

# Phenomenology of three-neutrino masses and mixings

Thomas Schwetz

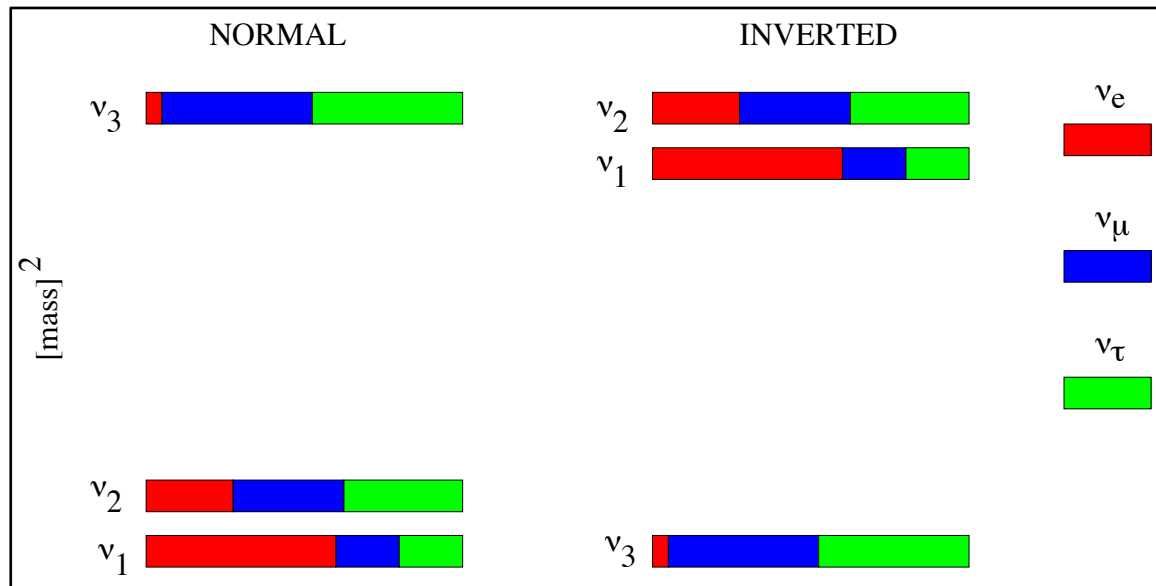


Int. Workshop on Neutrino Telescopes — 18-22 March 2019, Venice, Italy



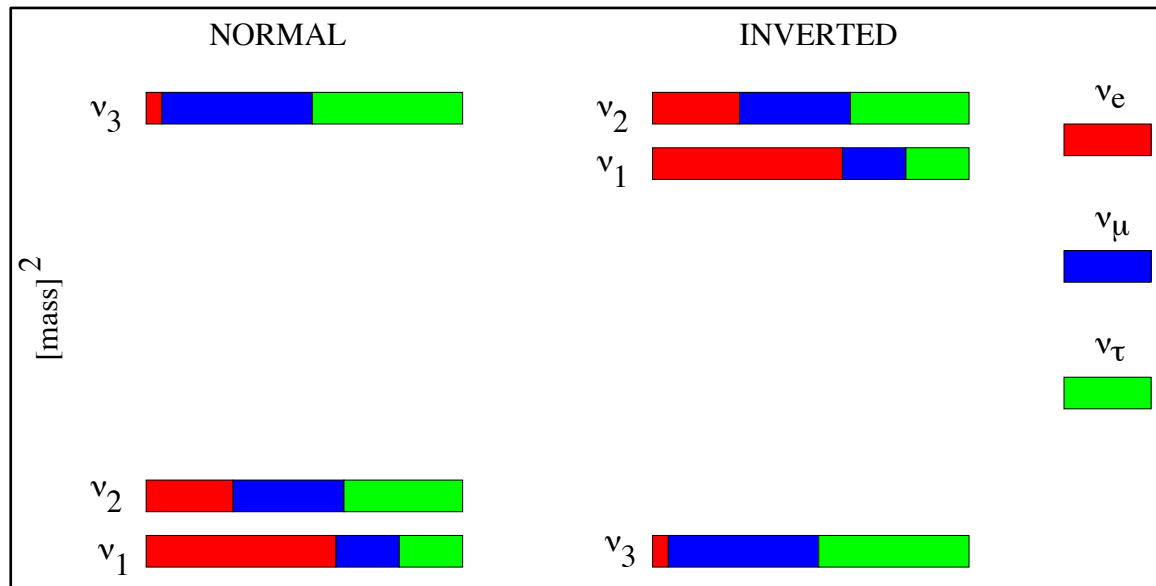
# The 3-flavour paradigm

- 3 masses:  $\Delta m^2_{21}$ ,  $\Delta m^2_{31}$ ,  $m_0$
- 3 mixing angles  $\theta_{12}$   $\theta_{13}$   $\theta_{23}$
- 3 phases (1 Dirac, 2 Majorana)



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- each parameter determined by several (classes of) experiments
- especially true for not-so-well determined parameters ( $\theta_{23}$ , MO, Dirac-phase)
- interplay of different data sets → global analyses

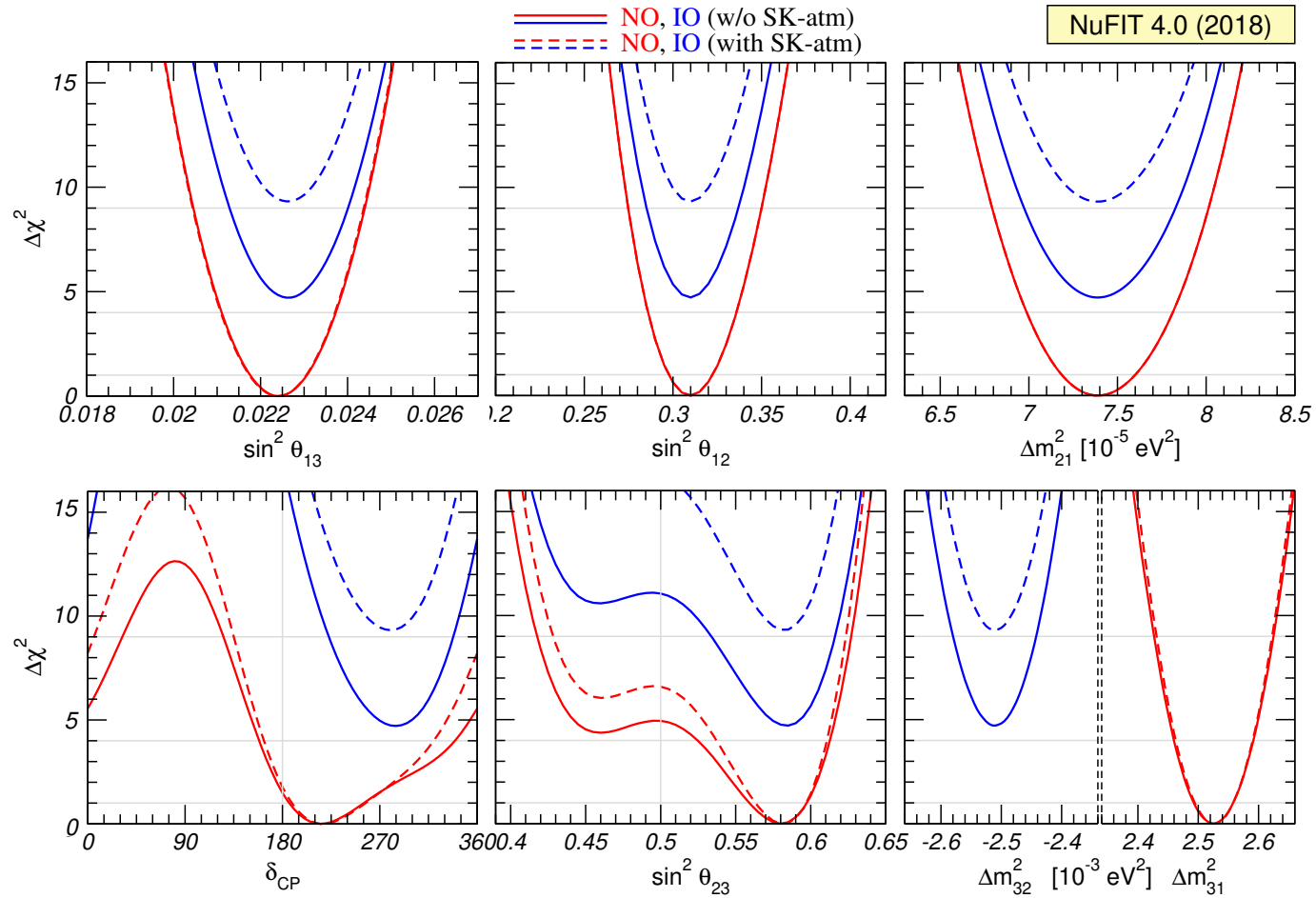
# NuFit 4.0 (2018)



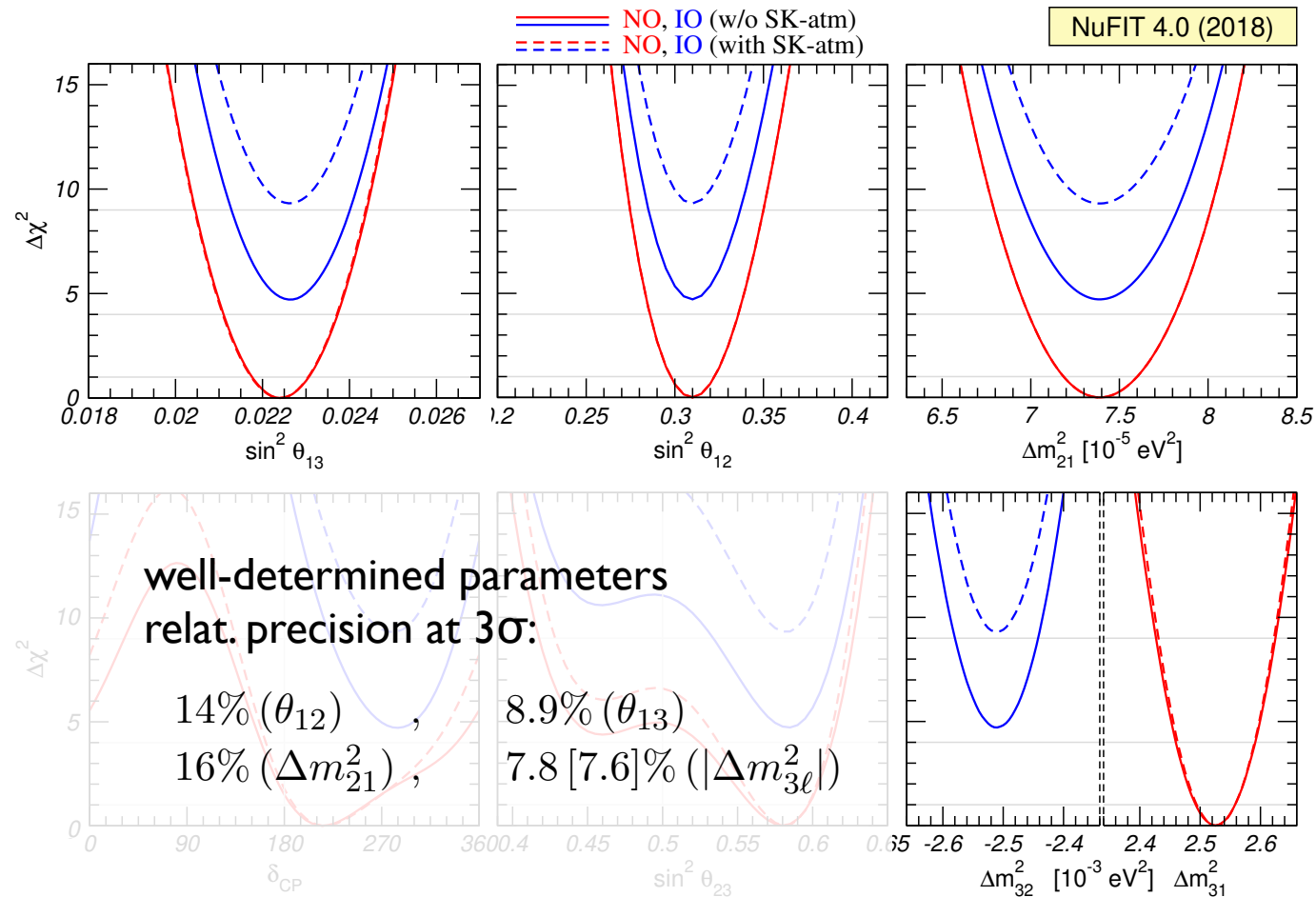
I. Esteban, C. Gonzalez-Garcia, A. Hernandez, M. Maltoni, T. Schwetz, 1811.05487, JHEP 19

- data available till Oct 2018 (incl. Neutrino 2018 releases)
- T2K:  
14.93e20 pot neutrino, 11.24e20 pot antineutrino
- NOvA:  
8.85e20 pot neutrino, 6.91e20 pot antineutrino
- full list of data see  
<http://www.nu-fit.org/sites/default/files/v40.release-notes.pdf>

