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Bibliometrics in practice how to generate reports for institutions



Presented at the european summer school for scientometrics ESSS

July 18th, 2019

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Objectives

- ★ Learn how to design bibliometric reports at the institutional level (e.g., universities, hospitals, research centers).
- ★ We are going to concentrate in a specific report: the annual memory of the University of Granada UGR).
 Elaborate by the Research evaluation Unit

Main points

- Information sources
- Content and selection of bibliometrics indicators
 - Contextualization of performance
 - Benchmarking & comparisons
 - Non bibliometric Indicators: funding
 - Fields and disciplines
- Making data available online
- Examples of different reports

Introduction

Type of bibliometric Reports

If you are working for a bibliometric unit or research evaluation unit What kind of bibliometric reports can you offer to your institution?

Annual memory

Reports on a specific topics relevant to the institution

Reports on demand by policy managers

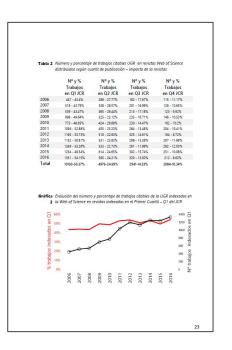
Case Study: University of Granada

Indicadores y estadísticas de investigación UGR 2018

MEMORIA DE INVESTIGACIÓN







For this course we are going to take as a case study the annual memory from the University of Granada

"Indicators and statistics at the University of Granada"



Structure of a bibliometric report

For an annual memory we have to consider at least the following sections:

Indicadores y estadísticas de investigación UGR 2018

Contenido

- 1. Fuentes e indicadores
- 3. Resumen
- 4. Indicadores generales
- 5. Indicadores por especialidades
- 6. Indicadores comparados
 - 6.1. Comparativa general
 - 6.2. Comparativa por areas
 - 6.3. Comparativa por especialidades
- 7. Indicadores de excelencia
- 8. Resultados en ARWU
- 9. Proyectos de investigación
- 10. Personal y sexenios
- 11. Investigadores destacados
- 12. Presencia redes

Main sections

- 1) Information sources and indicators
- 2) General indicators
- 3) Indicators by fields and disciplines
- 4) Benchmarking and comparisons
 - a) General with other universities
 - b) Comparisons by field and disciplines
- 5) Grant and funding information
- 6) Researchers

Information sources

Types of sources - UGR



★ External databases

- Bibliographic databases and Citation Indexes
- Bibliometric suites: Incites or Scival

★ Internal databases

- Current Research Information Systems (CRIS)
- Institutional administrative databases (grants, staff, etc...)

★ Other complementary sources

- World University Rankings
- Online academic profiles

Types of sources - UGR



★ External databases

- In-Cites for bibliometric indicators 60% of the report
- Web of Science and Scopus

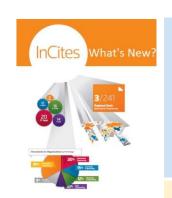
★ Internal databases

e-proyecta: internal management database for projects

★ Other complementary sources

- ARWU (Shanghai) Verification of scientific policies
- Google Scholar Profiles
- Information from the spanish government

Type of sources - Incites for reports



- ★ At the University of Granada we use InCites from Clarivate Analytics.

 We recommend this bibliometric suite if:
 - you are working in large and multidisciplinary institutions
 - you don't have time for normalization and data cleaning
- ★ Advantages: indicators are already calculated, <u>you can download</u> raw data and use them for your report
- ★ **Disadvantages:** non-normalization for authors, inaccurate information for institutions due to errors in the organization enhanced field...
- ★ Bibliometric suites (Incites or Scival) are expensive (between 35.000-60.000 Euros) depending on the institution's size.



Content and indicators

What indicators

Which bibliometric indicators should you use?

