



EUROPEAN COMMISSION
 DIRECTORATE GENERAL FOR RESEARCH AND INNOVATION
 Directorate A – Policy Development & Coordination
 Unit A.6 Open Data Policy and Science Cloud

Brussels, 23 November, 2017

rtd.ddg1.a.6(2017)6453310

EVALUATION REPORT

1. Contract references

Title of contract: Open Science: Monitoring trends and drivers

Reference: 2017/RTD/A6/OP/PP-05622-2017, 2017/S 137-280412

Contracting authority: European Commission

Type of procedure: Open

2. Working method

The evaluation was performed by an evaluation committee appointed by the responsible authorising officer.

The members of the evaluation committee have held meetings according to the following schedule:

Date	Place	Topic discussed
10 October	Room ORBN 4/66	Discussion on the assessment of the tenders against the award criteria for each tender.
26 October	Room ORBN 1/139	Discussion on the drafting by of a consensus among the qualified statements for all criteria.
10 November	Room ORBN 1/139	Finalising consensus on scores and making them consistent with the qualified statements for criteria

All comments and scores have been agreed by consensus of all the members of the evaluation committee.

3. Access to market

The evaluation committee examined whether the tenderers have access to the market of the European Union pursuant to Articles 119 and 120 of the Financial Regulation.

All of them were found to have access to EU public procurement contracts.

4. Verification of exclusion criteria (declaration)

The provision of declarations on honour, dated and signed, stating that the tenderers and subcontractors as provided in the tender specifications are not in one of the exclusion or

rejection situations referred to in Articles 106 and 107 of the Financial Regulation, has been verified.

The results of the verification of the declaration concerning the exclusion criteria are as follows:

No	Name	Declaration received in due form	Further request (date + ARES reference)	Accepted, rejected or excluded	Comments
1	RAND EUROPE	Yes	Not Applicable	Accepted	
	ÜberResearch (Subcontractor)	Yes	Not Applicable	Accepted	
	Helmholtz Centre for Environmental Research UFZ (Subcontractor)	Yes	Not Applicable	Accepted	
2	Lisbon Council	Yes	Not Applicable	Accepted	
	Fundación ESADE	Yes	Not Applicable	Accepted	
	Leiden University	Yes	Not Applicable	Accepted	
	Elsevier BV (Subcontractor)	Yes	Not Applicable	Accepted	
3	JIP	Yes	Not Applicable	Accepted	
	Austrian Institute of Technology	Yes	Not Applicable	Accepted	
	Sociedade Portuguesa de Inovação	Yes	Not Applicable	Accepted	
	UNU-MERIT	Yes	Not Applicable	Accepted	
	Georgia Institute of Technology (Subcontractor)	Yes	Not Applicable	Accepted	

5. Verification of selection criteria (declaration)

The provision of declarations on honour, signed and dated, stating that the tenderers and subcontractors as provided in the tender specifications fulfil the selection criteria has been checked.

The results of the verification of the declarations concerning the selection criteria are as follows:

No	Name	Declaration received in due form [and documents required in the tender specifications]	Further request (date + ARES reference)	Accepted or rejected	Comments
1	RAND EUROPE	Yes	Not Applicable	Accepted	
	ÜberResearch (Subcontractor)	Yes	Not Applicable	Accepted	
	Helmholtz Centre for Environmental Research UFZ (Subcontractor)	Yes	Not Applicable	Accepted	

2	Lisbon Council	Yes	Not Applicable	Accepted	
	Fundación ESADE	Yes	Not Applicable	Accepted	
	Leiden University	Yes	Not Applicable	Accepted	
	Elsevier BV (Subcontractor)	Yes	Not Applicable	Accepted	
3	JiIP	Yes	Not Applicable	Accepted	
	Austrian Institute of Technology	Yes	Not Applicable	Accepted	
	Sociedade Portuguesa de Inovação	Yes	Not Applicable	Accepted	
	UNU-MERIT	Yes	Not Applicable	Accepted	
	Georgia Institute of Technology (Subcontractor)	Yes	Not Applicable	Accepted	

6. Compliance with technical specifications

Compliance of tenders with the tender specifications was checked.

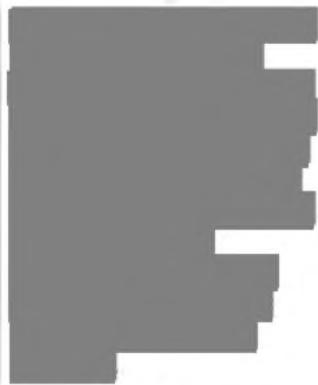
All tenders were found to be compliant with the minimum requirements of the tender specifications.

