

XXVIII International Conference on Neutrino Physics and Astrophysics
9 June 2018, Heidelberg

Supernova Neutrinos

Challenges & Opportunities

Basudeb Dasgupta
TIFR Mumbai

Outline

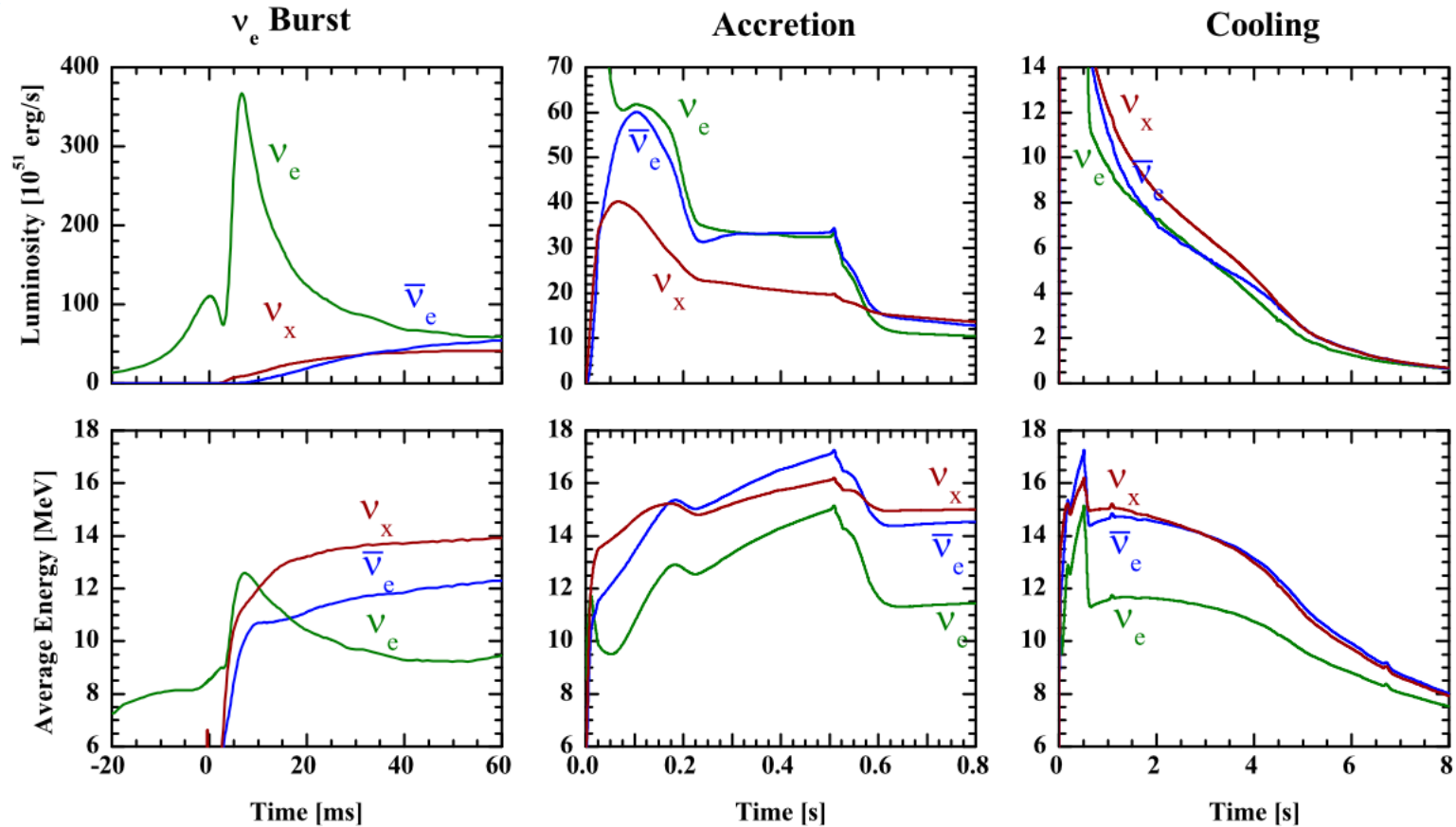
Prologue

Theory of SN neutrino oscillations

Phenomenology with SN neutrinos

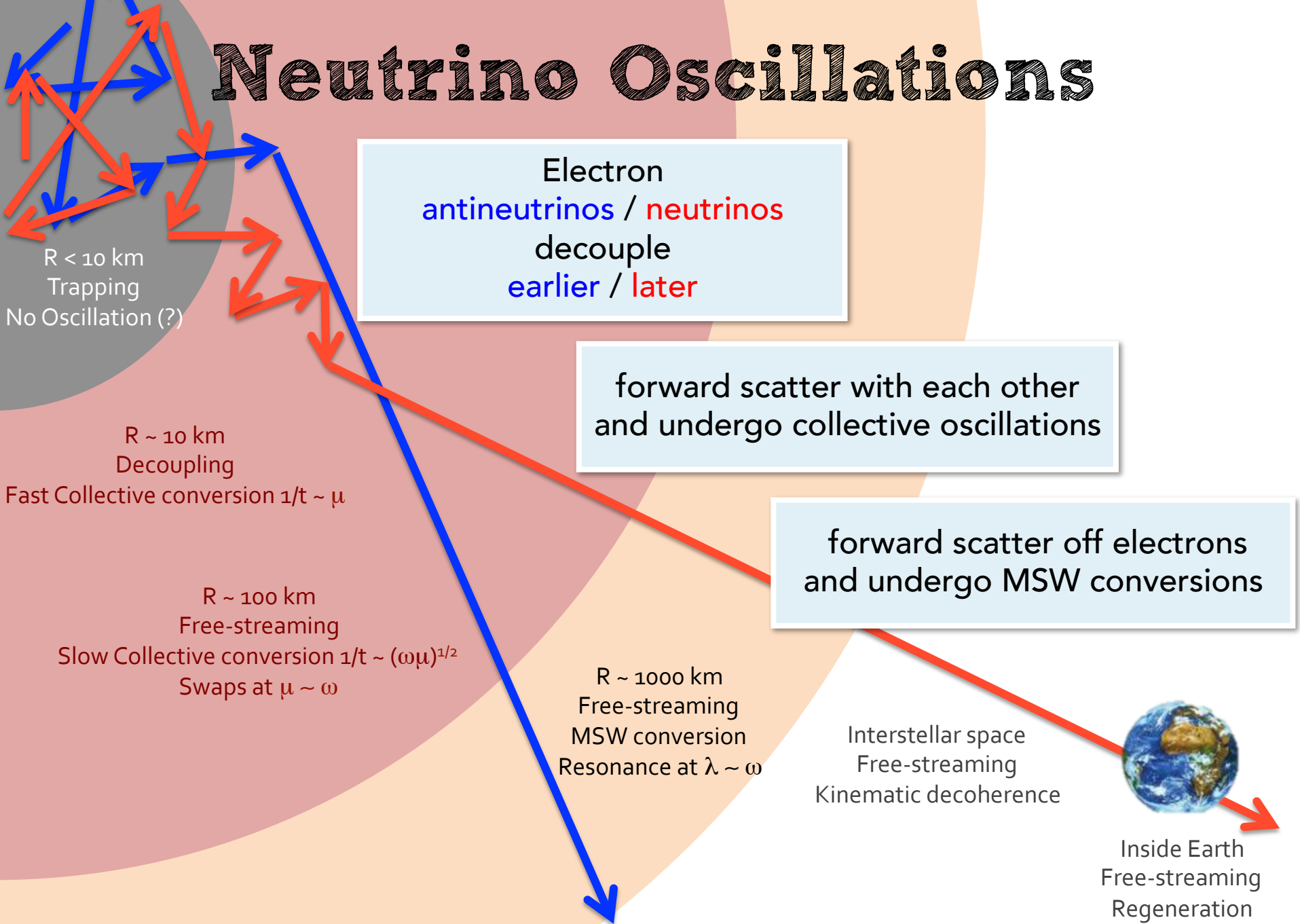
Concluding remarks

Neutrinos from a SN



1d simulation of a $27 M_{\text{sun}}$ star by Garching group
See review by Janka, Melson, and Summa (2016)

