Empowering Communities: Shaping Open Scholarly Publishing in Europe and Beyond

19 November 2024

CRAFT-OA

eosc

PALOMERA

DIAMAS

Programme

Overview of the event

PALOMERA: Niels Stern (OAPEN)

CRAFT-OA: Margo Bargheer (University Library Göttingen)

DIAMAS: Pierre Mounier (OPERAS)

Library Publishing Coalition

Library Publishing Coalition

The LPC is an independent, community-led membership association of academic and research libraries and library consortia engaged in scholarly publishing.

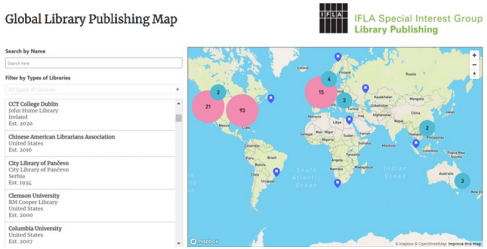
Connect with us:
librarypublishing.org
contact@librarypublishing.org

IFLA LIBPUB

The IFLA Library Publishing Special Interest Group (SIG) was officially organized in 2018 to advance the field of Library Publishing, which is part of a broader range of scholarly communication activities driven and managed by all types of libraries. LIBPUB has begun its transition to become an IFLA Section

Activities:

- **Open Sessions and Satellite Programs at the World Library and Information Congress (WLIC)**
- **Webinars**
- **Blogpost Series: Library Publishing through the IFLA Global Lens**
- **Global Library publishing Map**



Connect with us:
Listserv:
libpub@iflalists.org
LinkedIn: @iflalibpub
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email: jane.buggle@iadt.ie

Housekeeping

- The event is set up in Webinar mode, participants cannot unmute
- If you have any issues, please send a message in the chat and we will be able to assist. The chat pane can all be used for general discussion
- After each presentation we will pause for questions. Please add your questions to the Q&A pane
- The webinar will be recorded and the recording and speakers slides will be made available after the webinar via Zenodo and through the LPC, IFLA SIG and project channels

PALOMERA

Policy Alignment of Open Access Monographs in the European Research Area

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Scientific Coordinator, PALOMERA

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Objective

In a nutshell, PALOMERA seeks to understand why so few open access funder policies include books, and to provide evidence-based and actionable recommendations to change this.

Key facts

Funded by HORIZON Europe as part of the call: Reforming and Enhancing the European R&I System

16 members and partners from across Europe

Runs from January 2023 to December 2024

Co-led by OPERAS & OAPEN

Members

OPERAS (Coordinator) - Belgium
OAPEN – Netherlands
Hanken School of Economics – Finland
IBL PAN – Poland
LIBER – Netherlands
University of Coimbra – Portugal
DARIAH – France
European Science Foundation – France
ZRC Sazu – Slovenia
SPARC Europe – Netherlands
Bielefeld University – Germany
Georg-August-Universität Göttingen – Germany
OASPA – Netherlands
Aix-Marseille Université – France

Partners

JISC – United Kingdom
Open Book Publishers – United Kingdom

Funded by the European Union

Specific Objectives (SO)

SO1

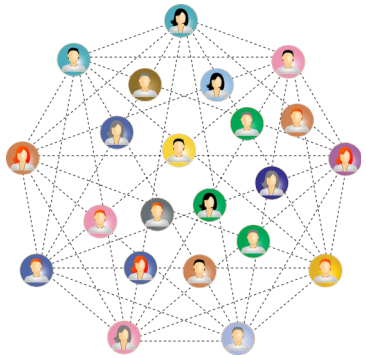
Investigate

Qualitative and quantitative data

SO2

Analyse

PESTLE analysis



SO3

Engage

Validation events Communication activities

SO4

Support

Recommenda-tions & Resources

SO5

Bridge

International engagement

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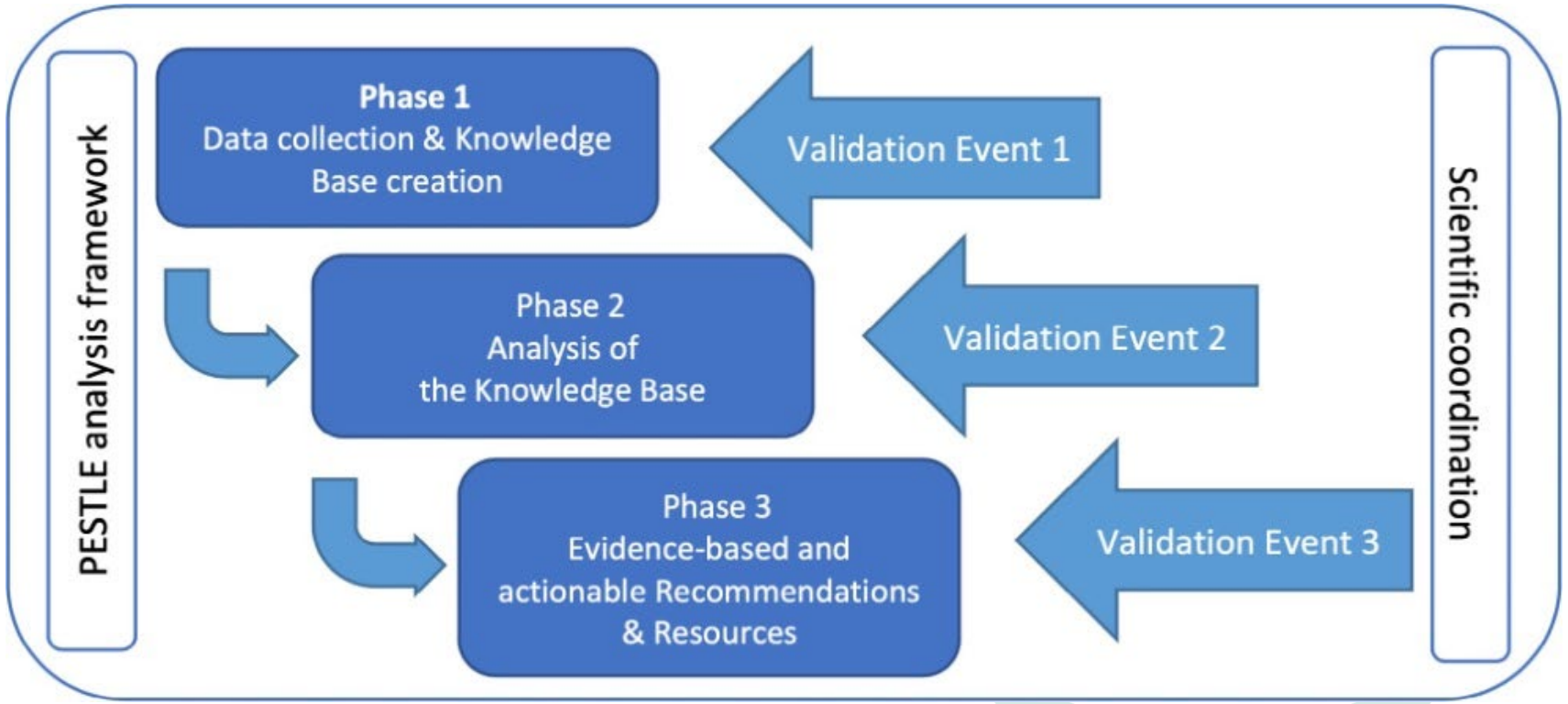
Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

