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17 **Effect of Different Alkali (Li, Na, K, Rb, Cs) Metals on Cu<sub>2</sub>ZnSnSe<sub>4</sub> Solar Cells**

18

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18

## 1 Abstract

2 It is well established that the addition of sodium (Na) to chalcopyrite or kesterite based solar  
3 cells markedly increases the solar cell performance. In this work, we explore the effect of Na  
4 and other alkali metals like potassium (K), rubidium, caesium and lithium (Li) – on pure  
5 selenide  $\text{Cu}_2\text{ZnSnSe}_4$  (CZTSe) solar cells. We demonstrate the deposition of alkali metals using  
6 spin coating on e-beam evaporated metal precursors. The stack of metal precursors with alkali  
7 layer was then selenised at high temperatures to obtain a good quality CZTSe absorber. The  
8 diffusion of alkali metals into the absorber layer was confirmed using glow discharge optical  
9 emission spectroscopy. Samples doped with Na or K have shown improvement in the open  
10 circuit voltage. A maximum power conversion efficiency of 8.3 % (without anti-reflection  
11 coating) and a top open circuit voltage 430 mV was achieved for combination of K and Na.  
12 Amongst the rest of alkali metals, Li looks the most promising dopant as far as optoelectronic  
13 properties are concerned.

## 14 **Keywords:**

15 CZTSe solar cell, alkali metals, spin coating, sodium, potassium, kesterites

16

## 17 **1. Introduction**

18 Thin film photovoltaics are gaining prominence with record efficiencies reaching up to  
19 22.3% for  $\text{Cu}(\text{In,Ga})(\text{S}_x\text{Se}_{1-x})_2$  (hereafter referred as  $\text{CIGS}_x\text{Se}_{1-x}$ ,  $0 \leq x \leq 1$ ) [1]. However, the  
20 rapidly progressing display industry which uses indium and gallium will eventually limit the  
21 production of  $\text{CIGS}_x\text{Se}_{1-x}$  due to the scarcity of these elements [2].

22 Kesterites like  $\text{Cu}_2\text{ZnSn}(\text{S}_x\text{Se}_{1-x})_4$  (hereafter referred as  $\text{CZTS}_x\text{Se}_{1-x}$ ,  $0 \leq x \leq 1$ ) with their less  
23 toxic nature and more abundant elements are a promising alternative [2]. A record efficiency  
24 of 12.6 % was demonstrated [3], however, this is still far from the theoretical Shockley-

1 Queisser (SQ) limit of ~ 30 % [4]. Open circuit voltage ( $V_{oc}$ ) deficit continues to be a key  
2 problem with the record cell reaching only 62.6 % of its SQ limit [2].

3 Addition of sodium (Na) to improve the performance of kesterite based cells has been  
4 inspired from the beneficial effects of Na doping in chalcopyrite based absorbers. Besides  
5 affecting the size of grains, Na also enhances the  $V_{oc}$  and fill factors of the devices, both these  
6 factors are largely sensitive to defects and traps [5-10]. Unlike  $CIGS_xSe_{1-x}$ , first principles  
7 calculations for  $CZTS_xSe_{1-x}$  grain boundaries suggest that deep states do exist in the gap,  
8 which are expected to act as non-radiative recombination centres. [11]. Gershon et al. [12]  
9 recently demonstrated diffusion of Na to internal and external surfaces of the absorber in  
10 order to passivate them [13-15]. In addition, Na showed increase in the hole concentration in  
11 monocrystalline and polycrystalline  $CZTS_xSe_{1-x}$  crystals [16, 17].

12 Potassium (K) is another alkali metal that has been incorporated into  $CZTS_xSe_{1-x}$  /  $CIGS_xSe_{1-x}$ .  
13 In case of  $CZTS_xSe_{1-x}$ , presence of K suppresses the loss of tin and reduces the production  
14 of secondary phase zinc sulphide [18] resulting in lesser loss of zinc. K doping has shown to  
15 increase the crystallinity of  $CZTS_xSe_{1-x}$  film and enhance the (112) preferred orientation.

16 In this study we aim to explore the effect of different alkali elements such as lithium (Li),  
17 sodium (Na), potassium (K), rubidium (Rb) and caesium (Cs) – on pure selenide CZTSe  
18 ( $CZTS_xSe_{1-x}$ ,  $x=0$ ) solar cells. The inclusion of alkali elements was done by spin coating  
19 followed by selenisation at high temperature leading to the formation of the CZTSe absorber  
20 containing the alkali atoms. The purpose of this study is to give an overview of the impact of  
21 different alkali elements on the optoelectronic and electrical properties of CZTSe solar cells.  
22 We believe, the results of this study will be helpful to initiate a detailed investigation into  
23 alkali elements that have a potential to improve the CZTSe devices.

## 1 **2. Experimental Details**

### 2 **2.1 Fabrication**

3 A 3 mm thick soda lime glass sputtered with 500 nm molybdenum (Mo) was purchased from  
4 Guardian Industries. Two different kinds of substrates were used: I) with a 200 nm  
5 intermediate barrier layer of Silicon Oxynitride between soda lime glass and Mo to prevent  
6 in-diffusion of alkali metals (hereafter referred to as SLG-B) II) without any barrier layer  
7 (hereafter referred to as SLG).