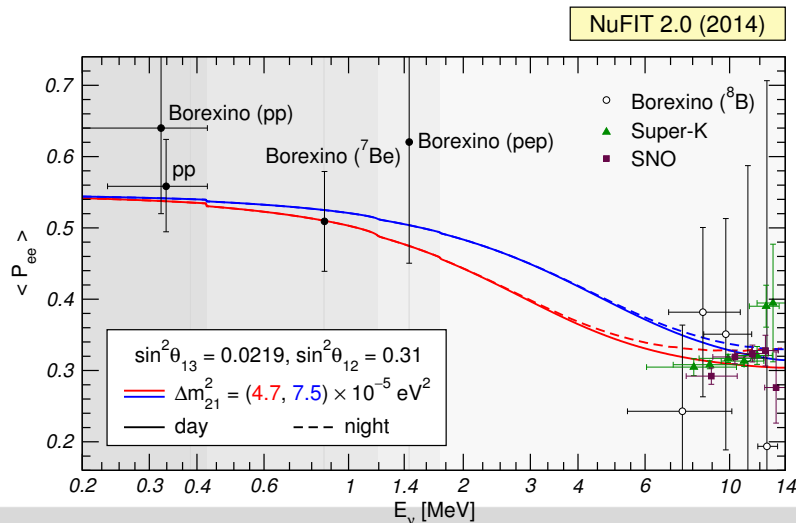
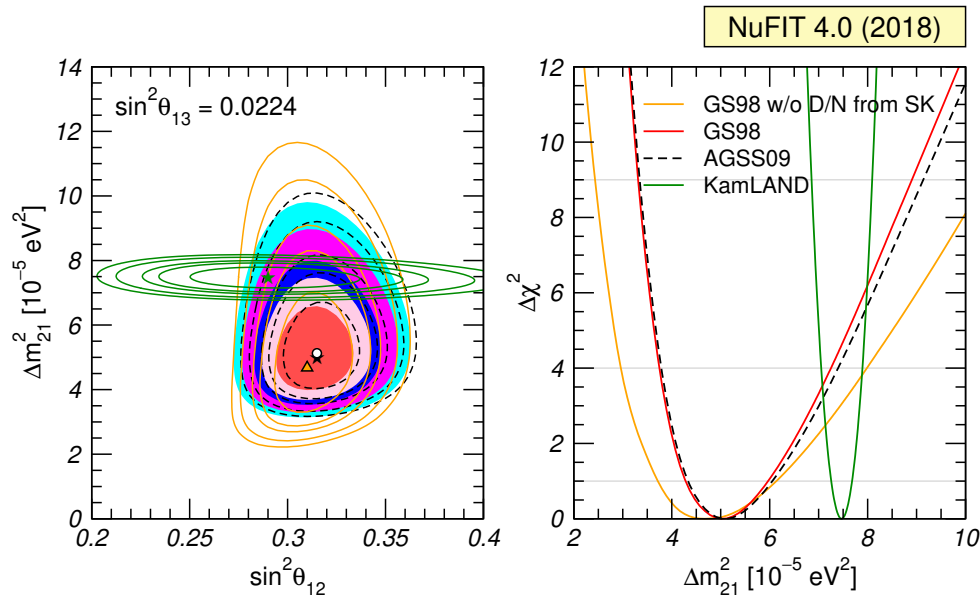
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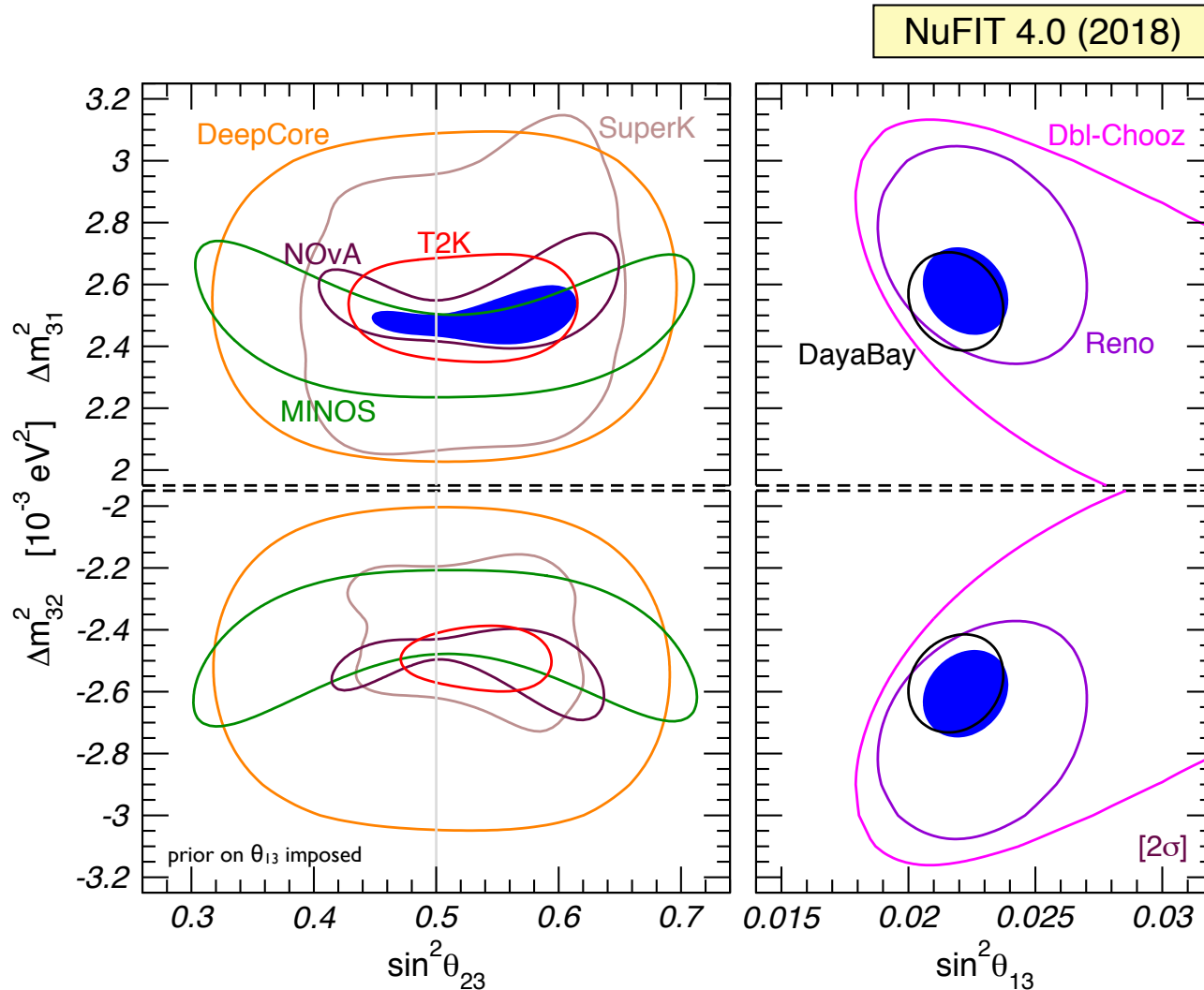
# Solar parameters



- using reconstructed fluxes from Daya-Bay in KamLAND analysis
- tension between solar and KamLAND remains at  $\sim 2\sigma$
- robust wrt to solar models (abundances)
- driven by spectrum upturn and day/night data from SK

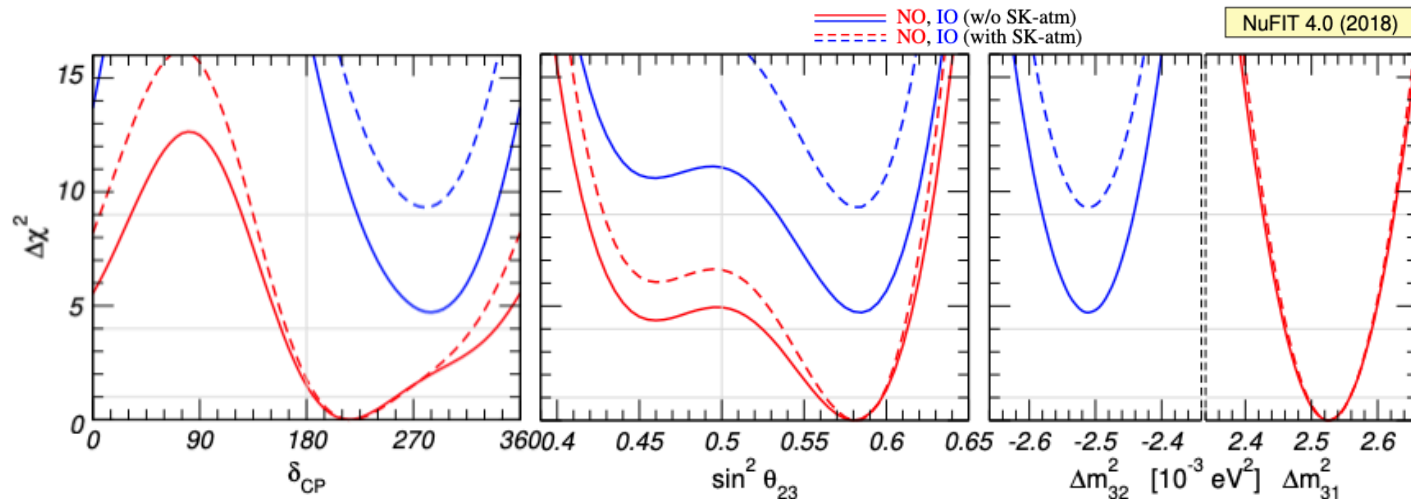


# Atmospheric parameters



# not-so-well determined

- preference for second octant of  $\theta_{23}$ , bf at  $\sin^2\theta_{23} = 0.58$   
 $\sin^2\theta_{23} < 0.5$  disfavoured with  $\Delta\chi^2 \approx 4.4$  (6.0) without (with) SK atm
- NO preferred over IO by  $\Delta\chi^2 = 4.7$  (9.3) without (with) SK atm
- CP conservation allowed at  $\Delta\chi^2 = 1.8$ , bf at  $\delta = 217^\circ$

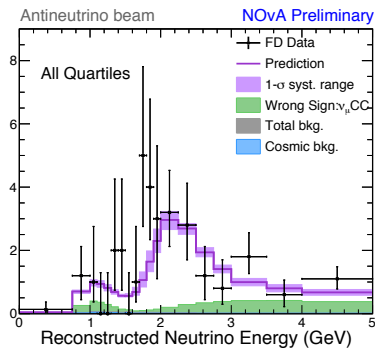
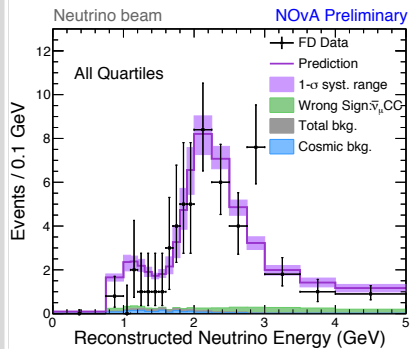


# LBL disappearance results

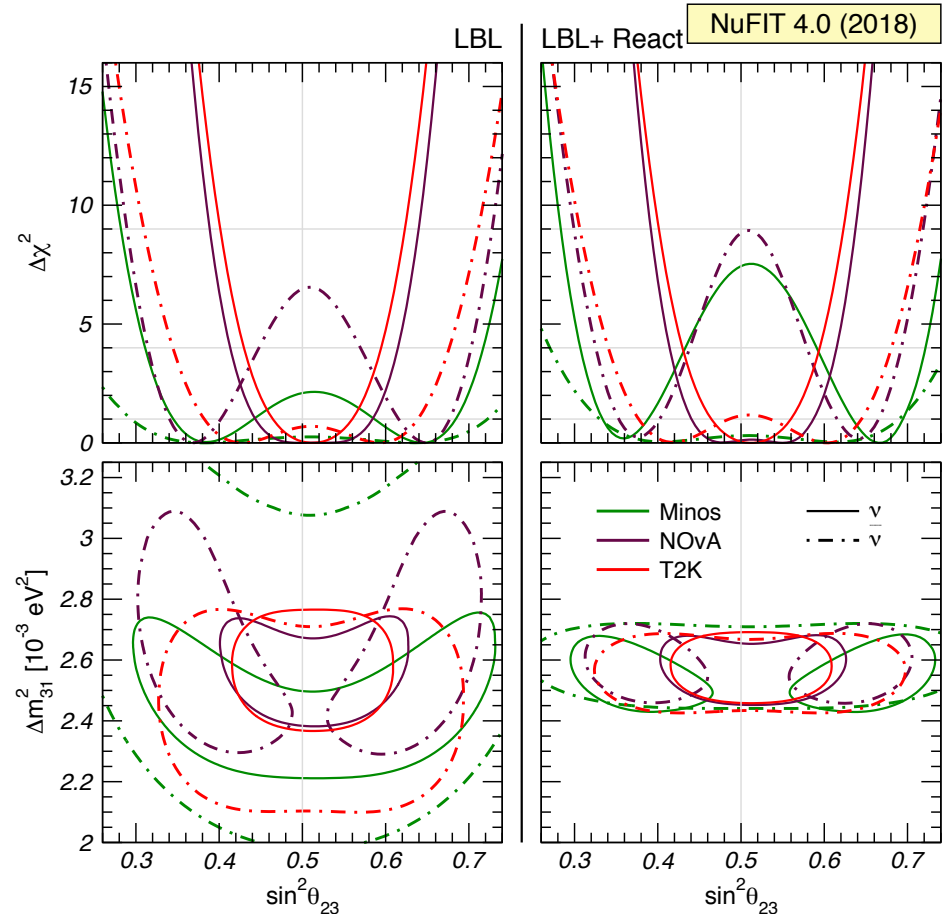
$$P_{\mu\mu} \approx 1 - \sin^2 2\theta_{\mu\mu} \sin^2 \frac{\Delta m_{\mu\mu}^2 L}{4E_\nu}$$

$$\sin^2 \theta_{\mu\mu} = \cos^2 \theta_{13} \sin^2 \theta_{23},$$

$$\Delta m_{\mu\mu}^2 = \sin^2 \theta_{12} \Delta m_{31}^2 + \cos^2 \theta_{12} \Delta m_{32}^2 + \cos \delta_{CP} \sin \theta_{13} \sin 2\theta_{12} \tan \theta_{23} \Delta m_{21}^2$$



M. Sanchez, Neutrino 18



$2\sigma$  contours, normal ordering, prior on  $\theta_{13}$  imposed

# LBL appearance data

following Elevant, Schwetz, 15

$$N_{\nu_e} \approx \mathcal{N}_\nu [2s_{23}^2(1 + 2oA) - C' \sin \delta_{\text{CP}}(1 + oA)]$$

$$N_{\bar{\nu}_e} \approx \mathcal{N}_{\bar{\nu}} [2s_{23}^2(1 - 2oA) + C' \sin \delta_{\text{CP}}(1 - oA)]$$

$$C' \approx 0.28$$

$$o \equiv \text{sgn}(\Delta m_{3\ell}^2)$$

$$A \equiv \left| \frac{2EV}{\Delta m_{3\ell}^2} \right| \approx \begin{cases} 0.05 & \text{T2K} \\ 0.1 & \text{NOvA} \end{cases}$$

|                                   | T2K CCQE ( $\nu$ ) | T2K CC1 $\pi$ ( $\nu$ ) | T2K CCQE ( $\bar{\nu}$ ) | NOvA ( $\nu$ ) | NOvA ( $\bar{\nu}$ ) |
|-----------------------------------|--------------------|-------------------------|--------------------------|----------------|----------------------|
| $\mathcal{N}$                     | 40                 | 3.8                     | 11                       | 34             | 11                   |
| $N_{\text{obs}} - N_{\text{bck}}$ | 61.4               | 13.6                    | 6.1                      | 43.6           | 13.8                 |

- Both neutrino and anti-neutrino events are enhanced by increasing  $s_{23}^2$ .
- Values of  $\sin \delta_{\text{CP}} \simeq +1$  ( $-1$ ) suppress (increase) neutrino events, and have the opposite effect for anti-neutrino events.
- For NO (IO) neutrino events are enhanced (suppressed) due to the matter effect, whereas anti-neutrino events are suppressed (enhanced).
- For NO (IO) the matter effect increases (decreases) the impact of  $\delta_{\text{CP}}$  for neutrinos, while the opposite happens for anti-neutrinos.

