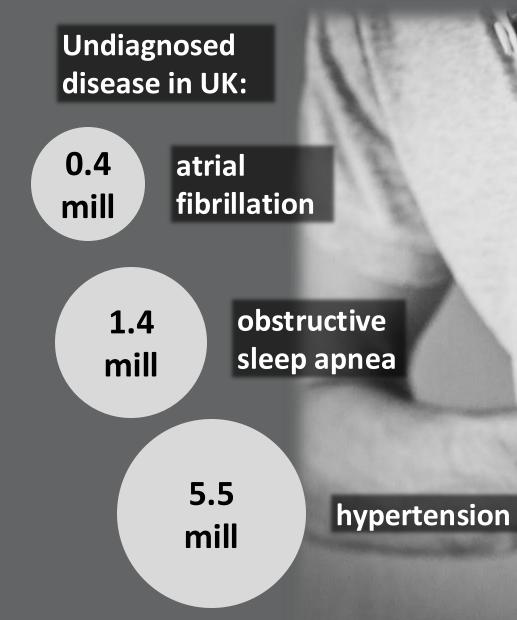


## Equitable Photoplethysmography in Wearables: Accurate Data for All

Dr Peter H. Charlton

University of Cambridge City, University of London



3

Peter Charlton

Pexels, https://pixabay.com/photos/man-heartache-chest-pain-hurt-pain-1846050/ (Pixabay Licence)



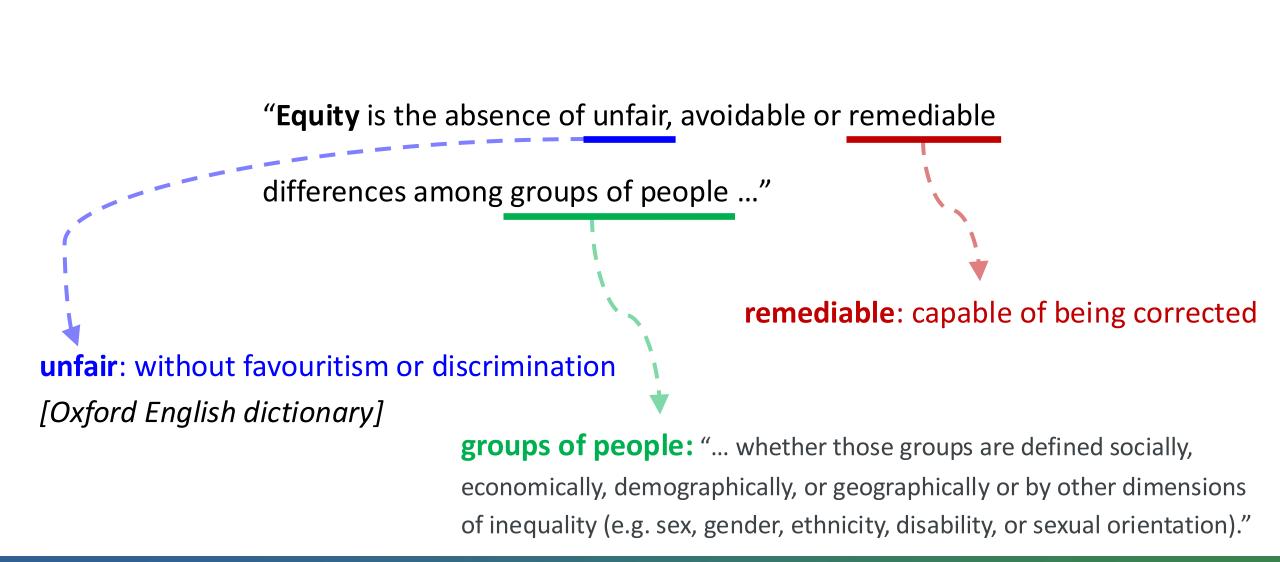


(artistic license – this heart rate is fictional)

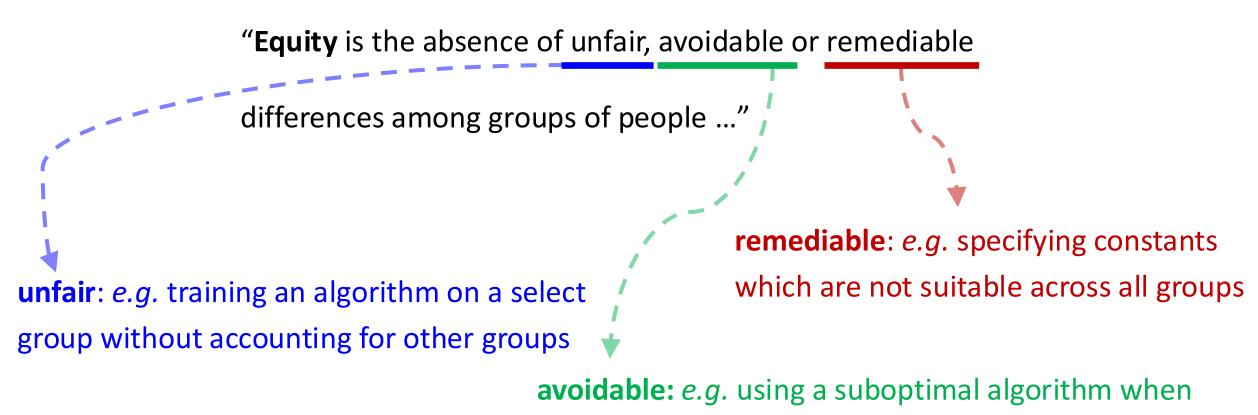
0119

"Equity is the absence of unfair, avoidable or remediable

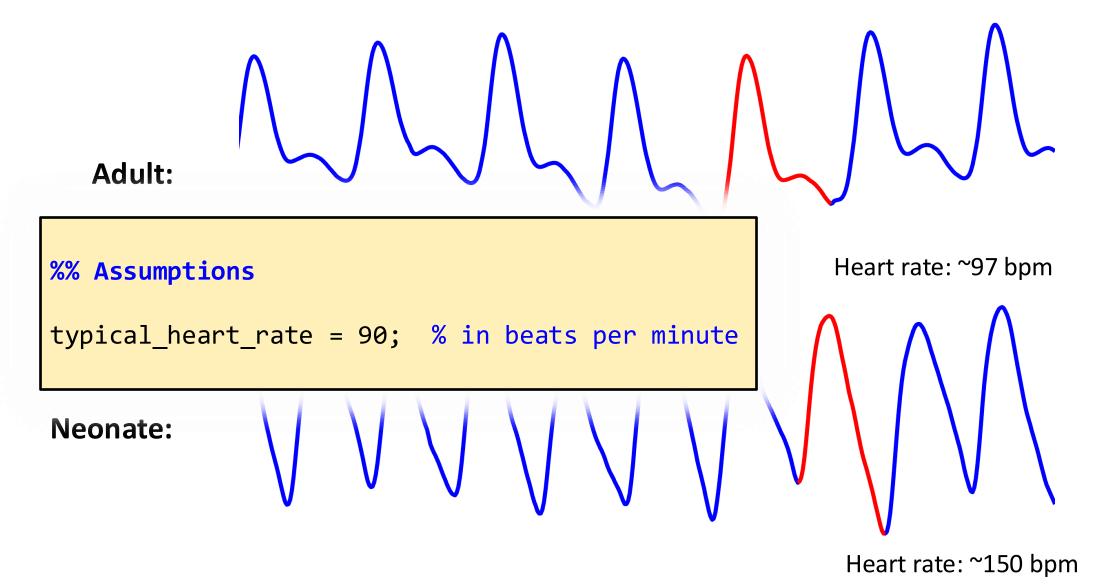
differences among groups of people ..."



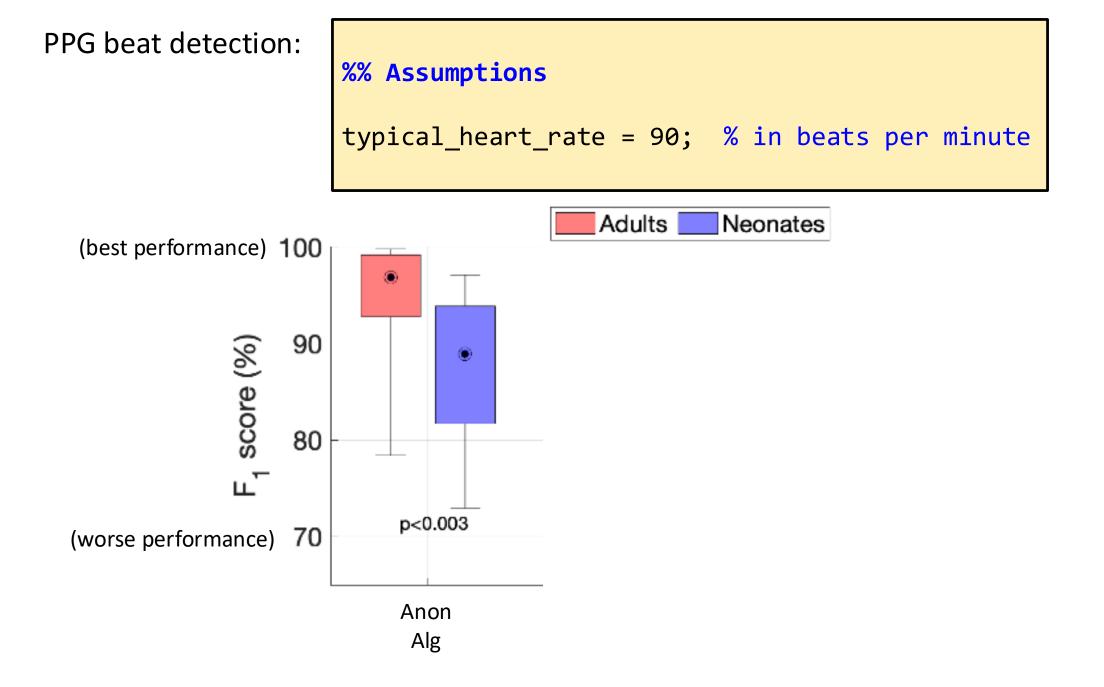
Examples from signal processing algorithms:



other algorithms would perform better across groups



Charlton PH, 'Photoplethysmography Beat detection Animation.gif', Wikimedia Commons, 2024. (CC BY 4.0). Data from the MIMIC-III waveform database [1,2,3] (ODbL 1.0). Selected based on [4]. [1] Moody, B. et al. (2020). MIMIC-III Waveform Database (version 1.0). PhysioNet. https://doi.org/10.13026/c2607m. [2] Johnson, A. E. W. et al. (2016). MIMIC-III, a freely accessible critical care database. Scientific Data, 3, 160035. [3] Goldberger, A. et al. (2000). PhysioBank, PhysioToolkit, and PhysioNet: Components of a new research resource for complex physiologic signals. Circulation. 101 (23), pp. e215–e220. [4] Bashar, S.K. et al. 2019. Noise Detection in Electrocardiogram Signals for Intensive Care Unit Patients. IEEE Access, 7, pp.88357-88368.