8 Tin, zinc and copper were deposited on the aforementioned substrates with thickness of 215,  
9 95 and 110 nm respectively, using Pfeiffer e-beam evaporation. Before selenisation, alkali  
10 metals were spin coated on the stack of metal precursors. Fluoride salts of alkali metals were  
11 dissolved in water with appropriate concentrations (lithium fluoride (LiF) = 0.1 M, sodium  
12 fluoride (NaF) = 0.1 M, potassium fluoride (KF) = 0.1 M, rubidium fluoride (RbF) = 0.01 M,  
13 caesium fluoride (CsF) = 0.005 M) and this was used as a solution for spin coating. The  
14 speed for the spin coater was set to 1000 rpm, with an acceleration of 1000 rpm/s<sup>2</sup>. The  
15 samples were spun for 6 minutes.

16 The stacked metal layers are then selenized to form absorber in one of the following furnaces:

- 17 1. In hydrogen selenide (H<sub>2</sub>Se) atmosphere as demonstrated by Brammertz et  
18 al.[19]. This was the preferred method of selenisation. Hereafter it is referred  
19 to as Annealsys.
- 20 2. In selenium atmosphere as demonstrated by Zaghi et al.[20]. This method was  
21 used to limit contamination of the Annealsys furnace by Rb, Cs, and Li.  
22 Hereafter this method is referred to as Quartz tube based Rapid Thermal  
23 annealing Process (QRTP).

1 These absorber layers were etched in 5 % potassium cyanide (KCN) solution for 2 minutes.  
2 The devices were completed by successive chemical bath deposition of cadmium sulfide (50  
3 nm), sputtering of intrinsic zinc oxide (ZnO) (120 nm) and aluminium doped ZnO (280 nm).  
4 A top contact of nickel/aluminium was deposited for devices selenised only in Annealsys. For  
5 samples selenised in QRTP furnace, no top contact was deposited. Solar cells were isolated  
6 by needle scribing of the devices. The area of cells was 0.5 cm<sup>2</sup> and 0.09 cm<sup>2</sup> for devices  
7 selenised in Annealsys and QRTP respectively.

8 All the devices reported here were annealed in air for 1 hour at 200°C. The effect of  
9 annealing was not clearly established. The electrical properties of solar cells before annealing  
10 were compared with devices after annealing. If the electrical properties improved then the  
11 properties after annealing are reported (See Table 1). Similarly, if the device performance  
12 degraded after annealing then the properties before annealing have been reported (See Table  
13 1). A detailed study on the effect of annealing is beyond the scope of this study. An overview  
14 of the sample set is given in Table 1.

## 15 **2.2 Characterisation**

16 The processed solar cells were analysed using current density-voltage (J-V, 2600 Source  
17 meter, Keithley) measurements performed under a solar simulator system (Model 6143,  
18 Oriel) using an AM1.5G spectrum with an illumination density of 1000 W/m<sup>2</sup>.

19 Time-resolved photoluminescence (TRPL) measurements were acquired with a Hamamatsu  
20 C12132 (Hamamatsu Photonics, Hamamatsu, Japan) near-infrared compact fluorescence  
21 lifetime measurement system. An area of 3-mm diameter with an average laser power of 1  
22 mW was illuminated on the absorber layers with a 15 kHz, 1.2 ns pulsed 532 nm laser for the  
23 minority charge carrier lifetime measurements. All the measurements were done at room  
24 temperature.

1 The distribution of the alkali elements Na and K in the absorber were measured by a Horiba  
2 Scientific GD-Profilier 2 glow discharge optical emission spectrometer (GDOES) which is  
3 operated in radio frequency mode at powers of 26 W and argon pressures of 500 Pa. The  
4 measurement spot has a diameter of 4 mm and a depth-resolution within the absorber of  
5 about 60 nm is achieved, comparable with other thin-film analytical methods like secondary  
6 ion mass spectrometry [10].

7 The external quantum efficiency (EQE) has been measured at room temperature using a  
8 laboratory-built system with a grating monochromator-based dual-beam setup under chopped  
9 light from a xenon lamp.

10

### 11 **3. Results and Discussion**

12 The results are discussed in three sections. The first section describes the samples selenised in  
13 Annealsys. The second section deals with the samples selenised in QRTP furnace. The third  
14 and final section gives an overview of statistics involved in the measurements of  
15 optoelectronic properties.

#### 16 **3.1 Sample selenised in Annealsys**

17 This section deals with studies carried out on solar cells whose absorber layers were  
18 synthesised in Annealsys furnace. Na and K were the only alkali elements used for doping.  
19 The absorbers doped with alkali elements were fabricated only on SLG-B substrates. The  
20 reference samples containing a CZTSe absorber layer without any external alkali dopant on  
21 SLG substrate (hereafter SLG Reference sample) and SLG-B substrate (hereafter SLG-B  
22 reference sample) were also analysed.

