the observational process: five cases**

### 5 ***Case 1. Observing System (Simulation) Experiments and Quantitative Network Design***

6 Observing System Experiments (OSEs) refer to sensitivity experiments conducted with weather  
7 and, more recently, climate models. OSEs aim to estimate the influence that selected observations  
8 can have on forecast quality, thereby indicating the added value, or the lack thereof, of a particular  
9 observation type for prediction purposes (31). In practice, OSEs are conducted by adding,  
10 degrading, sub-sampling, or removing a specific set of observations that are usually assimilated in  
11 a forecasting system, in order to isolate the impact that such a change could have on prediction  
12 error. OSEs have been used since decades in the NWP context (32,33) and have been instrumental  
13 in demonstrating, for example, the added value of assimilating radiance data on geopotential height  
14 forecasts (34). More recently, OSEs have been applied in the context of Arctic weather forecasting  
15 (35,36) and a study based on OSEs recently suggested (37) that better use could be made of existing  
16 data to improve Arctic weather predictions. Another recent study, although using a simplified setup  
17 (38), showed dramatic increases in prediction skill of potentially impactful synoptic events like  
18 Arctic cyclones if data acquired from 6-hr radiosonde launches was assimilated instead of data  
19 acquired from 12- or 24-hr launches only. In this case, the OSE makes a strong case for sustaining  
20 6-hourly radiosonde launches.

21 Observing System Simulation Experiments (OSSEs (39)) are a natural extension of OSEs. OSSEs  
22 follow the same principles as OSEs, except that the sensitivity study is conducted in the model  
23 world: model output is assimilated instead of real observations, and forecast skill is evaluated  
24 against simulation output and not real observations. Thus, OSSEs allow testing the influence that  
25 a hypothetical new observation type would have on prediction skill. The idea of OSSEs for high-  
26 latitude weather forecasting is progressing (40) but has not yielded concrete recommendations yet  
27 for the design of Arctic observations.

1 Quantitative Network Design (QND) is a technique that has deep methodological connections with  
2 OSSEs. Like OSSEs, QND is based on data assimilation theory but had initially emerged from the  
3 area of seismology (instead of NWP). The goal was then to develop an optimal network of  
4 seismographs that could best estimate the process of earthquake faulting, based on aftershocks  
5 measurements (41). In QND, one seeks to optimize a measurement strategy through the  
6 minimization of a cost function based on a specific forecast target (42). QND allows estimating the  
7 contributions of various sources of uncertainty (observational, model, parameter, initial-condition)  
8 to forecast error through a rigorous mathematical framework and, as such, can be applied to study  
9 the benefit of assimilating new hypothetical observations in terms of forecast skill.

10 The use of OSEs, OSSEs and QND for high latitudes has been relatively limited beyond weather  
11 time scales, and in particular for polar climate prediction. One reason is that these approaches  
12 require a full data assimilation system, which is not present in most climate models. Alternative  
13 approaches have been followed. For example, in a seasonal Arctic sea ice prediction study, Day  
14 and colleagues (43) showed that the neglect of sea ice thickness information in July degrades  
15 predictive skill of late-summer sea ice concentration and thickness but also impacts near-surface  
16 temperature up to following early fall. These results confirmed earlier predictability studies (44,45)  
17 that had shown the critical role of sea ice thickness distribution preconditioning on seasonal sea ice  
18 concentration skill. However, sea ice thickness and its distribution are not easily observed from  
19 space and are subject to significant errors (see Case 2). Proper OSSEs can be salutary in that respect  
20 by providing hints on alternative geophysical variables to assimilate. For example, Zhang and  
21 colleagues (46) showed that the joint assimilation of total and multi-year sea ice concentration (two  
22 relatively well-observed geophysical variables) could reduce the forecast error or Arctic sea ice  
23 volume error by 50% compared to no assimilation at all. The use of QND also bears promise to  
24 better isolate the measurements that could lead to enhanced forecast skill. In a re-forecast of the  
25 September 2007 Arctic sea ice minimum, Kaminski and colleagues (47) found that additional sea  
26 ice thickness and wind stress measurements would have been beneficial to increase forecast skill  
27 in the Chukchi Sea region at short (10 days) and long (90 days) time scales, respectively. A later  
28 study using the same approach (48) confirmed the importance of joint snow and sea ice freeboard  
29 observations for summer sea ice volume predictions along the Northern Sea Route.

