

Dimensions Report

A Guide to the Dimensions Data Approach

A collaborative approach to creating a modern infrastructure for data
describing research: where we are and where we want to take it

Christian Bode, Christian Herzog, Daniel Hook & Robert McGrath

JANUARY 2018

About Dimensions

Dimensions[®] is a modern and innovative, linked research data infrastructure and tool, re-imagining discovery and access to research: grants, publications, citations, clinical trials and patents in one place. The development of Dimensions has been triggered by the feedback from clients and partners of the Digital Science portfolio companies. As a result, Dimensions has been developed through a dynamic collaboration across Digital Science and six of its portfolio businesses (ReadCube, Altmetric, Figshare, Symplectic, DS Consultancy and ÜberResearch).

With each company focused on a different pain point within the research cycle and serving various stakeholders in the research ecosystem, these teams shared their true passion for innovation, and contribute their unique experiences, opinions, and values into Dimensions. Visit www.dimensions.ai

About Digital Science

Digital Science[®] is a technology company serving the needs of scientific and research communities at key points along the full cycle of research. We invest in, nurture and support innovative businesses and technologies that make all parts of the research process more open, efficient and effective. We believe that together, we can change research for good. Visit www.digital-science.com

Acknowledgements

We are grateful to all contributors and would like to thank our development team for their time and effort in extracting the data to support this report.

This report has been published by Digital Science, which is owned by the Holtzbrinck Publishing Group.

For inquiries in respect of Dimensions, please contact info@dimensions.ai, otherwise please write to Digital Science at info@digital-science.com or 625 Massachusetts Avenue, Cambridge, MA, 02139 USA.

Copyright © 2018 Digital Science & Research Solutions Inc.



Contents

1. A modern linked research data landscape	2
Quick facts on Dimensions - the total record count and more	3
2. Linking it all together and enriching it for the user	3
Full text index - enabling deep discovery	4
Machine learning based research topic classification - Fields of Research and other classification systems	4
Disambiguating institution names - based on GRID	6
Person disambiguation across publications, grants, patents and clinical trials - a challenging task	7
Citations, acknowledgements and adding context	7
3. Bringing content together from as many places as possible	9
How does Dimensions compare to other databases like Google Scholar, Pubmed, Scopus or Web of Science?	9
Citation counts in different systems and databases - there is no single truth!	9
The current content scope and quality is just the starting point	11
4. Funded grants - a real glimpse into the future	12
Key statistics on the Dimensions grant data	13
5. Publications, books and citations	15
Dimensions and publication / citations - a database, not a judgement call	16
Quality related filters, white and blacklists as tools for the user	17
Aggregating the Dimensions publication and citation data	18
Open Access, Open Citation Data and Dimensions	19
Key statistics on the Dimensions publication and citation data:	19
6. Clinical trials - research result en route to clinical application	20
Key statistics on the Dimensions clinical trial data	20
7. Patents - research resulting in practical and commercial applications	22
Key statistics on the Dimensions clinical trial data	22



Dimensions

Content Overview

A modern linked research data landscape

*The broader
Dimensions team:
100+ development
partners and
Digital Science*

*Making publication
and citation data
freely available*

*Empowering the
research community*

*Does it support
your use case?*

*We will improve
it together!*

Dimensions was created in response to two significant constraints for Digital Science and its development partners. The first constraint was that existing solutions sought to understand the research landscape solely through the lens of publication and citation data. The second constraint was the way that existing solutions exposed what data they did have. Much of the publications research graph had been locked away in proprietary applications, which constrained how the information could be used, including through a lack of workable APIs. Where proprietary data existed, there were significant data holes, making the data less useful for core use cases.

To address these constraints and to try to stimulate innovation to support research, we worked closely with more than 100 development partners (research organisations and funders) to realise an integrated database covering the entire research process from funding to research, from publishing of results through attention, both scholarly and beyond, to commercial application and policy making - consistently linked in multiple dimensions.

At the heart of Dimensions, we wanted to do something transformative for research and that was always going to have multiple components. A key part of that vision was that Dimensions makes available, without charge, publication citation data via the Dimensions application (visit <https://app.dimensions.ai>) and via APIs - the metrics in Dimensions are available via the open Dimensions Metrics API and the Dimensions Badges (visit <https://badge.dimensions.ai>) - in both cases for non-commercial purposes.

Another aspect of supporting the academic community was empowering the community. The current vogue in research evaluation promotes the use of metrics to cope with the vast quantities of material being evaluated. It is clear that a more open data source compatible with more open publications, more open evaluation frameworks and more open metrics are needed. Dimensions aims to be a system that helps the academic community to own the formulation and development of metrics that tell the best stories and give the best context to a piece of research.

This document provides an overview of the Dimensions content. Feel free to reach out to the Dimensions team [here](#) if you want to discuss further whether the content scope and coverage of Dimensions can help in your specific situation and use case.

One of the most important aspects of Dimensions is that we are going to develop it further with the research community - any feedback is welcome. Please contact us at info@dimensions.ai.



Quick facts on Dimensions - the total record count and more	
Number of publication records	89,428,659
Number of funded grants	3,695,703
Number of clinical trials	380,440
Number of patents	34,599,378
Number of records with Altmetric data in Dimensions	appr. 9 million
Total number of documents in Dimensions	128,104,180
...but what matters even more: number of links between these records	appr. 4 billion

Linking It All Together and Enriching It For the User

Linked and integrated data from multiple sources are core to Dimensions. This has been a key feature in discussing the product scope and direction with development partners, who agree that the integrated view enables novel insights. The following sections provide a quick overview of the key approaches which are visible to the user.

We are realising these linkages with a data driven, machine learning and AI-based approach, automatically extracting the information to create the connections. The content and enrichment pipeline is as automated as possible, allowing us to provide Dimensions with publication / citation data to researchers for free, and to research institutions at realistic cost levels. While an automated approach allows us to offer a more open, free approach it also results in some data issues, which we will continue to have to work on and improve. If you see anything that doesn't seem correct in our data case please reach out to us. We are always looking to improve the processing pipeline and subsequently the data and services that Dimensions provides - please email us at support@dimensions.ai.

An example of a [publication record in Dimensions](#) with links to all other content sources - allowing the user already in the freely available version to explore these relations:

The links between grants, publications, clinical trials and patents are key

Automated process, efficient and effective, but we need your help to constantly improve the quality

The screenshot shows a detailed view of a publication record in Dimensions. The article is titled "The Hallmarks of Aging" by Carlos López-Otin, Maria A. Blasco, and Linda Partridge. It includes an abstract, publication references (295), and a list of supporting funding agencies (10). The Altmetric badge shows 1.9k mentions across various platforms like News, Blogs, Twitter, Facebook, and Wikipedia. The record is sorted by date and includes options for open access and adding to a library.

