

ORCID DE und (datenschutz-) rechtliche Fragestellungen

ORCID DE Outreach Workshop | Potsdam, 25.10.2016

Heinz Pampel | Helmholtz-Gemeinschaft

AGENDA

- ORCID
 - Blick auf die datenschutzrechtlichen Aspekte
- ORCID DE
 - Arbeitspaket „Gutachten zu den datenschutzrechtlichen Aspekten von ORCID“
- Ausblick und Vernetzung

AGENDA

- **ORCID**

- Blick auf die datenschutzrechtlichen Aspekte

- **ORCID DE**

- Arbeitspaket „Gutachten zu den datenschutzrechtlichen Aspekten von ORCID“

- **Ausblick und Vernetzung**

ORCID



- ORCID steht für Open Researcher and Contributor ID
- ORCID ist eine alphanumerischer Code für die eindeutige Identifikation einer / eines Forschenden
- Beispiel: <http://orcid.org/0000-0003-3334-2771>
- Über 2,5 Millionen ORCID iDs wurden bereits vergeben
- ORCID wird durch ein internationales Konsortium getragen
- ORCID basiert auf den ORCID-Prinzipien

<http://orcid.org/about/what-is-orcid/principles>

ORCID



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Is there an attempt to balance non-profit and corporate membership?

As stated in our Bylaws, the Board is comprised of a majority of individuals representing Members that are non-profit institutions. Every attempt is made to balance the Board by skills (technical, financial, management) and sector (academia, funding, government, publishing, etc.).

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5. Researchers will be able to create, edit, and maintain an ORCID identifier and record free of charge.
6. Researchers will control the defined privacy settings of their own ORCID record data.
7. All data contributed to ORCID by researchers or claimed by them will be available in standard formats for free download (subject to the researchers' own privacy settings) that is updated once a year and released under a CC0 waiver.

- **ORCID basiert auf den ORCID-Prinzipien**

<http://orcid.org/about/what-is-orcid/principles>



Search id English

ORCID
Connecting Research and Researchers

FOR RESEARCHERS | **FOR ORGANIZATIONS** | **ABOUT** | **HELP** | **SIGN IN**

WHAT IS ORCID? | THE ORCID TEAM | THE ORCID COMMUNITY | MEMBERSHIP | NEWS | EVENTS

OUR MISSION

- COLLECT & CONNECT

OUR PRINCIPLES

OUR GOVERNANCE

OUR POLICIES

- DISPUTE PROCEDURES
- PRIVACY POLICY
- PUBLIC CLIENT TERMS OF SERVICE
- PUBLIC DATA FILE USE POLICY
- TERMS AND CONDITIONS OF USE
- TRADEMARK AND ID DISPLAY GUIDELINES

ORCID TRUST

ORCID Trust

INTRODUCTION | [INDIVIDUAL CONTROL](#) | [RELIABILITY](#) | [ACCOUNTABILITY](#) | [INTEGRITY](#)

ORCID's vision is a world where all who participate in research, scholarship, and innovation are uniquely identified and connected to their contributions and affiliations across disciplines, borders, and time. We provide an identifier for individuals to use with their name as they share their ideas. We provide open tools that enable transparent connections between researchers, and identifiers for their contributions, and affiliations. What may appear to be a humble alphanumeric string in fact offers crucial opportunities to engender trust and support open research.

Confidence and trust is important to us. We have put in place controls, policies, and practices so that these connections are controlled by researchers, and that the source of each connection is openly articulated.

ORCID is a community-built and community-managed organization. We have a dedicated international team that collaborates broadly to build durable and high-fidelity research information infrastructure. We are grounded by [10 principles](#) that guide our work. These principles emphasize our commitment to being a respectful, reliable, inclusive, and accountable partner of the research community. We respect the privacy and information sharing needs of the individuals that hold ORCID iDs, who control whether their information is shared and with whom. As a non-profit organization we are not incentivized to profit from information entrusted to us. We are governed by a volunteer Board elected from our membership - [organizations in the research community](#) - who ensure that we remain true to ORCID principles.

This ORCID Trust Program provides greater transparency into the components that are foundational to our principles. Click on the sections below to learn more.



Search

ORCID

Connecting Researchers
and Researchers

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ORCID TRUST



Individual control

Our tools and services provide you control over your registration, what is connected to your iD, and who can access your information. We provide system security and protection to ensure that user control is maintained.



Reliable registry

We understand that availability, security, and durability are required of the systems and services we provide for you and the organizations you work with in the research community.



Community accountability

We make ourselves accountable to all who use and support ORCID activities through our non-profit status, our membership-based sustainability model, community governance, and data dispute procedures.



Information integrity

We work with the community to enable high-fidelity connections between individuals and their contributions and affiliations. We have developed processes and tools for creating, managing, and interpreting ORCID Registry information.

Click on the sections below to learn more.