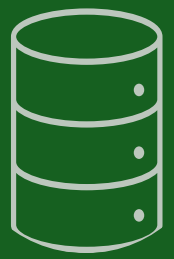
Methodology



The PALOMERA validation methodology

Funded by the European Union

Key results



Knowledge Base

Research

Recommendations

Policy Development Tool

Funder Forum

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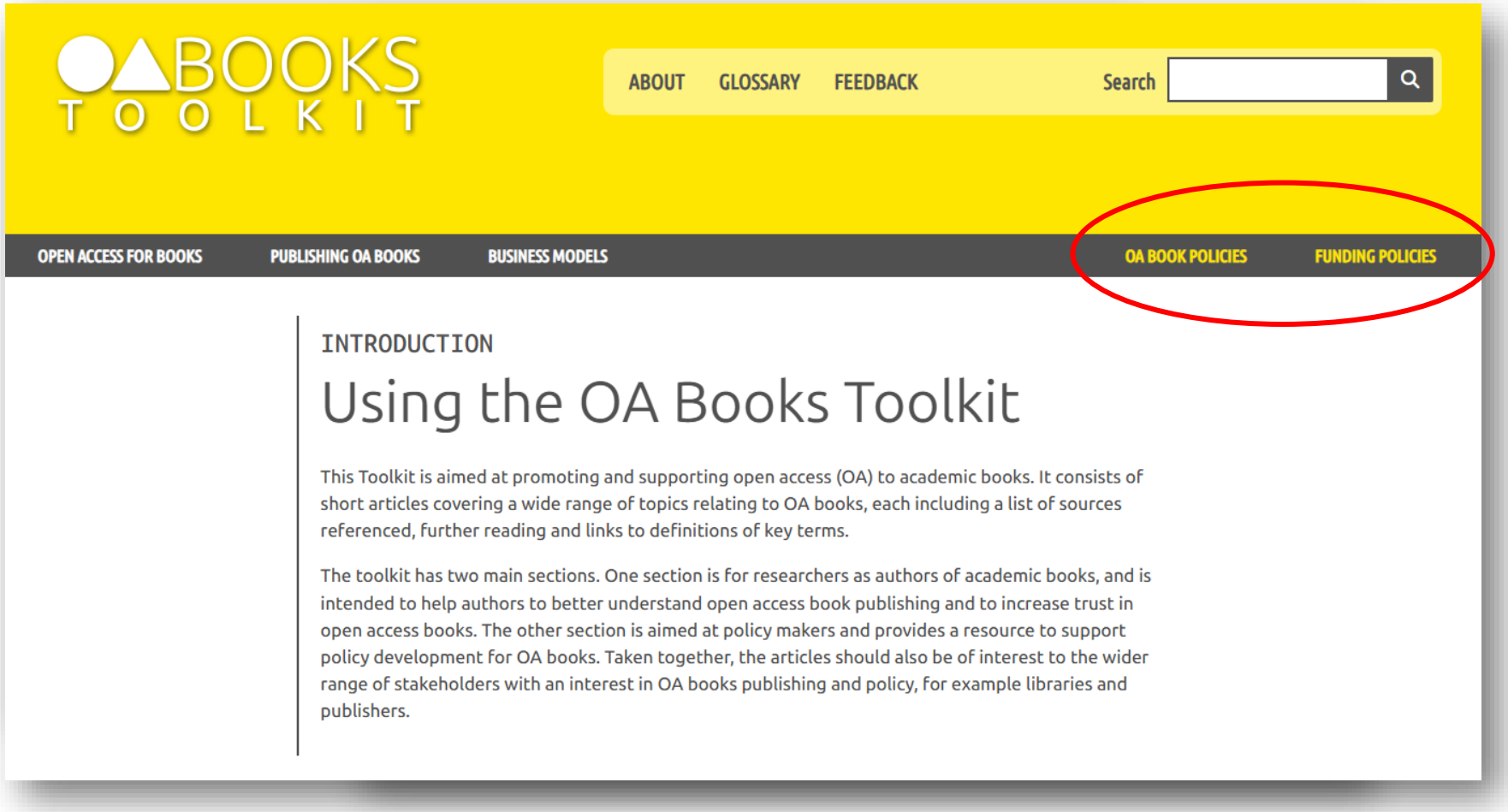
Knowledge Base

Knowledge Base



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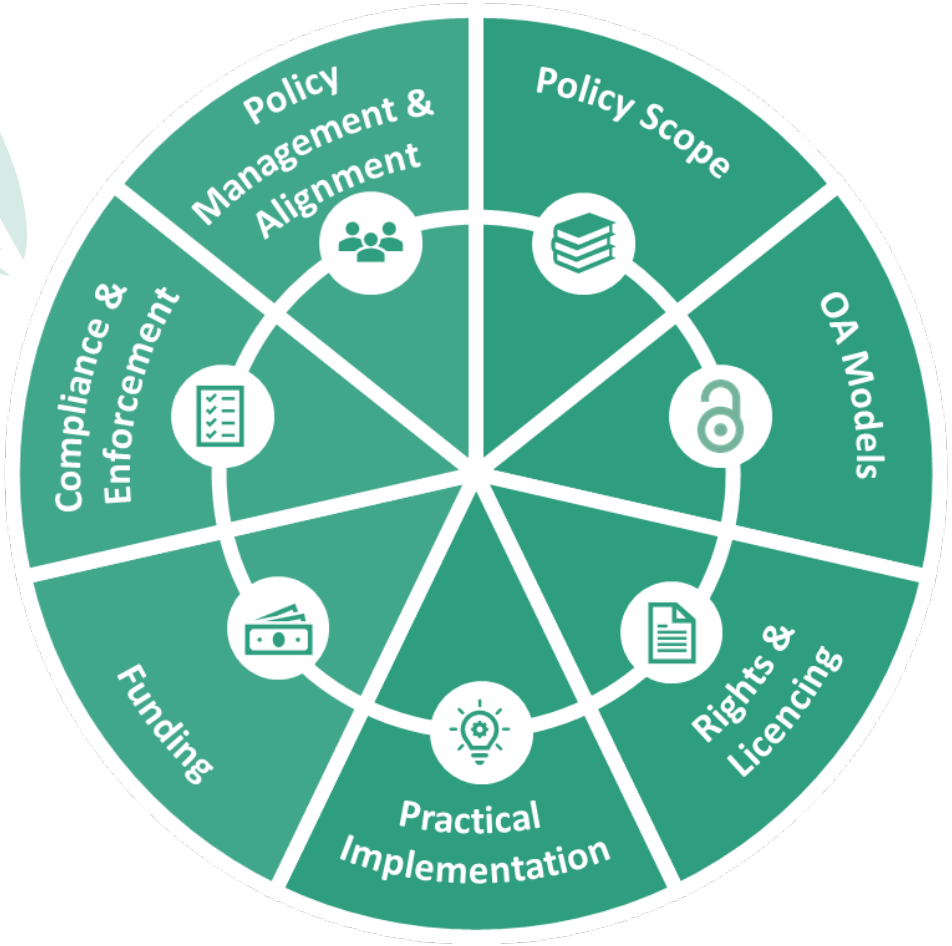
PALOMERA

