

1 **Light accelerates plant responses to warming**

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15 **Competition for light has profound effects on plant performance in virtually all terrestrial**
16 **ecosystems. Nowhere is this more evident than in forests, where trees create environmental**
17 **heterogeneity that shapes the dynamics of forest-floor communities¹⁻³. Observational evidence**
18 **suggests that biotic responses to both anthropogenic global warming and nitrogen pollution**
19 **may be attenuated by the shading effects of trees and shrubs⁴⁻⁹. Here we show experimentally,**
20 **for the first time, that tree shade is slowing down changes in below-canopy communities due**
21 **to warming. By independently manipulating levels of photosynthetically active radiation**
22 **(light), temperature and nitrogen in a temperate forest understorey, we demonstrate that light**
23 **addition, but not nitrogen enrichment, accelerates directional plant community responses to**
24 **warming, increasing the dominance of warmth-preferring taxa over cold-tolerant plants (a**
25 **process described as thermophilization^{6,10-12}). Analyses of life-history traits reveal that tall,**
26 **competitive plants took greatest advantage of the combination of elevated temperature and**
27 **light. Warming of the forest floor did not result in strong community thermophilization unless**
28 **light was increased too. Our findings not only provide key land management and policy**
29 **implications, but also advance our understanding of the rate, lags and drivers of forest**
30 **dynamics in response to climate change.**

31

32 Competition for light is asymmetrical meaning that, in multi-layered canopies, large individuals
33 capture a disproportionate amount of the resource¹. This effect is clearly evident in structurally
34 diverse habitats such as forests¹⁻³. Yet, anthropogenic changes to nutrient cycles and the climate
35 system have often enhanced tree growth during the last century which, together with important
36 changes in forest land management, contributed to higher standing stocks of trees in temperate
37 forests **across Europe and most of North America¹³⁻¹⁵**. Denser forests and more shading by trees and
38 shrubs have the potential to modulate the effects of both climate warming and eutrophication from
39 nitrogen (N) on below-canopy organisms: shading by vegetation can buffer the temperature
40 experienced by organisms sheltered below⁴⁻⁸ and thus explain the apparent lags between observed

41 biotic responses and climate change^{6,10,12}, while light has been shown to control plant community
42 responses to eutrophication^{3,9,16-19}. However, due to the absence of full-factorial field experiments
43 that manipulate light, temperature and N availability together, our understanding of the interplay of
44 these factors on forest community dynamics remains incomplete. This limits our ability to predict
45 how global environmental change and land management affect forest ecosystems.

46

47 Here we experimentally manipulated levels of light, temperature and N in the field to test the
48 hypothesis that light addition to the forest floor accelerates community responses to warming and
49 eutrophication. **In addition to the naturally occurring species, we transplanted rhizome fragments of**
50 **three relatively tall, competitive native species in all plots to overcome dispersal limitation (cf.**
51 **Methods).** We demonstrate that low light levels limit plant responses to warming, and thus point out
52 light limitation as a likely explanation for the slow biotic responses observed in temperate
53 forests^{6,10}. Using an experimental set-up with fluorescent tubes installed in an ancient, temperate
54 forest in western Europe (Belgium), we document strong shifts of understorey plant communities
55 with joint experimental warming and light addition in just three years. Communities also responded
56 to combined warming and nitrogen addition, but were mostly unresponsive to separate
57 manipulations of temperature. Our experiment also failed to detect substantial effects due to
58 inorganic N fertilization alone (Fig. 1, and Supplementary Figs. 1–6 and Supplementary Table 1).

59

60 To assess responses to climate warming at the community level, we quantified the
61 thermophilization of understorey assemblages using novel methods that scale up from species'
62 thermal tolerances (inferred by ecological niche modelling based on distribution data) to plot-level
63 thermal profiles^{6,10-12,20}. We calculated the floristic temperature¹⁰ of each plot by randomly
64 sampling 500 values from the thermal response curves of all recorded species, weighted by their
65 relative abundances in the plot^{6,20}. The thermophilization was then calculated as the mean difference
66 between the posttreatment and pretreatment floristic temperatures and expressed in °C per year.

67 These analyses reveal that these communities shifted by the relative replacement of cold-tolerant
68 species by warmth-preferring species. Warmth-preferring species rapidly increased in dominance in
69 vegetation plots that were both warmed and illuminated. These plots showed the highest
70 thermophilization rate of $0.63 \text{ }^{\circ}\text{C}\cdot\text{yr}^{-1} \pm 0.14$ (mean \pm 1 s.e.m.), and strongest community reordering
71 over time (Fig. 1). In contrast, lower levels of thermophilization occurred in control plots and in
72 plots that were N fertilized (but not warmed nor illuminated), warmed (but not illuminated nor
73 fertilized), and illuminated and fertilized (but not warmed) (Fig. 1).