Neutrino Oscillations

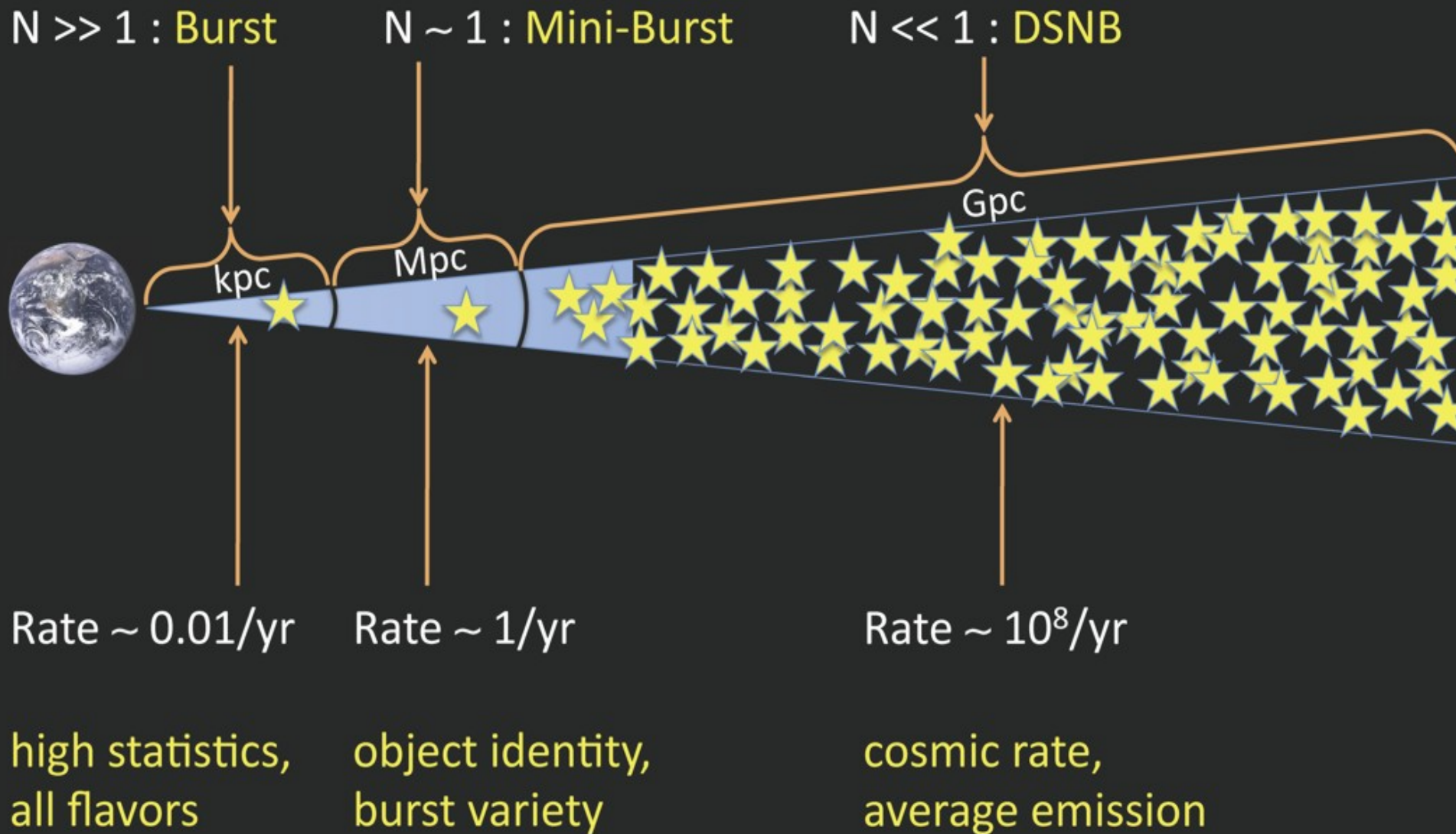


SN Neutrino Detectors

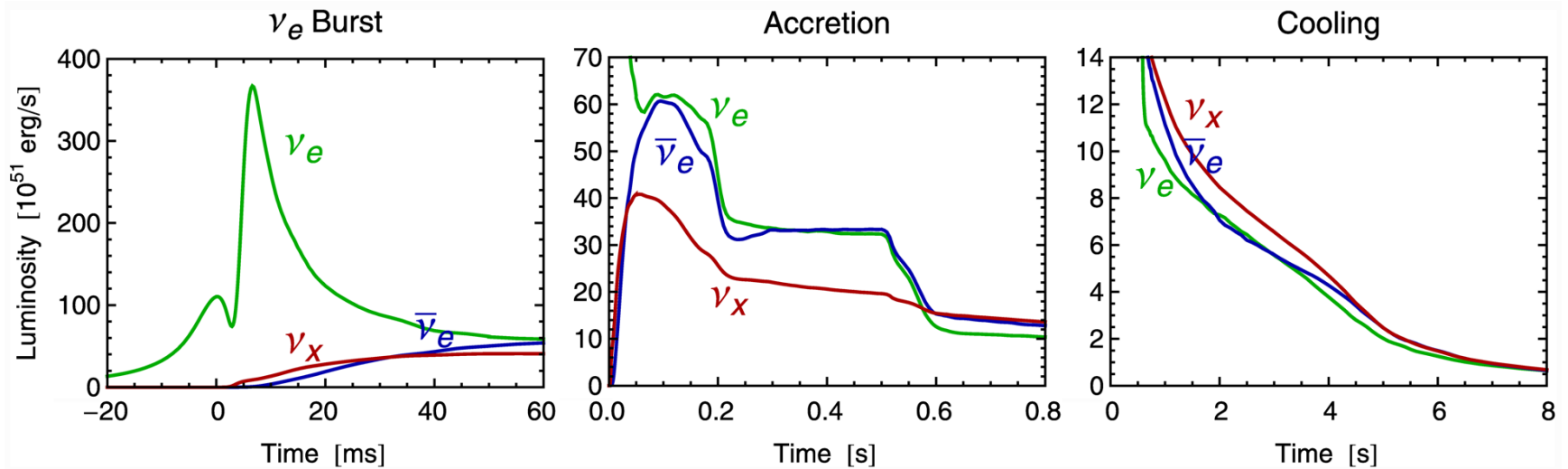
	Detector	Type	Mass (kt)	Location	Events	Flavors
Running	Super-Kamiokande	H ₂ O	32	Japan	7,000	$\bar{\nu}_e$
	LVD	C _n H _{2n}	1	Italy	300	$\bar{\nu}_e$
	KamLAND	C _n H _{2n}	1	Japan	300	$\bar{\nu}_e$
	Borexino	C _n H _{2n}	0.3	Italy	100	$\bar{\nu}_e$
	IceCube	Long string	(600)	South Pole	(10 ⁶)	$\bar{\nu}_e$
	Baksan	C _n H _{2n}	0.33	Russia	50	$\bar{\nu}_e$
	MiniBooNE*	C _n H _{2n}	0.7	USA	200	$\bar{\nu}_e$
	HALO	Pb	0.08	Canada	30	ν_e, ν_x
	Daya Bay	C _n H _{2n}	0.33	China	100	$\bar{\nu}_e$
	NO ν A*	C _n H _{2n}	15	USA	4,000	$\bar{\nu}_e$
Future	SNO+	C _n H _{2n}	0.8	Canada	300	$\bar{\nu}_e$
	MicroBooNE*	Ar	0.17	USA	17	ν_e
	DUNE	Ar	34	USA	3,000	ν_e
	Hyper-Kamiokande	H ₂ O	560	Japan	110,000	$\bar{\nu}_e$
	JUNO	C _n H _{2n}	20	China	6000	$\bar{\nu}_e$
	RENO-50	C _n H _{2n}	18	Korea	5400	$\bar{\nu}_e$
	LENA	C _n H _{2n}	50	Europe	15,000	$\bar{\nu}_e$
	PINGU	Long string	(600)	South Pole	(10 ⁶)	$\bar{\nu}_e$

From review by Scholberg (2012)

Distance, Strategy, Science!



Highlights



Burst	Accretion	Cooling
SN standard candle	Fast collective effects	Nuclear physics
Mass ordering	SN theory	Exotics/Axions
Timing	Mass ordering	Nucleosynthesis
SN theory	Pointing	Shock propagation
...	...	