- Easy interpretation. Complex indicators are difficult to comprehend and should be avoided.
- Standard indicators approved by the international community.
- They have to reflect the different dimensions of research performance

What indicators

Indicators at the UGR. Four types of dimensions seven indicators

Number of documents Production 01

Number of citable documents

Number of documents with

Collaboration 02 international collaboration

% of papers in first quartile journals **Impact** 03

Category Normalized Citation Impact

% Highly Cited Papers

Excellence Papers in top journals (Science & Nature)

What indicators

Definition. Include in your report always a precise definition of the indicators. Describe: calculations/formula, advantages and shortcomings and examples

You can find a beautiful way to define indicators for reports in:

<u>BIBLIOMETRIC INDICATORS – DEFINITIONS</u> AND USAGE AT KAROLINSKA INSTITUTET

UNIVERSITY LIBRARY BIBLIOMETRIC TEAM 2014 CATHARINA REHN, DANIEL WADSKOG, CARL GORNITZKI & AGNE LARSSON

1.6 RELATIVE ACTIVITY INDEX

Designation	Relative activity index	
Denotation	RAI	
Description	The relative effort a unit of analysis devotes to a specific field measured in publications.	
Calculation	The analysed unit's world share of publications in a given field divided by the unit's world share of publications overall.	
Formula	$RAI = \frac{WS_f}{WS}$	
	p_f = The unit's world share of publications in a given field	
	p_w = The unit's world share of publications in all fields	
Data Requirements	Requires data from a comprehensive bibliographic database such as the Thomson Reuters citation indices.	
Advantages	-	
Disadvantages	The indicator is not normalized with regard to document type of publication year. The classification used for domains and subdomains is the journal classification scheme supplied by Thomson Reuters.	
KI usage	At Karolinska Institutet this indicator is not used at present.	
Reference	Frame, J. D (1977). Mainstream research in Latin America and the Caribbean. Interciencia, 2, 143.	
	Read more about the method: http://link.springer.com/article/10.1007/BF02017249	

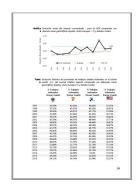
4.1 THOMSON REUTERS JOURNAL IMPACT FACTOR

Designation	Thomson Reuters Impact Factor
Denotation	Karolinska Institutet: I _{wos} , JIF CWTS: IF
Usage	Used to measure the impact of scientific journals.
Description	The impact factor is a number that corresponds to the average number of citations a publication in a specific journal has received during the two years following the year of publication.
Calculation	The impact factor for a specific journal (J), one specific year (Y) is calculated by counting the number of citations to articles in that journal the two preceding years (Y-1 and Y-2) from publications in year Y and dividing this with the number of publications defined by Thomson Reuters as "citeable" in journal J the two preceding years (Y-1 and Y-2).
Formula	$I_{WoS} = C / P$
	where:
	I_{WoS} = the impact factor for journal J in year Y
	C = the number of citations from publications in year Y to publications in journal J published Y-2 and Y-1
	$P = total \ number \ of \ citeable \ publications \ in \ journal \ J \ in \ year \ Y-2 \ and \ Y-1$
Data Requirements	No own data is required; Thomson Reuters journal impact factor is available through the service Journal Citation Reports.
KI Usage	The JIF is a regular part of analyses at Karolinska Institutet since this indicator is well known within the Medical scientific community.
Reference	The Thomson Reuters Impact Factor: http://wokinfo.com/essays/impact-factor/

EXAMPLE

Description for the Impact Factor include in:

BIBLIOMETRIC INDICATORS
DEFINITIONS AND USAGE
AT KAROLINSKA INSTITUTET



- ★ Bibliometric indicators make sense when comparing with different aggregation levels, i.e. compare university with the national average. How is our institution performing in comparison with the national average?
- ★ Some indicators for benchmarking are the Category Normalized Citation Impact (InCites), relative indicators like percentage of papers in first quartile journals or percentage of papers in international collaboration
- ★ In Granada, we compare different indicators with three geographical regions: Spain, European Union and USA
- **★** Without contextualization there is no meaning!

