## World Data System Webinar Series 2024

### **Enhancing Data Sharing: Insights and Initiatives**

### 18 September 2024 19:00 UTC / 15:00 EDT



## Explore the Path to Proper Participation: Visit Our Etiquette Page!

• Go to worlddatasystem.org/virtual-meeting-etiquette

or scan the QR code

• We welcome your questions in the Q&A section

• Please note that this webinar will be recorded

wds-ipo@utk.edu



WDS Virtual Meeting Etiquette



## Speakers







**Kerry Goetz** 

### Andrea Guemez

**Amber Reed** 



World Data System

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worlddatasystem.org

# Agenda

5 Minutes – Welcome &Logistics - (Cameron)
10 Minutes – NIH & Data Sharing (Kerry)
20 Minutes – Yet2 data sharing study (Andrea)
10 Minutes – NIH data sharing new initiative (Amber)
10 Minutes – Q&A
5 Minutes – Closing



# Please Welcome Kerry Goetz from NIH!





### NIH Data Management and Sharing

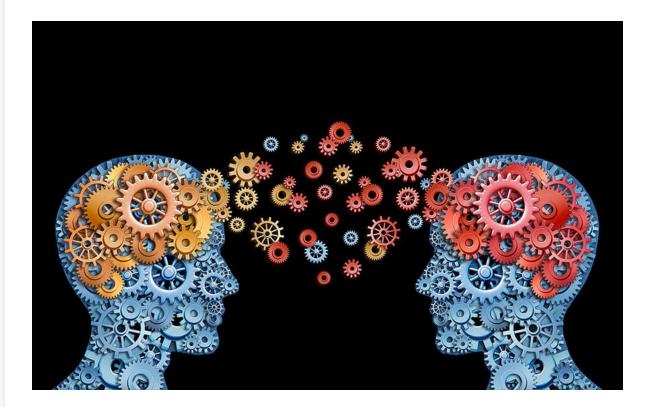
Kerry E Goetz

National Eye Institute, NIH

Associate Director, Office of Data Science and Health Informatics

World Data System

Sept. 18, 2024



## Benefits to Data Sharing

• Better Science – reproducibility, collaboration, accelerate future science

• **Public Trust** – transparency, maximize contributions of participants, good stewardship

#### **FAIR Principles**

GO FAIR is committed to making data and services findable, accessible, interoperable and reusable (FAIR).

**Findable**: Metadata and data should be easy to find for both humans and computers.

Accessible: The exact conditions under which the data is accessible should be provided in such a way that humans and machines can understand them.