English

SIGN IN

EVENTS

INTEGRITY | INTEGRITY

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SIGN IN


REGISTER FOR AN ORCID ID

LEARN MORE

2,546,121 ORCID iDs and counting. [See more...](#)

Heinz Pampel

ORCID ID

 orcid.org/0000-0003-3334-2771

Also known as

H. Pampel

Country

Germany

Websites

[Personal Website](#)

Email

heinz.pampel@gfz-potsdam.de

Other IDs

Scopus Author ID: 56002136600

ResearcherID: J-5635-2014

Works (54)


Sort

Forschungsdaten-Repositorien mit Beteiligung deutscher Institutionen. Eine re3data.org-Analyse 

2016-04 | report

DOI: [10.5281/zenodo.50148](https://doi.org/10.5281/zenodo.50148)URL: <http://zenodo.org/record/50148>

Source: Heinz Pampel


 Preferred sourceORCID - Offener Standard zur Vernetzung von Forschenden 

Nachrichten aus der Chemie

2016-01 | journal-article

DOI: [10.1002/nadc.20164042239](https://doi.org/10.1002/nadc.20164042239)URL: <http://doi.wiley.com/10.1002/nadc.20164042239>

Source: Heinz Pampel

 Preferred sourceDeepGreen – Metadata Schema for the exchange of publications between publishers and open access repositories. Version 1.1 

2016 | report


DOI: [10.12752/3.dg.1.0](https://doi.org/10.12752/3.dg.1.0)URL: <https://doi.org/10.12752/3.dg.1.0>

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Source: Heinz Pampel

 Preferred source

ORCID: ANMELDUNG



Register for an ORCID iD

ORCID provides a persistent digital identifier that distinguishes you from every other researcher and, through integration in key research workflows such as manuscript and grant submission, supports automated linkages between you and your professional activities ensuring that your work is recognized.

As per ORCID's [terms and conditions](#), you may only register for an ORCID iD for yourself.

First name

 * ?

Last name

Email

 *

Re-enter email

 *

Create an ORCID password

 * ?

Confirm ORCID password

 *

Your ORCID iD connects with your ORCID Record that can contain links to your research activities, affiliations, awards, other versions of your name, and more. You control this content and who can see it.

By default, who should be able to see information added to your ORCID Record?

   ?

<https://orcid.org/register>

ORCID: ANMELDUNG



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By default, who should be able to see information added to your ORCID Record?

 ?

Who can see this?

everyone

trusted parties

only me

[More information on privacy settings](#)

<https://orcid.org/register>

ORCID: „MY ORCID RECORD“



Search English

ORCID
Connecting Research and Researchers

FOR RESEARCHERS | FOR ORGANIZATIONS | ABOUT | HELP | SIGN OUT

MY ORCID RECORD | INBOX (2) | ACCOUNT SETTINGS | DEVELOPER TOOLS | LEARN MORE

2,546,121 ORCID IDs and counting. [See more...](#)

Heinz Pampel ✎ 👤 🔑 🔒 **Biography**

ORCID ID
ID: orcid.org/0000-0003-3334-2771
[View public version](#)

[Get a QR Code for your iD](#) ?

Also known as
H. Pampel

Country
Germany

Keywords

Websites
[Personal Website](#)

Emails
heinz.pampel@gmail.com
heinz.pampel@gfz-potsdam.de
pampel@gfz-potsdam.de

Other IDs
Scopus Author ID: 56002136600
ResearcherID: J-5635-2014

Education (0) + Add education ⇅ Sort
You haven't added any education, [add some now](#)

Employment (0) + Add employment ⇅ Sort
You haven't added any employment, [add some now](#)

Funding (0) + Add funding ⇅ Sort
You haven't added any funding, [add some now](#)

Works (54) + Add works ✎ Bulk edit ⇅ Sort

Forschungsdaten-Repositorien mit Beteiligung deutscher Institutionen. Eine re3data.org-Analyse 📄 👤 🔑 🔒
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Source: Heinz Pampel 👍 Preferred source ✎ 🗑️

<https://orcid.org/my-orcid>

ORCID: DATENAUSTAUSCH



LINK WORKS Hide link works

ORCID works with our member organizations to make it easy to connect your ORCID iD and link to information in their records. Choose one of the link wizards to get started. [More information about linking works](#)

Airiti
Enables user to import metadata from Airiti, including journal papers, proceedings, dissertations ... ▼

Australian National Data Service (ANDS) Registry
Import your research datasets into ORCID from Australian National Data Service (ANDS) and ... ▼

CrossRef Metadata Search
Import your publications from CrossRef's authoritative, publisher-supplied metadata on over 70 ... ▼

DataCite
Enable the DataCite Search & Link and Auto-Update services. Search the DataCite Metadata Sto... ▼

Europe PubMed Central
Europe PubMed Central (Europe PMC) offers this tool to enable you to link anything in Europe ... ▼

ISNI2ORCID search and link
EXPERIMENTAL Enables user to search the ISNI registry by name and link ISNI records to his ... ▼

MLA International Bibliography
Search the MLA International Bibliography for your works, including those published under varia... ▼

Redalyc
Una plataforma que permite identificar tus trabajos publicados en revistas arbitradas de Acceso ... ▼

ResearcherID
ResearcherID is a global, multi-disciplinary scholarly research community where members can re... ▼

Scopus to ORCID
Import your Identifier, profile and publications. The wizard helps you find the correct Scopus pro... ▼

<https://orcid.org/my-orcid>

<http://orcid.org/organizations/integrators/current>

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Airiti


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ORCID ID

 orcid.org/0000-0003-3334-2771

[View public version](#)

[Show archived](#)

From	Subject	Date	<input type="checkbox"/>
▼ Scopus to ORCID	Your ORCID Record was amended	2016-05-31	 <input type="checkbox"/>

Scopus to ORCID has updated items in the Work section of your record.

