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1 **Title:** Designing a research infrastructure (RI) on food behaviour and health: balancing user
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3

4 **Authors:** Timotijevic, L.¹; Astley, S.²; Bogaardt, M.J.³; Bucher, T.⁴ Carr, I.⁵; Copani, G.⁶; de
5 la Cueva, J.⁷; Eftimov, T.⁸; Finglas, P.⁹; Hieke, S.¹⁰; Hodgkins, C.E.¹; Korušić-Seljak, B.⁸;
6 Klepacz, N.¹; Pasch, K.¹¹; Maringer, M.¹² Mikkelsen, B.E.¹³; Normann, A.¹⁴; Ofei, K.T.¹⁵;
7 Poppe, K.³; Pourabdollahian, G.⁶; Raats, M.M.¹; Roe, M.²; Sadler, C.¹⁰; Selnes, T.³; van der
8 Veen, H.³, van't Veer, P.¹² and Zimmermann, K.³
9
10

11 ¹ School of Psychology, University of Surrey, Guildford, GU1 7XH, Surrey, UK

12 ² EuroFIR AISBL, Rue Washington 40, 1050 Brussels, Belgium.

13 ³ Wageningen Economic Research, Prinses Beatrixlaan 582 - 528 2595 BM, The Hague, The
14 Netherlands

15 ⁴ School of Environmental and Life Sciences, Faculty of Science, The University of
16 Newcastle, 10 Chittaway Road, Ourimbah, NSW, 2258, Australia.

17 ⁵ School of Law, University of Surrey, Guildford, GU1 7XH, Surrey, UK

18 ⁶ National Research Council of Italy, Institute of Intelligent Industrial Technologies and
19 Systems for Advanced Manufacturing (CNR-STIIMA), Via A. Corti, 1 20133 Milano, Italy

20 ⁷Javier de la Cueva & Asociados, Calle Rodríguez Marín 90, 28016, Madrid, Spain

21 ⁸Computer Systems Department, Jožef Stefan Institute, Jamova c. 39, SI-1000 Ljubljana,
22 Slovenia

23 ⁹Quadram Institute Bioscience, Norwich, Norfolk, NR4 7UQ, UK.

24 ¹⁰ European Food Information Council (EUFIC), Rue des Deux Églises 14 (3rd floor), 1000
25 Brussels, Belgium.

26 ¹¹German Institute of Food Technologies (DIL e.V.), Prof.-von-Klitzing-Str. 7, D-49610
27 Quakenbrück, Germany

28 ¹²Division of Human Nutrition and Health, Wageningen University & Research, Stippenweg
29 4, Building 124, 6708 WE Wageningen, The Netherlands

30 ¹³University of Copenhagen, Department of Geosciences and Natural Resource Management,
31 Rolighedsvej 23, DK 1958 Frederiksberg

32 ¹⁴RISE - Research Institutes of Sweden, Frans Perssons väg 6, 412 76 Gothenburg, Sweden,

33 ¹⁵Copenhagen Business College, Bispetorvet 1-3, DK -1167 Copenhagen K

34 kwof@niels.brock.dk
35
36

37 **Corresponding author:** Dr Lada Timotijevic, School of Psychology, Guildford, GU1 2NY,
38 Surrey, UK; l.timotijevic@surrey.ac.uk; +44 1483 686946

39 **ORCID:** <https://orcid.org/0000-0002-3154-0048>

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Short title: A research infrastructure on food behaviour & health

Abstract

Background: A better understanding of food-related behaviour and its determinants can be achieved through harmonisation and linking of the various data-sources and knowledge platforms.

Scope: We describe the key decision-making in the development of a prototype of the Determinants and Intake Platform (DI Platform), a data platform that aims to harmonise and link data on consumer food behaviour. It will be part of the Food Nutrition Health Research Infrastructure (FNH-RI) that will facilitate health, social and food sciences.

Approach: The decision-making was based on the evidence of user needs and data characteristics that guided the specification of the key building blocks of the DI Platform. Eight studies were carried out, including consumer online survey; interview studies of key DI Platform stakeholders; desk research and workshops.

Key Findings: Consumers were most willing to share data with universities, then industry and government. Trust, risk perception and altruism predicted willingness to share. For most other stakeholders non-proprietary data was most likely to be shared. Lack of data standards, and incentives for sharing were the main barriers for sharing data among the key stakeholders. The value of various data types would hugely increase if linked with other sources. Finding the right balance between optimizing data sharing and minimizing ethical and legal risks was considered a key challenge.

Conclusions: The development of DI Platform is based on careful balancing of the user, technical, business, legal and ethical requirements, following the FAIR principles and the need for financial sustainability, technical flexibility, transparency and multi-layered organisational governance.

58 **Background**

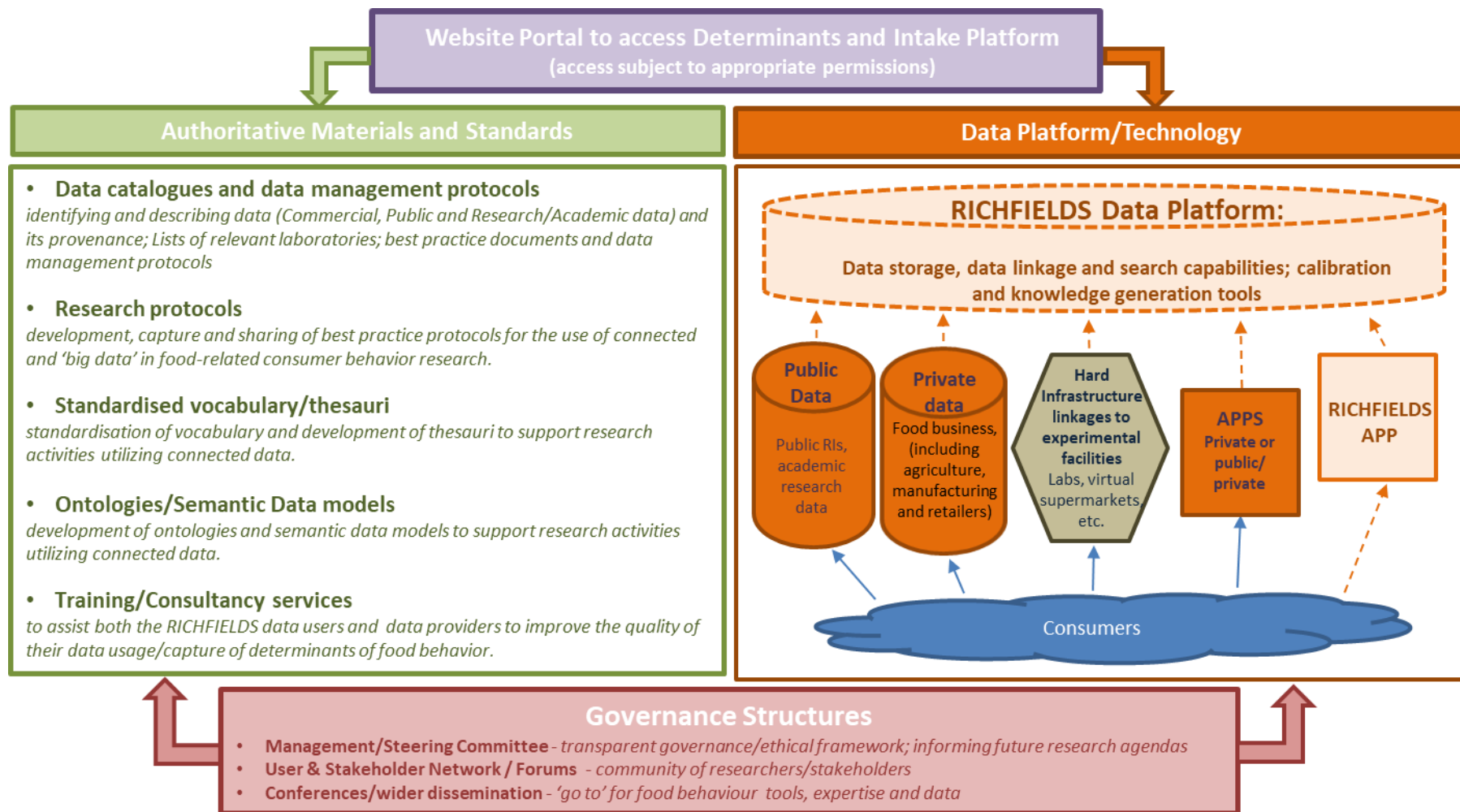
59 Currently, there are no international research infrastructures (RIs) to connect diverse data and
60 science-related services in the field of food and health (nutrition) that would aid science in
61 this domain (Brown et al, 2017; Snoek et al, 2018; Tufford et al, 2020). This commentary
62 reports on the approach to decision making undertaken by a consortium of international
63 scientists to develop the first international RI for food and health, which specifically focuses
64 on dietary determinants and intake. Development of the “Determinants and Intake Platform”
65 (DI Platform) aims to contribute to the larger Food Nutrition Health Research Infrastructure
66 (FNH-RI¹) by providing the prototype design of the consumer food data platform.