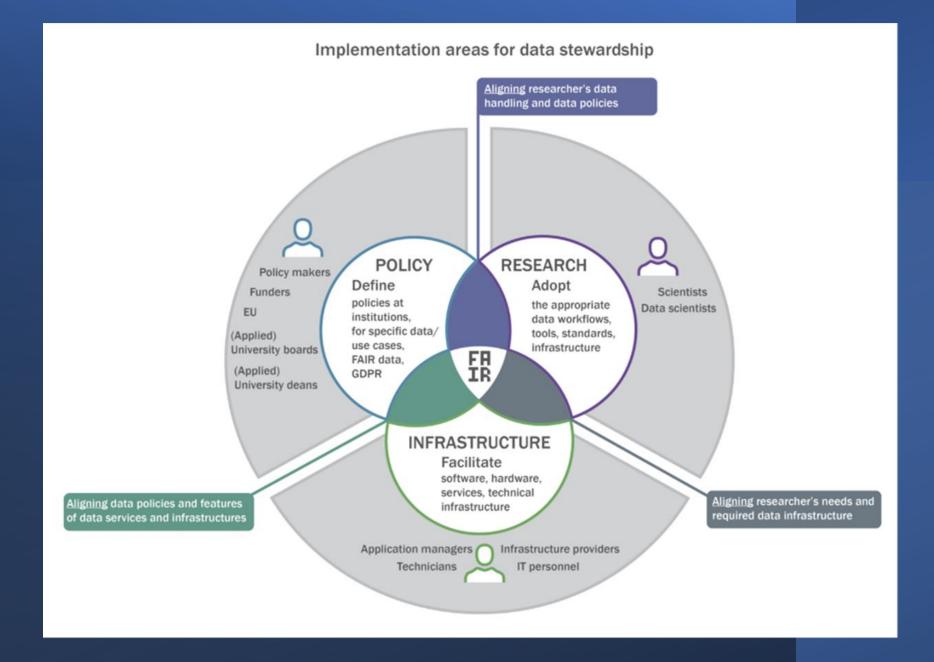


Jm

**Interoperable**: The (meta)data should be based on standardized vocabularies, ontologies, thesauri, etc. so that it integrates with existing applications or workflows.

**Reusable**: Metadata and data should be well-described so that they can be replicated and/or combined in different research settings.





## We the People...

...Require free access over the Internet to scientific journal articles arising from taxpayer-funded research.



25,000 GOAL

65,704 SIGNED

We believe in the power of the Internet to foster innovation, research, and education. Requiring the published results of taxpayer-funded research to be posted on the Internet in human and machine-readable form would provide access to patients and caregivers, students and their teachers, researchers, entrepreneurs, and other taxpayers who paid for the research. Expanding access would speed the research process and increase the return on our investment in scientific research

https://petitions.obamawhitehouse.archives.gov/petition/require-free-access-over-internet-scientific-journal-articles-arising-taxpayer-funded/

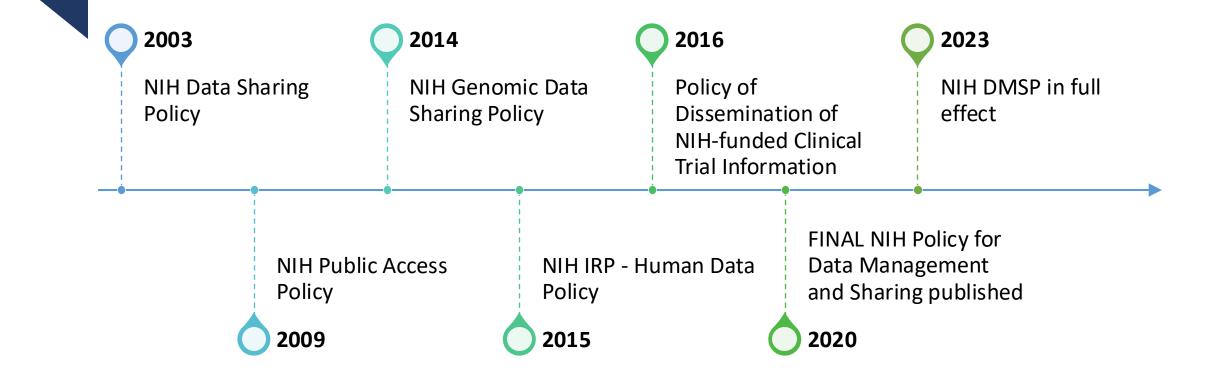
## History



Summary: The Obama Administration is committed to the proposition that citizens deserve easy access to the results of research their tax dollars have paid for. That's why, in a policy memorandum released today, OSTP Director John Holdren has directed Federal agencies with more than \$100M in R&D expenditures to

"directed Federal agencies with more than \$100M in R&D expenditures to develop plans to make the published results of federally funded *research freely available to the public* within one year of publication and requiring *researchers to better account for and manage the digital data* resulting from federally funded scientific research"

### NIH Data Policies



# NIH ICOs

- 27 Institutes and Centers, Offices (ICOs)
  - NEI National Eye Institute
  - NHLBI National Heart, Lung, and Blood Institute
  - NCI National Cancer Institute
  - NIEHS National Institute of Environmental Health Sciences
  - CIT Center for Information Technology
  - FIC Fogerty International Center



• Etc....