[Archive](#)

[View on your record](#)

About Scopus to ORCID

Import your Identifier, profile and publications. The wizard helps you find the correct Scopus profile and to confirm your publications. You can then import the identifier and list of publications into ORCID. Any changes you make will be submitted to the Feedback team to update your Scopus profile.

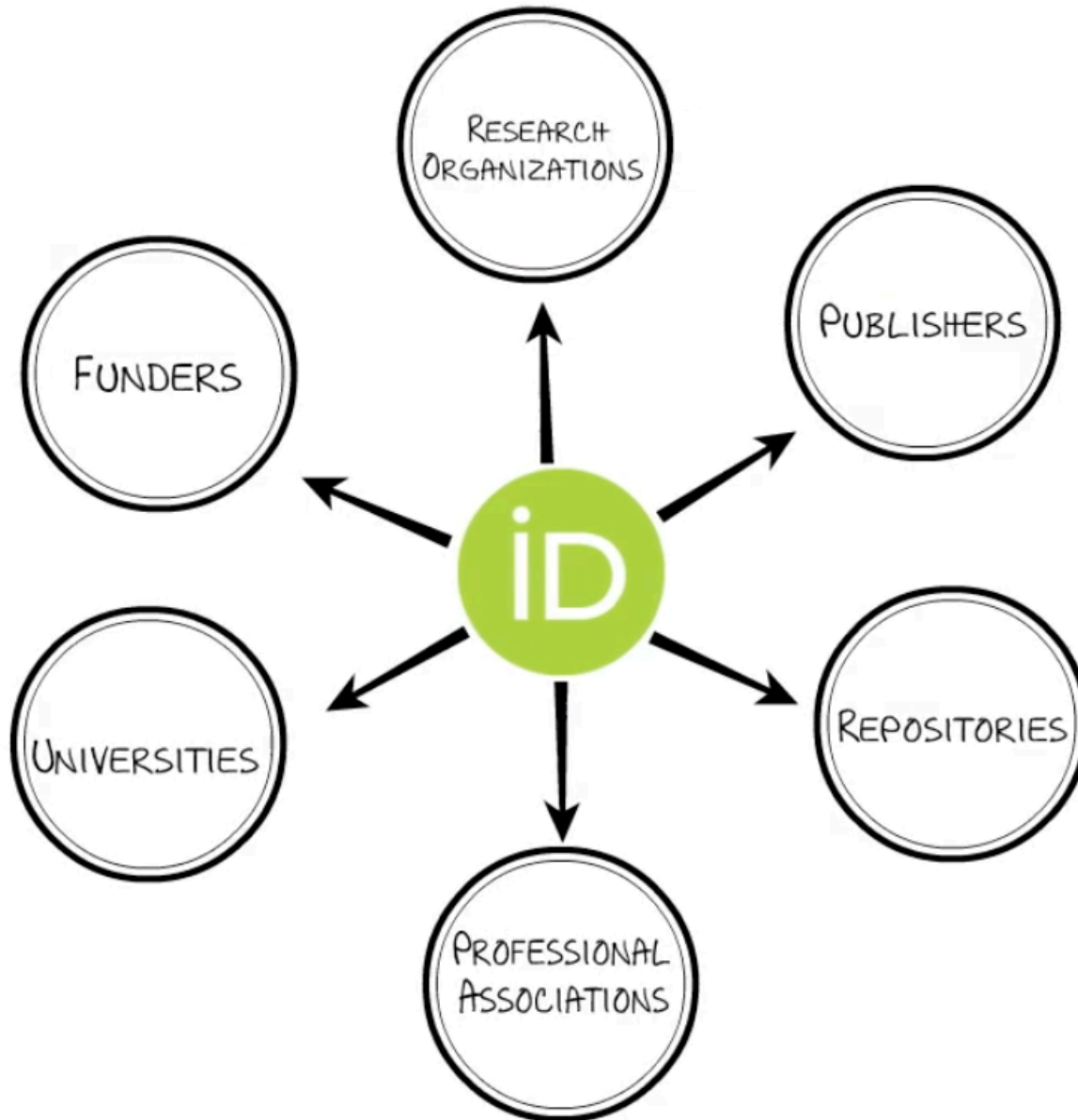
Scopus to ORCID

Import your Identifier, profile and publications. The wizard helps you find the correct Scopus pro... ▼

