

# Messages from a theorist

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## RULES OF MODERN RETHORIC

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- ① Orator should make people laugh
- ② Orator should make people cry
- ③ Orator should make people think

## THIS TALK

*My notes before Neutrino 2018*

*A brief diary of Neutrino 2018*

*Messages from a theorist*

# my notes before Neutrino 2018



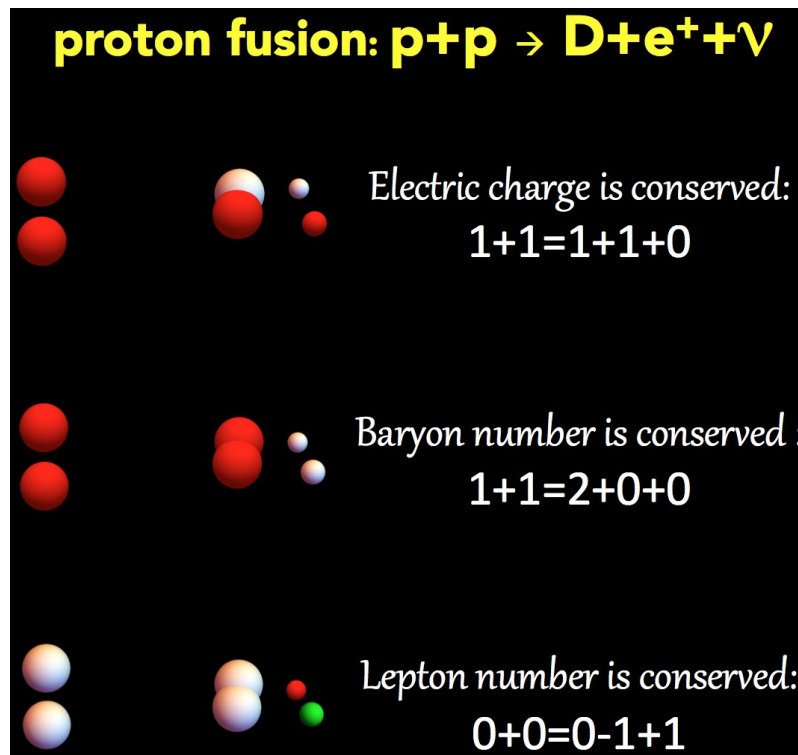
**SOME OF THE MOST IMPORTANT THINGS  
WE (DO NOT) KNOW AND WHY THEY  
MATTER: A PERSONAL SELECTION**

**I.E., MOSTLY, MY LIST OF PREJUDICES**

**+ ONE POLL**



# HOW TO RECOUNT THIS TO LEUCIPPUS/DEMOCRITUS?



- ⊙ You right: Earth, Moon, planets, stars... are made of the same type of **matter**
- ⊙ Matter is just what you claim: we saw **atoms and their parts**, we classified them
- ⊙ We have even seen 'atoms' transforming among them: this is how **the Sun shines**
- ⊙ How do we know? We used the **smallest part of matter** to see center of the Sun

# There Is Just One Type of Light Particles

(=At the scrutiny of T2K, NOvA, OPERA, SK, DeepCore, only Total Lepton Number  $L$  survived)

	$\Delta L_e$	$\Delta L_\mu$	$\Delta L_\tau$	$\Delta L$
$\nu_\mu \rightarrow \nu_e$	+1	-1	0	0
$\nu_\mu \rightarrow \nu_\tau$	0	-1	+1	0

# hem... We Have One Basic Type of Matter Particle

(=B+L is not a conserved number in the Standard Model --- leptons and baryons conversions!  
Appearance experiments proved that all anomaly free symmetries of SM are violated, except one)

	$\Delta(L_e - L_\mu)$	$\Delta(L_\mu - L_\tau)$	$\Delta(L_\tau - L_e)$	$\Delta(B - L)$
$\nu_\mu \rightarrow \nu_e$	+2	-1	-1	0
$\nu_\mu \rightarrow \nu_\tau$	+1	-2	+1	0

# Neutrino Mass

(difficult to explain oscillations to the first atomists—easier to modern layman)

- ❑ *Mentioned 1933 by Perrin and Fermi - still searched for*
- ❑ *Majorana's  $\neq$  Dirac's; Racah; Furry &  $\nu\beta\beta$  - still searched for*
- ✧ Pontecorvo (1957-1967) points out **QM-phenomena** analogous to  $K^0/\text{anti-}K^0$
- ✧ Sakata et al (1962-1963) remarks the connection with  **$\nu$  mass**
- ✧ MSW (1978-1986) very relevant even if not related directly to  $\nu$  mass
- ✧ From late '60 till SK+SNO' experimental proof of **oscillations**

*Oscillations remain crucial to probe neutrino mass and mixing!  
Important role of global analyses within  **$3\nu$ -theory***



# Gedankenexperiment

(this I can *almost* explain to our ancient colleagues)

- Since Goldhaber (1958), ultra-rel neutrinos are known to have spin and momentum *anti-aligned*
- We know they have a mass. What happens **overtaking** them – i.e., inverting the momentum of one mass state?
- Majorana (1937) says: they become antineutrinos

*NB to draw this connection, no need to mention SM, lepton number, effective operators, etc.: Just a bit of relativity.*

# Why This Is So Important

Massive Majorana neutrinos have a major impact on SM:

- ✓ They exist in very reasonable *extensions* of SM.
- ✓ The only remaining exact global symmetry of SM (for what we know) would be gone, if  $\nu = \text{anti-}\nu$ . *More dramatic than  $p \rightarrow e^+ + \pi^0$*
- ✓ In SM, matter or antimatter particle are distinct, e.g., by B-L. Majorana  $\nu$  would be the *only known bridge* between matter and antimatter

This can be verified with  $0\nu\beta\beta$  if mass is not too small, a process that can be seen as creation of a pair of electrons

# Neutrino Astronomy

In *strict sense*, only Kamiokande/SK/SNO **did astronomy** with  $\nu_{\odot}$ .

We are ready to do much more, e.g.:

(1) For a **galactic supernova**,  $\delta\vartheta_{\text{SK}} \sim$  degrees, possibly few hrs before the light. Time known with 10 ms accuracy. Synergy with GW detectors

(2) We can identify **HE  $\nu$ -sources**, if bright enough. In water,  $\delta\vartheta$  improves; solid angle  $\pi \times \delta\vartheta^2$  by more than 1 order of magnitude!

Why a  $\text{km}^3$  class telescope in Northern hemisphere? 1) to check IceCube 2) to see most of the Galaxy; disk emission, possible DM signal, etc.

# High-Energy Neutrinos

*Zheleznykh 1957, Markov, Greisen... IceCube 2013-today!*

➤ If due to cosmic ray collision, need sufficient **target**.  
If pp-collisions, the  $\nu$ -spectrum should reflect the primary spectrum, e.g.,  $\sim E^{-2}$ .

➤ If extragalactic, expected to be **isotropic**.

Constraints due to observable  $\gamma$  emission below 100 GeV unless opaque source.

*IceCube pointed out a very intense  $\nu$  emission. Antares not incompatible.  
Need to see  $\nu_\tau$  signals—space OPERA. We want to identify the sources.*

*IceCube has two important samples of data “passing  $\mu$ ” and HESE,  
consistent above 0.2 PeV. Unclear features below.*

# Supernova Neutrinos

*Colgate, White, Arnett, Nadyozhin... SN1987A... eagerly waited for*

*Simulations difficult, still not definitive*

*Parameters: intensity, average energy, shape (...?)*

*Error-bars necessary for interpretation & analyses*

◎ Overall agreement of SN1987A and ‘expectations’

◎ Compact remnant from SN1987A yet unseen

One provocation: should we treat also oscillations as a source of error?

$$\min[ \Phi_e^\circ(E,t) , \Phi_\mu^\circ(E,t) ] < \Phi_e(E,t) < \max[ \Phi_e^\circ(E,t) , \Phi_\mu^\circ(E,t) ] ???$$

Clarifications would be very much welcome, in my view

# Solar Neutrinos

Sound and important science with reliable roots: (von Weizsäcker, Bethe), Fowler, Bahcall! Oscillations: from Pontecorvo to MSW.