1 Research on OSEs, OSSEs and QND is currently significantly Arctic biased. The observing system  
2 of the Antarctic is sparser than the Arctic one, especially over sea ice and in the Southern Ocean.  
3 Thus, the potential impact of new observations there, even a few ones, can be enormous for polar  
4 prediction. This reality should encourage more systematic use of climate models to quantify this  
5 potential of new Antarctic observations, in order to inform the future development of major  
6 observing initiatives like the Southern Ocean Observing System (SOOS, (49)).

7 Despite its attractive aspects, the approach has several limitations. The error statistics that are  
8 prescribed in synthetic observations used in OSSEs and QND might not match the error statistics  
9 of real observations (50). An adverse consequence is that one could erroneously overstate the  
10 importance of a new type of observation while it would, in reality, have little impact on prediction  
11 skill. It could also be that climate models have predictability mechanisms that are not present in  
12 the real world. In that case, the choice to observe a new variable based on model experiments could  
13 lead to no or insignificant improvement in prediction skill.

## 14 ***Case 2. Emulation of satellites and their retrieval algorithms***

15 The advent of satellite information, first from passive (since the late 1970s) and then active (since  
16 the 1990s) sensors, has been a leap forward in the study of polar regions and in particular by  
17 providing near real-time monitoring of sea ice concentration and thickness. In theory, the combined  
18 measurements of sea ice concentration and thickness would allow reconstructing the global mass  
19 balance of sea ice, a diagnostic of interest from a climate point of view. In practice, both retrievals  
20 of concentration and thickness are uncertain. The deduced volume estimates are thus even more  
21 uncertain (51,52). This uncertainty, combined with the presence of substantial interannual  
22 variability, complicates the evaluation of climate models (53,54). A natural question arises: can  
23 observational uncertainty be better quantified and how can it be reduced?

24 Satellites do not directly measure physical variables like sea ice concentration or thickness but  
25 instead rely on indirect measurements (e.g., emitted radiance by a surface, distance traveled by an  
26 electromagnetic signal). These measurements are then converted into model-like variables such as  
27 concentration or thickness using an appropriate transfer model, or “retrieval algorithm.” Because  
28 these algorithms are imperfect, uncertainty is introduced in the final product. For example, the  
29 Synthetic aperture Interferometric Radar Altimeter (SIRAL) onboard the CryoSat-2 satellite sends

1 electromagnetic pulses that allow locating the snow-ice interface within stated precision. Sea ice  
2 freeboard, the height of the emerged part of the sea ice floe, is then deduced from the surface  
3 elevation measurement and neighboring measurements of sea surface height. Finally, freeboard is  
4 converted to thickness using hydrostatic equilibrium assumption. However, solving for thickness  
5 requires among others to know about the depth of the snow layer on top of the sea ice floe. In the  
6 Arctic, it is most often assumed that snow depth takes climatological values based on late 20<sup>th</sup>  
7 century measurements (55) on multi-year ice and half the values on first-year ice. Sea ice, snow  
8 and seawater densities are assumed constant. The reader is redirected to reference (56) for further  
9 details on the methodology.

10 Retrieval algorithms thus rely on several choices (a functional form for the transfer model, values  
11 of geophysical parameters, geometrical assumptions). These choices explain why some spread can  
12 be seen in the various available products of sea ice concentration (57–59) or sea ice thickness  
13 (60,61). Producers of satellite-based climate data are aware of this spread and typically face two  
14 questions:

- 15 (a) How sensitive are the estimates of the retrieved variables to assumptions in the transfer model?
- 16 (b) What is the ideal level of post-processing for optimal use of the observational product by  
17 modelers?