%% Assumptions
typical\_heart\_rate = 90; % in beats per minute

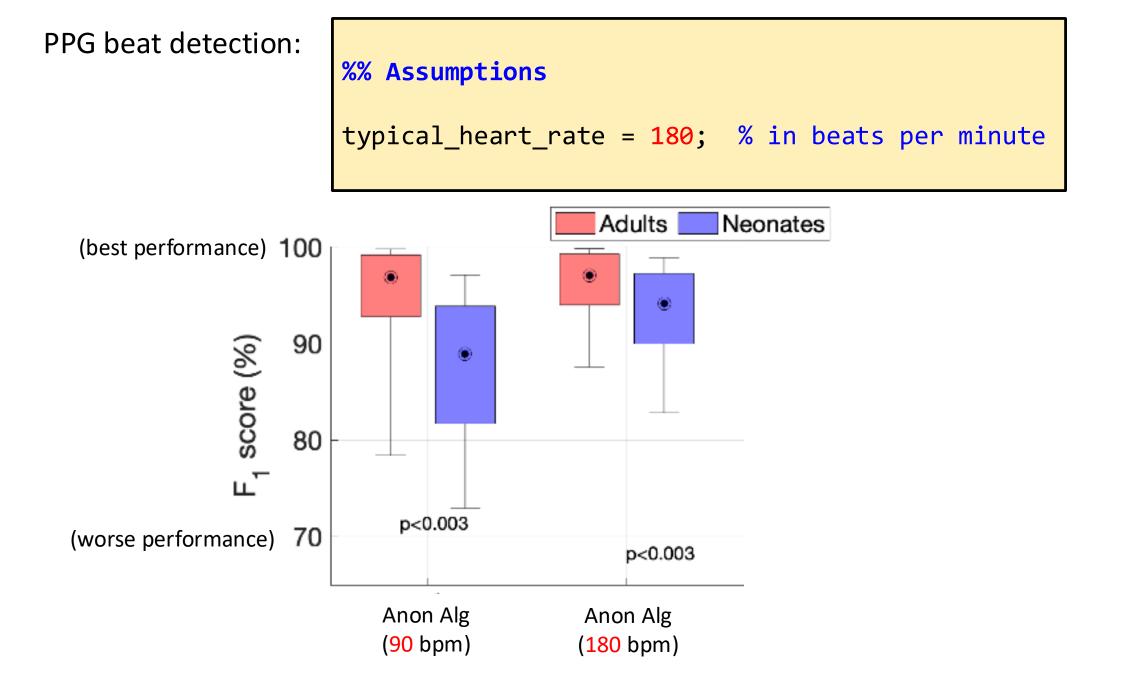
"Equity is the absence of unfair, avoidable or remediable

differences among groups of people ..."

unfair: ecgul travie ing paro algo eitformoan a es elyect geeturp invitte out diveosen sinlegi éotso ther groups remediable: could we improve performance
across groups by modifying this constant?

avoidable: equid singatt euroation time alga figtorint honowilden

betterrapeoficitmanaeoacologserdiofferenetterroaqueoss groups



%% Assumptions
typical\_heart\_rate = 90; % in beats per minute

"Equity is the absence of unfair, avoidable or remediable

differences among groups of people ..."

**unfair**: algorithm was trained on adults to identify a typical heart rate of 90 bpm

remediable: could we improve performance
across groups by modifying this constant?

**avoidable:** could use an alternative algorithm which performs better across different groups

%% Assumptions
typical\_heart\_rate = 90; % in beats per minute

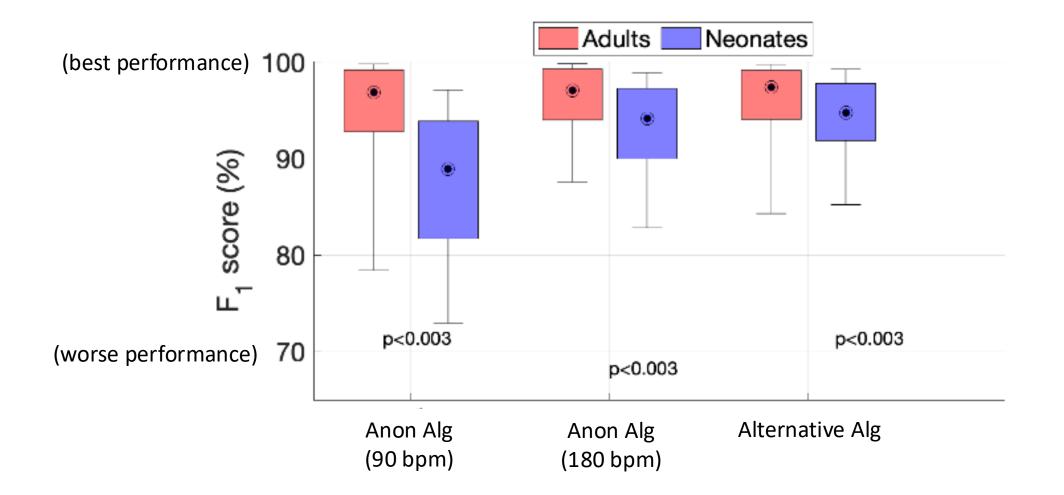
"Equity is the absence of unfair, avoidable or remediable

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**remediable**: could we improve performance across groups by modifying this constant?

**avoidable:** could use an alternative algorithm which performs better across different groups



"Equity is the absence of unfair, avoidable or remediable

differences among groups of people ..."

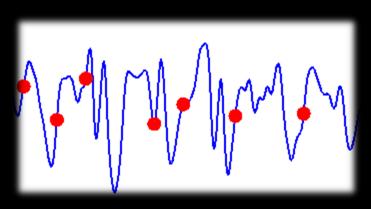
Many, many factors contribute to equity, e.g.

- Performance of wearables
- Acceptability of wearables

#### **1. Introduction to wearables**



#### 2. Our contributions



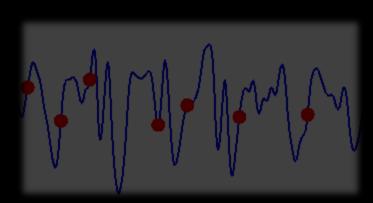
#### **3.** Perspectives



#### **1. Introduction to wearables**



#### **2.** Our contributions



#### **3.** Perspectives

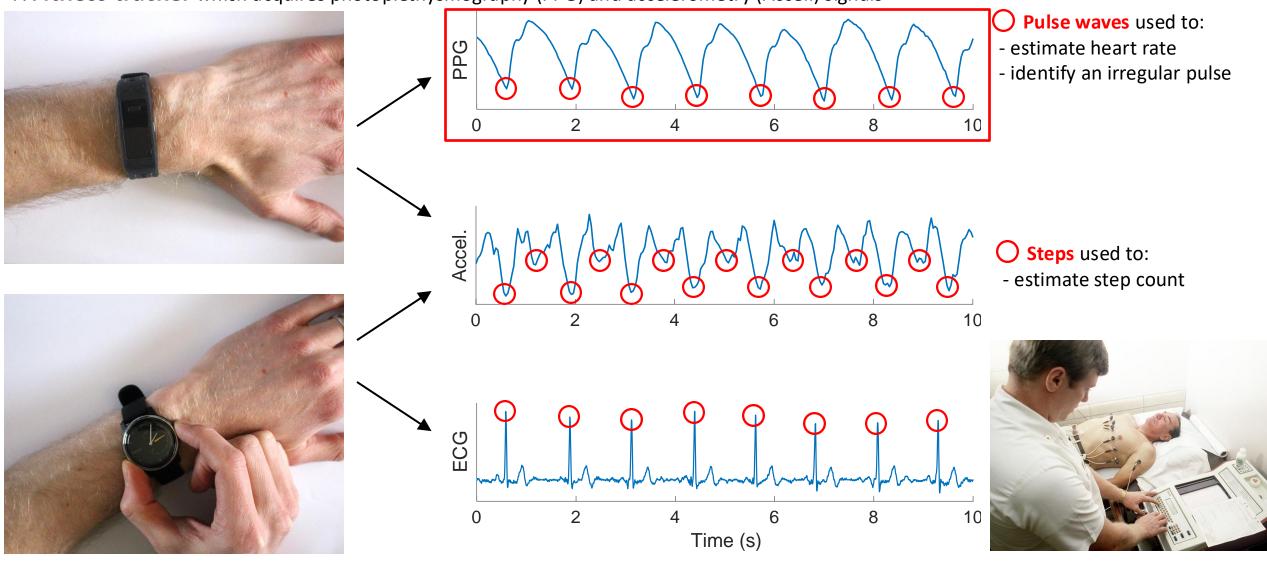


Photo by Dario Valenzuela on Unsplash



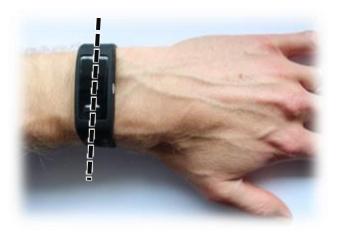
Source: <u>Charlton et al.</u> Individual images: <u>P. Charlton</u> under <u>CC BY 4.0</u>; cropped from <u>image by Marco Verch</u> (<u>CC BY 2.0</u>); cropped image from <u>Passler et al.</u> under <u>CC</u> <u>BY 4.0</u>; cropped from <u>image by GEEK KAZU</u> (<u>CC BY 2.0</u>); cropped from <u>image by Pixels</u> (<u>Pixabay License</u>); cropped from <u>image by Luke Chesser</u> (<u>CC0 1.0</u>).

#### A **Fitness tracker** which acquires photoplethysmography (PPG) and accelerometry (Accel.) signals

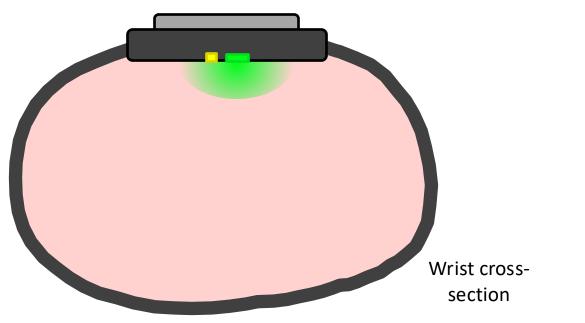


A **Smartwatch** which acquires electrocardiography (ECG) and accelerometry (Accel.) signals