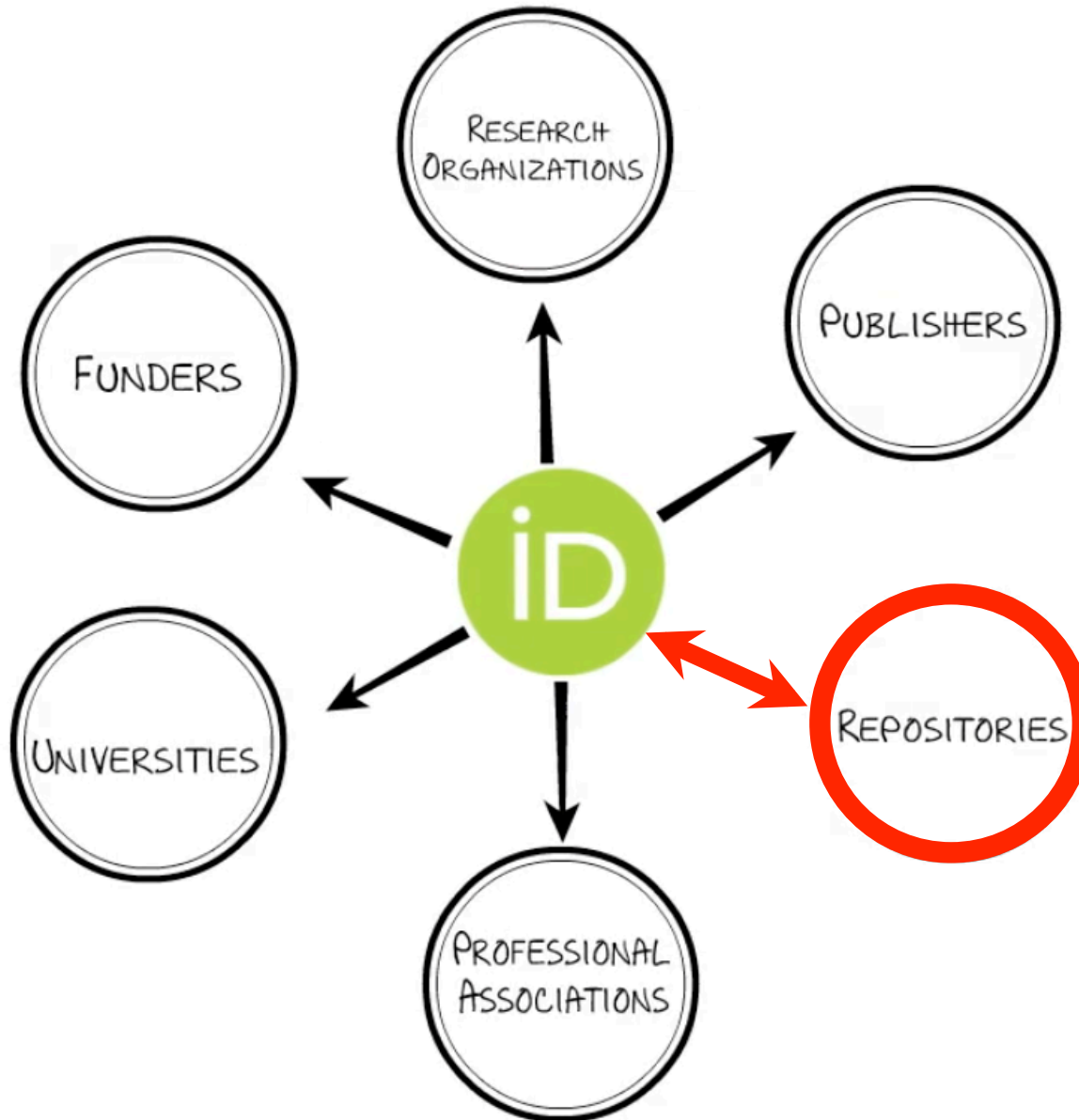
<https://orcid.org/my-orcid>

<http://orcid.org/organizations/integrators/current>

ORCID: DATENAUSTAUSCH



ORCID: DATENAUSTAUSCH



■ Zentrale Dokumente

OUR MISSION

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OUR PRINCIPLES

OUR GOVERNANCE

OUR POLICIES

- DISPUTE PROCEDURES
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- TERMS AND CONDITIONS OF USE
- TRADEMARK AND ID DISPLAY GUIDELINES

Our vision

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Our mission

ORCID provides an identifier for individuals to use with their name as they engage in research, scholarship, and innovation activities. We provide open tools that enable transparent and trustworthy connections between researchers, their contributions, and affiliations. We provide this service to help people find information and to simplify reporting and analysis.

Our values

ORCID is a not-for-profit organization, sustained by fees from our member organizations. Our work is open, transparent, and non-proprietary. We are guided by the principles of privacy and researcher control, and the vision of identifier-enabled research information infrastructure. We make decisions collaboratively, involving our staff, Board, those who support our mission, and the researchers and community that are the purpose of our work.