# $\theta_{23}$ octant

$$N_{\nu_e} \approx \mathcal{N}_\nu [2s_{23}^2(1 + 2oA) - C' \sin \delta_{\text{CP}}(1 + oA)]$$

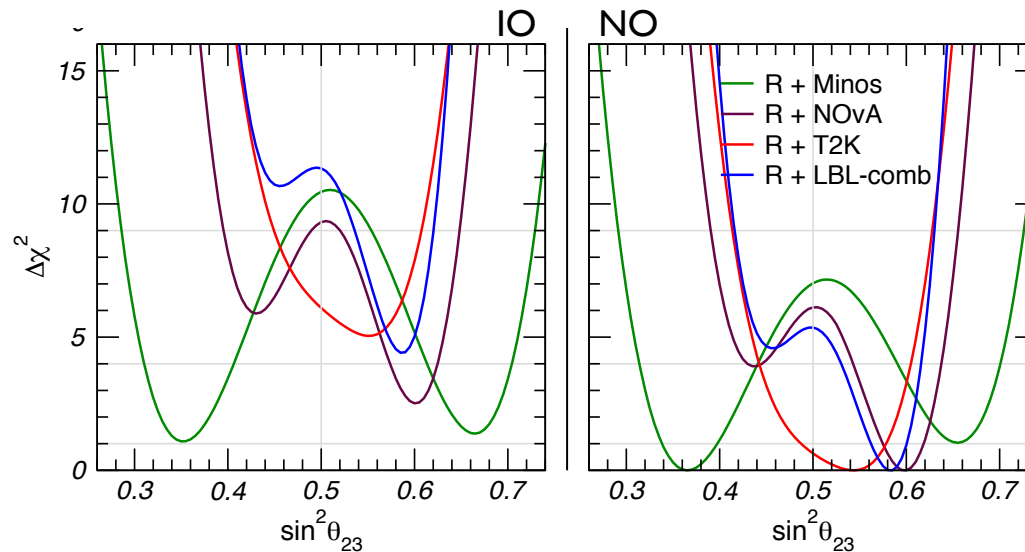
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# CP phase

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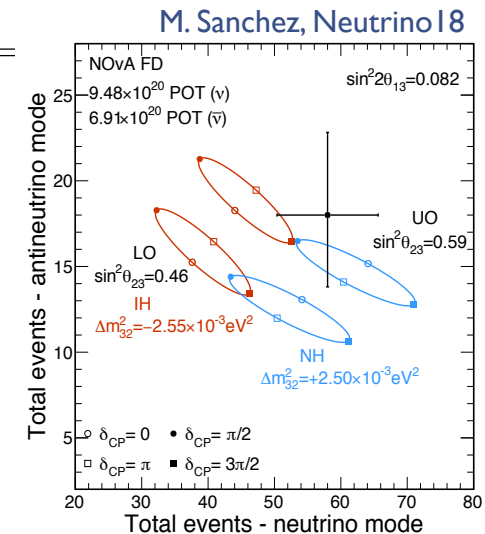
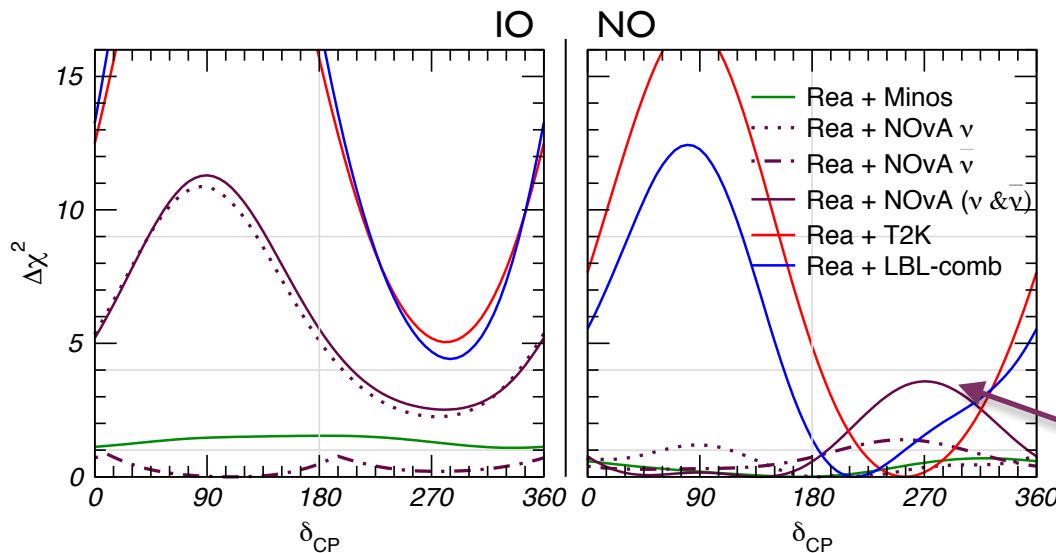
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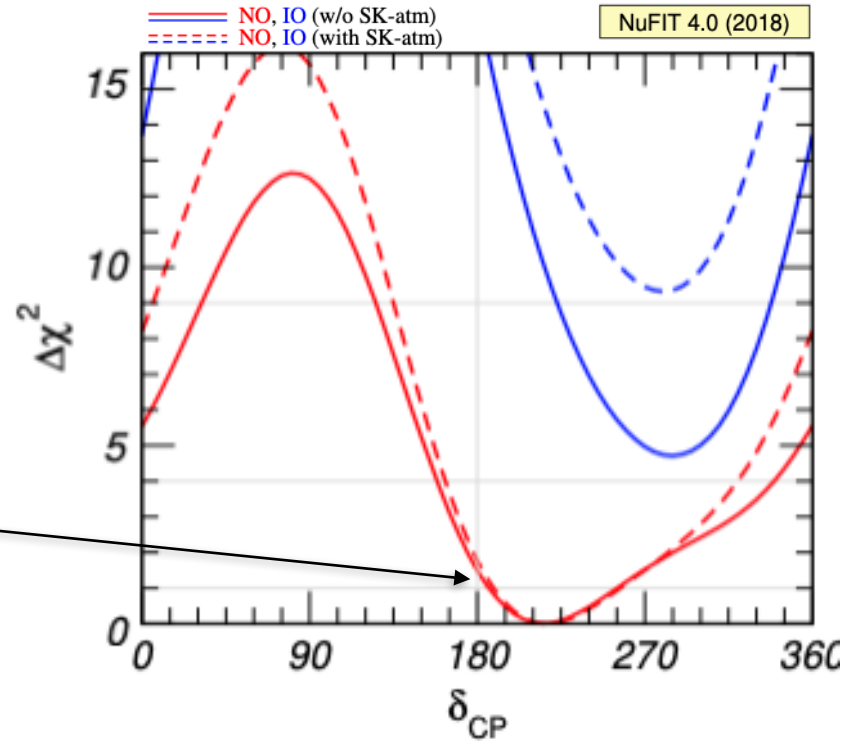
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NOvA: non-max  $\theta_{23}$  from antineut. + matter enhancement predict too many neutrino events for  $\delta \approx 270^\circ$

# CP phase



CP conservation  
at  $\Delta\chi^2 = 1.8$

|                      | Normal Ordering (best fit) |                       | Inverted Ordering ( $\Delta\chi^2 = 9.3$ ) |                       |
|----------------------|----------------------------|-----------------------|--|-----------------------|
|                      | bfp $\pm 1\sigma$          | $3\sigma$ range       | bfp $\pm 1\sigma$                          | $3\sigma$ range       |
| $\delta_{CP}/^\circ$ | $217^{+40}_{-28}$          | $135 \rightarrow 366$ | $280^{+25}_{-28}$                          | $196 \rightarrow 351$ |

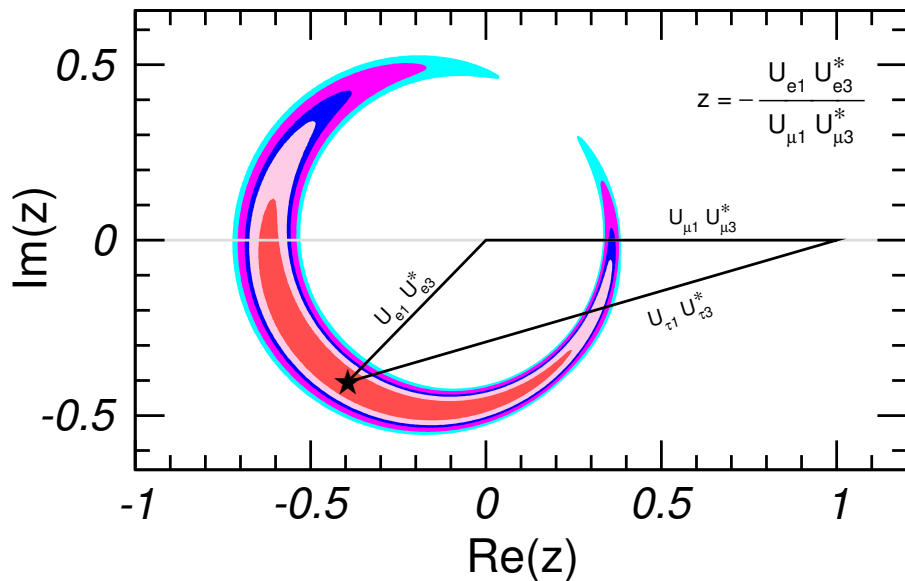
# Leptonic CP violation

Jarlskog invariant:

$$J = |\text{Im}(U_{\alpha 1} U_{\alpha 2}^* U_{\beta 1}^* U_{\beta 2})| = s_{12} c_{12} s_{23} c_{23} s_{13} c_{13}^2 \sin \delta \equiv J^{\text{max}} \sin \delta$$

$$J_{\text{CP}}^{\text{max}} = 0.0333 \pm 0.0006 (\pm 0.0019) \text{ at } 1\sigma (3\sigma)$$

NuFIT 4.0 (2018)





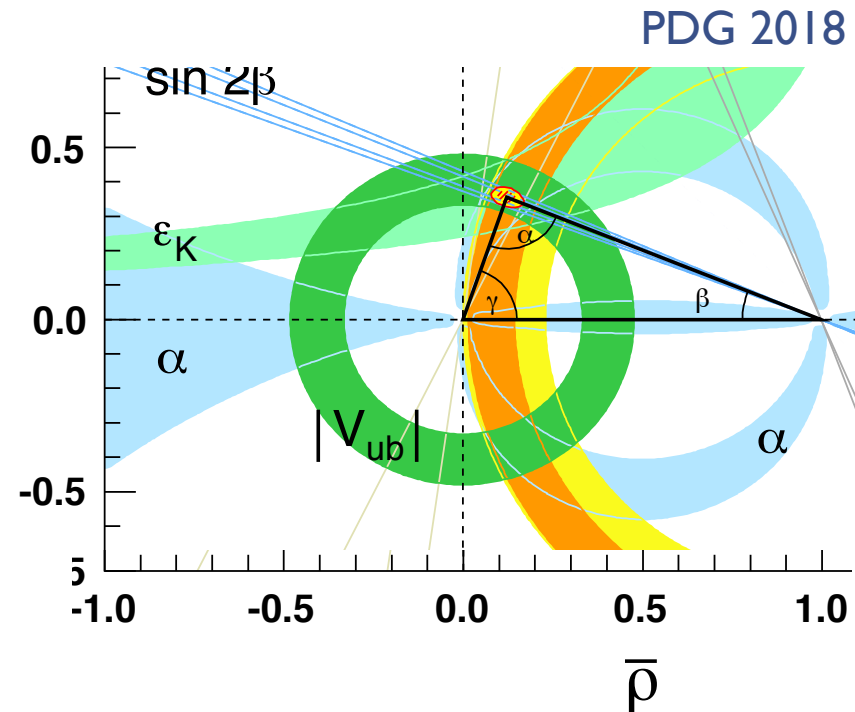
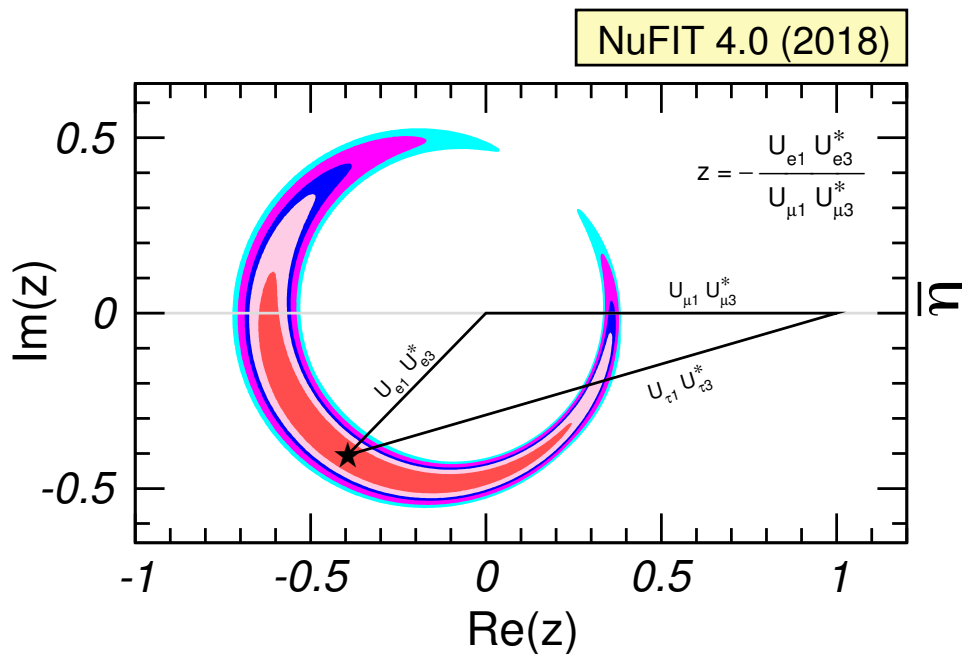
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$$J_{\text{CP}}^{\text{quarks}} = (3.18 \pm 0.15) \times 10^{-5}$$