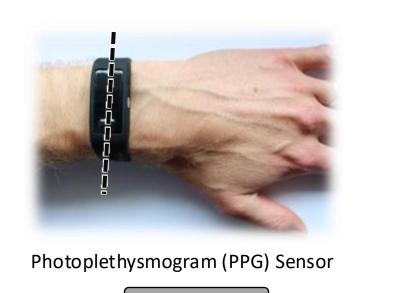
## The Photoplethysmogram



Photoplethysmogram (PPG) Sensor



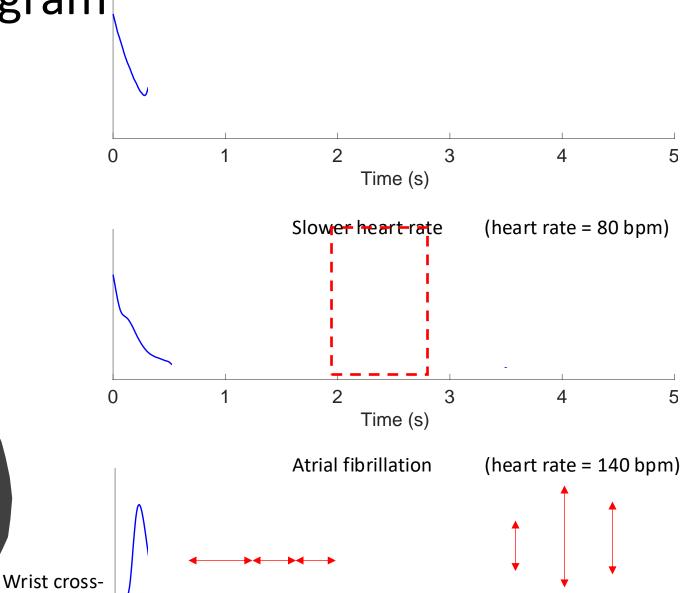
## The Photoplethysmogram



section

0

1



2

3

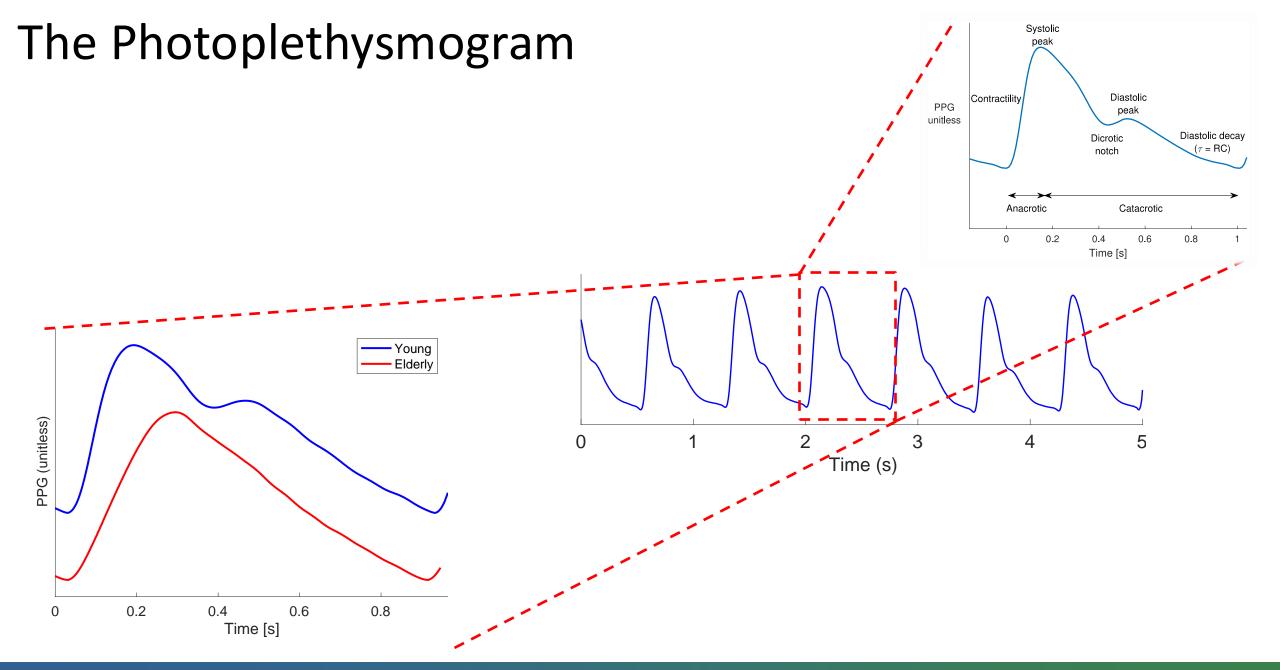
Time (s)

4

5

Photoplethysmogram

(heart rate = 100 bpm)



Charlton PH et al., Wearable photoplethysmography for cardiovascular monitoring, Proc. IEEE, 2022. https://doi.org/10.1109/JPROC.2022.3149785 (CC BY 4.0)

Peter Charlton, PhD Thesis (CC BY 4.0

### Further Reading on Wearable Photoplethysmography

Broad overview:

Charlton P.H. *et al.*, **The 2023 wearable photoplethysmography roadmap**, *Phys Meas*, 2023, <u>https://doi.org/10.1088/1361-6579/acead2</u>

Review article:

Charlton P.H. *et al.*, **Wearable Photoplethysmography for Cardiovascular Monitoring**, Proc. *IEEE*, 2022, <u>https://doi.org/10.1109/JPROC.2022.3149785</u>

Textbook chapter:

Charlton P.H. and Marozas V., Wearable photoplethysmography devices, Photoplethysmography, 2021, https://doi.org/10.1016/B978-0-12-823374-0.00011-6

#### **1. Introduction to wearables**

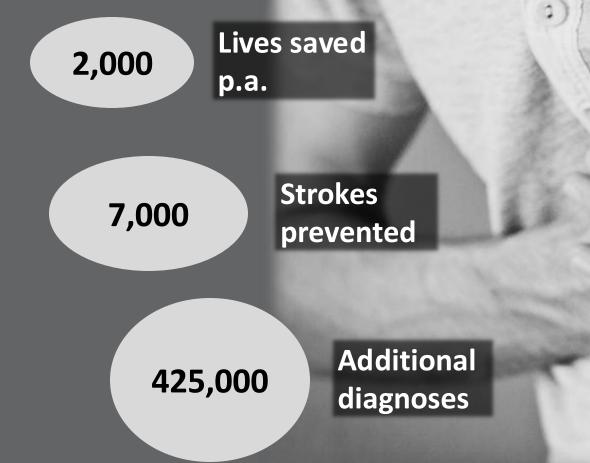


# MMMM

#### **3.** Perspectives







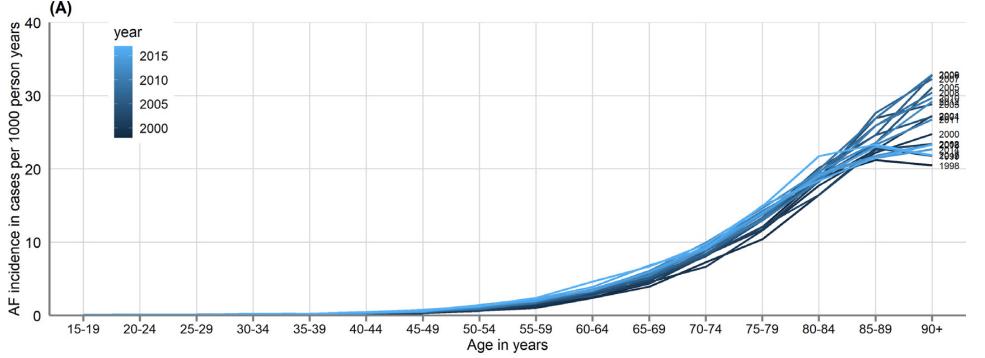
Stroke Association, "<u>State of the Nation</u>," 2017. Public Health England, "<u>Atrial fibrillation prevalence estimates in England ...</u>", 2015.

Pexels, https://pixabay.com/photos/man-heartache-chest-pain-hurt-pain-1846050/ (Pixabay Licence)

## Target population and target setting

#### Older adults because:

• AF incidence increases with age



From primary and secondary electronic health records of 3.4 million individuals in England

#### In daily life because:

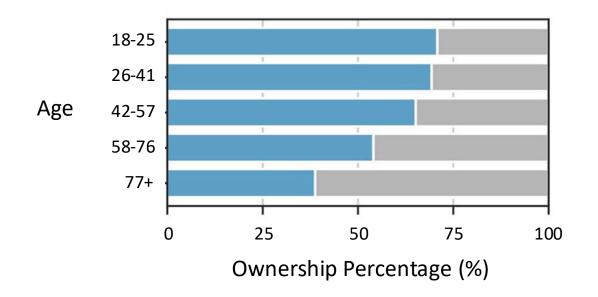
• AF can occur infrequently

Wu J *et al.*, 'Temporal trends and patterns in atrial fibrillation incidence: A population-based study of 3.4 million Individuals', <a href="https://doi.org/10.1016/j.lanepe.2022.100395">https://doi.org/10.1016/j.lanepe.2022.100395</a> (CC BY 4.0)

## Smartwatch ownership

Not everyone has a smartwatch, particularly older adults

An electronic survey of 1,368 patient advisory group members:



Shandhi MH et al., 'Assessment of ownership of smart devices and the acceptability of digital health data sharing', https://doi.org/10.1038/s41746-024-01030-x (CC BY 4.0)









**Aim:** Assess performance and acceptability of wearables for detecting atrial fibrillation (AF)

#### Methods:

- In 130 older adults, aged 65+, half of whom have AF
- Two wrist-worn devices: smartwatch, wristband
- Reference ECG chest patch
- Questionnaire

#### Progress:

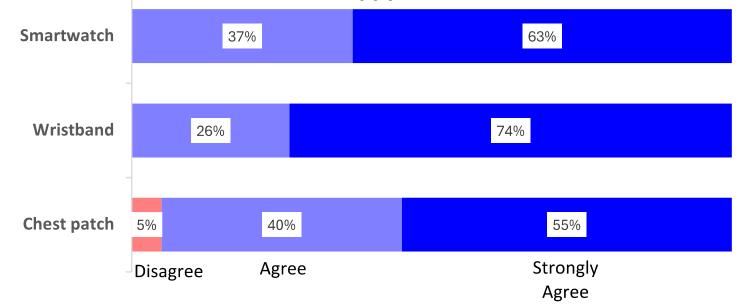
• 21 out of 130 participants to date.







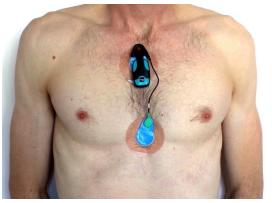
#### If the device was regularly used to check people's health then I would be happy to wear it for a week.



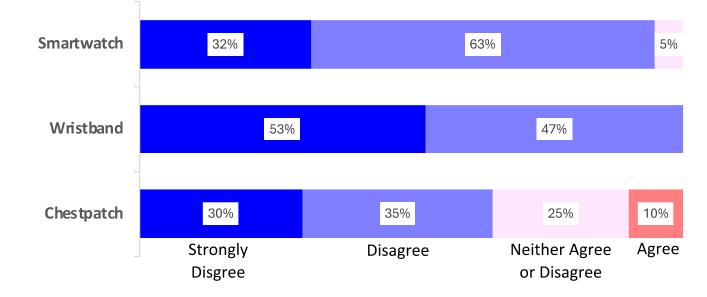
The SAFER Wearables Study, <u>NCT04715555</u>

