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10 **Gigantic mysticete predators roamed the Eocene Southern Ocean**

11 Felix G. Marx^{1-3,*}, Mónica R. Buono⁴, Alistair R. Evans^{2,3}, R. Ewan Fordyce^{5,6}, Marcelo
12 Reguero⁷, David P. Hocking^{2,3}

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14 ¹Directorate Earth and History of Life, Royal Belgian Institute of Natural Sciences, Brussels, Belgium. ²School
15 of Biological Sciences, Monash University, Clayton, Vic., Australia. ³Geosciences, Museums Victoria,
16 Melbourne, Vic., Australia. ⁴ Instituto Patagónico de Geología y Paleontología (IPGP, CCT CONICET-
17 CENPAT), Puerto Madryn, Chubut, Argentina. ⁵Department of Geology, University of Otago, Dunedin, New
18 Zealand. ⁶Departments of Vertebrate Zoology and Paleobiology, National Museum of Natural History,
19 Smithsonian Institution, Washington DC, USA. ⁷Instituto Antártico Argentino (Dirección Nacional del
20 Antártico), San Martín, Argentina.

21 *E-mail: felix.marx@monash.edu

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26 **Modern baleen whales (Mysticeti), the largest animals on Earth, arose from small**
27 **ancestors around 36.4 million years ago (Ma). True gigantism is thought to have arisen**
28 **late in mysticete history, with species exceeding 10 m unknown prior to 8 Ma. This view**
29 **is challenged by new fossils from Marambio/Seymour Island, Antarctica, which suggest**
30 **that enormous whales once roamed the Southern Ocean during the Late Eocene (ca 34**
31 **Ma). The new material hints at an unknown species of the archaic mysticete *Llanocetus***
32 **with a total body length of up to 12 m. The latter is comparable to that of extant**
33 **Omura's whales (*Balaenoptera omurai*), and suggests that gigantism has been a re-**
34 **occurring feature of mysticetes since their very origin. Functional analysis including**
35 **sharpness and dental wear implies an at least partly raptorial feeding strategy, starkly**
36 **contrasting with the filtering habit of living whales. Our new material markedly**
37 **expands the size range of archaic mysticetes, and demonstrates that whales achieved**
38 **considerable disparity shortly after their origin.**

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40 **Key words:** Baleen whale, Palaeogene, raptorial, *Llanocetus*, Antarctica, suction feeding

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51 **Introduction**

52 Baleen whales are the largest animals on Earth, thanks to their ability to filter small prey from
53 seawater using baleen (Pivorunas 1979, Werth 2000). In contrast to their living relatives,
54 ancient mysticetes were relatively small: at a total body length of 3-4 m, archaic toothed
55 species were diminutive (Fitzgerald 2010, Marx *et al.* 2015, Lambert *et al.* 2017), and even
56 their baleen-bearing descendants generally stayed below 6 m until the Late Miocene (Slater *et*
57 *al.* 2017). The single exception to this pattern is *Llanocetus denticrenatus* from the latest
58 Eocene of Antarctica, which is estimated to have reached a length of 8 m as early as 34 Ma –
59 possibly, as a result of its Southern Ocean habitat (Fordyce & Marx 2018). Here, we show
60 that *L. denticrenatus* was neither exceptional, nor the largest of its kind. Three isolated
61 premolar teeth from the Eocene of Antarctica, now housed at the Instituto Antártico
62 Argentino and the Museo de La Plata (Argentina), hint at the existence of a second,
63 substantially larger species of *Llanocetus* rivalling living baleen whales in size. Together with
64 *L. denticrenatus*, our new material suggests at least two independent origins of gigantism in
65 mysticete history, and reveals considerable size disparity arising from an early phase of
66 morphological experimentation.

67 **Material and Methods**

68 *Anatomical descriptions and body size*

69 Dental terminology follows Marx *et al.* (2015), with each tooth considered to have a main
70 denticle (md) flanked by anterior (ad) and posterior (pd) accessory denticles. Denticles are
71 numbered away from md. In the absence of cranial remains, we estimated body size by
72 comparing the size of the upper third premolar with the bizygomatic width of the skull across
73 a variety of archaeocetes and archaic mysticetes. Total body length was then calculated based
74 on bizygomatic width, using the equations of Pyenson & Sponberg (2011) and Lambert *et al.*
75 (2010).