# Mass ordering

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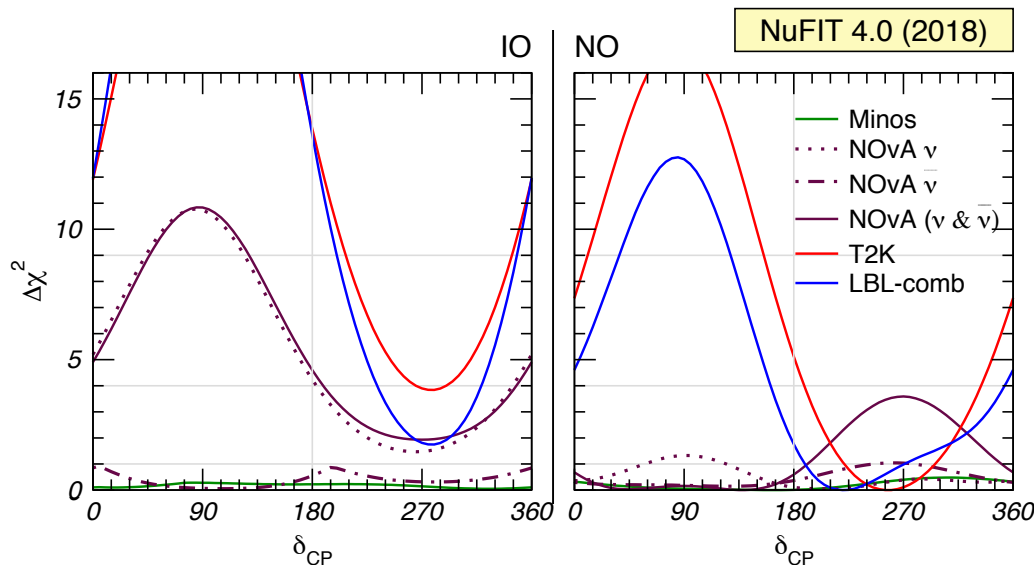
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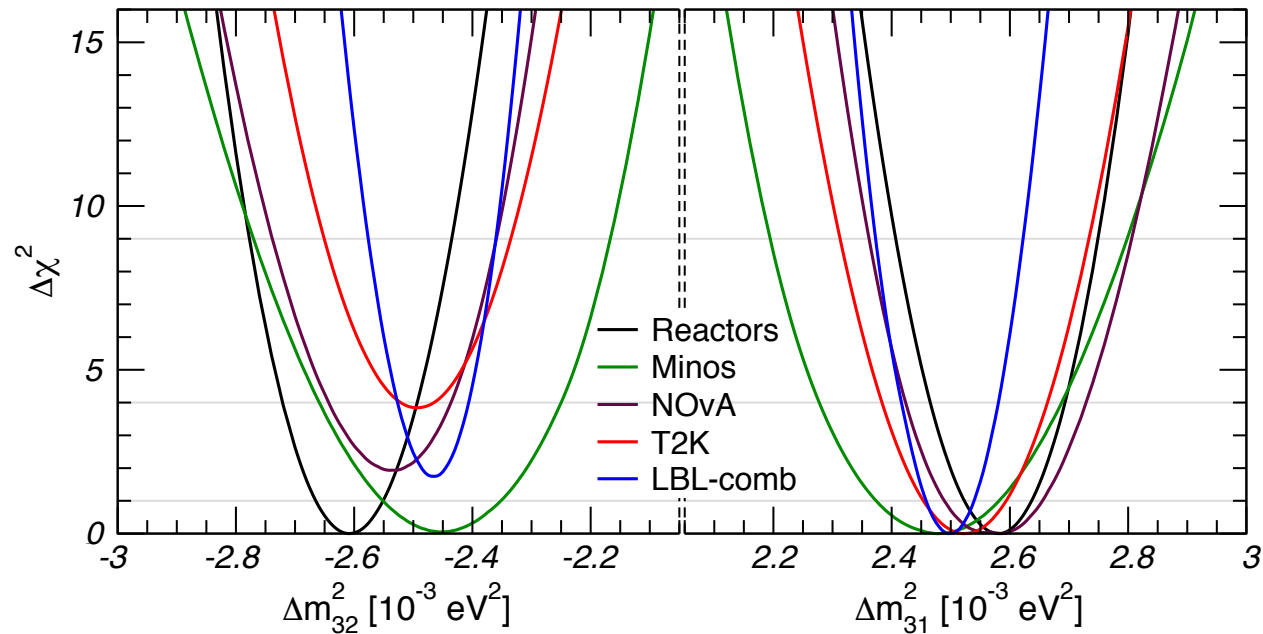


no reactor data, but  $\theta_{13}$  prior added

T2K:  $\Delta\chi^2(\text{IO}) \approx 4$

adding NOvA:  $\Delta\chi^2(\text{IO}) \approx 2$

# Mass ordering



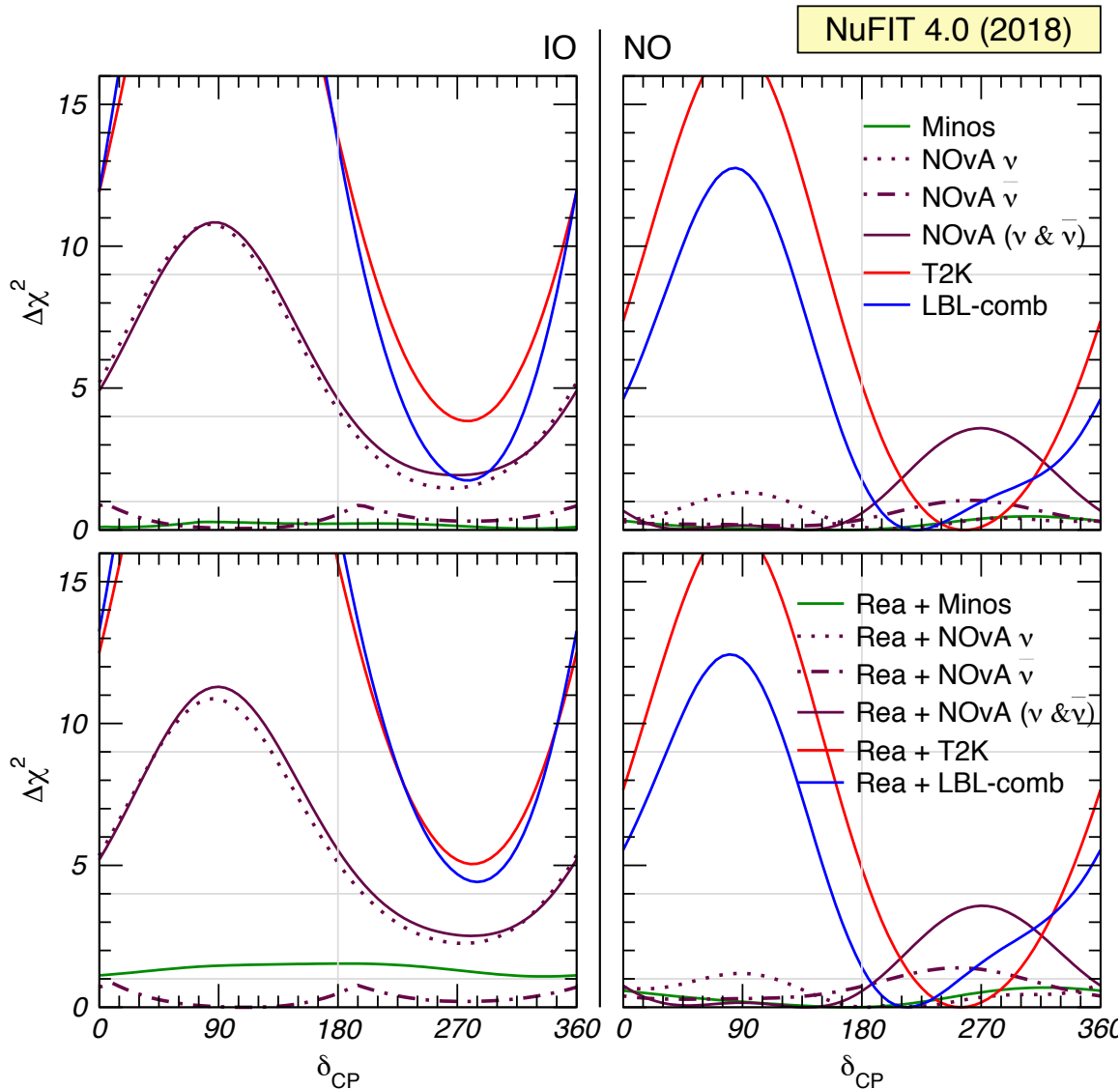
$\nu_e$  and  $\nu_\mu$  disappearance depend on slightly different effective mass-squared differences

$$\Delta m_{ee}^2 = \cos^2 \theta_{12} \Delta m_{31}^2 + \sin^2 \theta_{12} \Delta m_{32}^2$$

$$\Delta m_{\mu\mu}^2 = \sin^2 \theta_{12} \Delta m_{31}^2 + \cos^2 \theta_{12} \Delta m_{32}^2 + \cos \delta_{\text{CP}} \sin \theta_{13} \sin 2\theta_{12} \tan \theta_{23} \Delta m_{21}^2$$