For pp chain: Precise measurements of fluxes of B, Be (+NC & shape for B) and pp, pep (initial) require to **check all SSM inputs** – nuclear/plasma/atomic/astro-physics

For CNO cycle: known since 1937, still to be probed. The only flux heavily revised of Bahcall's SSM. Important for metallicity issue. **Borexino has a chance**; and then?



# Theory (?) of Neutrino Masses

*How can we hit a theory of neutrinos mass w/o a theory of fermion masses? It is not forbidden to try, but...*

with **mixings**, all possible errors have been made in the past:  $\vartheta_{12}$  small ;  $\vartheta_{13} \approx 0$  ;  $\vartheta_{23} < 45^\circ$  ;  $\delta_{CP} \approx 0$ .

maybe, it's time to make new ~~errors~~ attempts on the **masses** now, e.g.,  $m_{\beta\beta} \approx \sqrt{\Delta m_{\odot}^2}$  and  $\Sigma_{\text{cosm}} \approx \sqrt{\Delta m_{\text{atm}}}$

MY POLL:

*Do you feel we have chances to come across the theory of neutrino mass before Neutrino 2020?*

- No [67.081%]
- Not my job, I work on deep learning [7.208%]
- Please read my next paper [25.711%]

# a brief diary of Neutrino 2018



**JUNE 4-9; WITH A BIT OF EMPHASIS ON A FEW  
POINTS THAT I FOUND PARTICULARLY  
IMPRESSIVE (AND LACK OF EMPHASIS ON  
THINGS I DID NOT UNDERSTAND ENOUGH)**

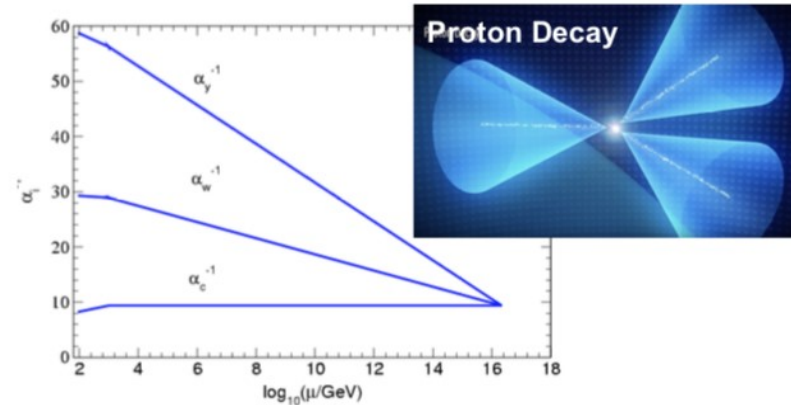
**FINALLY, 3 AWARDS - NOT FOR SPEAKERS, OF  
COURSE**

## JUNE 4 (ACCELERATORS, DETECTORS)

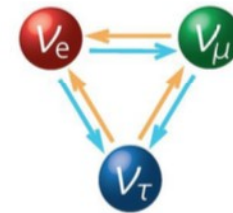
- ★  $3\sigma$  evidence for NH within  $3\nu$ -theory. Is  $\nu_s$  just a “mirage”?
- ★ T2K and NOvA results wunderbar, espe.  $\nu_e$  appearance
- ★ Less space for  $\nu_s$  after MINOS (+)
- ★ Proton-decay & supernova- $\nu$  mentioned by Hyper-K, DUNE, etc  
interest in geoneutrinos as well
- ★ Beautiful near future detectors & ideas to proceed further
- ★ Hadron dynamics is not just QCD. Need specific efforts

