

1 **Simultaneous synthesis of lactic acid and hydrogen from sugars via capnophilic lactic**
2 **fermentation by *Thermotoga neapolitana* cf *capnolactica***

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27 **Abstract**

28 This study investigated the effect of the salinity level, buffering agent and carbon
29 source on the hydrogen (H₂) and lactic acid synthesis under capnophilic (CO₂-assisted) lactic
30 fermentation (CLF) by *Thermotoga neapolitana cf capnolactica* (DSM 33003). Several
31 series of batch fermentation experiments were performed either in 0.12 L serum bottles for
32 selection of the best performing conditions or in a 3 L fermenter for the best possible
33 combination of conditions. The serum bottle study revealed that change in the salinity level
34 of the culture medium from 0 to 35 g L⁻¹ NaCl increased lactic acid synthesis by 7.5 times
35 without affecting the H₂ yield. Use of different buffers (MOPS, TRIS or HEPES) did not
36 affect the average H₂ yield of 3.0 ± 0.24 mol H₂ mol⁻¹ of glucose and lactic acid synthesis of
37 13.7 ± 1.03 mM when the cultures were sparged by CO₂. Among the carbon sources
38 investigated, glucose was found to be the best performing carbon source for the CLF
39 fermentation with 35 g L⁻¹ of NaCl and 0.01 M of phosphate buffer. Hence, an up-scale
40 experiment using a 3 L fermenter and the combination of the best performing conditions
41 showed a 2.2 times more lactic acid synthesis compared to the 0.12 L serum bottle
42 experiments. The study reveals the robustness and flexibility of the CLF-based technology

43 using *T. neapolitana cf capnolactica* fermentation under various operating environmental
44 conditions.

45 **Keywords:** Thermophilic bacteria; hydrogen; lactic acid; fermentation; salinity level;
46 buffering agent.

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48 1. Introduction

49 Research efforts are currently focused on finding sustainable, clean and alternative
50 energy sources, which are of urgent need for the increasing energy demand and growing
51 concerns about greenhouse gas (GHG) emissions. Hydrogen (H₂) is a clean energy carrier,
52 as it does not emit any GHGs upon its utilization. Currently, over 90% of the global H₂ is
53 produced through physico-chemical routes, such as pyrolysis and gasification, thereby
54 indirectly emitting GHGs (i.e. SO_x, NO_x, CO and CO₂) to the atmosphere [1, 2]. On the other
55 hand, biological methods to produce hydrogen are free from net emission of GHGs and, for
56 this reason, have raised interest in the scientific community. Among the biological routes,
57 fermentation processes like Dark Fermentation (DF) are particularly promising because of
58 additional advantages that include high H₂ production rates, low energy demand, low
59 operational cost, cheap and easily available substrates and simple operational techniques with
60 reliable process stability [3].

61 The introduction of the hyperthermophilic marine eubacterium *Thermotoga*
62 *neapolitana* for H₂ production has had a significant impact in this research field, despite
63 general pitfalls including the high risk of contamination due to use of a pure culture and the
64 change of the fermentation products as a result of slight deviations of the operating conditions

65 [3-7]. Recently, an unexpected high lactic acid production was achieved without
66 compromising H₂ yield by using a stream of CO₂ gas to maintain the culture under anaerobic
67 conditions [8].

68 After further investigation, a new metabolic pathway was discovered for this
69 fermentation process, which was termed as capnophilic lactic fermentation (CLF) to
70 underline the requirement of saturated CO₂ concentrations in the culture medium [8, 9]. In
71 the newly discovered pathway, exogenous CO₂ and acetic acid produced by the glycolytic
72 fermentation of sugars are recycled to synthesize pyruvate and lactic acid [3, 8, 10]. This new
73 metabolic pathway is an absolute novelty in the field of microbial fermentation and it
74 provides robust process stability and reproducibility [6, 11, 12].

75 Lactic acid is an important industrial chemical of wide commercial use in the food,
76 pharmaceutical, cosmetic and chemical sectors [13]. However, these applications are
77 currently limited by its modest synthesis and lack of cost effective extraction and purification
78 techniques [13, 14]. A CLF-based process has promising facets to be considered in the large-
79 scale production that are necessary to address the increasing demand of lactic acid. In fact,
80 various kinds of carbohydrate rich organic wastes/substrates can be fermented efficiently and

81 effectively as well as the process has potential to sequester exogenous CO₂ to produce lactic
82 acid [8].

83 The main aim of this study was to identify how the culture parameters in terms of
84 salinity level, buffering agent and carbon source affect H₂ and lactic acid synthesis under
85 CLF conditions. For the experiments, we used *Thermotoga neapolitana cf capnolactica*
86 (DSM 33003) [11], a proprietary mutant strain derived from *T. neapolitana* DSMZ 4359^T.
87 Additionally, the effects of the best performing parameters were assessed for the CLF-based
88 process by up-scaling from a 0.12 L micro fermenter (serum bottle) to a laboratory scale 3.0
89 L fermenter.

90 **2. Materials and methods**

91 **2.1 Bacterial strain and culture medium**

92 Unless otherwise specified, the experiments were carried out using *Thermotoga*
93 *neapolitana cf capnolactica* (*Tn_{cf}*) (DSM 33003, safe deposit), a recently described lab strain
94 derived from *T. neapolitana* DSMZ 4359^T (Braunschweig, Germany) [11]. The bacterium
95 was grown anaerobically in a standard culture medium (i.e. modified version of the ATCC
96 1977 culture medium) containing (g L⁻¹): NaCl 10.0; KCl 0.1; MgCl₂·6H₂O 0.2; NH₄Cl 1.0;

97 K_2HPO_4 0.3; KH_2PO_4 0.3; $CaCl_2 \cdot 2H_2O$ 0.1; cysteine-HCl 1.0; yeast extract 2.0; tryptone 2.0;
98 glucose 5.0; resazurin 0.001; 10 ml of filter-sterilized vitamins and trace element solutions
99 (DSM medium 141) in 1L distilled H_2O [4, 9].