67 An international RI would unlock the potential of large-scale repositories of scientifically
68 validated data in order to model and anticipate the complex relationships between food
69 consumption, food production, demographic expansion, natural resource scarcity, climate
70 change, and diet-related health outcomes such as obesity, cancer, cardiovascular diseases and
71 malnutrition (JPI, 2015; MRC, 2017; EC, 2016, Willet et al, 2019; Tufford et al, 2020).
72 Shifting global population towards healthy diet will not only reduce current health risks of
73 major chronic diseases and mortality, but substantially reduce environmental degradation
74 (Clark et al, 2019). The EAT-Lancet Commission (Willet et al, 2019) set out global scientific
75 targets for healthy diet based on the best evidence available that meets nutritional standards
76 while remaining within planetary boundaries. Western diets are falling substantially short of
77 these targets (Bozeman et al, 2020), and considerable shifts in people’s food-related
78 behaviour is needed to achieve the recommended dietary patterns (Willets et al, 2019).

79 New multi-disciplinary approaches to research and innovation are required to link global
80 science and establish evidence bases that can contribute to delivering long term impacts and
81 realising these targets. According to the high-level conference for Food 2030 (EC, 2016),
82 addressing consumer behaviour in terms of food purchase, preparation, consumption and
83 handling of food and related non-food waste streams must be a priority in tackling these
84 global challenges. In particular, the need to improve linkage of key research infrastructure
85 and data/knowledge platforms such as national surveys and cohorts, omics including
86 metagenomics, and deep phenotyping facilities and brain banks is highlighted: it is argued
87 that not only would these linkages better support innovative food and nutrition research, but
88 will also lead to the establishment of internationally leading trans-disciplinary centres of
89 excellence in integrative nutrition, thus strengthening both cooperation and training in key
90 challenge areas.(MRC, 2017).

91 This commentary aims to provide insight into the complex decision making on the design of
92 DI Platform carried out within the RICHFIELDS projectⁱⁱ (funded by the EU under the
93 “Research Infrastructures” funding stream). It was based on the evidence of user needs and
94 data characteristics assessed in the project that guided the specification of the key building
95 blocks of the DI Platform. It aims to highlight the rationale used for balancing of
96 requirements for designing and implementing such an RI. The final design of the DI Platform
97 is represented in the Figure 1 – the Minimum Viable Offer specifies the services offered.
98 Currently, the discussions are ongoing within the scientific research community to eventually
99 arrive at a future implementation of an effective and sustainable Food Nutrition and Health
100 Research Infrastructure (FNH-RI), which will integrate health, food and social sciences as
101 part of the European Roadmap of research infrastructures. DI Platform would form a part of
102 the FNH-RI via its DATA services that aim to facilitate sharing of the data and resources on
103 consumer food behaviours and their determinants. The data on environmental impact will not
104 form a part of DI Platform, but will be linked through the FNH-RI with other relevant data
105 platforms such as SUSFANS¹.

¹ www.susfans.eu/ Accessed 16/06/2021



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Figure 1 – in colour--

Figure 1: Minimum Viable Offer: Determinants and Intake Platform

1. Approaches

109 The methodological approach for designing the DI Platform is described in Figure 2. As
110 reusability and sharing of data between scientific users and societal stakeholders is core to the
111 DI Platform, a series of studies were designed to (1) generate evidence about user needs; and
112 (2) to evaluate the available data that could be harnessed to address the user needs.

113 The evaluation of user needs for data-services was based on two distinct functions that any
114 user may have vis-à-vis the future RI: as data donators and as data users. The evaluation
115 focused on four groups, i.e. (i) consumers, (ii) businesses, (iii) food and health science
116 research facilities and laboratories, and (iv) existing RIs with a so-called ERIC status².

117 The evaluation of data was based on our understanding of the processes by which data are
118 generated: through consumer behaviour (e.g. through apps), through business processes (e.g.
119 generating sales data by retailers), and through scientific processes (e.g. by opening up
120 research labs, facilities and the established international RIs and data-platforms such as
121 BBMRI³, ELIXER⁴, EuroFIR⁵, ENPADASI⁶).

122 In order to evaluate the evidence thus generated, we developed a set of criteria to be used in a
123 harmonised and consistent way to inform the design of the DI Platform. These criteria we
124 called the “Guiding Design Principles”, further detailed below.

