This manuscript is a preprint and has not undergone peer review. It has been submitted to **Hydrological Processes** where it is currently in review (22nd July 2024). A link to the finalised version will be provided here. Any feedback to the author regarding the manuscript is welcome using the contact details on the manuscript.

# Establishing the hydrological controls on water surface area variations in oxbow lakes

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## 8 Abstract

9 Oxbow lakes are iconic fluvial landforms found in the floodplains of meandering rivers around 10 the world. Their formation is associated with meander cutoff, a process that excises sections 11 of river channel to optimise the downstream transmission of water and sediment. After 12 termination, sedimentary plugs form at either end of the abandoned channel to isolate it from 13 the mainstem. Overbank floods and conveyance through tie channels maintains some 14 hydrological connectivity, but lakes are generally considered to passively infill until they 15 become terrrestrialised. Here, a suite of 64 lakes across two meandering rivers in the Bolivian 16 Amazon are used to demonstrate the hydrological dynamism of oxbow lakes after cutoff by 17 quantifying interannual variations in lake water surface area (WSA) and the mechanisms controlling them. The results suggest that WSA variations are controlled by proximity to the 18 19 active channel, with the magnitude of these variations being set by mechanisms of 20 connectivity. Lakes connected by tie channels experienced WSA changes up to 3.9 times 21 larger than lakes with no visible connection mechanisms. Incursion lakes displayed similar 22 WSA changes to those with tie channels, while isolated lakes were found furthest from the 23 mainstem and had the smallest range of WSAs. Chute-lakes experienced a wider range of 24 WSAs and were more strongly controlled by mainstem proximity than neck-lakes. An 25 understanding of the processes governing oxbow lake hydrodynamics is important for

26 forecasting nutrient and contaminant fluxes as well as the sensitivity of riparian wetlands to

27 changes in catchment hydrology associated with climate change and flow modification.

#### 28 Keywords

29 Oxbow lakes, wetlands, meandering, cutoff, connectivity

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# 31 **1. Introduction**

Oxbow lakes are widespread geomorphic features found along the floodplains of meandering rivers around the world. These specialist ecotones serve as habitats for a range of aquatic and terrestrial species, while also forming reservoirs for sediments, nutrients, and contaminants (Constantine *et al.*, 2010a; Thomas *et al.*, 2021; Nifong *et al.*, 2022). To effectively safeguard the future functioning of oxbow lakes and conserve the suite of ecosystem services they provide, it is essential to understand how lakes evolve over different timescales and classify the mechanisms responsible for their evolution.

39 Lakes are formed through the process of meander cutoff – where bends are terminated from 40 active service in favour of shorter, steeper down-valley flow routes (Allen, 1965; Constantine 41 et al., 2010b). Once terminated, bends undergo four stages of evolution, culminating in 42 terrestrialisation - where the abandoned section no longer conveys water (Gagliano and 43 Howard, 1984). This evolutionary process occurs over timescales of decades to centuries and 44 is principally controlled by sediment deposition within the lakes (Gagliano and Howard, 1984; 45 Citterio and Piégay, 2009: Constantine et al., 2010b). Over this period of infilling, lakes are 46 exposed to exogenous water sources from precipitation, episodic and seasonal flooding, and 47 groundwater seepage (Rowland et al., 2009; Guo et al., 2023; Bagheri et al., 2024); these additions, paired with evaporative and drainage based losses, permit fluctuations in lake water 48 49 volume through time, which changes the hydrochemical characteristics of the lakes, and 50 influences the trophic structure within them (Obolewski et al., 2009; Kufel and Leśniczuk, 51 2014; Scarabotti et al., 2017; Saha et al., 2022). Identifying the mechanisms responsible for 52 changes in lake water volume and the timescales over they occur is critical for establishing

how these lakes may respond to future changes in catchment hydrology driven by climate
change and human modification (Jaeger *et al.*, 2014; Anderson *et al.*, 2018; Nielsen *et al.*,
2020; Arantes *et al.*, 2022).

56 Actively migrating meandering rivers replace aging floodplain deposits with younger fertile 57 sediments and create rich wetland habitat possessing topographic and ecological diversity 58 (Sioli, 1975; Lauer and Parker, 2008; Constantine et al., 2014; Lewin and Ashworth, 2014). 59 Over time, the progressive increase in channel sinuosity and decrease in channel slope 60 enhances the channel's susceptibility to cutoff (Constantine and Dunne, 2008). Meander cutoff 61 can occur by two distinct mechanisms: neck cutoff - where two meander bends converge -62 and chute cutoff, where a bend-scale avulsion occurs, bypassing the original meander bend (Fig. 1) (Lewis and Lewin, 1983; Hooke, 1995). Chute channel formation occurs in several 63 64 ways and is usually driven by the site specific characteristics of the floodplain and hydrograph. 65 For example, on the Sacramento River (USA), Constantine et al. (2010b) described chute channel initiation through the downstream extension of embayments that formed near regions 66 67 of maximum channel curvature, due to overbank spilling during floods. Several authors 68 reported flood-triggered headcut extension over the floodplain separating multiple meander 69 bends, some of which were caused by in-channel blockages (Gay et al., 1998). Floodplain 70 relief also plays a pivotal role in the development of chute channels, particularly where 71 overbank flows exploit topographic lows to channelize flow and form a breach (Zinger et al., 72 2011; Lewis et al., 2020; Schwendel and Cooper, 2021).

The oxbow lakes produced by neck and chute cutoffs have considerably different evolutionary pathways due to variations in flow partitioning between the new and abandoned channels, which changes the rate of infilling and calibre of material being sequestered in the abandoned channel (Constantine *et al.*, 2010a; Dieras, 2013; Richards *et al.*, 2022). Typically, within the first decade of cutoff formation, coarse-grained sediment plugs form at the entrance and exit of the abandoned channel to isolate it from the mainstem (Gagliano and Howard, 1984; Hooke, 1995). This process is driven by the divergence angle between the new and abandoned

80 channel segments, with higher angles promoting accelerated plug formation due to a wider 81 region of flow separation (Bridge et al., 1986; Constantine et al., 2010a). Higher angles tend 82 to be associated with neck cutoffs, while chutes have lower angles allowing the abandoned 83 channel to continue transmitting flow and sediment, which in some cases, allows them to 84 remain hydromorphologically active long after cutoff was first initiated (Grenfell et al., 2012). 85 Similarly, recent field observations revealed that neck cutoffs with complex curvatures can 86 also successfully advect sediment into the abandoned channel and away from the entrance 87 and exit, thus precluding plug development and extending the time taken for the lake to 88 become isolated from the mainstem (Richards and Konsoer, 2020; Turnipseed et al., 2021).