100 2.2 *Experimental set-up*

101 The effects of salinity level, buffering agent and carbon source on the H_2 yield and
102 lactic acid synthesis by Tn_{cf} were investigated under CLF conditions [9] by varying one of
103 these parameters while keeping the others constant. The culture medium was prepared
104 according to the previously described methods [4]. All batch fermentation experiments were
105 conducted in serum bottles with a working volume to headspace ratio maintained at 1:3. The
106 culture medium was sparged with a stream of pure CO_2 gas for 3 min at 30 mL min^{-1} and
107 then inoculated with the wet biomass (6%, $v v^{-1}$), previously washed twice in 10 g L^{-1} NaCl
108 solution. The serum bottles were kept in the incubator at $80 \text{ }^\circ\text{C}$ without agitation for 72 h.
109 The initial pH ($t = 0 \text{ h}$) was corrected to 7.5 ± 0.1 by 1 M NaOH, except for the experiments
110 assessing the effects of buffering agents and for the composite experiments when best
111 performing parameters were combined. The successive pH corrections were carried out every
112 24 h unless stated otherwise. All batch fermentation experiments in serum bottles were
113 triplicated.

114 2.2.1 Batch fermentation experiments

115 The effect of the salinity level was studied by varying NaCl concentrations from 0–35
116 g L⁻¹ (i.e. 0, 5, 10, 20 and 35 g L⁻¹) in the standard culture medium with glucose as carbon
117 source [4]. The effect of a buffering agent was investigated by using 0.01 M for each of the
118 following chemicals: (a) diacid/monoacid phosphate; (b) 3-(*N*-morpholino) propanesulfonic
119 acid (MOPS) (pH range = 6.4–7.8 with an optimal pH of 7.2 at 25 °C); (c) tris
120 (hydroxymethyl) aminomethane (TRIS) (pH range = 7.0–9.0 with an optimal pH of 8.06 at
121 25 °C) and (d) 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES) (pH range =
122 6.8–8.2 with an optimal pH of 7.48 at 25 °C) in the standard culture medium without
123 additionally supplementing the phosphate buffer. Additionally, two other sets of control
124 experiments were conducted to understand the fermentability of glucose by *Tn_{cf}* in the
125 absence of buffering agents. A set was sparged with only CO₂ and the other sparged with N₂
126 gas.

127 The effect of the carbon source was studied by using 5 g L⁻¹ of arabinose, xylose,
128 glucose, sucrose, laminarin and carboxymethyl cellulose (CMC) in the culture medium as
129 done for the standard culture medium [4, 15]. Two sets of composite experiments were
130 conducted by combining the best performing culture parameters (i.e. salinity level, buffering

131 agent and carbon source) in terms of H₂ and lactic acid synthesis in the serum bottles. The
132 culture parameters selected for the composite experiments were: (a) 35 g L⁻¹ NaCl, (b) 0.01
133 M phosphate buffer and (c) either 5 g L⁻¹ (or 28 mM) of glucose or 5 g L⁻¹ (or 33 mM)
134 arabinose.

135 **2.2.2 Scale-up experiment**

136 The composite experiment with glucose was scaled up to a 3 L fermenter and the
137 culture parameters were set as: (a) 35 g L⁻¹ NaCl, (b) 0.01 M phosphate buffer and (c) 28 mM
138 of glucose. The scale-up experiment was carried out in a jacketed 3 L reactor (Applikon
139 Biotechnology, The Netherlands) containing 0.7 L culture medium and inoculated with the
140 wet biomass (6%, v v⁻¹), previously washed twice in a 10 g L⁻¹ NaCl solution. The mixture
141 was sparged with a stream of pure CO₂ gas for 5 min at 30 mL min⁻¹. The initial pH of the
142 fermentation mixture was adjusted to 7.5 by titrating with 1 M NaOH. The temperature was
143 kept thermostatically constant at 80 ± 1 °C and the mixture was stirred at 50 rpm using an
144 electro-magnetic stirring unit [10]. The fermentation was carried out for 24 h with samples
145 taken at the beginning (t = 0 h) as well as at the end (t = 24 h) of the experiment. The
146 experiment in the 3 L fermenter was conducted in triplicate.

147 2.3 Analytical methods

148 The gaseous metabolites (H₂ and CO₂) were measured by gas chromatography (Focus
149 GC, Thermo Scientific) [9]. The biochemical analyses of water-soluble metabolites (i.e.
150 acetic, lactic acid and alanine) were performed on the supernatants (previously centrifuged
151 at 13,000 rpm for 5 min and stored at -20 °C) using a ¹H Nuclear Magnetic Resonance
152 (NMR) 600 MHz spectrometer (Bruker Avance 600) without any processing of the samples
153 [9]. Biomass growth was monitored through optical density measurements ($\lambda = 540$ nm) by
154 a UV/Vis spectrophotometer (V-650, Jasco) [4, 9]. Cell dry weight (CDW) was calculated
155 according to the optical density and dry cell weight correlative equation for the *Tn_{cf}* bacterium
156 [10].