² We have decided to focus, in the initial instance, on ERIC RIs. This was a pragmatic decision because ERIC provides a legal structure widely recognised within the EU and therefore linking up of national RIs is better supported through the ERIC framework. The advantages of an ERIC structure include:

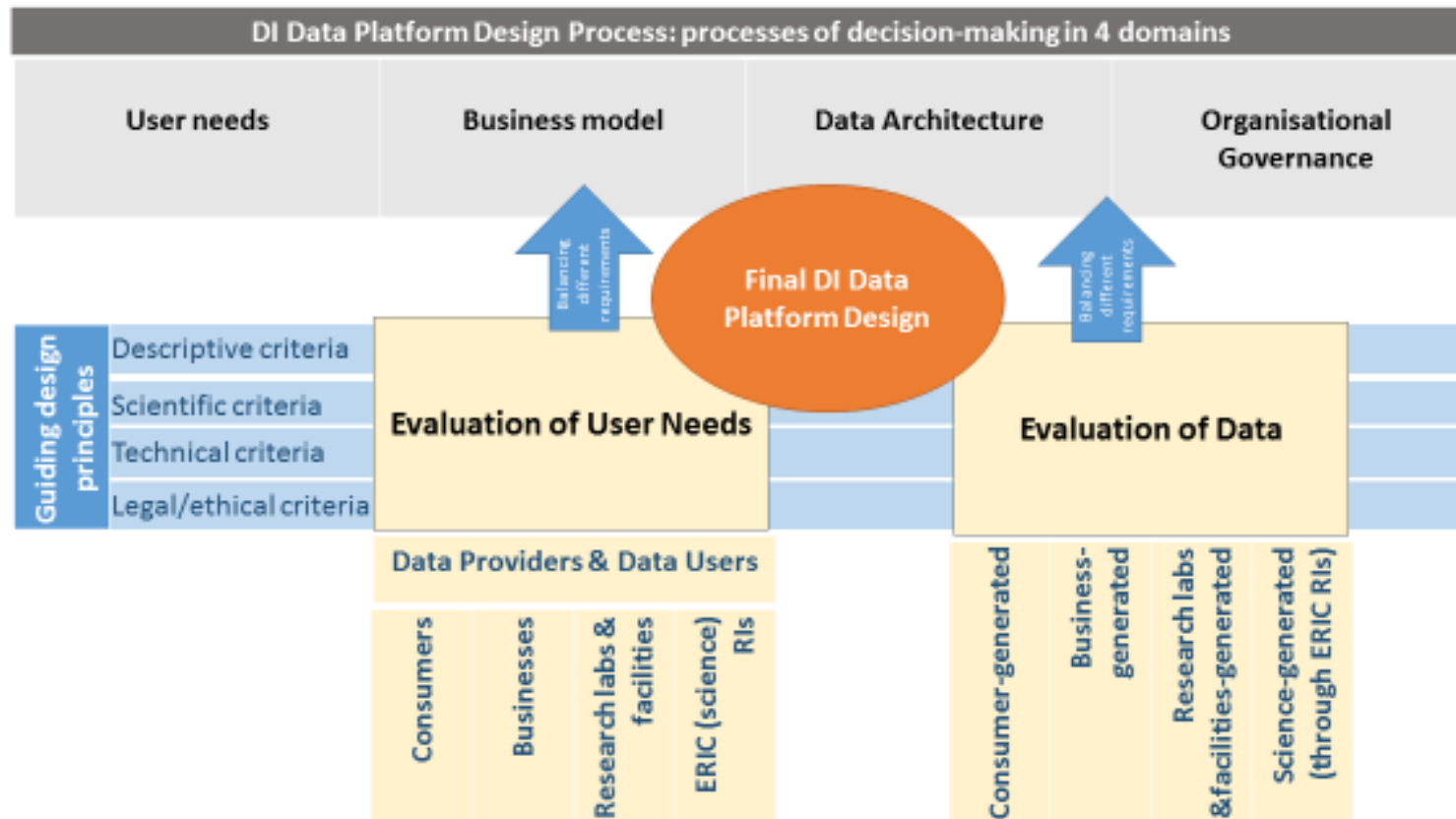
- a legal capacity recognised in all EU countries;
- flexibility to adapt to specific requirements of each infrastructure;
- a faster process than creating an international organization;
- exemptions from VAT and excise duty.

³ <https://www.bbmri-eric.eu/> Accessed 16/06/2021

⁴ <https://elixir-europe.org/about-us> Accessed 16/06/2021

⁵ <https://www.eurofir.org/> Accessed 16/06/2021

⁶ <https://www.healthydietforhealthylife.eu/index.php/call-activities/calls/98-calls-site-restyling/514-enpadasi-2014-site-restyling> Accessed 16/06/2021



125

126 *Figure 2. Overall methodological approach to the design of DI Platform. An inventory and evaluation of user needs and data requirements was*
 127 *made among four stakeholder groups. Four guiding design principles were used to integrate these into the key building blocks of the design of*
 128 *the RI.*

129 2.1 Evaluation of User Needs

130 The research on user needs was based on a series of studies to address a) user willingness to
131 share data; b) the conditions under which users would be willing to share; c) the user
132 requirements vis-à-vis the DI Platform.

133 **Study 1: A large-scale survey with European consumers** (8 European countries: France,
134 Italy, the Netherlands, Slovenia, Spain, Sweden, the UK, and Germany, N=8450) was a
135 quantitative study exploring what type of food-related data (such as food purchase, recipes
136 search, food consumption data) the consumers were willing to donate; to what group of
137 stakeholders (e.g. scientists, government and industry) and for what purpose (e.g. for the
138 purpose of publicly-funded research, for the purpose of developing and monitoring policies
139 or to develop innovative food products). Participants were asked what types of food-related
140 activities they performed on their computers and smartphones. It furthermore assessed the
141 psycho-social factors influencing these data-sharing intentions including attitudes to science,
142 subjective health status, health interest, values, trust, risk perception, reasons for sharing
143 food-related data, privacy concerns, use of health apps, and demographic data as predictors of
144 willingness to share data. The questions format was Likert-type 5-point scale. The
145 participants filled an online questionnaire which took 35 minutes to complete. The
146 questionnaire was developed in English and then translated, checked by native speakers and
147 put into Qualtrics™. Data collection for each country was run separately.

148 **Study 2: Semi-structured face-to-face interviews were conducted with representatives of**
149 **four businesses, three labs/research facilities and four ERIC RIs** (in total, N=11
150 interviews) to capture potential data donators' willingness to share data and their needs as
151 potential users of DI Platform. The interview guide covered the topics of the purpose of
152 generating the data; data structure; relevance of data content; challenges of using the data;
153 data needs and how they can be addressed; challenges of sharing data with the research
154 community; privacy policy and ethical issues. The results from the interviews were then
155 synthesized and validated in a group interview with representatives from RIs, commercial,
156 and research organisations (N=21).

157 **Study 3: User needs elicitation through a series of three workshops with European**
158 **stakeholders** were carried out at different time points in the DI Platform design process. The
159 stakeholders included researchers and scientists, business representatives (e.g. app/software
160 developers, food retailers, food manufacturers); policy makers, and consumer organisations.
161 The objective of the Workshop 1 (N=21) was to capture user needs in relation to the

162 consumer-generated data, by eliciting users' assessments of validity and reproducibility of the
163 data generated through consumer online behaviour (e.g. via the apps enabling food purchase,
164 preparation and consumption). The objective of the Workshop 2 (N=34) was to elicit users'
165 perceptions of the challenges associated with the DI Platform and the related solutions, with a
166 specific focus upon: data architecture and interoperability; business model; and governance
167 and ethics. The objectives of the Workshop 3 (N=29) was to elicit user requirements by
168 eliciting the potential users' reactions to the first proposed design of the DI Platform (Figure
169 1).