23 Figure 1 shows GDOES measurements carried out on alkali-doped and reference samples.

24 The samples used for GDOES studies were processed only till the absorber layer. Therefore,

1 a sputtering time of 0 s indicates the surface of the absorber. The SLG-B reference samples  
2 show very low Na/K signal indicating the effectiveness of barrier. The SLG reference  
3 samples however had a very high sodium signal at front and back interfaces. This indicates a  
4 significant sodium in-diffusion from the soda lime glass into the absorber layer. The samples  
5 doped with sodium or potassium showed a similar trend of accumulation at the front or back  
6 interfaces. The strength of signal was dependent on concentration and the type of alkali  
7 solution used for spin coating. For same set of concentrations, the Na signal was stronger  
8 compared to K indicating easier diffusion of Na as compared to K. The strength of signal for  
9 Na was proportional to concentration of NaF solution used for spin coating.

10 The GDOES results prove that the alkali metals deposited using spin coater have diffused  
11 into the absorber. The dependence of the strength of signal on concentration of alkali metal  
12 solution demonstrates the systematic nature of our technique. The accumulation of Na and K  
13 at front or back interfaces is in good agreement with the literature [12-15]. However, a  
14 complete solar cell fabrication involves KCN etching and water rinsing steps. The excess of  
15 Na and K present at the front interface will then be washed away due to high solubility of  
16 fluoride salts in water [21].

17 Figure 2 (a) shows the minority carrier lifetime of various samples. Doping the absorber layer  
18 with alkali elements results in the improvement of lifetime of minority carriers. The samples  
19 doped with combination of Na and K showed the highest lifetime. This suggests that  
20 combination of Na and K helps in increasing minority carrier lifetime indicating the  
21 improvement in collection of charge carriers.

22 The electrical parameters of the cells fabricated are given in Table 2. A top power conversion  
23 efficiency (PCE) of 8.3 % and a top  $V_{oc}$  of 430 mV was achieved for samples doped with



1 combination of Na and K without use of any anti-reflection coating. The samples doped with  
2 alkali metals show an increase in  $V_{oc}$  over the SLG-B reference samples.

3 The J-V curve for devices doped with a combination of Na and K is given in Figure 3 (a). It  
4 is compared with a reference SLG-B sample. An appreciable increase in all the electrical  
5 characteristics was noticed when samples were doped with combination of Na and K  
6 (NaF:KF = 2:1) in comparison to the reference samples. The J-V results were in good  
7 agreement with our EQE results (Figure 3 (b)). The EQE measurements showed an increase  
8 in collection of charge carriers at all wavelengths. This improvement of electrical properties  
9 for doping combination of Na and K has been previously observed for  $CIGS_xSe_{1-x}$  [22].

10 Before concluding with this section, we would like to note that the device with the highest  
11 power conversion efficiency had the longest lifetime amongst absorbers fabricated on SLG-B  
12 substrates.

### 13 **3.2 Sample selenised in QRTP**

14 This section deals with studies carried out on solar cells whose absorber layers were  
15 synthesised in QRTP furnace. All the alkali elements i.e Li, Na, K, Rb, and Cs were  
16 individually used for doping. The absorbers doped individually with Na and K were  
17 fabricated only on the SLG-B substrates. However, the absorbers doped individually with Li,  
18 Rb, and Cs were fabricated on both the substrates. The reference samples on both substrates  
19 were also analysed.

20 Figures 2 (b) and 2 (c) show the minority carrier lifetime in the CZTSe absorbers doped with  
21 different elements on SLG-B and SLG substrates respectively. For SLG-B substrates,  
22 samples doped with Li and Cs showed the highest lifetime (Figure 2 (b)). For SLG substrates,  
23 samples doped with Li showed the highest lifetime (Figure 2 (c)).

1 The aforementioned results show that alkali metals improves the lifetime of minority carrier  
2 as in the case of samples selenised in Annealsys furnace. Li and Cs, strictly in perspective of  
3 optoelectronic properties, seem to be promising dopants.

4 For samples selenised in QRTP, the absolute values of PCE are relatively low as compared to  
5 samples selenised in Annealsys. A plot showing relative change of PCE with respect to a  
6 reference solar cell on same substrate is shown in Figure 4. For the solar cells processed on  
7 SLG-B substrates (green squares in Figure 4), the largest increase in PCE was obtained for  
8 samples doped with Na. The samples doped with Li, Rb, and Cs do not show any significant  
9 improvement over the reference samples. For the solar cells which were processed on SLG  
10 substrates (red circles in Figure 4), we note an increase of 30-40% in efficiency for samples  
11 doped with Cs and Li over the reference cells. Therefore, a mixture of Na and Li or Na and  
12 Cs could be a topic of interest for future experiments.

13 For the similar doping conditions, solar cells selenised in QRTP furnace showed relatively  
14 low efficiencies compared to solar cells selenised in Annealsys furnace which could be  
15 attributed to the selenisation-specific problems. Initial scanning electron micrographs and  
16 visual inspections of absorbers selenised in QRTP indicated a thicker MoSe<sub>2</sub> layer. A thicker  
17 MoSe<sub>2</sub> could indicate higher interaction between CZTSe and Mo leading to the  
18 decomposition of CZTSe as explained by Scragg et al. [23]. The addition of an inhibitor layer  
19 like titanium nitride between Mo and CZTS<sub>x</sub>Se<sub>1-x</sub> [24] could readily solve this problem. Since  
20 the main objective of this study was to explore the effect of alkali elements in an empirical  
21 manner, the detailed investigation into this is beyond the scope of this study.

### 1 **3.3 Statistics in measurement of optoelectronic properties**

2 This section gives an overview of statistics in measurement of optoelectronic properties in  
3 our studies. The variation in minority carrier lifetime and photoluminescence (PL) peak of  
4 devices with similar doping conditions but different experimental trials is discussed here.