Research



Report on Analysis Findings

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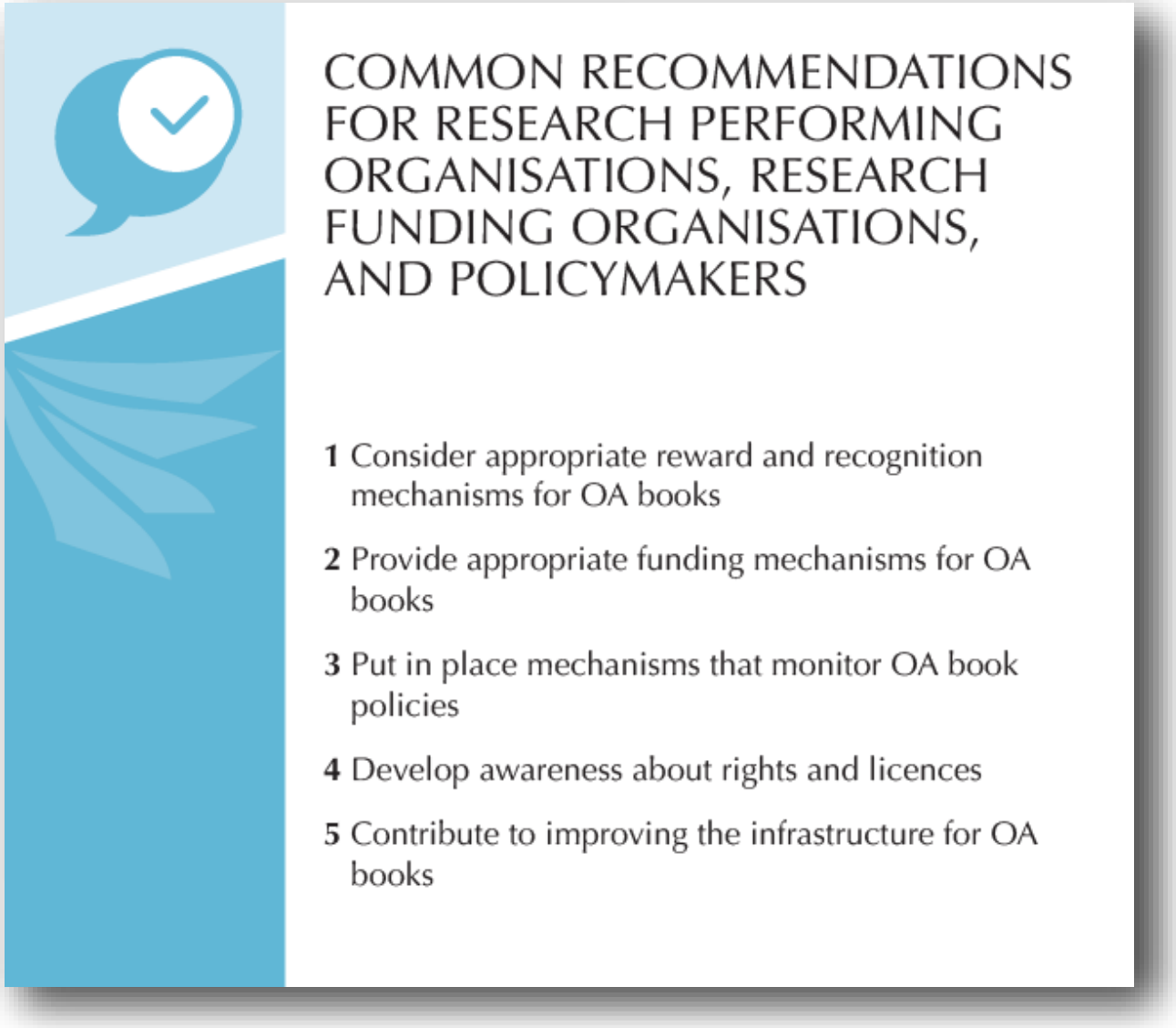
Recommendations



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Policy Development Tool

Policy Element	Description
Policy Scope	
Scope: Types of Books	The scope of book-related content covered by the policy, including book chapters, monographs, edited volumes, and other types of scholarly publications.
Scope: Authors	Who the policy applies to, defining who is subject to its requirements regarding open access publication. Example: institution-wide, or department- or discipline-specific.
OA Models	
OA Models	Specific OA model(s) that the policy prescribes or encourages, such as Gold OA (OA through publisher, often involving a fee), Green OA (self-archiving in a repository), or Diamond OA (OA through publisher without any fees).
Rights and Licensing	
Licensing	The type of open licences recommended or required for OA publications under the policy. Example: CC BY, CC BY-NC, CC BY-ND, CC BY-NC-ND
Grant of Rights/Copyright Retention	Provisions to ensure that the author retains the rights to publish OA, such as recommending a non-exclusive publishing agreement.

Concept only

OA Book Policy Compass

Funded by the European Union

Funder Forum

Countries represented in the Funder Forum meetings

Report on the Policy Development Tool and the Funder Forum

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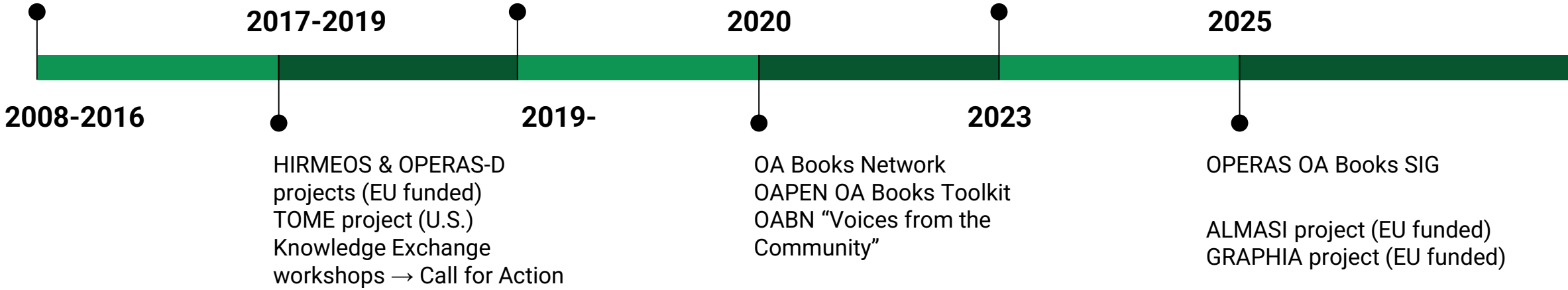
Before and Beyond PALOMERA

Examples of OA book projects



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The PALOMERA project is funded by the European Union Horizon Programme call HORIZON-WIDERA-2022-ERA-01 - Grant Agreement Number 101094270

PALOMERA

Questions?

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CRAFT-OA

IFLA Special Interest Group
Library Publishing

Library
Publishing
Coalition

Empowering Communities: Shaping Open Scholarly Publishing in Europe and Beyond

19 Nov 2024

Margo Bargheer (University Library Göttingen)

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The CRAFT-OA Consortium

- Jan 2023 to Dec 2025
- 23 institutions from 14 European countries
- Several Partners with long standing experience on open information infrastructures and open science
- Several partners with responsibility for Open Access publication services
- Several partners with technical responsibility for publishing platforms

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Open Access

Open access (OA) literature is digital, online, free of charge, and free of most copyright and licensing restrictions.

- Peter Suber: Open Access

Primary Open Access
(aka **Gold** Open Access)
entire publishing channel is OA from start, either financed with author facing or library facing charges OR with investments into publishing infrastructure

Parallel Open Access
(aka **Green** Open Access)
original publishing channel is non-OA, rights situation allows authors to publish free version with/out embargo elsewhere. Financed via investment into infrastructures or additional fees

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Diamond Open Access

'Diamond' Open Access is a scholarly communication model whereby research outputs are openly available without charging fees to either authors or readers. Importantly, it is a model that is driven by scholarly communities, meaning that they are in the lead and have ownership of the content-related elements of scholarly communication.