Nunokawa, Parke,  
Zukanovich, 05, 06

# Mass ordering



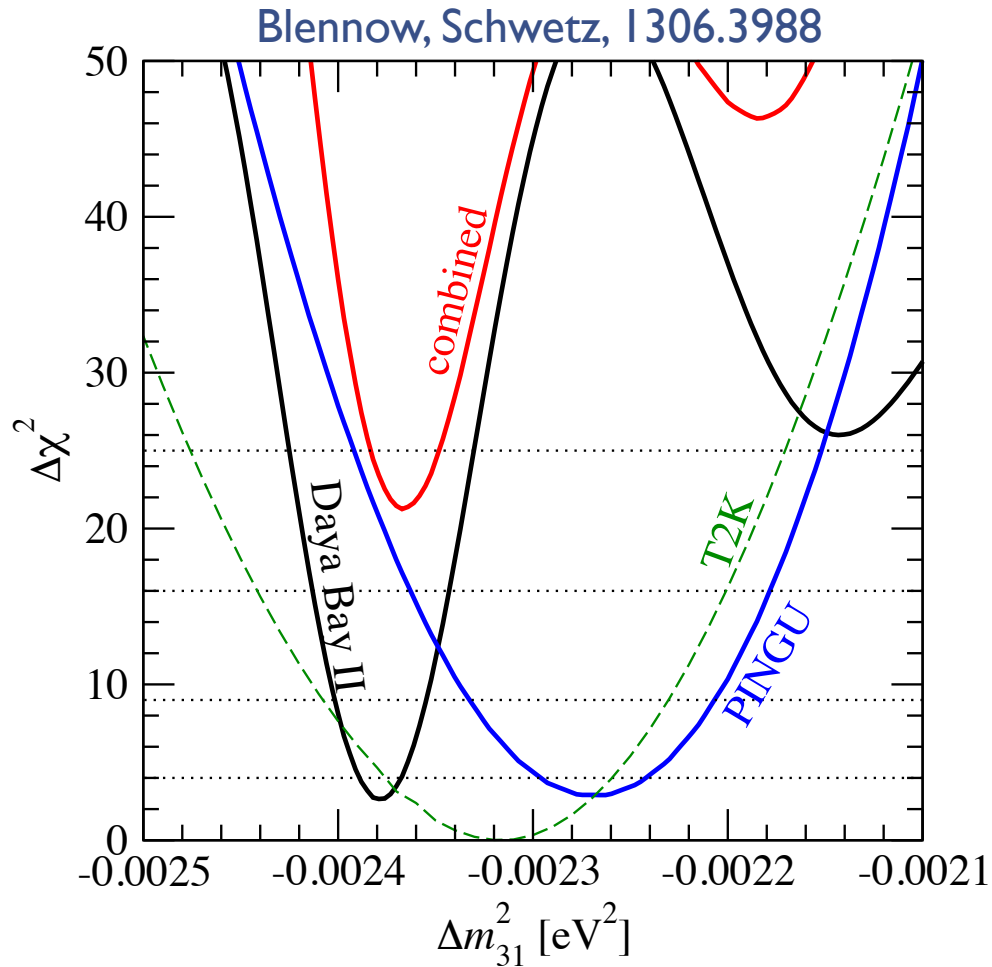
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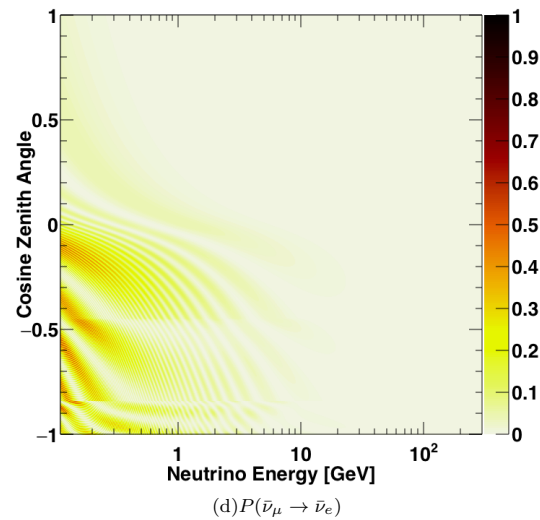
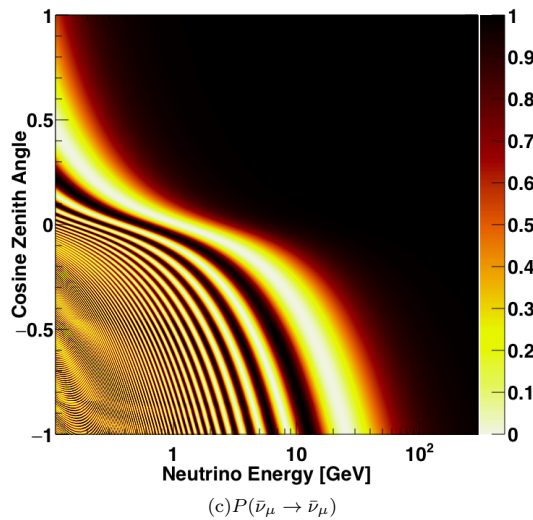
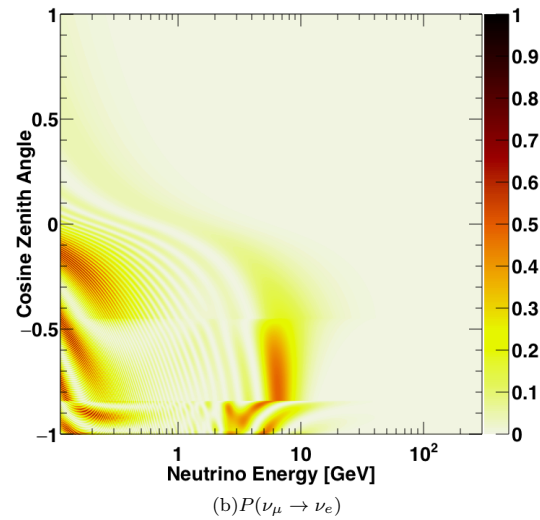
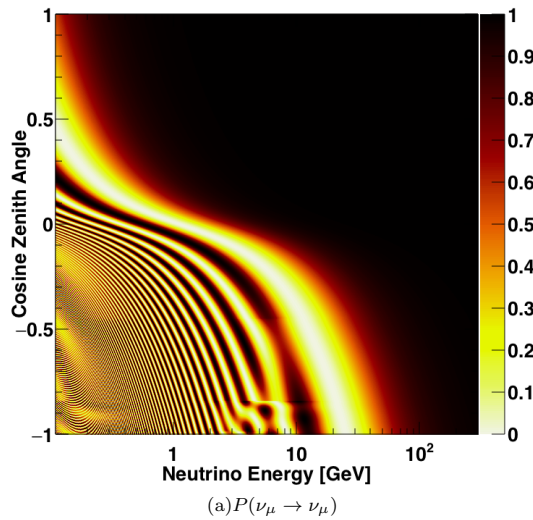
adding reactors:  $\Delta\chi^2(\text{IO}) \approx 4$

# $\nu_e$ and $\nu_\mu$ disapp. complementarity in future



joint IceCube & JUNO paper  
is in preparation

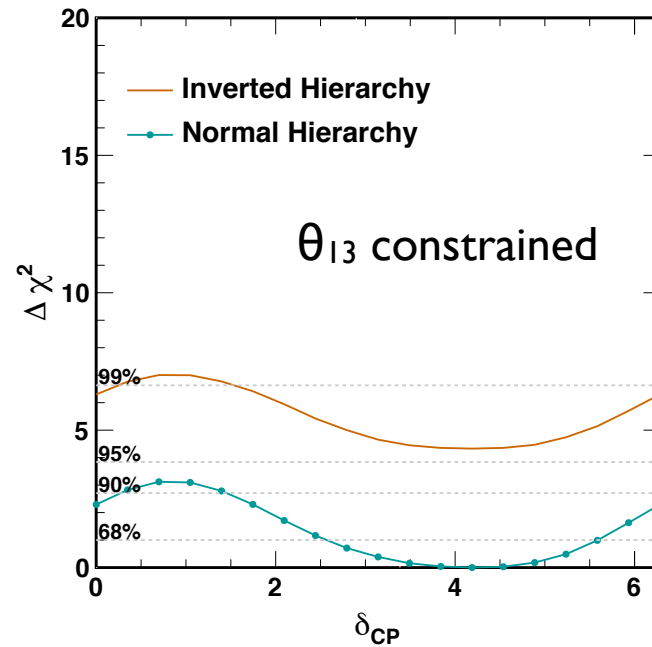
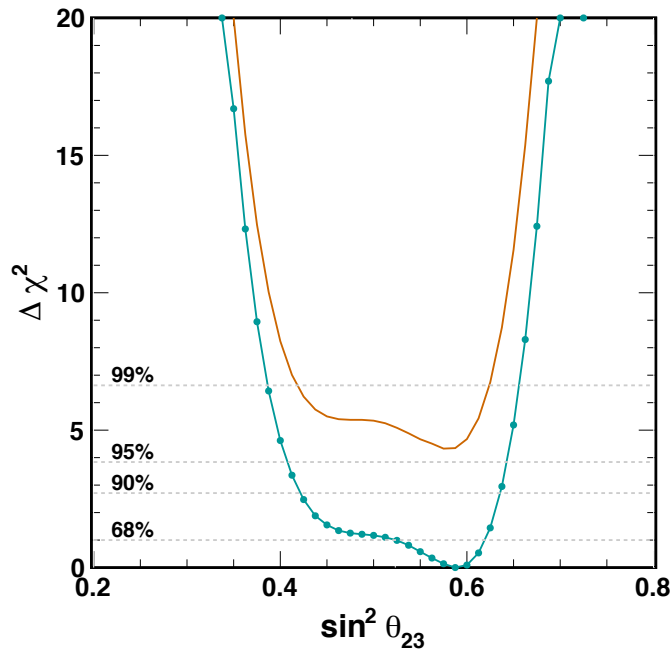
# Mass ordering - atmospheric neutrinos



1710.09126

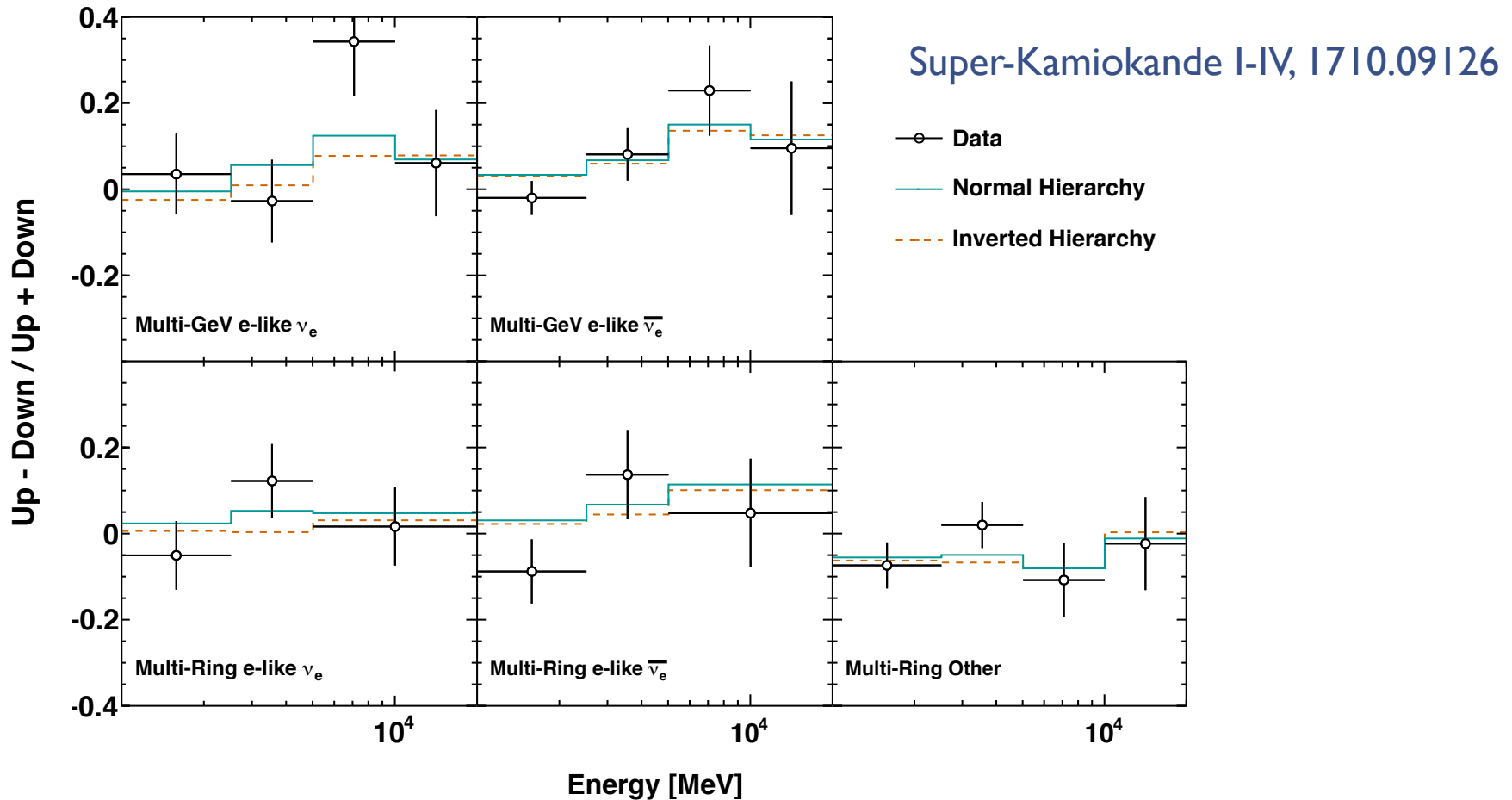
# Mass ordering - atmospheric neutrinos

Super-Kamiokande I-IV, 1710.09126



- prefers 2<sup>nd</sup>  $\theta_{23}$  octant and  $\pi < \delta < 2\pi$
- $\chi^2_{(IO)} - \chi^2_{(NO)} = 4.3$

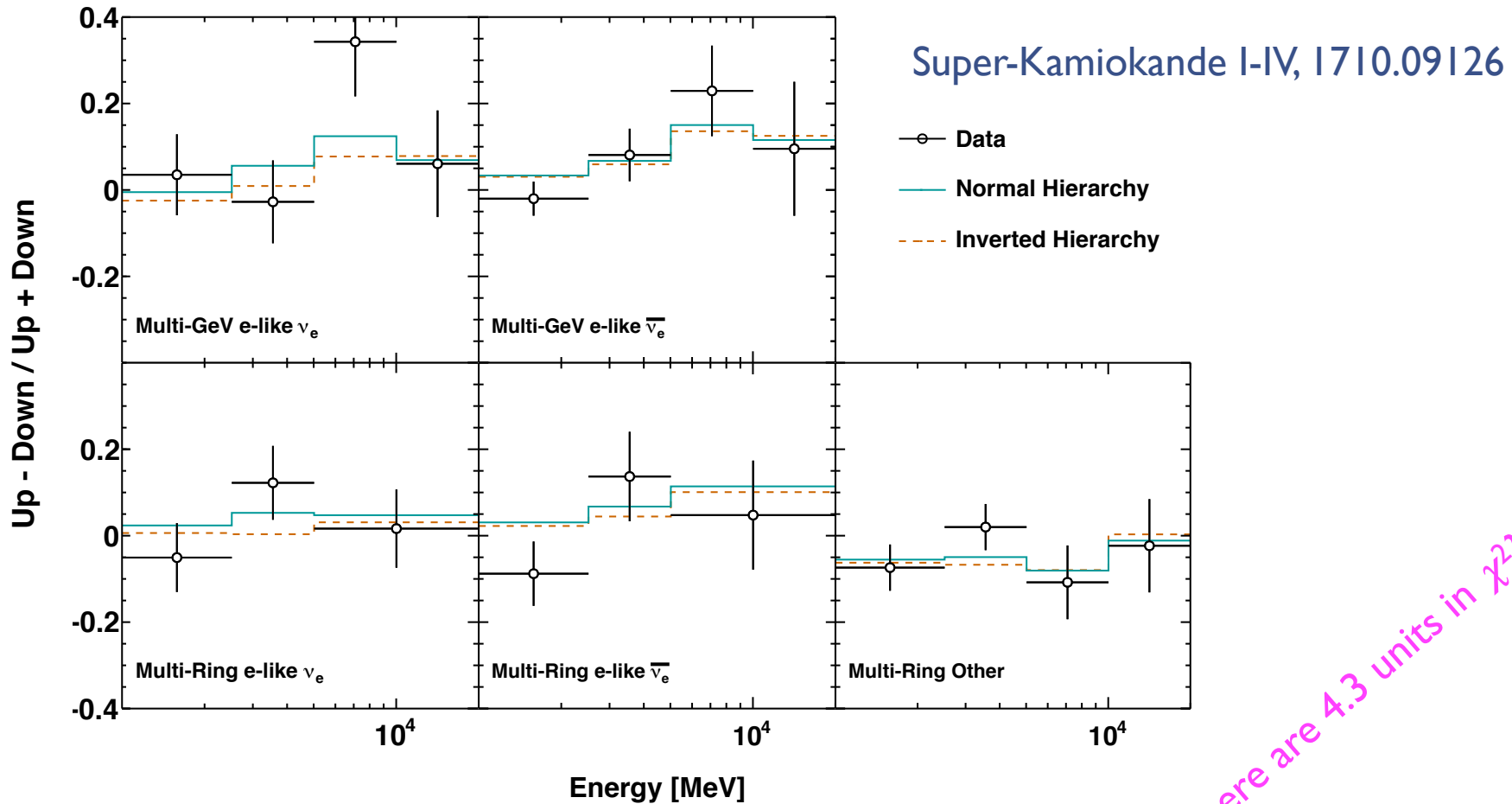
# Mass ordering - atmospheric neutrinos



- analysis not reproducible outside SK
- add  $\chi^2$  table to global fit („black box“)