5 A standard TRPL spectra of the SLG and SLG-B reference samples is shown in Figure 5 (a).

6 The extracted minority carrier lifetime from the spectra shows that a substrate without a  
7 barrier layer has a higher lifetime as compared to a substrate with a barrier layer. This trend  
8 of SLG reference samples having higher lifetime than SLG-B reference samples was  
9 consistent with all selenisation cycles. However, the values were not exactly reproducible.

10 Therefore we decided to use reference samples for all our cycles so that we can have a valid  
11 comparison of lifetime between different trials. This variation of lifetime for reference  
12 samples selenised in Annealsys and QRTP furnace can be seen in Figure 5 (b).

13 The peak position of PL signal had wide range of variation for samples selenised in  
14 Annealsys. In contrast, the PL spectra was very reproducible for samples selenised in QRTP  
15 furnace, as is shown in Figure 6. An ideal CZTSe absorber would have a bandgap of 1 eV.  
16 For samples selenised in QRTP furnace, the PL spectra shows that the deposited absorber has  
17 bandgap of  $\sim 1$  eV (1240 nm). However, the selenisation in Annealsys resulted in the  
18 formation of a lower bandgap ( $< 1$  eV) absorber.

19 The reason behind the large distribution in peak position of PL spectra and variation of  
20 lifetime in Annealsys could be the large susceptor area over which samples were being  
21 selenised. This resulted in non-uniform flow of  $H_2Se$  and formation of temperature gradient  
22 across the susceptor. Although the PL spectra of the samples selenised in QRTP furnace was  
23 reproducible, the rapid selenisation of Mo in QRTP resulted in thicker  $MoSe_2$  as discussed in  
24 the previous section.

## 1 **4. Conclusion**

2 Spin coating was employed as a method to incorporate the alkali metals into the CZTSe  
3 absorber in a systematic manner. The absorbers doped with alkali metals showed an increase  
4 in lifetime of minority carriers as compared to SLG-B reference samples. A top  $V_{oc}$  of 430  
5 mV and PCE as high as 8.3 % (without anti-reflection coating) was achieved by doping the  
6 absorber layer with a combination of sodium and potassium. This is in good agreement with  
7 the improved charge collection at all wavelengths as indicated by the EQE measurements.  
8 Amongst the other alkali metals, Li seems to be the most promising dopant for a kesterite  
9 based absorber considering the optoelectronic properties. Although the electrical  
10 characteristics don't stand out individually for devices doped with Li or Cs, our findings  
11 suggest that a combination of Li and Na or Cs and Na could be a subject of interest for future  
12 studies.

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Sample Set	Substrates	Selenisation Furnace	Concentration of Alkali Salts in Water	Reported Electrical Characteristics After (A) / Before (B) Annealing
Sample Set	SLG-B	QRTP	LiF - 0.1 M	A
			NaF - 0.1 M	
			KF - 0.1 M	
			RbF - 0.01 M	
			CsF - 0.005 M	
			Reference	
		Annealsys	NaF - 0.1 M	A
			KF - 0.1 M	B
			NaF:KF (2:1)	A
			Reference	
	SLG	QRTP	LiF - 0.1 M	A
			RbF - 0.01 M	
			CsF - 0.005 M	
			Reference	
Annealsys		Reference		

1

2 Table 1: Overview of the sample set used in the study. The columns in the table highlight the  
3 differences in substrates and fabrication process used for processing of the solar cell. A front  
4 contact of Ni/Al was deposited for all the samples selenised in Annealsys furnace . No front  
5 contact was deposited for samples selenised in QRTP furnace.

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	NaF (0.1 M)	KF (0.1 M)	NaF + KF (NaF: KF = 2:1)	SLG-B Reference	SLG Reference
<b>J<sub>sc</sub></b> <b>( mA/cm<sup>2</sup> )</b>	33.1 ± 1.5	28.1 ± 1.7	34.6 ± 2	31.8 ± 2.4	26.9 ± 3.1
<b>V<sub>oc</sub></b> <b>(mV)</b>	372 ± 10	364 ± 4	389 ± 6	336 ± 25	385 ± 28
<b>FF</b> <b>(%)</b>	48 ± 1	46 ± 2	57 ± 1	49 ± 6	50 ± 2
<b>Efficiency</b> <b>(%)</b>	5.9 ± 0.4	4.7 ± 0.3	7.7 ± 0.5	5.3 ± 1	5.2 ± 1.1
<b>Best</b> <b>Efficiency</b> <b>(%)</b>	6.1	5.6	8.3	5.3	6.7

3

4 Table 2: Electrical characteristics obtained from J-V measurements carried out on 0.5 cm<sup>2</sup>

5 CZTSe solar cells. The SLG-B/Mo/CZT were coated with different alkali element using spin

6 coater and selenised in Annealsys furnace to fabricate the absorber layer. Reference