Pierre Mounier & Johan Rooryck: Towards a federated global community of Diamond Open Access

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Diamond Open Access

… also known as platinum open access, non-commercial open access, or open access commons, this model gained prominence in the 2000s and 2010s but has roots in earlier forms of publishing, such as subsidised university presses and community-led initiatives.

(From UNESCO consultation on Diamond OA)

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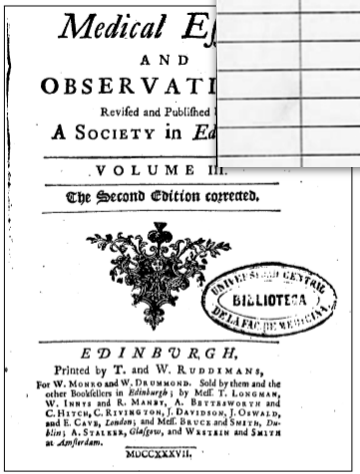

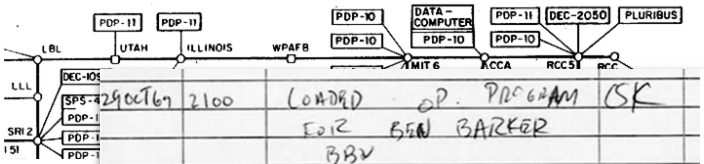

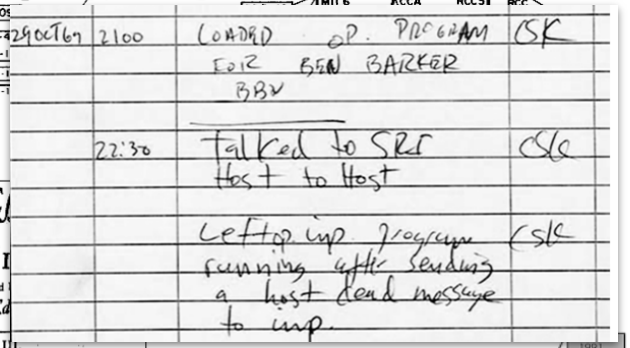

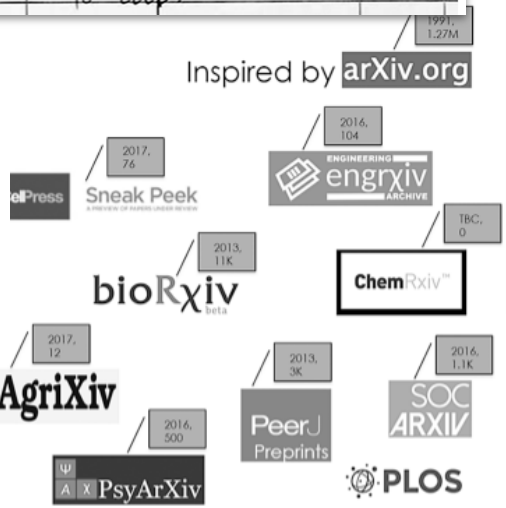

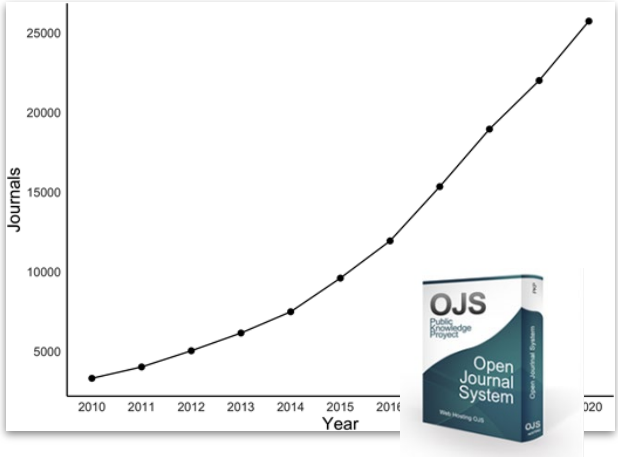

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Institutionelle Publikationsdienste für eine offene Wissenschaft