# Goals of Hyper-K Physics

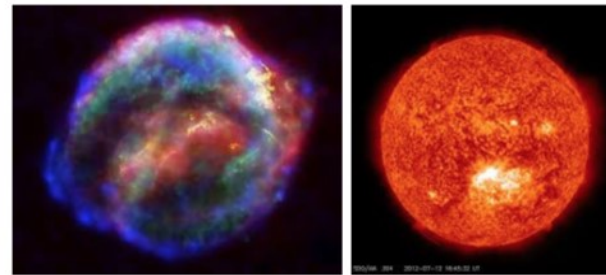
1. Nucleon Decay Searches



2. Explore full picture of neutrino oscillation including  $\delta_{\text{CP}}$  and Mass hierarchy



3. Neutrino astronomy and astrophysics

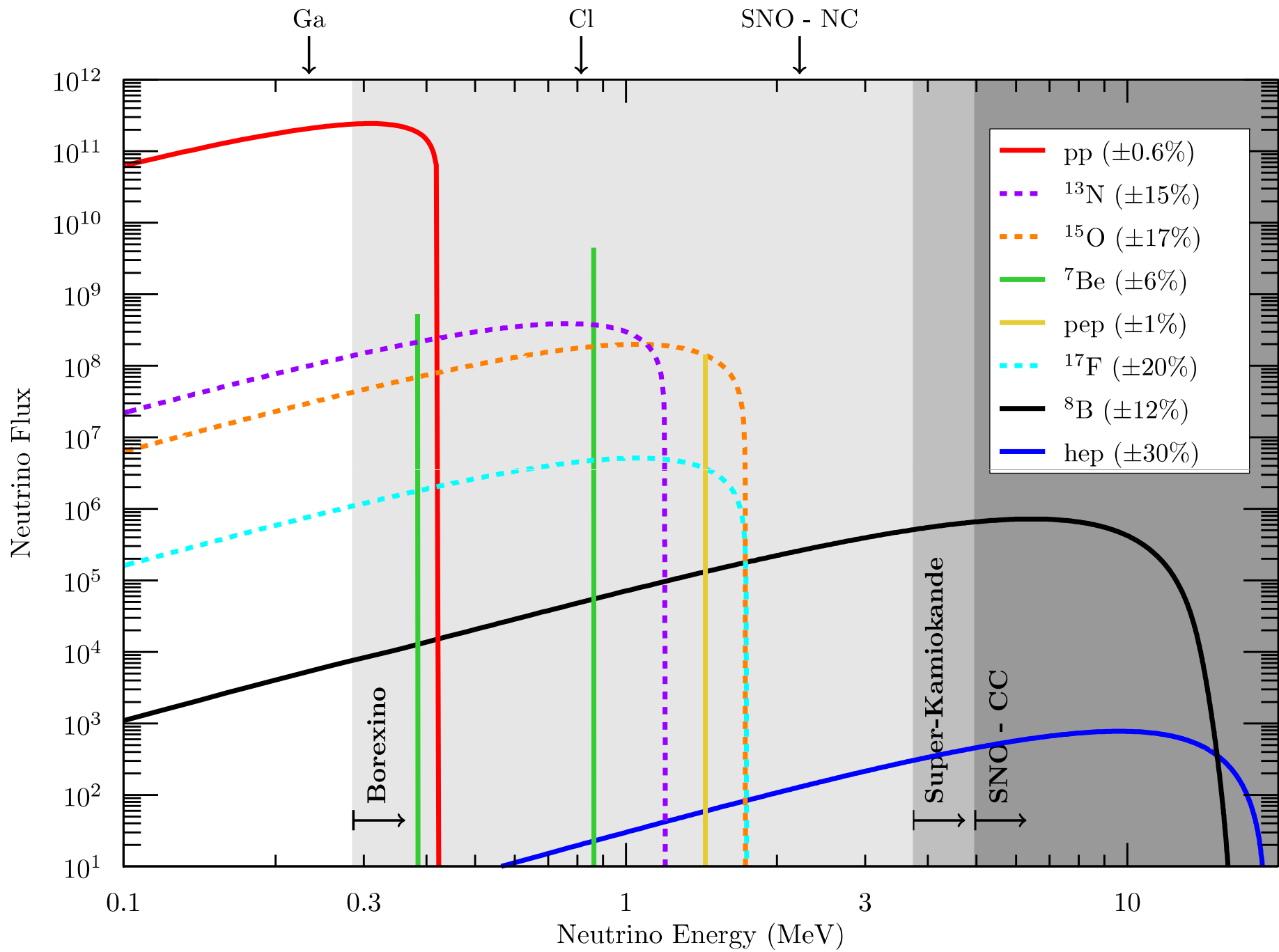


*from Masato Shiozawa's talk*

# JUNE 5 (REACTORS, ATMOSPHERIC, SOLAR NEUTRINOS)

- ★ Error bar estimation for reactor neutrinos, *not an easy task*
- ★ Daya Bay, Double Chooz, Reno: lot of improvements,  $3\nu$  is still *O.K.*
- ★ JUNO getting ready also with the help of Daya Bay
- ★ NH favored by Super-K (atm) that is *still progressing*;  $\tau$ s seen, also in Deep-Core
- ★ NSI analysis in Super-K (sol) from  $^8\text{B}$  shape. Ready for Gd
- ★ Borexino observes  $^7\text{Be}$ , *pep*, *pp*, bounds *CNO*: hopes of measurement!
- ★ Solar neutrinos still very appealing. Modeling might surprise us, need g-modes





Gallo Rosso et al 2017 based  
on Vinyoles et al 2017

# JUNE 5-6 (THEORY, NEUTRINOLESS DOUBLE BETA DECAY)

- ★ Global analyses consistent within  $3\nu$  model. Future (2025) exps

- ★ Ideas for the model of neutrino mass [theory? naturalness?]

- ★ EXO, Kamland-Zen, Gerda-II, Majorana, Cuore: impressive progresses.

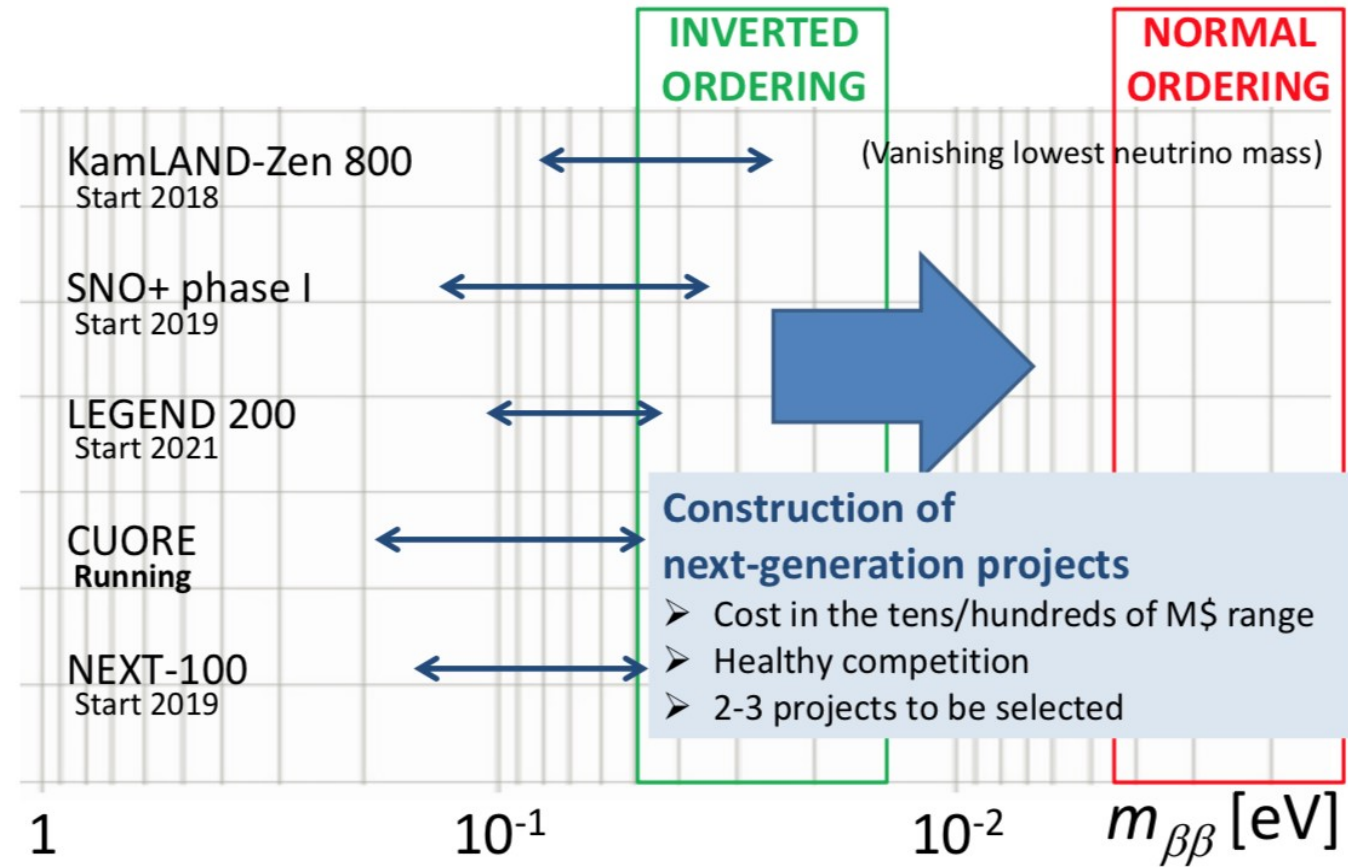
Debate: *no bkgr vs large mass* won by both parties

- ★ All experiments presented convincing ways to proceed further and there are many more good ideas to reach  $10^{28}$  yr (!!!)

- ★ Quenching is an unsolved issue. Nuclear physics uncertainties are significant but not precisely assessed

# Possible scenario in 2024

Considering running or well advanced projects (for results, funding and infrastructures)