We take a global view. We have a diverse team, deployed internationally in our "virtual office." We engage with a wide range of organizations and people to ensure broad viewpoints. We strive to be a trusted component of research infrastructure with the goal of providing clarity in the breadth of research contributions and the people who make them.

- <http://orcid.org/node/8>

ORCID

■ Privacy Policy

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May 6, 2015

1. Introduction
2. TRUSTe Certification
3. Definitions
4. Choices You Have about Sharing Information in your ORCID Record
5. Information We Collect and How We Collect It
6. How We Use Information We Collect
7. How We Share Information We Collect
8. Access, Review, Editing and Changing Data
9. Records of Deceased Persons
10. Transparency, Disputed Records, & Removal of Data
11. Information Security
12. International Data Transfer; Governing Law
13. Enforcement and Arbitration
14. Children's Privacy
15. Single Sign On
16. Community
17. Changes to this Policy
18. Questions or Concerns

- <http://orcid.org/privacy-policy>

- DISCLOSURE
- PRIVACY
- PUBLIC USE
- SECURITY
- USER PROFILE
- TERMS OF SERVICE
- TRUSTED ORGANIZATIONS

4.1.1 PUBLIC visible by everyone



Accessible by:

- Anyone

Data marked as Public (visible by everyone) will be available to the public for viewing and use under a Creative Commons CC0 waiver.

4.1.2 LIMITED ACCESS visible by those you trust



Accessible by:

People and Organizations I trust

- ORCID Record Holder
- Trusted Individuals and Trusted Organizations

Data marked Limited Access (visible by those you trust) may be viewed through the Registry by you and your designated Trusted Individual(s) (if any) and Trusted Organizations (if any). You can elect to share specific items with Trusted Organizations. Trusted Organizations will have these permissions for the time period that you specify, either for a single use, or until you revoke these permissions. At any time, you can elect to revoke permissions for any Trusted Organization on the Account Settings page of your Record. Under ORCID's Membership Agreement, Trusted Organizations agree not to disclose to any other person or entity Limited Access Data unless (i) such data is publicly available from another source, or (ii) the organization provides notice to you about how and to whom such data will be disclosed. However, ORCID has no control over how the organization uses the Limited Access data, including sharing it with others. Therefore, you should only grant Trusted Organization status to those that you trust.

4.1.3 PRIVATE visible by only me



Accessible by:

- ORCID Record Holder
- Trusted Individuals

Data marked as Private (visible by you) may be viewed through the Registry only by you, any Trusted Individual(s) you designate, and a Trusted Organization that added the data (if you gave it permission to do so). Private data are not shared with the public, Trusted Organizations or other Members of ORCID.

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- Ausblick und Vernetzung

ORCID DE: MISSION

- Anliegen: koordinierte Förderung der „Open Researcher and Contributor ID“ (ORCID) zur persistenten Identifizierung von Autorinnen und Autoren an wissenschaftlichen Einrichtungen in Deutschland
- Initiiert durch die Deutsche Initiative für Netzwerkinformation (DINI)
- Förderung durch die Deutsche Forschungsgemeinschaft (DFG) für drei Jahre
- Projektstart: 01.05.2016
- Website: <http://www.orcid-de.org>

ORCID DE: DATENSCHUTZ

- Personenbezogene Daten unterliegen einem besonderen Schutz
- DINI – Initiator des Projekts – hat das Themenfeld bereits mehrfach Datenschutz aufgegriffen:
 - DINI-Jahrestagung 2014: „Datenschutz und Datenhoheit – Need to Have or Nice to Have?“ [1]
 - AG-FIS Workshop in 2015: „Datenschutz und Forschungsinformationssysteme“ [2]
- Ungeklärte datenschutzrechtliche Fragen führen zu Verzögerungen bei der Einführung von Forschungsinformationssystemen (FIS)

[1] <http://dini.de/veranstaltungen/jahrestagungen/2014/>

[2] <http://dini.de/veranstaltungen/workshops/workshop-datenschutz-und-forschungsinformationssysteme>

ORCID DE: GUTACHTEN

- Erarbeitung eines Rechtsgutachtens, das personen- und datenschutzrechtliche Belange bei der Implementierung von ORCID in institutionelle Informationssysteme aufgreift und dadurch einen öffentlich verfügbaren Orientierungsrahmen für die rechtliche Prüfung vor Ort schafft.
 - Beschreibung der datenschutzrechtlichen Ausgangslage
 - Behandlung von datenschutzrechtliche Fragen rund um die Implementierung von ORCID
 - Möglichst allgemein verständliche und allgemeingültige Formulierung des Gutachtens