89 Once the abandoned channel has been isolated and becomes lacustrine, interactions with the 90 mainstem are limited to those made during overbank floods or through tie channels and 91 incursion (Gagliano and Howard, 1984; Rowland et al., 2009). Evidence for these interactions 92 is clearly illustrated from remote sensing data where abrupt turbidity gradients form as 93 sediment-laden river water enters the lakes during floods (Fig. 2); although these observations 94 may be more nuanced in rivers with lower sediment yields. These hydrological interactions 95 are important for the long-term evolution of lake physiochemistry, contaminant and organic 96 matter storage, and the wider provision of ecosystem services to riverine communities. 97 Despite this, a quantitative appraisal of lake evolution through time remains absent from the 98 literature particularly with respect to shorter-term variations, which will be more sensitive to 99 localised hydrological phenomena (e.g., flooding).

The extensive Landsat multispectral remote sensing record (1984-present) provides the opportunity to monitor annual variations in oxbow lake water surface area (WSA) and forms a tangible method for assessing the hydrological dynamism over annual and interannual timescales. This study, for the first time, quantifies interannual WSA variations for 64 oxbow lakes in the south-west Bolivian Amazon Basin and answers three core research objectives:

105 1) How does the WSA of oxbow lakes vary through time?

106 2) What are the key mechanisms driving WSA change in oxbow lakes?

107 3) Does lake type (i.e., neck or chute) affect WSA variability?

#### 108 Materials and Methods

## 109 1.1 Study site

110 The study was focused on two highly dynamic meandering rivers in the south-west Bolivian 111 Amazon Basin (Fig. 3). The Río Beni and Río Mamoré display some of the highest rates of meander migration (10-22 metres per year;  $m yr^{-1}$ ) and cutoff formation in the Amazon 112 113 (Constantine et al., 2014). Over the 38 year period between 1984 and 2022, the Beni and 114 Mamoré rivers produced 28 and 36 cutoffs, respectively, adding to the already extensive 115 population of oxbow lakes in their floodplains (162 and 257 for the Beni and Mamoré). These 116 rivers are ideal candidates to explore hydrological behaviour since they experience large water 117 level fluctuations (> 10 m) between the wet and dry seasons and are relatively unimpacted by 118 anthropogenic disturbance (e.g., dams or channel control structures), thus allowing natural 119 channel-floodplain interactions to be observed (Finer and Jenkins, 2012; Latrubesse et al., 120 2017; Ahmed et al., 2019).

121 The Beni drains an area of 67,500 km<sup>2</sup> of the northern Andes before emerging from the 122 Andean foothills and transitioning to a rapidly migrating meandering pattern in response to the 123 abrupt decrease in longitudinal gradient and increase in valley width (Guyot et al., 1996; 124 Gautier et al., 2007). Measurements of sediment flux at Rurrenabaque - where the river 125 emerges from the Andes – indicate an annual suspended sediment flux of 212 megatons per 126 year (Mt yr<sup>-1</sup>), most of which is captured by the downstream channel-floodplain system (Guyot 127 et al., 1996). The Mamoré is ca. 270 km south east of the Beni and forms at the confluence of 128 the Rio Ichilo and Rio Chimore that drains ~7600 km<sup>2</sup> of the east Andean Cordillera, yielding 129 an estimated suspended sediment flux of 13 Mt yr<sup>-1</sup> (Guyot et al., 1996; Constantine et al., 130 2014). However, this sediment flux abruptly increases at the confluence with the Rio Grande 100 km downstream, which delivers an additional 82 Mt yr<sup>-1</sup> from a 23,700 km<sup>2</sup> area of the 131 132 eastern Andes (Guyot et al., 1996). Similar to the Beni, the Mamoré deposits and stores a

133 large amount of this sediment within the lowland channel-floodplain system (Constantine *et*134 *al.*, 2014).

135 Separating the two rivers is a vast floodplain savannah interspersed with evergreen forest 136 spanning around 170,000 km<sup>2</sup> – the Llanos de Moxos (Hamilton *et al.*, 2004). The savannah 137 region becomes seasonally, and in places, permanently, inundated as discharges on the Beni 138 and Mamoré reach their maximum in austral summer (January – March) in response to several 139 months of heavy rainfall, with the highest rates concentrated near the Andes (Killeen et al., 140 2007; Bookhagen and Strecker, 2008). As water disperses across the Llanos de Moxos depths 141 do not typically exceed 1 m, although the total floodwater extent is estimated to cover almost 142 the whole region (Hamilton et al., 2004; Fleischmann et al., 2022).

143 Precipitation patterns are altered during El Niño-Southern Oscillation (ENSO) years, with El 144 Niño years typically delivering less than average rainfall, and La Niña years delivering more 145 than average to these Bolivian catchments (Aalto et al., 2003; Bookhagen and Strecker, 2010; 146 Espinoza et al., 2013). South Atlantic sea surface temperature anomalies are also responsible 147 for enhancing precipitation over south-western Amazonia and can further intensify rainfall 148 patterns associated with La Niña conditions (Espinoza et al., 2013, 2014). During these years, 149 the extent and residence time of floodwater inundation increases, thus impacting the 150 hydrodynamic behaviour of rivers and floodplain wetlands (Bourrel et al., 2009; Ovando et al., 151 2016).

The distribution of oxbow lakes varies across each basin as a function of channel mobility: lakes that were excised near the start of the record could now be long distances away from the active channel, while newly cutoff lakes could be closer. However, this is not always the case, since both rivers migrate rapidly across their floodplains, meaning the active channel could now have returned to the site of a former cutoff. The diversity of lakes allows for the controls on hydrological behaviour to be investigated between and within each river system.