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ASSOCIAÇÃO PORTUGUESA DE EDITORAS DO ENSINO SUPERIOR

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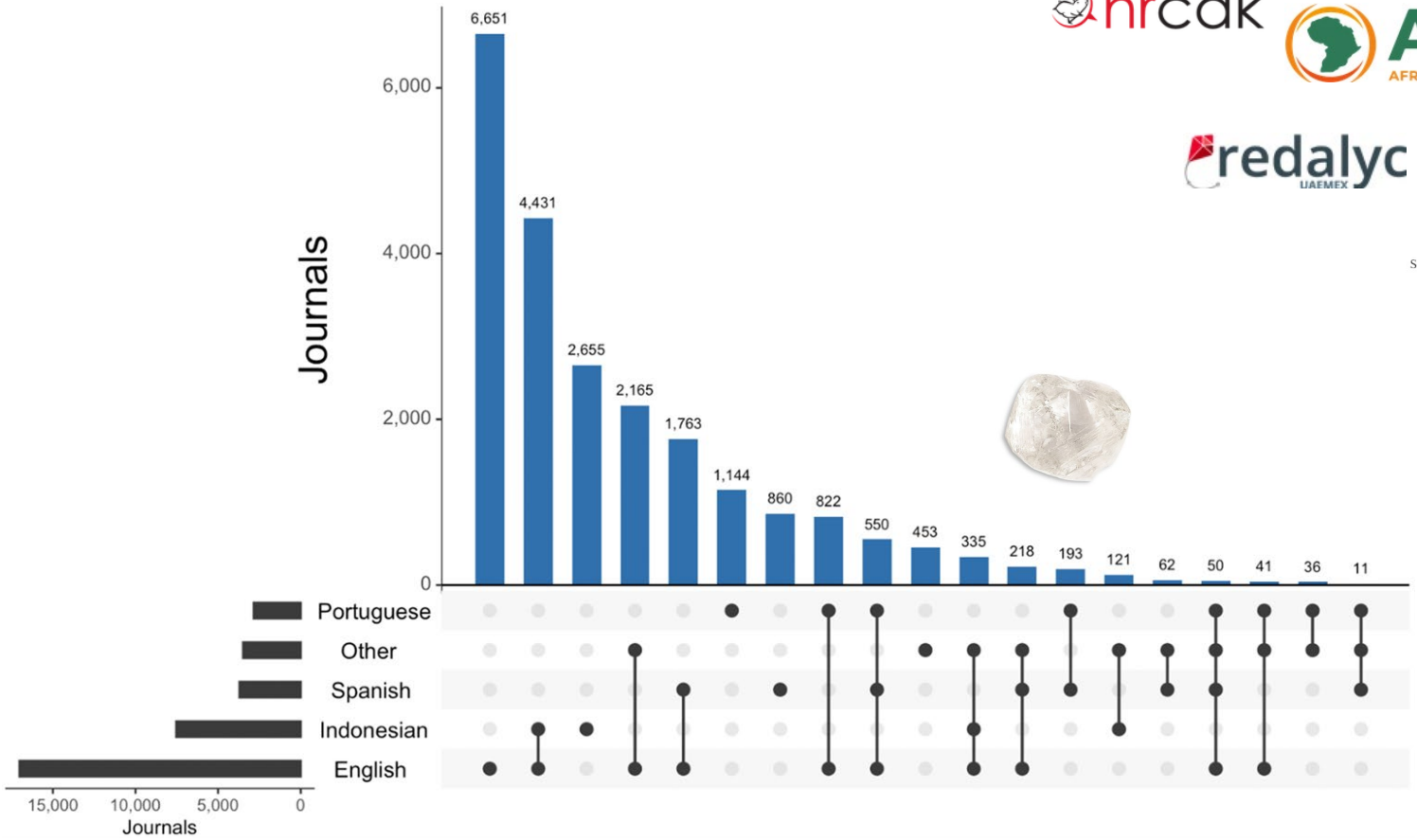
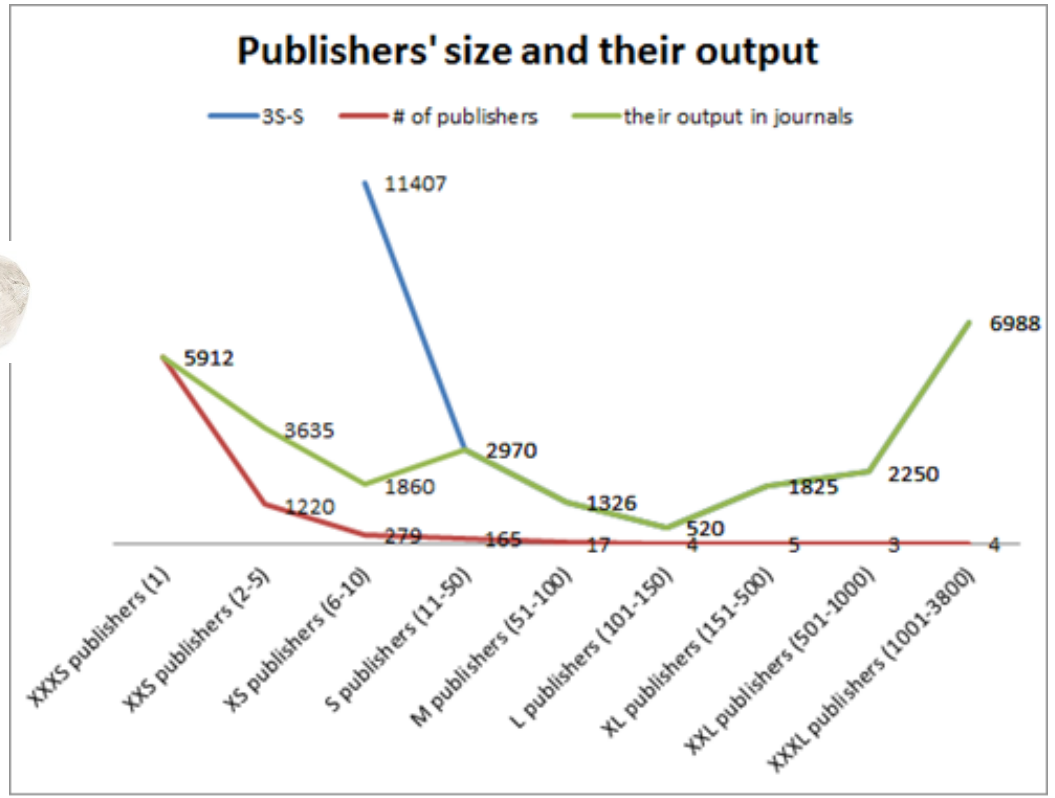


Saurabh Khanna, Jon Ball, Juan Pablo Alperin, John Willinsky; Recalibrating the scope of scholarly publishing: A modest step in a vast decolonization process. *Quantitative Science Studies* 2022; 3 (4): 912–930. doi: https://doi.org/10.1162/qss_a_00228

Present

- Institutional and Diamond Open Access happens primarily in the sphere of 3XS–M publishers
- Market dominance of large publishers, disproportionate budget expenditure of libraries in the XXL sphere
- The output of the "small" publishers, which are usually not the focus of attention, is surprisingly high overall
- 65% of DOAJ entries are high-quality OA Journals without fees



Data estimation, based on https://doi.org/10.1093/scipol/scac081 Mikael Laakso , Anna-Maija Multas, European scholarly journals from small- and mid-size publishers (2023)

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Diamond Open Access gains momentum

Dimensions

Open Access surpasses subscription publication globally for the first time

FEBRUARY 24, 2021 | DANIEL HOOK

LIBER QUARTERLY
The Journal of the Association of European Research Libraries
Vol. 29 (2019) xx–xx | e-ISSN: 2213-056X

Article Processing Charge Hyperinfl
Price Insensitivity: An Open Access S
the Serials Crisis

Shaun Yon-Seng Khoo

- 2021 The OA Diamond Journals Study
- 2022 Action Plan for Diamond Open Access
- 2022 Funding of DIAMAS and CRAFT-OA
- 2023 European Council conclusions on high-quality, transparent, open, trustworthy and equitable scholarly publishing
- 2023 1st Global Diamond OA Summit, Mexico
- 2024 2nd Global Diamond OA Summit, ZA
- 2025 Launch of European Diamond Capacity Hub

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DIAMAS

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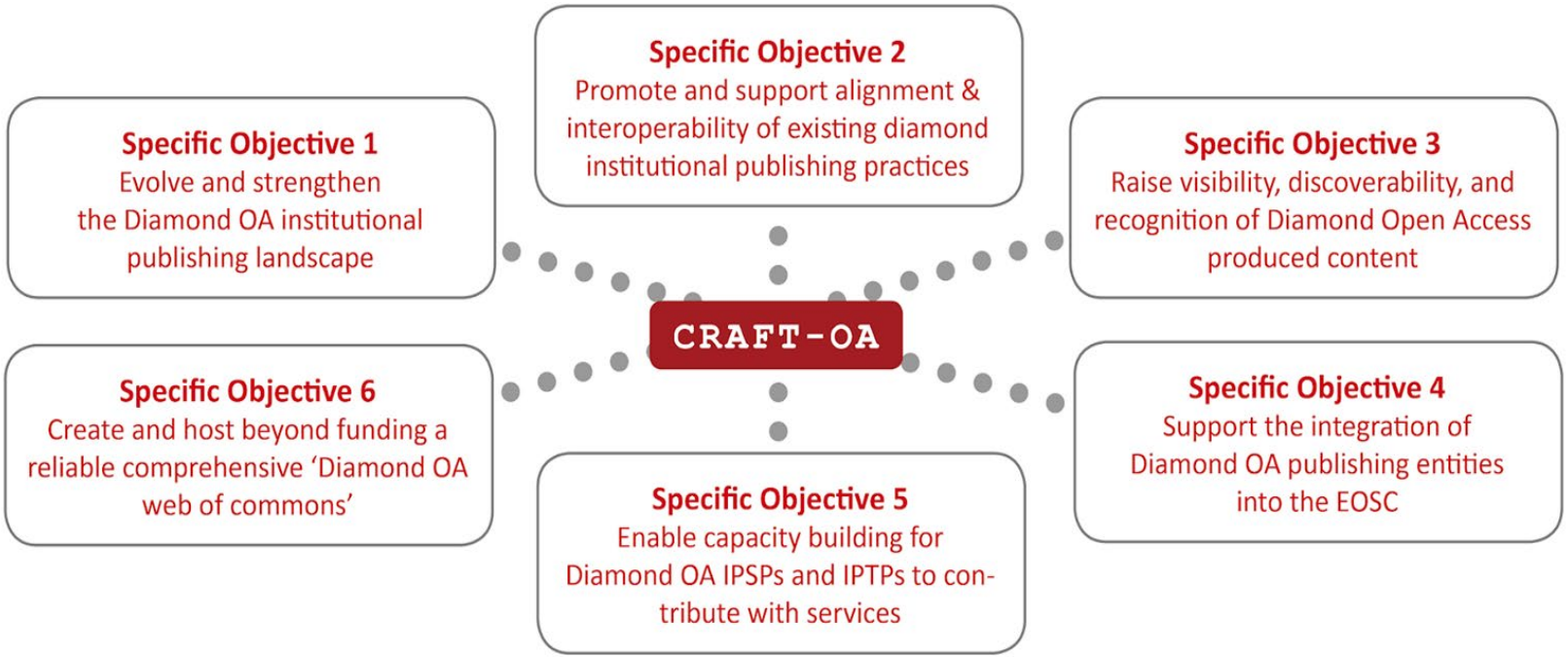
Main objectives of projects CRAFT-OA and DIAMAS