74

75 The combination of experimental warming and illumination also led to functionally altered
76 communities, as shown by changes in key life-history traits (specific leaf area [SLA], plant height,
77 seed mass) that are central to the leaf-height-seed framework of plant ecological strategies^{21,22}.

78 These analyses revealed particularly increased abundance of tall, competitive plants in plots with
79 joint manipulations of elevated light and temperature (Fig. 2): the community-mean weighted plant
80 height increased from 14.1 ± 1.7 cm in the control plots to 41.2 ± 10.5 cm in plots with combined
81 warming and light. This community trait shift was attributable to both direct responses of species'
82 traits (i.e., phenotypic plasticity of established individuals) as well as changes in species abundances
83 (see Supplementary Methods and Results). There were no significant changes in community-mean
84 weighted SLA or seed mass (see Supplementary Fig. 7 for complementary analyses of Grime's
85 competitor, stress-tolerator, ruderal triangular strategy scheme²², species richness and evenness).

86

87 Artificial light addition to the forest floor thus boosted taxonomic replacement as well as functional
88 plant responses to warming. Our results demonstrate that relatively tall, competitive and warmth-
89 preferring plant species are limited in their growth and survival by both light availability and
90 temperature under closed canopy conditions. Hence, maintaining locally closed canopy conditions
91 could reduce, at least temporarily, forest plant community changes in response to macroclimate
92 warming. These results contrast with previous recommendations of keeping growing stocks low as

93 one strategy to adapt to climate change in temperate forests²³. In addition, while many temperate
94 forests **across Europe and most of North America** have become consistently denser and darker
95 during the last decades^{6,9,13-15}, this might change in the future due to increased demand for woody
96 biomass or expected changes in management cycles^{24,25}. More frequent and intense forest
97 disturbances caused by wind, pests, pathogens and wildfires as a result of climate change²⁶ might
98 also lower forest canopy closure. Since forest floors harbour the vast majority of temperate forest
99 plant diversity², more open canopies and increasing light availability could thus accelerate the
100 impact of global climate change on this important component of biodiversity.

101

102 Our experiment also sheds light on the long-running debate about the role of light in eutrophication-
103 driven biodiversity loss^{16-19,22,27}. Additional inputs of N had strikingly small effects in our forest-
104 floor plant assemblages (Figs. **1 and 2** and Supplementary Fig. 5–7). Nevertheless, N availability is
105 most likely not limiting plant growth at our study site: levels of atmospheric N deposition are high
106 (25.3 kg N ha⁻¹ yr⁻¹ in 2009²⁸) and indications of soil N saturation are present (the nitrate seepage
107 flux was 12.9 kg N ha⁻¹ yr⁻¹ in 2009, much higher than the threshold of 5 kg nitrate-N ha⁻¹ yr⁻¹
108 indicative of N saturation in forest ecosystems^{28,29}). The existence of N saturation is characteristic
109 for many forest ecosystems in Europe and North America²⁹ and may have resulted in the absence of
110 strong community responses to extra N addition^{18,19}, even with supplementary light from above.
111 However, N fertilization combined with warming did cause strong community shifts, comparable in
112 magnitude to those in the jointly warmed and illuminated plots. Eutrophication research from
113 herbaceous-dominated plant communities has shown that addition of light to short statured plants
114 below taller individuals, e.g., by herbivory, can reduce species loss due to eutrophication^{16,17}. Our
115 data, on the other hand, do not support the assertion that illumination from above enhances species'
116 responses to N availability, possibly due to thermal limitation.

117

118 To conclude, our experimental data unambiguously show that tree shade can hamper potential
119 encroachment of tall, competitive, thermophilous species and thus be delaying the
120 thermophilization of understorey communities in forests. Experimentally warmed communities
121 changed little unless light availability was also increased. These results may contribute to explain
122 the observed lags in biotic community responses to global warming^{6,10,12}. Our results also have key
123 land management and policy implications for the conservation of forests in the face of climate
124 change by directly demonstrating that closed-canopy conditions can slow down taxonomic, thermal
125 and functional shifts of understorey plant communities in response to climate warming. Further
126 research is needed to assess the relative benefits of forest management regimes that aim to promote
127 stand structural complexity and landscape heterogeneity (e.g., by creating gaps) vs. those that
128 maintain relatively closed-canopy conditions in order to conserve temperate forest plant diversity in
129 the face of climate change.