158 1.2 Remote sensing

159 Recently formed (1984-2022) meander cutoffs were identified and classified as either neck or 160 chute from multispectral timelapse imagery for the Beni and Mamoré using the USGS and 161 NASA Landsat imagery archive and processed through Google Earth Engine (Gorelick et al., 2017). Water surface areas were computed for each lake using the modified Normalised 162 163 Difference Water Index (mNDWI), which exploits the ratio of light reflected and absorbed in 164 the green and near infrared portions of the electromagnetic spectrum (as captured by Landsat) 165 (Xu, 2006). Each river was comprised of two image scenes, thus dividing the reach into an 166 upper and lower section centred on B-10 and M-20 for the Beni and Mamoré, respectively. 167 These sub-reaches were only used during compilation of the imagery.

168 To optimise the extraction of WSAs, images were selected between June and October each 169 year, when cloud cover was at a minimum. These months are representative of a descending 170 flood wave following the wet season meaning WSAs are likely conservative compared to those 171 captured between January and March when cloud coverage is high. In some cases, where 172 localised cloud coverage prevented lake observations from being made, an alternative image 173 was sought from a different date within the observation window. In rare cases where cloud-174 free images were unavailable, an extended observation window (May-November) was used 175 to obtain a cloud-free image. This process was performed for each year on record until image 176 resolution (30 m/pixel) precluded wetted pixel detection or the lake was completely 177 terrestrialised. WSA measurements were normalised by the year 1 centreline lake length, 178 since longer lakes will have larger aerial extents. Each WSA was stacked and used to compute 179 the percentage relative difference between years (Fig. 4).

180 Channel centrelines were created using a semi-automated Python-based workflow from 181 Normalised Difference Vegetation Index (NDVI) imagery using Google Earth Engine. The 182 NDVI was used to extract the bankfull channel boundary – demarcated by an abrupt transition 183 from vegetated to unvegetated pixels – and edited to remove hydrologically connected 184 elements (e.g., tie channels and proximal lakes). The filtered channel boundaries were then 185 used to create centrelines for each year on record (Ahmed *et al.*, 2019; Sylvester *et al.*, 2021). 186 Proximity measurements were made by measuring the closest orthogonal distance between

187 channel centreline and WSA (Fig. 5a) and used as a surrogate for river discharge, which was 188 unavailable for the correct duration and locations in the present study. This method does not 189 constrain closest distance measurements to the entrance and exit of the lakes; therefore, the 190 closest orthogonal distance could be over the floodplain and through the rainforest. This is 191 feasible since overbank flows are governed by the prevailing water level in the channel, which 192 once greater than the elevation of the river bank, will flow across the shallow sloping floodplain 193 surface to the lakes, where topography and floodplain roughness permits (Aalto et al., 2003; 194 Ovando et al., 2018).

195 Monthly rainfall was computed from the daily Climate Hazards Group InfraRed Precipitation 196 with Station (CHIRPS) dataset and summed over each water year (October – September), 197 which aligns with the same period used to derive the WSA and centreline datasets and follows 198 the timing of seasonal rainfall. A rainfall record was produced for each lake from discrete points 199 situated within a 5.5 km<sup>2</sup> resolution grid (one for each lake) (Fig. 5b). Total seasonal rainfall 200 was computed as the total monthly rainfall between October and March, which corresponds 201 to the wet season in Bolivia. ENSO years were classified according the National Oceanic and 202 Atmospheric Administration (NOAA) southern oscillation index (SOI), which is computed as 203 the standardised index of sea level pressure difference between Tahiti and Darwin (NOAA, 204 2024).

205 Three broad connectivity mechanisms were adopted to classify each lake: isolation, incursion, 206 and tie channel (Fig. 6). Isolation was assigned to lakes where there was no obvious indication 207 of hydrological connectivity from the imagery; incursion was assigned where the active 208 channel breached the year one lake WSA boundary; and, tie channel was used where clear 209 evidence of tie channels connecting the mainstem – or adjacent river channels – to the lakes 210 were present. Each lake was assigned a category after visually inspecting the corresponding 211 Landsat images over the full lifetime of the lakes. Indeed, this method precludes the 212 identification of features finer than the image resolution (30 m); however, most features 213 exceeded single pixel values in scale, thus permitting accurate classification. Where lakes

transitioned from one category to another (e.g., where a tie channel connected lake become isolated due to infill of the tie channel), the year in which the change occurred was classified accordingly. Where lakes were characterised by incursion, the proximity value became 0 m, indicative of the centreline breaching the lake boundary. Uncertainty around these measurements is approximately 0.5 channel widths (Beni: 210 m; Mamoré: 155 m, based on 30 measurements of channel width from straight sections of each river), since distance from the centreline was used to measure proximity, rather than the wetted channel boundary.

#### 221 **Results**

222 WSA variations on both the Mamoré and Beni were significant and followed multiple 223 trajectories over time. Long-term trends (i.e., from inception to present day) were mixed: 25% 224 of lakes (16) increased in size by between +7% and +142% of their original WSA, while the 225 majority of lakes (48) decreased in size by up to 100% of their starting WSA (Fig. 7). However, 226 when looking at WSA changes over interannual timescales, the behaviour became more 227 complex. All of the lakes, for at least some portion of their lifetime, oscillated from positive to 228 negative WSA change between years. Changes within single years varied, on average, by up 229 to -100% or +281% of the previous year's WSA, exceeding changes observed when only 230 considering areas measured at the start and end of the WSA record (black line; Fig. 8). 231 Average annual changes across all of the lakes were -17% to +24% with no observed patterns 232 of WSA change with distance downstream or with lake age (Fig. 9). Breaks in WSA trajectories 233 at 2002 and 2012 were indicative of missing images from the temporal record (Fig. 7).