Example for the publication profile in high impact factor journals

★ Indicator > Percentage of papers in first quartile journals

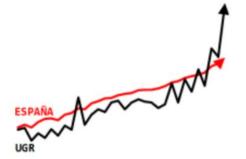
	The state of the s		Ac	
2011	52.88%	52.17%	52.10%	57.19%
2012	53.73%	53.62%	52.98%	57.25%
2013	50.81%	53.34%	53.13%	57.17%
2014	53.26%	54.38%	53.3%	57.52%
2015	49.54%	53.25%	52.82%	55.72%
2016	54.15%	54.14%	52.94%	55.07%

★ The publication profile of the University of Granada is quite similar to the national and international standards

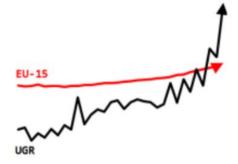
Impacto Normalizado UGR

UGR J

Impacto Normalizado España

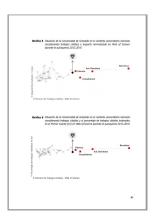


Impacto Normalizado Unión Europea 15





Benchmarking & Comparisons



It is important to compare also our institution with other similar institutions. We have to **select a coherent and homogeneous benchmarking group** taking into account at least this variables:

- Similar size
 - Research output or research staff
- Same institutional objectives
 - **■** Focus on teaching or research?
- Similar disciplinary profile
 - Humanities, Life Sciences...?
- ★ At the University of Granada we compare our results with Spanish historical universities with a multidisciplinary profile.

Benchmarking & Comparisons

Example: benchmarking of the univ. Granada with Spanish universities

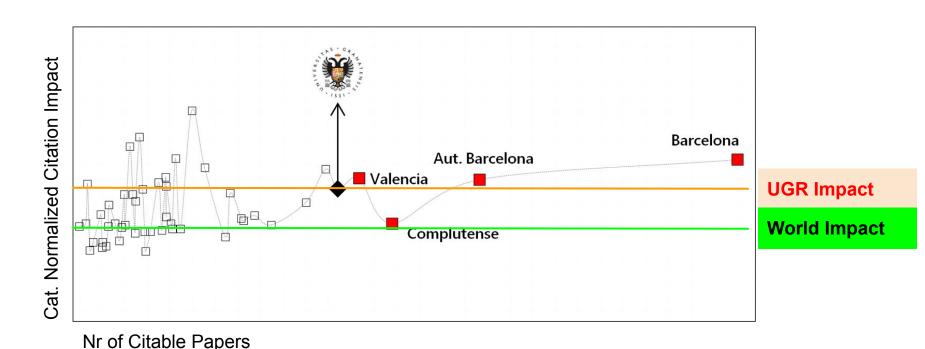
Nombre Universidad	Nr of Citable Papers	Category Normalized Citation Impact	% International Collaboration	% First Quartile
University of Barcelona	30047	1.54	52.40%	60.53%
Autonomous University of Barcelona	18647	1.39	50.98%	58.50%
Complutense University of Madrid	14802	1.06	43.58%	53.39%
University of Valencia	13332	1.40	50.88%	56.74%
University of Granada	12393	1.32	48.39 %	52.28%
Autonomous University of Madrid	11860	1.47	54.33%	62.04%
University of Basque Country	10984	1.22	50.25%	57.63%
Universitat Politecnica de Valencia	8217	1.08	41.77%	55.26%
University of Santiago De Compostela	7642	1.29	50.88%	56.50%
Pompeu Fabra University	5942	1.91	59.95%	66.13%

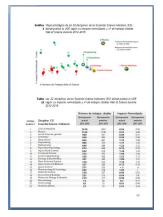
All universities
perform better than
Granada according
based on the
percentage of
papers in First
Quartile Journals

★ UGR performs well according citation impact and international collaboration, but has to improve the share of papers in first Quartile journals

