

#### Ikhwan Arief Ambassador & Editor

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## DIRECTORY OF OPEN ACCESS JOURNALS

- DOAJ is a website that lists open access journals and maintained by Infrastructure Services for Open Access (IS4OA).
- The project defines open access journals as scientific and scholarly journals that meet high quality standards by exercising peer review or editorial quality control and "use a funding model that does not charge readers or their institutions for access"

#### No fees to be included in DOAJ database





# Required information for inclusion in the DOAJ

#### Journal Web Site\*

- Dedicated website per journal journal specific web address\*
- All journal content centrally available not spread over various pages
- Do not mimic other journals' website
- Website clear, concise, easy to navigate, transparent, up to date and correct content – high ethical and professional standards
- Language & grammar usage correct, spell check



#### Journal Web Site\*

- Visible links to business information
- Avoid distracting, offensive, irrelevant, moving, blinking advertisements
- Unique identifier (web address) \*:
  - Journal level
  - Article metadata level (also DOI)
  - Full text article level (pdf, html, xml, epub)
- ISSN (International Standard Serial Number) \*
  - Print ISSN and/or
  - Online ISSN



#### **Journal Content**

- Clear journal structure for easier navigation, indexing, discoverability
- Publication date for each article
- Publication year per volume/issue
- Volumes & issues; 5 articles per year
- Start & end page number
- Authors, affiliations, countries, ORCIDs
- Articles arranged in Table of Contents
- Search/Browse option
- Links to Current, Archive/Past Issues



#### Ownership & Management

- Journal title unique not confusing/misleading
- Avoid using misleading information
- Each journal unique, journal specific policies
- All business information about journal available from central website for journal - not generic website for publisher



#### **Editorial Office & Editorial Team**

- Editor\*/Editor-in-Chief/Associate Editor/Co-Editor
- Full names, Affiliations, Countries, Emails\*
- Postal address of office
- Contact information: email/telephone



#### **Governing Body**

- Editorial Board\*/Editorial Advisory Board
- Arts & Humanities allowed editorial review, 2 editors, no editorial board
- Members are experts in field/journal scope → curriculum vitae
- Full names, Affiliations, Countries, Emails\*



#### **Author Fees\***

- Article Processing Charges (APCs)
- Article Submission Charges
- Manuscript Handling Fees
- Galley Fees, page charges, colour charges, etc.
- Per article (incl. any taxes if applicable) not per page
- Waiver policy
- Clearly visible for prospective authors also if no charges apply\*



#### **Peer Review Process\***

- Advice on individual manuscripts from reviewers/experts in the field who are not part of the journal's editorial staff
- Quality control rigorous
- Process & policies clearly described on journal's web site
- Number of reviewers assigned to review an article
- Editorial/Peer Blind/Double blind/Open\*



#### Instructions for Authors\*

- Detailed style guide
- Description of quality control process (review)
- Copyright information
- Licensing information
- Plagiarism policy
- Instructions on how to submit an article
- Contact email address



#### **Copyright and Licensing**

- Licensing means to grant third parties (anyone else except the right holder) the right of using copyright-protected works
- A license is a permission to use a work in specific ways
- Licenses can only be granted by copyright holder
- Copyright holder can be author or publisher



#### Copyright \*

- Clearly described on the website \*
- Recommendation:
  - author retains copyright
  - no exclusive publishing rights
  - no transfer of commercial rights



#### **Content Licensing \***

- Clearly described on the website \*
- Recommendation:
  - licensing terms on all articles, all versions (html, pdf, xml etc.)
  - embedded in article level metadata → in PDF file
  - Creative Commons licensing



#### **Contradictory Statement**

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#### **Contradictory Statement**

Abstract page:

Copyright (c) 2018 Journal Name

Copyright Policy:

Authors who publish with this journal agree to retain copyright and grant the journal right of first publication with the work simultaneously



#### **Example: Copyright Held by Authors**

Copyright on open access articles in this journal is retained by the author(s).

Authors grant [Journal Name] a license to publish the article and identify itself as the original publisher.

Authors also grant any third party the right to use the article freely as long as its integrity is maintained and its original authors, citation details and publisher are identified.

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Authors are able to enter into separate, additional contractual arrangements for the non-exclusive distribution of the journal's published version of the work (e.g., post it to an institutional repository or publish it in a book), with an acknowledgement of its initial publication in this journal.