157 The residual concentration of sugar was measured by the dinitrosalicylic acid (DNS)
158 method calibrated on a standard solution of 1 g L⁻¹ glucose for hexose and 1 g L⁻¹ xylose for
159 pentose [16]. The residual concentrations of di- and polysaccharides (i.e. sucrose, laminarin
160 and CMC) were measured by the phenol/sulfuric acid method calibrated on a standard
161 solution of 0.2 g L⁻¹ of glucose [17]. The molar recovery of carbon and hydrogen after 72 h
162 or 24 h fermentation was calculated in agreement with previous methods [9]. The carbon
163 recovery was calculated by considering initial and final concentrations of carbon contained

164 in sugar, acetic acid, lactic acid, alanine and CO₂. Hydrogen recovery was calculated by
165 considering initial and final concentrations of hydrogen contained in sugar, H₂, acetic acid,
166 lactic acid and alanine.

167 **3. Results**

168 **3.1 Effect of salinity**

169 The effect of salinity on H₂ and organic acids (i.e. acetic and lactic acid) synthesis,
170 amino acid synthesis (alanine), C and H recovery is reported in [Table 1](#). Corresponding H₂,
171 acetic acid, lactic acid and biomass yields are summarized in [Fig. 1](#). The result showed that
172 over 90% of the substrate was consumed within 72 h of incubation. H₂ synthesis increased
173 by 43.5% when NaCl concentration increased from 0 to 20 g L⁻¹ and decreased by 15% when
174 NaCl concentration was further increased to 35 g L⁻¹ ([Fig. 1A](#)). As evident from [Fig. 1A](#) and
175 1D, there was a clear link between the biomass yield and H₂ production. The highest biomass
176 growth was observed at 10 g L⁻¹ NaCl (i.e. standard culture medium) and the biomass growth
177 decreased by 25% when subjected to 35 g L⁻¹ NaCl in the culture medium.

178 The acetic acid synthesis showed a trend similar to that of H₂ synthesis and biomass
179 growth with increase from 20.7 ± 0.3 mM with no NaCl to 26.1 ± 4.7 mM at 10 g L⁻¹ NaCl

180 (i.e. standard culture medium). In analogy with H₂ synthesis, acetic acid production
181 decreased to 23.2 ± 0.8 mM at 35 g L⁻¹ NaCl. However, this effect was associated to a
182 remarkable boost (over 7.5 fold) in the lactic acid synthesis when NaCl concentration raised
183 from 0 and 35 g L⁻¹ NaCl, i.e. from 2.8 ± 0.3 mM to 21.6 ± 6.2 mM lactic acid (Fig. 1A).
184 These results are in good agreement with the production by *T. neapolitana* at 10 g L⁻¹ NaCl
185 [12]: 29.9 ± 1.3 mM acetic acid, 14.8 ± 0.8 mM lactic acid and 3.3 mol H₂ mol⁻¹ of glucose.
186 On the whole, the experiments with varying salinity up to 35 g L⁻¹ NaCl suggested that the
187 higher the salinity level, the better the fermentation performance with respect to H₂ and lactic
188 acid synthesis, i.e. 2.91 ± 0.37 mol H₂ mol⁻¹ of glucose and 21.6 ± 6.2 mM lactic acid.
189 Interestingly, the experiments also revealed that a halophilic strain like *Tn_{cf}* can degrade the
190 organic substrate in the absence of NaCl in the culture medium.

191

192 3.2 *Effect of buffering agent*

193 The results of the effect of different buffering agents are presented in Table 2. On the
194 whole, the H₂ yield ranged from 1.78 ± 0.29 to 3.27 ± 0.18 mol H₂ mol⁻¹ of glucose. The H₂
195 synthesis was found to be the highest in MOPS buffer as shown in Fig. 2A. Biomass growth,
196 substrate consumption and product formation were dependent on the buffering capacity of

197 the culture medium. In the control experiment, the substrate was not completely consumed
198 due to poor buffering capacity with an end point pH of 4.8. On the other hand the substrate
199 was completely consumed in the well buffered experiments with a recorded end point pH of
200 above 6.2 (Table 2).

201 The highest and lowest acetic acid synthesis were 26.8 ± 0.3 mM and 22.8 ± 0.4 mM
202 in the TRIS buffer and control experiments, respectively. The highest value of lactic acid
203 synthesis was 14.9 ± 0.3 mM with phosphate buffer and the lowest (11.3 ± 0.6 mM) for the
204 control experiment (Table 2). The results from the buffering agent experiments indicate that
205 buffering agents along with CO₂ (or HCO₃⁻) played a crucial role in maintaining the pH,
206 thereby facilitating better substrate degradation.

207

208 3.3 *Effect of carbon source*

209 *Tn_{cf}* can metabolize both simple and complex organic substrates to produce H₂ and
210 organic acids [3]. The results of H₂ and organic acid synthesis from various types of carbon
211 sources are presented in Table 3. In addition, H₂, acetic acid, lactic acid and biomass yield
212 are reported in Fig. 3. H₂ yield under CLF conditions from pentose sugars like xylose and
213 arabinose was 3.2 ± 0.1 and 2.8 ± 0.3 mol H₂ per mole of sugar, respectively. Similarly, the

214 H₂ yield from glucose, sucrose and laminarin was, respectively, 3.34 ± 0.02 mol H₂ mol⁻¹ of
215 glucose, 2.56 ± 0.1 mol H₂ *per* mole glucose equivalent and 3.70 ± 0.17 mol H₂ *per* mole
216 glucose equivalent. From CMC, the H₂ yield was 2.05 ± 0.13 mol H₂ mol⁻¹ of glucose
217 equivalent with only 10% of the substrate being consumed after 72 h of fermentation. The
218 CMC fermentation with Tn_{cf} is rather slow and not comparable with other simple sugars,
219 indicating that it requires pretreatment of CMC in order to improve its fermentability [18].