Examining the variability in WSAs across the two reaches demonstrated that some lakes had tightly constrained changes over their lifespan, while others were more variable (**Fig. 9**). In general, lakes with longer temporal records experienced larger variations in WSA (*Kendall T* = 0.31; *Spearman's*  $\rho = 0.46$ ;  $\rho < 0.001$ ) (**Fig. S1**). However, several younger oxbow lakes ( $\leq 6$ years old) on both the Mamoré and Beni experienced large variations in WSA (e.g., B-23, B25, M-17), suggesting that age did not have a first order control.

240 Lake formation mechanism (i.e., neck versus chute) appeared to influence annual WSA 241 changes: those associated with necks showed more limited change compared to those 242 associated with chutes (Kruskal Wallis (KW): p<<0.0001) (Fig. 10), but both had similar long-243 term WSA reductions (necks: -24% and chutes: -30%). Over interannual timescales, WSA changes for chute lakes ranged from -95% to +281%, while neck-derived lakes experienced 244 245 smaller maximum annual increases in WSA (+188%), but a similar magnitude of decrease (-246 92%). Average positive and negative WSA changes for necks were +15% and -14%, 247 respectively, while the average WSA changes for chutes were +43% and -23%, indicating 248 larger interannual variability for chute-derived lakes. The majority of lakes (39 of 64) 249 experienced interannual WSA changes that exceeded the total relative area change measured 250 between the start and end of the record. Instances of interannual WSA changes that exceeded 251 the long-term change were not associated with a particular type of cutoff lake; both neck- and 252 chute-derived lakes were equally as likely to exceed the long-term average change.

253 Evaluating the lake populations in full revealed that lakes from any given year did not 254 contemporaneously increase or decrease in WSA. For the majority of years (29), lake 255 populations were comprised of both positive and negative WSA changes (Fig. 11). These 256 positive and negative changes did not favour particular lake types (i.e., chute or neck), with 257 no statistically significant differences observed between the positive and negative changes for 258 chute and neck lakes in any given year (KW: p>0.67) (Fig. S2). To test whether lakes 259 responded contemporaneously when perturbed by large-scale climatic phenomena, ENSO 260 indices were mapped against the proportion of lakes that increased or decreased in WSA. 261 Some coherence was observed in positive (El Niño) and negative (La Niña) years, where the 262 majority of lakes decreased and increased in size, respectively (Fig. S3), but there were still 263 anomalous years where WSAs were expected to decrease in response to El Niño (e.g., 1992), 264 but increased, or vice versa in La Niña years (e.g., 2022).

Total seasonal rainfall was used as a surrogate for total annual rainfall since the majority of precipitation falls between October and March in this region of Amazonia (r = 0.73;

267 *Spearman's*  $\rho = 0.90$ ; p << 0.001) (**Fig. 12a**). WSAs were weakly correlated with respect to total 268 seasonal rainfall, with both rainfall minima and maxima invoking similar sizes of lake ( $\tau = 0.063$ ; 269  $\rho = 0.094$ ; p < 0.003) (**Fig. 12b**). Partitioning WSAs by cutoff type revealed a similarly weak 270 total precipitation control on WSA for neck-derived lakes ( $\tau = 0.047$ ;  $\rho = 0.070$ ; p << 0.06), but 271 a stronger positive correlation ( $\tau = 0.17$ ;  $\rho = 0.25$ ; p << 0.001) for chute-derived lakes.

272 Changes in WSA were very weakly negatively correlated with proximity to the mainstem (Fig. 273 **13a**). However, when testing the statistical robustness of these correlations, only the Kendall's 274 Tau test found the relationship to be significant ( $\tau = -0.049$ ; p<0.03). To investigate the 275 relationship further, the data were partitioned by cutoff type: this revealed that WSA changes 276 in chute-derived lakes were more strongly controlled by proximity to the mainstem ( $\tau = -0.11$ ; Spearman's  $\rho = -0.15$ ; p < < 0.02) than neck-derived lakes, which were not statistically 277 278 correlated (p>0.33). Lakes from both populations were most receptive to changes in WSA 279 when situated within 1 km of the mainstem; however, with increasing distance from the active channel, WSAs diminished. Mainstem proximity was observed to exert greater control on 280 281 chute lakes in the distal floodplain (>4 km away), while neck-derived lakes stopped being 282 receptive to mainstem proximity once distances exceeded 2.5 km (Fig. 13b).

To develop a clearer understanding of how channel proximity affects lake WSA, the temporal variability was removed by computing the mean channel proximity and standard deviation of WSAs for each lake over their full lifetime (**Fig 13c**). This demonstrated a clearer control of channel proximity on lake WSA, particularly when lakes were within 400 m of the mainstem. Lakes situated greater than 400 m from the mainstem had WSAs around 1.7 times smaller than the maximum values observed for lakes within 400 m of the mainstem, although the minimum standard deviation of WSAs were comparable (within 7%).

Examining WSA variations by lake connection style reveals further insights to the mechanistic controls on the observed variations. The majority of lakes (68%) were connected to the mainstem by tie channels (n=551), while those characterised by incursion represented around 27% (n=219), and the remaining lakes (n=39) were isolated from mainstem or other visible hydrological connections (**Fig. 14**). Lakes connected by tie channels showed the largest range of WSAs (*Tie channels* = 0.40; *Isolated* = 0.14; *Incursion* = 0.30 km<sup>2</sup> km<sup>-1</sup>) and had the highest average areas (*Tie channels* = 0.15; *Isolated* = 0.042; *Incursion* = 0.081 km<sup>2</sup> km<sup>-1</sup>) of the three groups, while isolated lakes had the smallest range. Lakes that experienced mainstem incursion displayed a wide range of WSAs and average areas that exceeded isolated lakes, but were less than those connected by tie channels. The minimum WSA for each group was similar, but each class was statistically distinct (*KW:* p<0.001).