170 **Study 4: A survey of publicly funded scientists and professionals working in the domain**
171 **of food and nutrition (a purposive sample of N=95)** was carried out in order to identify the
172 most important user requirements (e.g. domain specific data, tools and services) of the DI
173 Platform. The online survey was sent to the corresponding authors that published in the field
174 of food nutrition and health in the last 3 years (searchable by Pubmed or Scopus). The
175 questions included the current use of search engines, use of publicly available data bases,
176 incentives and barriers to data sharing, and user requirements of the platform. The question
177 format were open questions, 7-point Likert scales and CATA questions.

178 [2.2 Evaluation of Data](#)

179 **Study 5: Evaluation of consumer-generated data was carried out through desk research**
180 **of the existing applications (apps) in the domain of lifestyle**, with a specific focus on food
181 purchase, preparation and consumption (Maringer et al, 2018). It examined scientific,
182 technical and legal/ethical issues associated with the types of data being generated by
183 consumers and the potential value of consumer-generated food behaviour data within the
184 proposed RI.

185 **Study 6: Evaluation of business-generated data was carried out in four case studies of**
186 **two retail organisations and two public procurement organisations based on desk**
187 **research and four face-to-face, semi-structured interviews (one from each case).** The
188 studies explored three important topic areas; (1) best practices of collecting data, (2) ICT
189 technology used for data collection (3) stakeholder perspectives for sharing of data in data
190 pools (Ofei et al, 2017; Hondo et al, 2017).

191 **Study 7: Evaluation of science (facilities and labs)-generated data. Following the**
192 **mapping of the laboratories and other research facilities (39 in total) across Europe**
193 **used for studying consumer behaviour with smart sensing technology under controlled**

194 **conditions, two facilities were selected as case studies.** In-depth, semi-structured interviews
195 were conducted with representatives from two organisations with significant research and
196 teaching facilities, with an aim to examine the type of consumer data being generated by
197 different experimental facilities and laboratories and for what purpose (Mikkelsen et al,
198 2017). Examples included import and export software applications as well as smartcards, near
199 field communication tools and data meshes. Each interview lasted 45-60 minutes.

200 **Study 8: Evaluation of science generated data in ERIC RIs relevant to food and health**
201 **domain. Four case studies were performed through desk studies** designed to evaluate
202 facilities, datasets, and tools linked to the relevant RIs to answer questions that are essential
203 for the development of a consumer data platform focussing on: food composition and food
204 attributes (EuroFIR⁴); standardized food intake from population based survey (GloboDiet,
205 Aglago et al, 2017); clinical intervention (Qualify⁷); consumer diet, health and lifestyle
206 (Precious⁸). The four RIs identified have well-established practices of generating huge
207 amount of validated data in the broad domain of food and health (though not necessarily
208 related to the data on determinates of food choice) and the evaluation of specialist labs that
209 use innovative technologies to interrogate human food-related behaviour in controlled
210 settings. Approaches to data access, data linking, governance and business models were
211 explored with a view to defining the potential connection of these existing RIs with the
212 proposed DI Platform.

213 2.3 Guiding design principles: the criteria for evaluation and decisions for the design

214 The guiding principles for DI Platform design drew upon the FAIR (findable, accessible,
215 interoperable and re-usable) principles (Wilkinson et al, 2016), and applied them to the DI
216 domain.

217 The Guiding Design Principles (evaluative criteria) were defined as follows:

- 218 1. **Descriptive criteria** related primarily to “findability” of data: can data be identified,
219 characterised, classified.
- 220 2. **Scientific criteria** relate to the methodological validity and re-usability of the data:
221 can the “big” data generate meaningful and valid information on food-related
222 purchase, preparation and consumption.

⁷ <http://qualify-fp7.eu/> Accessed 16/06/2021

⁸ <https://www.eurofir.org/our-resources/past-projects/precious/> Accessed 16/06/2021

- 223 3. **Technical criteria** relate to the issues of data organisation, standardisation, inter-
 224 operability.
 225 4. **Legal/ethical criteria** are concerned with the issue of data access and usability, based
 226 on legal and ethical compliance.

227

228 2. Findings

229 The section below reports on the findings. We provide information about the confidence
 230 intervals and means/standard deviations for quantitative studies (Study 1 and 4). The analysis
 231 of qualitative data – including interviews and workshops - was conducted following
 232 established standards for qualitative research (Reynolds et al, 2011) that emphasise
 233 transparency, reflexivity, comprehensiveness and responsibility, among other things. Several
 234 researchers analysed qualitative data: their notes were compared and the written summaries
 235 of the findings were cross-checked by all researchers involved in the interview process. A
 236 wider set of researchers from the overall project reviewed the analyses and provided
 237 comments and feedback on clarity, logic and structure.

238

239 3.1 Evaluation of user needs

240 **Study 1:** The cross-country survey indicated that consumers were more willing to share data
 241 with **universities** than with governments and companies ($F(1, 7969) = 1194.950, p < .001,$
 242 $\eta^2 = .130$). Three important variables predicted the willingness to share data: trust (medium to
 243 large positive effect), moral motives such as altruism (small to medium positive effect) and
 244 perceived risk of sharing data (small negative effect)(Table 1)

245 Table 1: Model predicting data sharing with University, Government and Companies

		Universities	Governments	Companies
β of the final model	Trust	.499	.433	.405
	Perceived risk in sharing data	-.118	-.100	-.030
	Moral motives	.210	.255	.279
Final model vs null	$\chi^2(3)$	3391.4, $p < .001$	3844.9, $p < .001$	3184.0, $p < .001$
Explained variance: final model (full model)	R^2	.42	.46	.41

246 **Study 2** The results from the **four business case studies** suggested that it was important to
247 gain access to new types of data that address the needs of businesses. Given the limitations
248 and measurement problems of businesses' own data, the core value for businesses was in
249 making integrated or linked data available through the use of the DI Platform services, in
250 particular, access to the results and interpretations carried out by others. Some businesses
251 were reluctant to share the data that contained sensitive information linked to competitive
252 advantage. **Labs and facilities case studies** confirmed that there is a need for replicable data
253 and standardisation that would enable greater connection between different types of data,
254 with a particular emphasis upon the individual level data. Of particular value was the
255 possibility to link with a wider, multidisciplinary academic community. It was nevertheless
256 recognised that some barriers to this vision may exist, such as the current legal environment
257 as national institutions and ethical committees require data handling that is not aligned with
258 the idea of a sharing research infrastructure. The four **ERIC RIs case studies** indicated that
259 data sharing with a DI Platform has potential; in most cases it would be essential to describe
260 the governance of the data and any follow-up use (e.g. by an ERIC RI) as part of the research
261 ethics application for a project. Finding the right balance between optimizing data sharing
262 and minimizing ethical and legal risks was considered a key challenge for those data
263 providers.