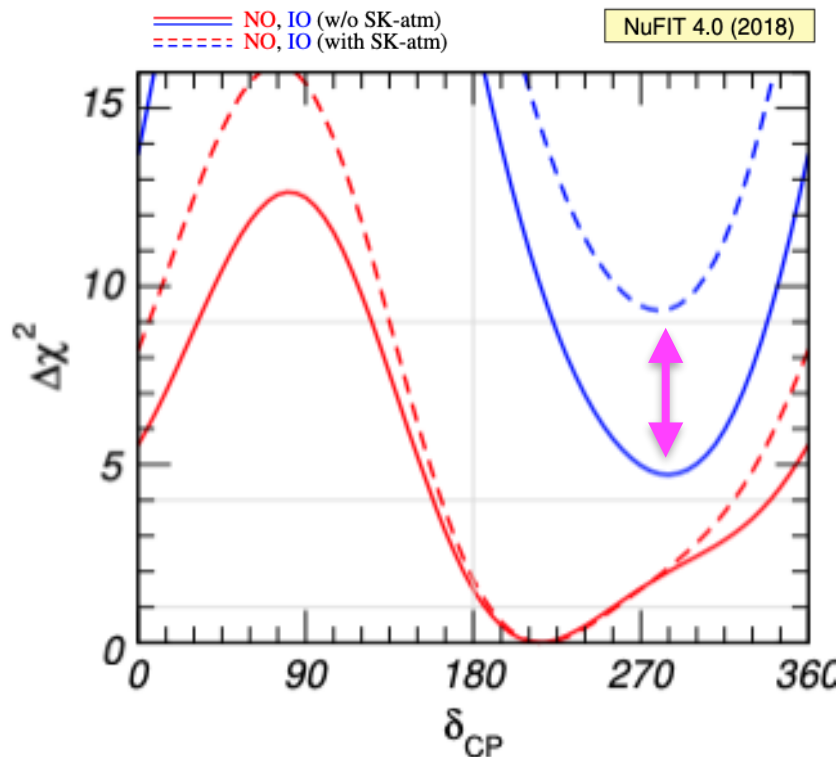
# Mass ordering - atmospheric neutrinos



Where are 4.3 units in  $\chi^2$ ?

- analysis not reproducible outside SK
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# Mass ordering incl. atmospheric



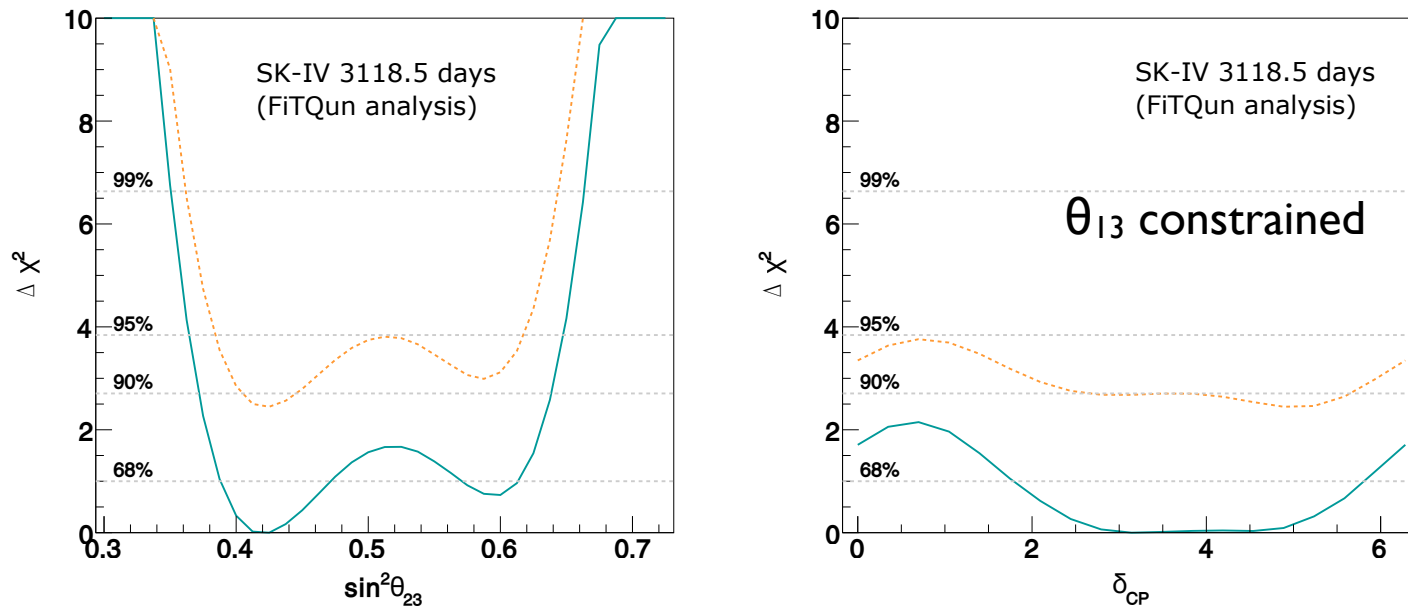
adding SuperK I-IV atm  
 $\chi^2$  table to the global fit  $\rightarrow$   
 inverted ordering becomes  
 disfavoured at  $3\sigma$

(contribution of IceCube to  
 MO still very small)

|                      | Normal Ordering (best fit) |                       | Inverted Ordering ( $\Delta\chi^2 = 9.3$ ) |                       |
|----------------------|----------------------------|-----------------------|--|-----------------------|
|                      | bfp $\pm 1\sigma$          | $3\sigma$ range       | bfp $\pm 1\sigma$                          | $3\sigma$ range       |
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# Mass ordering - atmospheric neutrinos

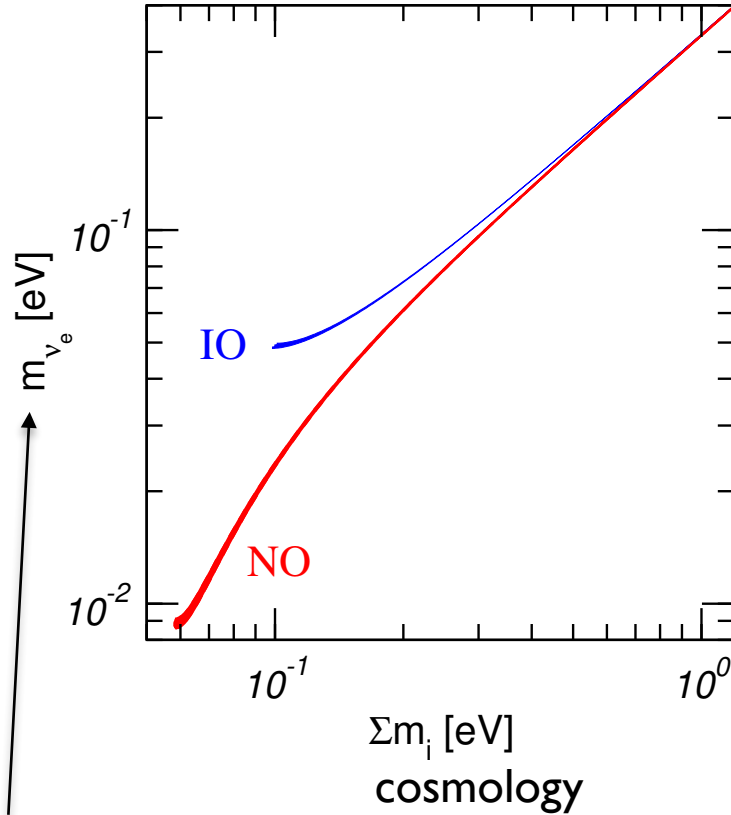
## Atmospheric Neutrino Oscillation Analysis With Improved Event Reconstruction in Super-Kamiokande IV, 1901.03230



- $\chi^2_{(IO)} - \chi^2_{(NO)} = 2.45$  (compared to 4.3 from SK I-IV 2017)
- effective exposure 254 kt yr only 23% smaller (32% larger fiducial volume) (compared to 328 kt yr of SK I-IV 2017)

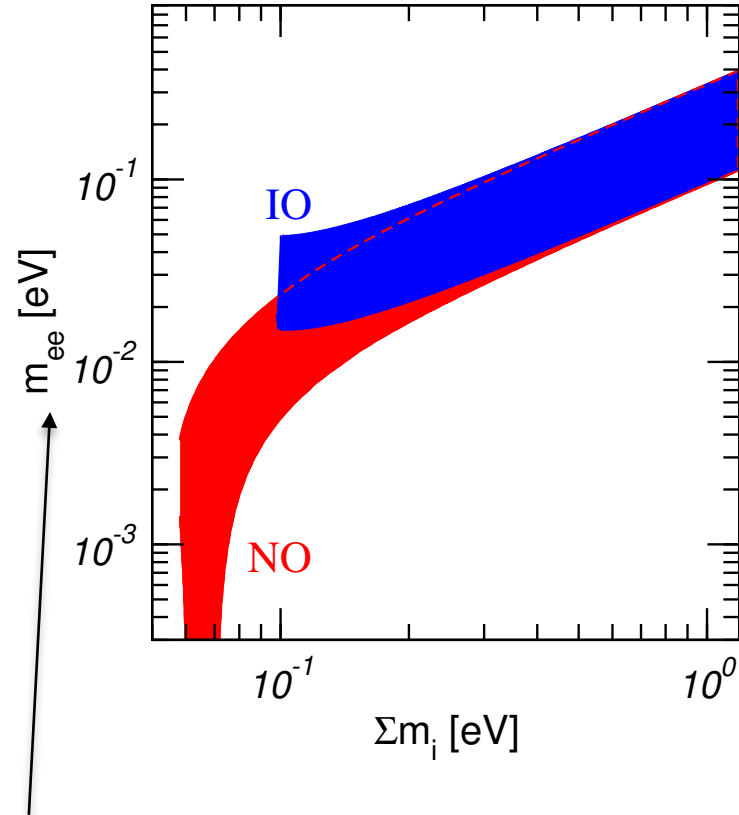
# Absolute neutrino mass observables

NuFIT 4.0 (2018)



beta-decay endpoint

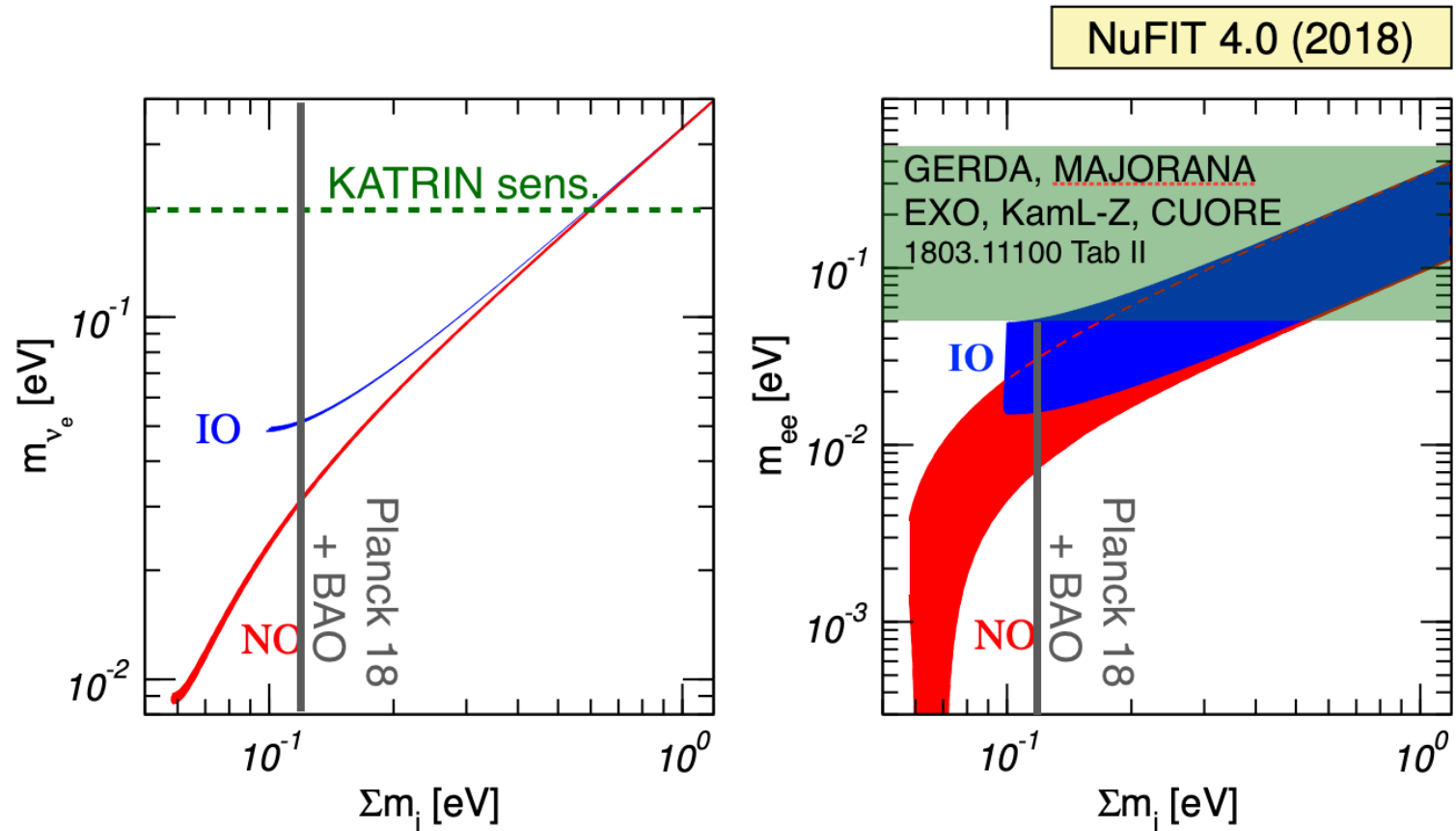
$$m_{\beta}^2 = \sum_i |U_{ei}^2| m_i^2$$