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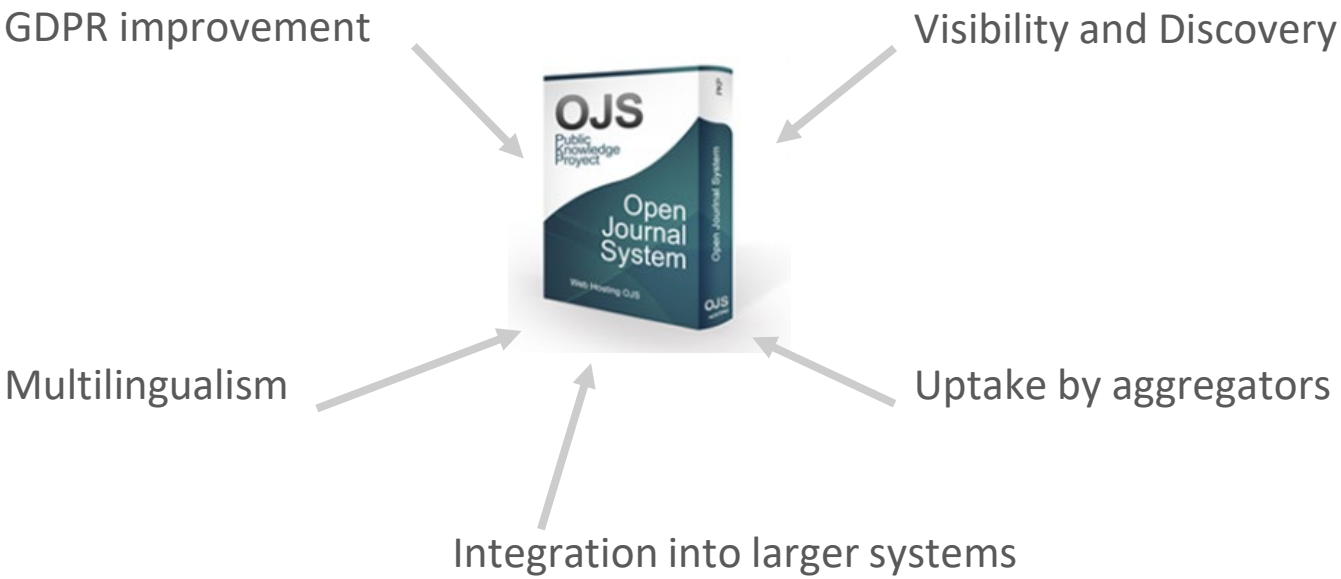
Improvement to Diamond OA journal technology



Funded by the European Union

The Diamond Discovery Hub

- Provides detailed and verified information for aggregators
- Collects and stores diamond journals' metadata
- Ensures the (meta)data quality, richness, and interoperability
- Displays structured information on journal ownership, governance, mission
- Identifies the largest possible set of diamond journals
- Uses community-approved criteria of Diamond Open Access

Funded by
the European Union

Diamond Open Access Criteria (beyond zero APC)

1. Persistent identification
2. Scholarly journal
3. Open Access with open licenses
4. No fees
5. Open to all authors
6. Community-owned

Funded by the European Union

Diamond Open Access Criteria (beyond zero APC)

1. Persistent identification *-> PIDs as a proxy for unambiguous identification and findability, for professional publishing practices*
2. Scholarly journal *-> recognised and acknowledged in its field, exercising usual quality control measures such as peer review*
3. Open Access with open licenses *-> immediate and full open access; no embargoes or hybrid models, no R&P agreements, clear reuse policies*
4. No fees *-> no author facing charges or unit-based library facing charges, operation is financed via commitment for the publishing infrastructure as such*
5. Open to all authors *-> as a proxy for recognised scholarly publishing practice and measure to control for hidden fees*
6. Community-owned *-> distinguish publication channels within sovereignty and accountability of science itself; recognise community control and institutional effort*

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DIAMAS

Developing Institutional Open Access Publishing Models to Advance Scholarly Communication

Empowering Communities: Shaping Open Scholarly Publishing in Europe and Beyond

IFLA Special Interest Group Library Publishing

Library Publishing Coalition

Pierre Mounier (EHESS/OPERAS)

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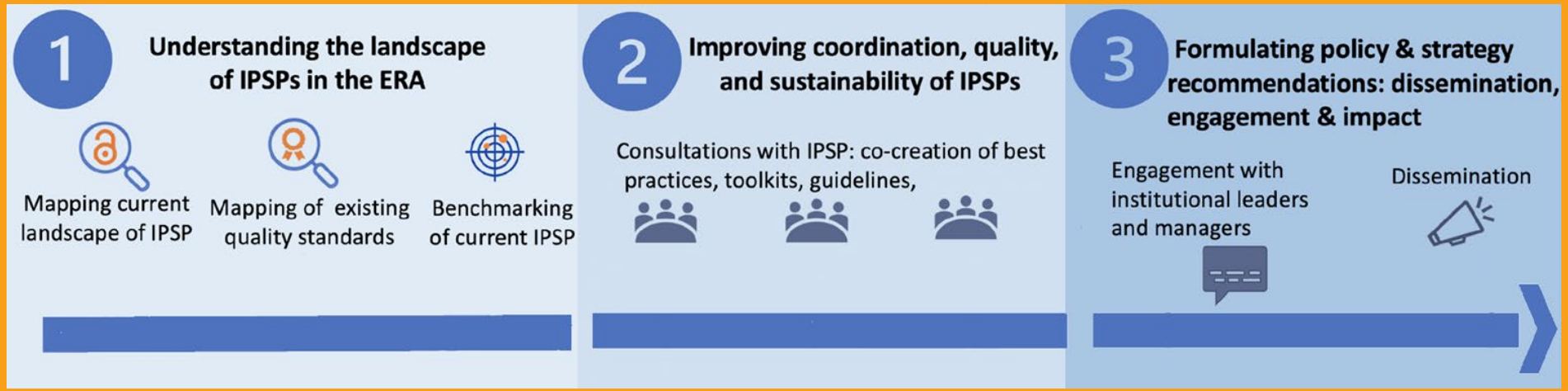
The DIAMAS project has received funding from the European Union's HORIZON-WIDERA-2021-ERA-01 grant

Funded by the European Union

DIAMAS Overview

- **Duration**: 36 months
- **Commenced**: September 2022
- **Funder**: Horizon Europe
- **Budget:** €2.5m
- **Participants**: 23 public service scholarly organizations from 12 ERA countries

DIAMAS



IPSP = Diamond Publishers and Service Providers

DIAMAS

Project Objectives

- Provide the research community with an **aligned, high-quality, and sustainable OA scholarly communication ecosystem**, capable of implementing Diamond OA as a standard publication practice across Europe.
- **Create a community, supporting services, and non-technical infrastructure for Diamond publishers and service providers** that adopt common standards, guidelines, and best practices.
- **Common standards, guidelines, and best practices** will be co-created, adopted and disseminated across the community.