264 **Study 3: Workshop 1** highlighted the need for clear characterisation of data (meta-data) in
265 order for it to be useful in studying the determinants of food choice and that it ensured that
266 data captured through apps and other online services was representative of populations of
267 interest. **Workshop 2** highlighted the need for transparent and collaborative design process in
268 order to gain legitimacy and attract future users/data donators. **Workshop 3** highlighted the
269 main value offered by the DI Platform to allow access to scientifically validated, up-to-date,
270 real-time, well-described data capturing diet, diet-related behaviour and health.

271 **Study 4:** The survey of **scientists and nutrition professionals** highlighted that information
272 on the quality of the data (M=6.42, SD .92), easy access to the data (M=6.25, SD=1.06), up-
273 to-date information on relevant data sets (M=6.08, SD=1.07) and compliance to standards
274 (M=6.05, SD=1.04) were the most important user requirements. Only a small number of
275 people already publicly share data (7%). The main barriers to sharing data are lack of
276 standards, lack of incentives/recognition for data sharing, lack of trust between data providers
277 and users, ownership issues and lack of time. The main incentives for sharing data include

278 access to other shared data sets (30% of respondents), networking/collaboration (29%) and
279 being referenced for sharing data (19%).

280 3.2 Evaluation of data

281 **Study 5:** The main limitation from a scientific perspective with respect to **consumer-**
282 **generated data** in the **purchasing** domain was that it did not identify whether the purchased
283 food was consumed or not, nor did it identify the individual that may actually consume the
284 food. As a result, linking purchasing data to public health outcomes at an individual level
285 would be of limited value. Similarly, whilst the food **preparation** data reflects consumers'
286 motivation to gain knowledge and to develop skills in food preparation, this data can not be a
287 proxy of consumption. In contrast to the consumer-generated food purchase and preparation
288 data the majority of food **consumption** apps analysed had the potential to provide insight into
289 habitual food consumption behaviours and how these change over time at an individual level.
290 Many apps do not provide a true picture of people's habitual or typical food consumption
291 behaviour because they are designed as behaviour change interventions (e.g. the user can set
292 a goal of achieving a particular weight). A vital source for better understanding the possible
293 drivers and barriers for people's food purchase, preparation and consumption behaviour was
294 likely to come from associations between these data and other relevant social, health and
295 lifestyle data(Maringer et al, (2018).

296 **Study 6:** The nature of the business-generated data is determined by business purpose for
297 which data is collected, which may limit the potential usefulness of the data for scientific
298 purposes. The DI Platform therefore would need to ensure data source diversity but balance
299 this with a clear understanding of the value of the difference types of data generated within
300 businesses. Furthermore, a number of retailers have already developed APIs (Application
301 Programming Interfaces) for sharing data and these are potentially quick wins for the
302 proposed RI in terms of data acquisition.

303 **Study 7:** The data collected in the past by research facilities and within labs is proprietary
304 and typically not formatted, standardised or stored in a manner conducive to sharing outside
305 the original purposes of the research study undertaken. In addition, the diversity of data
306 generating devices including video and audio results in a wide variety of data types and thus
307 increases the difficulty of post-hoc data integration.

308 **Study 8:** The case studies demonstrated that structures are in place to facilitate linkages
309 between some of the existing ERIC RIs in the food and health domain and the proposed DI-

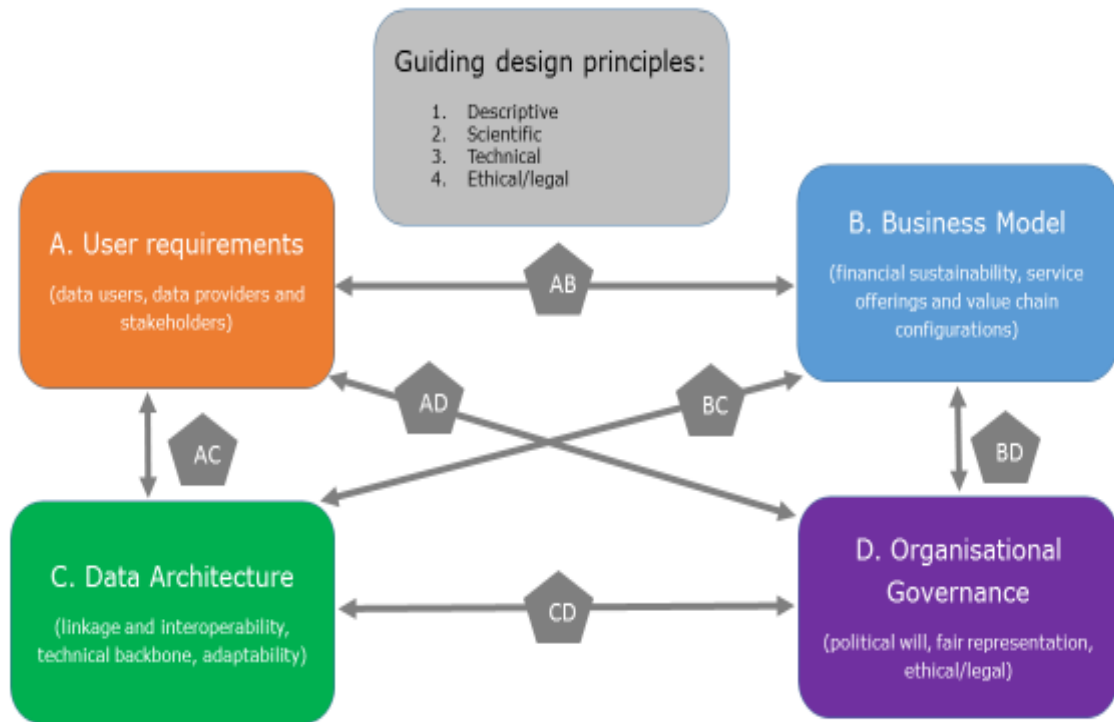
310 Platform and therefore data from these sources is possibly the most accessible form of
311 research data. However, the development of a DI Platform ontology and the harmonization of
312 entities, food classification and description systems would be fundamental to facilitate future
313 data access/exchange between existing and new RIs. The development of authoritative
314 materials and standards must be a fundamental component of the DI Platform offering to
315 establish best practice and to help shape the research community moving forwards.

316 **3. Design of DI-RI**

317 Our design process was based on the evidence from the 8 studies, and addressed four design
318 components:

- 319 A. User requirements
- 320 B. Business model - service offerings and value proposition
- 321 C. Data architecture - technical design
- 322 D. Organisational governance - political will, fair representation, legal/ethical
323 considerations

324 The design process was iterative and reflexive, based on the Guiding Design Principles
325 (Section 2.3) balancing often conflicting requirements of these core design components
326 (Figure 3).



327
328 *Figure 3. The design process: balancing the components of the design*

329

330 It involved detailed examination of the evidence collated throughout the RICHFIELDS
331 project and the generation of design options for each design element of the DI Platform
332 [please see Table 2 for detailed summary of the linkages between evidence generated from
333 different studies and the main considerations for the DI-Platform design]. This process was
334 carried out by researchers who had not been directly involved in the evidence generation
335 phases so as to avoid bias. The experts involved included those specialising in business
336 model innovation; in governance/ organisational studies; legal (IP, data protection, data
337 governance), ethics and technical design.