301 The proximity of lakes with tie channels varies from 0 - 4178 m, with an average distance of 302 490 m and the 90<sup>th</sup>-percentile ( $P_{90}$ ) of the distribution at 899 m from the mainstem. Incursion 303 based lakes have proximities ranging from 0 to 2205 m and a P<sub>90</sub> of 1.82 km. The 304 measurements were inclusive of uncertainties introduced by measuring distances from the 305 centreline (~0.5 channel widths) to the year 1 lake boundary, and instances of multiple cutoff, 306 where incursion has already occurred and a second termination causes the channel to retreat 307 further away from the initial lake (e.g., M-15). The proximity of isolated lakes from the 308 mainstem was between 128 and 4136 m, with P<sub>90</sub> being 3.93 km. Realistically, proximity 309 measurements of 0 m are bound by a 30 m uncertainty envelope, which corresponds to the 310 resolution of the imagery.

## 311 Discussion

312 Oxbow lakes are considered to be relatively passive lentic environments once cutoff and plug 313 formation has occurred, slowly infilling with sediment delivered by overbank floods or through 314 connecting channels until they are fully terrestrialised (Gagliano and Howard, 1984; Rowland 315 et al., 2005). This notion is supported by long-term WSA measurements for the majority (75%) of lakes on the Beni and Mamoré, which showed an average decrease of ~-45% (Fig. 7). 316 317 However, estimates of WSA change, and how they relate to the lake's morphological 318 evolution, should be made with caution since the observation window over which changes are 319 measured could produce multiple divergent patterns of evolution for a single lake through time. 320 For example, most lakes experienced oscillations in WSA from positive to negative (or vice

321 versa) over the course of the temporal record, which could cause false interpretations of a 322 lake's long-term evolution if the window only captured a single phase of change in time. This 323 is particularly important when making comparisons of terrestrialisation timescales for lakes 324 within or between catchments. Periods of negative change – that is, where WSA decreases 325 from the previous timestep - may be incorrectly interpreted as periods of infilling, where a 326 WSA reduction would also be expected. This would present challenges when attempting to 327 reconcile remote sensing observations with those from the stratigraphic record, particularly 328 where a subsequent increase in WSA may be falsely interpreted as an erosional phase (Dieras 329 et al., 2013; Richards et al., 2022).

330 Despite lakes being spatially co-located within each catchment, many did not show 331 contemporaneous positive or negative changes in WSA in the same year (Fig. 11). Indeed, 332 some of this variability could be explained by differences in acquisition date, where images 333 acquired closer to the wet season termination would have larger WSAs than those acquired 334 towards the end of the dry season, after several months of evaporation and return flow from 335 lakes to the mainstem. However, when reviewing lake measurements taken from the same 336 images, this pattern of diverging positive and negative WSA change persists, indicating that 337 the behaviour must be controlled by an alternative mechanism. Some coherence was found 338 between lakes in years where regional rainfall patterns were altered by ENSO, but lake 339 behaviour was not consistent across all events (Fig. S3). Indeed, timing and severity of these 340 climatic phenomena can trigger differences in lake response (Marengo and Espinoza, 2016), 341 but should be relatively consistent across the watershed, suggesting an alternative 342 mechanistic control on lake WSAs.

The simplest explanation for changes in WSA is through mechanisms that directly influence the local water balance of the lake. Hydrological inputs are limited to those from rainwater, the active channel, proximal floodplain wetlands, or groundwater (Citterio and Piégay, 2009; Gratzer *et al.*, 2020; Guntzel *et al.*, 2020; Guo *et al.*, 2023). Although it is clear that groundwater contributions can mediate lake water surface areas, particularly during periods

of low flow (Song *et al.*, 2023; Bagheri *et al.*, 2024), these contributions cannot be resolved from the satellite imagery, and so focus was directed to the controls of rainfall and channelfloodplain complexes. Groundwater contributions are likely to be more important in lakes that experience WSA growth deeper into the dry season, as shallow groundwater seeps into the surface to sustain low flows (Miguez-Macho and Fan, 2012a, 2012b). However, surface water hydrology in this region will be dominated by flood magnitude, particularly in setting the upper limit on annual WSA extent (Miguez-Macho and Fan, 2012a; Bagheri *et al.*, 2024).

355 Total seasonal rainfall was limited in explaining WSA variations across the lakes, although a 356 weakly positive increase in WSA was observed with increasing rainfall (Fig. 12b). The main 357 challenge with this interpretation is the data variability, with varying rainfall magnitudes 358 invoking the same WSA response. Translating observed rainfall to WSA change is reliant upon 359 direct transmission into the lakes, which is possible when sourced overhead, but more 360 complicated when filtered through dense tree canopies (Zheng and Jia, 2020), where 361 interception can be as high as 25%, as reported in the Malaysian rainforest (Cleophas et al., 362 2022). Equally complex is the routing of surface water through densely vegetated floodplain 363 and into the lakes. Floodplain roughness, vegetal uptake, and channelisation all present 364 challenges in connecting localised measurements of rainfall to changes in WSA, since these 365 processes can repartition water to different parts of the floodplain (Mertes, 1997; Tabacchi et al., 2000; Harvey et al., 2009; David et al., 2018). 366

367 Since rainfall patterns are unable to fully explain changes in WSA, flood-driven channel-368 floodplain interactions must play a crucial role in mediating WSAs through time. During the 369 wet season water levels on the Beni and Mamoré rise by ~10 m, triggering overbank flows 370 that reactivate tie channels, which can transmit substantial quantities of sediment-laden water 371 into the floodplain (Day et al., 2008). Gradients of hydrological exchange should be controlled 372 by proximity to the mainstem, with lakes close to the channel experiencing larger WSA 373 variations in response to the rising flood pulse. This pattern can be observed on the Beni and 374 Mamoré, although there is considerable noise for lakes situated within 1 km of the mainstem

375 (Fig. 13a). Some of this noise can be attributed to incursion – where the active channel
376 migrates into the year 1 WSA boundary; in these cases, proximity values were set to 0 m (n =
377 87 of 809 unique measurements), resulting in a range of WSAs for the same proximity.