220 The lactic acid synthesis was found to be significantly higher with glucose and
221 sucrose as the carbon source, i.e. 14.8 ± 0.3 and 17.0 ± 1.3 mM, respectively, compared to
222 the other sugars (Table 3). The lactic acid to acetic acid ratio (Lac/Ac) for both arabinose and
223 glucose amounted to $> 0.47 \pm 0.01$, whereas the highest Lac/Ac ratio (0.7 ± 0.1) was observed
224 for sucrose as the carbon source. From the experiments with various carbon sources, it is
225 evident that both glucose and arabinose performed best with respect to H₂ yield and lactic
226 acid synthesis. Hence, both glucose and arabinose were selected for further investigation by
227 combining the best performing parameters with respect to salinity, buffer and carbon source.
228

229 **3.4 Composite experiments**

230 A salinity level of 35 g L⁻¹ NaCl in 0.01 M of phosphate buffer and either 5 g L⁻¹ of
231 glucose (28 mM) or arabinose (33 mM) as carbon source were selected for the composite
232 experiments. The results of the composite experiments are presented in [Table 4](#). About 80%
233 of the substrate was consumed after 72 h of incubation. The H₂ yields from arabinose and
234 glucose were 2.99 ± 0.10 mol H₂ *per* mole of arabinose and 3.08 ± 0.27 mol H₂ mol⁻¹ of
235 glucose, respectively. The lactic acid synthesis from arabinose and glucose fermentation
236 were 0.22 ± 0.10 and 0.54 ± 0.02 mol *per* mole of sugar, respectively. The composite
237 experiments showed that a higher quantity of lactic acid was synthesized with glucose as the
238 carbon source compared to arabinose under similar operating conditions.

239

240

< TABLE 4 >

241

242 **3.5 Process scale-up**

243 To validate the performance of the composite experiment, the process was scaled up
244 from 0.12 L serum bottles to a 3 L fermenter containing 35 g L⁻¹ NaCl, 0.01 M phosphate
245 buffer and 5 g L⁻¹ (or 28 mM) glucose in the culture medium ([Table 4](#)). The performance of

246 the composite experiment in the 3 L fermenter was further improved with over 90% glucose
247 consumed in 24 h of fermentation compared to about 80% in the 0.12 L serum bottles after
248 72 h of incubation. The H₂ yield was 3.07 ± 0.23 mol H₂ mol⁻¹ of glucose, which was
249 comparable to that of the composite experiment conducted in the serum bottles (Table 4).
250 The lactic acid synthesis was 29.4 ± 6.9 mM with a Lac/Ac ratio of 1.32 ± 0.31 compared to
251 the Lac/Ac ratio of 0.67 ± 0.01 in the composite experiment with serum bottles. The scale-
252 up experiment not only improved the overall fermentation efficiency, but also enhanced the
253 lactic acid synthesis by 2.2 fold compared to the composite batch experiments in serum
254 bottles under similar culture parameters.

255 4. Discussion

256 4.1 Best performing culture parameter for CLF by Tn_{cf}

257 4.1.1 Effect of salinity

258 This study showed that Tn_{cf} has a great adaptability to a wide range of salinity levels
259 (0–35 g L⁻¹ NaCl) (Table 1) for simultaneous synthesis of H₂ and lactic acid under CLF
260 conditions. The serial experiments showed that lactic acid synthesis increased by 7.5 fold
261 when the NaCl concentration in the culture medium was increased from 0 to 35 g L⁻¹.

262 Similarly, a recent study on H₂ producing *Vibrionaceae* showed that increasing salinity levels
263 from 9 to 75 g L⁻¹ of NaCl increased the lactic acid synthesis significantly [19]. We also
264 showed that there is a direct correlation between lactic acid synthesis and salinity level (Fig.
265 1A), thus suggesting that increase of salinity level can induce an additional enhancement of
266 the recycling of acetic acid to lactic acid under CLF conditions. It is possible that a sodium
267 ion gradient potentially fuels ATP synthesis and transport process, thus driving the coupling
268 of exergonic and endergonic reactions in the cell. High salt concentrations are also related to
269 the bioenergetic balance within the cells and supports availability of reducing equivalents
270 necessary for the lactic acid synthesis. An incomplete carbon and hydrogen recovery were
271 observed as presented in Table 1, which could be attributed due to the exclusion of *Tn_{cf}*
272 biomass component, residual concentrations of inorganic carbon (HCO₃⁻ and CO₃²⁻), the
273 contributions from components present in the fermentation media and other minor
274 byproducts of the process.

275 4.1.2 Effect of buffering agent

276 Experiments with 0.01 M of different buffering agents along with CO₂ sparging
277 showed that H₂ and lactic acid synthesis were comparable and had no significant difference
278 (Table 2). A past study on *Thermotoga* strains showed the effect of varying concentrations

279 of different buffering agents and found that 0.1 M of HEPES was the best performing
280 buffering agent under N₂ sparging atmosphere, yielding 1.6 ± 0.1 mol H₂ mol⁻¹ of glucose
281 and 1.1 ± 0.1 mol acetic acid mol⁻¹ of glucose [20]. In another study, 0.05 M HEPES was
282 found to be sufficient in maintaining the buffering capacity of the culture medium and
283 produced 2.7 ± 0.1 mol H₂ mol⁻¹ of glycerol consumed under N₂ sparging atmosphere [21].
284 In a sharp contrast, Table 2 showed that only 0.01 M of buffers (either of phosphate or MOPS
285 or TRIS or HEPES) under CLF conditions provided better results in terms of both H₂ and
286 lactic acid synthesis by *Tn_{cf}*.

287 The buffering agent along with dissolved CO₂ in the form of HCO₃⁻ played a major
288 role in maintaining the pH of the culture medium (pH ~ 6.5), which ensured complete
289 substrate degradation and desired byproduct formation. However, the application of carbon
290 based buffering agents like MOPS, TRIS and HEPES are expensive and not recommended
291 for large-scale applications. Therefore, the buffering capacity of the culture medium can be
292 maintained by using a non-carbon based buffer like phosphate along with bicarbonate (due
293 to dissolved CO₂). The phosphate buffer along with dissolved CO₂ was found to be a suitable
294 combination in maintaining the desired buffering capacity of the CLF fermentation process
295 [10, 22].