### NIH Policy for Data Management and Sharing

- Data Management and Sharing Plan for all NIH funded research (Intramural, Grants, Contracts) generating <u>Scientific Data</u>
- Policy includes an example template and suggestions on data repositories
- NIH ICOs to develop their own framework for compliance
- Non-compliance may affect future funding



# Scientific Data

- <u>Scientific data</u> is defined as the recorded factual material commonly accepted in the scientific community as of **sufficient quality to validate and replicate research findings**, regardless of whether the data are used to support scholarly publications.
- Scientific data do not include laboratory notebooks, preliminary analyses, completed case report forms, drafts of scientific papers, plans for future research, peer reviews, communications with colleagues, or physical objects, such as laboratory specimens.
- Data sharing policies <u>do not</u> expect researchers to share all scientific data from a study
- Data <u>does</u> include negative results

# Key Elements

Outline of how scientific data are <u>MANAGED</u> and <u>SHARED</u>

Includes where there should be exceptions and limits (privacy and confidentiality, IP, Tribal sovereignty, etc.)

Timeline – no later than publication or end of award

# Key Elements of Template



#### Data type



Related tools, software, code



Standards

Ō

Data preservation, access, timelines



Access, distribution, reuse considerations



Oversight of data management and sharing

## **Plan Submission and Review**

### **Extramural Grant Awards\***

#### **Plan Submission**

With application for funding in Budget Justification section

#### **Plan Assessment**

Peer reviewers only comment on (not score) budget

NIH program staff assess Plans

Plans can be updated

#### **Plan Compliance**

Incorporated into Terms and Conditions

Monitored at regular reporting intervals – mechanisms and tools to support oversight under development

Compliance may factor into future funding decisions

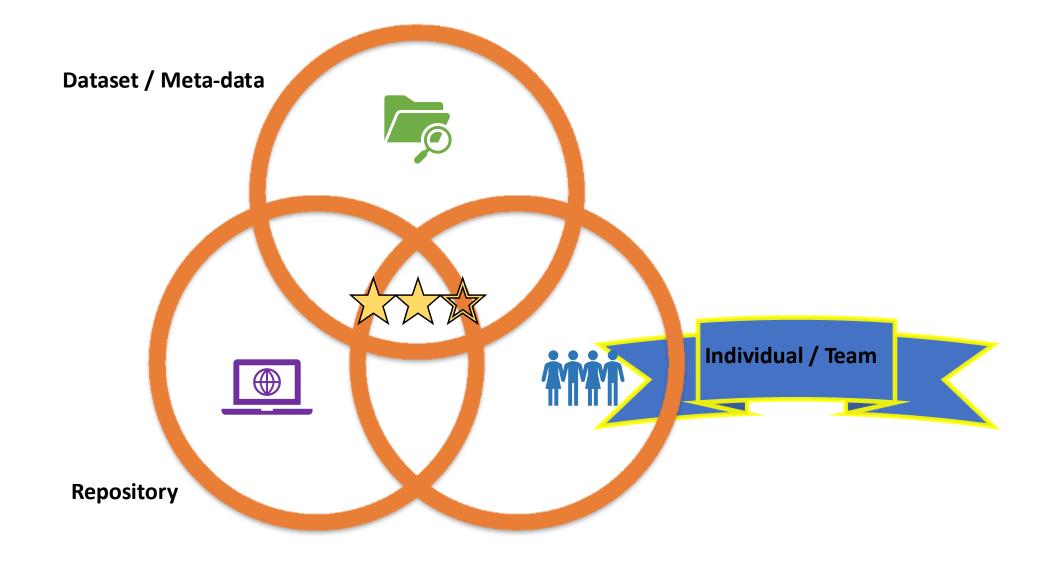
\*Analogous requirements for contracts, OTAs, IRP

# Conclusion

- Responsible data management and sharing should be the default practice
- All scientific data should be managed; not all of it needs to be shared
- Prospective planning is essential for informed consent and data reuse



# Rewarding Data Sharing



# Next Welcome Andrea Guemez from Yet2!





**IGNITING INNOVATION** 

### NEI ODSHI Topic Specific Scouting Enhancing Data Sharing: Insights and Initiatives

September 18<sup>th</sup>, 2024

### **Project Objective and Methodology**

In conjunction with the NIH's Data Management and Sharing Policy, the NEI and ODSHI aim to identify incentives that encourage researchers to share data willingly, emphasizing motivation beyond mere obligation.