76 *Tooth sharpness measurements*

77 We determined the relative sharpness of the most complete tooth (IAA Pv731) following the
78 method of Hocking *et al.* (2017). The latter involves a series of individual sharpness
79 measurements of the main denticle and first interdenticular notch (Supplementary Table S1).
80 This is then followed by principal component and discriminant function analyses, both of
81 which compare our new specimen to other archaic mysticetes, archaeocetes, the extinct
82 odontocete *Squalodon*, and a range of extant terrestrial carnivorans with known feeding
83 strategies (raptorial vs filter feeding).

84 The tooth was surface scanned using a Go!Scan 20 (Creaform Inc., Canada) with a point
85 spacing of 0.1 mm, and the resulting data assembled into a high resolution 3D model (.ply
86 file format) in Meshlab (Istituto di Scienza e Tecnologie dell'Informazione "A. Faedo" and
87 Consiglio Nazionale delle Ricerche, Italy). Minor cracks in the first posterior interdenticular
88 notch were reconstructed in Geomagic Wrap (Geomagic Inc., North Carolina, USA), using
89 the "curvature" setting of the fill-holes function, which provides a reconstruction based on the
90 curvature of the surrounding undamaged surface mesh. Reconstructions were conservative
91 and underestimate actual sharpness.

92 *Institutional Abbreviations*

93 IAA, Instituto Antártico Argentino, San Martín, Argentina; MLP, Museo de La Plata, La
94 Plata, Argentina; OU, Geology Museum, University of Otago, Dunedin, New Zealand;
95 USNM, National Museum of Natural History, Smithsonian Institution, Washington DC,
96 USA.

97 **Results**

98 *Systematic Palaeontology*

99 Cetacea Brisson, 1762

100 Mysticeti Gray, 1864

101 Llanocetidae Mitchell, 1989

102 *Llanocetus* Mitchell, 1989

103 *Type species. Llanocetus denticrenatus* Mitchell, 1989

104 *Emended diagnosis.* Large-sized llanocetid sharing with other members of the family the
105 presence of elongated nasals, low, elongate premolar crowns bearing strong labial and lingual
106 enamel ornaments, and a broad sagittal trough on the parietals lacking a distinct sagittal crest.
107 Differs from *Mystacodon* in its larger size, and from OU GS10897 in having apically curved
108 accessory denticles and an abruptly depressed anterior entocingulum on the upper premolars.

109 *Llanocetus* sp.

110 *Referred material.* One complete upper third premolar (IAA Pv731) and two fragmentary
111 lower premolars (MLP 12-XI-1-10a,b).

112 *Locality and horizon.* The new specimens were recovered from the Submeseta Formation of
113 Seymour (Marambio) Island, Antarctic Peninsula. The La Meseta Formation was originally
114 divided into seven stratigraphical levels, TELMs 1–7 (= Tertiary Eocene La Meseta of Sadler
115 (1988)), ranging from the upper Ypresian (Early Eocene) to the late Priabonian (Late
116 Eocene). Subsequently, the unit was redefined into the Submeseta and the La Meseta
117 formations (Montes *et al.* 2013).

118 The highly fossiliferous sediments of the ~230-m-thick Submeseta Formation represent the
119 uppermost part of the infill of the James Ross Basin, a back-arc basin developed on the
120 eastern flank of the Antarctic Peninsula (Del Valle *et al.* 2004, Marensi 2006). This
121 formation comprises mostly poorly consolidated clastic fine-grained sediments, which were
122 deposited in deltaic, estuarine, and shallow marine environments (Marensi *et al.* 1998). The

123 Submeseta Formation is characterized by a uniform sandy lithology representing a storm-
124 influenced tidal shelf. It includes three allomembers: Submeseta I (equivalent to TELMs 6
125 and 7 in partem), Submeseta II (equivalent to TELM 7 in partem), and Submeseta III
126 (equivalent to upper TELM 7). MLP 12-XI-1-10 was recovered from Submeseta II (level 38
127 of Montes *et al.* 2013), while IAA Pv731 came from the Submeseta III (level 39 of Montes *et*
128 *al.* 2013).