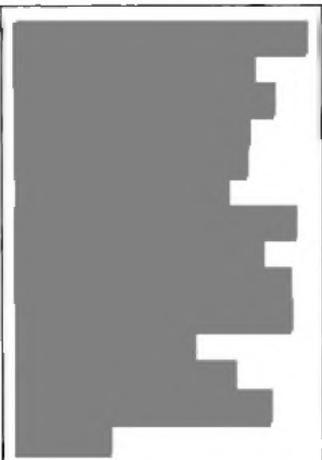
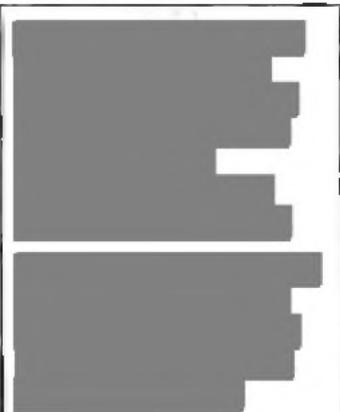
7. Evaluation of award criteria

The final tenders were evaluated and ranked on the basis of the announced award criteria without modification.

Detailed evaluation comments per criterion:

Tender No	Name	Criterion 1.1 Quality of the proposed methodology: Sub criterion 1.1 Identification of the open science trends Maximum score: 30 Minimum score :16	Criterion 1.2 Quality of the proposed methodology: Sub criterion 1.2 Analysis of the drivers, incentives and constraints of Open Science Maximum score:15 Minimum score: 8	Criterion 1.3 Quality of the proposed methodology. Sub criterion 1.3: Determining the impacts of Open Science Maximum score:15 Minimum score: 8	Criterion 1.4 Quality of the proposed methodology. Sub criterion 1.4: Identification and analysis of policy trends in open science Maximum score:15 Minimum score: 8	Criterion 2 Organisation of the work and resources and quality control Maximum score: 25 Minimum score: 13

1	RAND EUROPE	  Score: 27	  Score: 14,5	 Score:12	  Score: 13,5	  Score:17,5
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2	Lisbon Council	 Score: 27	 Score: 13.5	 Score: 12	 Score: 8	 Score: 15
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3

JIP

[REDACTED]

Score: 18

[REDACTED]

Score: 12

[REDACTED]

Score: 9

7

[REDACTED]

Score: 9

[REDACTED]

Score: 15

8. Abnormally low tender(s)

Not applicable

9. Score and ranking

Detailed marks per tender:

Tender No	Name	Criterion 1.1 Max: 30 Min:16	Criterion 1.2 Max: 15 Min:8	Criterion 1.3 Max: 15 Min:8	Criterion 1.4 Max: 15 Min:8	Criterion 2 mark Max: 25 Min:13	Total score for quality criteria	Reaches or not minimum scores
1.	RAND EUROPE	27	14,5	12	13,5	17,5	84,5	Reaches((60= Minimum)
2	Lisbon Council	27	13,5	12	8	15	75,5	Reaches((60= Minimum)
3	JIP	18	12	9	9	15	63	Reaches((60= Minimum)

The tenders that passed all minimum quality levels are ranked following the formula indicated in the tender specifications:

$$\text{score for tender X} = \frac{\text{cheapest price}}{\text{price of tender X}} * 100 + \text{price weighting (in \% 40\%)} + \text{total quality score (out of 100) for all award criteria of tender X} * \text{quality criteria weighting (in \% 60\%)}$$

Tender No	Name	Total score for quality criteria	Price of the tender	Final score	Ranking
1	RAND EUROPE	84,5	654,925	83,88	2
2	Lisbon Council	75,5	543,300	85,3	1
3	JIP	63	650,050	71,23	3

None of the financial tenders indicated the amount of VAT separately as stated in section 1.6 of the Tender Specifications therefore a letter has duly been sent to all the tenderers requesting this (ARES (2017)5655424).

10. Award

Considering the results of the evaluation as described above, the Evaluation Committee proposes to award the contract to the following tenderer:

Tender No 2.

Lisbon Council
Residence Palace
155 Rue de la Loi
1040 Brussels
Belgium

In consortium with

Fundación ESADE
Av. Pedralbes 60-62
08034 Barcelona
Spain

And

Leiden University
Wassenaarseweg 52
2333 AK Leiden
The Netherlands

Price: EUR 543 300

The proportion of the contract to be subcontracted is estimated to be 10% the contract value.

The above-mentioned tender is compliant with the tender specifications and offers the best price quality ratio.

The Early Detection and Exclusion System has been checked.

11. Verification of selection criteria:

In accordance with Article 146(3) and as stated in section 4.2.2 of the tender specifications, the evidence for the selection criteria have been verified only for the tenderer proposed for the award of the contract. As the evidences were all included in the tender they have not been requested to the tenderer. The results of this verification for the successful tender are stated below:

No	Name	Documents required in the tender specifications for selection criteria (section 4.2.2, 4.2.3, 4.2.4 A and B of the tender specifications)	Further request (date + ARES reference)	Accepted or rejected	Replies received (date + ARES reference)
1	Lisbon Council Fundación ESADE Leiden University Elsevier BV (Subcontractor)	4.2.2. Legal and regulatory capacity 4.2.3. Economic and financial capacity criteria 4.2.4. Technical and professional capacity criteria and evidence	Not Applicable Not Applicable Not Applicable	Accepted Accepted Accepted	