The Takeaway

- **Introduction**
 - Different phases of SN explosion and different oscillation physics
- **Oscillation theory**
 - MSW : Adiabatic and Non-adiabatic
 - Collective : Slow/Fast both need spectral crossings
- **Non-oscillation observables**
 - timing, pointing, mass, lifetime, E-loss, SASI, failed SN, exotica
- **Oscillation-sensitive observables**
 - Mass ordering, Earth effects, SN properties, equilibration, ...
- **Upshot**
 - Neutrinoization burst : MSW-like
 - Accretion : If crossing exists then fast, else MSW-like
 - Cooling : Spectra are very similar

What's so special about SN neutrino oscillations?

SN Neutrino Oscillations

$$iD\rho_{\vec{p}} = +\left[\frac{M^2}{2p}, \rho_{\vec{p}}\right] + \sqrt{2}G_F[L, \rho_{\vec{p}}] + \sqrt{2}G_F \int \frac{d^3\vec{q}}{(2\pi)^3} (1 - \cos\theta_{\vec{p}\vec{q}})[(\rho_{\vec{q}} - \bar{\rho}_{\vec{q}}), \rho_{\vec{p}}]$$

Vacuum oscillations depend on neutrino mass matrix M
Overall minus sign for antineutrinos

MSW effect depends on ordinary matter density L, i.e. mainly electron density

Collective effects depends on the neutrino density

$$\omega = \frac{\Delta m^2}{2E}$$

$$\lambda = \sqrt{2}G_F n_e$$

$$\mu = \sqrt{2}G_F n_\nu$$

In general, a 7 dimensional problem

3 momentum (E, θ_p, ϕ_p) + 3 space (r, θ, ϕ) + 1 time (t)

Dimensionality of calculations denoted by $n_p + n_x + n_t$

MSW Effect in SN

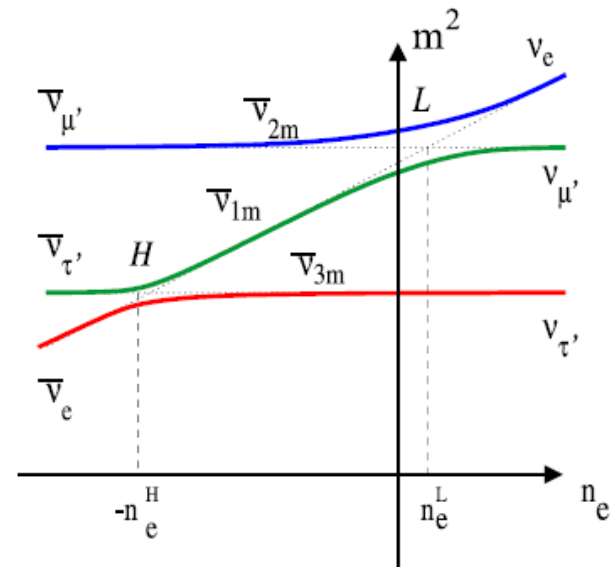
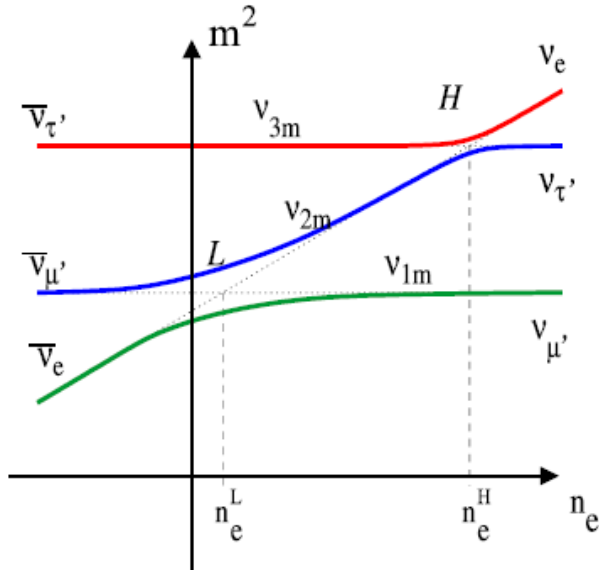
Neutrino oscillations in a variable-density medium and ν —bursts due to the gravitational collapse of stars

S. P. Mikheev and A. Yu. Smirnov

Institute of Nuclear Research, Academy of Sciences of the USSR

(Submitted 24 December 1985)

Zh. Eksp. Teor. Fiz. 91, 7-13 (July 1986)



Dighe and Smirnov (1999)

Can compute energy spectra of each flavor at Earth in terms of pre-MSW spectra

Collective Effect



Fermi National Accelerator Laboratory



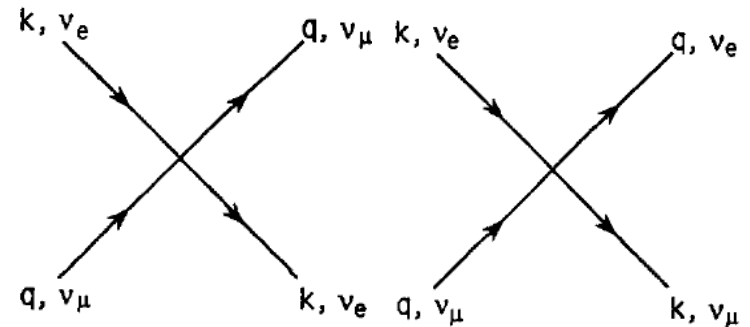
UCRHEP-T84
FERMILAB-PUB-92/18-T
January 1992

DIRAC NEUTRINOS IN DENSE MATTER

James Pantaleone

*Fermi National Accelerator Lab
Batavia, IL 60510
and
Department of Physics
University of California
Riverside, CA 92521*

In this formulation, it is apparent that basis rotations of the "propagating" neutrino cancel with those of the "background" neutrinos. Thus the $U(2)$ flavor symmetry is maintained. To neglect the off diagonal terms in every basis is obviously incorrect since it breaks this symmetry and then the result of the flavor evolution of a given state would be different in each basis. The $U(2)$ symmetry maintains the net flavor content.



Pantaleone (1992)

Forward scattering
neutrinos exchange flavor

$$i \frac{d}{dt} \begin{pmatrix} |\nu_e(k)\nu_e(q)\rangle \\ |\nu_e(k)\nu_\mu(q)\rangle \\ |\nu_\mu(k)\nu_e(q)\rangle \\ |\nu_\mu(k)\nu_\mu(q)\rangle \end{pmatrix} = V_2 \begin{pmatrix} |\nu_e(k)\nu_e(q)\rangle \\ |\nu_e(k)\nu_\mu(q)\rangle \\ |\nu_\mu(k)\nu_e(q)\rangle \\ |\nu_\mu(k)\nu_\mu(q)\rangle \end{pmatrix}$$

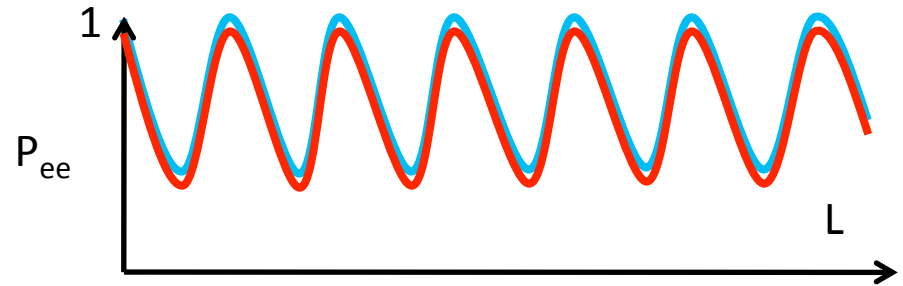
$$\text{where } V_{2\nu} = \sqrt{2}G_F\xi \frac{1}{V} \begin{pmatrix} 2 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 2 \end{pmatrix}$$