Authors are **permitted and encouraged to post their work online** (e.g., in institutional repositories or on their website) prior to and during the submission process, as it can lead to productive exchanges, as well as earlier and greater citation of published work



#### **Example: Copyright Transferred to Journal**

In order to be accepted and published by [Journal Name], author(s) submitting the article manuscript should complete all the review stages. By submitting the manuscript, the author(s) agreed to these following terms:

The copyright of received articles **shall be assigned to [Journal Name]** as the publisher of the journal. The intended copyright includes the rights to publish articles in various forms (including reprints). [Journal Name] maintain the publishing rights to the published articles.

Authors are <u>permitted to disseminate published article</u> by sharing the link/DOI of the article at [Journal Name]. Authors are <u>allowed to use their articles</u> for any legal purposes deemed necessary <u>without written permission</u> from [Journal Name] with an acknowledgement of initial publication to this journal.

Users/public use of this website will be licensed to the <u>Creative Commons</u> Attribution-NonCommercial-ShareAlike 4.0 International License.



#### **Ethics & Malpractice**

- Indicate steps to identify & prevent papers where research misconduct occurred
  - Unethical
  - Plagiarism (statement & similarity check tool)
  - Citation manipulation
  - Data falsification/fabrication
- See COPE Guidelines in dealing with allegations https://publicationethics.org/



#### **Financial Sustainability**

- Revenue sources (author fees, subscriptions, advertising, reprints, institutional support, organizational support)
- Advertising policy, types of ads, decision making on ads, ads linked to content or reader behavior/displayed at random
- Marketing: appropriate, well targeted, unobtrusive



#### **Publishing Schedule**

- Clearly indicate periodicity
- No interruptions \*
- Annually, bi-annually, monthly, bi-monthly, continuous, etc.
- Number of volumes, issues



#### Access & Usage

- Full text of all content available as Open Access, no delay/embargo\*
- How accessible is journal to the rest of the world, harvesters
- Download statistics





#### Access & Usage

#### **Example DOAJ OA Statement**

This is an Open Access journal which means that <u>all content is freely available</u> without charge to the user or his/her institution. <u>Users are allowed</u> to read, download, copy, distribute, print, search, or link to the full texts of the articles, or <u>use them for any other lawful purpose</u>, without asking prior permission from the publisher or the author. This is in accordance with the BOAI definition of Open Access.



#### **DOAJ Seal for Open Access Journals**



- Best practice recommendations for OA publishing
- Based on 7 criteria relating to accessibility, openness, discoverability, reuse, and authors rights
- All criteria must be met to be awarded the DOAJ Seal
- Note the seal does not reflect the academic quality of the journal



#### The qualifiers for the DOAJ Seal

DOAJ promotes best practice in Open Access publishing. To highlight journals that adhere to best practices, we have created the 'DOAJ Seal for Open Access Journals'.

The qualifiers for the Seal highlight features related to accessibility, openness, discoverability, reuse and author rights and have nothing to do with the scholarly quality of the papers published.

To qualify for the Seal the journal must:

- have an archival arrangement in place with an external party (Question 25). 'No policy in place' does not qualify for the Seal.
- provide permanent identifiers in the papers published (Question 28). 'None' does not qualify for the Seal.
- provide article level metadata to DOAJ (Question 29). 'No' or failure to provide metadata within 3 months do not qualify for the Seal.
- embed machine-readable CC licensing information in article level metadata (Question 45). 'No' does not qualify for the Seal.
- allow reuse and remixing of content in accordance with a CC BY, CC BY-SA or CC BY-NC license (Question 47). If CC BY-ND, CC BY-NC-ND, 'No' or 'Other' is selected the journal will not qualify for the Seal.
- have a deposit policy registered in a deposit policy directory. (Question 51) 'No' does not qualify for the Seal.
- allow the author to hold the copyright without restrictions. (Question 52) 'No' does not qualify for the Seal.

One cannot apply for the Seal. The Seal is awarded based on the information provided in the application. If you have any questions about any of the qualifiers, contact us.





#### **Digital Archiving Arrangements**



- To ensure long-term availability and preservation of journal content
- Key archiving services: LOCKSS, CLOCKSS, Portico
- PKP-LOCKSS
- Relawan Jurnal Indonesia (RJI) Archive
- National libraries' digital preservation services
- PubMed Central
- Institutional servers or repositories do not qualify



#### **Digital Archiving & Preservation**

- Electronic backup
  - Cloud, disk, server, tapes, etc
- Preservation Keepers' Registry (https://thekeepers.org/)
  - PubMed Central
  - Portico
  - Open Journal Systems (OJS) PKP Private LOCKSS network deposited in CLOCKSS



#### **Permanent Identifiers**



- To ensure articles may continue to be found even when URLs change, avoid "link rot"
- Digital Object Identifier (DOI)
- Handle
- ARK