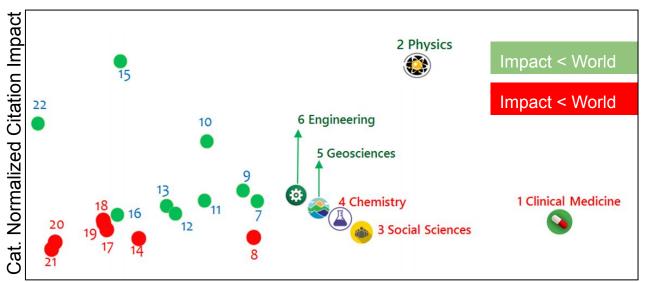
Benchmarking & Comparisons

★ If we are working at universities it is important also to represent in a bivariate graph the position of our institution within our national university system





- ★ Another question that we have to adress in our report is the thematic profile of our institutions; detecting best fields fields and disciplines
 - For this we must consider the use of **different aggregation levels**. Select at least one general level for an overview of the fields (for example Essential Science Indicators, 22 fields) and a more specific discipline level (for example Web of Science Categories, more than 200 categories).
- **★** Differentiate always between Science and Social Social Sciences / Humanities



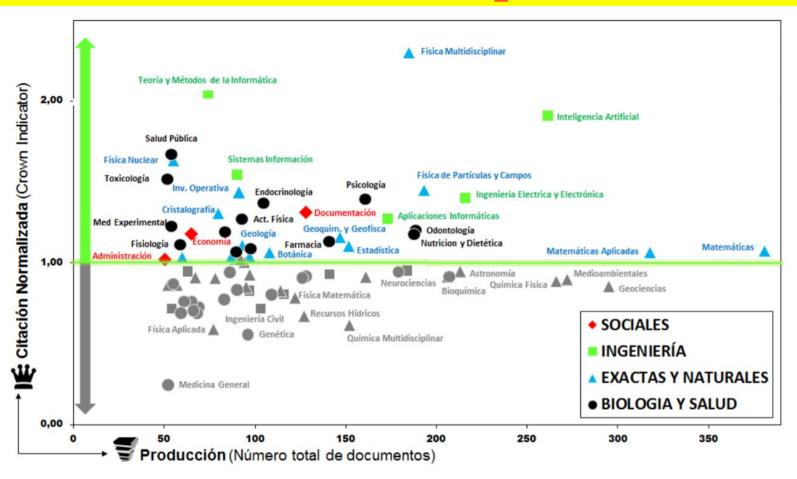
Nr of Citable Papers

1 Clinical Medicine, 2 Physics, 3 Social Sciences, 4 Chemistry, 5 Geosciences, 6 Engineering, 7 Mathematics, 8 Psychology, 9 Agricultural Science, 10 Computer Sciences, 11 Ecology, 12 Biology, 13 Plant & Animal Science, 14 Neuroscience, 15 Space Science, ...

We can see the general scientific profile of the UGR according ESI classification.

In this case we can identify very productive but low impact areas (1, 3, 4); very productive and high impact areas (2); relatively productive but with high impact areas (15) and not productive and low impact areas (14, 17,)

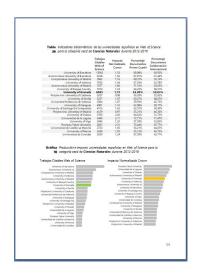
Take care when choosing a classification, for example ESI does not have an specific field for the Humanities



Web of Science Category Social Sciences and Humanities	Nr Citable Papers	Categoy Normalized Citation Impact	% International Collaboration	% First Quartil Journals
EDUCATION & EDUCATIONAL RESEARCH	193	0.82	26.94%	11.61%
INFORMATION SCIENCE & LIBRARY SCIENCE	172	1.07	25.58%	43.37%
ECONOMICS	138	1.10	52.90%	27.27%
MANAGEMENT	114	1.12	28.95%	23.91%
LANGUAGE & LINGUISTICS	102	0.62	22 55%	
LINGUISTICS	101	0.34	28.71%	11.96%
BUSINESS	85	1.10	28.24%	18.18%
SOCIAL SCIENCES. INTERDISCIPLINARY	82	0.95	36.59%	51.25%
HISTORY	70	0.51	5.71%	5.00%

In this example we have the second level of presentation of the data at the disciplinary level, the WoS categories applied to the social sciences and the humanities.