129 Magnetostratigraphically calibrated dinocyst biostratigraphy suggests a latest Eocene age
130 (Priabonian) for middle and upper TELM 7 (Douglas *et al.* 2014), consistent with a mollusc-
131 based $^{87}\text{Sr}/^{86}\text{Sr}$ date of 34.2 ± 0.87 Ma for the top of the same unit (Fordyce 2003).

132 [insert Fig. 1]

133 *Remarks.* The new specimens closely match the archaic mysticete *Llanocetus denticrenatus*
134 in having low, elongate, palmate crowns with apically curved accessory denticles; an abruptly
135 depressed anterior portion of the entocingulum; strong, elongate to anastomosing enamel
136 ridges both lingually and labially; completely unfused roots, with a broad interradicular space
137 invading the base of the crown; and, especially on the nearly complete upper tooth, well-
138 developed ecto- and entocingula (Fig. 1a,b). They consistently differ from *L. denticrenatus* in
139 their much larger size (maximum length of P3: 65 vs 42 mm) and greater number of
140 accessory denticles, with four posterior denticles on P3 and six posterior denticles on p4 of
141 *Llanocetus* sp. being matched by just three and five denticles in *L. denticrenatus*.

142 *Description*

143 IAA Pv731 (Fig. 1b,c) is nearly complete, and here interpreted as a left P3 based on the
144 presence of a moderately developed protocone remnant and the marked lingual curvature of
145 the crown in anterior or posterior view. The crown consists of a main denticle flanked by
146 three anterior and four posterior denticles, with pd4 inferred from the presence of a large

147 fracture surface posterior to pd3. The roots are robust, elongate, and markedly curved
148 inwards. The posterior root bears well-defined longitudinal troughs both anteriorly and
149 posteriorly. Both the ecto- and the entocingula are well-developed, with a generally nodular
150 rim and large cingular denticles on both sides of ad2 and ad3, as well as lingual to pd4.

151 Enamel ornament on both sides of the crown consists of dorsoventral ridges rising from the
152 cingulum on to each denticle. On ad3 in particular, the ridges are tall and sharp. Especially
153 lingually, but also labial to ad2 and pd3, some of these ridges give rise to a series of denticles
154 near the crown base. All of the major denticles bear anterior and posterior carinae. There is
155 moderate apical abrasion forming windows in the enamel on ad1–pd2 (Fig. 2b). A similar
156 degree of abrasion also seems to occur on three of the anterior cingular denticles, but
157 fracturing of the enamel in this case prevents a clear assessment. As in the P3 of *Llanocetus*
158 *denticrenatus*, there is no sign of attrition.

159 MLP 12-XI-1-10a (Fig. 1d,e), here tentatively interpreted as a right p4 based on its size,
160 slender crown, and presence of labial attrition, consists of the posterior half of a tooth bearing
161 six accessory denticles. The root is robust, straight in anterior view, and subdivided into two
162 halves by a longitudinal trough running along its anterior surface. There is no protocone
163 remnant. The ecto- and entocingula are indistinct near the centre of the crown, but extremely
164 well-developed posteriorly. As on P3, the enamel ornament consists of sharp, dorsoventrally
165 oriented ridges rising from the cingulum on to the accessory denticles. Lingual to pd3–pd5,
166 denticles arising from some of these ridges merge with cingular denticles to form a ‘forest’
167 covering the entire surface of the crown. Apical abrasion is present but mild, with no
168 windows in the enamel. The labial surfaces of pd6 and the posteriormost cingular denticle
169 bear small attritional facets.