Collective Effects

When neutrino density is high

$$G_F n_\nu \gg \frac{\Delta m^2}{2E}$$

the system is synchronized

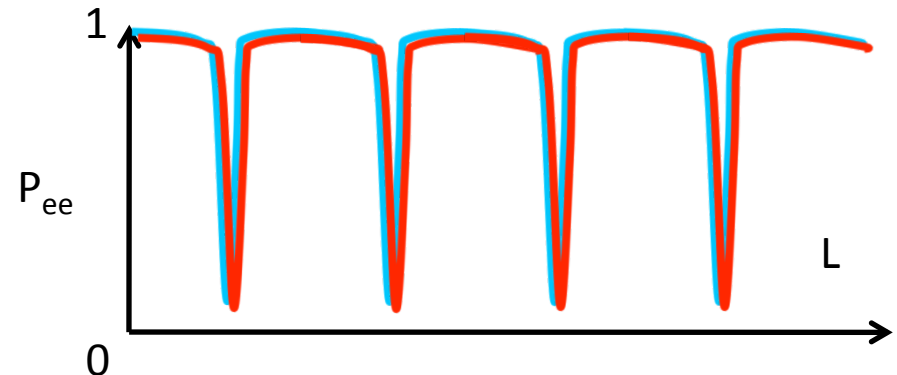


Synchronized Oscillations

As neutrino density gets lower

$$G_F n_\nu \gtrsim \frac{\Delta m^2}{2E}$$

the system starts wobbling

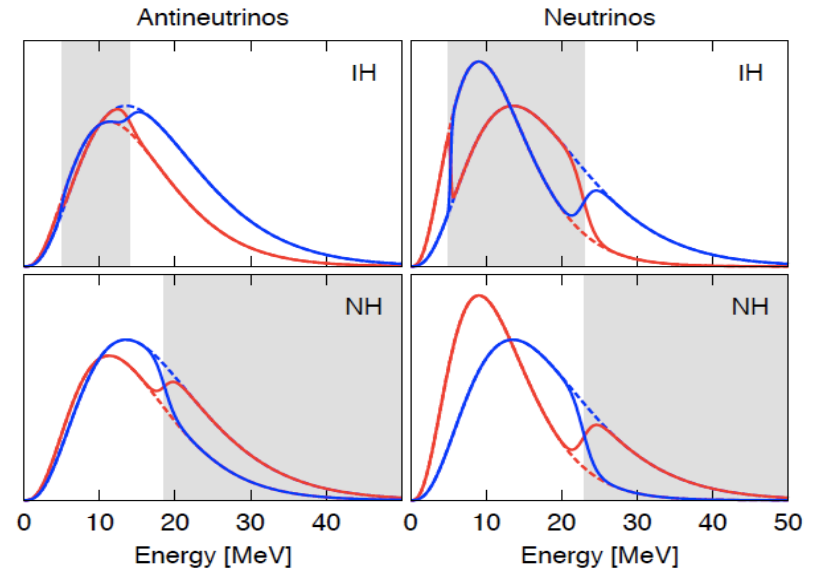
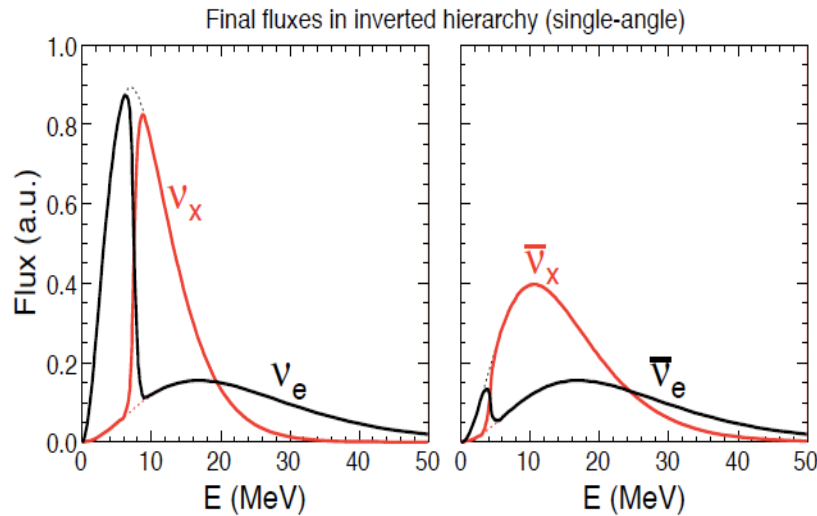


Bipolar Oscillations

Instability grows at rate
proportional to geometric mean
of ω and μ

Pioneering work by Pantaleone, Kostelecky, Samuel in the 1990s

Spectral Swaps



Portions of the energy spectra
get exchanged

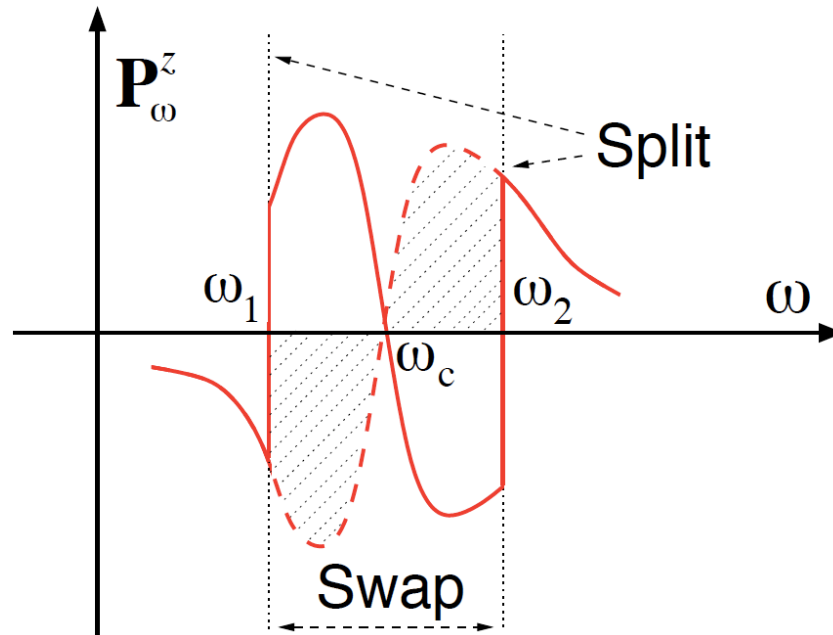
Initially thought to occur for
Inverted ordering

Later realized that this occurs for
both orderings and there can be
multiple spectral splits

Seminal papers by Duan, Fuller, Carlson, Qian (2005, 2006, 2007)
Raffelt and Smirnov (2007, 2007)
Fogli, Lisi, Marrone, Mirizzi (2008)

Dasgupta, Dighe, Raffelt, Smirnov (2009)
Friedland (2010)

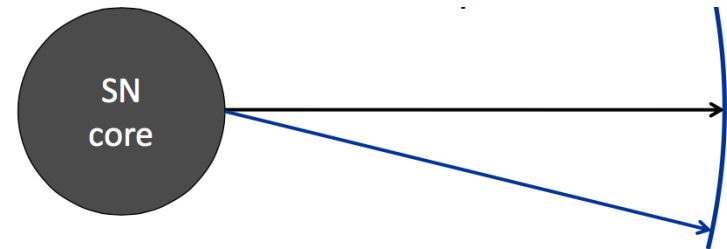
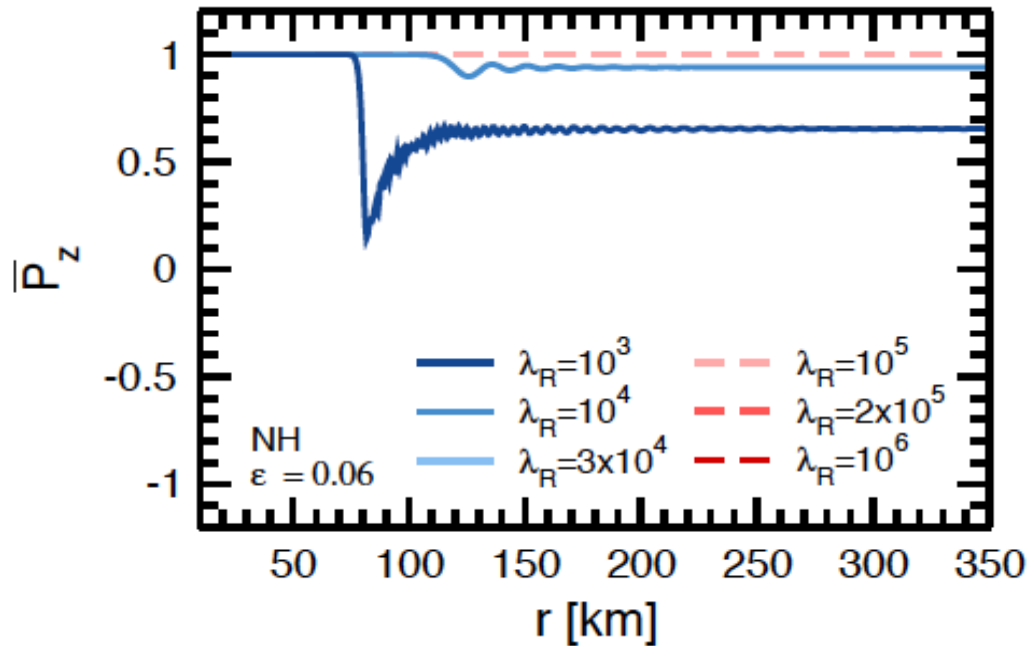
Crossing of Spectra



The main dynamical quantity turns out to be $F_e - F_x$
This is often what we refer to as the "spectrum" as a function of energy, or emission angle, etc. If this spectrum has a "crossing" the system tends to become unstable and there is flavor conversion.