#### **Project Objective**

- **Identifying** metrics that can measure the impact of sharing primary data, including datasets, software tools and sequence data.
- Identifying alternative methods to encourage primary data sharing.

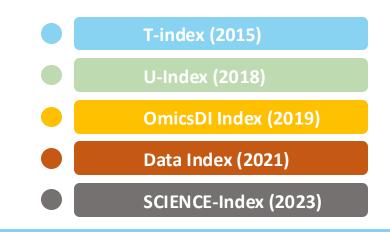
#### **Results & Methodology**

- yet2 has evaluated potential factors that may have influenced the h-index's rapid adoption
- *yet2* has conducted a global search effort to identify novel and nascent <u>author-level</u> <u>indexes</u> with the potential to encourage and incentivize data sharing.
- *yet2* has interviewed over <u>40 experts</u> across different scientific domains, including life, computer and social sciences, to better understand the potential of an index to incentivize data sharing.
- *yet2* has identified gaps within the <u>data sharing ecosystem</u> that can potentially hinder the success of an index.
- *yet2* has included potential <u>alternatives</u> to an index to incentivize data sharing.

#### **Metrics**

Potential Targets identified/reviewed by yet2	107	
Interviews carried out by yet2	40	
Targets presented to Client	40	
Life Sciences	36	
Data Sharing / Open Science	35	
Social Sciences	14	
Computer Science / AI-ML	11	
Multiple	11	
Introductory Calls request	0	
Countries Represented	16	

#### **Data Indexes Identified**





### Alternative efforts have been implemented to encourage data sharing

#### **Paper-like-format Data**

<u>IUPHAR BPS</u> crafts concise summaries in a 'paper-like format' from their data.

This approach could enable data to be cited similarly to papers, acknowledging both the creators of the dataset and those who contributed to its development

GABA<sub>A</sub> receptors in GtoPdb v.2021.3

Delia Belelli, <sup>1</sup> Tim G. Hales, <sup>1</sup> Jeremy J. Lambert, <sup>1</sup> Bernhard Luscher, <sup>2</sup> Richard Olsen, <sup>3</sup> John A. Peters, <sup>1</sup>
<u>Uwe Rudolph</u> , <sup>4</sup> and <u>Werner Sieghart</u> <sup>5</sup>

► Author information ► Copyright and License information	PMC Disclaimer
Contents	

This is a citation summary for GABA<sub>A</sub> receptors in the <u>Guide to Pharmacology</u> database (GtoPdb). It exists purely as an adjunct to the database to facilitate the recognition of citations to and from the database by citation analyzers. Readers will almost certainly want to visit the relevant sections of the database which are given here under database links.

Database links Go to: >

GABA<sub>A</sub> receptors

https://www.guidetopharmacology.org/GRAC/FamilyDisplayForward?familyId	<u>=72</u>
References	Go to: 🕨

1. Albaugh PA, Marshall L, Gregory J, White G, Hutchison A, Ross PC, Gallagher DW, Tallman JF, Crago M and Cassella JV. (2002) Synthesis and biological evaluation of 7,8,9,10-tetrahydroimidazo[1,2-c]pyrido[3,4-e]pyrimdin-5(6H)-ones as functionally selective ligands of the benzodiazepine receptor site on the GABA(A) receptor. *J Med Chem* 45: 5043–51 [PubMed] [Google Scholar]

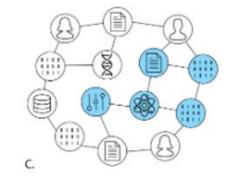
#### Source: GABA<sub>A</sub> receptors in GtoPdb v.2021.3

### Data Network Graphs

Go to: )

Network graphs could be used to measure how centralized data is to surrounding research.