18 A possible solution to address these observational questions is to ask them from the standpoint of  
19 climate models, in what is commonly referred to as “satellite simulation” (62) (a common practice  
20 in NWP data assimilation). The idea of satellite simulation is to project the climate model state  
21 (while it is running or after the simulation using available output) on the observational space using  
22 an appropriate operator, thereby facilitating the model-observation comparison. Loosely speaking,  
23 the idea behind satellite simulation is to diagnose what a satellite would “see” if it was flying over  
24 the model’s Earth. In a recent study (52), Bunzel and colleagues used an ocean-sea ice model to  
25 explore how retrieved sea ice thickness would differ from the known model thickness if various  
26 assumptions were varied in the Cryo-Sat2 algorithm described above and applied to their model.  
27 Uncertainties in the snow depth were found to dominate to retrieved sea ice thickness uncertainty,  
28 followed by freeboard measurement error. Uncertainty in density parameters was found to play a  
29 smaller role. Such a study is valuable in that it confirmed snow depth as the current bottleneck of

1 sea ice thickness retrieval from radar altimetry (51), thereby bringing an answer to question (a) and  
2 prioritizing future observational needs.

3 The satellite simulation approach for polar climate research has initially been pioneered by the  
4 cloud community (63–66), allowing a consistent evaluation of cloud biases in climate models and  
5 addressing the question (b). More recently, some work in that direction has been devoted to sea  
6 ice. Roberts and colleagues (67) proposed that freeboard should be calculated in the model based  
7 on the model's own values of snow density, and subgrid-scale ice thickness distribution, before  
8 being matched to freeboard in observations (thus moving away from the classical thickness-  
9 thickness evaluation). Another example involves sea ice dynamics: the evaluation of velocity fields  
10 in sea ice models is now done by deploying virtual buoys/tracers in the model and comparing their  
11 trajectories to observed ones (68) (see also the Sea Ice Drift Forecast Experiment,  
12 <https://rdrr.io/github/helgegoessling/SIDFEx/>, for similar evaluation procedures).

13 One of the main obstacles to climate model evaluation is the lack of definition- and scale-awareness  
14 in model-data comparisons (65). Climate model evaluation should be carried out at some mid-point  
15 between the raw model output and the raw measurements collected by observational devices, in  
16 such a way that the resulting metrics of evaluation are the least uncertain. Where this mid-point  
17 lies is case-dependent but a few answers can be obtained by the use of satellite simulators  
18 implemented in climate models (69), which can then orient the development of transfer models  
19 processed by developers of observational products. One of the lessons learned from recent  
20 workshops on model-data comparison in polar regions is that the developers of observational  
21 products should not necessarily process their products down to model space (70) as this has been  
22 most often the case until now. In that respect, recent results obtained from model-based studies  
23 could be exploited to inform space agencies and satellite product developers about the optimal level  
24 of processing required for modelers.

### 25 ***Case 3. Constraining high-latitude climate projections***

26 While state-of-the-art climate models generally agree on the essential traits of future Arctic climate  
27 changes (reduced Arctic sea ice (71), polar amplification with larger increases in temperature in  
28 winter than in other seasons (72), intensification of the Arctic hydrological cycle (73,74)), the  
29 magnitude of these changes varies considerably from model to model and remains consequently  
30 uncertain. How to evaluate climate models with past observations in order to narrow uncertainty

1 in future changes is a critical question that applies not just to the Arctic (75). Nonetheless, the  
2 Arctic bears remarkable properties. Indeed, in many cases, future simulated changes are tightly  
3 related to present-day characteristics in models. For example, changes in modeled wintertime  
4 surface air temperature along the Arctic ice edge are significantly anti-correlated to the baseline  
5 mean temperature (76); September Arctic sea ice extent change over 2021-2040 is well correlated  
6 to historical (1979-2007) trends (77,78), and the timing of a summer ice free Arctic is correlated to  
7 the baseline model state (79); models with larger fall (September-October-November) sea ice  
8 concentration over the present-day experience larger reductions in near-surface air temperature  
9 variability in the future (80); models with larger annual mean Arctic sea ice volume over the  
10 present-day display more pronounced volume losses for a given scenario (54,81,82).

11 In the Antarctic, where climate projections are notoriously more uncertain, strong relationships  
12 were still identified between projected changes in annual mean sea ice area, precipitation and  
13 temperature, and the baseline annual mean sea ice area in state-of-the-art climate models (83);  
14 moreover, the spread in projected changes in the latitudinal position of the austral jet was traced to  
15 the climatological position of the jet in models.