Dasgupta, Dighe, Raffelt, Smirnov (2009)

Dense Matter Effect

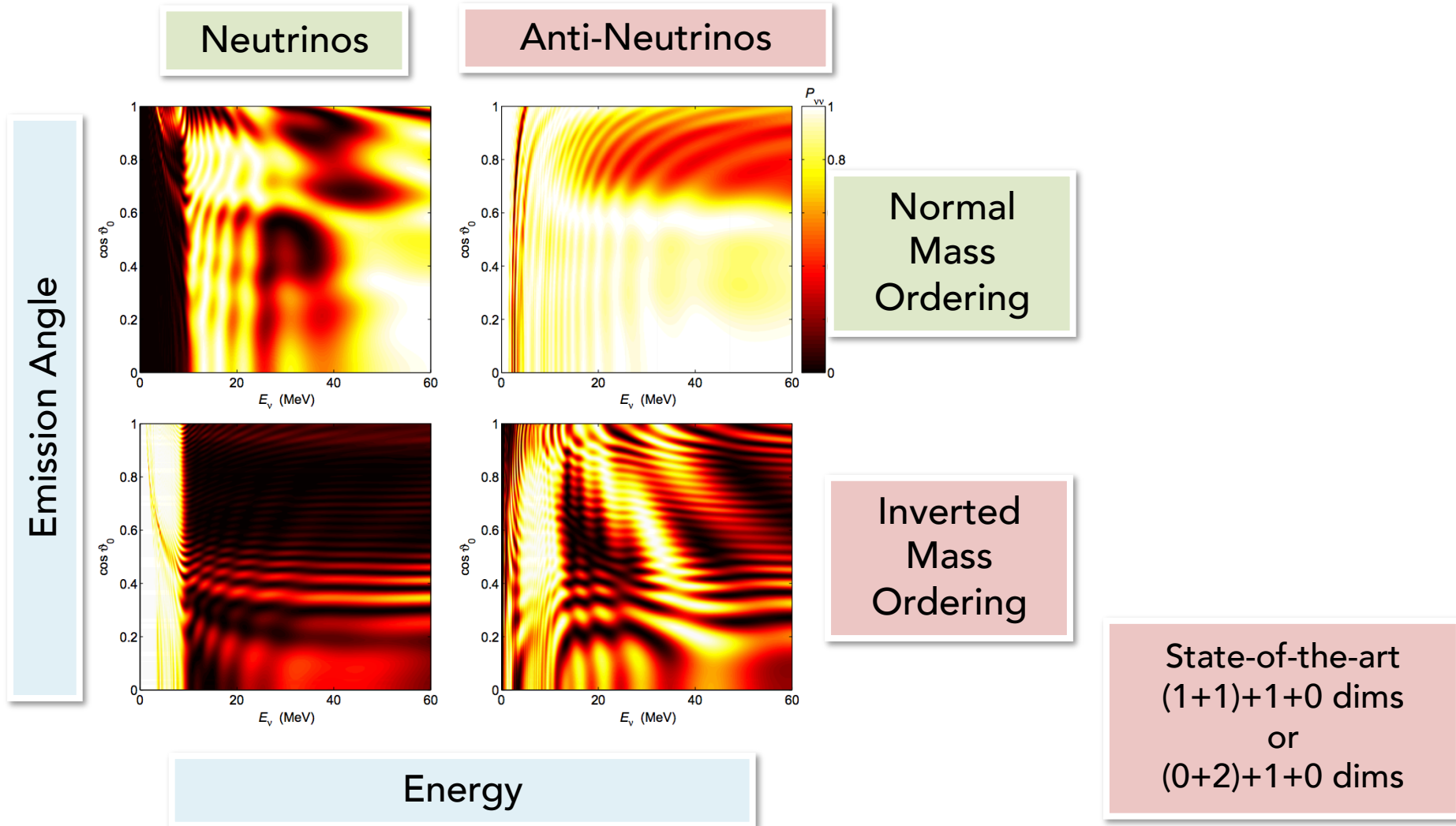


longer path =
more matter

The electron background is different for the different trajectories, and while most of it drops out as a common phase, the differences are large enough to “block” collective effects.

Esteban-Pretel, Mirizzi, Pastor, Tomas, Raffelt, Serpico, Sigl (2008)

Numerically challenging ...



Duan, Fuller, Carlson, Qian (2006)
Mirizzi and Tomas (2010)

Linear Stability Analysis

$$\varrho_{\omega, \nu_z, \varphi} = \frac{1}{2} \text{Tr}(\varrho_{\omega, \nu_z, \varphi}) \mathbb{I} + \Phi_\nu \frac{g_{\omega, \nu_z, \varphi}}{2} \begin{pmatrix} s_{\omega, \nu_z, \varphi} & S_{\omega, \nu_z, \varphi} \\ S_{\omega, \nu_z, \varphi}^* & -s_{\omega, \nu_z, \varphi} \end{pmatrix}$$

Off-diagonal element of density matrix measures departure from initial pure-flavor-state.

Linearize equation in S see if there are exponentially growing solutions

Bannerjee, Dighe, Raffelt (2010)

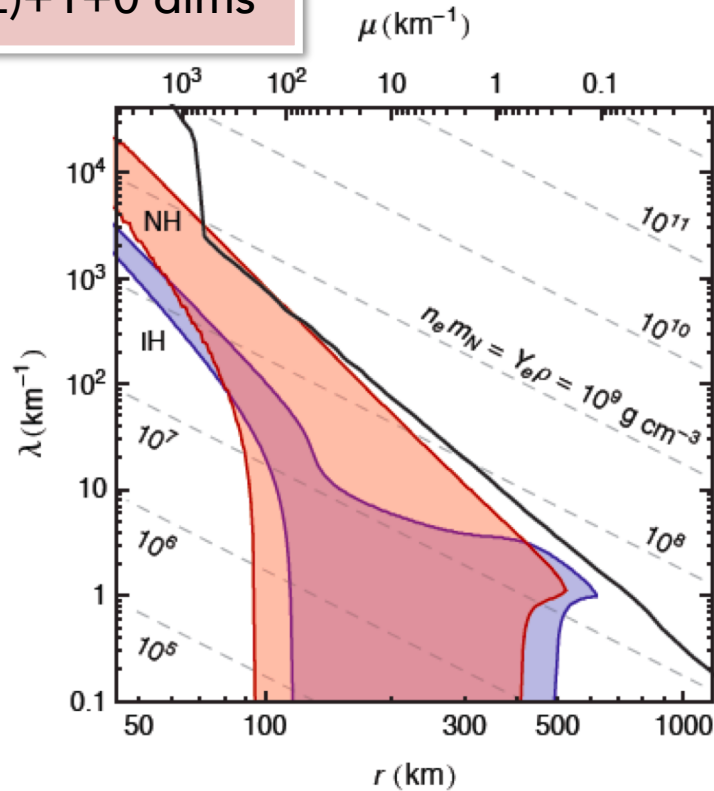
Turns out to be equivalent to demanding that there be a crossing in the spectrum

Abbar and Duan (2017)

Spontaneous Symmetry Breaking

Solutions do not preserve the approximate symmetries of initial conditions and the equations of motion