378 Most lakes were situated within 1 km of the active channel and experienced the largest range 379 of WSAs (Fig. 13b,c). This behaviour was observed for both neck- and chute-derived lakes 380 indicating that flow advection from the channel was large enough to overcome morphological 381 differences between the lakes (e.g., divergence angle and sedimentary plug characteristics) 382 (Shields and Abt, 1989; Richards et al., 2022). Although, some of the fundamental differences 383 between lake type explain the range of WSA changes observed over interannual timescales 384 (Fig. 10). Chute lakes typically convey greater proportions of discharge post-cutoff due to 385 shallower divergence angles and slower plug formation, thus feasibly allowing for faster 386 sequences of filling and draining, relative to the rise and fall of the flood pulse, compared to 387 neck-derived lakes (Allen, 1965). This also explains why the relationship between total 388 seasonal rainfall and WSA was stronger for chute lakes than it was for necks (Fig. 12b) and 389 is likely indicative of changes in channel hydrology driven by rainfall fluctuations. As distance 390 from the mainstem increased, WSA variability (represented by the standard deviation; Fig. 391 13c), diminished, although several lakes still experienced comparable WSA variations to lakes 392 situated 27 times (~1.43 km) closer to the channel. Mechanistically, these changes must be 393 driven by water sourced from tie channels (Rowland et al., 2009), the perirheic zone (Mertes, 394 1997), or groundwater (Bagheri et al., 2024).

The majority of lakes in the dataset were associated with tie channels (551 instances from a total of 809 measurements) and displayed the largest variations in WSA (1.3 times and 3.9 times larger than incursion and isolated lakes, respectively) (**Fig. 14**). The wide range of WSAs for these type of lakes demonstrates the propensity of tie channels to effectively transmit water away from the mainstem during floods ( $\sim$ 30 – > 4000 m). This results in highly localised WSA changes linked to the morphology of the tie channels (i.e., length, width, and depth), rather than systematic trends with streamwise distance or lake type (**e.g., Fig. 9a,b**). Moreover, lake

402 age is observed to be independent of changes in WSA variation, since tie channels can form 403 and maintain hydrological connectivity on lakes of any age (Fig. 9c). Resultantly, the WSA of 404 lakes connected by tie channels could rapidly diminish in size as sediment-laden water begins 405 to the fill the basin (Rowland et al., 2005). Alternatively, the rate of infill may be distorted as 406 interannual fluctuations - driven by seasonal floodwater incursion - is interpreted as a stable 407 or increasing WSA through time. The ability of tie channels to annually prograde into the lake 408 can extend the area of influence over which hydrological changes occur and shifts the focus 409 of where site specific changes in lake area occur. Rowland et al. (2005) reported channel extension rates of between 1 and 133 m yr<sup>-1</sup> for two rivers in the United States and one in 410 411 Papua New Guinea. A deeper elucidation of the differences in tie channel morphology and 412 how these impact lake WSAs is precluded by image resolution in the present study, since tie 413 channels were consistently at a scale equal or finer than 30 m/pixel.

414 Incursion was the second most common lake type (219 of 809), highlighting the significant 415 rate of floodplain recycling occurring along meandering rivers and the propensity for 416 abandoned channels to be reactivated by channel migration (Constantine et al., 2014; Guo et 417 al., 2023). Variations in WSA were similarly large for these type of lakes, although maximum 418 WSAs were generally lower, reflecting the inherent reduction in lake surface area through 419 erosion. Before incursion occurred, tie channels connected all of the lakes to the mainstem, 420 which may explain the similar range of WSAs for both populations of lakes as the lake area 421 remains approximately the same after incursion. Moreover, incursion improves hydrological 422 connectivity, by increasing the size of the entrance to the lake. When floodwaters recede, the 423 enlarged entrance serves as a drainage outlet, allowing return flows to drain the lake at a 424 feasibly greater rate than if return flows were limited to the discharge rate of tie channels alone.

Despite incursion reflecting the migration of the active channel through the lake boundary, instances are observed up to 2.2 km away from the mainstem and occurs, on average, when the channel is 447 m away from the lake boundary. These instances can be explained by sensitivity of the measurements to classification criteria: first, long-distance incursions can occur where the active channel breaches an oxbow lake and is subsequently cut off, leaving
the original lake incursion intact. Second, proximity of the mainstem to the year 1 WSA is
inherently underestimated by around 0.5 channel widths when using the channel centreline
(see **Methods** for more information).

433 Only 39 instances of isolated lakes were documented in the dataset and were found to be 434 further away from the active channel (up to 4.1 km). The small range of WSAs for these lakes 435 can be explained by the absence of observable flow pathways linking them to the active 436 channel. In these instances, the interaction between pluvial and fluvial floodwater may be a 437 more important control on WSA variations as the storage capacity of the floodplain diminishes 438 and lakes offer topographically favourable sites for convergence (Tull et al., 2022). WSA 439 reductions are likely controlled by evaporative losses, peaking at the transition from dry to wet 440 season in September - November, since evidence for surficial drainage is absent (Martinelli et 441 al., 1996; Fleischmann et al., 2023). While suppressed peaks in WSA may be indicative of reduced flood peaks on the channel (Guo et al., 2023), groundwater infiltration is also likely to 442 443 be more important for WSA variations where channel connectivity and floodplain flows are 444 minimal (Gratzer et al., 2020).

## 445 Implications

446 Diverse hydrological behaviour is crucial for the functioning of oxbow lakes and the provision 447 of ecosystem services that sustain riparian wildlife, vegetation, and communities (Obolewski 448 et al., 2009; Thomaz et al., 2009; Lizarro et al., 2020). This diversity is set by the water balance 449 of individual lakes, which in turn, is set by the mechanisms controlling water entry and exit. 450 The direct transmission of exogenous water into oxbow lakes stimulates physiochemical 451 heterogeneity, triggered by diffusive mixing at the channel-lake interface (in the case of 452 incursion lakes), similar to the active meander or early cutoff stages outlined by Gagliano and 453 Howard (1983), or when a turbulent jet enters the lake through a tie channel (Day et al., 2008). 454 River water will be well-mixed relative to the lakes – where stratification may have developed after flood waters recede - with higher concentrations of dissolved oxygen, turbidity, 455

456 conductivity, organic carbon, and other essential nutrients (e.g., nitrogen and phosphorus) 457 (Van den Brink et al., 1992). The influx of these nutrient-rich waters stimulates primary 458 productivity and sustenance for the wider riparian food web (Kufel and Leśniczuk, 2014; 459 Miranda et al., 2014); although, nutrient loading can present a challenge when lakes become 460 eutrophic, and dissolved oxygen supplies diminish (Obolewski et al., 2016). Reconciling 461 source water physiochemistry with mechanisms of water transmission could help identify sites 462 at risk from stratification and anoxia, where economic or subsistence fishing yields would be 463 limited. Nutrient-rich sites should also be locations of maximum carbon burial, as respired 464 organic matter and suspended mineral carbon is deposited on the lake bed. However, this 465 process is reliant on the physiochemical characteristics of the water which, under certain 466 conditions (e.g., hypoxia), may trigger negative biogeochemical transformations (e.g., 467 methane production), thus offsetting any stores of sequestered carbon (Pierobon et al., 2010; 468 Debanshi and Pal, 2022).