DataCite and CrossRef have been developing PID Graphs to create connections between PIDs (Persistent Identifiers) and other resources Source



#### **Data Sharing Rewards**

Showcasing "Gold Stars" for good sharing practices like the Data Sharing <u>Passports</u> developed by ICPSR and the Center for Open Science's Data Sharing <u>Badges</u>

# PREREGISTERED OPEN DATA



### What led to the rapid adoption of the h-index?

*yet2* has identified 4 factors that could have potentially influenced the rapid adoption of the h-index:

#### 1. Simplicity and Inclusivity:

The h-index offered a straightforward alternative to established indexes, such as the Science Citation Index, as it disregarded the journals where the papers were published and omitted considering author order.

#### 2. Early Adopters:

Following Dr Hirsch's publication, there was enthusiasm among colleagues, leading to the index being included in Stanford Physics Information Retrieval System (SPIRES).

#### 3. Education and Knowledge Diffusion:

Distinguished journals like Nature and Proceedings of the National Academy of Sciences published papers on the index.

#### 4. Accessibility:

Distinguished search engines, such as Scopus, Web of Science (WoS), and Google Scholar, integrated bibliometric tools for the automated calculation of the index.

## Timeline Source

H-index is created by J.E. Hirsch

**<u>SPIRES</u>** implemented the algorithm on its dataset

Nature presented the index in an <u>article</u> and <u>PNAS</u> published a paper confirming interest in this metric.

#### 2006

2005

Ann-Will Harzing developed a <u>bibliometric too</u>l to allow a quick & accessible calculation.

#### 2007

Elsevier included the h-index in Scopus

#### 2008

Thomson-Reuters integrated the h-index into the WoS.

#### 2011

<u>Google Scholar Citations</u> was opened. Any academic could create and control their profile page on Google Scholar.



### **Index - Key Insights**

- Indexes **reward data sharing** by recognizing the work of researchers.
- Biggest challenges across indexes include biases towards popular fields, lack of data citation, and potential misuse.
- Indexes focus on scientific domains that share data, and don't allow a correction for geographical regions with fewer resources.
- There is lack of evidence to suggest use of the indexes. However, the OmicsDI and T-index have been previously used.

Index	Description	Advantages	Challenges	Inclusivity	Acceptability
<u>data-index</u>	Author-level metric measuring dataset usage. Authors: Hood, et al.	<ul> <li>Promotes generating and sharing data</li> <li>Reward data sharing and data usage</li> </ul>	<ul> <li>Potential misuse, like h-index.</li> <li>Does not account for the varying amounts of data created across different disciplines</li> <li>Requires robust citation architecture</li> </ul>	<ul> <li>Can be used across different scientific disciplines &amp; with varying data types</li> </ul>	No evidence of use
<u>OmicsDI-</u> <u>index</u>	Composite index weighing data sharing through views, download, citations, and other metrics. Authors: Perez-Riverol et al.	<ul> <li>Considers more than a single aspect of data sharing.</li> <li>Provides connections between different provider's datasets.</li> </ul>	<ul> <li>Lack of data citation and ID assignation</li> <li>Biases towards popular fields - Data volume influences index weights</li> <li>Dependence on Provider's data and cooperation</li> </ul>	<ul> <li>Omics data</li> <li>Mostly US and EU datasets.</li> </ul>	Datasets in OmicsDI platform.
<u>u-index</u>	Single metric index based on usage / awareness data citations form a citing universe. Authors: Callahan, et al.	<ul> <li>Can be modified to exclude self citations.</li> <li>Anyone may define their own citing universe for a given resource.</li> </ul>	<ul> <li>Citation-based:</li> <li>Biases towards popular fields</li> <li>Lack of Data Citation</li> <li>Positive/negative view not distinguished</li> <li>Collected articles inaccuracy</li> </ul>	Biological, medical, bioinformatical and medical informatically data	No evidence of use. *Authors appear to show no interest.
<u>SCIENCE-</u> <u>index</u>	Blockchain-based metric measuring a researcher's scientific contributions. Authors: Adams, et al.	<ul> <li>Takes data quality into consideration.</li> <li>Transparency and decentralized control through Blockchain</li> </ul>	<ul> <li>Require large, accurate data sets for model training</li> <li>Computational and cost constraints of blockchain technology</li> </ul>	<ul> <li>Claim geographical inclusivity/fairness.</li> <li>Only target fields with large amounts of data.</li> </ul>	No evidence of use – Recently published (2023)
<u>T-index</u>	How to measure the quality of financial Tweets (Twitter) Authors: Paola Cerchiello, et al.	<ul> <li>T-Index takes into account the confidence intervals; includes a variation mechanism</li> </ul>	<ul> <li>Not specifically designed for research datasets</li> </ul>	<ul> <li>All media contexts providing there is a count frequency and an impact.</li> </ul>	<ul> <li>European Central Bank</li> <li>Deutsche Bundesbank</li> </ul>