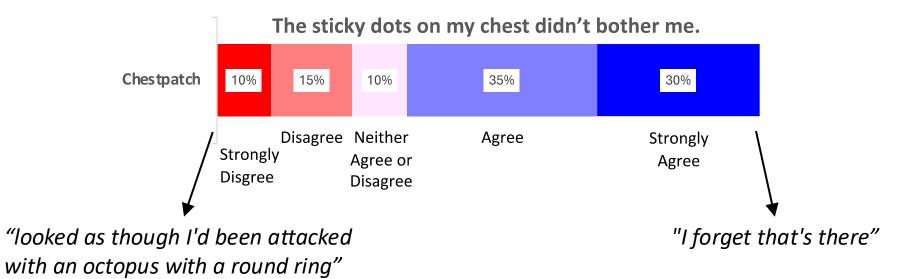




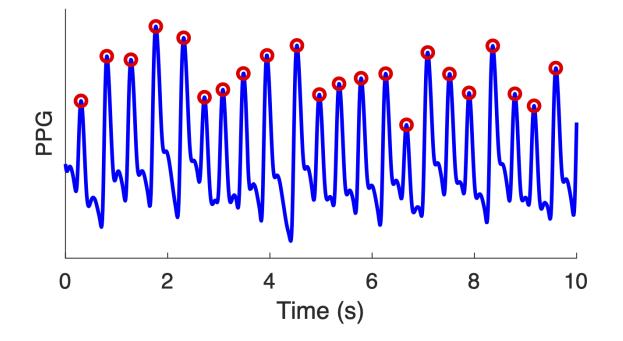


#### The device was uncomfortable





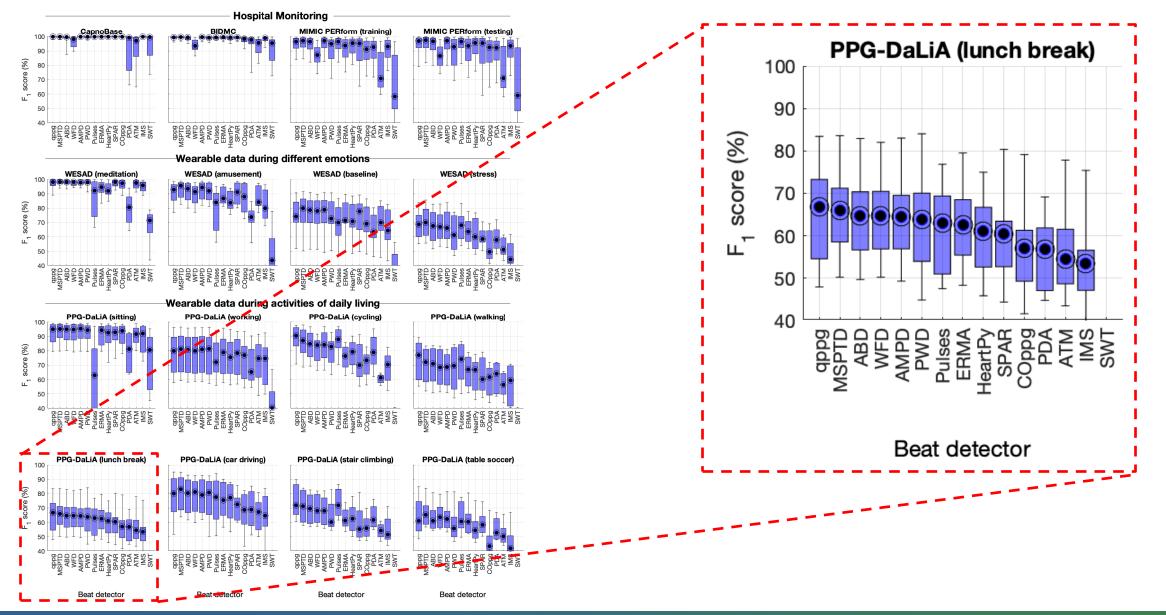
The SAFER Wearables Study, <u>NCT04715555</u>



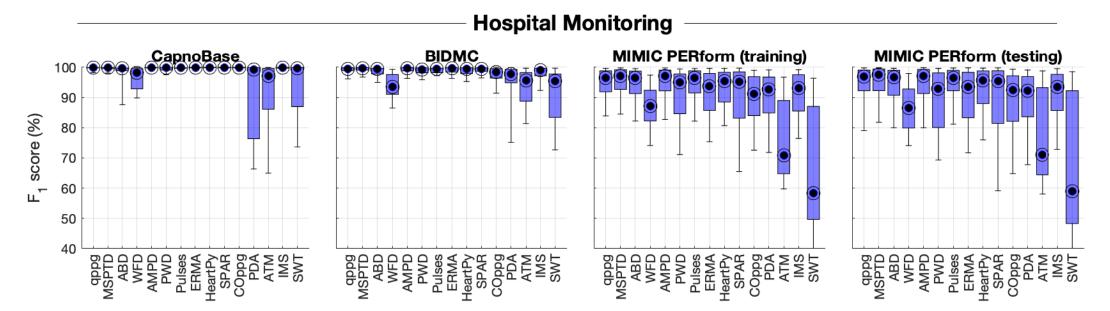
**Aim:** To identify the best algorithm to detect heart beats in photoplethysmography signals.

#### Methods:

- Fifteen open-source beat detection algorithms
- Assessed against electrocardiogram-derived heartbeats
- On eight datasets.

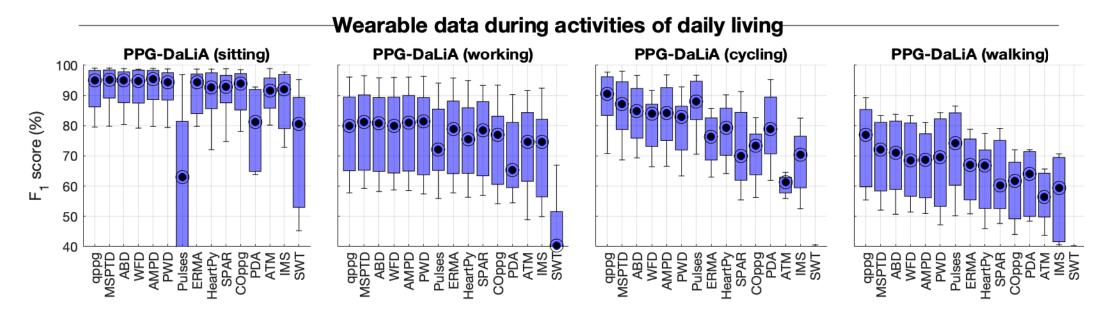


Charlton PH et al., Detecting beats in the photoplethysmogram: benchmarking open-source algorithms, Phys Meas., 2022. https://doi.org/10.1088/1361-6579/ac826d (CC BY 4.0)



Eight beat detectors performed well at rest in the absence of movement

F1 scores of >=90% on hospital data and wearable data

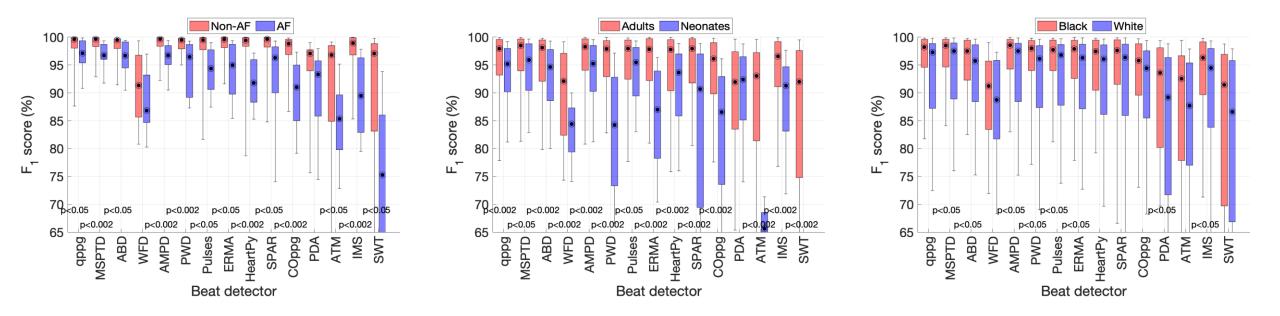


Eight beat detectors performed well at rest in the absence of movement

• F1 scores of >=90% on hospital data and wearable data

Their performance was poorer during exercise:

• F1 scores of 55%–91%



Eight beat detectors performed well at rest in the absence of movement

• F1 scores of >=90% on hospital data and wearable data

Their performance was poorer during exercise:

• F1 scores of 55%–91%

Performance was:

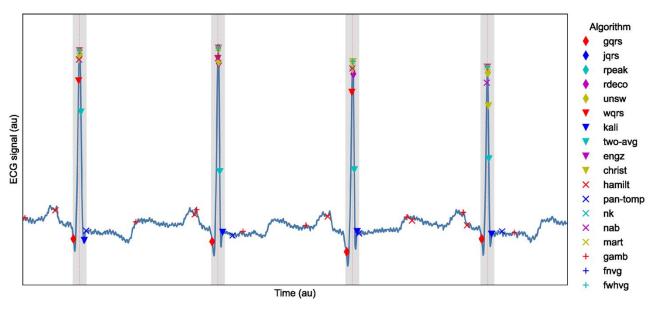
- Poorer in AF
- Poorer in neonates than adults
- Not associated with ethnicity (Black compared with White)

Concluded that 'MSPTD' and 'qppg' performed best, although this is somewhat subjective.

# Using photoplethysmography to prompt single-lead ECG

5.42

### Benchmarking ECG beat detectors



**Aim:** To identify the best-performing open-source QRS detector for use with telehealth ECGs

#### Methods:

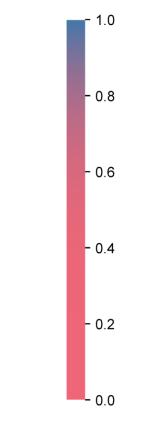
- 18 open-source beat detection algorithms
- Assessed against manual annotations
- On six datasets.
- Performance expressed as F1-score (ranges from 0 to
  - 1, with 1 being best).

Kristof F *et al.*, QRS detection in single-lead, telehealth electrocardiogram signals: Benchmarking open-source algorithms, *PLOS Digital Health*, 2024. <u>https://doi.org/10.1371/journal.pdig.0000538</u> (CC BY 4.0)

### Benchmarking ECG beat detectors

	ARR -	HIGH .	- MOJ	
wqrs -	0.98	0.99	0.97	
unsw -	1.00	1.00	0.99	
two-avg -	0.99	1.00	0.99	
rpeak -	1.00	1.00	0.81	
rdeco -	1.00	1.00	0.99	
pan-tomp -	0.99	0.99	0.98	
nk –		1.00	0.97	
nab -		0.99	0.91	
mart -	0.99	1.00	0.78	
kali -	0.98	1.00	0.98	
jqrs -		1.00	0.81	
hamilt -		0.99	0.97	
gqrs -	0.99	1.00	0.98	
fwhvg - gamb -		1.00 0.59	0.98 0.67	
fnvg -		1.00	0.98	
engz -	0.97	0.99	0.78	
christ -	0.98	0.99	0.96	

detector



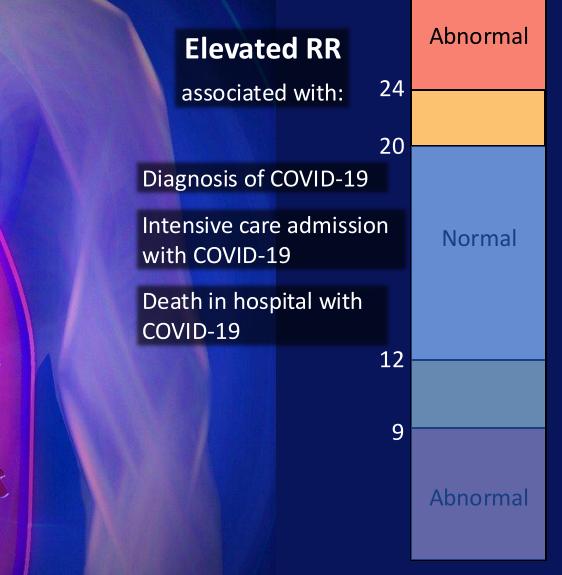
#### **Results:**

Using 'F1 score  $\geq 0.96$ ' as the criteria for determining whether an algorithm performed well:

- 12 algorithms performed well on ECGs collected under clinical supervision.
- Fewer performed well on telehealth ECGs:
  - Five performed well on the TELE dataset
  - Six performed well on high-quality SAFER data
  - Performance was poorer on low-quality SAFER data.