DIAMAS: Capacity Hub

- In 3 years DIAMAS lays the foundation for a **Diamond OA Publishing Capacity Hub** that helps the community with tools, guidelines, governance, and funding.
- The Diamond OA Capacity Hub will largely be a **virtual distributed capacity network** of collaborating services that are coordinated via a central portal.
- Technical infrastructure support is being added via CRAFT-OA, coordinated by University of Göttingen.

DIAMAS

Project Outputs

1. Landscape Report

1. Diamond Open Access Standard (DOAS)

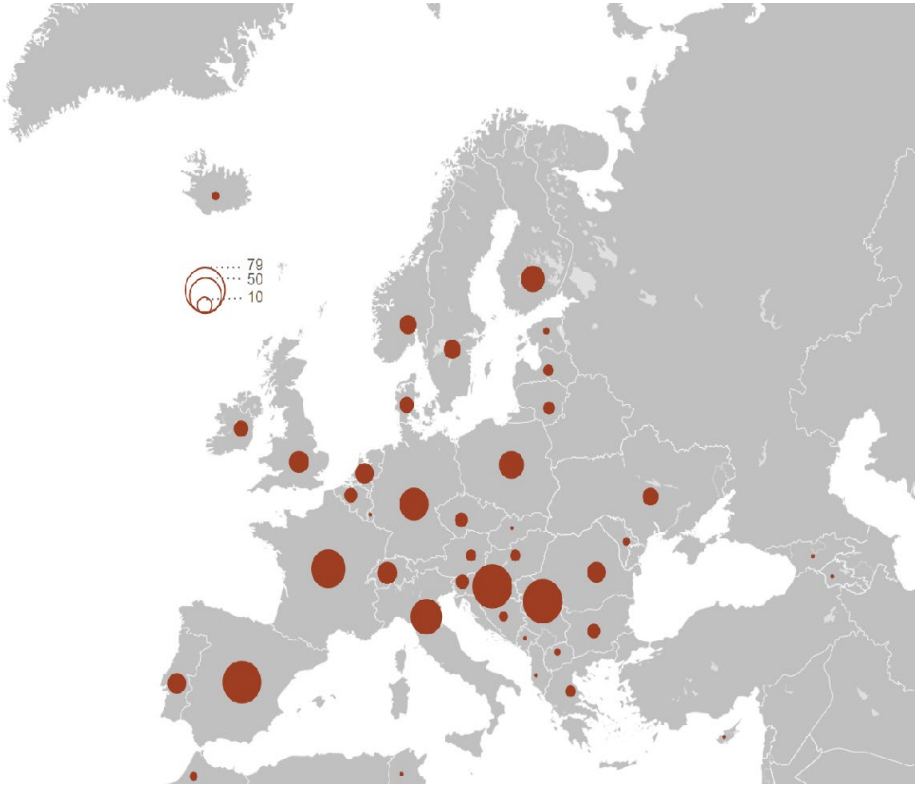
1. Capacity Hub / EDCH

Landscape Report

The DIAMAS Landscape Report: What did we get?

685 responses we could use

- An uneven geographical distribution
  - But most countries adequately represented
- Our numbers indicate that a major part of Diamond publishers and Service providers are represented
  - But the smallest ones underrepresented
- ¾ publishers, ¼ service providers
- 90% publish journals
  - Most publish relatively few journals, <5



The DIAMAS Landscape Report: What did we learn?

Findings about Publishers

- The typical Diamond publisher is small and often isolated
- Needs better, more reliable, and more long-term financing
- 70% are willing to cooperate to save costs
- Needs support for aligning best practices and achieve sustainability
- Strong willingness to align with Open Science and good publishing practices

The national level is very important

- The number of Diamond journals in a country has a strong correlation with a national organisation serving these journals and their Diamond publishers:
  - OpenEdition (France, 521 journals)
  - FECYT (Spain, 600 journals)
  - TSV (Finland, 200 journals)
  - HRCAK (Croatia, 500 journals)

Pilar Rico-Castro
Virginia de Pablo
FECYT
June 25 , 2024

DOAS
DIAMOND Open Access Standard

DOAS: The Diamond Open Access Standard

DIAMAS

DOAS

Quality is a public good

- ✓ Based on 7 core components outlined in the Action Plan for Diamond Open Access, revised and refined by the DIAMAS project team.
- ✓ Focused on journals.
- ✓ A comprehensive approach to maintaining high standards in scholarly publishing.

DOAS
DIAMOND Open Access Standard

- Funding
- Legal ownership, mission, and governance
- Open Science
- Editorial management, editorial quality, and research integrity
- Technical service efficiency
- Visibility, communication, marketing, and impact
- Equity, Diversity, Inclusion, and Belonging
- (EDIB), multilingualism, and gender equity

DIAMAS

How to use DOAS

DOAS

✓ 117 ITEMS:
- 63 REQUIRED items ensuring fundamental practices
- 53 DESIRED items offering additional recommendations

✓ Essential compliance and aspirational goals for Diamond OA scholarly publishers

DOAS
DIAMOND Open Access Standard

- Serves as ***the Diamond OA Standard for scholarly publishers***
- Represents a ***measure of quality*** and a point of reference for comparison
- Helps to ensure ***quality and transparency*** in governance, processes, and workflows of journals´ publishing activities
- Outlines ***required and desired*** items for compliance
- Emphasises clarity and transparency, prioritising ***openness in information dissemination***

Self-assessment tool

DIAMAS

DOAS

Deployment

Supported by a **Self-assessment tool**

DOAS
DIAMOND Open Access Standard

- To ***self-evaluate*** scholarly publishers´ adherence to DOAS
- To identify ***strengths*** *and weakness*
- Providing ***graphic support*** and access to resources for improving compliance