#### **Metadata Supply**



- To provide greater visibility and discoverability of journal content
- Provide article-level metadata to DOAJ
- Within 3 months of acceptance
- Data can be supplied via XML file or using API



#### **Creative Commons License**



- To allow generous reuse and remixing of content
- 4 of the 6 CC licenses qualify for the Seal
- CC BY, CC BY-SA, CC BY-NC, CC BY-NC-SA
- The most restrictive CC licenses do not qualify
- CC BY-ND and CC BY-NC-ND do not allow remixing and creation of derivative products



#### https://creativecommons.org/



CC BY



CC BY-SA



CC BY-NC-SA



CC BY-ND



CC BY-NC-ND



CC BY-NC

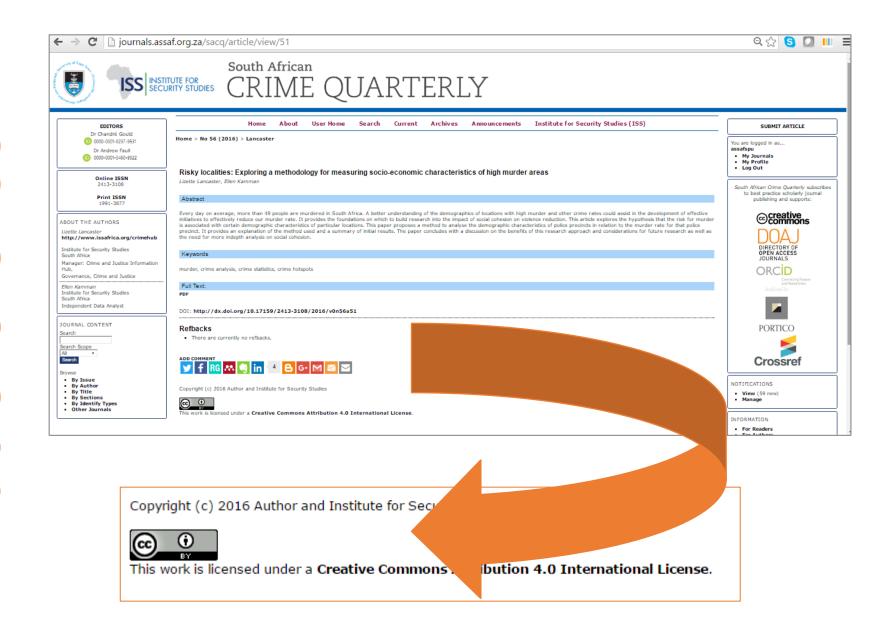


## Machine-readable License Information



- To ensure users know and understand what they are permitted to do with the content
- Embed license data in article-level metadata
- Any of 4 Creative Commons licenses







#### Copyright



- To allow authors to hold copyright and publishing rights without restriction
- Copyright must be retained by the author
- Exclusive publishing rights for the publisher do not qualify
- Transfer of commercial rights to the publisher do not qualify

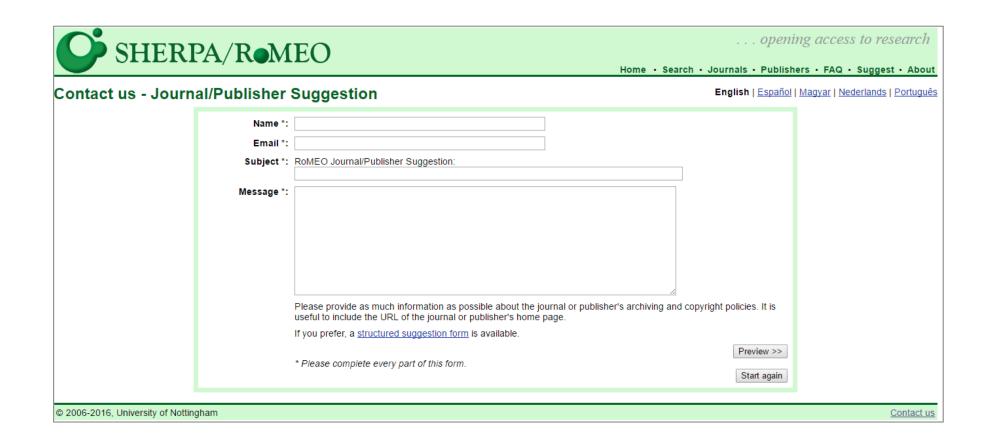


#### **Deposit Policy**



- To provide information on authors' self-archiving rights
- Policies for archiving of pre-prints, post-prints and publisher's version
- Covers author's own web site, institutional and subject repositories
- SHERPA/RoMEO and others





http://www.sherpa.ac.uk/romeo/index.php



## Be very clear on the journal's policies