PUBLICATION RECORD	
Publication references:	295
Supporting grants:	1
Supporting funding agencies:	15
Publication citations:	1,780
Patent citations:	1
Sorted by:	Date
Research categories assigned:	10
Altmetric mentions:	appr. 4000



*Full text indexing -
real discovery instead
of missing relevant
information*

*Article-level metrics
need to be paired
with article-level
classifications*

*NLP, machine learning
and AI are allowing
categorisation
approaches which
take the substance
into account*

*FOR - part of the
Australian and New
Zealand Standard
Research Classification
(ANZSRC) system*

Full text index - enabling deep discovery

Dimensions provides researchers with a free discovery service. Our approach to indexing the full text makes publications and books much more discoverable. Full text search is already available for over 50 million publication records in Dimensions. For example, a search for CRISPR in [just title and abstracts brings back about 8,300 results](#), while the Dimensions search using the [full-text index results in more than 43,000 results](#). The full text index makes Dimensions a very powerful discovery tool - especially with the filtering options, which helps researchers to further refine their results.

Machine learning based research topic classification - Fields of Research and other classification systems

In existing databases such as Web of Science and Scopus, the documents are typically categorized using a journal as a proxy, with a few research categories being assigned at the journal level. This approach has created unintended consequences across research, from content coverage in databases to citation benchmarking practices.

Technology has developed further. The fields of natural language processing, machine learning and artificial intelligence have all made huge advances in recent years. Dimensions has been able to leverage these technologies to solve a very practical problem requiring a different approach: If you want to consistently categorize grants, patents and clinical trials, a journal proxy is no longer available. The path we have chosen for Dimensions is to use existing classification systems and an AI/machine learning based approach to automatically assign a consistent set of categories to all documents - regardless of the source.

We implemented established research classification systems that have existing associated datasets that we are able to use to train our classification algorithms. The leading categorization system with broad coverage of subject areas and a large general corpus of training material is the Australia/New Zealand Fields of Research system. This classification “lens” has been made available as part of the free Dimensions version.

Research categories in Dimensions- Australian and New Zealand Standard Research Classification (ANZSRC)

The Fields of Research (FOR) classification is a component of the Australian and New Zealand Standard Research Classification (ANZSRC) system, developed in 2008. It allows all R&D activity to be categorized using a single system.

The ANZSRC is used in all areas of research and education in Australia and New Zealand. The FOR classification has three hierarchical levels: Divisions, Groups and Fields. Division represents a broad subject area or research discipline, while Groups and Fields represent increasingly detailed subsets of these categories. There are 22 Divisions, 157 Groups and 1238 Fields. We have emulated the second level of the system only (Groups) in Dimensions. We have used a reverse-engineering technique, based on machine learning, where a corpus of manually-coded grants are examined and the manually-applied codes



are reproduced by the algorithm. This is then checked against actual codes, and the algorithm is iterated.

FOR classification covers all areas of academic research at a high level, so it works well for non-granular investigations by broad subject areas. Therefore, FOR is good for comparative analyses across all academia.

The FOR classification system is a good starting point, but due to the nature of the training set some of the categories are challenging. We are going to improve the training set in collaboration with the Dimensions development partners. This will be an ongoing task where we plan annual refreshes - providing the research community the opportunity to help shape and influence how we implement the classification system.

Where you see an item in the system that hasn't been classified as you would expect, we want to know about that. It might be that our training dataset doesn't have very good coverage of that field or that we lack enough data to train our algorithms to discern between two closely related areas. The input of the community can make a massive difference to the effectiveness of the system by making comments and categorisation suggestions available to us. Shortly after launch we will integrate a simple feedback mechanism to allow the user to provide feedback with very little effort.

Other classification systems

Other classification systems have been implemented in addition to the ANZSRC and FOR codes. The choice of these different classification lenses is mainly driven by the needs of research funders, the majority of whom are focused on the biomedical sciences. An analogous machine-learning approach has been used to implement these schemes. Examples include:

- The **Research, Condition, and Disease Categorization (RCDC)** is a classification scheme used by the US National Institutes of Health (NIH) for the public reporting required by the US Congress. The ÜberResearch team has implemented the technology for RCDC at the NIH and is still supporting it.
- The **Health Research Classification System (HRCS)** is a classification system used by nearly all UK biomedical funders to classify their portfolio of health and biomedical projects. There are two strands to HRCS – Research Activity Codes (RAC) and Health Categories (HC).

Any other classification system can be generated in a similar way with very little effort. Several additional schemes have been implemented for clients with specific topic classification needs. Examples could be classification systems on a national level or very specific topic focused systems. If required, it is also possible to categorize documents that are not part of Dimensions. This is currently not a standard feature of the Dimensions API. Please reach out to the Dimensions team if you would like to learn more [here](#).

Ongoing improvement of the training set

NIH's RCDC and UK HRCS implemented as well

Other classification systems can be implemented



The challenge of affiliation names

Disambiguating institution names - based on GRID

Authors of publications (as well the other research objects such as grants and patents) express their institutional affiliations in non-standard ways. Indeed, most institutions have a few name variants but for some organizations we found hundreds of name variants. For a data infrastructure like Dimensions it is important to be able to assign documents automatically to a unique identifier that corresponds to a single institution. Furthermore, each institution in that unique identifier list must be well defined according to a policy that helps to quantify what we classify to be an institution, why it has been included and what type of institution we believe it to be. On top of this, there must be useful metadata, such as geolocation information, date of foundation and, most importantly, a persistent identifier.

Digital Science has already started to tackle that challenge - resulting in the release of the open **GRID** database, which has grown to cover more than 70,000 institutions, where the data has been curated and each institution assigned a persistent identifier. GRID is continuously improved and used in many other systems, for example ORCID (see [ORCID blog post](#)).

GRID - an open resource provided by Digital Science

In Dimensions, the GRID system is used to allow us to create a consistent view of an organization within one content source, but also across the different types of content.