338 Following the Figure 3, the final design was based on the decisions made in the context of
339 four main considerations: A) Who are the users and what needs should the RI satisfy? B)
340 What business model should be adopted to ensure financial sustainability? C) How should the
341 data architecture and technical backbone be developed to ensure the adaptive, effective and
342 ethical RI? D) What organisational governance should ensure the RI's political sustainability?

343
344
345

346 **Table 2:** The relationship between the evidence generated in the studies 1-8 and the design considerations of user
 347 requirements, data architecture, business model and organizational governance.
 348

	User Requirements	Data Architecture	Business Model	Organisational Governance
Study 1: Consumer survey on willingness to share data (cross-country)	Trust: respect consumer greater willingness to share with universities rather than government and businesses	Data security; protection of privacy – e.g. by design. Within meta-data specifically reference data owners and their entitlements.	To enhance trust in science and altruism - data-sharing for public good, adhering to legal and ethical standards. Consent by design.	Transparent, ethical and open risk governance. Ethics board to oversee data sharing. IP to model the concept of “the digital commons”.
Study 2: Case studies (businesses; labs and facilities; ERIC RIs)	Access to new ways of interpreting data. Reluctance to share data – business sensitivity. Access to cutting-edge scientific methods and tools.	The system capable of connecting the existing data resources and knowledge bases.	Offer: Access to data summaries – aggregate data. Access to raw data depends on willingness to share Access to non-proprietary data.	Careful consideration of Conflict of Interests.
Study 3: Workshops	For all users, enhancement of scientific validity and harmonized approaches to data collection	Distributed system. Data is locally stored (does not leave the data holder) and the system enables connections between disparate data-sets. The system will support data standardization and interoperability.	Attract future users/donators. Free access to accredited researchers from the associated nodes.	Public-private funding with granulated data access. Governance model weighs up balance of different interests: political will, financial sustainability and requirement for scientific excellence.

	User Requirements	Data Architecture	Business Model	Organisational Governance
				Balance reputational risks (e.g. in terms of association with businesses), legal requirements for data protection and financial sustainability.
Study 4: Nutritionists/dietitians Survey (Cross-country)	Data quality, easy access, compliance to standards, networking.	Data sharing and access central.	Lower burdens for scientists in the process of data sharing.	Efficiency and cost-benefit based services.
Study 5: Consumer-generated data (via APPs)	Link purchasing and consumption data to context (i.e. public health)	Link food purchase, preparation and consumption behaviour. ICT system capable of recognizing the donator of data and the legal conditions under which contribution is made.	Association between APPs-generated data and other sources social, health, lifestyle data – distributed system.	Focus on joint understanding of drivers & barriers
Study 6: Business-generated data (via business processes)	Ensure data usefulness to science	Data source diversity & comparable values. ICT system capable of recognizing the donator of data and the legal conditions under which contribution is made. Intellectual Property license must be applicable to the data being extracted	Link the purpose for collecting business data to the needs of science	Use quick wins for data acquisitions.
Study 7: Labs and	Enable data sharing over	Make data generating	Post-hoc data	Joint services (between DI-

	User Requirements	Data Architecture	Business Model	Organisational Governance
facilities data	time - harmonisation	devices comparable.	integration	Platform and other labs/facilities) for integrated usage and data generation.
Study 8: ERIC-RIs data	Facilitate linkages between DI-Platform and ERIC RIs to harmonise data collection.	Ontology and harmonization of entities, food classification and description systems. Meta-data	Future access & exchange between existing and new RIs as part of the business model.	Collaboration (between DI-Platform and ERIC-RIs) to share and to co-design authoritative materials and standards as part of knowledge-sharing.

349

350

351 4.1 User requirements

352 *The DI Platform will first serve the needs of the publically funded users, which will over time*
353 *be extended to other stakeholders such as industry. The majority of the primary users work in*
354 *universities and public research institutes, including academic hospitals. Secondary users*
355 *will be researchers working in private research institutes (sometimes partly financed by*
356 *public money) including research facilities and laboratories as well as with research labs in*
357 *the food and health industry.*

358 Based on the results of Studies 1-8, we identified the following user requirements that would
359 inform the design of our platform: data sharing for public good; access to cutting-edge
360 scientific methods and tools; link purchasing, preparation and consumption data with context;
361 harmonise data collection (over time and contexts) to enable linkages between data bases
362 (e.g. ERIC-RIs); facilitate collaboration on the development of standards and knowledge
363 services; enhance usefulness of business- and apps-generated data to science; network
364 building and best practices sharing. Who should be the primary user of the RI and their
365 related requirements required balancing of the ethical, financial and socio-political concerns.
366 Access rights would depend on the kind of data donated or the degree of sharing ((e.g. if only
367 pre-competitive data shared – access is then limited).

368 Defining the users and their needs is inextricably linked with the decisions about the financial
369 and business model of the RI (Fig 3 AB link): what kind of access would be granted (e.g.

370 access to raw or aggregate data) and under what conditions (in exchange of data, for premium
371 price or for some evidence of public good/ethical action).

372 4.2 Business Model

373 *Six main categories of services are designed to be offered to the RI users namely data related*
374 *services, knowledge related services, consultancy services, training, community building and*
375 *networking and other services such as quality labels, personalised advice. The RI could be a*
376 *funded through public and private entities.*

377 The business model focused on three main elements: value proposition (service offerings),
378 value chain configuration (key resources, key activities and key partners) and financial
379 structure (revenue stream and cost structure). From the **value proposition** perspective, the
380 service offerings should be compliant with the legal/ethical requirements and enabled through
381 most up to date technological solutions. The design needs to address the current technical
382 possibilities for managing user access, for instance, through “consent by design” or the data
383 architecture that can ensure different level services/data are rooted to diverse users (Fig. 3.
384 BC link). Similarly, the decision about the **value chain** would depend on technical issues,
385 such as data architecture (Fig 3, BC link) and governance structure (Fig. 3, BD link). The
386 technical solution will enable finely granulated and leveraged system which would be
387 compliant with the current legal frameworks (e.g. GDPR, 2018). From a **financial angle**, the
388 defined finance model is directly affected by the decisions about the main technical (Fig. 3,
389 BC link), governance structure (Fig. 3, BD link) such as the public-private nature of the RI,
390 and the main users/stakeholders who will be served as the customers of the DI Platform (Fig.
391 3, Link AB). The risks vary depending on the type of entities supplying data, the end users
392 (e.g. research community within the public sector, research community within the private
393 sector) and the financial sustainability of feasibility of the RI and the stakeholders (private
394 and public).