Combining fields and institutions

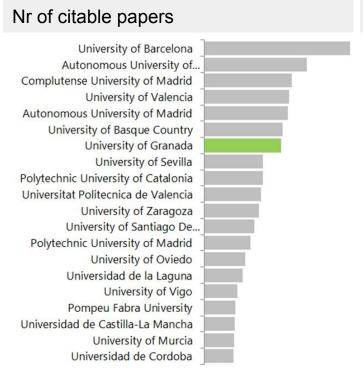


Another interesting option to complete the report is to compare the performance of our institution with other universities in different scientific fields.

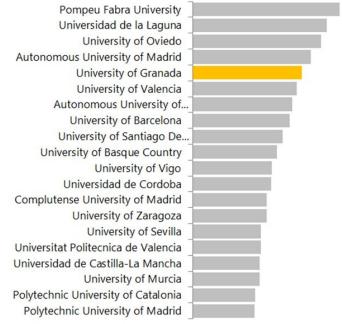
★ At University of Granada we check its position in five different fields (Natural Science, Engineering, Health Sciences, Social Sciences and Humanities) and compare its results with the Spanish university system.

Combining fields and institutions

For 5 five fields we have prepared two graphs



Category Normalized Citation Impact



In this example we can see the position of the University of Granada in the field of Natural Sciences according to two different bibliometric indicators.

Combining fields and institutions

Área Científica

Ciencias de la Salud

Ciencias de la Tierra

Ciencias Sociales y Derecho

Física

Humanidades

Ingeniería

Matemáticas

Psicologíay/ Psiquiatría

Química

CIENCIAS DE LA SALUD				
SPORT SCIENCES	Rank	Trab.	Impacto	%Q1
University of Granada	1	295	0.93	28.57%
University of Basque Country	2	163	1.06	31.90%
Polytechnic University of Madrid	3	155	0.73	24.68%
Universidad de Castilla-La Mancha	4	140	0.94	37.14%
University of Barcelona	5	139	0.93	42.34%
NUTRITION AND DIETETICS	Rank	Trab.	Impacto	%Q1
University of Granada	1	503	0.95	37.08%
University of Barcelona	2	437	1.79	58.82%
University of Navarra	3	374	1.84	51.52%
University of Zaragoza	4	275	1.11	49.21%
Universitat Rovira i Virgili	4	275	2.07	62.78%
DENTISTRY, ORAL SURGERY & MEDICINE	Rank	Trab.	Impacto	%Q1
Complutense University of Madrid	1	298	1.58	49.15%
University of Granada	2	283	1.27	56.83%
University of Valencia	3	216	1.12	23.36%
University of Barcelona	4	184	0.88	29.67%
Universitat Internacional de Catalunya (UIC)	5	161	1.32	44.37%

Non bibliometric Indicators: funding





In order to complement the information provided by bibliometric indicators we can include information on other inputs

- ★ We use the number of projects and total funding in competitive programmes to contextualize our results. We consider two calls a) Spanish R&D National programmes and b) European funding from the European Commission.
- ★ Nr. of project and total funding are important indicators but also success rate (Nr of applications / Proposals selected for funding). It is important also to compare these indicators with national or UE average and do benchmarking with other institutions

Non bibliometric Indicators: funding

	Total Applications	Proposals selected for funding	Sucess Rate	Total Funding
UNIVERSIDAD DE BARCELONA	191	125	65%	13.194.445€
UNIVERSIDAD DE GRANADA	171	99	54%	8.533.404€
UNIVERSIDAD COMPLUTENS E DE MADRID	188	99	53%	9.422.754€
UNIVERSIDAD DE SEVILLA	189	91	48%	11.665.489€
		•••	•••	

★ In the University of Granada we focus on national research programmes. In this example we can see the results of four Spanish universities in the last year. We can see that the University of Granada is the second university in Spain with a larger number of projects conceded

Indicators at author level

For authors we have included the researchers with the highest H-index in Web of Science for different scientific categories