Massachusetts Institute of Technology
grid.116068.8

Metadata:
ID: grid.116068.8
Type: Education
Established: 1861 CE

External links:
Wikipedia: https://en.wikipedia.org/wiki/Massachusetts_Institute_of_Technology
Wikidata: <https://www.wikidata.org/wiki/Q49158>
Crossref: <https://www.crossref.org/group/details.aspx?gid=116068.8>
ORCID: <https://orcid.org/group/grid.116068.8>

Geolocation:
Cambridge - Massachusetts - United States

Related institutions:
Broad Institute
Harvard-MIT Division of Health Sciences and Technology
Massachusetts Green High Performance Computing Center
Northeast Radio Observatory Corporation
Singapore-MIT Alliance for Research and Technology

Dimensions
Massachusetts Institute of Technology
Cambridge, United States

PUBLICATIONS: 13,367
GRANTS: 11,361
PATENTS: 24,898
CLINICAL TRIALS: 43

RESEARCH ORGANIZATION:
Massachusetts Institute of Technology: 116,068
Harvard University: 6,148
University of California, Berkeley: 2,084
Boston University: 2,026
California Institute of Technology: 1,549
Stanford University: 1,536
Massachusetts General Hospital: 1,243
University of Michigan: 1,198
Purdue University: 1,042
University of California, San Diego: 1,034

RESEARCH ACTIVITY CODES (IRCS):
PUBLICATION TYPE: 19
JOURNAL LIST: 19
OPEN ACCESS: 19

RESEARCH ORGANIZATIONS:
Massachusetts Institute of Technology (MIT): 116,068
Harvard University: 6,148
University of California, Berkeley (UCB): 2,084
Boston University (BU): 2,026
Purdue University (PU): 1,198
Stanford University (SU): 1,536
University of Michigan (UM): 1,198
University of California, San Diego (UCSD): 1,034

MIT GRID file in folder

MIT Dimensions file in folder

Feedback to improve GRID is appreciated!

GRID is continuously improved as we encounter more data and feed that back into the GRID database. Digital Science is committed to providing GRID on an ongoing basis as an open dataset under a CC0 license to support the research community. GRID is not yet perfect and never will be. Research organizations change: some merge, some rename themselves, new institutions appear. Change here is more fluid than you think! For more information on GRID please visit www.grid.ac or the [GRID support page](#), where you can also submit support requests or suggestions for improvements.



Person disambiguation across publications, grants, patents and clinical trials - a challenging task

Automatically assigning the correct publications to a researcher has always been a challenging task. Even with the growing adoption of ORCID identifiers by an increasing proportion of the research community there still exist software solutions such as Symplectic Elements to help researchers, institutions and funders manage the link between publications, researchers and grants. However, automated assignment is four times as challenging for the team behind Dimensions! The aim of Dimensions is to connect a researcher to all their research objects across at least four content sources: grants, publications, clinical trials to patents. Consequently, we have invested a lot of resource to developing an automated researcher disambiguation process that takes into account not only the metadata in each of the content sources but also the publicly available ORCID data to provide the best outcome that can be achieved at this point.

In technical terms, Dimensions has taken an approach that focuses more on precision and less on recall of the disambiguation routine. This is because we believe that assigning the wrong publications and other documents to a researcher is worse than suggesting an incomplete record since data errors undermine the trust in the results and can be highly confusing. Completeness, on the other hand, can be easily fixed with the help of the user and is not as detrimental to the user experience as a basic lack of trust in the results.

For the launch, we have completed a beta version of the disambiguation functionality, which will improve with time. Future improvements will be based on the work of our data science team, but also, critically, will be based on feedback and interaction with the research community. Soon after launch we will provide the ability to provide feedback on the automatically-produced researcher profiles via the ORCID website - giving researchers the ability to influence their record in Dimensions.

Citations, acknowledgements and adding context

The extraction of the references and links between the different content sources is key to Dimensions. Our aim is to allow a user to gain a far superior understanding of the context of a piece of research by eliminating the walls and separations between isolated data silos. Bringing data together in this way allows a much improved view on the nature of research in a particular field as well as the associated research process. The user is then able to draw conclusions and gain new insights, which previously would have taken an enormous amount of effort.

References between the different records are either harvested from existing databases (such as CrossRef, PubMed Central, Open Citation Data) or extracted directly from the full text record provided by the content publisher. This is not only limited to journal publication references, but also includes acknowledgement and citation from and to books, conference proceedings, patents, grants and clinical trials.

Researcher disambiguation across multiple sources

Feedback desired, ORCID infrastructure to be implemented

Extracting references - creating a network across sources



PUBLICATIONS
Publication references
Publication citations
Supporting grants
Patent citations
Linked clinical trials

GRANTS
Resulting publications
Resulting patents
Resulting clinical trials

PATENT
Patent references
Publications references
Supporting grants
Patent citations

CLINICAL TRIALS
Linked publications
Supporting grants

*More than 1.3 billion
references between
documents*

In total, we have extracted more than 1.2 billion direct connections between the document records, with 873 million between publication records alone. This number is continually growing as we integrate more content, as we improve the representation of the content from more and more publishers, and as we work on perfecting our extraction routines.



Bringing Content Together From as Many Places as Possible

How does Dimensions compare to other databases like Google Scholar, Pubmed, Scopus or Web of Science?

Dimensions is not directly comparable to PubMed, Google Scholar, Scopus or Web of Science. It is a different style of offering with different features and a different approach. However, one can say that the approach we have taken aims to identify and improve upon the best (or most relevant) parts of each of those systems so that the user has an enhanced experience.

Not comparable - a new and innovative approach

Dimensions provides:

- A solid citation graph of the kind offered by Scopus or Web of Science, while acknowledging that we are still working on coverage in many fields;
- Wide coverage and an enhanced experience around discovering the right (or most relevant) research based on indexing the full-text, in a similar approach to Google Scholar;
- Advanced search syntax that helps the user to be specific in their searches, as PubMed does, but with the advantage that it goes beyond biomedical research.

On top of these core features, Dimensions transcends existing tools and databases: The bringing together of grants, publications, clinical trials and patents, consistently linked and contextualised, opens up a world of proper discovery, research planning and impact communication possibilities. In addition, the Dimensions user interface presents search results in context allowing a user to understand the setting of a search result at a glance, while at the same time, facilitating greater exploration of potentially relevant works, funding or routes to impact.

Grants, clinical trials and patents - for the first time an integrated picture

The data is provided with a powerful API, allowing a machine-to-machine interaction. This is available in the institutional subscription but can also be made available to individual researchers for research purposes on request.

Citation counts in different systems and databases - there is no single truth!