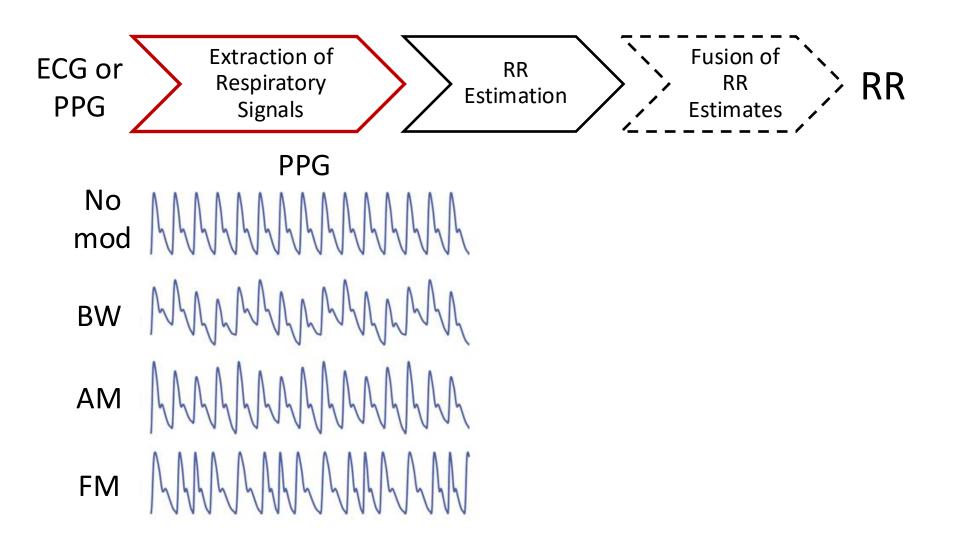
Kristof F *et al.*, QRS detection in single-lead, telehealth electrocardiogram signals: Benchmarking open-source algorithms, *PLOS Digital Health*, 2024. <u>https://doi.org/10.1371/journal.pdig.0000538</u> (<u>CC BY 4.0</u>)

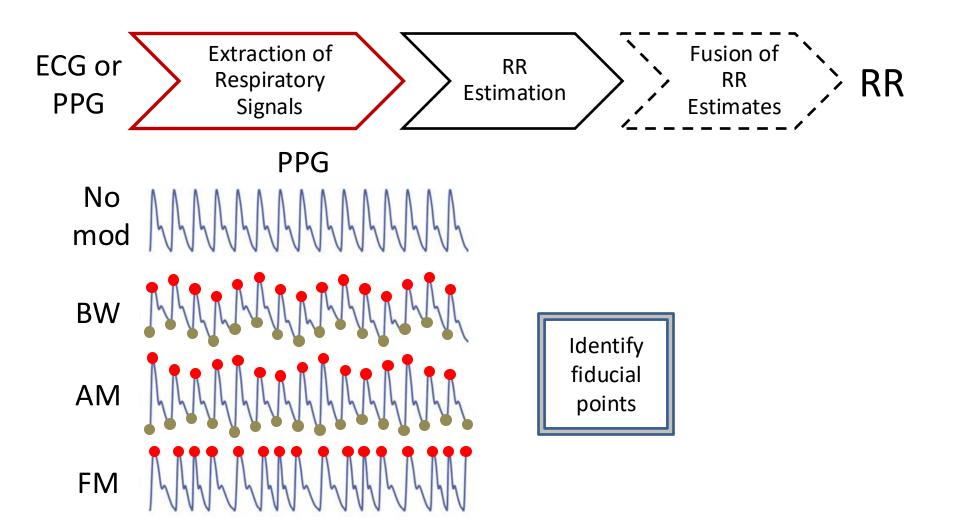
### Respiratory rate (RR) (breaths per minute)

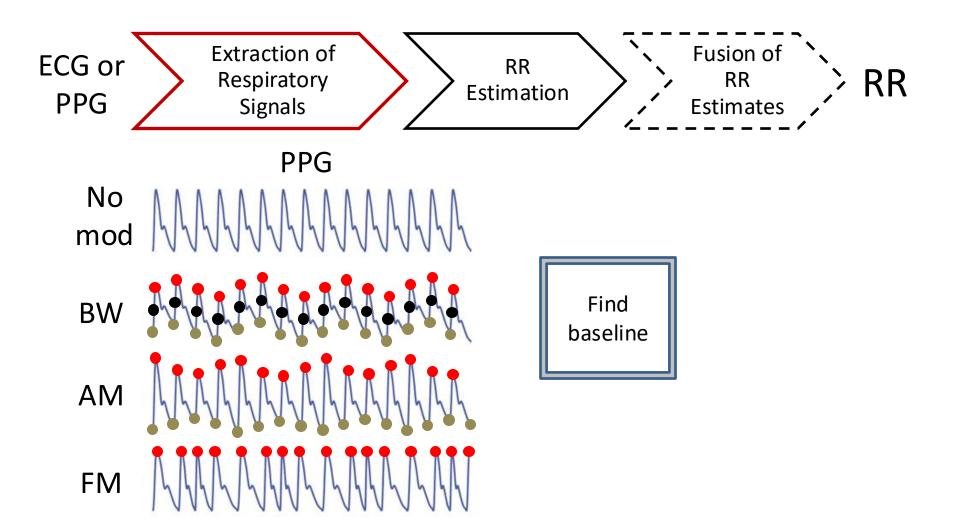


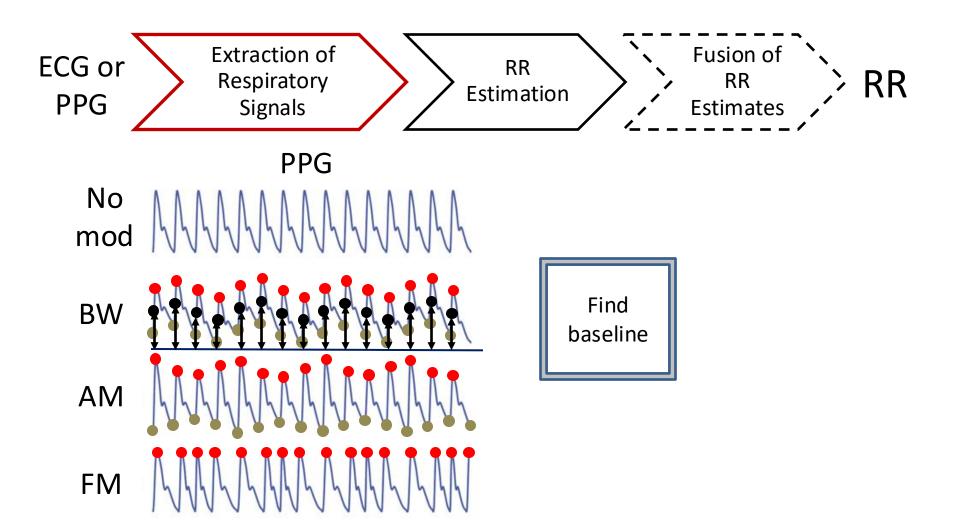
kalhh, Pixabay, https://pixabay.com/illustrations/upper-body-lung-copd-disease-944557/ Royal College of Physicians, 'National Early Warning Score (NEWS) 2: Standardising the assessment of acute-illness severity in the NHS', 2017 DOI: 10.1016/S2589-7500(20)30274-0 ; DOI: 10.1001/jama.2020.6775 DOI: 10.1002/emp2.12350 DOI: 10.1017/ice.2020.461