ORCID DE: VORARBEITEN

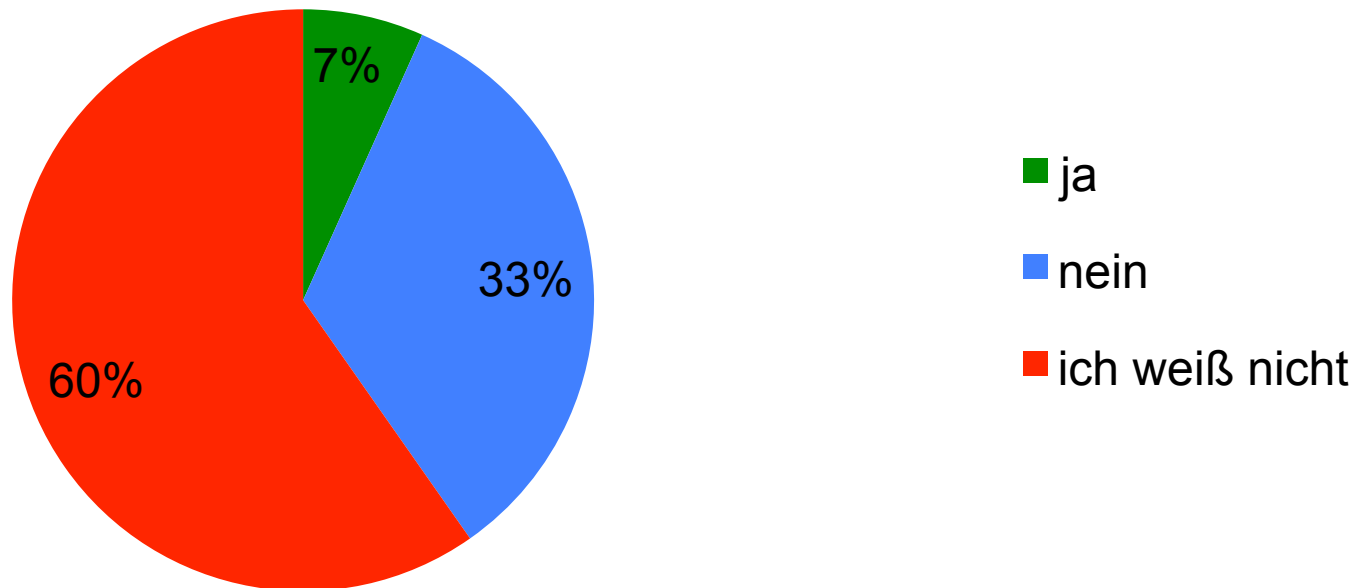
- Dialog mit diversen Akteuren
 - Projekt „Spezifikation Kerndatensatz Forschung. Dokumentation der Ergebnisse. Oktober 2015:
http://www.kerndatensatz-forschung.de/version1/Ergebnisbericht_Projekt_KDSF.pdf

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- Umfrage unter wissenschaftlichen Einrichtungen in Deutschland (noch nicht veröffentlicht)