170 MLP 12-XI-1-10b (Fig. 1f) is the least complete of the preserved material, preserving only a
171 partial root and the labial side of a fragmentary crown. The tooth is here interpreted as a left
172 lower premolar based on its size and slender crown. There at least four denticles (uncertainly
173 including the main denticle), with the anterior two being badly damaged. Posteriorly, the base
174 of the third denticle gives rise to a notably smaller secondary denticle that partly occludes the
175 space between the third and fourth denticles. The entocingulum is well-developed posteriorly,
176 but indistinct along the centre of the crown. Apical abrasion of the two posterior denticles is
177 mild, with no windows in the enamel. There is no obvious sign of attrition.

178 *Body size estimation*

179 Plotting tooth length against bizygomatic width for a sample of archaeocetes and archaic
180 mysticetes reveals a relatively complex pattern (Fig. 1g). The width of the cranium increases
181 linearly with the length of P3 in basilosaurid archaeocetes, *Coronodon*, *Mystacodon* and OU
182 GS10897. By contrast, aetiocetids and mammalodontids have somewhat smaller teeth than
183 expected for their size, likely reflecting incipient homodonty and the presence of variably
184 sized diastemata. The picture is further complicated by *Llanocetus denticrenatus*, which
185 forms an extreme outlier characterised by large body size yet small teeth. This pattern allows
186 for two potential interpretations of the new *Llanocetus* specimens from Antarctica:

187 a) *Llanocetus denticrenatus* is an isolated case, and our new material represents a related
188 species with both absolutely and relatively larger teeth, and little or no diastemata (e.g.
189 *Mystacodon*). Assuming this species follows the basilosaurid pattern would result in an
190 estimated bizygomatic width of approximately 47.9 cm, and thus a total body length of
191 4.4–4.6 m.

192 b) The new *Llanocetus* specimens are morphologically close to *L. denticrenatus*, and thus
193 share the peculiar anatomy of its feeding apparatus. This view is supported by the obvious

194 similarity of the teeth (Fig. 1a,b), the geographical proximity of the localities where
195 *Llanocetus* sp. and *L. denticrenatus* were found (both Seymour Island, Antarctica), and the
196 absence of the pronounced dental wear characteristic of *Mystacodon*. In the absence of
197 further comparative data that could inform the relationship between tooth and body size in
198 *Llanocetus*, the simplest and least assumption-laden estimate is provided by isometric
199 scaling. The latter puts *Llanocetus* sp. at roughly 1.55 times the length of *L. denticrenatus*
200 (crown length of P3 = 65 mm vs 42 mm), suggesting a total body length of up to 12 m.

201 Pending the discovery of better-preserved specimens, we argue that *Llanocetus* sp. and *L.*
202 *denticrenatus* are most parsimoniously interpreted as sharing similar overall morphologies,
203 and thus also comparable body proportions.

204 [insert Fig. 2]

205 *Tooth sharpness*

206 Significant damage to the tip of the main denticle of IAA Pv731 made it difficult to create an
207 accurate reconstruction, requiring us to take the sagittal and transverse measurements of tip
208 sharpness from the well-preserved third posterior denticle. Visual examination of the main
209 denticle reveals similarly developed anterior and posterior carinae, and suggests a tip shape
210 broadly comparable to that of *Llanocetus denticrenatus*.

211 Principal component analysis reveals the teeth of *Llanocetus* sp. to be remarkably sharp.
212 Specifically, the results group IAA Pv731 with *Llanocetus denticrenatus*, and place both well
213 within the morphospace defined by extant raptorial feeding carnivorans, such as lions, pumas
214 and most pinnipeds – see Hocking *et al.* (2017) for details. Discriminate function analysis
215 corroborates this result by classifying *Llanocetus* sp. as a raptorial feeder, rather than as a
216 filter feeder.

217 **Discussion**

218 At 12 m, the estimated body length of *Llanocetus* sp. rivals that of living Bryde's and
219 Omura's whales, and far exceeds that of any other archaic mysticete (Slater *et al.* 2017,
220 Fordyce & Marx 2018). Together, *Llanocetus* sp. and *L. denticrenatus* reveal an independent
221 origin of gigantism early in mysticete evolution, predating the rise of large (>10 m) modern
222 whales by roughly 25 million years (Tsai & Kohno 2016, Slater *et al.* 2017, Fordyce & Marx
223 2018).