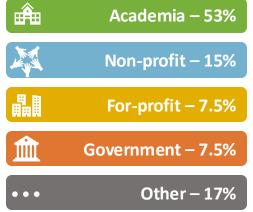


### yet2 Interviewed 40 Experts Globally

EXPERTS BY SCIENTIFIC FIELD

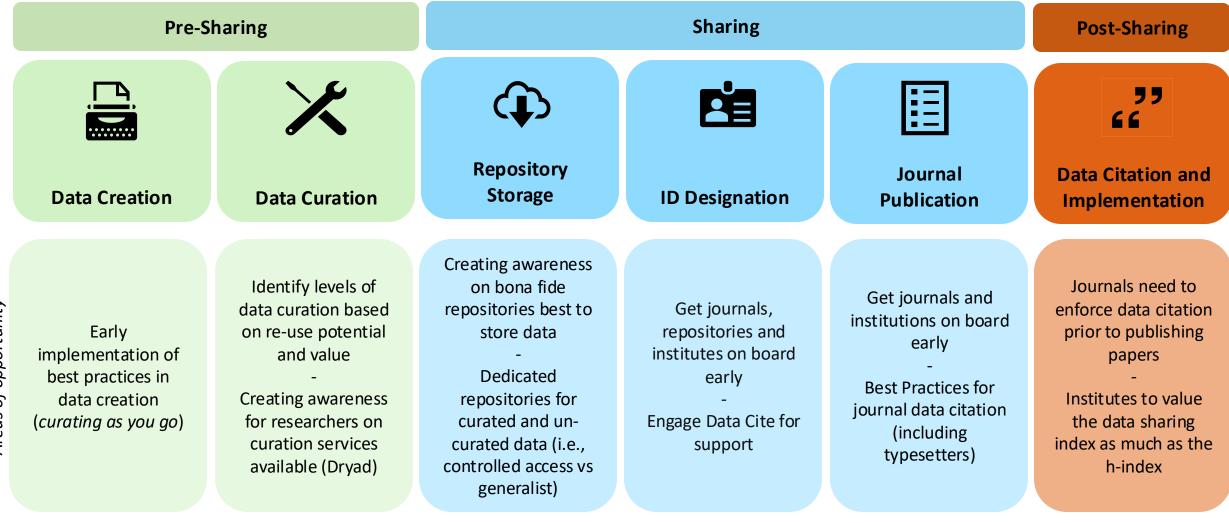








### **Data Sharing Simplified Workflow**





#### **Researchers Have Suggested Alternative Efforts To Incentivize Data Sharing**

Elevating the importance of data to the same level as papers might spark a cultural shift towards data sharing. This would require a Stick + Carrot approach.

- Collaboration among policymakers, universities, journals, and repositories is key to elevate data importance.
- Streamlining and simplifying the data sharing process could serve as an incentive for researchers to share more, as it is currently a difficult and timeconsuming task.
- E.g., The Royal Society uses a Stick + Carrot approach:
  - Stick firm <u>open data mandate</u>: Whenever a script is submitted, the author is required to make available all the code, research materials and datasets in the article. If not made available, the process will not move forward.
  - Carrot The journals provide authors with data sharing support through data curation services provided by Dryad and encouraging proper data storage through a list of different available repositories.