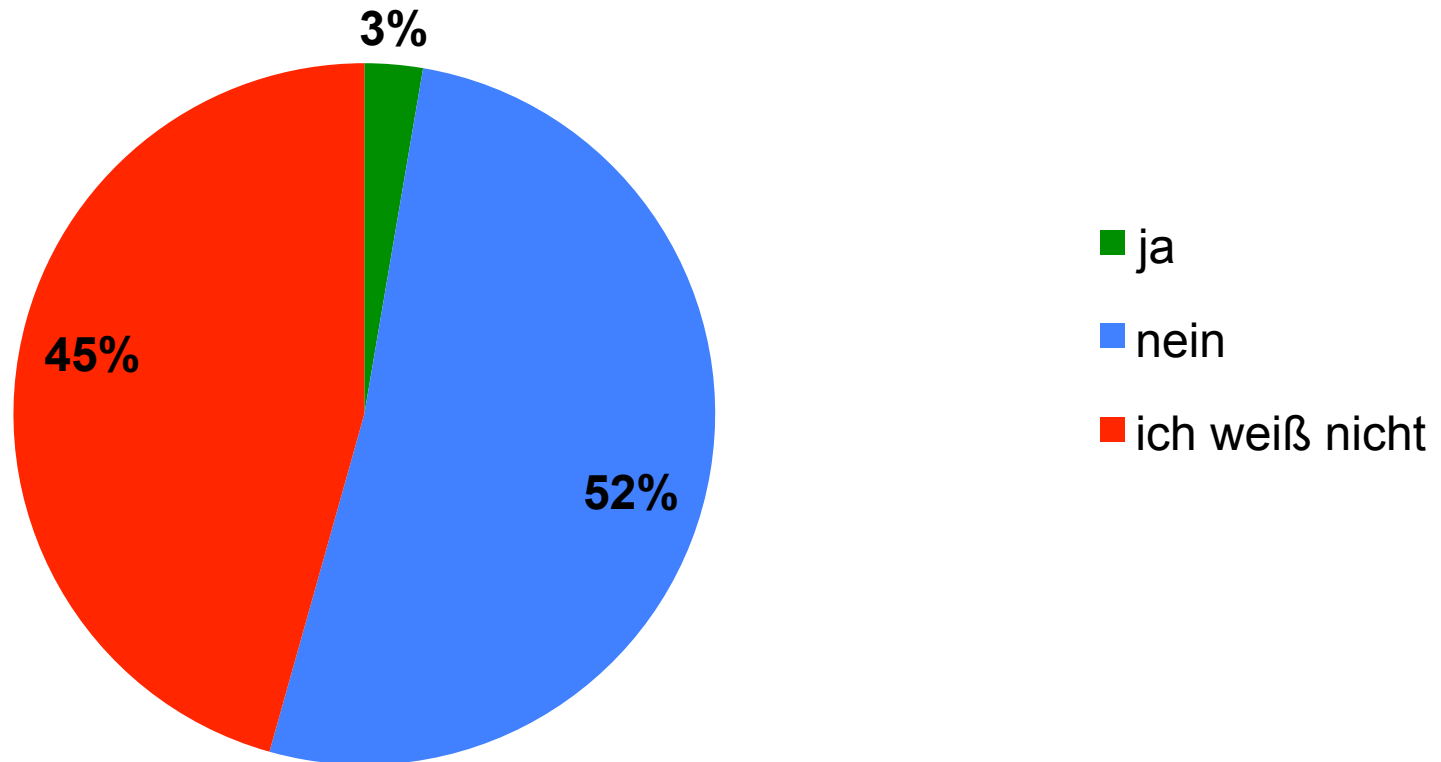
ORCID DE: UMFRAGE

Bestehen Ihrer Einschätzung nach datenschutzrechtliche Probleme im Zusammenhang mit einer möglichen ORCID-Implementierung an Ihrer Institution? (n=149)



ORCID DE: UMFRAGE

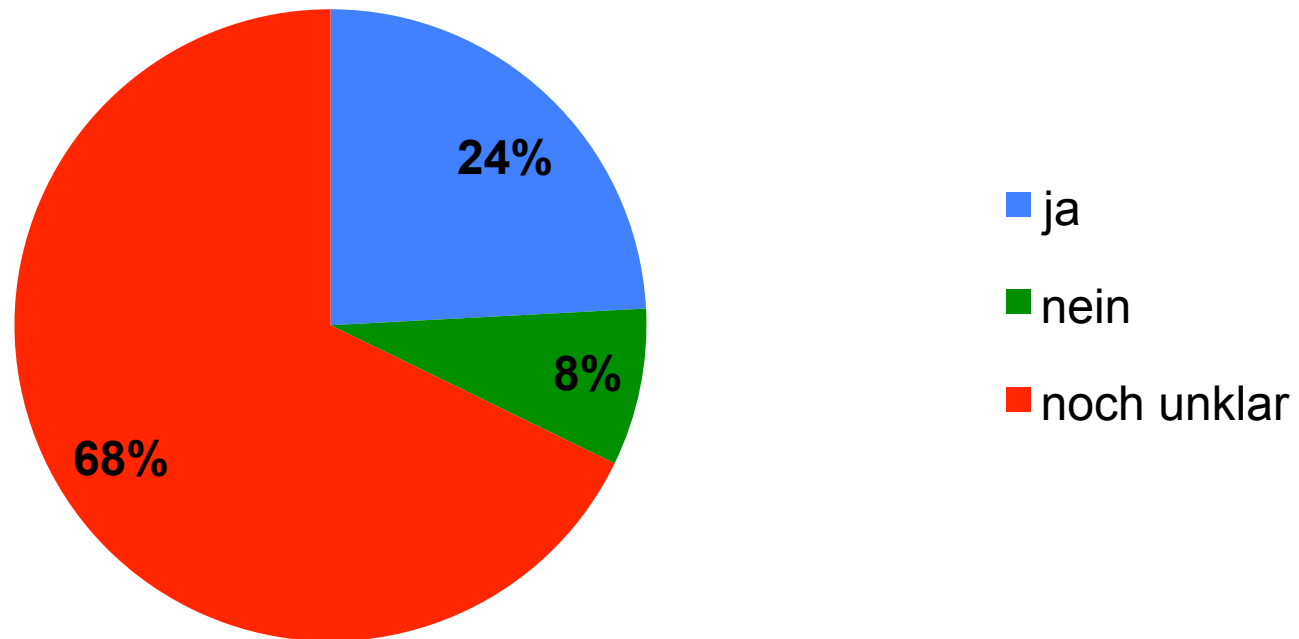
Bestehen Ihrer Einschätzung nach Probleme bei der Implementierung von ORCID an Ihrer Institution aufgrund institutioneller Regeln (n=149)



ORCID DE: UMFRAGE

Das DFG-Projekt ORCID DE beinhaltet ein datenschutzrechtliches Gutachten zu ORCID.

**Hat dieses Gutachten Einfluss auf die Entscheidung einer ORCID-Implementierung?
(n=149)**



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PHILOSOPHIE | WAS IST UNSERE SICHTWEISE?