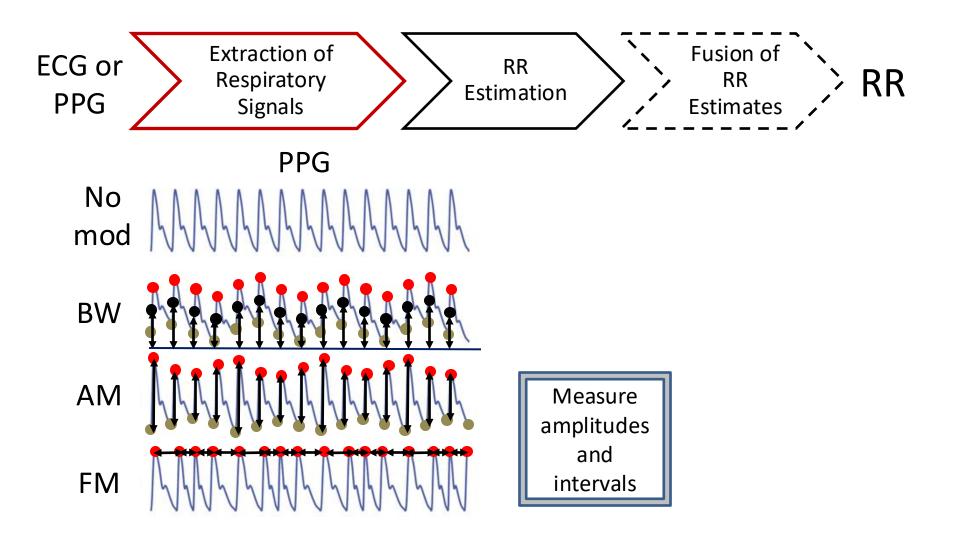
**Peter Charlton** 

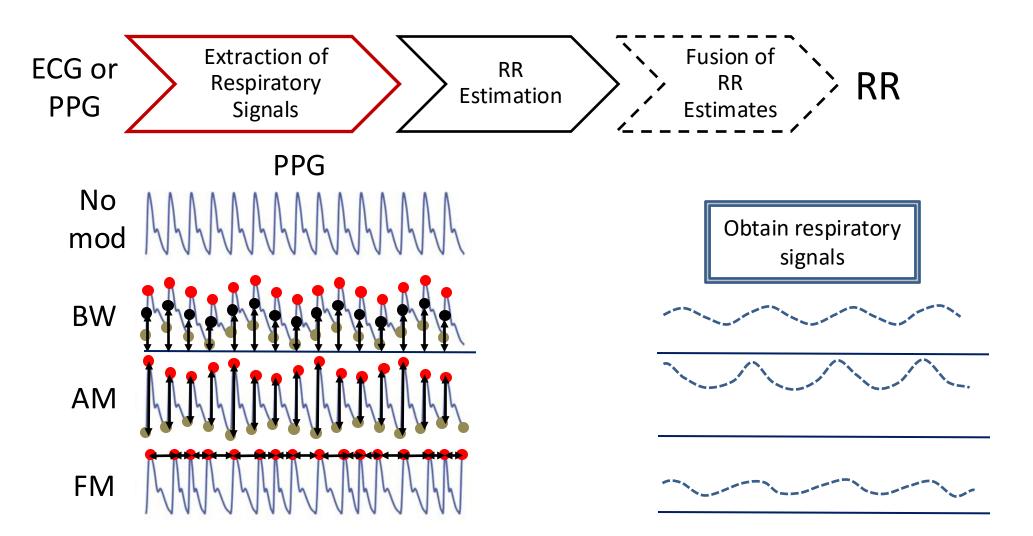


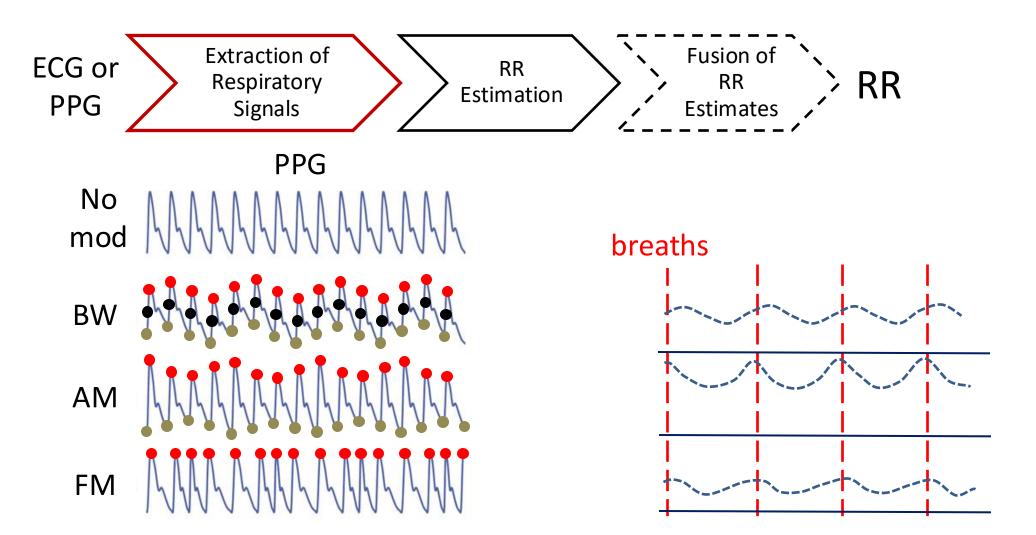


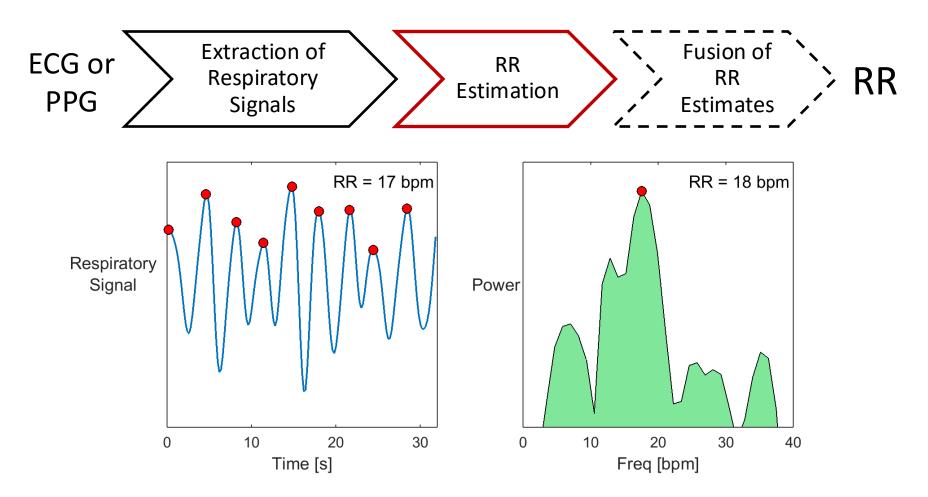


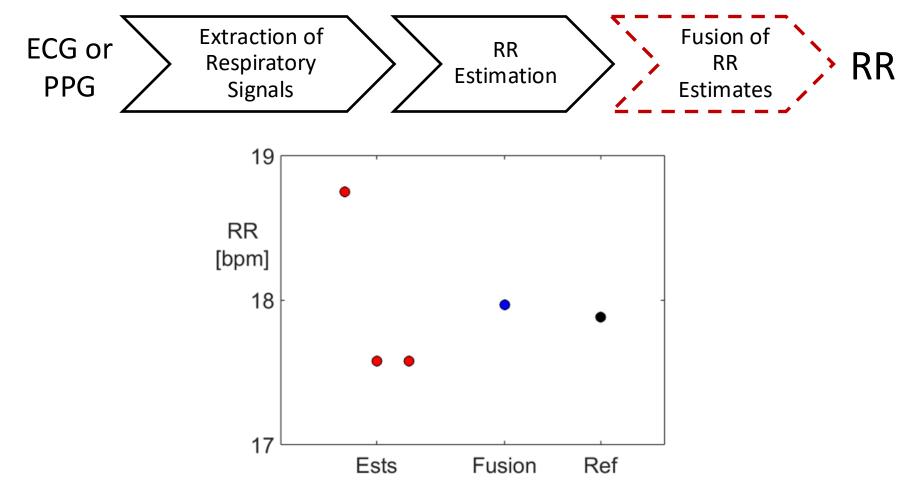




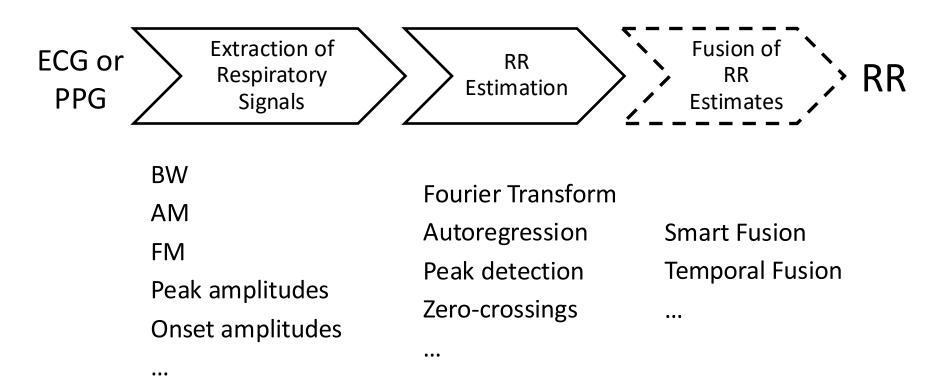








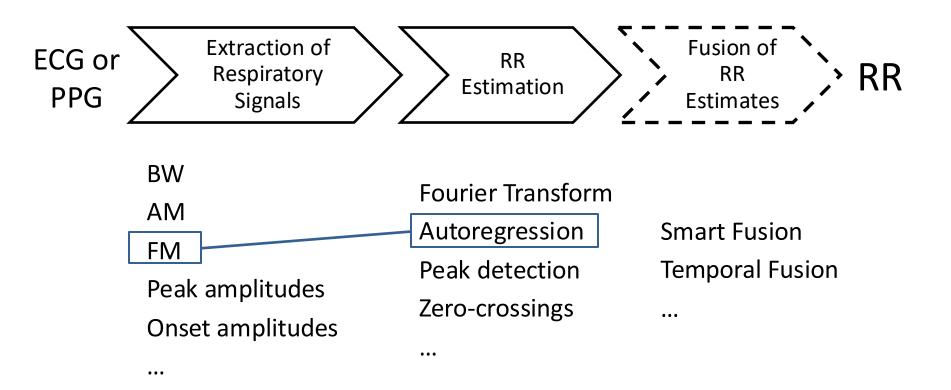
Source: Charlton PH. Zenodo, 2018. CC BY 4.0

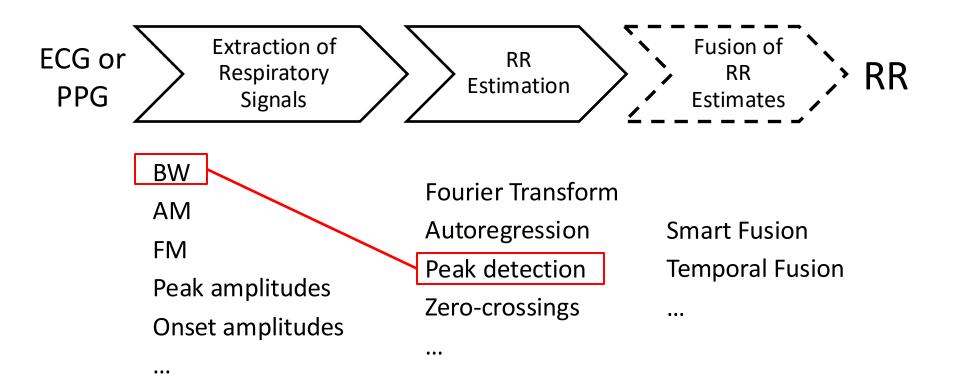


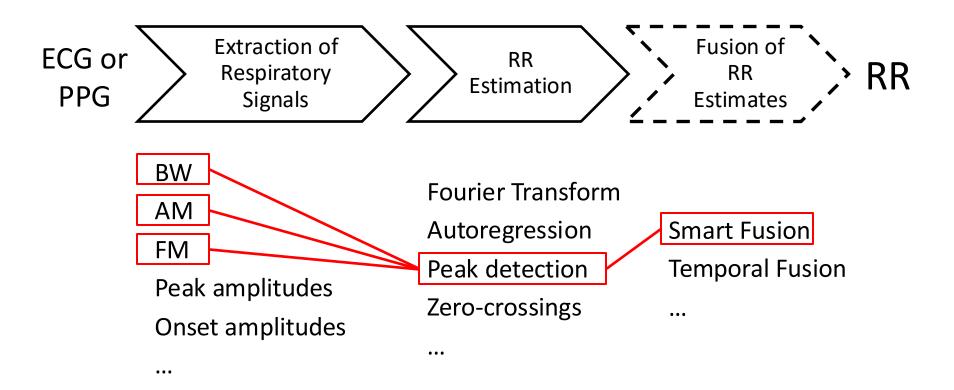
#### Further reading:

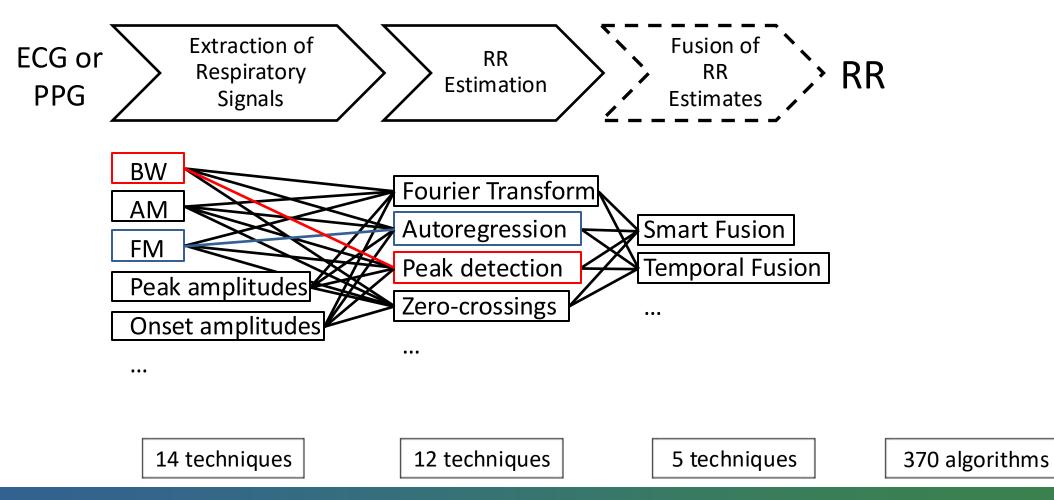
Charlton PH *et al.*, Breathing rate estimation from the electrocardiogram and photoplethysmogram: a review. *IEEE Rev. Biomed. Eng.* **2018**, *11*, 2–20. doi:<u>10.1109/RBME.2017.2763681</u>