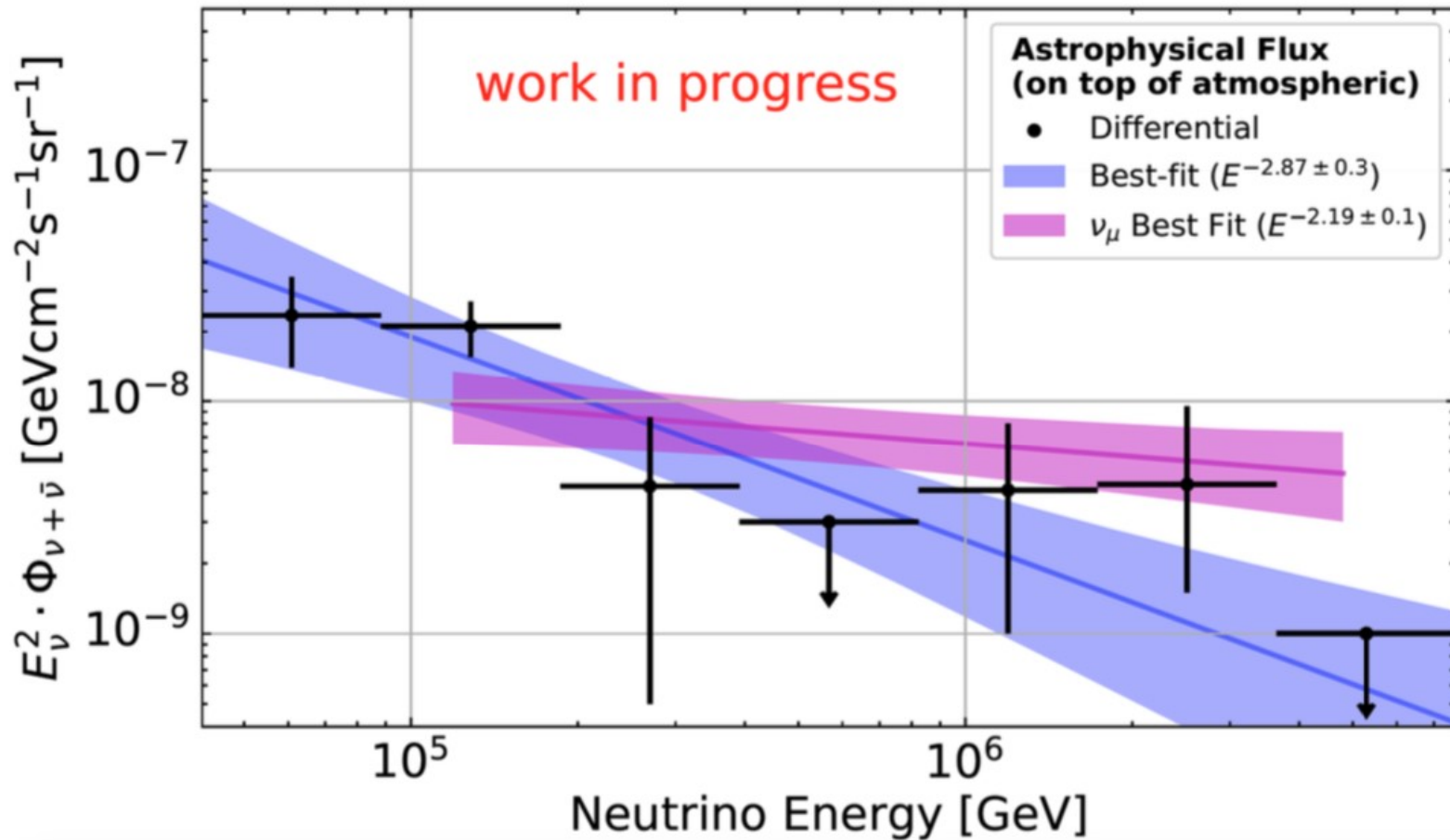


*from Andrea Giuliani's talk*

## JUNE 6 (HIGH-ENERGY ASTRONOMY)

- ★ IceCube: HESE is  $E_\nu^{-2.87 \pm 0.3}$  + announcements: correlation with BLlac; revised positions; 2  $\nu_\tau$  and 1 Glashow resonance candidates
- ★ List of promising theoretical sources of IceCube neutrinos, constraints from diffuse  $\gamma$ 's below 100 GeV (IGB)
- ★ Antares, GVD, upgrade of IceCube and KM3NeT – checks of present IceCube, exploring the  $\nu$ -sky
- ★ Various ways to probe the  $\nu$ -sky above 10 PeV, ongoing tests

# High-Energy Starting Events (HESE) – 7.5 yr



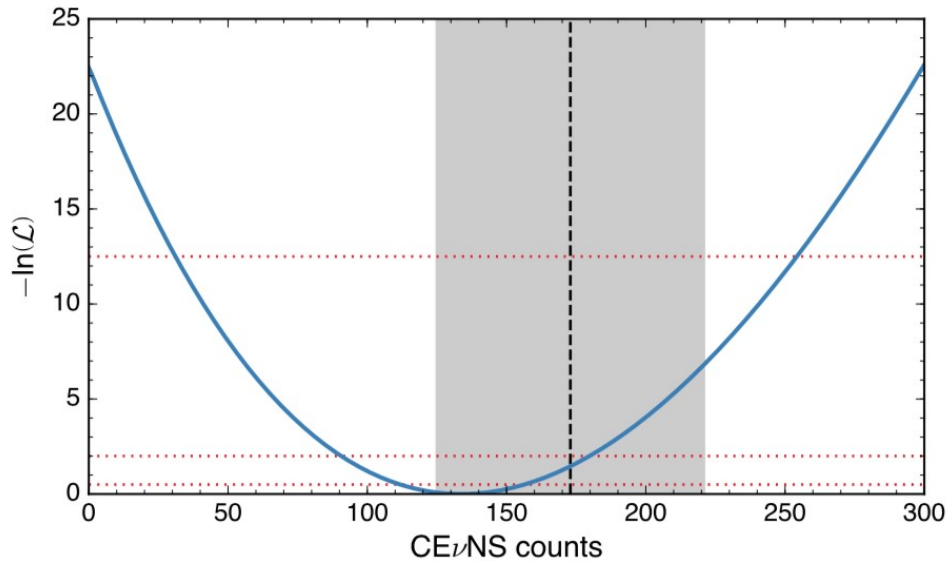
Poster #175. Wandkowsky et al. (IceCube)

from Ignacio Taboada's talk

# JUNE 7 (COHERENT SCATTERING, DIRECT MASS SEARCH)

- ★ **COHERENT measurement** of NC- $\nu$ A scattering with  $\pi$ -at-rest beam
  - ★ CONUS first results using reactor beam.
  - ★ Many options to proceed/to use it; many implications, including NSI tests
- 
- ★ Katrin: The ultimate endpoint experiment is **ready to start** and to deliver the promised 0.2 eV/c<sup>2</sup> sensitivity
  - ★ ECHo/HOLMES: calorimetric measurements using EC. Toward 10 eV/c<sup>2</sup>
  - ★ Project-8: possibly the future of this field.





## INVITATION

### OFFICIAL KATRIN INAUGURATION

KIT and the international KATRIN Collaboration are pleased to invite you to the official inauguration of the experiment on

**June 11, 2018**

marking the start of the long-term data taking to measure the absolute mass scale of neutrinos with unprecedented sensitivity.



The afternoon symposium will highlight the important role of neutrinos in particle physics and cosmology and review important milestones of the experiment up to now.