224 The large size of *Llanocetus* may relate to its polar habitat, wide foraging area, or simply its
225 feeding strategy. Large body size in whales is generally thought to be facilitated by their filter
226 feeding habit (Werth 2000), especially in the context of a Pliocene shift towards dense but
227 patchily distributed prey aggregations (Goldbogen & Madsen 2018). *Llanocetus* is an
228 exception, with the morphology and wear of its teeth instead hinting at (suction-assisted)
229 raptorial feeding (Fordyce & Marx 2018). Our new material corroborates this idea, with
230 marked apical abrasion on the major denticles suggesting biting and direct tooth-on-food
231 contact. In addition, incipient attrition on one of the lower teeth implies an occluding
232 posterior dentition capable of slicing and processing prey (Fig. 2b).

233 Well-developed carinae traverse the anterior and posterior faces of each denticle, creating
234 bladed edges that likely would cut food as it was forced into the interdenticular notches
235 during jaw closure (Fig. 2a). As demonstrated by principal component and discriminant
236 function analyses of functional shape characteristics, such a morphology is consistent with
237 extant terrestrial carnivorans and piscivorous pinnipeds, but absent in tooth-assisted filter
238 feeding seals like *Hydrurga* and *Lobodon* (Hocking *et al.* 2017) (Fig. 2c,d). We therefore
239 suggest that *Llanocetus* sp., like its close relative *L. denticrenatus*, fed mostly raptorially.

240 Our new fossils firmly establish *Llanocetus* as one of the largest predators of its time. The
241 size of its skull, as judged from a bizygomatic width of 886 mm in *L. denticrenatus* (Fordyce
242 & Marx 2018), and an isometrically scaled width of 1,370 mm in *Llanocetus* sp., far
243 exceeded that of the largest contemporary archaeocetes, including *Cynthiacetus* (478 mm)
244 (Martínez Cáceres *et al.* 2017) and *Basilosaurus* (576–622 mm) (Kellogg 1936). The
245 sparseness of available material unfortunately prevents insights into likely prey types,
246 although observations on extant killer whales suggest that moderate apical abrasion is more
247 consistent with a diet of teleost fish than sharks (Ford *et al.* 2011). This interpretation
248 assumes, of course, that moderate abrasion in this case does not simply reflect a relatively
249 young individual.

250 *Llanocetus* sp. belongs to the still poorly understood, archaic mysticete family Llanocetidae,
251 which also includes *L. denticrenatus*, *Mystacodon selenensis*, and an undescribed specimen
252 from New Zealand (OU GS10897) (Fordyce & Marx 2018; but see Lambert *et al.* 2017 for a
253 different interpretation). A previous analysis partially diagnosed this clade based on the
254 presence of a sagittal trough formed by the parietals (Fordyce & Marx 2018). This diagnosis
255 requires clarification, as a parietal trough also occurs in certain basilosaurids, such as
256 *Cynthiacetus* and *Dorudon*. In the latter, however, the trough is narrow and cleft-like, as
257 opposed to the more open, broader depression in llanocetids.

258 Additional features distinguishing the family are its greatly elongated nasals (Fordyce &
259 Marx 2018); low, elongate premolar crowns, contrasting with the much higher, more
260 triangular premolars of basilosaurids, mammalodontids and aetiocetids (Emlong 1966,
261 Barnes *et al.* 1995, Fitzgerald 2006, 2010, Marx *et al.* 2015, Peredo & Pyenson 2018); strong
262 lingual and labial enamel ornaments (shared with mammalodontids) (Fitzgerald 2010); and
263 the absence of a sagittal crest on the parietals, a feature shared with *Mammalodon* and, to

264 varying degrees, aetiocetids, but not *Coronodon*, *Janjucetus*, eomysticetids, and basilosaurids
265 (Deméré & Berta 2008, Fitzgerald 2010, Snively *et al.* 2015, Boessenecker & Fordyce 2016,
266 Geisler *et al.* 2017).