16 All the relationships mentioned above emerge spontaneously in multi-model ensembles. These  
17 relationships take the general form  $Y$  (projected change) is related to  $X$  (present-day state). If these  
18 relationships are not spurious but instead based on physically explainable mechanisms, they offer  
19 the potential to orient the design of future observing systems. Indeed, under the assumption that  
20 the real world obeys the same relationships as those found in the models (i.e., that observations  
21 align with the models), evaluating models based on  $X$  in observations would allow better  
22 constraining the real projected change  $Y$ . However, the successful application of these “emergent  
23 constraints” (75,84) is conditioned on the existence of matching observational datasets for  $X$ . In  
24 that sense, the identification of emergent constraints can be seen as an objective reason to prioritize  
25 a particular type of observation. For example, CMIP3 model results indicate that future Arctic  
26 warming is positively correlated to historical northward ocean heat transport (85). Observational  
27 data of oceanic heat transport are scarce, however, which would justify enhancing the observations  
28 of that variable. As another example, the long-term model sensitivity of Northern Hemisphere  
29 continental surface albedo to temperature change is closely related to the equivalent quantity  
30 computed seasonally (86). However, the corresponding observational estimate is uncertain, and

1 improved retrievals of surface albedo would help better constraining its sensitivity to future  
2 temperature changes.

3 This approach can only lead to robust insights if the model relationships are themselves robust. In  
4 particular, there is a risk that spurious present-future relationships emerge if the ensemble is  
5 composed of highly inter-dependent models or if the models share common structural biases. Thus,  
6 precautions must be taken to ensure that the choice of observing a new variable is rooted in a solid  
7 understanding of physical processes underlying the identified emergent constraints.

#### 8 ***Case 4. Evaluation of observational products***

9 Because the in-situ polar observing system is inherently sparse, climate models are most frequently  
10 evaluated against gridded datasets such as remote sensing products, reanalyses or re-gridded  
11 products. However, each of these gridded verification datasets is subject to errors. Determining  
12 their intrinsic quality is challenging because well-sampled in-situ data are not always available to  
13 evaluate the datasets independently.

14 Recent findings from the field of seasonal forecasting could bring an elegant solution to the  
15 problem of estimating the quality of gridded observational datasets. The idea is to use climate  
16 models as a third-party source of information to infer the statistics of observational errors. The  
17 rationale behind this argument is simple: standard skill scores used in forecast verification (e.g.,  
18 correlation, root mean square error, Brier score) are sensitive to errors in both the forecast and the  
19 verification data (87,88). If one particular observational verification product is corrupted with  
20 larger errors than other products, this observational product should systematically stand out  
21 compared to others, when inspecting the forecast skill scores of model predictions.

22 Recent results support the notion that forecast skill depends on the observation used for  
23 verification. In a recent study (89), it was found that the skill of the MPI-ESM climate model in  
24 predicting Arctic sea ice area from May to October was impacted by the choice of the observational  
25 product used for verification. A better agreement was found between the model and the Bootstrap  
26 algorithm for sea ice concentration retrieval than the NASA Team algorithm. The authors  
27 hypothesized that the correction for melt pond issues applied in the Bootstrap product (but not in  
28 the NASA Team product) could be the reason. These results were confirmed independently in  
29 another study using a multi-model ensemble of seasonal forecasts (90) with four observational

1 products. Finally, two studies conducted with the CanSIPS seasonal forecast system on the  
2 prediction of snow-water equivalent (SWE) content (91,92) highlighted that this prediction system  
3 reached higher skill scores (as measured by the anomaly coefficient correlation) for the average of  
4 four reference products than for any individual product. Furthermore, it was found that the worst  
5 scores were reached when ERA-Interim and MERRA-Land reanalysis products were used for SWE  
6 content forecast verification (92), confirming a posteriori known issues in those products identified  
7 in an earlier study using in-situ observations (93).