(1+2)+1+0 dims



In the red/blue shaded region, flavor composition changes exponentially fast

The red region (for NMO) breaks azimuthal symmetry

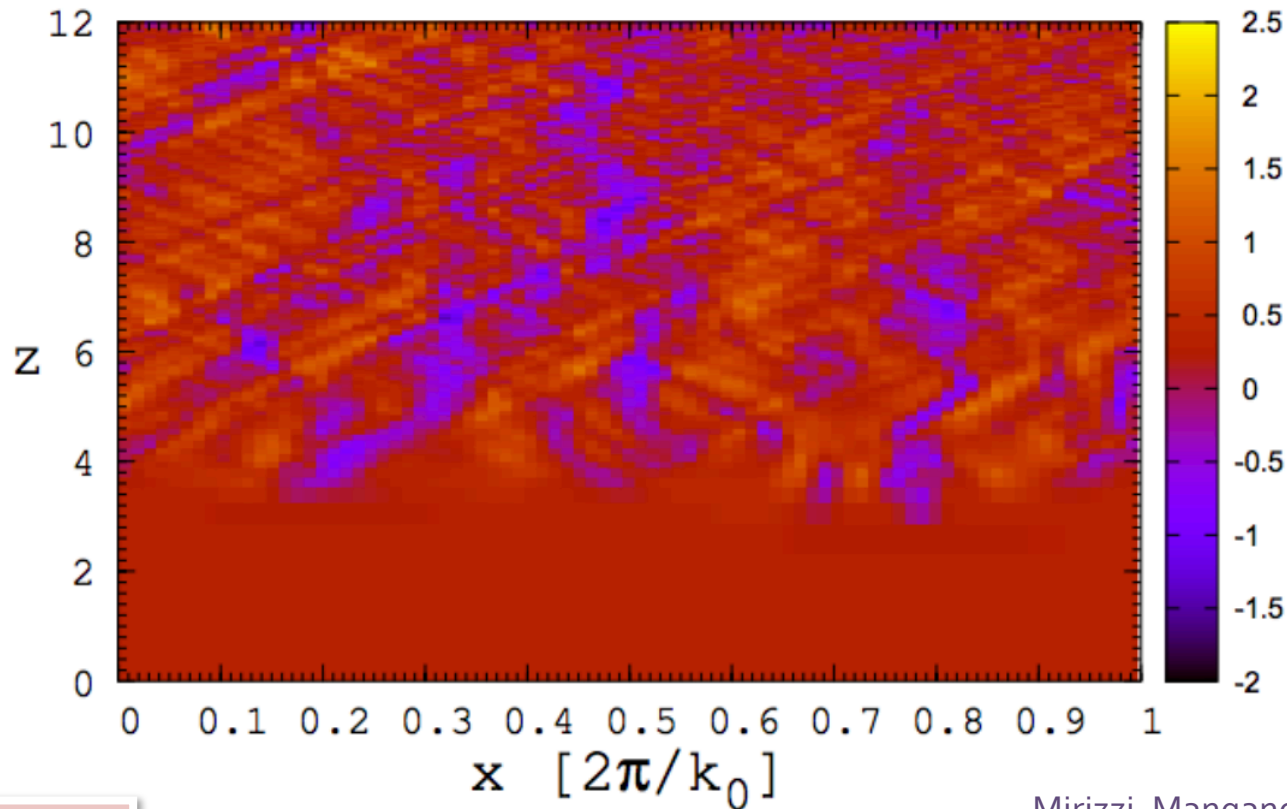
Raffelt, Sarikas, Seixas (2013)

But suppressed by matter

Chakraborty, Mirizzi, Saviano, Seixas (2014)

Neutrinos emitted with azimuthally-symmetric fluxes but their flavor evolution depends on the azimuthal angle

SSB of Spatial Translation



In 1+2+0 dims

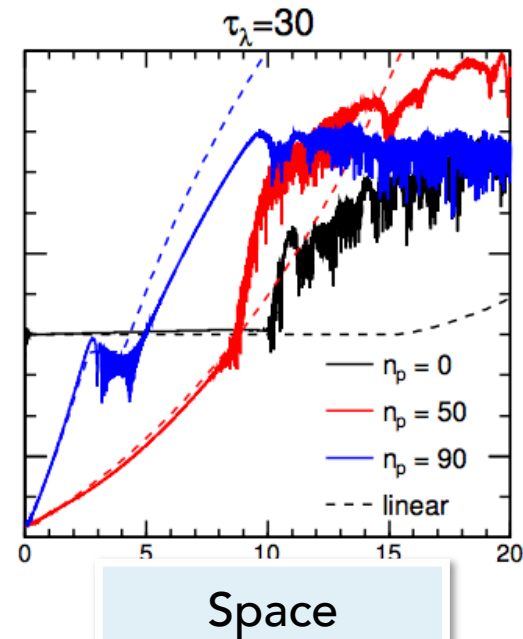
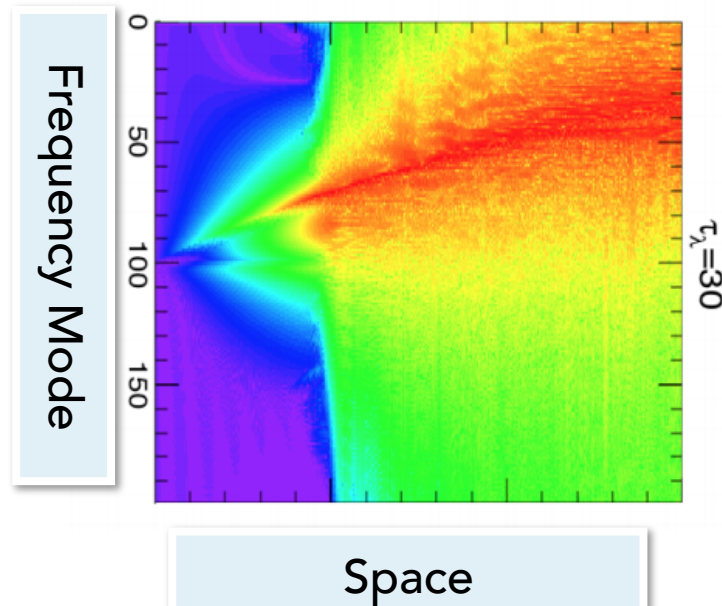
Mirizzi, Mangano, Saviano (2015)

Duan and Shalgar (2014)

Mangano, Mirizzi, Saviano (2014)

Initial conditions are homogeneous, but evolution breaks homogeneity

SSB of Time Translation



In 1+1+1 dims

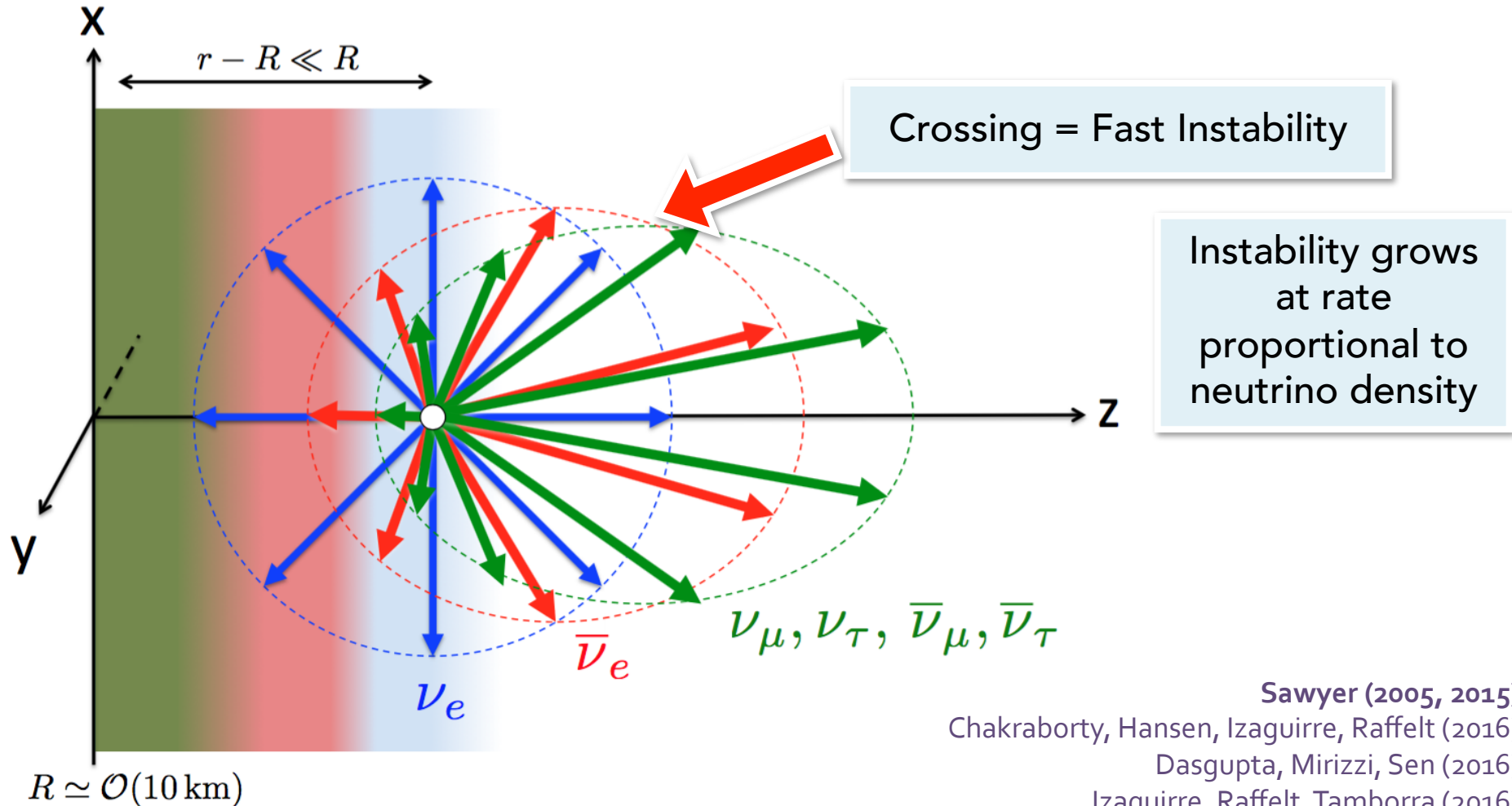
Dasgupta and Mirizzi (2015)