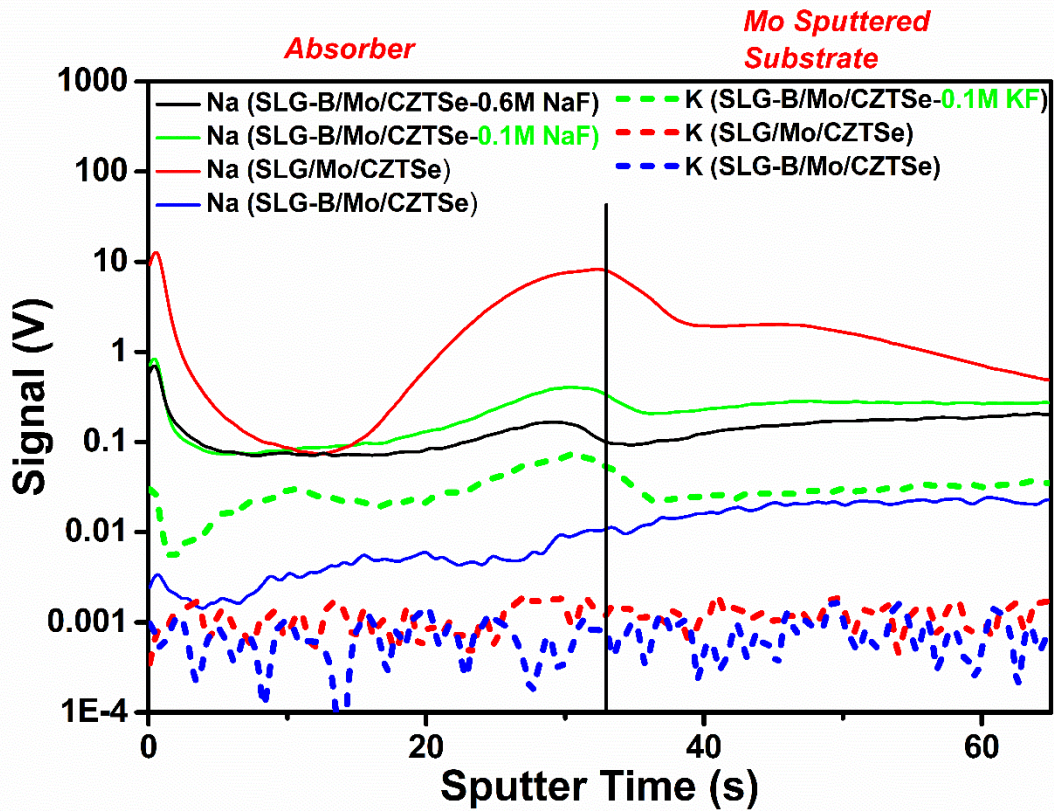
7 SLG/Mo/CZT or SLG-B/Mo/CZT samples were directly selenised in Annealsys furnace. The

8 average short circuit current (J<sub>sc</sub>), open circuit voltage (V<sub>oc</sub>), fill factor (FF), and cell

9 efficiency (%), and best efficiency (%) obtained with particular doping combination are

10 given.

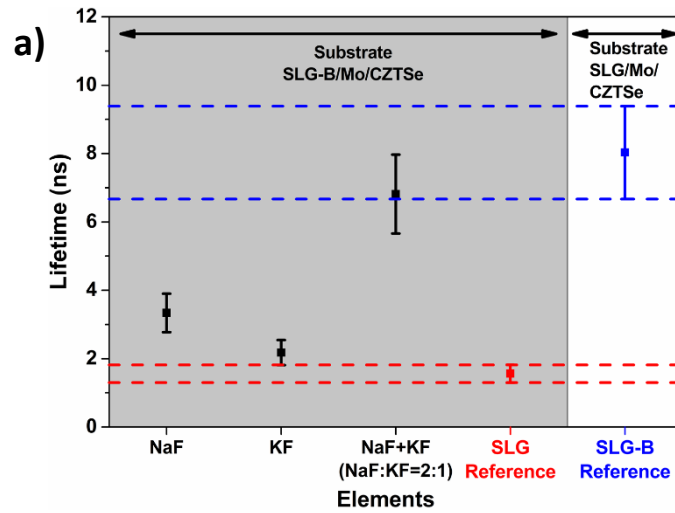
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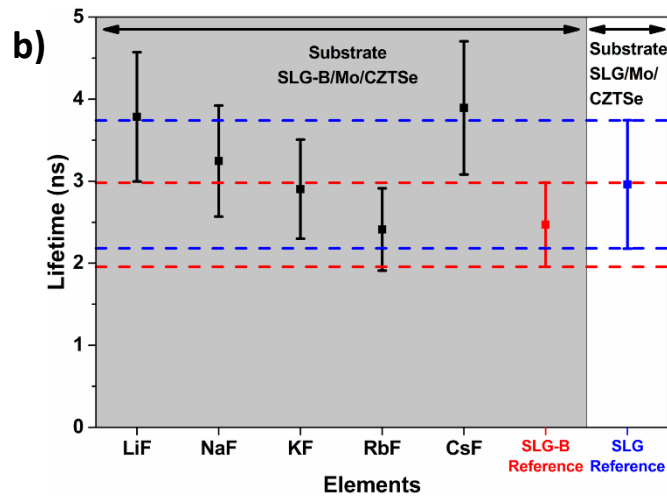
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2 Figure 1: GDOES measurements done on SLG-B/Mo/CZTSe, SLG/Mo/CZTSe, SLG-  
 3 B/Mo/CZTSe-NaF and SLG-B/Mo/CZTSe-KF samples. Sputter time = 0 s indicates the top  
 4 surface of the CZTSe absorber layer. The absorbers were synthesised in Annealsys.