395 4.3 Data Architecture

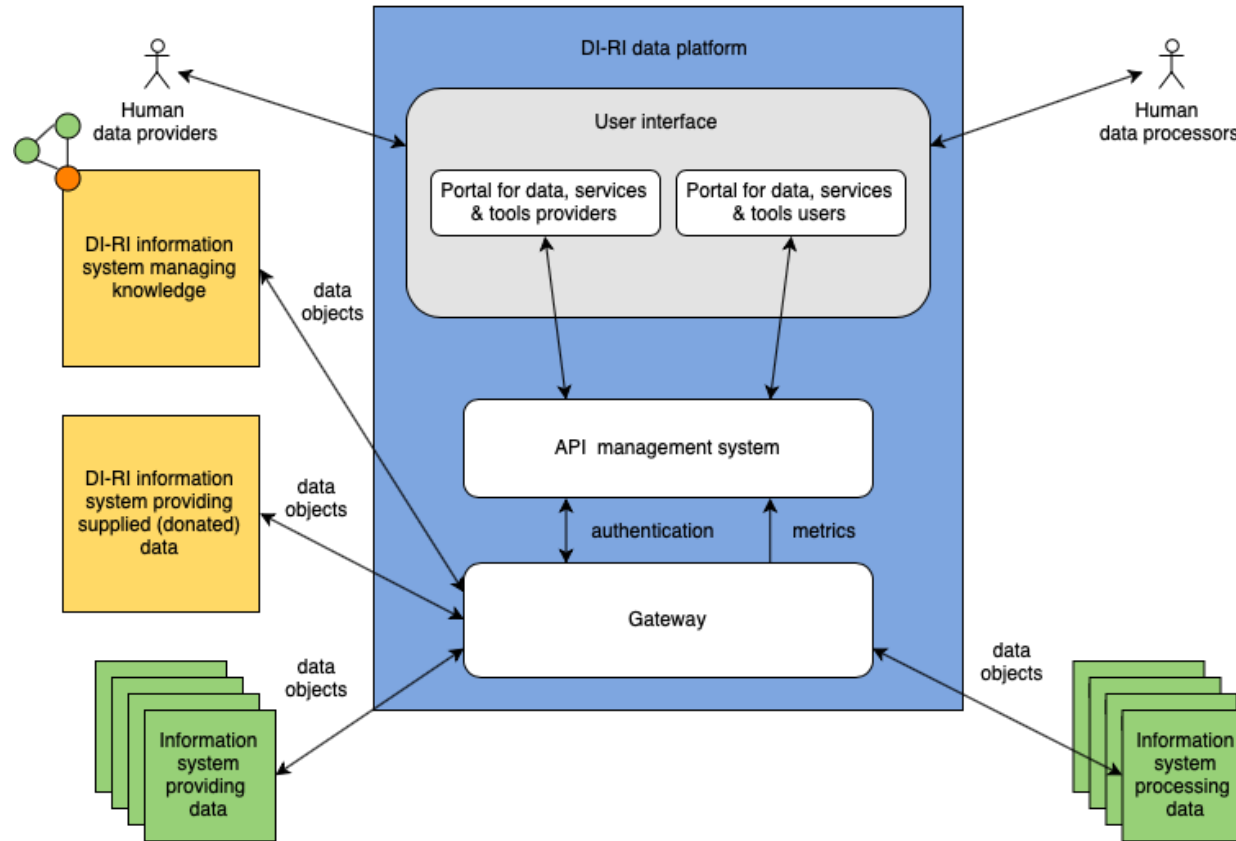
396 *The data architecture was designed as a distributed system connecting the existing data*
397 *resources and knowledge bases. Each new dataset connected with the DI Platform will be*
398 *processed to extract new knowledge, which will be locally stored (in a form of an ontology*
399 *linked with other existing ontologies such as ONS - Ontology for Nutritional Sciences,*
400 *Pathway, Gene Ontology, Disease Ontology) to be further used for data harmonisation. It*

401 *means that the data processors will be able to access heterogeneous data in a harmonised*
402 *way.*

403 The data architecture of the DI Platform (Figure 4) was designed using a modular concept,
404 which will enable enough flexibility to adapt to any additional needs of the larger FNH-RI to
405 which it will be connected via DATA services, as well as to any new technological advances.
406 As food and nutrition data is heterogeneous, the data architecture supports not only the
407 management of distributed data but also the management of semantics needed to support data
408 standardisation and interoperability.

LEGEND

- Hired or developed by DI-RI
- Other systems
- DI-RI data platform



409

410 *Figure 4. Technical Design of DI Platform*

411 The data architecture of DI Platform is based on a distributed system, which connects data-
412 providing systems with data-processing systems through web services. For each connected
413 system providing data, the DI Platform automatically collects metadata needed to create
414 knowledge (Eftimov et al, 2018) that is further used to support data standardisation and
415 interoperability. In this way, we are able to handle different types of data (such as structured,
416 semi-structured and unstructured dataⁱⁱⁱ), being described and classified using different
417 systems. In the project RICHFIELDS, for example, we developed advanced methods for food
418 image recognition, which enables structuring of food information from photos (Mezgec and
419 Koroušić Seljak, 2017) and linking it with already structured data such as EU-Menu
420 Programme consumption data (EFSA⁹) and food composition data EUROFIR AISBL⁴
421 (Mezgec et al, 2018). In recent years, several organisations and infrastructures, such as
422 EUROSTAT¹⁰, EUDAT¹¹ etc., have developed their own systems for data description and
423 classification, which all require to be made interoperable, and this is one of the most relevant
424 objectives of the European Open Science Cloud (EOSC) being tackled by the support of the
425 Research Data Alliance (RDA)¹² and related projects like FNS-Cloud¹³ (also connected with
426 Zenodo¹⁴ and other relevant food and nutrition platforms and infrastructures), Blue-Cloud¹⁵,
427 COMFOCUS¹⁶, DAFNE¹⁷ etc. However, each of these projects focuses on specific fields of
428 food and nutrition, none of them on the food, nutrition and health aspects related to consumer
429 science, which is the focus of FNH-RI. The linking will be facilitated through the FNH-RI
430 search functionality under the DATA services option. Other examples of structuring data
431 include i) extraction of dietary recommendations from scientific papers or reports published
432 online (Eftimov et al, 2017a; Eftimov et al, 2017b) and ii) matching food composition data to
433 food consumption data, where both systems are described using different systems, such as
434 LanguaL and FoodEx2 (Koroušić Seljak et al, 2018). For data providers that are unable to
435 provide data via web services, it will be possible to upload the data to the DI Platform local
436 storage with the help of administrators. The DI Platform and its underlying data model need
437 to comply with legal/ethics constraints (Fig. 3 CD link) and the business model (Fig. 3, BC
438 link). The full operation of the data model is premised on the decisions about access and

⁹ <https://www.efsa.europa.eu/en/data/food-consumption-data> Accessed 16/06/2021

¹⁰ <https://ec.europa.eu/eurostat> Accessed 16/06/2021

¹¹ <https://eudat.eu/> Accessed 16/06/2021

¹² <https://rd-alliance.org/> Accessed 16/06/2021

¹³ <https://www.fns-cloud.eu/> Accessed 16/06/2021

¹⁴ <https://zenodo.org/> Accessed 16/06/2021

¹⁵ <https://www.blue-cloud.org/news/blue-cloud-position-paper-eosc> Accessed 16/06/2021

¹⁶ <https://cordis.europa.eu/project/id/101005259> Accessed 16/06/2021

¹⁷ <http://dafne-anemos.hhf-greece.gr/> Accessed 16/06/2021

439 presumes an adequate ICT system capable of recognising the donator of data (Fig. 3, AC
440 link) and the legal conditions under which such contribution is made. Crucial to the system's
441 ability to extract data is meeting the requirements imposed by the GDPR (2018) and the
442 Intellectual Property licence applicable to the datasets. Data provided by the DI Platform will
443 include metadata that specifically references right holders/data owners and their entitlements.