#### People will only share data if they receive a personal benefit:

- Recognition/career progression
  - Could we start ranking schools through the amount of data they share?
  - Get employers and schools to use data sharing as a measure of success.
- Money future funding relies on sharing data from precious grants.

#### Collecting the data and curating it need to have equal or greater value than the papers you have written about it. But don't devalue publications.

- Create more opportunities for data to be published
- The uptake of citation here is slow
- Behavior needs to be changed and the number of outlets that accept this needs to be increased





#### There is a strong need for a data sharing incentive scheme

• Five indexes have been identified to incentivize data sharing, but none have been widely adopted.

#### Simplicity is key

- An index's acceptance potentially relies on an easily understandable and calculable formula, as well as a structured framework (I.e., automatic WoS/Google Scholar calculation).
- Support from journals, universities, search engines, and repositories can potentially enhance usage, as these control key elements of academic recognition (tenure, promotion, grants, visibility).

#### Shift attention away from impact

• Measuring impact is not trivial and goes beyond the scope of citations and downloads. It is important to avoid the intricacies of impact to promote index development.

#### A poorly designed index may result in more negative consequences than positive outcomes

- Investment in gating mechanisms, similar to a <u>Data Peer-Review</u> process, to ensure a standardized data and metadata quality.
- The data sharing ecosystem, particularly data citation, requires strengthening.





**IGNITING INNOVATION** 

### Thank You

www.yet2.com

# Welcome Amber Reed from NIH!





### Advancing Data Sharing Through a New Initiative: The NIH Data Sharing (S-index) Challenge

Amber Reed, MPH Assistant Director, Office of Data Science and Health Informatics ICO Challenge Manager National Eye Institute, National Institutes of Health World Data System Webinar September 18, 2024 **No Financial Disclosures** 

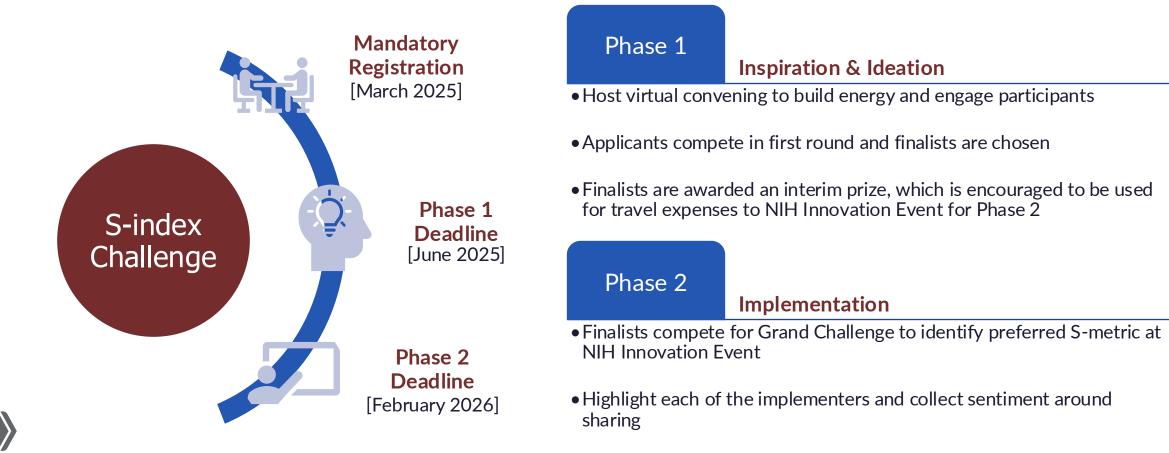
## Challenges: A Tool in NIH's Biomedical Innovation Toolbox





## NIH Data Sharing Index (S-index) Challenge

<u>Goal:</u> The S-index Challenge aims to advance the NIH mission by actively promoting data sharing and developing a robust metric to reward exemplary data sharers. This initiative seeks to incentivize and recognize researchers who excel in making their data accessible, ultimately fostering a culture of openness and collaboration within the scientific community.