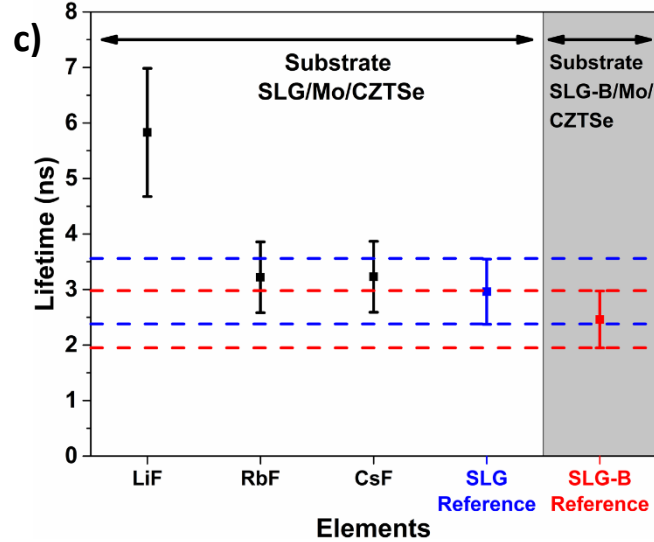
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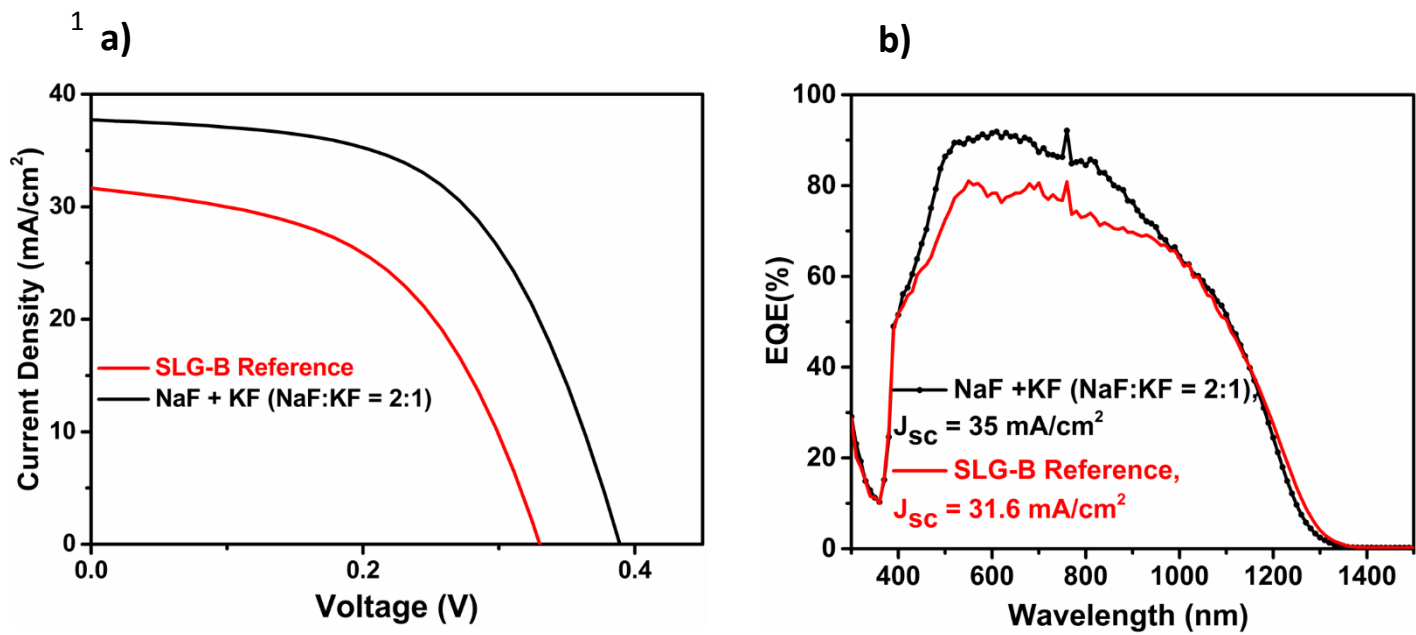
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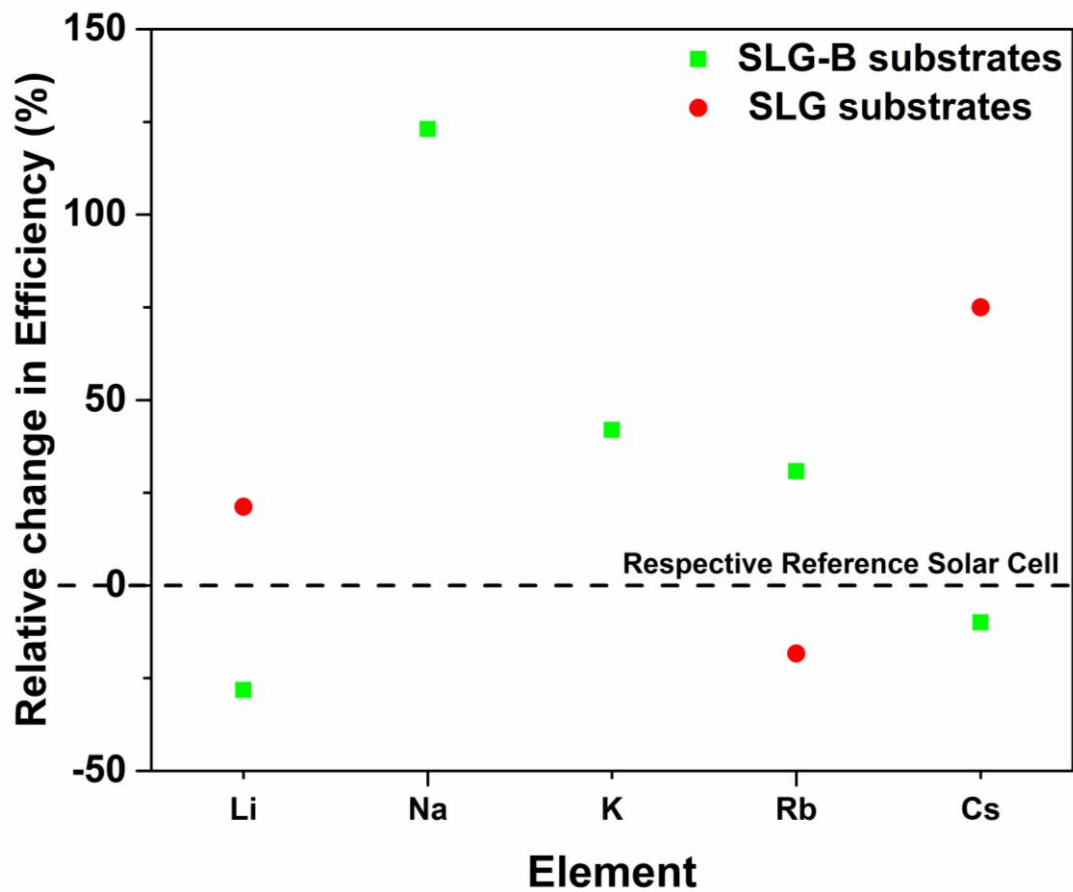
4 Figure 2: Average minority carrier lifetime values obtained from TRPL measurements (a) on  
 5 SLG-B/Mo/CZTSe samples selenised in Annealsys Furnace. (b) on SLG-B/Mo/CZTSe  
 6 samples selenised in QRTP Furnace. (c) on SLG/Mo/CZTSe samples selenised in QRTP