Die rechtlichen Rahmenbedingungen der digitalen Welt lassen sich nicht isoliert von technischen, gesellschaftlichen, politischen, organisatorischen und wirtschaftlichen Veränderungen begreifen. Die reine Anwendung juristischen Sachverständnisses gewährleistet noch keine Integration dieses Wissens in die jeweilige Praxis. Grundlage der Anwaltskanzlei iRights.Law ist deshalb, die verschiedenen Aspekte der Digitalisierung in ihrer Verwobenheit anzuerkennen. Dabei bauen wir unter anderem auf die jahrelange Erfahrung von i.e.- dem Büro für informationsrechtliche Expertise, welches in iRights.Law aufgeht.

iRights.Law kooperiert mit dem gemeinnützig getragenen Informationsportal iRights.info sowie der Partnerschaftsgesellschaft iRights.Lab, wo in einem umfassenden Sinn Strategien für die digitale Welt entwickelt werden. Diese Kooperationen von iRights.info, iRights.Lab und iRights.Law bewirkt, dass zum einen die über den rechtlichen Bereich hinausgehende Expertise gestärkt wird, zum anderen, dass mit iRights.Law auch konkrete Rechtsberatung angeboten werden kann. Dabei bemüht sich iRights.Law darum, Gerichtsprozesse möglichst zu vermeiden, sei es durch Beratung im Vorfeld, sei es durch vertragliche Lösungen von Konflikten. Sollte es jedoch erforderlich sein, werden wir Sie auch vor Gericht vertreten.

Als spezialisierte Anwaltskanzlei beraten und vertreten wir Sie überall dort, wo es um Rechtsfragen digitaler Medien geht. Sollte dies auch mit anderen Bereichen zusammenhängen, kooperieren wir je nach Bedarf mit anderen Kanzleien und weiteren Spezialisten.

VERNETZUNG

- Workshops:
 - <http://www.orcid-de.org/workshops/>
- Umsetzung von ORICD DE im engen Dialog mit den Kooperationspartnern und weiteren interessierten Akteuren
- Öffentliche Mailingliste (in Kürze)
- Ideen und Anregungen sind willkommen:
 - info@orcid-de.org

WEITERE INFORMATIONEN



Connecting Research
and Researchers

Knowledge Base

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- Getting started with your ORCID Record
- Overview of your ORCID record
- Different ways to sign into ORCID
- Add personal information to your ORCID record

14 articles →

Frequently Asked Questions →

- Where does information in the ORCID Registry come from?
- How are new features decided?
- How do you keep the ORCID Registry secure?
- Names in the ORCID Registry
- Why should I verify my email address?

25 articles →

ORIENTATION →

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- Structure of the ORCID Identifier
- Register a member API client application
- Register a public API client application

Frequently Asked Questions about ORCID →

- What does "ORCID" stand for?
- What is ORCID, Inc.?
- What is the organizational structure of ORCID, Inc.?
- What are the ORCID Principles?
- Is there a fee to register for an ORCID ID? Do I need to be a member to register?

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Open Science

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The screenshot shows the homepage of ORCID DE. At the top, the text 'ORCID DE' is centered. Below it is a navigation menu with the following items: HOME (highlighted with an orange underline), BLOG, WORKSHOPS (with a dropdown arrow), SUPPORT, PROJEKT, KONSORTIUM, and KONTAKT. The main content area features the text 'ORCID DE' in a large font, followed by the subtitle 'Förderung der Open Researcher and Contributor ID in Deutschland'. Below this, there are two columns of text. The left column explains that ORCID is a unique identifier for researchers and their publications. The right column describes the support provided by ORCID DE to universities and research institutions, including organizational, technical, and legal assistance, and mentions the creation of a central contact point for these institutions.

Die Open Researcher and Contributor ID, kurz **ORCID**, ist eine Kennung für Forscherinnen und Forscher, die ihnen u. a. die Pflege ihrer Publikationsliste erleichtert. Jede Person, die im wissenschaftlichen Arbeitsprozess einen Beitrag leistet, kann sich über ihre ORCID mit ihren Publikationen,

ORCID an Hochschulen und außeruniversitären Forschungseinrichtungen durch einen übergreifenden Ansatz nachhaltig zu unterstützen. Dabei stehen organisatorische, technische und rechtliche Fragen gleichermaßen im Fokus. Neben der Schaffung einer zentralen Anlaufstelle für Hochschulen und

<http://www.orcid-de.org>

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- Bertelmann, R., Niggemann, E., Pieper, D., Elger, K., Fenner, M., Hartmann, S., Höhnow, T., Jahn, N., Müller, U., Pampel, H., Schirrwagen, J., Summann, F. (2015): ORCID DE – Förderung der Open Researcher and Contributor ID in Deutschland.
 - DOI: <http://doi.org/10.2312/lis.16.01>
- Pampel, H., & Fenner, M. (2016): ORCID – Offener Standard zur Vernetzung von Forschenden. Nachrichten aus der Chemie, 64, 1, 57-58.
 - DOI: <http://doi.org/10.1002/nadc.20164042239>

Vielen Dank für Ihre Aufmerksamkeit!

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