One question we are asked when talking about Dimensions is, 'how does our citation count compare to Google Scholar, Scopus or Web of Science?' As much as we would like to be able to give a simple answer, it is not possible. First of all, Dimensions and the reference that it contains is not directly comparable with other databases since Dimensions also captures references and links to sources beyond classic publication-based citations. Even if we only examine the publication-based citation count, it is not possible to establish a simple ranking. (This type of work was already found by the bibliometrics community in the comparison of the Scopus and

Citations counts - why do they differ?



Web of Science databases following the launch of Scopus in 2006.) There are several reasons why Google Scholar, Scopus, Web of Science and other services may show different citation counts for the same content. Some of the reasons for these disparities include:

- each database covers different sets of databases and content to build its citation graph
- each database may include content from different date ranges (e.g. 1996 to present)
- each database may include different types of content. For example, some sources may only include references from peer-reviewed journals, while others may include references from non-published or not-yet-published works, such as student theses published on a website, citations from pre-prints or e-prints (where versioning and disambiguation of pre-print and post-print versions of the same paper adds yet more complexity)
- the frequency at which the content is updated differs by database, from daily to weekly and beyond
- extracting references from a paper and uniquely matching them to the reference graph is a challenge which each database solves in different ways. There is no standard, industry defined approach and, as a result, in some cases references may not properly match, and in other cases false positives may occur.
- as algorithms for matching improve and new data sources become available, reference graphs may be updated, resulting in changes to citation counts.

Dimension citations - at the right level

While spot checking Dimensions records against source data we found that for some articles we were under reading citation counts while for other publications our counts were notably higher than publicly available higher citation sources. We know that there are some fields where we need to engage with more publishers or more funders for greater coverage. Likewise, we know that there are some geographies where more work is needed to achieve greater patent coverage. As ever, we look for feedback from the community to prioritise our development focus for content integration.

As an illustration, from available data, an example from PLOS One:

FastTree 2 – Approximately Maximum-Likelihood Trees for Large Alignments

Price MN, Dehal PS, Arkin AP (2010)
FastTree 2 – Approximately Maximum-Likelihood Trees for Large Alignments.
PLOS ONE 5(3): e9490.

<https://doi.org/10.1371/journal.pone.0009490>

Dimensions:	2,038
Scopus:	1,834
WoS:	1,843
CrossRef:	1,640
Google Scholar:	2,610

Given the many variables described above, it is not possible for multiple parties to arrive at a single absolute count. As a result, in practice many researchers consider citations counts to be a useful relative metric when comparing other content within a single system.



The current content scope and quality is just the starting point

It took a large amount of effort and resource to bring all the current sources and content together - we consider this only to be a starting point:

- Grants are added continuously - every few months new funders and their portfolios become part of the Dimensions data universe
- We are going to add more publication data - with a focus on international content
- Pre-prints will be consistently integrated
- We continue to support publishers who wish to work with us to make their content more discoverable in Dimensions. In particular, we have created a technical environment so that a publisher can integrate their data almost automatically into our database
- Patents - new jurisdictions will be added during the course of 2018

Dimensions and the underlying data is an ongoing effort

And, that's just the start: There is more being planned! The representation of books in the system is currently challenging and we are working on a more consistent way to represent them. We will integrate a large collection of policy documents in the future, adding a completely new facet to the discovery experience that we are very excited to bring to the community. These are just two elements we are currently working on!

A joint effort to improve the data - please be as critical as possible!

Most important to us is your input and feedback. We are looking forward to being challenged and to receiving many suggestions from you as to where we can improve the data. We already have a long list of tasks from our development partners and friends, but we can always be better! This is clearly a team effort and we need you as the users, the research community and the broader Dimensions team!





Funded Grants - a Real Glimpse into the Future

Grants, a forward looking data source - neglected for too long

ÜberResearch aggregated a grant database with \$1.2 trillion in funding

Grant data provides particular insights, not a complete research funding view

Funded grants are the result of an extensive process in which a researcher or team of researchers describe the research project that they wish to undertake. The aim of their “pitch” is to convince a research funder, through an anonymous peer review panel, that the research problem is interesting, tractable and worthy, and that the team is qualified and capable of achieving the outcomes suggested. This process is even more important since, in most cases, the money being spent is public money and hence must be accounted for in a responsible manner. Grants are the first manifestation of a research idea in a cogent format that must convince a third-party of their value - a little like a beta software release. That position in the research cycle makes it a very special source for discovery since it allows analysis of trends and movements in fields by looking at the research that is intended to be carried out in the coming years - a glimpse into the future. For funders, research policy strategists and planners, analysis of the funding landscape allows early intervention and strategy formulation, not only the retrospective identification of fast facts or wrong decisions.

ÜberResearch (one of the six businesses in the Digital Science portfolio creating Dimensions) was founded in 2013 to work with research funders on aggregating a large grant database. Its aim was to enable, for the first time, a broad view across national and institutional borders on the resource input aspects of the research system and to make this available not just to the largest funders, who have the responsibility to commission custom systems to ensure appropriate reporting to public stakeholders, but also to smaller funders with smaller teams and more limited resources. ÜberResearch’s early effort has now become part of the new and broader version of Dimensions, which covers the entire flow from input to academic attention, commercialization, policy formulation and routes to impact.

Grants are a difficult content source for several reasons: They do not follow a common metadata schema in the way that publications do, nor do they yet have a persistent identifier such as the DOI; they are highly dependent on individual national frameworks of research funding. Geographic differences are not trivial. In some countries, the majority of the research funding is given out in competitive project grants, while in other countries there is a skew toward block funding, which will never show up in a funded grants database. Of course, there are a lot of countries that fall between the ends of this spectrum with a mix of block funding and project-based funding. For that reason the grant data should not be taken as a complete view on all research related funding, as we pointed out in a [recent report](#). It covers project-based funding from different types of funders (government, multinational, charities etc.). If you have any question related to your use case do not hesitate to reach out to us [here](#).

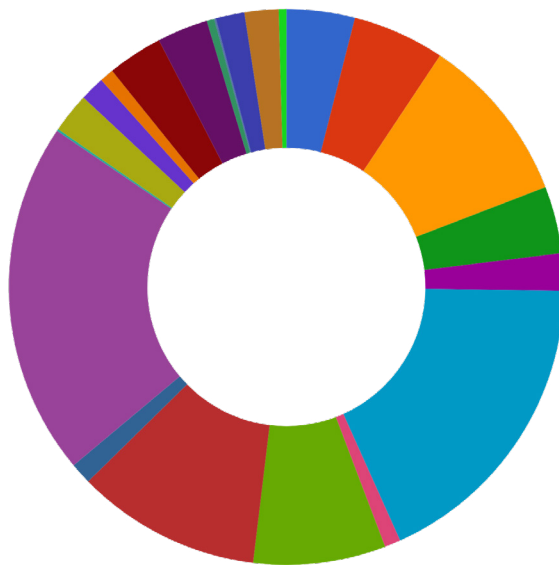


Key statistics on the Dimensions grant data

The following key statistics have been captured on Jan 1, 2018 and are changing on a weekly basis - this means that the values in this document can vary from the actual results in the Dimensions application or API.