1 furnace. The x-axis indicate fluoride salts of alkali metals used for doping of absorber layers.  
2 The red and blue dotted lines indicate the standard deviation in the minority carrier lifetime  
3 of reference samples (CZTSe absorbers without any external doping of alkali metals) over  
4 different selenisation runs. The area shaded by grey colour show the minority carrier lifetime  
5 of samples selenised on SLG-B substrates. The unshaded area shows the minority carrier  
6 lifetime of samples selenised on SLG substrates.



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Figure 3: a) Current Density vs Voltage (J-V) measurements and b) EQE measurements carried out for devices doped with a combination of NaF and KF with a ratio NaF:KF = 2:1 in comparison with reference SLG-B sample. The substrates were selenised in Annealsys furnace. The average short circuit current density ( $J_{sc}$ ) was calculated from the EQE measurements and compared with the J-V results.



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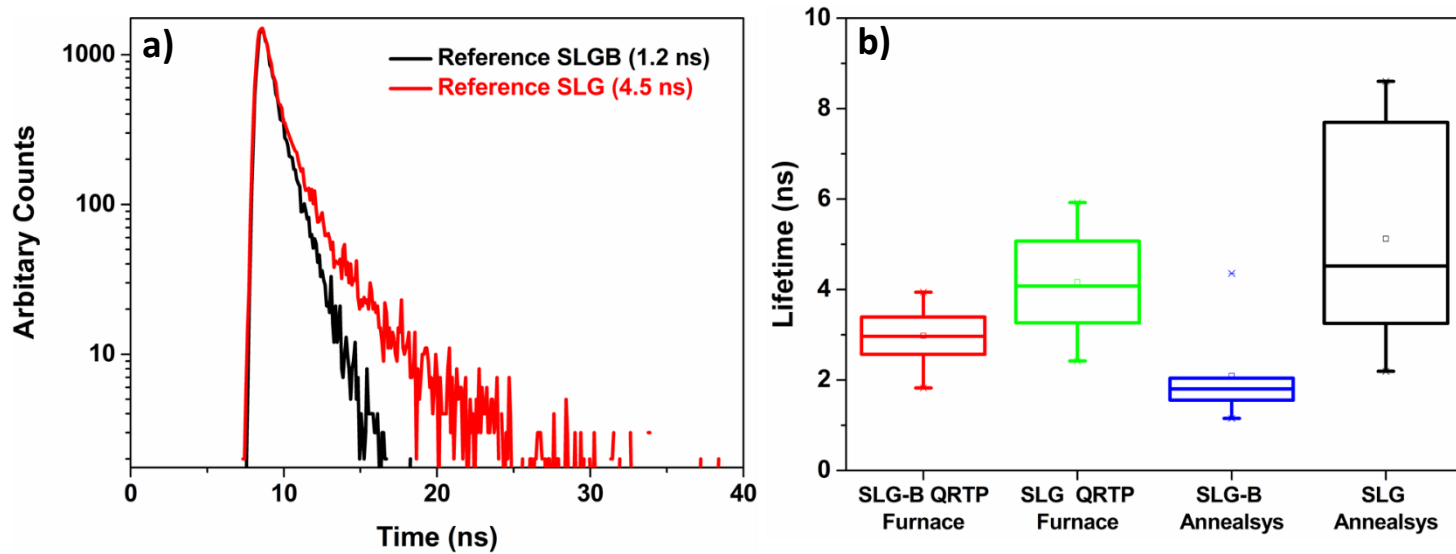
2 Figure 4: Relative change in PCE of completely processed solar cells doped with different