**Starts** 11 Jun 2018, 10:00  
**Ends** 11 Jun 2018, 18:30  
 Europe/Berlin

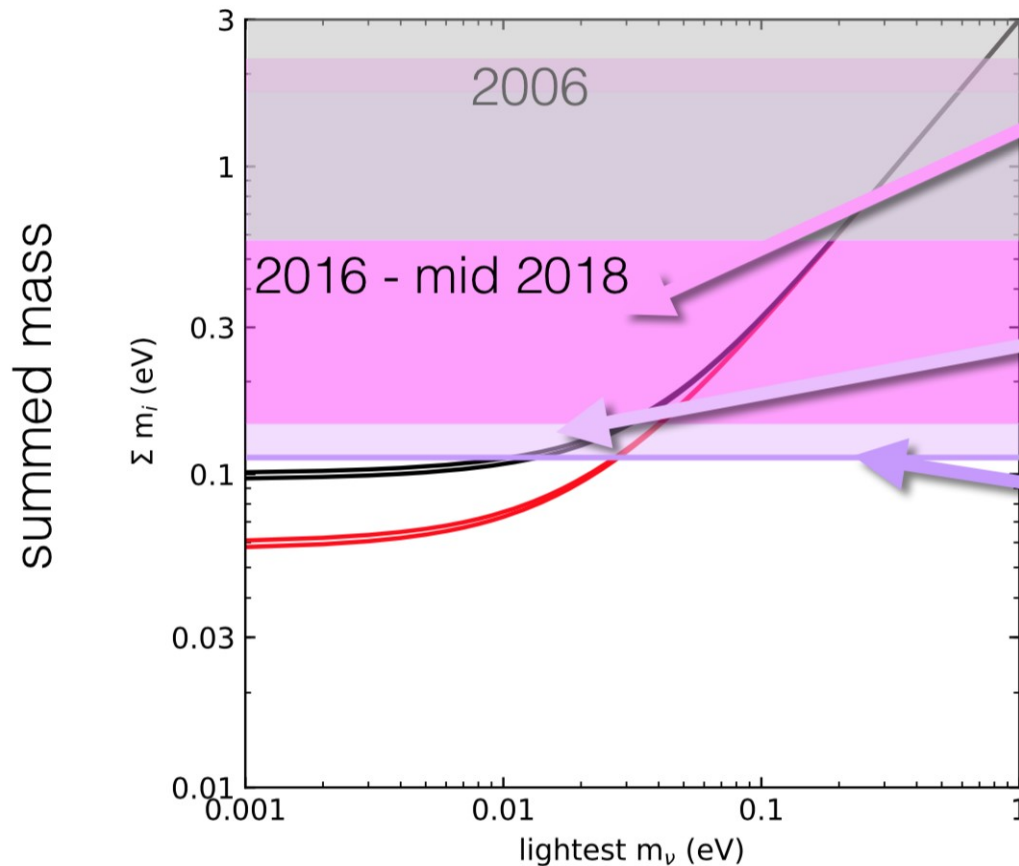


FTU  
 Main lecture hall  
 KIT, Campus North  
 Hermann-von-Helmholtz-Platz 1, 76344 Eggenstein-  
 Leopoldshafen

## JUNE 8 (THEORY AND COSMOLOGY)

- ★ Mass scale of RH  $\nu$  and possibilities to observe it at LHC
- ★ Meaning and tests of **lepton numbers**  $L_e L_\mu L_\tau$ . Extended gauge symmetries. B-L gauge symmetry?
- ★ Observed anomalies in hadronic flavors
- ★ Non-standard neutrino interactions and  $\nu$  oscillations
- ★ Constrained baryogenesis-via-leptogenesis mechanisms
- ★ Observational cosmology,  **$\Sigma m_\nu < .12(.6) \text{meV}$  &  $N_\nu \approx 3$**  (also BBN)

## 95%CL upper bounds on $\Sigma_i m_i$ for 7 parameters



CMB only: Planck,  
w/o high- $l$  polarisation and lensing...  
 $\Sigma_i m_i < 590$  to  $140$  meV (95%CL)

CMB + conservative LSS :

- Planck 2016 {TT+SIMLow+lensing} + BAO:  
 $\Sigma_i m_i < \mathbf{170}$  meV (95%CL)
- Planck 2016 {TTTEEE+SIMLow} + BAO:  
 $\Sigma_i m_i < \mathbf{120}$  meV (95%CL)

- Planck 2015 + Lyman- $\alpha$ :  
 $\Sigma_i m_i < \mathbf{120}$  meV (95%CL)

[Planck col.] 1605.02985; Cuesta et al. 2016;  
Palanque-Delabrouille et al. 1506.05976;  
Vagnozzi et al. 1701.08172;  
PDG "Neutrino Cosmology" [JL & Verde]

... harder to avoid bounds with simple  
cosmological model extensions

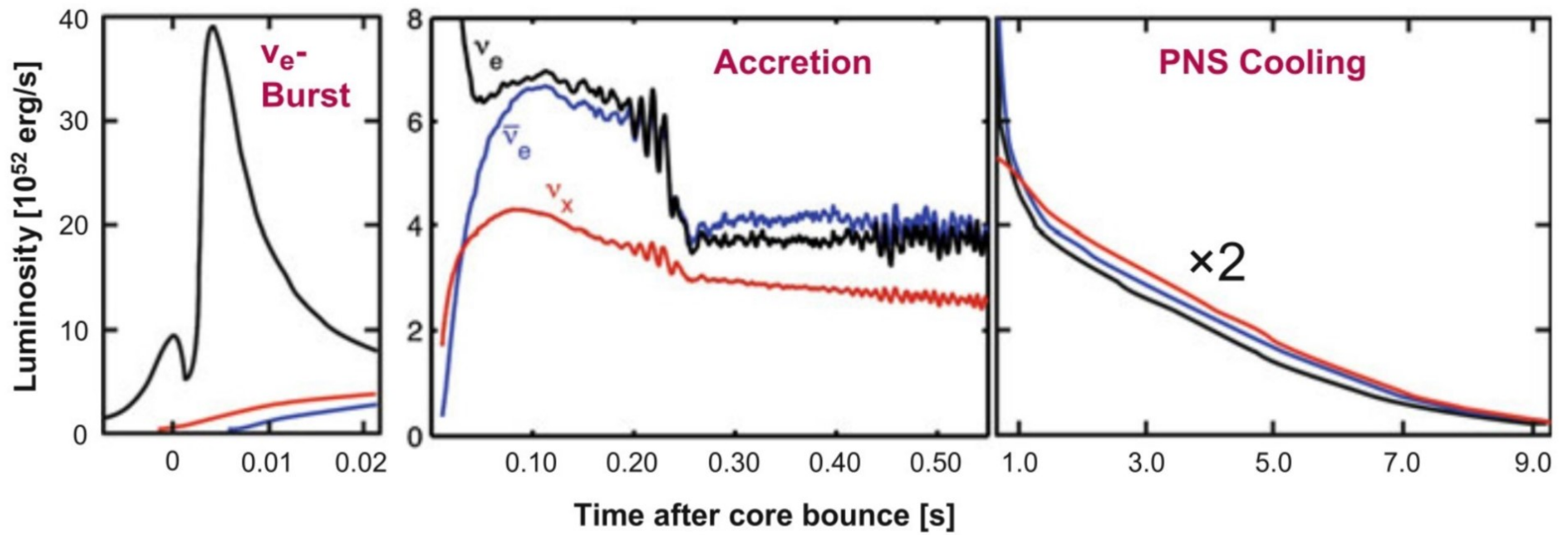
from Julien Lesgourgues's talk

## JUNE 8 (STERILE NEUTRINOS)

- ★ DANSS: *excludes Gallium anomaly*; potential  $\nu_s$  candidate
- ★ NEOS and Stereo: bound, *no support to reactor anomaly*
- ★ Prospect, Solid, microBoone: future search and prospects
- ★ *MiniBoone: strong anomaly* at low energy,  $6.1 \sigma$  with LSND
- ★  $\nu_s$  anomaly has anomalous features, changes with time. *3+1 (+n) model is predictive*: points to inconsistency of global evidence

# JUNE 9 (SUPERNOVAE, NS MERGERS, NEUTRINOS & DARK MATTER)

- ★ 'Small' mass stars explode in 3D; for 'large' ones, physics being explored. Expected modulation of (anti- $\nu_e$ ) signal
- ★ Oscillations in SN still being clarified. Many physics chances from a true event
- ★ The **new science** of NS mergers. GW and light seen HE- $\nu$  searched. Observable NS properties and nucleo-synthesis in r-processes.
- ★ keV neutral fermions alive as a dark matter candidate - the 3.5 keV line!
- ★ DM might show up unexpectedly; WIMP-det. is also  $\nu$ -det. (if big and clean)



from Thoms Janka's talk



# (alternative) Awards



- FOR GENUINELY CANDID COMMENT/QUESTION:
  - **CK Jung:** *“It does not seem a real theory of mass”*
  - **S Petcov:** *“Do you mean we do not understand  $g_A$ ?”*
  
- MOST STYLISH CHAIRPERSON:
  - **T Kirsten:** *(to Borexino) “Old cow still gives good milk”*
  - **E Akhmedov:** *10 talks in 20 smooth min, w/o showing off*
  
- BEST ORGANIZER:
  - **G Drexlin:** *for scientific/social program, atmosphere...*
  - **M Lindner:** *...and also organization, location, food...*

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# messages from a theorist



**ON THE RELATIONSHIP  
BETWEEN THEORY AND  
EXPERIMENTS; ON THE  
RELATIONSHIP OF NEUTRINO  
PHYSICS AND OTHER SCIENCES.**