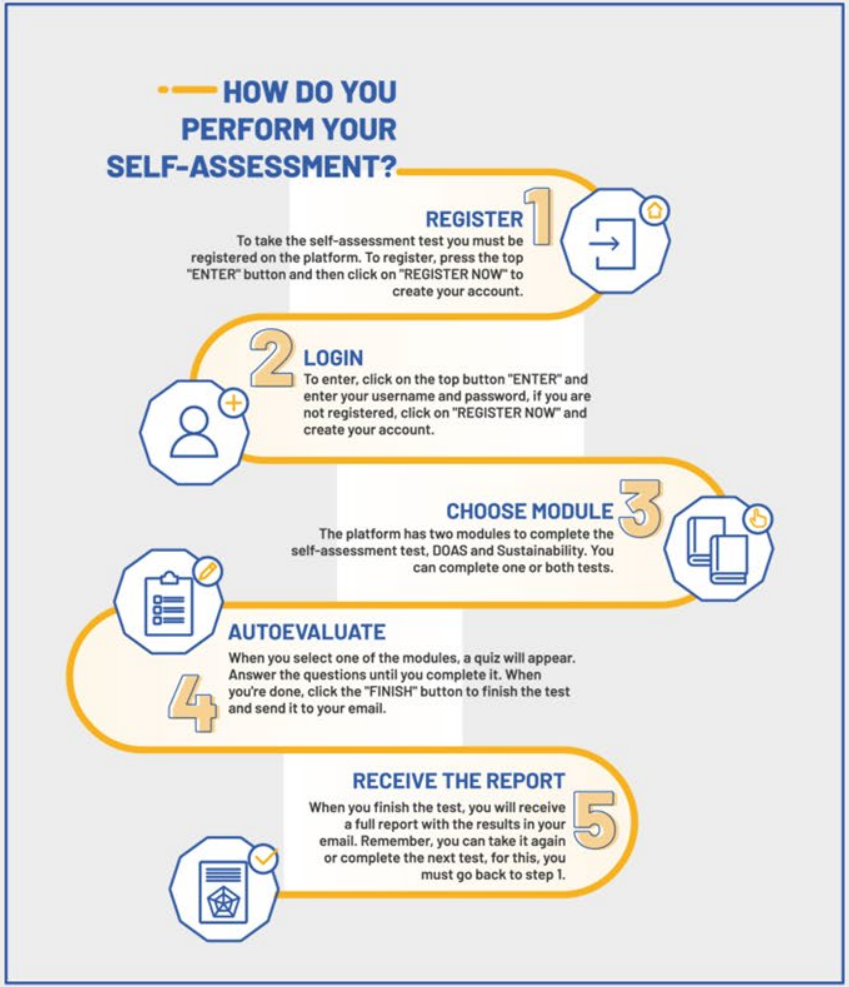
DOAS
DIAMOND Open Access Standard

Self-assessment tool for Diamond OA

Intuitive & efficient tool for scholarly publishers to manage and self-evaluate compliance with the DOAS

https://diamas.fecyt.es/

https://www.youtube.com/watch?v=_RUwV_bcFAI



DIAMAS

Toolsuite

The next step for Diamond publishers and service providers after self-assessment

Toolsuite: the result of a truly collaborative effort

- **Under the lead of JISC** - Graham Stone
- **With a true team of authors, contributors and reviewers**: Clara Armengou, DOAJ; Karla Avanço, OPERAS (reviewer); Judith Barnsby, DOAJ; Lynne Bowker, Université Laval; Drahomira Cupar, FFZG; Mikael Laakso, TSV; Ignasi Labastida, Universidad de Barcelona; Isabella Meinecke, University of Hamburg (reviewer); Iva Melinščak Zlodi, FFZG; Danijel Mofardin, UniZD; Virginia de Pablo, FECYT; Elio Pellin, University of Bern (reviewer); Janne Pölönen, TSV; Vanessa Proudman, SPARC Europe; Claire Redhead, OASPA; Laura Rincón, Universidad de Barcelona (reviewer); Johan Rooryck, cOAlition S; Pilar Rico, FECYT; Milica Ševkušić, EIFL; Cenyu Shen, DOAJ; Irakleitos Souyioultzoglou, OPERAS; Jadranka Stojanovski, UniZD; Tobias Steiner, Thoth (reviewer); Graham Stone,Jisc.
- Delving upon a breadth of **200 existing resources in 11 languages** suggested by the contributors and the community
- Immediately **translated in Spanish, Croatian, Portuguese** by the partners (and more to come)

Toolsuite: definition, structure and content

- **What is it ?**
  - → A suite of articles providing high level introductions to the Diamond model and its different dimensions
- **How is it structured?**
  - → Alongside the 7 components of the Diamond Open Access Standard (DOAS) and the self-assessment tool:
    - Funding
    - Ownership and governance
    - Open Science Practices
    - Editorial quality, editorial management and research integrity
    - Technical Services Efficiency
    - Visibility, indexation, communication, marketing and impact
    - Equity, Diversity, Inclusion and Belonging (EDIB), Gender and Multilingualism
- **How does it work? :**
  - → It will be available on a dedicated website that integrates the **guidelines** as well

DIAMAS

Toolsuite: https://toolsuite.diamas.org/

Gender Diversity

EUROPEAN DIAMOND Capacity Hub

Abstract

In scholarly publishing, men are overrepresented as research subjects, authors, reviewers, editors, and executives. Steps to increase gender diversity can include actively recruiting more women and gender minorities to serve as reviewers, editors, and publishing executives, adopting policies to support gender-related reporting and double- or triple-anonymous reviewing, and offering guidance to reduce unconscious bias.

Main Text

Last Updated

2024-11-04

Tags

GENDER BIAS
GENDER DISCRIMINATION
GENDER DISPARITY
GENDER DIVERSITY

Another way in which gender disparity can manifest itself in scholarly publishing is when fewer women are awarded leadership or executive roles within publishers (Li & Zhao, 2023). Finally, gender disparity may co-occur with or be exacerbated by other forms of discrimination, creating a problem of intersectionality. For instance, gender disparities may be even more pronounced in certain regions (e.g., low-income countries) (Morgan et al., 2019). To combat such problems, Diamond OA publishers can raise awareness about unconscious bias and offer training or guidance to their staff, editors, editorial boards, peer reviewers, and authors. Diamond OA publishers leaders can also engage in self-reflection and take direct action to address gender disparities within their own organisations.

Related Toolsuite Articles

- Equity, Diversity, Inclusion and Belonging (EDIB)

Related Guidelines and Training Materials

- Gender diversity

References

- Chatterjee, P., & Werner, R.M. (2021). Gender disparity in citations in high-impact journal articles, *JAMA Network Open* 4(7):e2114509. https://doi.org/10.1001/jamanetworkopen.2021.14509
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Public launch of the European Diamond Capacity Hub and the ALMASI Project

Date: **14 January, 14:00 CET to 15 January, 18:00 CET**

Location: **MUNCYT (Museo Nacional de Ciencia y Tecnología), Calle Pintor Murillo, 15 – Alcobendas – MADRID**

The European Diamond Capacity Hub (EDCH) will hold its public launch on the 15 January 2025 in Madrid, Spain. The event will be hosted by the Spanish Foundation for Science and Technology (FECYT) and it will take place at the Museo Nacional de Ciencia y Tecnología (MUNCYT). At the event, key features of the EDCH will be presented, along with a range of opportunities for active participation and collaboration.

The EDCH aims to strengthen the Diamond OA community in Europe by supporting European institutional, national and disciplinary capacity centres and Diamond publishers and service providers in their mission of Diamond OA scholarly publishing. The EDCH will provide these Diamond stakeholders with coordination, sustainability, training modules, technical tools, and services at scale. The EDCH thus answers the need for capacity building in the Diamond OA community that was expressed in the Action Plan for Diamond Open Access.

The EDCH takes its place alongside other regional Diamond OA capacity hubs worldwide, like Redalyc-Amelica or AJOL, and hopes to inspire other regions to support the idea of a global framework for Diamond OA that UNESCO is currently exploring through a consultation process.

There has been a marked increase in political support for Diamond Open Access. The Council of the European Union Conclusions of May 2023 reflect a consensus between EU Member States to move towards 'high-quality, transparent, open, trustworthy, and equitable scholarly publishing', while the Council Conclusions of June 2022 connected open science with research assessment reform. The UNESCO Recommendation on Open Science of November 2021 reflects a similar consensus at the global level.

The initial components of the EDCH were developed within the frameworks of the EC-funded CRAFT-OA and the DIAMAS projects. The EDCH has received initial financial support from the French National Research Agency (ANR) and the CNRS.

THANK YOU!

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