296 4.1.3 Effect of carbon source

297 Ability of *T. neapolitana* DSMZ 4359^T (wild-type strain) to ferment different carbon
298 sources under N₂ sparging atmosphere has been previously investigated [3]. The reported H₂
299 yields from xylose, arabinose, glucose, sucrose, laminarin and CMC under N₂ sparging
300 atmosphere were quite similar to the results obtained under CO₂ sparging atmosphere by *Tn_{cf}*
301 fermentation in the present study (Table 3) [5, 10, 18, 23]. The ability of *Tn_{cf}* to utilize pentose
302 sugar as the carbon source opens a new prospective for handling agriculture-based waste (e.g.
303 cellulosic feedstocks) where xylose is one of the key sugars found upon hydrolysis [18]. The
304 present study with CO₂ sparged culture also showed that Lac/Ac ratio for glucose as carbon
305 source exceeds 0.49 (Table 3). This represents a significant change in organic acid production
306 since N₂ sparged cultures under similar experimental conditions gave Lac/Ac ratios of only
307 0.2 [4] and 0.3 [10].

308 4.2 Process scale-up with best performing culture parameters

309 According to the conventional dark fermentation model, the lactic acid synthesis is
310 always associated with a metabolic shift due to changes in the optimal operating
311 environmental conditions (e.g. pH, partial pressure of gases, organic loading rate and
312 hydraulic retention time), indicating that the culture was not adapted to the new

313 environmental conditions [24]. It has been reported that accumulation of 5–10 mM of H₂ in
314 the gas phase of the culture medium initiated a metabolic shift towards lactic acid synthesis
315 for the extreme thermophile *Caldicellulosiruptor saccharolyticus* [25]. Another study
316 reported that the dissolved H₂ in the culture medium and the osmotic pressure determined a
317 metabolic shift towards lactic acid synthesis [26]. Although the energy yield and the redox
318 potential range of *Tn_{cf}* cells under CLF conditions are not fully understood, the results of the
319 present study clearly showed that both CO₂ in the form of HCO₃⁻ and Na⁺ ions in the culture
320 medium were responsible to trigger unconventional lactic acid synthesis without affecting
321 the H₂ yield.

322 The process scale-up experiments in the 3 L fermenter improved both lactic acid
323 production and enhanced the overall fermentation efficiency possibly due to better mixing,
324 buffering and mass transfer compared to the experiments in 0.12 L serum bottles [10, 12]. In
325 addition, higher hydrogen recovery as fermentation end product suggests that CO₂ sparging
326 also stimulated another metabolic process or utilization of non-sugar substrates in the
327 fermentation medium [4, 9]. However, further studies are required to understand why acetic
328 acid is not fully recycled by the CLF mechanism.

329

330 5. Conclusions

331 This study was designed to evaluate the performance of the CLF process using *Tn_{cf}*
332 strain by varying salinity, buffering agents and bioenergy relevant carbon sources as a
333 function of H₂ and lactic acid synthesis. The experiments were conducted by varying NaCl
334 concentrations in the range of 0–35 g L⁻¹ and with mono-, di- and polysaccharides as a carbon
335 source as well as with different buffering agents. The results showed that increasing the NaCl
336 concentration (0 to 35 g L⁻¹) has a positive impact on the CLF process where the lactic acid
337 synthesis increased by 7.5 fold without significantly affecting the overall H₂ yield.
338 Nonetheless, we found that the CLF process is particularly suitable for simple sugars as
339 carbon source. The process scale-up experiment with the best performing conditions showed
340 a further improvement in lactic acid synthesis in comparison with results in serum bottles.
341 This study showed a novel approach for handling and treating carbohydrate rich organic
342 substrates, such as high salinity wastewaters and organic solid wastes for faster recovery of
343 fermentation products (i.e. H₂ and lactic acid) compared to the conventional anaerobic
344 digestion process.

345

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356

357 **Conflicts of interest**

358 The authors declare no conflict of interest.

359

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436

437 **Figure 1.** Effect of salinity (0-35 g/L NaCl) on the yield of (A) H₂, (B) acetic acid and (C)
438 lactic acid yield and (D) cell dry weight and biomass yield of *Tn_{cf}* via the CLF pathway after
439 72 h of incubation. Error bar = standard deviation (*n* = 3). *p*-value was calculated and
440 compared with experiments performed in standard culture medium with 10 g/L NaCl. *ns* =
441 not significant; *p* value < 0.05 (*); *p* < 0.01 (**); *p* value < 0.001 (***).

442

443 **Figure 2.** Effect of buffering agent (0.01 M) on the yield of (A) H₂, (B) acetic acid and (C)
444 lactic acid yield and (D) cell dry weight and biomass yield of *Tn_{cf}* via the CLF pathway after
445 72 h of incubation. Error bar = standard deviation (*n* = 3). *p*-value was calculated and
446 compared with experiments performed in standard culture medium with phosphate as
447 buffering agent. *p* value < 0.05 (*); *p* < 0.01 (**); *p* value < 0.001 (***).

448

449 **Figure 3.** Effect of carbon source (5 g/L) on the yield of (A) H₂, (B) acetic acid and (C) lactic
450 acid yield and (D) cell dry weight and biomass yield of *Tn_{cf}* via the CLF pathway after 72 h
451 of incubation. Error bar = standard deviation (*n* = 3). CMC = Carboxymethyl cellulose. *p*-
452 value was calculated and compared with experiments performed in standard culture medium
453 with glucose as carbon source. *ns* = not significant; *p* value < 0.05 (*); *p* < 0.01 (**); *p* value
454 < 0.001 (***).