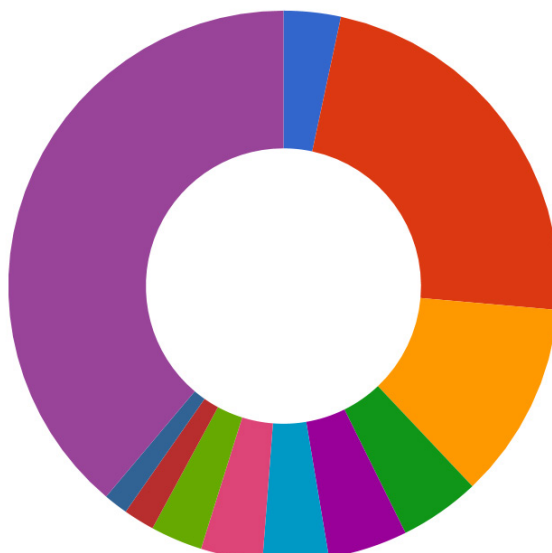
No. of funded grants:	3,695,703
Total funding amount	more than \$1.2 trillion
Total amount of funding of projects active in 2018 and beyond:	more than \$276 billion
Average funding amount:	\$899,000
Number of research funders covered	>250, more added on a monthly basis
Links between grants and research organizations	3,255,505
Links between grants and funders	3,675,892
Links between grants and researchers	5,422,483
Links between grants and publications	4,258,414

Distribution of funded projects across disciplines



- Mathematical Sciences
- Physical Sciences
- Chemical Sciences
- Earth Sciences
- Environmental Sciences
- Biological Sciences
- Agricultural and Veterinary Sciences
- Information and Computing Sciences
- Engineering
- Technology
- Medical and Health Sciences
- Built Environment and Design
- Education
- Economics
- Commerce, Management, Tourism and Services
- Studies In Human Society
- Psychology and Cognitive Sciences
- Law and Legal Studies
- Studies In Creative Arts and Writing
- Language, Communication and Culture
- History and Archaeology
- Philosophy and Religious Studies

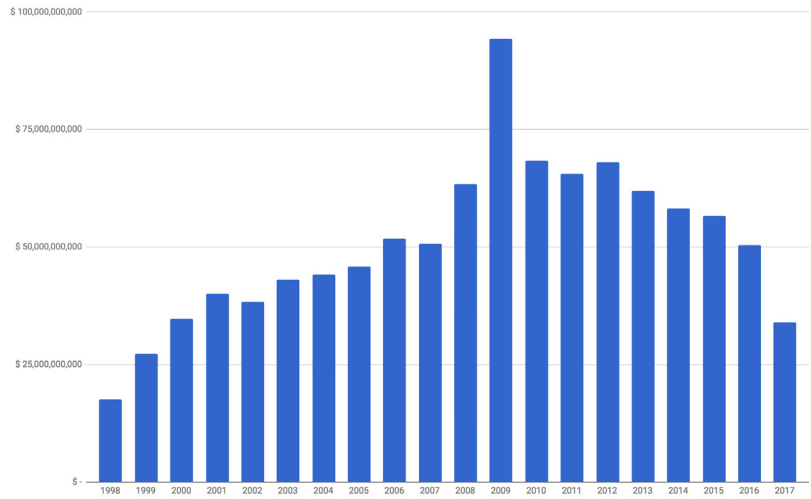
Geographical distribution of grants (total number of countries = 174)



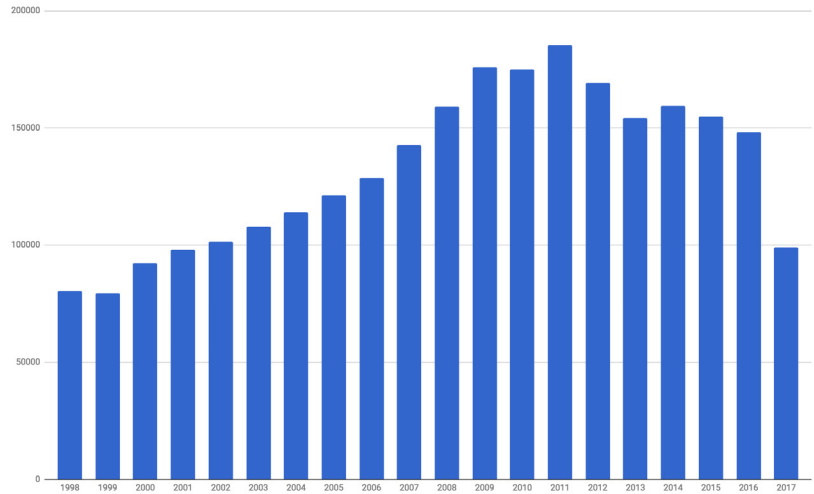
- United States
- Japan
- Canada
- Russian Federation
- China
- Belgium
- United Kingdom
- Germany
- Switzerland
- Brazil
- 160 other countries



Funding over time - note the increase in stimulus funding in 2009



Project count per year





Publications, Books and Citations

Dimensions and publication / citations - a database, not a judgement call

With Dimensions, a powerful publication and citation database has been made available to increase access and usage of metadata for researchers and institutions, which has been, for a long time, an aspiration for Digital Science. An uncompetitive landscape has led to a slower-than-desirable pace of innovation to support researchers in many use cases. Rather than a lively research-led discussion about the needs of researchers, administrators and evaluators, there has been a narrower approach born of historical legacies both technological and practical as well as specific drivers from the research policy arena.

But it was clear that simply replicating existing approaches to create a third (or fourth, or fifth, depending on how you classify and count) abstracting and indexing database would not be in the sector's interest, so we decided to do two things in a fundamentally different way:

- Dimensions should be open to integrate all relevant research objects - in essence, less editorial choices over the content to be included (within reason, predatory journals, for example, clearly need to be treated differently)
- Consistent integration and linking of other sources (grants, patents and more.) treated in on the same basis as publications.