8 The polar prediction community is moving, slowly but surely, towards the systematic use of  
9 ensembles of observational products for model evaluation and forecast verification. A number of  
10 fascinating properties emerge from the above-mentioned studies: (i) model forecasts tend to score  
11 better against more advanced observational products, (ii) the difference in skill can be understood  
12 on the basis of the products quality and (iii) the average of several observational products yields  
13 better score to models than any of the products alone. From that point of view, observational  
14 ensembles seem to obey the same rules as the multi-model climate model ensembles. Moreover,  
15 climate models seem suited to support objective observational dataset evaluation and selection.

16 The principal limitation to this approach is the possibility that climate models have been tuned or  
17 calibrated to match one of the observational references under investigation, in which case the  
18 conclusions could be flawed.

### 19 ***Case 5. Strategic placement of in-situ sampling sites***

20 Several sea ice, ocean and atmosphere variables exhibit significant covariance in space, in time,  
21 and with one another. As far as these dependencies are assumed to be linear and the covariances to  
22 be stationary, it is not required to monitor all these variables at all times and everywhere: a minimal  
23 number of well-chosen stations targeting key variables could, in principle, reveal the dominant  
24 modes of high-latitude climate variability in the real world. This problem is undoubtedly exciting  
25 from a purely academic point of view. Formally, it is equivalent to solving an optimization problem  
26 under constraints, i.e., that of explaining the maximum of the real-world polar climate variability  
27 using a minimum number of measurements. The problem is also highly relevant from a practical  
28 and operational point of view. The deployment of an observing system is subject to constraints

1 (financial and logistical) that impose a prioritization of the location, time of the year, and type of  
2 instrument to be deployed.

3 This problem of optimization is not new and has been formalized some 30 years ago when it was  
4 already attempted to determine the optimal placement of point gauges that would best reconstruct  
5 the global mean temperature (94,95) or the global CO<sub>2</sub> budget (96). The problem is arguably even  
6 more challenging in polar environments and in particular for sea ice, which is in addition a drifting  
7 material. The selection of best in-situ sampling sites is only possible if spatiotemporal variability  
8 is well characterized. From that point of view, the large-scale observations provided by passive and  
9 active remote sensing sources are of limited use: the observational record is rather short, forced  
10 trends interfere with the internal variability and the retrievals are uncertain (see Case 2). Climate  
11 models, by contrast, can output any variable at all locations and seasons. While climate models  
12 cannot substitute for observations, they do provide the level of spatiotemporal sampling required  
13 for helping to answer the initial question.

14 To our knowledge, the question of optimal sampling in polar regions has only been tackled for two  
15 cases: optimal sampling of the Arctic Ocean heat content variability and optimal sampling of the  
16 Arctic sea ice volume variability. In a model study, Lique and Steele (97) found that enhancing the  
17 oceanic mooring network in the Eurasian Basin would be beneficial for estimating the Arctic  
18 oceanic heat content variability, as the spatial anomalies display large-scale coherence in this basin  
19 (unlike the freshwater content anomalies which are rather confined in the Canadian Basin and the  
20 Beaufort Gyre). Similarly, well-placed moored upward-looking sonars could help estimating sea  
21 ice volume variability. In climate models and reanalyses, Arctic sea ice thickness anomalies exhibit  
22 persistence from 3 to 20 months, depending on the location, season, and source investigated (98–  
23 100). At the same time, these anomalies display spatial coherence with a typical decorrelation  
24 length scale in the range 300–1000 km. These estimates, even if uncertain, can provide a lower  
25 bound on the number of independent fixed point measurement sites that would be required to  
26 capture most of the Arctic sea ice volume variability. With an ice-ocean model, a study (101) found  
27 that the judicious placement of just three sampling sites would already explain 57% of the spatial  
28 and temporal variability of annual mean sea ice thickness. Recent results obtained from four  
29 different climate models suggest that sampling monthly mean thickness at four sites could explain

1 more than 70% of the temporal variability of Arctic sea ice volume anomalies (102). No equivalent  
2 study has been conducted for Antarctic sea ice, despite the need.