469 In addition to carbon trapping, oxbow lakes can sequester industrial and agricultural 470 contaminants (e.g., mercury from artisanal gold mining, polychlorinated biphenyls, and excess 471 nitrate and phosphate leached from farmland) (Schilling et al., 2019; Thomas et al., 2021; 472 Nifong et al., 2022; Barocas et al., 2023). The lakes effectively filter the fine-grained 473 suspended sediments on which these contaminants bind from the river system, but continue 474 to pose a threat to lacustrine wildlife through direct absorption and biomagnification (Bastos 475 et al., 2015; Barocas et al., 2023) or biochemical transformation in concert with changing 476 physiochemical properties (e.g., anoxia) (Eckley and Hintelmann, 2006). Lakes with large 477 WSA variations should be prioritised as sites most at risk of promoting food web contamination, particularly where suspended sediment deposition is precluded by lake 478 479 physiochemistry and is susceptible to re-released back into the river channel (Wren et al., 480 2019). Results from the present study suggest that chute-lakes are most at-risk sites for 481 nutrient and contaminant remobilisation since they maintain greater hydrological connectivity 482 and WSA variations. Future land use changes could amplify this risk along river corridors as

changes in floodplain roughness (indicative of changes from forest to pasture) have
associated with an increase in chute channel formation (Lazarus and Constantine, 2013;
Lewis *et al.*, 2020).

486 Differences in lake connectivity will also be archived in the stratigraphic record of lake-bed 487 sediments. Typically, grain-size fining is observed with distance from the lake entrance, as 488 sediment conveyance diminishes with decreasing flow velocity (Fisk, 1947); however, where 489 flow conveyance is maintained (e.g., from tie channels or after incursion), existing deposits 490 can be eroded and redistributed, thus changing the expected pattern of deposition in the bed 491 sediments (Toonen et al., 2012). Reconciling these processes with knowledge of where 492 nutrient loading is highest in the bed will improve forecasting sites of contaminant 493 remobilisation and habitat degradation. Moreover, these sites should be recommended to 494 community stakeholders as at risk sites for wildlife and humans. Isolated lakes within the same 495 river corridor should act as baseline sites from which comparisons of sedimentology, 496 physiochemistry and biodiversity can be made, since the processes controlling WSA 497 variations will be less dependent on channel hydrology. Notwithstanding, organic and 498 inorganic contributions transferred from the floodplain into isolated lakes must still be 499 considered as a contaminant source that may impact lake ecology (Ahearn et al., 2006).

500 Finally, a process understanding of the controls on oxbow lake hydrodynamics is crucial for 501 forecasting how climatic or human-induced changes in catchment hydrology may disrupt the 502 natural functioning of these habitats (Latrubesse et al., 2020). Flow regulation will reduce the 503 magnitude of the flood pulse, thus limiting hydrological exchange between the channel and 504 floodplain wetlands. Moreover, a reduction in hydrological connectivity will decrease the 505 nutrient and sediment supply to lakes, limiting their ability to filter contaminants and sequester 506 organic and inorganic material, and reduce migratory pathways for wildlife. Likewise, changes 507 in regional climate could intensify droughts and floods, altering lake physiochemistry, and 508 potentially converting lakes from biodiversity hotspots to dead zones. These impacts would be compounded by the effects of flow regulation and land-use conversion. 509

## 510 **Conclusions**

511 Oxbow lakes serve as critical ecotones for aquatic and terrestrial wildlife and provide a range 512 of important ecosystem services that function to purify river systems and sequester organic and inorganic particulates, while enhancing biodiversity. The provision of these services are 513 514 driven by interactions between the river and lakes after cutoff. Over annual and interannual 515 timescales, lakes display considerable hydrological variability, manifested through changes in 516 water surface area (WSA). The proximity of lakes to the river channel was found to be a key 517 control on WSA changes, although the mechanism for water transmission into the lakes was 518 key to explaining variability in the data. Most lakes maintain hydrological connectivity through 519 tie channels, which can extend deep into the floodplain, while others remain relatively 520 undisturbed by the river channel, and which are likely hydrologically controlled by precipitation, 521 groundwater, or the routing of water across the floodplain. Lakes that experienced incursion -522 where the active channel migrates into the lake - could convey more water and behaved 523 similar to those connected by tie channels. Chute channels showed a wider range of WSAs 524 and impacted by mainstem connectivity much further away than neck-lakes. Understanding 525 the controls on WSA changes in oxbow lakes is essential for quantifying biogeochemical fluxes 526 in wetland systems and for prioritising sites most at risk of nutrient and contaminant release, 527 which may cause water quality deterioration and risk to human health. Importantly, an 528 understanding of the process controls on lake hydrodynamics is essential for forecasting the 529 impacts changes in catchment hydrology on floodplain wetlands.

## 530 Acknowledgements

JA was supported by a Leverhulme Trust Early Career Fellowship (ECF-2021-596). JA would
like to thank G. Vasilopoulos for insightful conversations around methodology development.

# 533 Data availability

534 Data is available on request to the author.

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**Figure 1.** Mechanisms of cutoff formation. a) Neck cutoff on the Beni River between 2017 and 2018. The images show the termination of a meander bend by the convergence of two bends through a floodplain strip over a distance less than one channel width (L < 1Ch-w). b) Chute cutoff on the Mamoré River between 2017 and 2018. A chute channel captures a greater proportion of channel flow and enlarges causing abandonment of the former channel. Schematic representations of each cutoff mechanism are displayed in the far right panels. Flow directions are indicated by black arrows. Satellite images were acquired by PlanetLabs.