Making the Dimensions database as comprehensive as possible is a central driver. We firmly believe that technological advances have led to different expectations from users. People no longer expect or desire that a search engine should filter content based on the preferences of a vendor. Indeed, as we write this report, net neutrality is becoming a big issue and in a very real sense we are consciously choosing to be neutral with respect to the content that we index and display to users. This means that we should not make the decision as to what is a 'worthy' research output (e.g. journal) to be included in our database - these decisions belong in the hands of the research community or, depending on the use case, in the hands of the individual user. Rather, it is our job to give users the best tools to navigate content and arrive at the most relevant results in the most efficient way.

Quality-related filters: whitelists and blacklists as tools for the user

To ensure that users have the tools that they need to make content the right content filtering decisions for their use case we have implemented features that allow the user to limit the results that they obtain to certain subsets. The standard filters are specified by pre-defined, curated lists, which can be white

*Lack of innovation due to
data being 'locked up'*

*Dimensions - not
a replication of the
usual approach - a
different approach*

*As comprehensive as
possible - the decision
power belongs in the
hands of the user, not
a vendor*



*Norwegian Register,
Web of Science (beta),
ERA list, DOAJ list*

or black lists. We started our list definition with accepted openly available listed defined by others in the community, but are looking forward to receive new suggestions, again from the research community.

At launch, the following journal lists have been implemented in Dimensions:

- **DOAJ list:** Directory of Open Access Journals (DOAJ) is a community-curated online directory that indexes high quality, open access, peer-reviewed journals. The DOAJ journal list includes over 10,000 journal titles covering all areas of science, technology, medicine, social science and humanities.
- **ERA list:** The ERA 2015 journal list was designed by the Australian Research Council (ARC) in cooperation with the National Health and Medical Research Council (NHMRC) and the broader research community, with the purpose of supporting Australia's national research evaluation framework, Excellence in Research for Australia (ERA). Included are journals that were eligible for institutions' ERA 2015 submissions. We will include the ERA 2018 list as a filter once it is released.
- **Norwegian Register:** The Norwegian register, officially the 'Norwegian Register for Scientific Journals, Series and Publishers' is operated jointly by the Norwegian Centre for Research Data (NSD) and the National Board of Scholarly Publishing (NPU). The list shows which scientific publications are recognized in the weighted funding model and includes around 30,000 source titles.
- **Web of Science list (approximation, in beta):** The Web of Science Master Journal List includes all journal titles covered in Web of Science. The master journal list includes over 24,000 source titles indexed in: Web of Science Core Collection, Subject Indexes on the Web of Science platform, Current Contents Connect and Science Citation Index. The list is an approximation only since aspects of timing are not taken into account, that is: A journal might have been only accepted to be indexed in WoS in 2015, but the filter will include all content that we have for that journal, not only post-2015 content.

*Any idea for an
additional 'quality' list?
Please get in touch!*

These filters are just a starting point and only address specific use cases. We are keen to learn about other general, national or institutional filters that should be considered, as well as different use cases where other lists may be helpful and welcome feedback so that we can develop this concept further.

Aggregating the Dimensions publication and citation data

The publication and citation content in Dimensions is aggregated in a complex process. Below we sketch the key points in a two-step process for those who are keen to understand "why the data looks that way".

Step 1: Creating a backbone

An extensive metadata backbone was assembled and is continuously updated. This data spine integrates data from many sources, including openly-available

*90 million publication
metadata records
assembled*



databases together with those with permissive content licenses, such as PubMed, PubMed Central, ArXiv and CrossRef.

This initial step resulted in a large index of uniquely-identified publications containing about 90 million records. The CrossRef records associated with a DOI sourced from the publishers among the 9,351 CrossRef members form a significant core of this spine. This provides the Dimensions database with a very robust metadata backbone, but even with this great resource there are some limitations on metadata completeness, most notable is affiliation data for authors.

Step 2: Enhancing the data

The metadata records resulting from Step 1 are enhanced by processing full-text records, where those have been made available to us, significantly improving discoverability of content.

This Step includes deriving reference/citation data from the full-text and mining acknowledgements sections to identify links to patents, research funders and funded projects. This Step has been completed for more than 50 million full-text records, some open access but many made available to Digital Science for such purpose. These records are sourced from more than 100 publishers including some of the largest STM publishers in the world. Searching Dimensions will quickly indicate where we have coverage.

A key part of this data enhancement Step is that we are able to index full-text records. This means that a user can search for any term in a paper - it doesn't have to be in the title or the abstract. In concert with the filtering mechanisms that we've put in place for users, this means that you are increasingly likely to locate the research work that you're looking for,

New publication data is added as more and more publishers join the effort and make their content more discoverable. Over the last 12 months, we have focused primarily on the large- and medium-sized publishers to be ready for the launch of Dimensions. In 2018 we will also launch a self-service content integration platform so that publishers can integrate their content with minimal effort.

If you are a publisher and want to see your content representation improved in Dimensions - just reach out to us via [this form](#) and we will be in touch!

Beyond academic attention - altmetrics data in Dimensions

Digital Science was an early supporter of the alternative metrics movement and Altmetric has played a key part in defining the agenda around altmetrics. Indeed, Altmetric has lead the field with a number of innovations including the colorful Altmetric badges, score, unique sources like policy documents and university syllabi, and the always popular Altmetric Top 100.

Dimensions includes high-level Altmetric data for each article in the index and displays this on the article details page. In this way, we bring together the academic attention (citations), innovation attention (patents), clinical attention

*50 million records enriched
- from more than 100
publishers already*

*New content added
continuously - self service
platform coming in 2018*

*Want to integrate
your content?*

*Altmetrics - an
immediate and different
type of impact*



(trials) alongside public and policy engagement attention including social media, traditional media, policy attention and the other forms of attention that Altmetric indexes.

The need to demonstrate the impact of research has, in a number of countries, sought to bring together data to tell stories to describe the route to impact. The inclusion of Altmetric data natively in Dimensions moves the community a step closer to understanding the impact of research in more quantifiable terms.

*Open Access in
Dimensions*

*Dimensions -
making citation and
metadata available*

*Dimensions and open
citation data*

Open Access, Open Citation Data and Dimensions

Digital Science is a firm supporter of Open Access and Dimensions can be a helpful tool for the community in supporting these efforts. We are in the process of integrating data from a variety of sources to create an “as-near-as-possible” comprehensive view on Open Access articles (currently we include data from [DOAJ](#) and data associated with [oaDOI / Unpaywall](#)). The free version of Dimensions allows a user to access most of the OA articles with a single click. The article opens directly in a ReadCube overlay window on top of the Dimensions interface to get the user to the content as quickly as possible. Of course, the pdf is available for download as well. We are working hard to extend this to all OA records as soon as we can.