3 While attractive in its principle, the application of this idea has several practical limitations. First,  
4 point measurements of sea ice thickness in the real world exhibit variability over a broader  
5 frequency spectrum than climate models output at their current nominal resolution. Second, there  
6 is evidence that thickness variability is not stationary (100) but does depend on the mean state  
7 (103). Namely, the persistence time scale of thickness and volume anomalies decreases as the ice  
8 thins (54), which means that a higher number of stations will be required as the Arctic sea ice  
9 transitions toward a seasonally ice-free regime. Third, it is likely that the optimal number of stations  
10 would depend on the models' effective resolution (a limiting case would be that of a model with  
11 four grid cells over the entire Arctic, which would provide unrealistically long decorrelation  
12 scales). Finally, there is simply no guarantee that models display the right modes of variability.  
13 Even in reanalyses, which are supposed to be the most constrained gridded estimates of sea ice  
14 thickness, the optimal location of stations is reanalysis-dependent (102).

## 15 **Conclusions**

16 Since four decades, the number of polar observations has soared thanks to the coordination of  
17 national and international programs (e.g., space missions, in-situ campaigns, initiatives like the  
18 International Polar Year or the Year of Polar Prediction). Concurrently, the need for reliable polar  
19 predictions has become more and more pressing, fueled by rapid changes that took many by  
20 surprise. Does the supply of observations meet the demand for polar prediction and do we make  
21 the best possible use of existing data? The question is still open, as polar prediction has not reached  
22 its age of maturity yet. However, given the rapidly changing seasonality of the Arctic system (104),  
23 the stationarity of predictor-predictand relationships might not hold in the future (105), which  
24 would mean that the observations of yesterday would not necessarily be fit for evaluating or  
25 initializing the predictions of tomorrow. What are the conceptual tools at hand to design such an  
26 observing system, then?

27 In a review published in this journal three years ago, Kay and colleagues (69) advocated a “two-  
28 way street” paradigm for Arctic cloud research, whereby lessons learned from observations should  
29 feed climate model development and vice-versa. The “vice-versa” part of the statement is arguably

1 the least obvious, as one would a priori assume that climate model development is following, not  
2 preceding, the development of observing systems. The case of polar prediction and more  
3 particularly sea ice prediction crystallizes the idea that the future development of cost-effective  
4 observing systems will have to rely, at least in part, on the intelligent use of climate models. We  
5 illustrated this idea with five cases taken from the recent literature on polar prediction, with most  
6 examples from sea ice. Our five cases illustrate that there is no best observing system in an absolute  
7 sense, but rather good observing systems that can help to answer specific scientific questions. We  
8 note that these five cases are transposable to non-polar regions. A recent study (106) made a strong  
9 case of using climate OSSEs such as those described in Case 1, to test the added value of new  
10 possible observations on answering climate questions.

11 A recurring idea behind the use of climate models for observational purposes is to rely on “Nature  
12 Runs” (39,40), i.e., numerical climate model simulations that emulate the real world and for which  
13 the impact of a specific observational choice can be quantitatively tested. The validity of this  
14 approach can be questioned (50). One issue is that climate models have biases, so they might not  
15 perfectly emulate what one is trying to observe. Another issue is that the climate models might  
16 have been extensively tuned toward a particular type of observations, which would flaw the  
17 reasoning and give rise to circular arguments. Nevertheless, in many of the cases highlighted here,  
18 climate models bring first-order answers to questions that would otherwise not be answered: What  
19 is the ideal level of post-processing for consistent climate model evaluation? What is the impact of  
20 assumptions in satellite retrieval algorithms on the final product? Where should sampling sites be  
21 deployed during coordinated intensive campaigns like the Special Observing Periods of the Year  
22 of Polar Prediction? Are wintertime observations more important than summertime ones for a  
23 specific question?

24 It has been argued (107) that one way to improve weather and climate predictions will be to follow  
25 a seamless approach, whereby the same numerical models are used in both weather and climate  
26 contexts. Our review goes a step further by highlighting that many concepts and methodologies  
27 already routinely applied in the NWP models (such as OSEs/OSSEs and satellite simulation)  
28 should systematically be transposed to climate models to inform on the optimal design of observing  
29 systems for climate science, especially in remote polar regions where observations are most  
30 needed.

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