**REMARKS, QUESTIONS AND A  
PROPOSAL**

# ON RELATIONSHIP BETWEEN THEORY AND EXPERIMENTS

- ✦ In this moment, when the field is changing, with an increased role of bigger experiments, maybe it is a good idea to pause and think a bit
- ✦ One reason why neutrino physics is in good shape is the continuous comparison between theory and experiments. This is needed to provide motivations, assessment, even confrontation, in the hope eventually to make good science
- ✦ An important simple principle: science first. Theory and experiments are just tools, to accomplish this goal – science
- ✦ Publishing one theoretical paper more – or making experiments just to do one experiment more – is not the same thing

# THEORY AND EXPERIMENTS TOGETHER SINCE THE START

Observed  $\beta$ -ray spectra & nuclear spin disagree with theory that nuclei are collections of p and e, fixed by charge and isotopic mass

- Pauli 1930 **hypothesizes** neutrinos in nucleus. This explains measured nuclear spin *and*  $\beta$ -ray (=electron) spectra
- Fermi 1933, *who knew about neutrons*, proposed a new **QFT** where the nuclear charge does change
- From this theory, **implications** worked out: EC (Wick), IBD (Bethe, Peierls)  $2\nu\beta\beta$  (Goppert-Meyer) etc
- It took time, but all these have been then **observed**

# NEUTRINO PHYSICS AND RELATED SCIENCES (A FEW KEY EXAMPLES)

Particle physics aspects are usually emphasized in our discussions. However, at low energies, crucial theoretical and experimental aspects of  $\nu$ -science need extensive nuclear physics expertise, not QCD in its full glory - and limitations.

There are many lively links with astrophysics, besides those emerged with the studies of  $\nu_{\odot}$  and  $\nu_{\text{SN}}$  and they are increasingly more evident.

Cosmology progressed greatly and yields a limit on absolute masses, number (and type!) of  $\nu$ -species that we need to understand at best.

# CONCLUSIVE THEORETICAL REMARKS

- ◎ Principled theoretical models are precious – e.g.,  $3\nu$  or also  $3+1$
- ◎ A theoretical assessment of newly investigated issues is always useful/needed. E.g., status of understanding of proton decay and of (relic) supernova, important for HK, DUNE, JUNO..., is not the same.
- ◎ We should estimate theoretical uncertainties, whenever possible: e.g., for reactor fluxes,  $\nu_{SN}$ , or for  $\beta\beta$  - apropos, “quenching” of  $g_A$  is not a theory
- ◎ *Ab initio* nuclear models may lead to progress-e.g., for  $\nu$ -xsec or for  $0\nu\beta\beta$
- ◎ Should we worry of “naturalness”? It does not help with  $\Lambda_{\text{cosm}}$  after all
- ◎ Astrophysical/cosmological investigation of  $\nu$  properties have a great potential, we should welcome synergy or critical attitudes-not biased ones

## SOME QUESTIONS

- ★ Do we understand  $\nu_{\odot}$  (the Sun) enough? Is MSW proved? What about Ga-xsec?
- ★ How often core collapse events occur in the Milky Way?
- ★ Are we ready for future supernova  $\nu$  – or are we stuck in theoretical doubts?
- ★ Do we understand sufficiently  $\nu$  interactions in astrophysical conditions?
- ★ Are events seen by IceCube really isotropic distributed? (through-going- $\mu$  below 200 TeV?)
- ★ What do we aim to learn from  $E_{\nu} > 10$  PeV? What is the composition of UHECR?
- ★ Alternative ways to see Majorana neutrinos? Chances to probe other properties?
- ★ Is there a chance to see relic (BBN) neutrinos?
- ★ On which principles should we possibly build a theory of fermion masses?



It would be nice to collect remarks and questions, in particular those arisen at/after this conference. Maybe organizers could consider the idea to arrange something like that. I cannot imagine a better summary to offer to our future colleagues.

Otherwise, if you like the idea and you write me, it will be my pleasure and honor to discuss these remarks and questions, and use the next pages to keep track of them.