**Peter Charlton** 

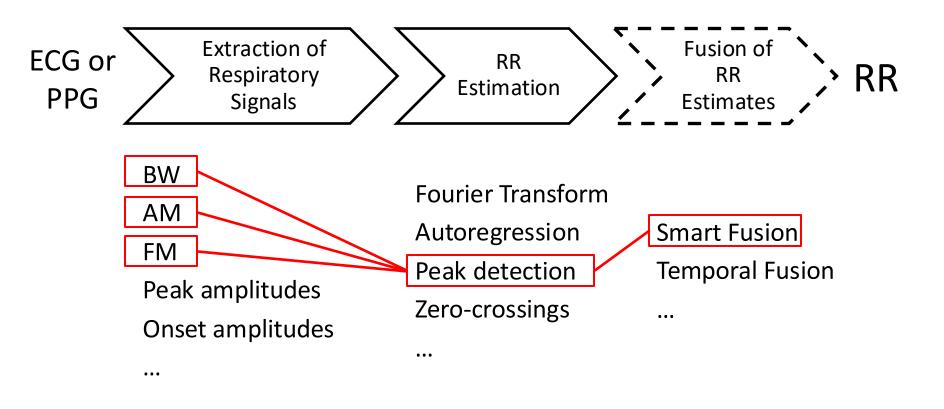






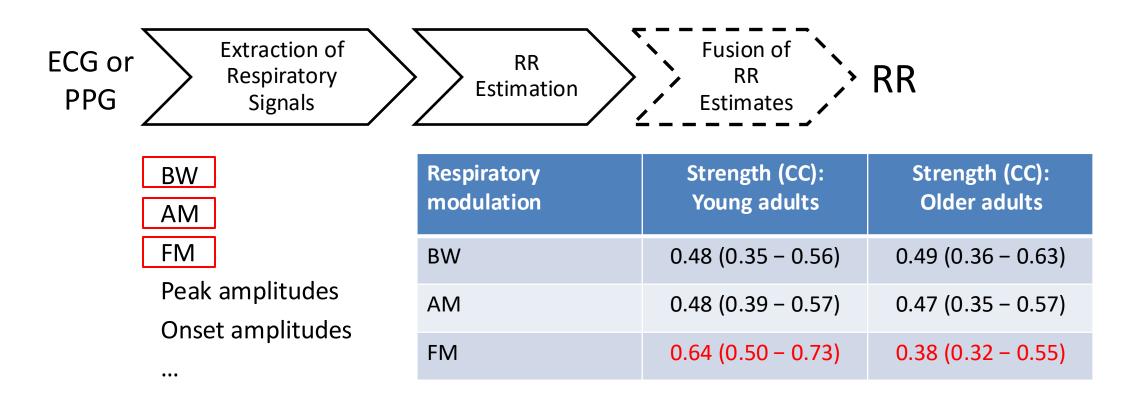


### The winner...

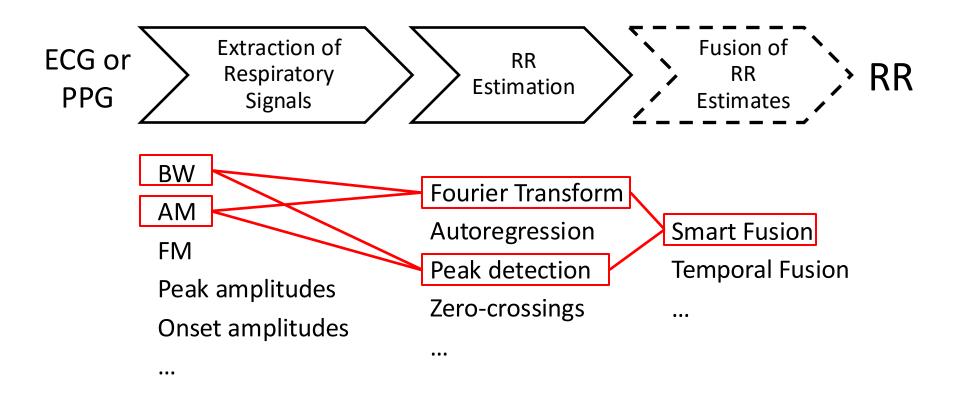


... on young subjects

### The strength of respiratory modulations

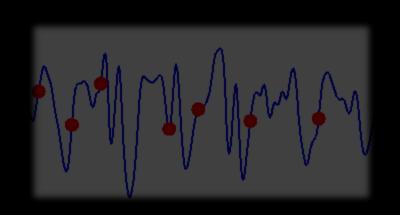


# The (revised) winner...



#### **1. Introduction to wearables**

### **2.** Our contributions



### **3.** Perspectives



Photo by Dario Valenzuela on Unsplash

### Perspectives

### Areas for inequity:

- Many, many potential sources of inequity for wearables. See:
- D. M. Kaplan, M. Greenleaf, and W. A. Lam, 'Wear With Care: A Call for Empirical Investigations of Adverse Outcomes of Consumer Health Wearables', *Mayo Clinic Proceedings: Digital Health*, vol. 1, no. 3, pp. 413–418, Sep. 2023, doi: <u>10.1016/j.mcpdig.2023.06.014</u>.

### Equity in academic research: Open science

• In my academic role, I value sharing our work. e.g.

Providing open-source toolboxes of algorithms

#### MATLAB Toolbox

#### **Respiratory Rate Estimation**

Research into estimation of respiratory rate from physiological signals

#### The Respiratory Rate Estimation project.

The aim of the Respiratory Rate Estimation project is to develop and assess methods for automated respiratory rate (RR) monitoring. It consists of a series of studies of different algorithms for RR estimation from clinical data, complimented by the provision of publicly available datasets and resources.

#### **News:** The BIDMC PPG and Respiration dataset is now publicly available here.



#### https://peterhcharlton.github.io/RRest

- Providing open-source toolboxes of algorithms
  - Ease of use

#### MATLAB Toolbox

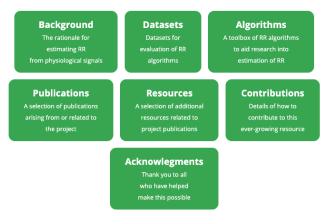
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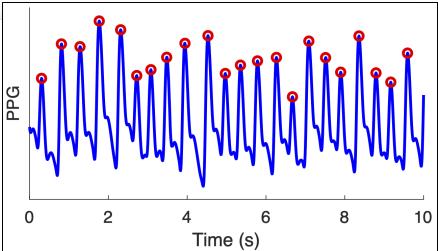


#### https://peterhcharlton.github.io/RRest

- Providing open-source toolboxes of algorithms ٠
  - Ease of use •

PPG-beats Home	Toolbox 🗸	Datasets -	Functions -	Tutorials 🗸	Q	Search	← Previous	Nex
PPG Beat Detectors		PPG	a Beat	Detectors				
Adaptive Threshold Bea	at Detector	Algorithms to	o detect beats in	photoplethysmogram (PPG) signals				
Automatic Beat Detection	on							
Automatic Multiscale-ba	ased Peak			0	otoplethysmogram (PPG). This page nd see this tutorial for an example of			these
Percentile Peak Detecto	or							
Event-Related Moving A	Averages	Adapti	ve Ihres	shold Beat Detecto	r			
HeartPy Incremental Merge Seg	mentation	÷ .		S et al., Adaptive threshold method t DOI: 10.1016/j.compbiomed.2009.1	for the peak detection of photopleth	ysmograph	ic waveform. C	Comput
Multi-Scale Peak and Tr		Description	:					
Detection	ough	Link: atmax	_beat_detector (s	see also atmin_beat_detector)				
Peak Detection Algorith	m	Licence: MI	T Licence					
Pulse Wave Delineator		<b>A</b> .						
PPG Pulses Detector		Autom	latic Bea	t Detection				
Adapted Onset Detecto	r	• .	Dication: Aboy M DI: 10.1109/TBME		algorithm for pressure signals. IEEE	Trans Bion	ned Eng 2005;	; 52:
Stationary Wavelet Tran Detector	sform Beat	Description	:					<b>/</b> v:
Symmetric Projection A	ttractor	Link: abd_b	eat_detector					
Reconstruction Detecto	r	Licence: GN						

#### https://ppg-beats.readthedocs.io/

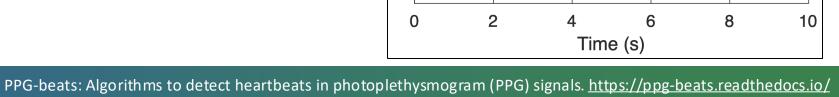


Next 🔶

- Providing open-source toolboxes of algorithms
  - Ease of use
  - Programming language

PPG Beat Det Adaptive Thres Automatic Bea	shold Beat Detector		Beat detect beats in							
Detection	tiscale-based Peak			0			, , ,	G). This page provid n example of how to		these
Percentile Peal	K Detector Moving Averages	Adaptiv	/e Thres	shold E	Beat De	etector				
HeartPy		Original publ	ication: Shin H	S et al., Adap	otive threshold			f photoplethysmog	raphic waveform. (	Comput
Multi-Scale Pe	erge Segmentation ak and Trough	Description: Link: atmax_t	peat_detector (s	see also atmi	n_beat_detec	stor)				
Peak Detection	n Algorithm	Licence: MIT	Licence							
Pulse Wave De		Automa	atic Bea	t Dete	ction					
Adapted Onset		• •	ication: Aboy N : 10.1109/TBM			t detection algo	rithm for pressure :	signals. <i>IEEE Trans</i>	Biomed Eng 2005;	52:
Stationary Way Detector	velet Transform Beat	Description:	10.1100/12/01							🗐 v: la
Symmetric Pro Reconstruction	jection Attractor Detector	Link: abd_bea	at_detector J GPL Licence							

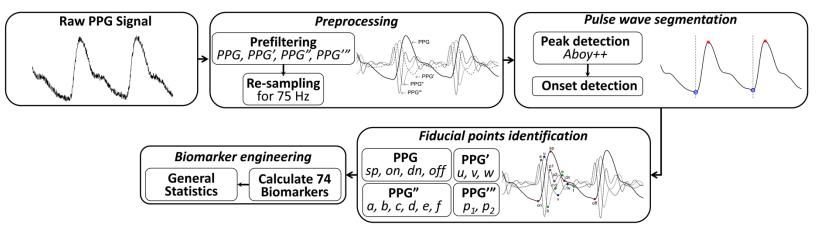
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- Providing open-source toolboxes of algorithms
  - Ease of use
  - Programming language

#### pyPPG toolbox

A toolbox for finger photoplethysmogram (PPG) analysis, including beat detection, fiducial point detection, and comprehensive assessment of standard biomarkers.



https://pyppg.readthedocs.io/

- Providing open-source toolboxes of algorithms
  - Ease of use
  - Programming language
- Providing open datasets

### **Pulse Wave Database**

A database of simulated pulse waves

#### Background Algorithms The Database The rationale for Algorithms used to Download the a database of pulse wave create and analyse simulated pulse waves database the pulse wave database **Publications** Contributions Acknowlegment **Publications arising** Details of how to Thank you to all from or related to contribute to the who have helped make this possible the project project

**News:** The Pulse Wave Database can now be accessed here.

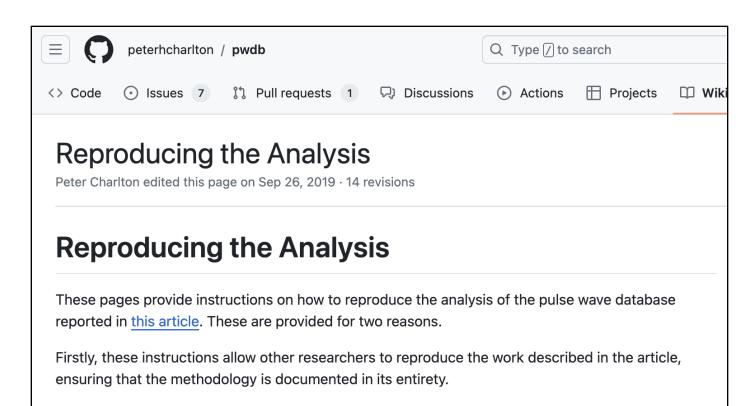
#### The Pulse Wave Database project

The aim of this project is to develop a database of simulated pulse waves for in silico testing of pulse wave analysis algorithms.