267 The lack of a sagittal crest in llanocetids is especially noteworthy, since it implies a weaker
268 (superficial) temporal muscle (*sensu* Carpenter & White 1986). Along with the relatively flat
269 rostrum and widely-spaced teeth of *L. denticrenatus*, this may suggest that llanocetids had a
270 less powerful bite than other archaic cetacean raptorial feeders, such as basilosaurids (Snively
271 *et al.* 2015, Fordyce & Marx 2018). To compensate, prey capture and/or transport may have
272 been facilitated by other means, such as suction (Lambert *et al.* 2017).

273 Despite – or perhaps because of – their early origin, llanocetids are notably disparate in terms
274 of their inferred body size and, presumably, feeding style (Fig. 2e). Unlike *Llanocetus*,
275 *Mystacodon* only reaches about 4 m, and is characterized by relatively closely spaced teeth
276 with crowns obliterated by wear (Lambert *et al.* 2017). At about 3 m, as inferred from its
277 bizygomatic width (Lambert *et al.* 2010, Pyenson & Sponberg 2011), OU GS10897 is just
278 one quarter the length of *Llanocetus* sp., yet has robust teeth bearing attritional shear facets.
279 Such pronounced intrafamilial disparity is consistent with comparable variation in
280 mammalodontids (macroraptorial vs suction feeding) (Fitzgerald 2010) and aetiocetids
281 (variable degree of homodonty, suction vs raptorial feeding, wide range of body sizes) (Marx
282 *et al.* 2015, Tsai & Ando 2015, Marx *et al.* 2016), and supports previous suggestions of a
283 phase of morphological and behavioral ‘experimentation’ early in mysticete evolution (Marx
284 & Fordyce 2015).

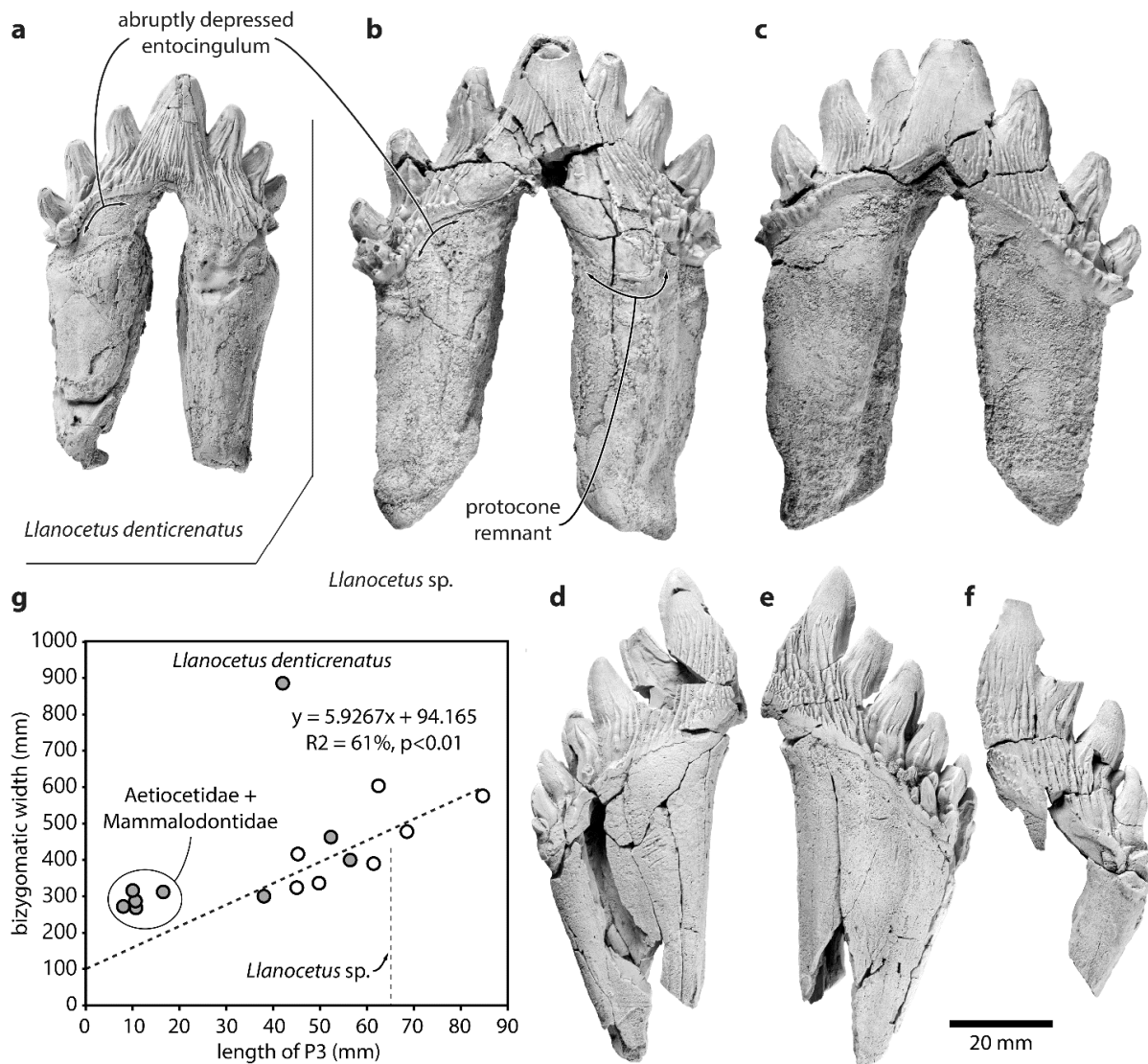
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294 **Authors' contributions**