Capozzi, Dasgupta, Mirizzi (2016)

See also linearized study by Abbar and Duan (2015)

Initial conditions are approximately static, but flavor composition spontaneously picks up a pulsation that grows and breaks stationarity

Fast Conversions



Sawyer (2005, 2015)

Chakraborty, Hansen, Izaguirre, Raffelt (2016)

Dasgupta, Mirizzi, Sen (2016)

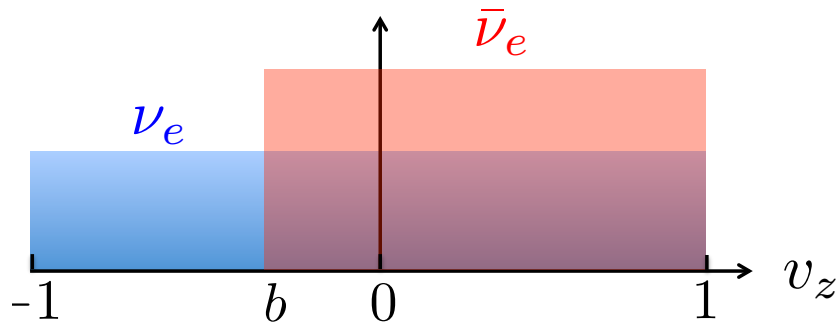
Izaguirre, Raffelt, Tamborra (2016)

Capozzi, Dasgupta, Lisi, Marrone, Mirizzi (2017)

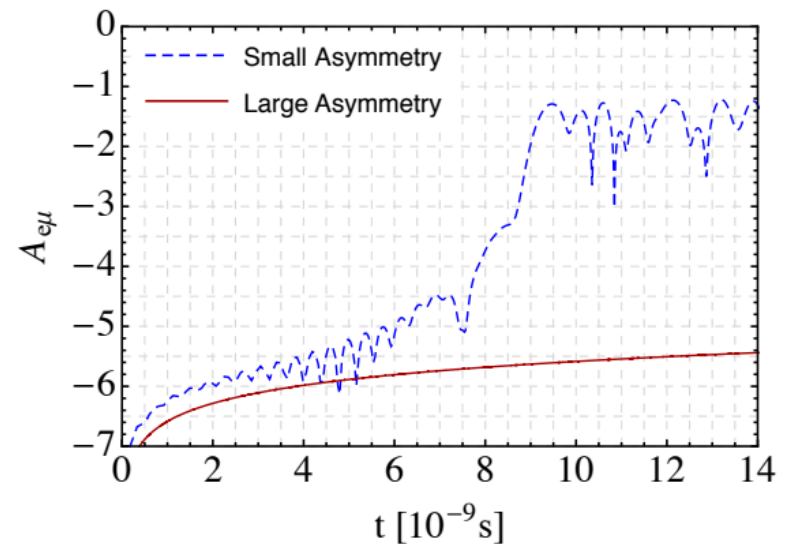
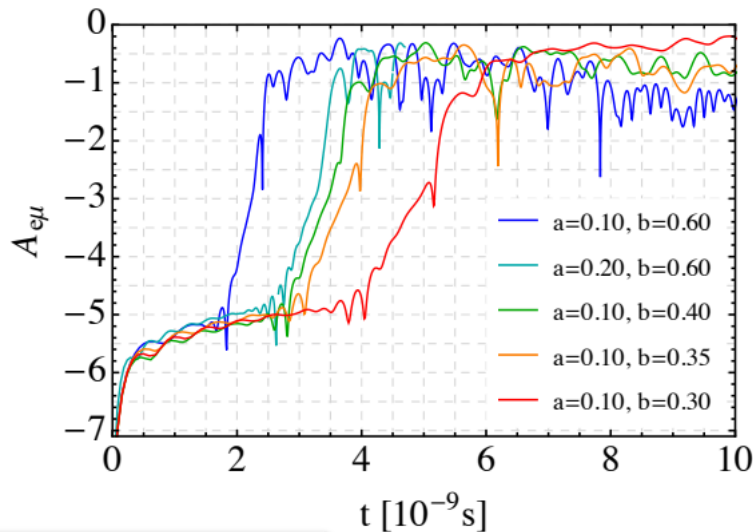
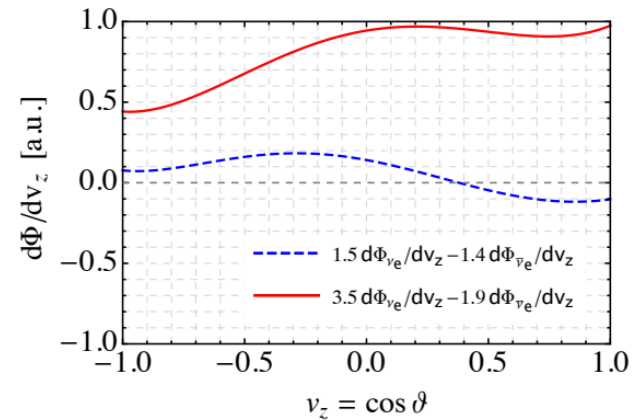
Dasgupta and Sen (2017)

Crossing and Back-flux

Toy Model



SN Inspired Models



1+0+1 dims

Dasgupta, Mirizzi, Sen (2016)

Challenges

- **Mean-field treatment via Wigner fns.**

Birol, Pehlivan, Balantekin, Kajino (2018)
Stirner, Sigl, Raffelt (2018)
Vlasenko, Fuller, Cirigliano (2014)
Volpe, Vaananen, Espinoza (2013)
Cardall (2008)

- **Wavepackets/Kinematic decoherence**

Akhmedov, Kopp, Lindner (2017)
Hansen, Smirnov (2016)

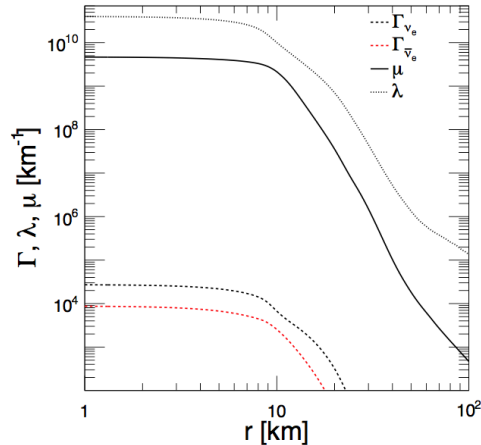
- **Sterile nus, NSI, ...** Skipping non-standard physics for lack of space and time

- **Interpretation of collective effects**

Hansen and Smirnov (2018)
Morinaga and Yamada (2017)