	****	Nr of papers	H Index	Starting Year
Agriculture AGRONOMY	GARCIA DEL MORAL GARRIDO, LUIS FERNANDO	58	23	1988
Biology ECOLOGY	The state of the s	121	40	1990
ENVIRONMENTAL SCIENCES FISHERIES GENETICS & HEREDITY		221 76 180	41 26 28	1979 1988 1980
MICROBIOLOGY MICROBIOLOGY	MAQUEDA ABREU, MERCEDES	127 103 152	40 36 32	1981 1982 1988
ORNITHOLOGY ORNITHOLOGY ZOOLOGY	**************************************	54 152	18 32	1988 1998 1988
Health sciences DENTISTRY & ORAL SURGERY MEDICINE		196	39	1995
DENTISTRY & ORAL SURGERY MEDICINE	OSORIO RUIZ, RAQUEL	235	37 	1993

Indicators at author level



★ Finally, we include the most outstanding researchers in "Google Scholar Profiles".

- **★** DATA CURATION:
- ★ We review all profiles and only include those with correct information. We have analyzed a total of 2500 University of Granada profiles and approved 1,700.
- Juan Ramón González González

 PhD Researcher, University of Granada
 Dirección de correo verificada de decsai.ugr.es Página principal
 Artificial Intelligence Soft Computing Dynamic Optimization Prob...

 Titulo

 CITADO POR AÑO

 Dependence on pseudorapidity and on centrality of charged hadron production in PPPb collisions at √SNN = 2.76 TeV

 S Chalrchvan, V Khachatrvan, AM Sirurvan, A Tumasvan, M Adam

★ These profiles have been classified into five different scientific areas. In the report we present a summary of the 200 most cited researchers

Indicators at author level

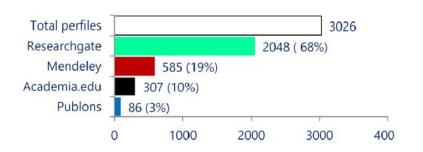
Top 25 ciencias de la salud



		Cit	L. Sandan
	manufactured with the second s	Citas	h-index
1_	ANGEL GIL HERNANDEZ	30007	76
2	FRANCISCO B ORTEGA PORCEL	17434	67
3	NICOLAS OLEA SERRANO	16472	57
4	JONATAN RUIZ RUIZ	15143	71
5	DARIO ACUÑA CASTROVIEJO	11939	63
6	MANUEL JOAQUIN CASTILLO	11606	54
7	FATIMA OLEA SERRANO	8284	35
8	GERMAINE ESCAMES ROSA	8236	52
9	MARIANA FATIMA FERNANDEZ	7923	41
10	JESÚS GONZÁLEZ LÓPEZ	7541	45
11	JOSE LUIS QUILES MORALES	7435	49
12	JUAN LUPIAÑEZ CASTILLO	7290	47
13	VICENTE ENRIQUE CABALLO	7104	38
14	JUAN MANUEL DUARTE PEREZ	7070	46
15	JULIO JUAN GALVEZ PERALTA	6670	44
16	CRISTINA CAMPOY FOLGOSO	6028	38
17	MANUEL SANCHEZ POLO	5840	39
18	M ROSARIO RUEDA CUERVA	5822	21
19	MARIA CARMEN RAMIREZ TORTOSA	5684	38
20	MERCEDES MAQUEDA ABREU	5486	44
21	MIGUEL PEREZ GARCIA	5391	37
22	CARMEN CABRERA VIQUE	5366	33
23	LUIS CARLOS LOPEZ GARCIA	5195	43
24	FRANCISCO NOGALES FERNANDEZ	5094	38
25	FRANCISCO J O'VALLE RAVASSA	4785	39