neutrinoless double-beta decay

$$m_{ee} = \left| \sum_i U_{ei}^2 m_i \right|$$

# Absolute neutrino mass observables

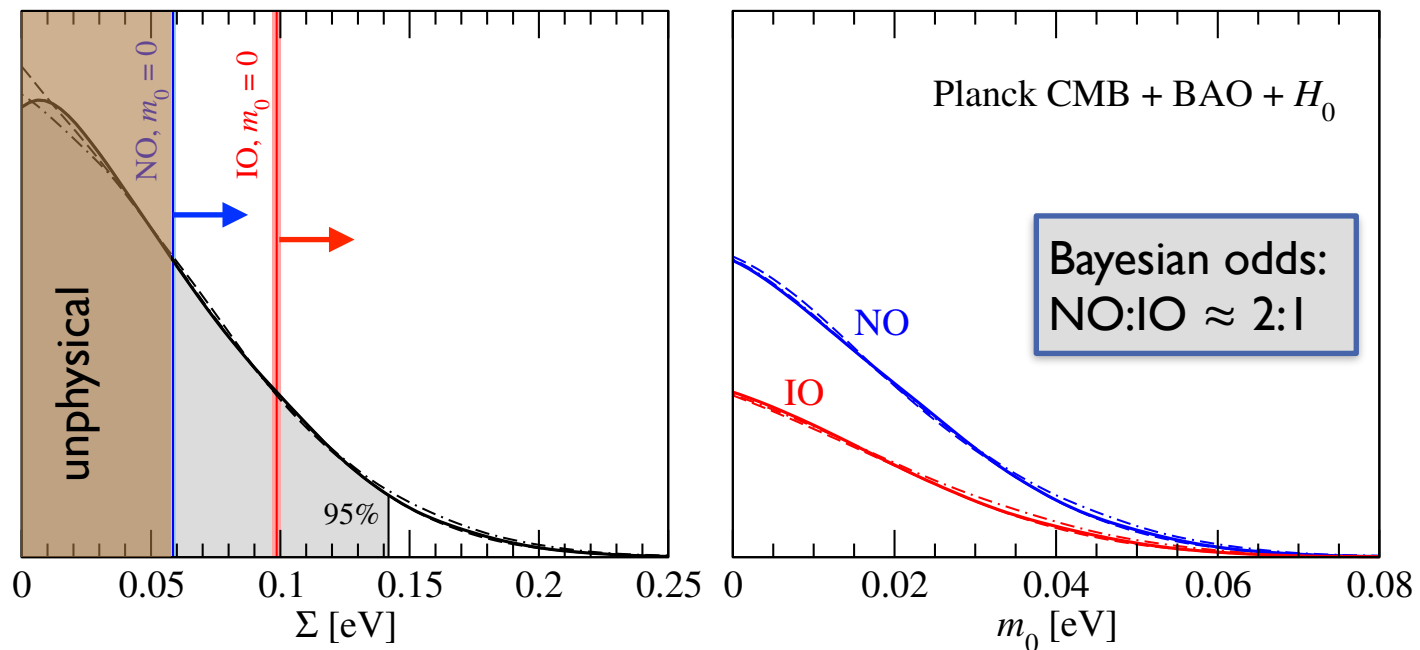


assumes standard 3-flavour & standard cosmology

# Excluding inverted ordering with cosmology?

Hannestad, Schwetz, [1606.04691]

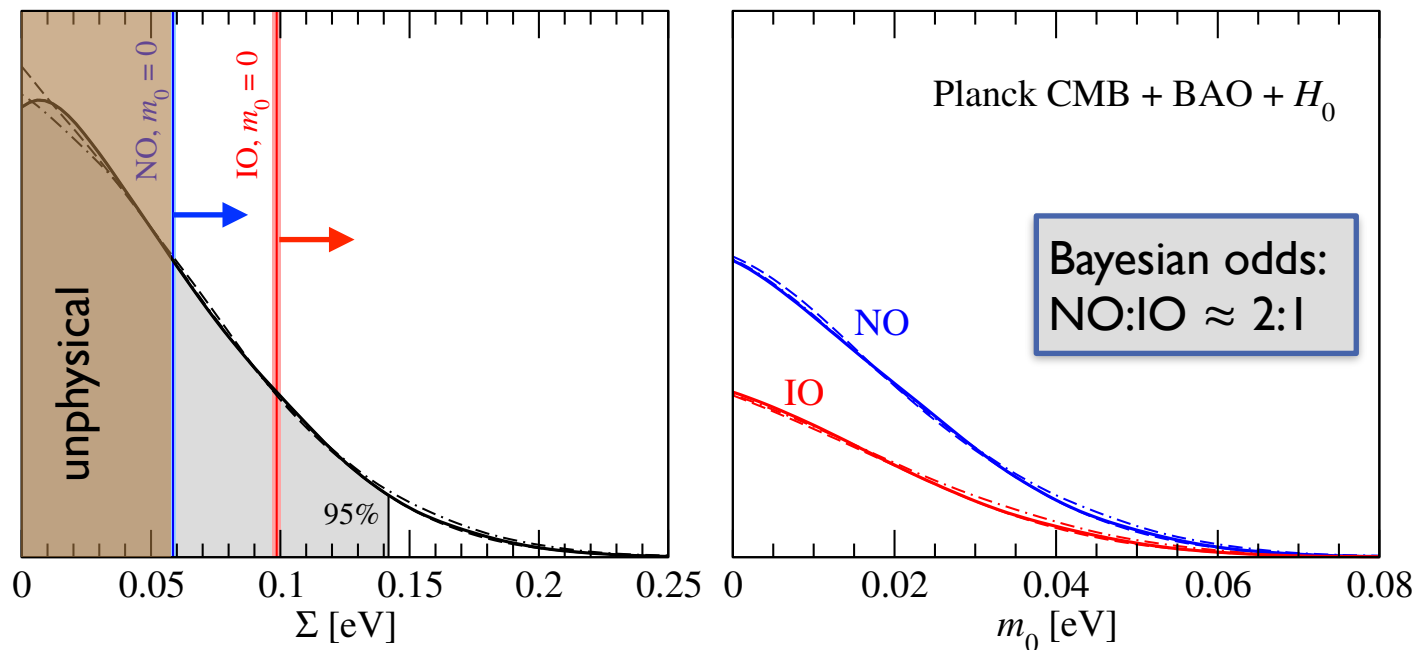
minimal values:  $\Sigma = \begin{cases} 58.5 \pm 0.48 \text{ meV} & (\text{NO}) \\ 98.6 \pm 0.85 \text{ meV} & (\text{IO}) \end{cases} \quad (m_0 = 0).$



# Excluding inverted ordering with cosmology?

Hannestad, Schwetz, [1606.04691](#)

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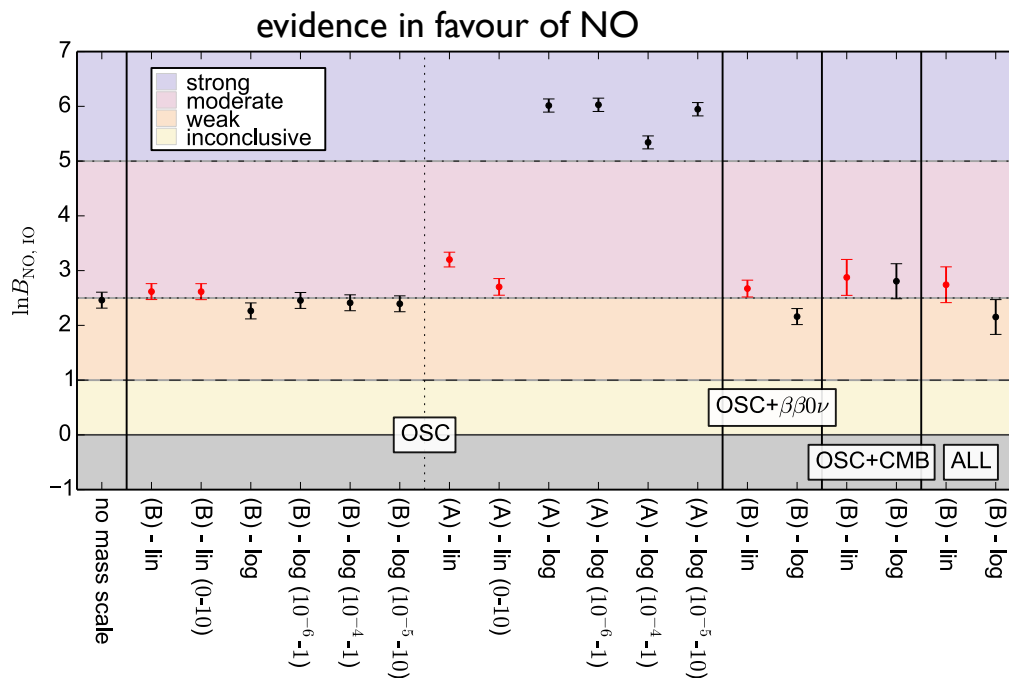


„Strong evidence“ for NO claimed in [Simpson et al. 1703.03425](#)  
 → be aware of Bayesian priors [[TS et al. 1703.04585](#)]

# Excluding inverted ordering with cosmology?

| Model A         |               |                        | Model B                         |               |   |
|-----------------|---------------|------------------------|---------------------------------|---------------|---|
| Parameter       | Prior         | Range                  | Parameter                       | Prior         | Range                                     |
| $m_1/\text{eV}$ | linear<br>log | 0 - 1<br>$10^{-5} - 1$ | $m_{\text{lightest}}/\text{eV}$ | linear<br>log | 0 - 1<br>$10^{-5} - 1$                    |
| $m_2/\text{eV}$ | linear<br>log | 0 - 1<br>$10^{-5} - 1$ | $\Delta m_{21}^2/\text{eV}^2$   | linear        | $5 \times 10^{-5} - 10^{-4}$              |
| $m_3/\text{eV}$ | linear<br>log | 0 - 1<br>$10^{-5} - 1$ | $ \Delta m_{31}^2 /\text{eV}^2$ | linear        | $1.5 \times 10^{-3} - 3.5 \times 10^{-3}$ |

Archidiacono, de Salas, Gariazzo, Mena, Ternes, Tortola, 1801.04946



- assuming a log prior in the 3 masses prefers strongly NO (just from oscillation data!)