3 alkali metals on SLG-B substrates (Green Squares) and SLG substrates (Red Circles) in

4 comparison to reference solar cells (Black Dashed Line) on same substrate. The substrates

5 were selenised in QRTP furnace.

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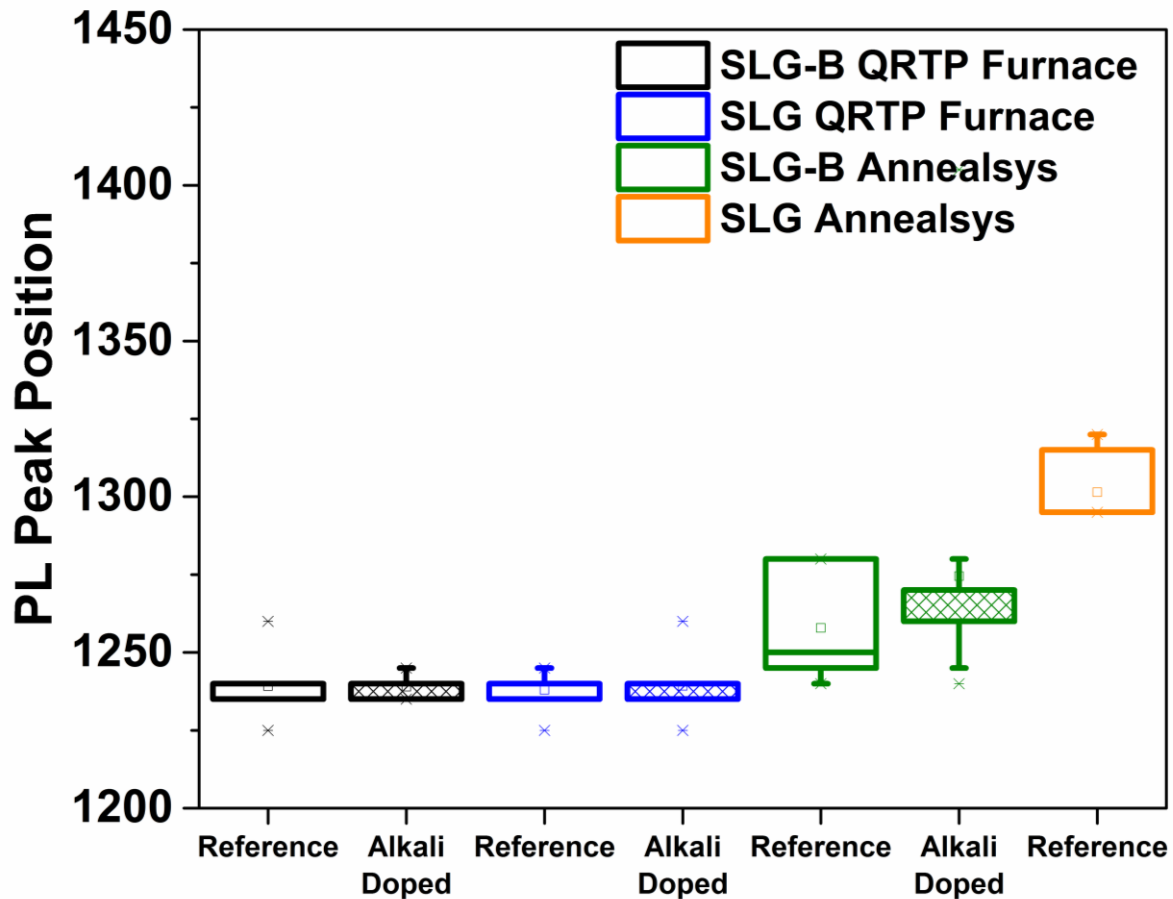


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2 Figure 5: (a) Standard time-resolved PL spectra of SLG and SLG-B reference samples. (b)

3 Box plot showing the variation of lifetime of SLG and SLG-B reference samples.

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Figure 6: Box plot showing the variation of peak position of PL for reference samples and alkali doped samples selenised in different furnaces. The colour of the box indicates the type of substrate and the furnace used. Empty boxes indicate the variation of position for PL peak in reference samples (CZTSe absorbers without any external doping of alkali metals). Patterned boxes indicate the variation of position for PL peak in alkali-doped CZTSe absorbers. Each box for alkali doped samples includes all samples doped with either of the alkali elements (Li, Na, K, Rb, Cs) selenised in same furnace and same substrate. Data from at least 7 trials (= 7 different selenisation runs) for every type of sample was used while calculating the statistics.