Departamento
Bioquímica y Biología Molecular – Farmacia
Educación Física y Deportiva
Radiología y Medicina Física
Educación Física y Deportiva
Fisiología
Fisiología
Nutrición y Bromatología
Fisiología
Radiología y Medicina Física
Microbiología
Fisiología
Psicología Experimental
Personalidad, Evaluación y Tratamiento Psicológico
Farmacología
Farmacología
Pediatría
Química Inorgánica
Psicología Experimental
Bioquímica y Biología Molecular – Farmacia
Microbiología
Personalidad, Evaluación y Tratamiento Psicológico
Nutrición y Bromatología
Fisiología
Anatomía Patológica e Historia de la Ciencia
Anatomía Patológica e Historia de la Ciencia

Extra chapter

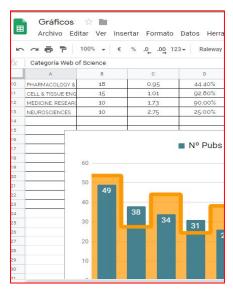


Always I include all years and special chapter: For example last year I focus in the use of networks by teachers at the UGR

	Nº Total profesores	N° total profesores con al menos 1 perfil	% total de profesores con al menos 1 perfil	N° total de perfiles	A	MENDRLEY	p	R ^G
CIENCIAS	614	362	• 59%	459	23	86	20	330
FILOSOFÍA Y LETRAS	394	125	• 32%	160	42	19	3	96
INFORMÁTICA Y DE TELECOM	177	122	• 69%	160	9	38	2	111
CIENCIAS DE LA EDUCACIÓN	257	124	• 48%	152	23	24		105
CIENCIAS ECONÓMICAS	238	117	• 49%	149	8	26	5	110
FARMACIA	189	86	• 46%	106	6	17	2	81

Template for quick and nice reports





TEMPLATE



Transparency and availability of data

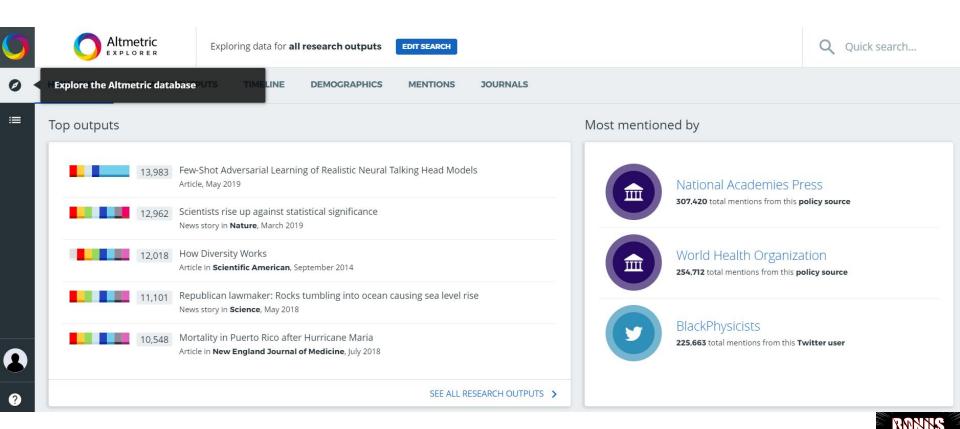
- ★ To promote institutional transparency, reproducibility, verification of results and data reuse by other departments, we share our data in an standardized way.
- ★ Livemetrics Portal. We have developed a portal that includes a dynamic version of the report with the main indicators