130

131 **Methods**

132 We established this experiment in 2011 in an ancient, temperate deciduous forest in Belgium
133 (50.97°N, 3.81°E). Experimental manipulations consisted of light (plots with two 14 W fluorescent
134 tubes suspended at 65 cm above the soil surface providing more than ten times as much additional
135 daytime-only radiation, vs. plots without additional light but with dummy lamps; Supplementary
136 Fig. 2), warming (passively warmed by 0.59 °C in the air, 0.87 °C at the soil surface and 2.96 °C in
137 the soil with hexagonal open-top chambers, vs. plots experiencing ambient temperatures;
138 Supplementary Fig. 3), and N enrichment (fertilized plots with 10 g N m⁻² year⁻¹ as ammonium
139 nitrate resulting in a mean topsoil concentration increase of 4.39 g inorganic-N kg⁻¹ dry soil, vs.
140 control plots with no supplementary nutrients added). Experiments that increase understorey light
141 levels by manipulating the tree canopy directly, would indirectly also affect soil temperatures (due
142 to higher solar radiation) and nutrient cycling (due to temperature effects on mineralization). In
143 contrast, our full-factorial design allows us to mechanistically unravel the separate and combined

144 effects of each of these environmental factors. We applied each unique treatment and all possible
145 combinations to five replicate plots in compliance with a full factorial design for a total of 40 plots,
146 plus established five additional ‘structural control’ plots without dummy lamps. In addition to the
147 naturally occurring species, we introduced three relatively tall, competitive native species (*Urtica*
148 *dioica*, *Rubus fruticosus* agg. and *Aegopodium podagraria*) at the start of the experiment in all plots
149 by transplanting rhizome fragments from the same forest. This was key for the experiment because
150 these species are expected to strongly respond to resource alterations and affect understorey
151 dynamics, but did almost not occur in any of the plots due to closed-canopy conditions before the
152 experiment. Transplantation was thus needed to overcome dispersal limitation since experimentally
153 illuminated plots are surrounded by dense forest and these species would most likely not naturally
154 colonize the plots within the time frame of this study. Hence, we can disentangle the effects of
155 resource manipulations on plant performance, species interactions and community change
156 unequivocally (more information on the transplants is available in Supplementary Methods and
157 Results). We here report on four years of measurements (pretreatment year 2011 and three
158 posttreatment years 2012-2014) and, unless specifically mentioned otherwise, data are expressed as
159 the plot-level change in community characteristics between the posttreatment means of the years
160 2012 to 2014 minus the pretreatment year 2011. We recorded the percent ground cover of all plant
161 species in each plot twice per year in spring and summer (only summer survey in 2011; an analysis
162 including only summer species is available in Supplementary Fig. 4). From this, community shifts
163 and thermophilization were estimated by means of beta diversity indices³⁰ and ecological niche
164 modelling^{6,12,20}, respectively. First, community shifts were quantified as the modified Gower beta
165 diversity index³⁰ (results for the Euclidean and Jaccard indices are available in Supplementary
166 Table S1). Second, thermophilization was calculated by sampling from the estimated thermal
167 tolerances of species. The long-term mean temperature in the growing season (April to September)
168 was used to estimate thermal response curves by means of ecological niche modelling using a
169 variety of modelling approaches (*cf.* Supplementary Methods and Results). To account for

170 variability and uncertainty in thermal preferences²⁰, the distribution of plot-level floristic
171 temperatures at each survey was constructed by resampling 500 times from species' thermal
172 response curves^{6,20}, weighted by their relative abundance and averaged per plot and year. Three key
173 functional life-history traits that are part of the leaf-height-seed strategy scheme²¹, i.e., plant height,
174 specific leaf area and seed mass, were measured on five individuals per species and plot. We then
175 calculated abundance-weighted mean community trait values as the plot-averaged species' trait
176 values weighted by species abundances. Soil samples from each plot were analysed for plant
177 available ammonium, nitrate, phosphate and pH (see Supplementary Methods and Results for a
178 detailed account of the methods and supporting analyses).

179

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256 performed research; P.D.F. and F.R.S. analysed data; all authors wrote the paper.

257

258 **Competing financial interests** The authors declare no competing financial interests.

259

260 **Figure legends**

261 **Figure 1 | Community-level effects of light, warming and nitrogen addition in forest**

262 **understories.** Each circle refers to the mean value of five plots. Plots receiving increased light and
263 warming together experienced the largest community shifts and thermophilization rates. Overall,
264 communities with more species reordering also experienced increasing dominance of warmth-
265 preferring over cold-tolerant taxa (thermophilization) (Spearman's rank correlation $\rho = 0.389$, $n =$
266 40 , $P = 0.0138$). Values along each axis are F values from ANOVAs testing for light (L), warming
267 (W) and nitrogen (N) and all two-way interactions (three-way interactions were never significant).
268 Symbols indicate: (*): $P < 0.1$, *: $P < 0.05$, **: $P < 0.01$. Error bars denote 1 s.e.m.

269

270 **Figure 2 | Effects of light, warming and nitrogen addition on abundance-weighted mean traits**
271 **of understorey plant communities.** Values in each upper right corner are F values from ANOVAs

272 testing for light (L), warming (W) and nitrogen (N) and all two-way interactions (three-way
273 interactions were never significant). Symbols and letters indicate: ns: non-significant ($P > 0.1$), (*):
274 $P < 0.1$, *: $P < 0.05$, **: $P < 0.01$, ***: $P < 0.001$. Error bars denote 1 s.e.m. across each of 5
275 replicate plots per treatment. The dashed line indicates the mean level of the control plots.