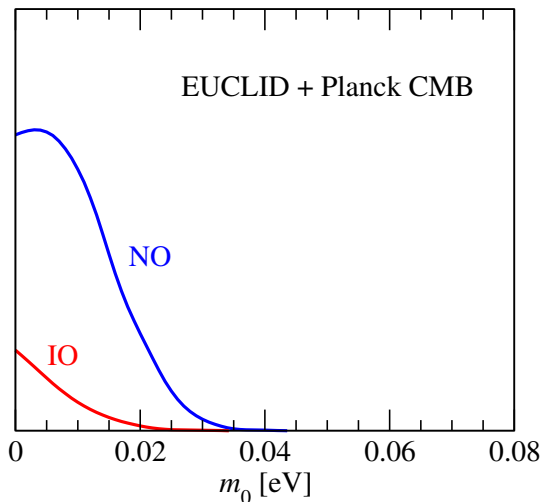
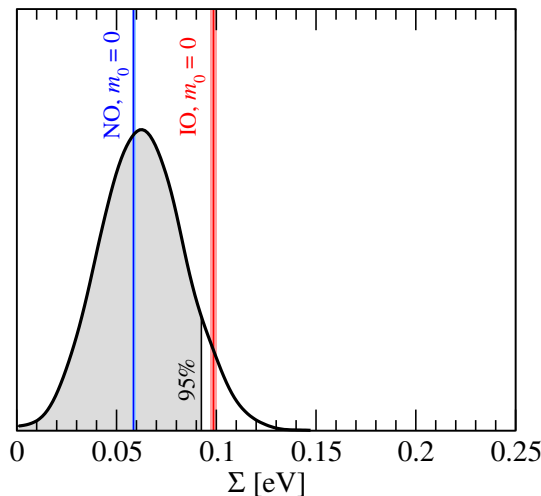
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Hannestad, Schwetz, 1606.04691

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simulated future data:

2 yrs of EUCLID data, available ~2023-24



- need accuracy better than 0.02 eV to exclude 0.1 eV against 0.06 eV at  $2\sigma$
- this would imply a  $3\sigma$  evidence for non-zero neutrino mass (for Sum = 0.06 eV)

# Summary

- octant of  $\theta_{23}$ :  
preference for second octant, bf at  $\sin^2\theta_{23} = 0.58$   
 $\sin^2\theta_{23} < 0.5$  disfavoured with  $\Delta\chi^2 \approx 4.4$  (6.0) without (with) SK atm
- mass ordering:  
NO preferred by  $\Delta\chi^2 = 4.7$  (9.3) without (with) SK atm  
SK significance goes down with „improved“ analysis  
global fit (incl. IceCube & JUNO) may be the fastest track towards MO
- CP phase:  
CP conservation allowed at  $\Delta\chi^2 = 1.8$ , bf at  $\delta = 217^\circ$   
(slight tension between T2K and NOvA)

# Summary

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**Thank you for your attention!**

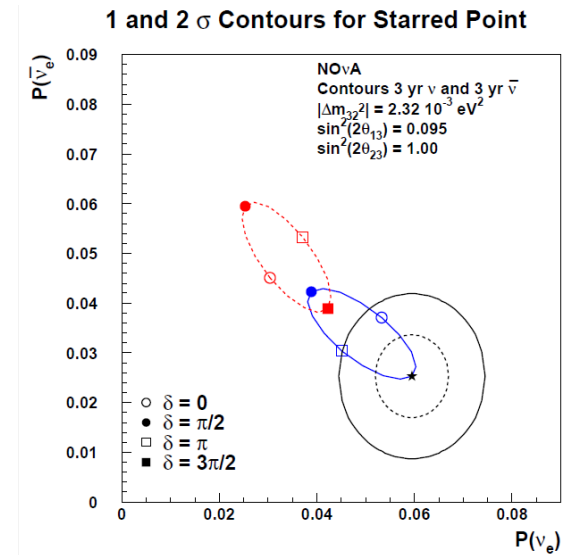
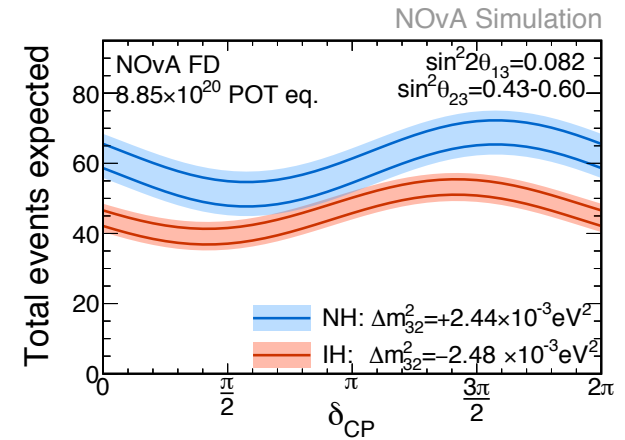
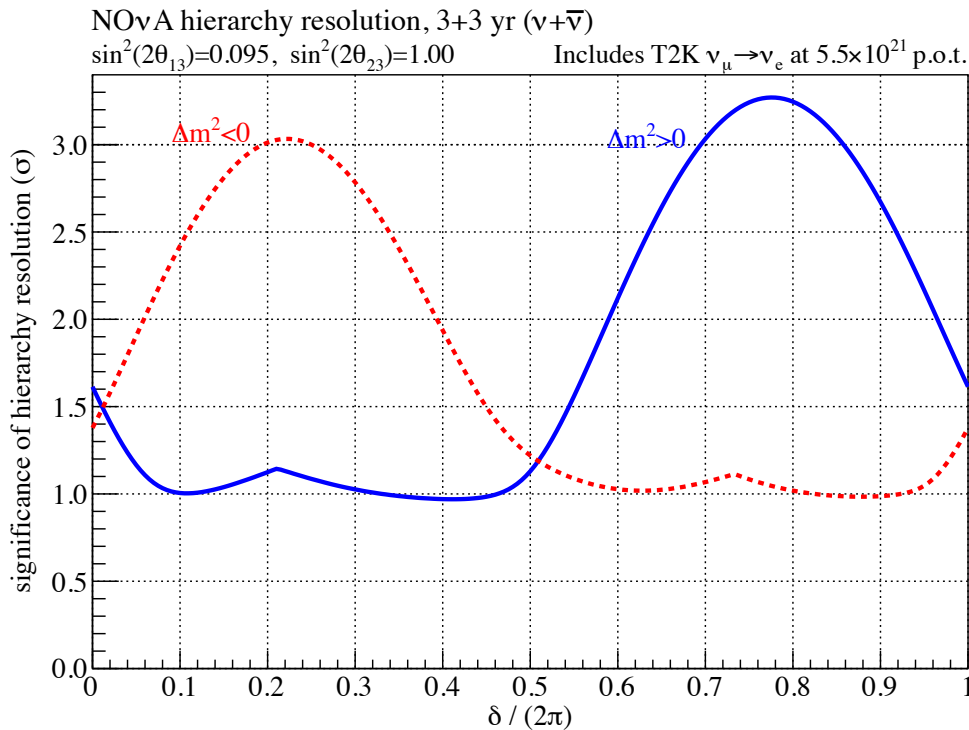
# *supplementary slides*

# NuFit 4.0 (2018)

|   |  | Normal Ordering (best fit)      |                               | Inverted Ordering ( $\Delta\chi^2 = 4.7$ ) |                               |
|---|--|---------------------------------|-------------------------------|--|-------------------------------|
|   |  | bf $\pm 1\sigma$                | $3\sigma$ range               | bf $\pm 1\sigma$                           | $3\sigma$ range               |
| without SK-atm                                    | $\sin^2 \theta_{12}$                           | $0.310^{+0.013}_{-0.012}$       | 0.275 $\rightarrow$ 0.350     | $0.310^{+0.013}_{-0.012}$                  | 0.275 $\rightarrow$ 0.350     |
|   | $\theta_{12}/^\circ$                           | $33.82^{+0.78}_{-0.76}$         | 31.61 $\rightarrow$ 36.27     | $33.82^{+0.78}_{-0.76}$                    | 31.61 $\rightarrow$ 36.27     |
|   | $\sin^2 \theta_{23}$                           | $0.580^{+0.017}_{-0.021}$       | 0.418 $\rightarrow$ 0.627     | $0.584^{+0.016}_{-0.020}$                  | 0.423 $\rightarrow$ 0.629     |
|   | $\theta_{23}/^\circ$                           | $49.6^{+1.0}_{-1.2}$            | 40.3 $\rightarrow$ 52.4       | $49.8^{+1.0}_{-1.1}$                       | 40.6 $\rightarrow$ 52.5       |
|   | $\sin^2 \theta_{13}$                           | $0.02241^{+0.00065}_{-0.00065}$ | 0.02045 $\rightarrow$ 0.02439 | $0.02264^{+0.00066}_{-0.00066}$            | 0.02068 $\rightarrow$ 0.02463 |
|   | $\theta_{13}/^\circ$                           | $8.61^{+0.13}_{-0.13}$          | 8.22 $\rightarrow$ 8.99       | $8.65^{+0.13}_{-0.13}$                     | 8.27 $\rightarrow$ 9.03       |
|   | $\delta_{CP}/^\circ$                           | $215^{+40}_{-29}$               | 125 $\rightarrow$ 392         | $284^{+27}_{-29}$                          | 196 $\rightarrow$ 360         |
|   | $\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$ | $7.39^{+0.21}_{-0.20}$          | 6.79 $\rightarrow$ 8.01       | $7.39^{+0.21}_{-0.20}$                     | 6.79 $\rightarrow$ 8.01       |
| $\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$ | $+2.525^{+0.033}_{-0.032}$                     | +2.427 $\rightarrow$ +2.625     | $-2.512^{+0.034}_{-0.032}$    | -2.611 $\rightarrow$ -2.412                |                               |
|   |  | Normal Ordering (best fit)      |                               | Inverted Ordering ( $\Delta\chi^2 = 9.3$ ) |                               |
|   |  | bf $\pm 1\sigma$                | $3\sigma$ range               | bf $\pm 1\sigma$                           | $3\sigma$ range               |
| with SK-atm                                       | $\sin^2 \theta_{12}$                           | $0.310^{+0.013}_{-0.012}$       | 0.275 $\rightarrow$ 0.350     | $0.310^{+0.013}_{-0.012}$                  | 0.275 $\rightarrow$ 0.350     |
|   | $\theta_{12}/^\circ$                           | $33.82^{+0.78}_{-0.76}$         | 31.61 $\rightarrow$ 36.27     | $33.82^{+0.78}_{-0.75}$                    | 31.62 $\rightarrow$ 36.27     |
|   | $\sin^2 \theta_{23}$                           | $0.582^{+0.015}_{-0.019}$       | 0.428 $\rightarrow$ 0.624     | $0.582^{+0.015}_{-0.018}$                  | 0.433 $\rightarrow$ 0.623     |
|   | $\theta_{23}/^\circ$                           | $49.7^{+0.9}_{-1.1}$            | 40.9 $\rightarrow$ 52.2       | $49.7^{+0.9}_{-1.0}$                       | 41.2 $\rightarrow$ 52.1       |
|   | $\sin^2 \theta_{13}$                           | $0.02240^{+0.00065}_{-0.00066}$ | 0.02044 $\rightarrow$ 0.02437 | $0.02263^{+0.00065}_{-0.00066}$            | 0.02067 $\rightarrow$ 0.02461 |
|   | $\theta_{13}/^\circ$                           | $8.61^{+0.12}_{-0.13}$          | 8.22 $\rightarrow$ 8.98       | $8.65^{+0.12}_{-0.13}$                     | 8.27 $\rightarrow$ 9.03       |
|   | $\delta_{CP}/^\circ$                           | $217^{+40}_{-28}$               | 135 $\rightarrow$ 366         | $280^{+25}_{-28}$                          | 196 $\rightarrow$ 351         |
|   | $\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$ | $7.39^{+0.21}_{-0.20}$          | 6.79 $\rightarrow$ 8.01       | $7.39^{+0.21}_{-0.20}$                     | 6.79 $\rightarrow$ 8.01       |
| $\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$ | $+2.525^{+0.033}_{-0.031}$                     | +2.431 $\rightarrow$ +2.622     | $-2.512^{+0.034}_{-0.031}$    | -2.606 $\rightarrow$ -2.413                |                               |

# MO sensitivity of existing experiments

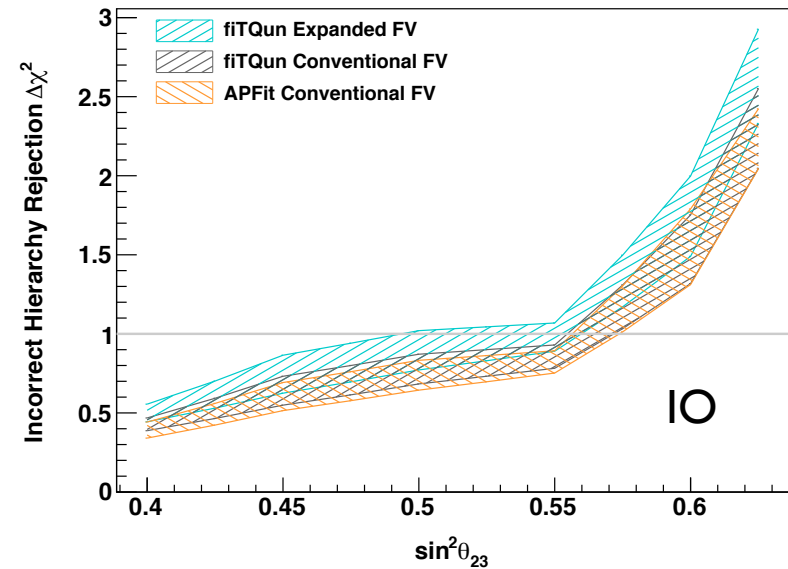
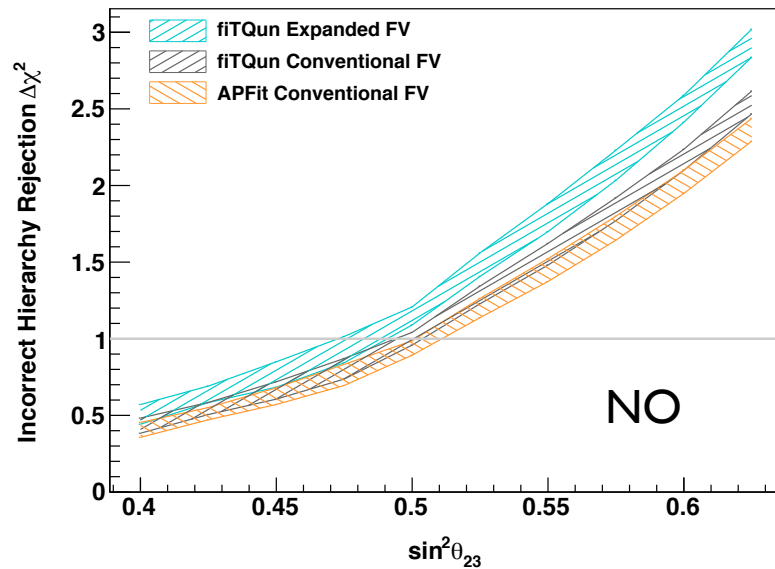
- strong dependence on true ordering and  $\delta_{CP}$
- $3\sigma$  possible for the most favourable combinations



[http://www-nova.fnal.gov/plots\\_and\\_figures/plots\\_and\\_figures.html](http://www-nova.fnal.gov/plots_and_figures/plots_and_figures.html)

# Mass ordering - atmospheric neutrinos

Atmospheric Neutrino Oscillation Analysis With Improved Event Reconstruction in Super-Kamiokande IV, I901.03230



$\theta_{13}$  constrained — expected sensitivity