Additional resources

Altmetric.com

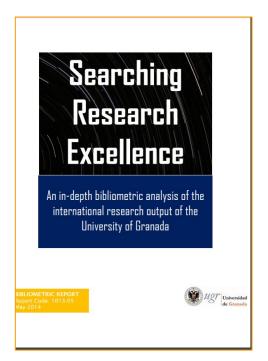


Leiden ranking: downloads

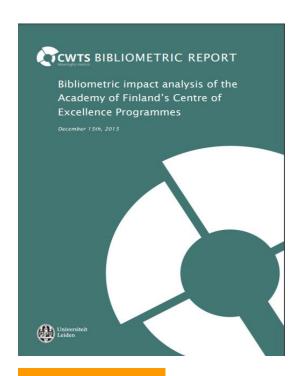


Examples and resources

Institutional reports: examples













Institutional reports: examples

Collaboration

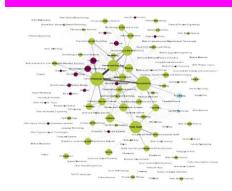




UNIVERSITY OF GRANADA

MODENA

Karolinska



BIBLIOMETRIC HANDBOOK FOR KAROLINSKA INSTITUTET

UNIVERSITY LIBRARY BIBLIOMETRIC TEAM 2014

CATHARINA REHN, CARL GORNITZKI, AGNE LARSSON & DANIEL WADSKOG



BIBLIOMETRIC INDICATORS – DEFINITIONS AND USAGE AT KAROLINSKA INSTITUTET

UNIVERSITY LIBRARY BIBLIOMETRIC TEAM 2014

CATHARINA REHN, DANIEL WADSKOG, CARL GORNITZKI & AGNE LARSSON

This appendix to the Bibliometric handbook for Karolinska Instituter lists indicators used, or considered for use, at Karolinska Institutet together with their definitions, some comments on advantages and shortcomings of the different indicators, and how/if they are implemented at Karolinska Institutet.

First, some general notes on the definitions and the calculation of indicators in the appendix:

- Inclusion or exclusion of self citations see the handbook for more information
 – might affect the resulting indicator values, but not how the indicators are
 calculated. Self citations are therefore noted as a separate indicator, but not in
 the context of any of the other indicators. At Karolinska Institutet, we do not
 presently remove self-citations when calculating our indicator values.
- Fractional batter or experience of the property of publication is between the continuous publication or any other man of weighting of publications between the continuous publications and publications and publications and publications and publications and publications and a publications and publications are publications and publications and publications are publications and publications and publications are publications are publications and publications are publications are publications and publications are publications are publications are publications and publications are publ
- The validity of several of the indicators improves if the authors themselves validate or supply information about their publications before the indicator values are calculated. If the analysis is done on anything below university level it is particularly important.
- CWTS indicators and denotations are included in this indicator definition list where appropriate, since these are well known in the bibliometric community.

Note: The word unit is here to be interpreted as "unit of analysis", unless in the context of "research unit".



Institutional reports: Examples

Scientific indicators of research performance for the European Centre for Medium-Range Weather Forecasts

EC3metrics

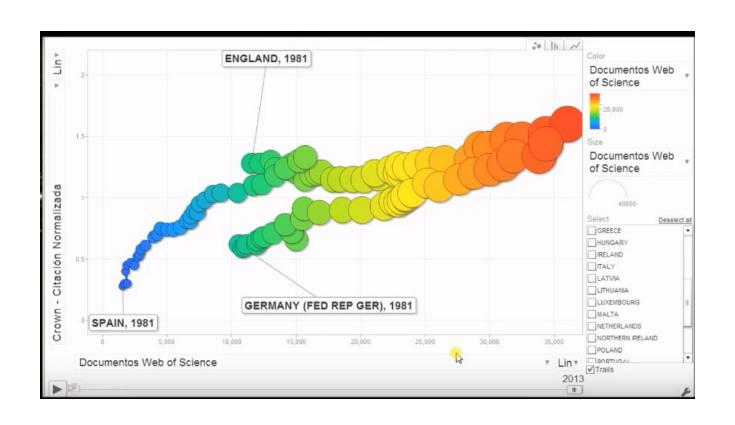


Contextual Response
Analysis of publications
of the Netherlands
Environmental
Assessment Agency PBL



Contextual Environmen	Response Analysis of publications of the Netherlands tal Assessment Agency PBL
DRAFT vers	ion June 1, 2017
Ad Prins,	1908 Cattis (Ingerio, Velencia/Granada SP.)
p	nos Larca (nigeno, veerca, curenda sur)
inge	SIX-UPV CONTRACTOR

Video reports







Leuven 2019









Bibliometrics in practice how to generate reports for institutions