National Eye Institu

Winner(s) are announced & prizes are awarded

## **S-index Challenge Partners**



Office of Data Science Strategy









NIH

National Institute of Biomedical Imaging and Bioengineering

Creating Biomedical Technologies to Improve Health



National Institute on Drug Abuse





Eunice Kennedy Shriver National Institute of Child Health and Human Development

Healthy pregnancies. Healthy children. Healthy and optimal lives.



National Institute of Environmental Health Sciences



National Institute of Neurological Disorders and Stroke



National Center for Biotechnology Information

### **How Can I Participate?**

This Challenge is open to the public, including researchers, healthcare professions, data scientists, informaticians, and anyone interested in expanding data sharing in research. The competition aims to stimulate innovation and advance open science principles.

	Registration Categories		
	Individual	Registering on behalf of yourself.	Prize is awarded to individual.
	Team	Registering as a group of individuals competing together but <u>not</u> on behalf of an established organization, institution, or corporation.	Prize is awarded to designated team lead.
	Entity	Registering as a group of individuals competing together on behalf of a legally established organization, institution, or corporation.	Prize is awarded to entity.



To be eligible for a prize award, individual participants and team captains must be U.S. citizens or permanent residents. Entities must be incorporated and have their primary place of business in the U.S.

## **S-index Key Dates**

Mandatory Registration (intent to participate): March 3, 2025

Phase 1 open: April 21, 2025

Phase 1 deadline: June 2, 2025 (submit via challenge.gov)

- Phase 1 Technical & Federal Judging: July 7 August 15, 2025
- Phase 1 Announcement of Finalists: September 16, 2025
- Phase 2 open: September 17, 2025

Phase 2 deadline: February 13, 2026 (submit via challenge.gov)

NIH Innovation Event: Q1, CY26 (date TBD)

Phase 2 Technical & Federal Judging: March 2 - April 10, 2026

Announce Winner(s): May 3-7, 2026



\*Tentative dates subject to change



### **Thank You!**

Join us in promoting data sharing by developing a robust metric to reward exemplary data sharers:















Thank you!



### **October WDS Webinar**



#### Open Climate Knowledge & Data with Creative Commons



**Taylor Campbell** 



**Monica Granados** 





22 October 2024 @ 14:00 EDT/18:00 UTC



## WDS ITO Workshop in October

# World Data System

**Evaluating Dataset AI Readiness for Data Repositories: Considerations and Approaches** 



First Workshop: 1 October 2024 at 14:00 UTC Second Workshop: 3 October 2024 at 00:00 UTC In this workshop, participants will be given an introduction to the <u>ESIP AI Data Readiness</u> <u>Checklist</u> which encapsulates considerations for data quality, documentation, access and preparation. Following rounds of review and discussion for each of these checklist categories, participants will be invited to take part in a pilot exercise to apply this checklist to datasets in their own repositories. A brief synopsis of other AI-readiness related developments will be presented towards the end.

The workshop will be delivered in English and offered at two different dates/times to support a wider spectrum of geographical time zones.



# Stay Tuned for Our Upcoming Dates with the Webinar Series!



https://worlddatasystem.org/webinars/

Mark your calendars for our future webinars

- 19 November WDS Webinar with CTS

Rewind and Rediscover: Watch Our Past Webinar Sessions



### **INTERNATIONAL DATA WEEK** 13-16 October 2025 **Brisbane, Australia**

HOSTED BY



**OUR PARTNERS** 







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BUSINESS EVENTS AUSTRALIA





brisbane

### internationaldataweek.org/idw-2025/

### Participation Survey

- Reminder to participate in a short survey after the webinar concludes.
- Your feedback is key to refining our future webinars to cater more effectively to your interests and needs.
- We appreciate your input!



## WDS and ECR Newsletters

• Next WDS Newsletter Release: October 3<sup>rd</sup>

• Next ECR Newsletter Release: September 19th



Read and subscribe for future updates around the WDS community!



# Join a WDS Community!

• WDS Membership



 WDS-Early Career Researcher Network





World Data System

wds-ipo@utk.edu

### For more info:

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