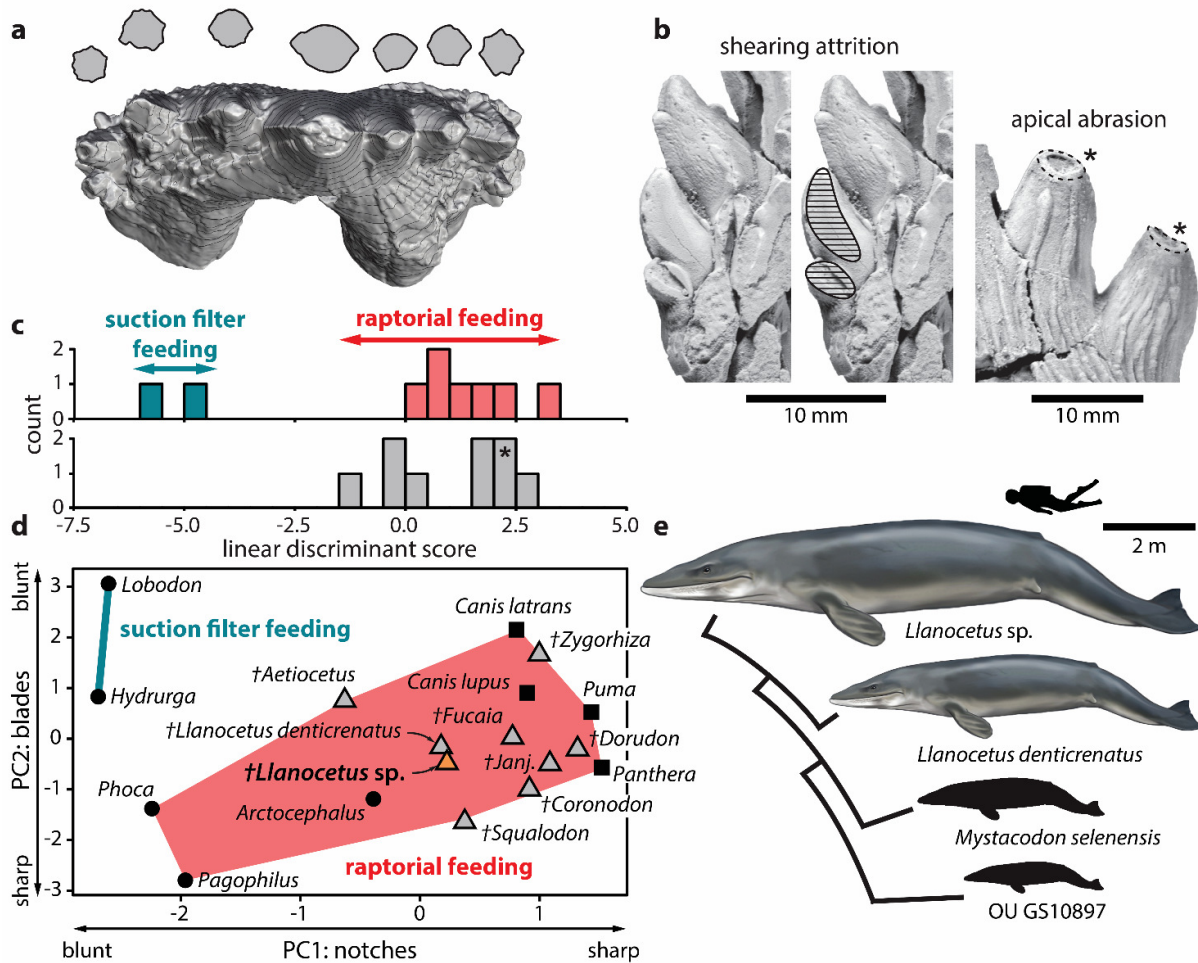
295 F.G.M and M.R.B. conceived and organised the project. D.P.H. and A.R.E. carried out the
296 tooth sharpness analyses. F.G.M., M.R.B. and R.E.F. contributed data and conducted the
297 morphological analysis. M.R. coordinated the collection and study of the material. All
298 authors discussed and wrote the paper.