**Figure 2.** Examples of lake connectivity during floods. a) Two lakes (1) and (2) on the Mamoré River in January 2023. Turbid river water is observed mixing with the less turbid lake water through tie and floodplain channels. b) The same two lakes in May 2023 after flood waters have receded and connectivity to the mainstem had ceased. Black arrows indicate flow direction.



**Figure 3.** Studied oxbow lakes along the Rios Beni (a) and Mamoré (b) in Bolivia symbolised by cutoff type and formed between 1984 and 2022. Black points represent lakes formed through neck cutoff, while grey points represent those formed by chute cutoff. Flow direction is from south to north. The study sites' location within the Amazon Basin and South America (c). Black dashed lines indicate where the reach was split during the image acquisition stage.



**Figure 4**. Computing water surface areas (WSAs) using the modified Normalised Difference Water Index (mNDWI). The mNDWI was computed for each year on record from cutoff inception (black outline) to demise or until the final year on record (blue shaded area; 2022). False-colour mNDWI images from the final year in each panel are used in the background where shades of purple indicate non-wetted areas and shades of green are wetted areas. Differences in lake area were computed at both annual and full-record resolution by measuring the relative change in area over the desired inspection period and normalising the lake area by centreline length in the year of formation.



**Figure 5.** Methods for computing mainstem proximity and rainfall metrics. a) proximity was measured as the closest orthogonal distance from the mainstem centreline (black lines) and the boundary of the lake WSA (blue shaded area) in any given year. Pixel resolution is 30 m<sup>2</sup>. b) Rainfall was computed at annual and seasonal resolution, where a single year was measured from October to September in accordance with the hydrological year. Rainfall data were extracted from 5.5 km<sup>2</sup> gridded total daily rainfall situated over each of the study lakes as collected by the Climate Hazards Group InfraRed Precipitation with Station data (CHIRPS) program. The total seasonal rainfall ( $\Sigma RF_{seasonal}$ ) was computed as the sum of daily rainfall totals between October and March (( $\Sigma RF_{daily}$ )<sub>Oct-Mar</sub>), which corresponds to the wet season in Bolivia.



**Figure 6.** Lake connection styles. a) Isolation, where there are no clear visible connections between the lake and the active river channel; b) incursion, where the active channel breaches the year 1 lake boundary; and c) tie channel, where clear evidence of tie channels connecting the active channel to oxbow lakes is visible. Background images were obtained from Landsat Earth Explorer of the Rio Mamoré in 2023.



**Figure 7.** Interannual variations of normalised lake water surface area (WSA). a) Temporal transects of WSA variations on the Beni for each lake (01-28). b) Temporal transects of WSA for lakes on the Mamore (01-36). Transects are shaded by lake distance downstream, with darker shades being indicative of lakes situated further downstream. Transect length aligns with the temporal record of measurements. Images were unavailable for 2002 and 2012.



**Figure 8.** Relative water surface area changes for the a) Beni and b) Mamore. Long-term WSA changes measured between the first and final image on record for each lake is displayed by the solid black line. The grey shaded region indicates the range of WSA measurements for each lake measured from annual imagery over the full lifetime of the lakes. Some lakes display interannual changes in WSA that exceed the long-term changes.



**Figure 9.** WSA distributions through time. a) WSA distributions for each lake on the Beni. b) WSA distributions for each lake on the Mamore. Boxes represent the interquartile range of measurements, with the central line indicating the median WSA measurement of each distribution. Bars indicate the full range of measurements for each distribution. Boxes are

symbolised according to the lake type (neck or chute) as displayed in the legend. Boxes are ordered in ascending range order, while lake numbers increase with distance downstream. c) Relative long-term WSA changes (those measured between the first and final images on record) ordered by the number of years on record. The results of statistical tests (Kendall's Tau,  $\tau$ ; and Spearman's rank,  $\rho$ , are displayed with associated p-value.



**Figure 10.** Distribution of relative annual WSA changes for different lake types. Boxes represent the interquartile range, with median value represented by the line within each box. The bars indicate the full range of measurements for each lake type. The p-value associated with a Kruskal-Wallis test for similarity is indicated along with associated n values for each dataset.



**Figure 11.** Annual comparisons of changes in lake behaviour. The percentage of lakes that increased (red) or decreased (blue) in size for each year (Lakes %) was used to establish catchment-scale changes in hydrological behaviour. Black boxes are indicative of data gaps for 2002 and 2012.



**Figure 12.** Precipitation patterns a) Total annual rainfall is predominantly controlled by total seasonal rainfall. Each measurement was collected once a year from within the boundary of the lakes. b) The response of lake WSAs to total seasonal rainfall for all lakes on record. Data points are symbolised according to the lake type over which measurements were taken. The statistical results from Kendall's Tau and Spearman's rank test and associated p-values are displayed.



**Figure 13.** Relationships between WSA and channel proximity. a) WSA variations with respect to channel proximity. All lake WSA measurements and proximity to the mainstem for each lake and timestep on record. b) Histogram of WSA measurements for binned proximities. Each bin was 500 m in size and the WSA distribution was normalised by the total number of samples in each class (neck or chute). Bin size was arbitrarily scaled according to observations of channel proximity for the dataset. Where neck and chute lake populations overlap, bar symbology converges. c) Relationship between the standard deviation of lake WSAs ( $\sigma$ ) and average proximity of each lake to the active channel ( $\mu$ ). These values were computed to remove temporal variability from the measurements of each variable. The statistical results of Kendall's Tau and Spearman's rank are displayed with associated p-values. Points and bars are symbolised by lake type as described by the legends.



**Figure 14.** WSA distributions for different lake connection styles. Boxes represent the interquartile range of each distribution with the median represented by the line contained within each box. Bars describe the range of measurements for each connection type. The total number of measurements within each population is displayed above the boxes. The Kruskal-Wallis confidence value is displayed.