455 **Table 1**

456 Effect of varying salinity (0–35 g/L NaCl) on CLF fermentation experiments supplemented with 28 mM glucose (72 h of
457 incubation). The results are expressed as mean \pm standard deviation (n = 3).

NaCl (g/L)	Glucose consumed (mM)	Acetic acid (mM)	Lactic acid (mM)	Alanine (mM)	Lac/Ac ^a	C-recovery (%) ^b	H-recovery (%) ^c
0	25.62 \pm 0.07	20.66 \pm 0.27	2.80 \pm 0.26	1.28 \pm 0.09	0.14 \pm 0.01	48.32 \pm 2.31	74.58 \pm 15.28
5	26.00 \pm 0.14	24.59 \pm 0.95	6.23 \pm 3.26	1.61 \pm 0.58	0.25 \pm 0.12	62.30 \pm 10.23	77.98 \pm 25.31
10	26.12 \pm 0.16	26.05 \pm 4.69	11.61 \pm 2.42	2.46 \pm 0.24	0.45 \pm 0.02	76.87 \pm 16.0	96.96 \pm 27.89
20	25.96 \pm 0.11	25.58 \pm 1.03	13.44 \pm 0.94	2.41 \pm 0.09	0.53 \pm 0.04	79.70 \pm 5.21	104.16 \pm 9.56
35	25.68 \pm 0.25	23.22 \pm 0.81	21.63 \pm 6.15	2.38 \pm 0.10	0.93 \pm 0.29	91.86 \pm 14.47	109.56 \pm 20.79

458 ^aLac/Ac = Lactic acid/Acetic acid ratio; ^bC-recovery = carbon recovery; ^cH-recovery = hydrogen recovery.

459

460

461 **Table 2**
 462
 463 Effect of the buffering agent (0.01 M) on CLF fermentation experiments supplemented with 28 mM glucose (72 h of incubation).
 464 The results are expressed as mean \pm standard deviation (n = 3).

Buffering agents	Glucose consumed (mM)	End point pH	Acetic acid (mM)	Lactic acid (mM)	Lac/Ac	C-recovery (%)	H-recovery (%)
Control ^a	18.54 \pm 0.15	4.82 \pm 0.19	22.76 \pm 0.40	11.35 \pm 0.62	0.50 \pm 0.09	74.75 \pm 15.11	83.50 \pm 7.88
CO ₂ /HCO ₃ ^{-b}	25.62 \pm 0.10	6.20 \pm 0.11	22.82 \pm 0.84	14.63 \pm 3.23	0.55 \pm 0.12	70.33 \pm 10.57	80.75 \pm 20.68
Phosphate	26.17 \pm 0.26	6.22 \pm 0.08	24.70 \pm 0.59	14.92 \pm 0.25	0.60 \pm 0.02	75.71 \pm 3.82	90.84 \pm 12.05
MOPS	26.42 \pm 0.05	6.22 \pm 0.06	26.65 \pm 0.87	14.23 \pm 0.22	0.53 \pm 0.02	77.36 \pm 4.65	98.38 \pm 6.72
TRIS	25.55 \pm 0.06	6.30 \pm 0.04	26.77 \pm 0.29	12.08 \pm 0.89	0.45 \pm 0.04	76.05 \pm 6.56	94.19 \pm 13.14
HEPES	25.99 \pm 0.03	6.28 \pm 0.05	25.56 \pm 0.49	13.58 \pm 0.88	0.53 \pm 0.03	75.22 \pm 3.63	89.92 \pm 11.62

465 ^a Culture medium without buffering agent and sparged with N₂ instead of CO₂; ^b Culture medium without buffering agent but
 466 sparged with CO₂.
 467
 468
 469

470 **Table 3**
 471
 472 Effect of the different carbon source on CLF fermentation experiments supplemented with 5 g/L of carbon source. The results are
 473 expressed as mean \pm standard deviation (n = 3).

Carbon sources	Sugar consumed (mM)	Acetic acid (mM)	Lactic acid (mM)	Alanine (mM)	Lac/Ac	C-recovery (%)	H-recovery (%)
Xylose	29.57 \pm 0.13	26.28 \pm 0.32	3.79 \pm 0.23	2.48 \pm 0.13	0.14 \pm 0.01	66.02 \pm 4.62	88.91 \pm 10.05
Arabinose	30.51 \pm 0.11	23.08 \pm 0.33	10.94 \pm 0.43	2.55 \pm 0.07	0.47 \pm 0.03	71.91 \pm 2.91	91.53 \pm 8.78
Glucose	26.30 \pm 0.01	30.34 \pm 0.09	14.79 \pm 0.26	2.64 \pm 0.12	0.49 \pm 0.01	90.81 \pm 1.99	107.47 \pm 4.14
Sucrose	23.30 \pm 0.69	25.12 \pm 1.43	16.95 \pm 1.34	3.15 \pm 0.34	0.68 \pm 0.09	97.10 \pm 7.76	136.52 \pm 11.19
Laminarin	24.73 \pm 0.40	28.75 \pm 0.81	7.60 \pm 0.27	2.11 \pm 0.14	0.26 \pm 0.01	77.79 \pm 4.15	101.07 \pm 6.40
CMC ^a	2.75 \pm 0.25	3.40 \pm 0.30	1.18 \pm 0.05	1.27 \pm 0.04	0.35 \pm 0.04	106.48 \pm 16.48	102.55 \pm 17.31

474 ^aCMC = Carboxymethyl cellulose.