299

300 **Fig 1.** Teeth of the large Eocene whale *Llanocetus sp.*, and relationship between body and
 301 tooth size. Comparison of the left P3 of **a.** *Llanocetus denticrenatus* (USNM 183022) and
 302 *Llanocetus sp.* (IAA Pv731) in **a.**, **b.** lingual and **c.** labial view; presumed right p4 (MLP 12-
 303 XI-1-10a) of *Llanocetus sp.* in **d.** labial and **e.** lingual view; **f.** left lower premolar (MLP 12-
 304 XI-1-10b) of *Llanocetus sp.* in labial view; **g.** length of P3 plotted against bizygomatic width
 305 (as a proxy for body length); empty circles represent basilosaurids, filled circles archaic
 306 mysticetes; the regression line is based on basilosaurids, *Coronodon*, *Mystacodon*, and OU
 307 GS10897.

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310 **Fig. 2.** Feeding strategy of *Llanocetus* sp. **a.** Three-dimensional reconstruction of the left P3
 311 of *Llanocetus* sp., with cross sections of the accessory denticles (at approximately 50% of
 312 their reconstructed heights); **b.** enlarged views of attrition (on MLP 12-XI-1-10a) and
 313 abrasion (on IAA Pv731); results of the **c.** discriminant function and **d.** principal component
 314 analyses of tooth sharpness in archaic mysticetes, based on the earlier analysis of Hocking *et*
 315 *al.* (2017); asterisk in **c.** marks the position of *Llanocetus* sp.; **e.** size disparity within
 316 Llanocetidae. Life reconstructions of whales by Carl Buell.

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320 **Table 1.** Measurements (in mm) of *Llanocetus* sp.

IAA Pv731 – left P3

Total height (crown + roots)	99+
Length of crown at base	65
Height of crown, from anterior crown base to apex of main denticle	51+
Maximum anteroposterior diameter of anterior root	26
Maximum transverse diameter of anterior root	19
Maximum anteroposterior diameter of posterior root	26
Maximum transverse diameter of posterior root	27

MLP 12-XI-1-10a – right ?p4

Total height (crown + roots)	96+
Maximum anteroposterior diameter of posterior root	34
Maximum transverse diameter of posterior root	20

MLP 12-XI-1-10b – left lower premolar

Total height (crown + roots)	77+
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322 **Details of data deposit**

323 All data included in this study are available as Supplementary Material (Table S1).

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