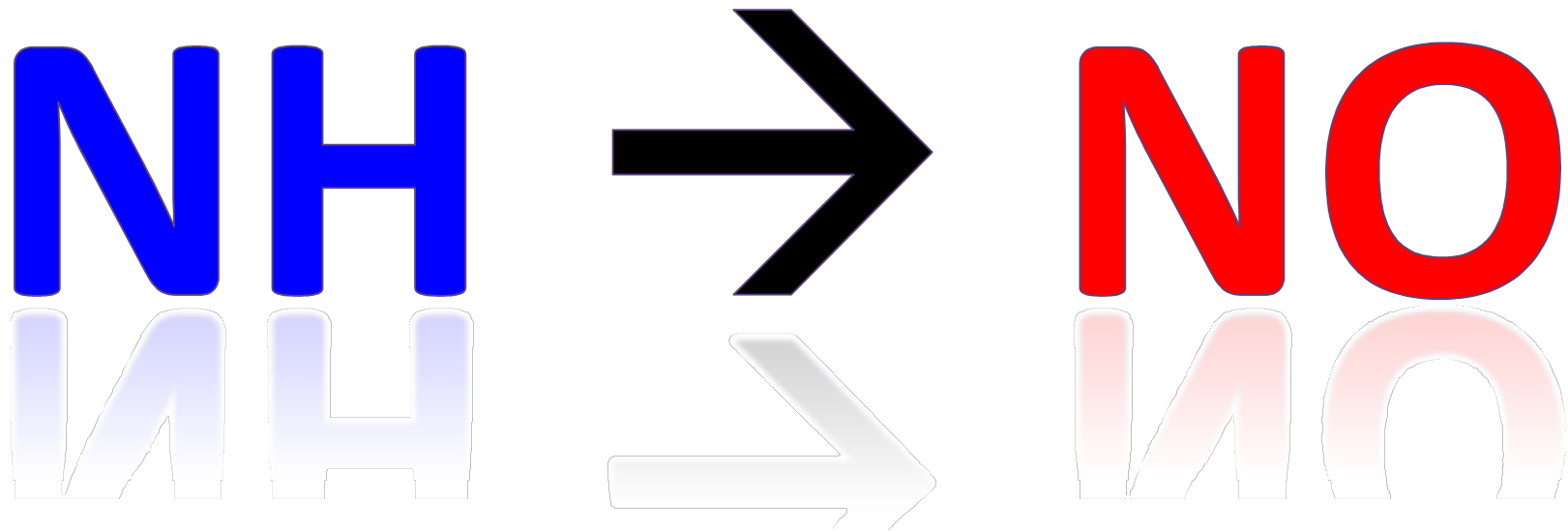
Many thanks

# supporting material



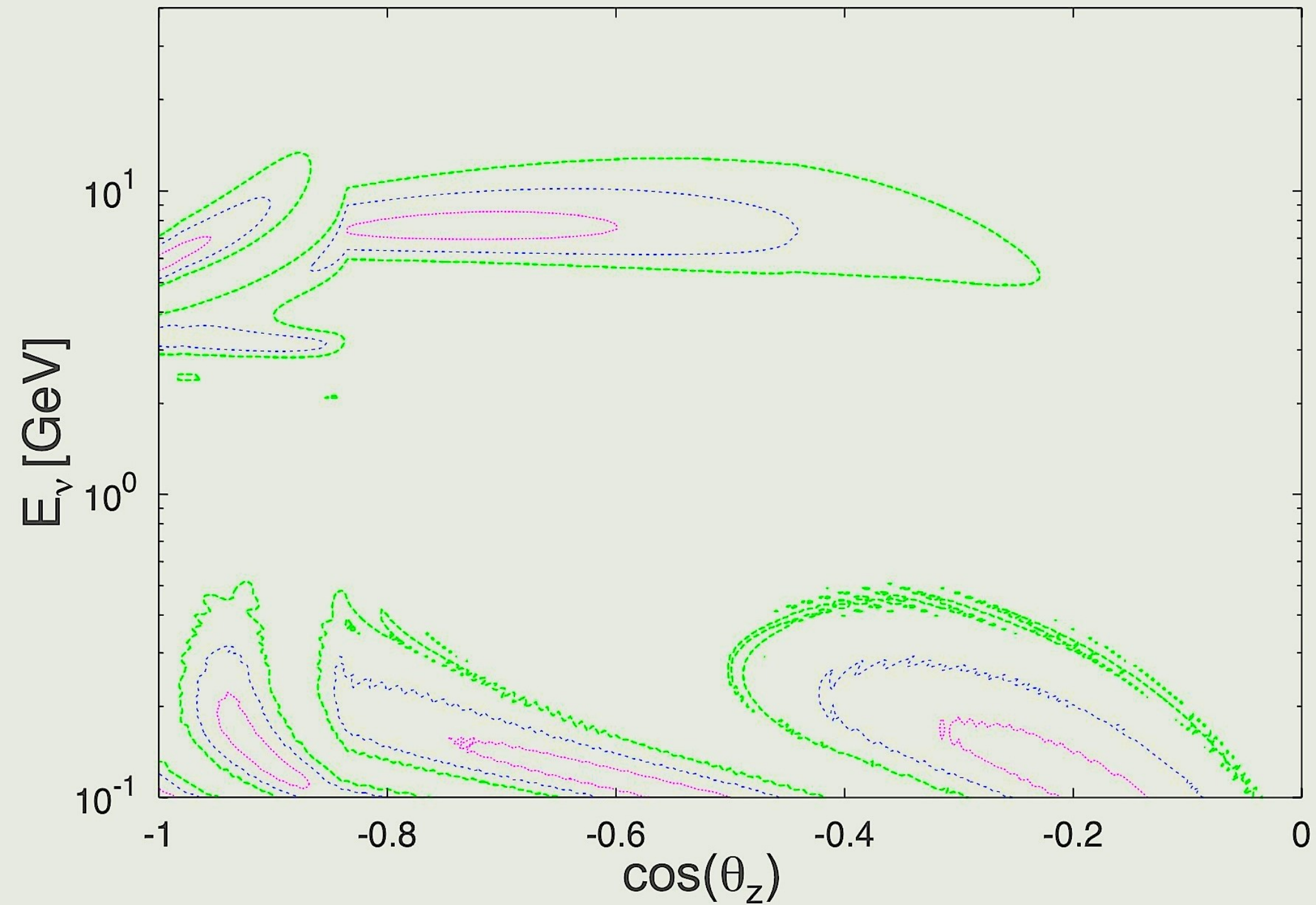
**(JOKES INCLUDED)**

**SHOULD WE CHANGE CURRENT ACRONYMS?  
HOW TO EXPLAIN OSCILLATIONS TO  
LEUCIPPUS AND DEMOCRITUS; THE POINT  
OF MAJORANA NEUTRINOS ILLUSTRATED; A  
GLOBAL ANALYSIS OF 2004 ON STERILE  
NEUTRINO; A COUPLE OF SERIOUS SLIDES  
(AT LAST!); ETC**



Normal hierarchy → Normal ordering

$P_{ee}=0.7, 0.5, 0.3$  through the Earth (La Thuile 2003)



**NO** → **YES**

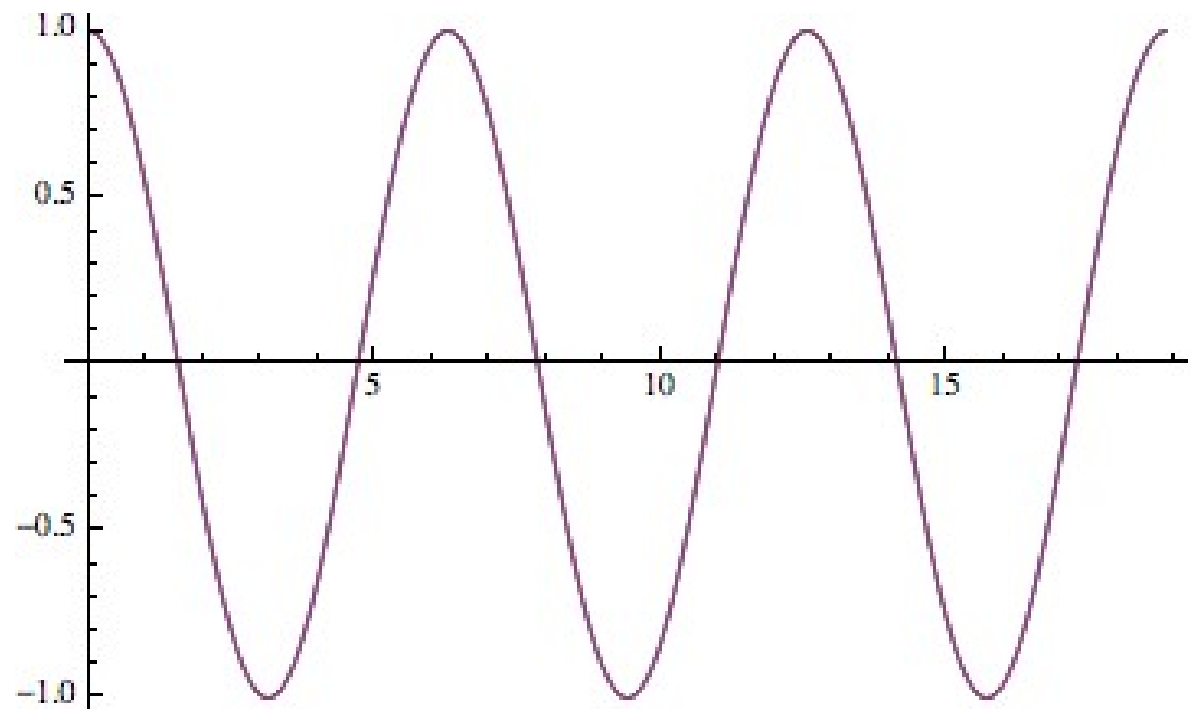
Normal ordering → Yearningly Expected Spectrum

## An attempt to explain neutrino oscillations to Leucippus

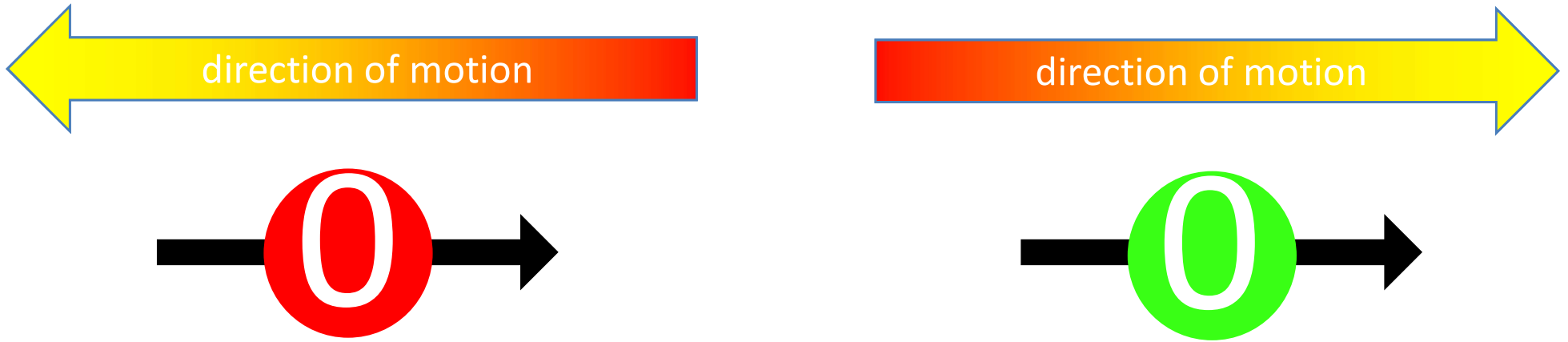
Well, we need at least a bit of wave mechanics, if not the full understanding of quantum mechanics...