475
 476

477 **Table 4**

478
479 Substrate consumption and major fermentation products via the CLF pathway by *Tn_{cf}* with 35 g/L NaCl and 0.01 M phosphate
480 buffer after 72 h of fermentation in serum bottles and 24 h of fermentation in the fermenter. The results are expressed as mean ±
481 standard deviation (n = 3).

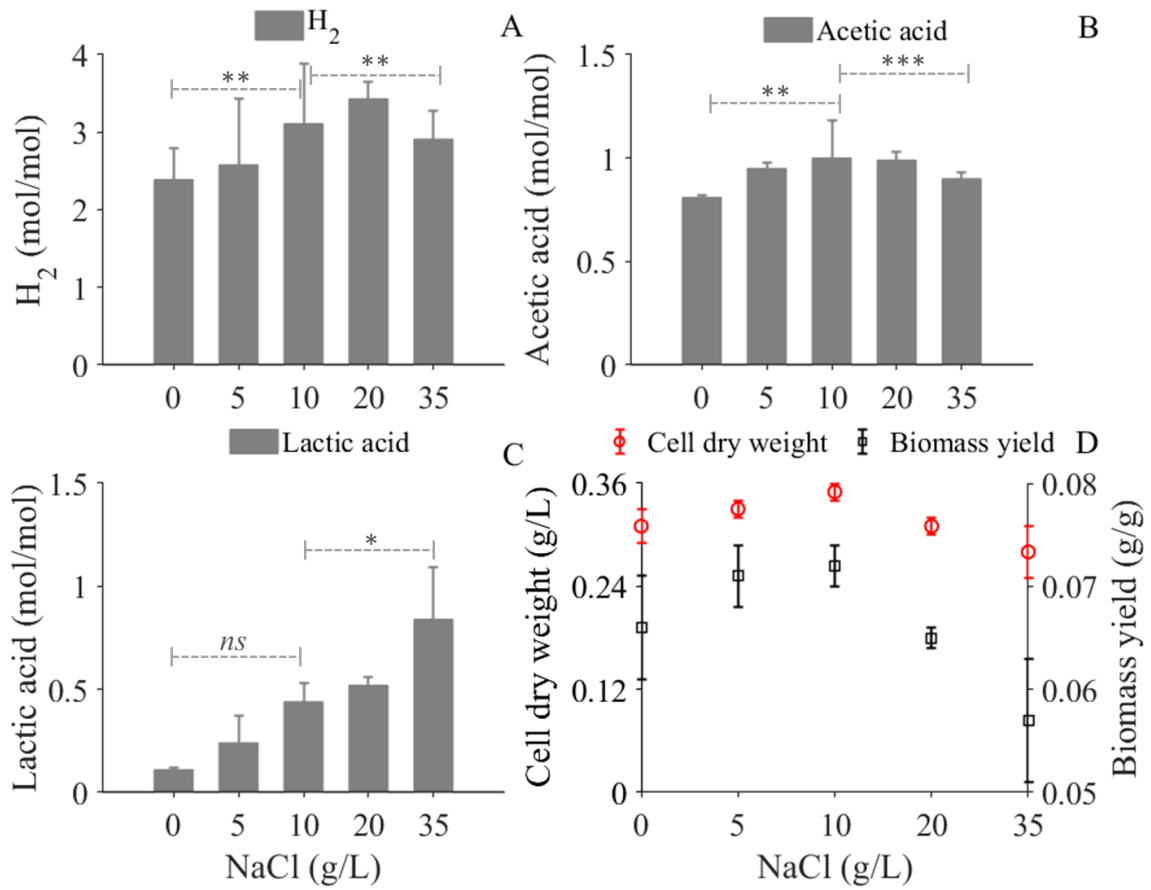
Carbon sources	Sugar consumed (mM)	H ₂ (mol/mol)	Acetic acid (mol/mol)	Lactic acid (mol/mol)	Lac/Ac	C-recovery (%)	H-recovery (%)
Arabinose ^a	23.38 ± 0.18	2.99 ± 0.10	0.68 ± 0.03	0.22 ± 0.10	0.32 ± 0.04	87.40 ± 15.97	97.18 ± 3.65
Glucose ^a	23.62 ± 0.36	3.08 ± 0.27	0.80 ± 0.02	0.54 ± 0.02	0.67 ± 0.01	79.21 ± 4.06	106.67 ± 9.53
Glucose ^c	25.09 ± 2.79	3.07 ± 0.23	0.90 ± 0.06	1.17 ± 0.21	1.32 ± 0.31	107.31 ± 31.01	135.08 ± 46.22

482 ^aBest performing culture parameter experiments in 0.12 L serum bottles; ^bProcess scale-up experiments in a 3 L fermenter.