Dimensions is an example of the power of making metadata including citations publicly available, in order to stimulate innovation and novel solutions / tools. Dimensions has been developed with the same goal in mind: Making good quality, consistent and linked metadata available to the community not just to ensure access for all but to stimulate creativity. So much can be done with these data and to create innovation that supports research.

Dimensions is aligned with the very important [Initiative for Open Citations](#). Indeed, Dimensions is an example of what can be done if citation data is more openly available. In building Dimensions, Digital Science had to invest significant effort to make a good enough citation graph so that a good quality discovery experience could be delivered to users. We hope that the I4OC and similar initiatives continue to lower that barrier going forward. This will allow the community to focus on more valuable functionality for users who want to push their research forward faster.

Since we have been asked this question often: Digital Science is not a publisher and is not in the best position to contribute citation data to I4OC - we believe this should come from publishers themselves. From the Dimensions team, both Altmetric and Figshare are members of the initiative.

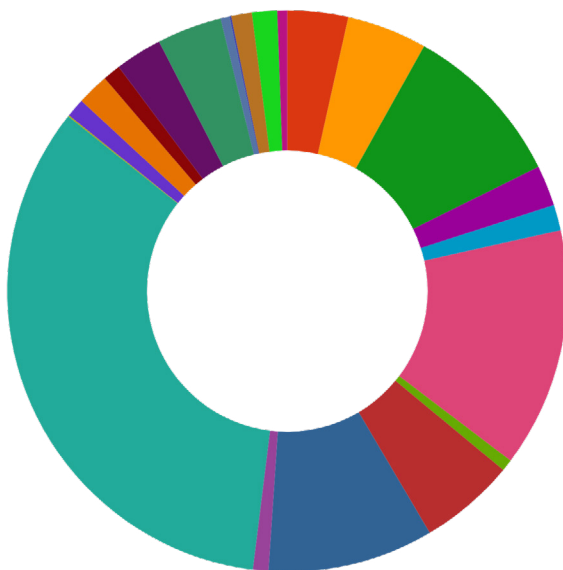


Key statistics on the Dimensions publication and citation data

The following key statistics were captured on Jan 1, 2018 and are changing on a weekly basis - this means that the values in this document can vary from the actual results in the Dimensions application or API.

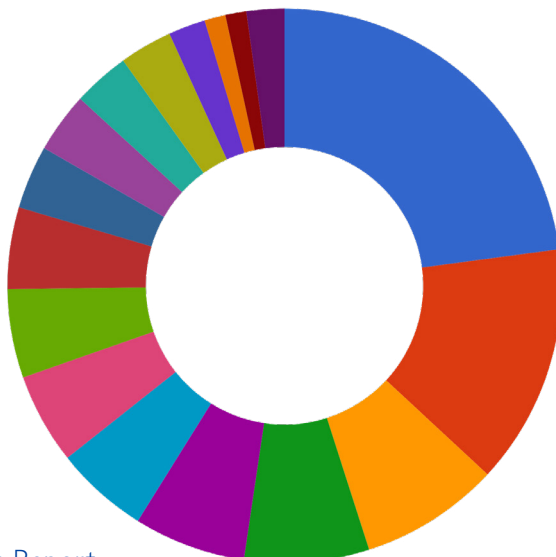
No. publication records	89,428,659
Number of journals covered	More than 50,000
Number of citations between publication records	Appr. 873,000,000
Links between publications and research organizations	Appr. 115,000,000
Links between publications and grants	7,901,524
Links between publications and funders	32,259,074
Links between publications and researchers	Appr. 240,000,000
Links between publications and patents	9,315,246
Links between publications and clinical trials	129,404

Distribution of publications across disciplines



- Mathematical Sciences
- Physical Sciences
- Chemical Sciences
- Earth Sciences
- Environmental Sciences
- Biological Sciences
- Agricultural and Veterinary Sciences
- Information and Computing Sciences
- Engineering
- Technology
- Medical and Health Sciences
- Built Environment and Design
- Education
- Economics
- Commerce, Management, Tourism and Services
- Studies In Human Society
- Psychology and Cognitive Sciences
- Law and Legal Studies
- Studies In Creative Arts and Writing
- Language, Communication and Culture
- History and Archaeology
- Philosophy and Religious Studies

Distribution across publisher



- Other
- Elsevier
- Springer Nature
- Wiley
- IEEE
- Taylor & Francis
- Other
- Sage Publications
- Cambridge University Press
- Wolters Kluwer
- Other
- Springer Nature
- DeGruyter
- Oxford University Press
- Royal Society of Chemistry



Clinical Trials - Research Result en Route to Clinical Application

*Clinical trials,
aggregated from
different registries*

To be clear about definitions: A clinical trial is 'any research study that prospectively assigns human participants or groups of humans to one or more health-related interventions to evaluate the effects on health outcomes'.

Interventions include, but are not restricted to drugs, cells and other biological products, surgical procedures, radiological procedures, devices, behavioural treatments, process-of-care changes, preventive care, etc. (Source: WHO)

Dimensions provides a single point of access to multiple clinical trial registries. As of January 2018 we have integrated eight registries:

- ClinicalTrials.gov,
- the EU Clinical Trials Register (**EU-CTR**),
- the Japanese UMIN Clinical Trials Registry (**UMIN-CTR**),
- the **ISRCTN** registry,
- the Australian New Zealand Clinical Trials Registry (**ANZCTR**),
- the Chinese Clinical Trial Registry (**CHICTR**),
- the Netherlands Trial Register (**NTR**),
- The German Clinical Trials Register (**GTRS**)

More will follow in the future. We integrate and map all relevant source data into Dimensions' coherent data model with filters, for e.g. research categories, research organizations or years, applicable across content types.