444 4.4 Organisational governance

445 *The organisational governance of the DI Platform is a Hub and Nodes Model which works as*
446 *a network-based organisation, registered as a foundation. The Hub is the central part of the*
447 *RI and the Nodes are the national partners. The highest decision making body is the Heads of*
448 *Nodes Committee, with a Board for the daily management. The Nodes are national networks*
449 *of centres of excellence. A Scientific Advisory Committee and Ethical, Legal and Societal*
450 *Issues Advisory Committees ensure the scientific integrity and quality and an Industry Board*
451 *takes care of the relationship with the involved stakeholders (see Figure 5)*

452 The organisational governance reflects the need for international collaboration on data and
453 services. DI Platform governance would be subsumed under the large FNNH-RI governance
454 structure. Compliance with the highest legal/ethical requirements is pivotal and enabled
455 through up-to-date technological solutions (Fig. 3, CD link). However, developing a model of
456 revenue and services flows between diverse stakeholders is hugely contingent upon the
457 public-private responsibilities involved. Consequently the governance is linked to the
458 business model (Fig. 3, BD link). We additionally considered the problem of conflict of
459 interest (CoI) and the issue of credibility if the DI Platform granted access to commercial
460 organisations, but balanced these against financial and political sustainability. Restricting
461 access of some stakeholders could prevent political support necessary to achieve a global
462 research infrastructure. Given the ambition to establish an RI that would be solely or partly
463 public-sector (nation states and EU) – or structurally funded, the issue of fair balance of
464 interests came to the fore. Relying upon nation states to fully support an RI may not be a
465 realistic business model given that their willingness to pay may be driven by political rather
466 than science-related factors. This could be further complicated by restricting access to the DI
467 Platform to only a small group of users, e.g. publicly funded scientists rendering the RI
468 exclusive and under-utilised, too expensive and consequently politically untenable. Were the
469 private sector to be allowed into the decision making, organisational governance would need
470 to adequately address the possible conflict of interest (Fig. 3 AD and BD links).

471 The adequate design of governance structures and processes also concerns who to involve in
472 decision-making processes. Three rationales have been considered: economic, political and
473 excellent science. Under the economic rationale, financial contribution would determine the
474 decision-making power and level of access. Thus, for instance, the nation states who invest
475 more can expect their scientists to benefit the most. This opens up the issue of how to manage
476 private investment and the possible conflict of interest. The political rationale would see the
477 development of bespoke arrangements for access for those countries which are unable or less
478 able to pay and/or have a greater need to develop a science base. Finally, participation in
479 decision-making could also be driven by excellent science – with decision-making roles
480 being allocated to individuals with standing, rather than on cost-benefit basis; or it could be
481 based on the principle of management of the digital commons – found in many other online
482 digital infrastructures, such as Wikipedia and OpenStreetMaps. Our platform will combine all
483 three rationales through 3 decision-making bodies: Assembly of Member States; Finance
484 Committee and Head of Nodes Committee (represented by eminent scientists). Industry
485 sponsoring in kind (i.e. data) and cash is welcome, but free access to the data is only possible
486 for public researchers with their protocols for independent research, approved by the relevant
487 advisory committees.

488

489 Ensuring a transparent data chain can partly be facilitated by the technological backbone of
490 the RI (Fig. 3, CD link), where a metadata repository is organised to keep track of
491 provenance data as well, but it is also inextricably linked to the way in which organisation is
492 managed.

493

494 **4. Conclusions**

495 We set out to describe and explain the key approach and decision-making processes in the
496 development of a DI Platform that would focus on big data about consumer food and health
497 determinants and intake, generated via consumer apps, business processes and science. The
498 highlighted balancing of the requirements illustrates the process that is characterised by
499 uncertainty with respect to not only the technical possibilities that underpin such a research
500 infrastructure, but perhaps more significantly, the political climate, the emerging and
501 constantly evolving legal and ethical frameworks, and the uncertain financial and economic

502 context. Ultimately, the development of a DI Platform requires flexibility, adeptness and
503 internationalism to foster such long-term vision.

504 The ultimate aim of this long-term vision is to broaden the areas of scientific enquiry in food
505 domain, by linking scientific enquiry relevant to food production (agriculture and food
506 technology) and food consumption (food determinants, intake, nutrition and health). Linking
507 of the science in these two domains will evaluate the adherence to the global health and
508 sustainable diet, provide more accurate estimates of our progress towards achieving
509 sustainable development goals (EAT-Lancet Commission Willett et al., 2019) and identify
510 the trade-offs required (Tuomisto, 2019) The research community needs a research
511 infrastructure that helps to generate transdisciplinary evidence and expertise in order to
512 substantiate the citizen-centred food systems transition. In recognition of the need to link up
513 and make inter-operable various data bases, knowledge platforms and tools, through
514 harmonisation and, where appropriate, standardisation of nutrition and health concepts and
515 data across Europe (see European Nutrition Report 2004, 2009), we are developing an over-
516 arching Food, Nutrition and Health Research Infrastructure (FNH-RI). The DI-Platform
517 development is part of this global initiative to set up Food Nutrition and Health RI (FNH-RI),
518 contributing the much-needed data and knowledge on determinants and characteristics of
519 consumer food-related behaviour.. Through FNH-RI, the scientific community will benefit by
520 easy access to EU-wide data on food consumption, nutritional adequacy and health impacts,
521 environmental footprints and food loss and waste; and will contribute to the vision to achieve
522 affordable, healthy and sustainable diets across Europe.

523

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Authors Statement

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Ethics approval and consent to participate: all studies reported within this manuscript were conducted according to the guidelines laid down in the Declaration of Helsinki. The procedures involving research study participants (Study 1) were submitted to the University of Surrey Ethics Committee. Based on the assessment of the study, formal ethical approval was not required. Online informed consent was obtained from all subjects.

Consent for publication: No individual person's data are contained in the publication.

Availability of data and material: The datasets generated and/or analysed during the current study are not publicly available. This is because the consent from the participants to re-purpose the anonymised data was given under the condition that the data would only be made available if relevant legal, professional and ethical approvals were provided. Anonymised data is available from the corresponding author on reasonable request.

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ⁱ <https://fnhri.eu/> Accessed 16/06/2021

ⁱⁱ <https://www.richfields.eu/> Accessed: 1ⁱⁱⁱ Structured or relational data concerns all data, which can be stored in a relational database. Semi-structured data is a form of structured data that does not conform with the formal structure of data models associated with relational databases; however, it may have information associated with it, such as metadata tagging, that allows elements contained to be addressed (e.g. XML or JSON data). Unstructured data, such as text, PDF documents, media posts, photos, audio files etc., does not have a pre-defined data model, thus it is not a good fit for a relational database.