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484 **FIGURE 1**

485



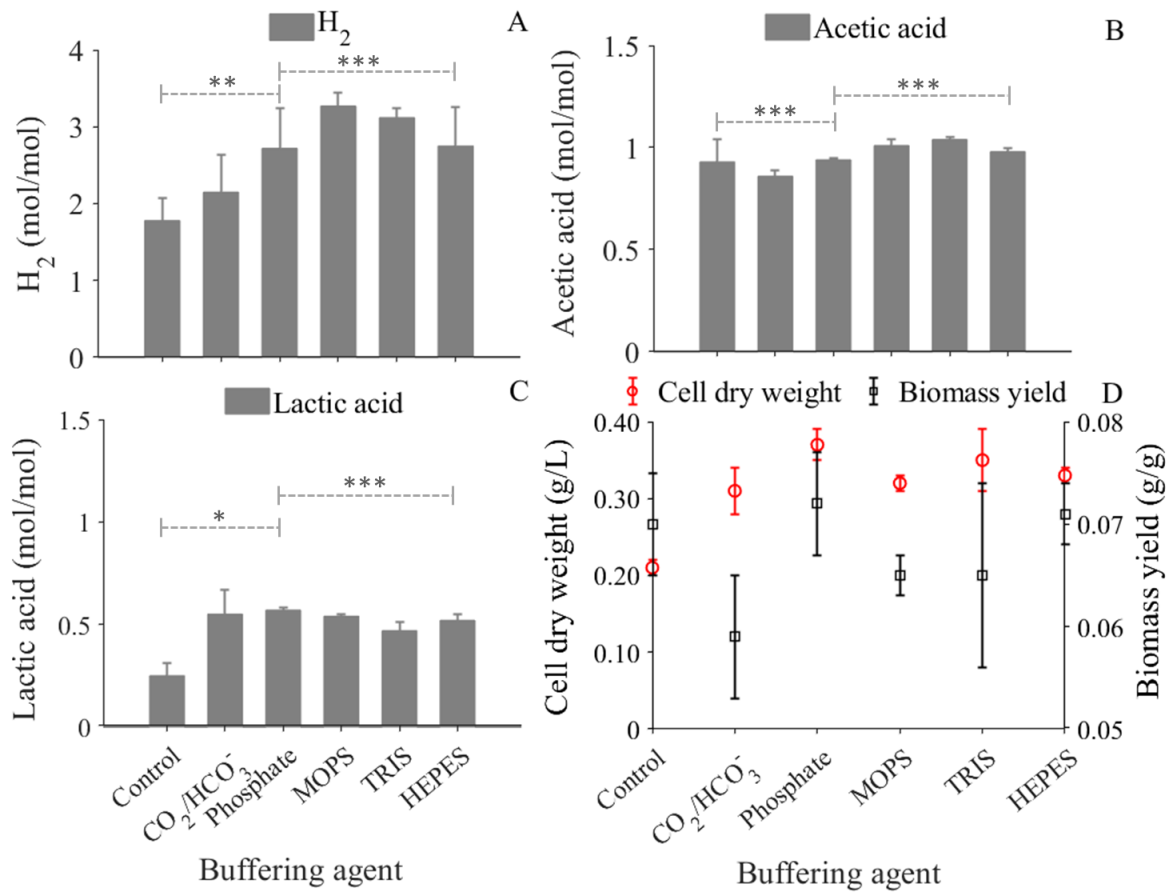
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488 **FIGURE 2**

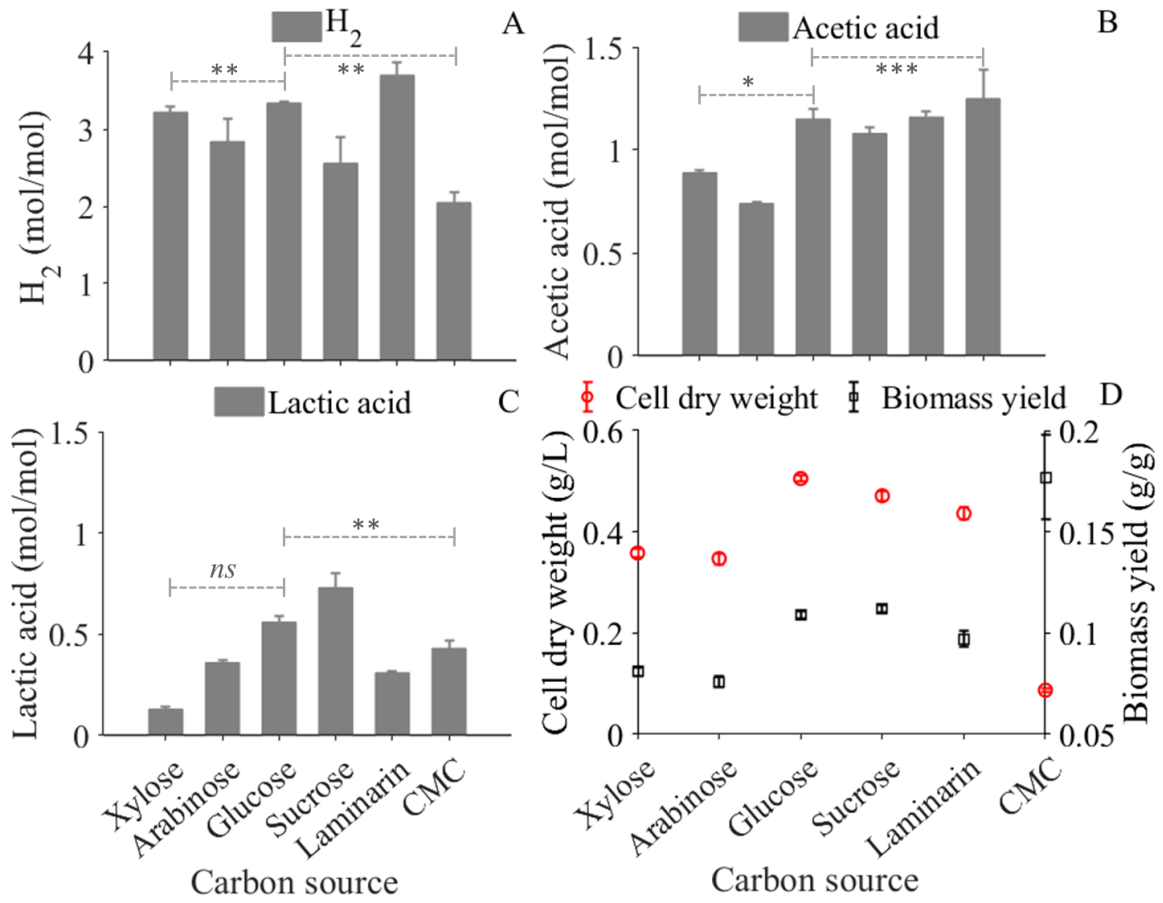
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494 **FIGURE 3**
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