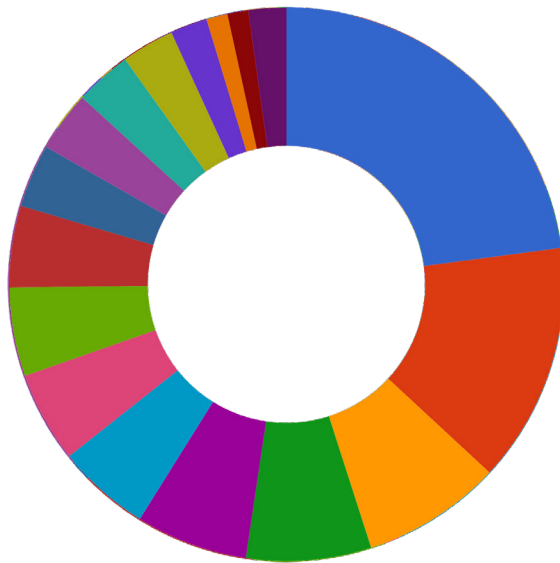
Key statistics on the Dimensions publication and citation data

The following key statistics were captured on Jan 1, 2018 and are changing on a weekly basis - this means that the values in this document can vary from the actual results in the Dimensions application or API.

No. clinical trial records	380,963
Number of countries and clinical trials	174
Links between clinical trials and research organizations	Appr. 380,000
Links between clinical trials and funders	43,061
Links between clinical trials and researchers	Appr. 477,000

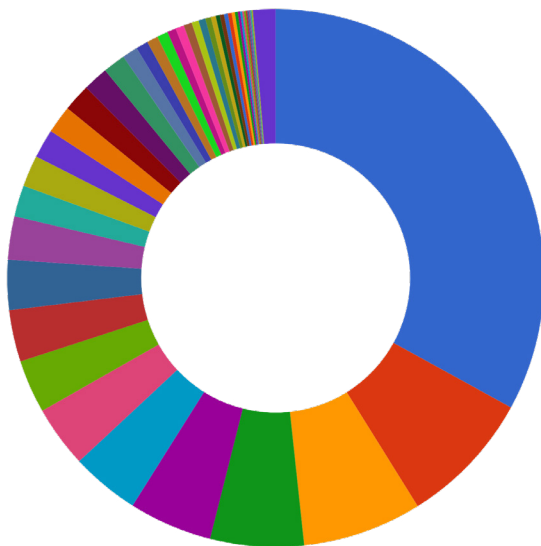


Distribution of publications across disciplines (based on the Health Research Classification System (HRCS) from the UK



- Cancer
- Cardiovascular
- Metabolic and Endocrine
- Infection
- Mental Health
- Oral and Gastrointestinal
- Musculoskeletal
- Neurological
- Respiratory
- Reproductive Health and Childbirth
- Stroke
- Inflammatory and Immune System
- Renal and Urogenital
- Eye
- Generic Health Relevance
- Skin
- Other

Geographical distribution of clinical trials



- United States
- Japan
- United Kingdom
- China
- Germany
- France
- Netherlands
- Canada
- Australia
- Switzerland
- Italy
- Sweden
- Denmark
- Belgium
- Brazil
- South Korea
- Spain
- Israel
- Taiwan

Top 20 shown





Patents - Research Resulting in Practical and Commercial Applications

*Patent data - to show
the translation of
research activities into
the commercial space*

The patent data in Dimensions is provided by the Digital Science portfolio company [IFI Claims](#). We started with an initial tranche of patent offices for the launch of Dimensions. We are now in the process of adding more, which will appear in Dimensions during the course of 2018. The focus of the patent data in Dimensions is to provide a downstream view on how research funding is impacting and enabling the commercial protection and potential use of research results.

Current patent offices already covered in Dimensions:

- United States Patent and Trademark Office ([USPTO](#))
- European Patent Office ([EPO](#))
- World Intellectual Property Organisation ([WIPO](#))
- German Patent and Trademark Office ([DPMA](#))
- Canadian Intellectual Property Office ([CIPO](#))
- Intellectual Property India ([IPI](#))
- Intellectual Property Office, UK ([IPO](#))
- National Industrial Property Institute, France ([INPI](#))
- Intellectual Property Department, Hong Kong ([IPD](#))

The number of links between publications and patents will significantly increase in the first half of 2018, since the current count of 11.3M links is based on PubMed publications only. We have started the extraction already and will integrate the results as quickly as possible.

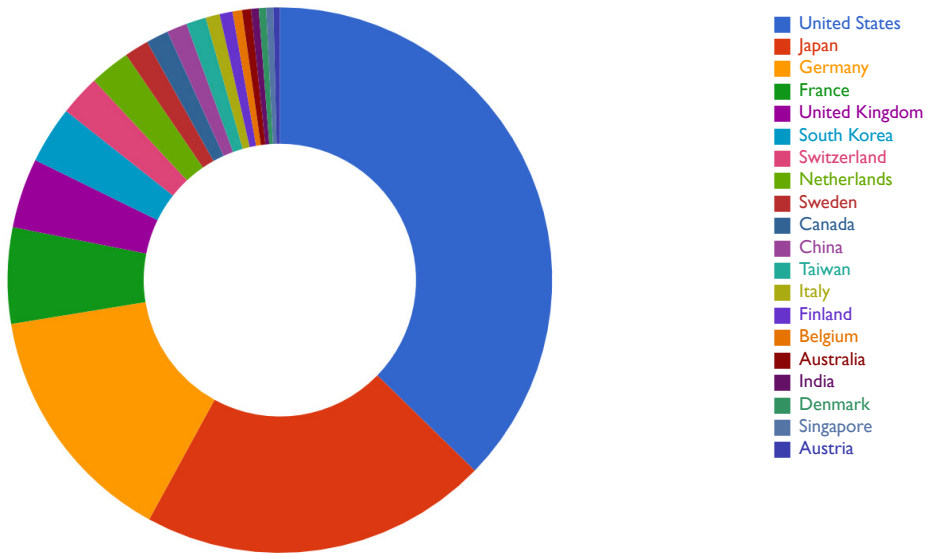
Key statistics on the Dimensions patent data

The following key statistics were captured on Jan 1, 2018 and are changing on a weekly basis - this means that the values in this document can vary from the actual results in the Dimensions application or API.

No. of patent records	34,655,315
Links between patent records	Appr. 230,000,000
Links between patents and research organizations	38,328,208
Links between patents and grants	171,648
Links between patents and funders	291,442
Links between patents and publications	11,271,205



Geographical distribution of assignees



Thank You

Thank you for your interest in Dimensions. We look forward to improving both the data and the tool in cooperation with you and the research community.

Legal note: while we have tried to ensure the accuracy of this report, it is subject to change and provided for information only on an "as is" basis, and is not intended to form part of any legal contract. Any reference to a third party in this report should not be considered as an endorsement by or of, or indication of any association with, Dimensions or Digital Science.



Part of the **Digital Science** portfolio



Dimensions