It will be not that easy to convince him that any particle is also a wave, but one can try...

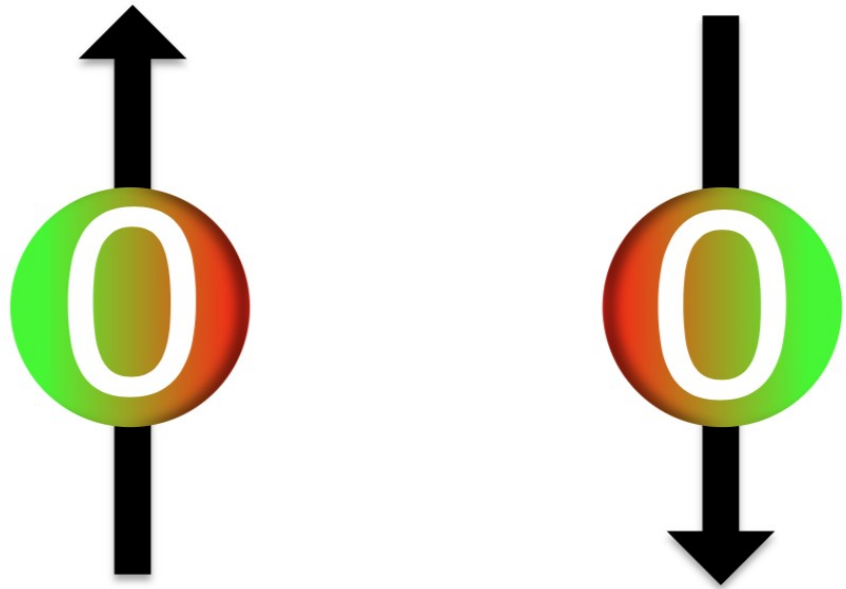
Then, I would say that “a neutrino is produced as a mixture of 2 waves with different mass; since they move with different velocity, neutrinos change nature when they propagate”



# Usually we see ultrarelativistic (anti) neutrinos



# If we could stop them, we would see the spin states



## Probing oscillations into sterile neutrinos with cosmology, astrophysics and experiments

M. Cirelli <sup>a</sup>, G. Marandella <sup>b</sup>, A. Strumia <sup>c</sup>, F. Vissani <sup>d</sup>

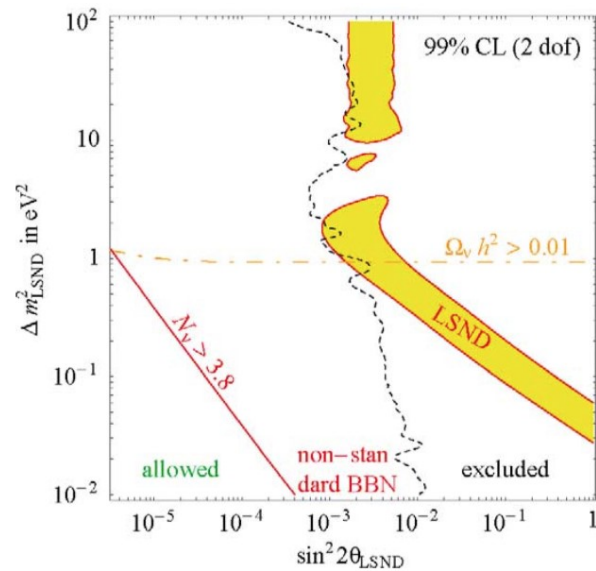


Fig. 13. The LSND anomaly interpreted as oscillations of 3 + 1 neutrinos. Shaded region: suggested at 99% C.L. by LSND. Black dotted line: 99% C.L. global constraint from other neutrino experiments (mainly Karmen, Bugey, SK, CDHS). Continuous red line:  $N_\nu = 3.8$  thermalized neutrinos. Dot-dashed orange line:  $\Omega_\nu h^2 = 0.01$ .



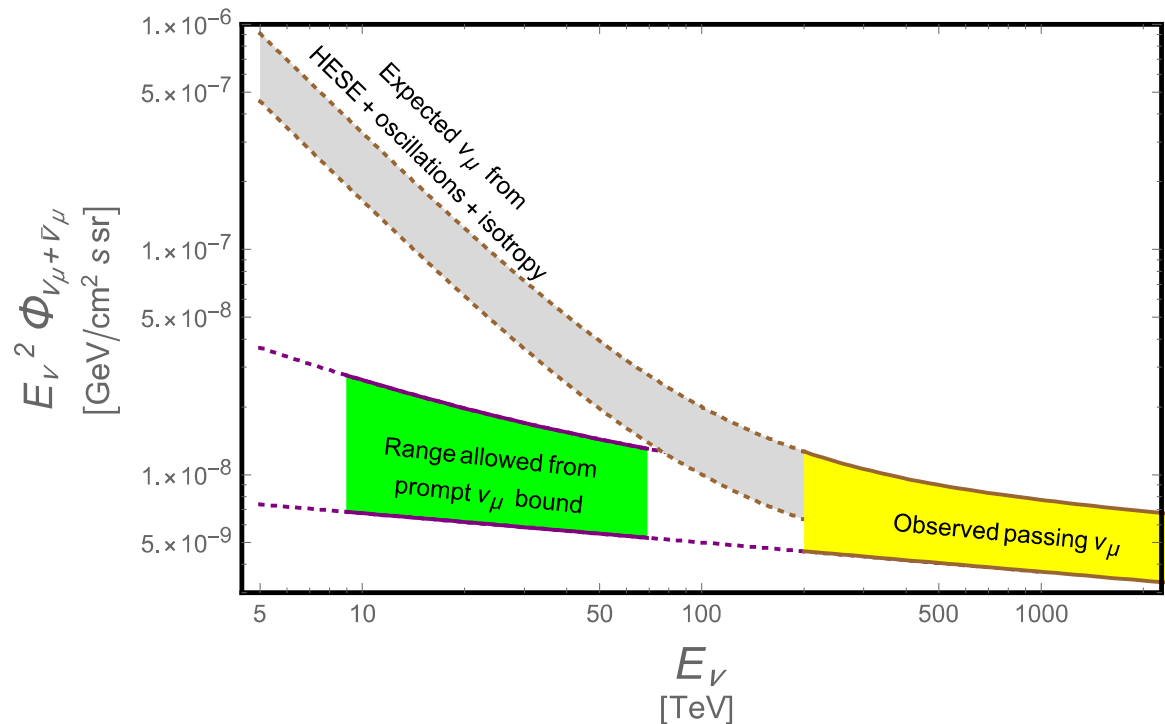
# TESTING HESE WITH MUONS BELOW 0.2 PEV

If the HESE flux is isotropic it should be also in the Northern sky

If neutrino oscillate on cosmic scales, electron tau and muon neutrinos are almost the same

Expectation: there are muon neutrinos from Northern sky also below 0.2 PeV

Remark: IceCube searched in this dataset for atmospheric prompt neutrinos, w/o success



# The scientific method

- Begins with facts / observations / evidences
- Continues with hypotheses / assumptions / principles / bases / foundations
- Proceeds with theorems / demonstrations / expectations / implications / predictions
- Ends with correspondence to reality / tests / experiments / i.e., back to facts



**It's just OK to go fishing....**

... as long as we know whether we want to fish herrings or whales and we behave consequently - just as Sanpei does