#### https://peterhcharlton.github.io/pwdb

Charlton PH *et al.*, Modeling arterial pulse waves in healthy aging: a database for in silico evaluation of hemodynamics and pulse wave indexes, AJP Heart Circ, 2019. <u>https://doi.org/10.1152/ajpheart.00218.2019</u> (CC BY 4.0)

- Providing open-source toolboxes of algorithms
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- Providing open datasets
- Reproducible research



Secondly, this process may be a useful starting point for researchers using the database for their own research.

- Providing open-source toolboxes of algorithms
  - Ease of use
  - Programming language
- Providing open datasets
- Reproducible research
- Educational resources



Signal Processing and Learning for Wearables

Q Search this book...

Introduction	
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Case Studies	~
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### Introduction

4

This book presents an introduction to processing wearable sensor data using signal processing techniques and machine learning.



Fig. 1 A wearable device. Photo by Luke Chesser on Unsplash

- The book includes:
  - Overview: The aims of the book, and details of accompanying workshops.
  - Background: The background to wearable devices, including the signals they measure, the physiology behind the signals, and their applications.
  - Resources: Details of the datasets and code used in the book.
  - Tutorials: Interactive tutorials on signal processing and machine learning techniques.
  - Case Studies: A case study on cuffless blood pressure estimation using the MIMIC Database.

Summary

### https://peterhcharlton.github.io/bsp-book/

C 0 ±

- Providing open-source toolboxes of algorithms
  - Ease of use
  - Programming language
- Providing open datasets
- Reproducible research
- Educational resources
- Open access publications

Peter Chariton Home Projects Publications News Talks Resources Students CV

#### Overview

This new textbook, titled *Photoplethysmography*, is due to be published in late 2021. Several experts in the field have contributed to the textbook, which is intended to provide a comprehensive summary of the theory, principles and technology of photoplethysmography.

#### Sample Chapters

The following sample chapters are available:

- 1. **Photoplethysmography signal processing and synthesis**: A comprehensive overview of signal processing techniques for the photoplethysmogram signal.
- 2. Wearable photoplethysmography devices: A comprehensive overview of the state-ofthe-art of wearable photoplethysmography devices.

#### Link to Published Version

The published version of the textbook is available here, and can be previewed here. (Note that some of the images in the preview version are in black and white, whereas the sample chapters above contain full colour images.)

 $\sim$  in  $\odot$ 

atrial fibrillation	cardiovascular monitoring	photoplethysmography	SAFER Wearables Study
wearables			

### https://peterhcharlton.github.io

### Open science: an example

### Providing open-source toolboxes of algorithms

- Ease of use
- Programming language
- Providing open datasets
- Reproducible research
- Educational resources
- Open access publications

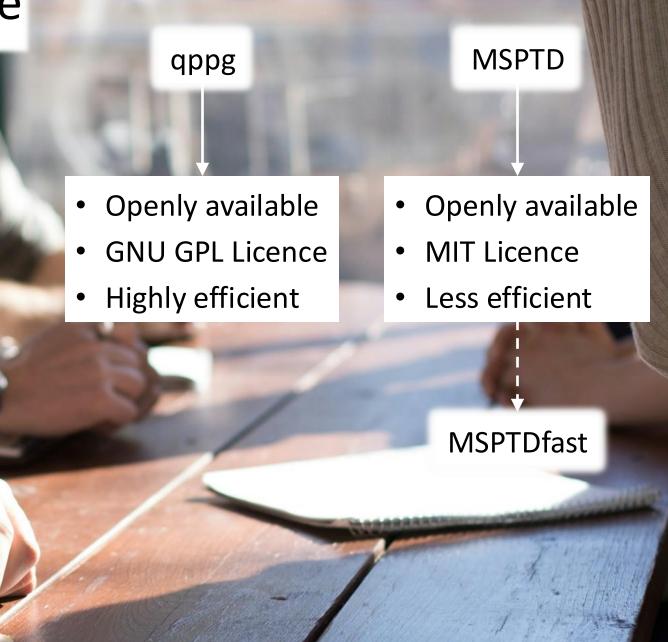
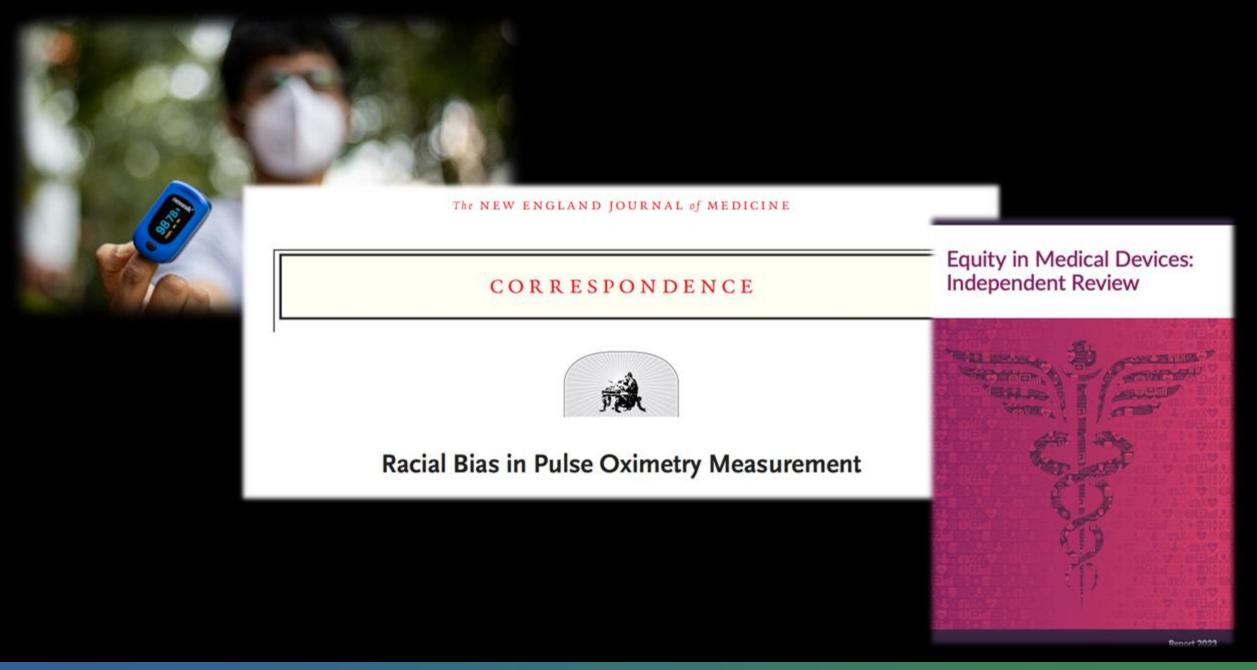


Photo by Dylan Gillis on Unsplash (Unsplash license)



Sjoding MW *et al.*, NEJM, 2020, <u>https://doi.org/10.1056/NEJMc2029240</u> Photo by <u>Syed Ali</u> on <u>Unsplash</u> <u>https://www.gov.uk</u>

<u>IEJMc2029240</u> <u>https://www.gov.uk/government/publications/equity-in-medical-devices-independent-review-final-report (OGL 3.0)</u> With thanks to ...

Prof Jonathan Mant Prof Panicos Kyriacou

The SAFER Research Team University of Cambridge City, University of London King's College London

British Heart Foundation NIHR EPSRC

... and many, many others



Photoplethysmography is now widely used in wearable devices, with many potential applications.

Photoplethysmography-based wearables have shown great promise in certain applications such as detecting atrial fibrillation.

However, there is much work to do to ensure the potential benefits of photoplethysmography are available to all, making photoplethysmography-based devices as reliable as a climbing rope.



# Equitable Photoplethysmography in Wearables: Accurate Data for All

Dr Peter H. Charlton

https://peterhcharlton.github.io

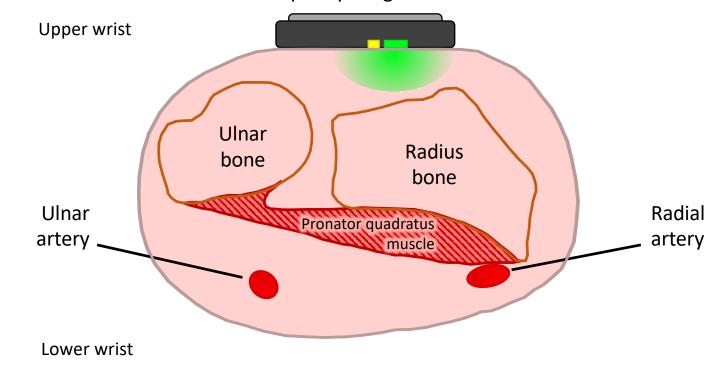
pc657@cam.ac.uk

Slides available at: <u>https://doi.org/10.5281/zenodo.13833790</u> (CC BY 4.0)

### Wrist photoplethysmography: limitations



Photoplethysmogram (PPG) Sensor



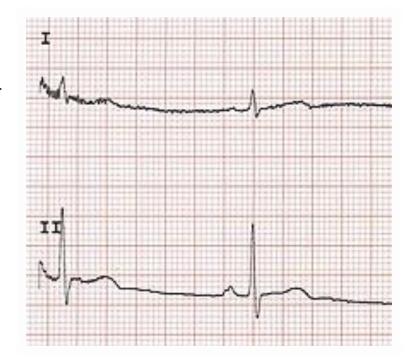
Photoplethysmogram Sensor

Charlton PH *et al.*, Wearable photoplethysmography for cardiovascular monitoring, Proc. IEEE, 2022. <u>https://doi.org/10.1109/JPROC.2022.3149785</u> (<u>CC BY 4.0</u>) Adapted from: H. Gray, Anatomy of the Human Body, W. H. Lewis, Ed., 2nd ed. New York, NY, USA: Lea and Febiger, 1918

### Single-lead ECG: limitations



- Dry electrodes
- Recorded without clinical supervision
- Lead I



"Sinus P waves are usually most prominently seen in leads II and V1"

Meek S and Morris F, 'ABC of clinical electrocardiography: Introduction. II—basic terminology', https://doi.org/10.1136/bmj.324.7335.470