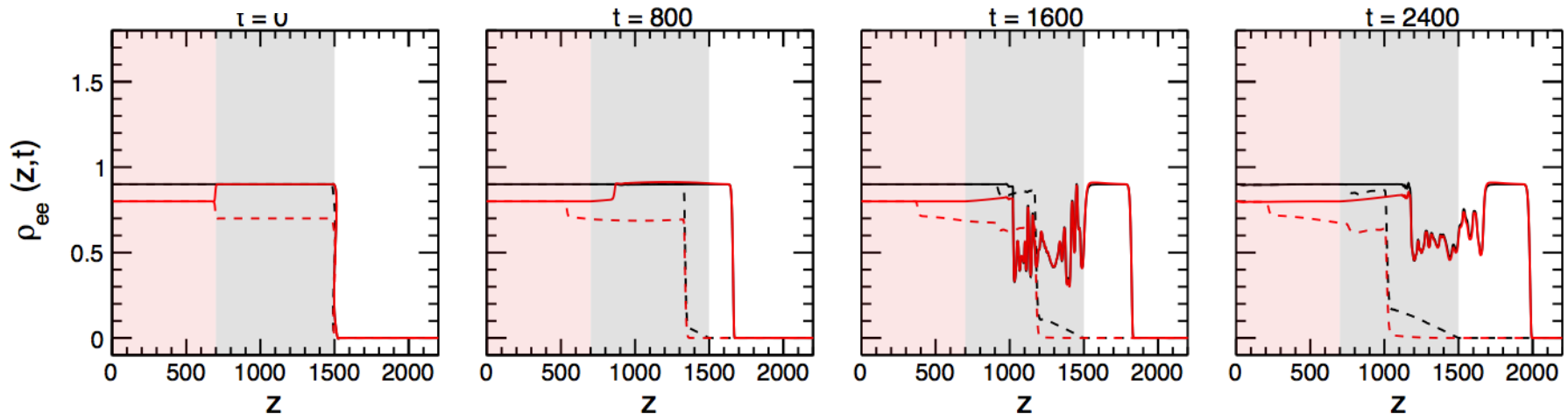
- **Dynamical decoherence**

Collisions vs. Oscillations



Collisions can be strong enough to create a difference between electron neutrinos and antineutrinos. Yet, may not damp oscillations.

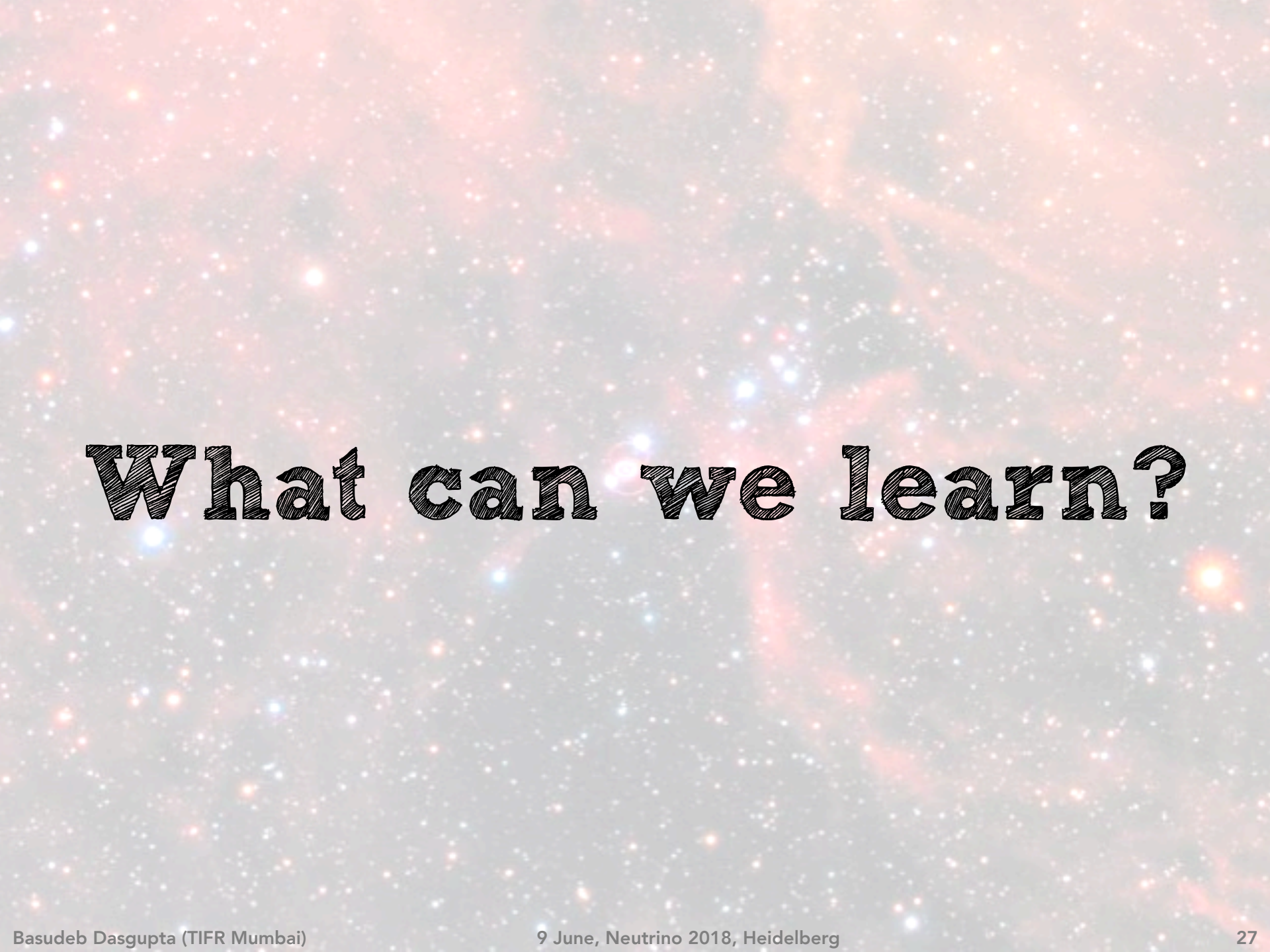
Capozzi, Dasgupta, Mirizzi, Sen (2018, to appear)



Do fast conversions, once generated, penetrate the SN core?

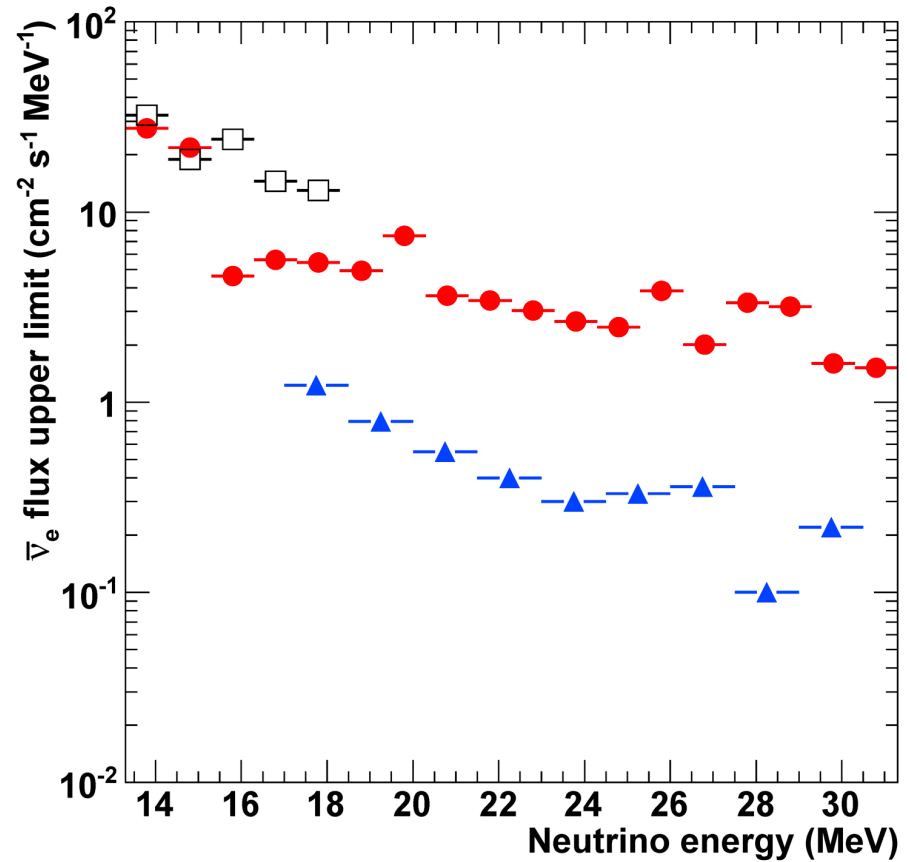
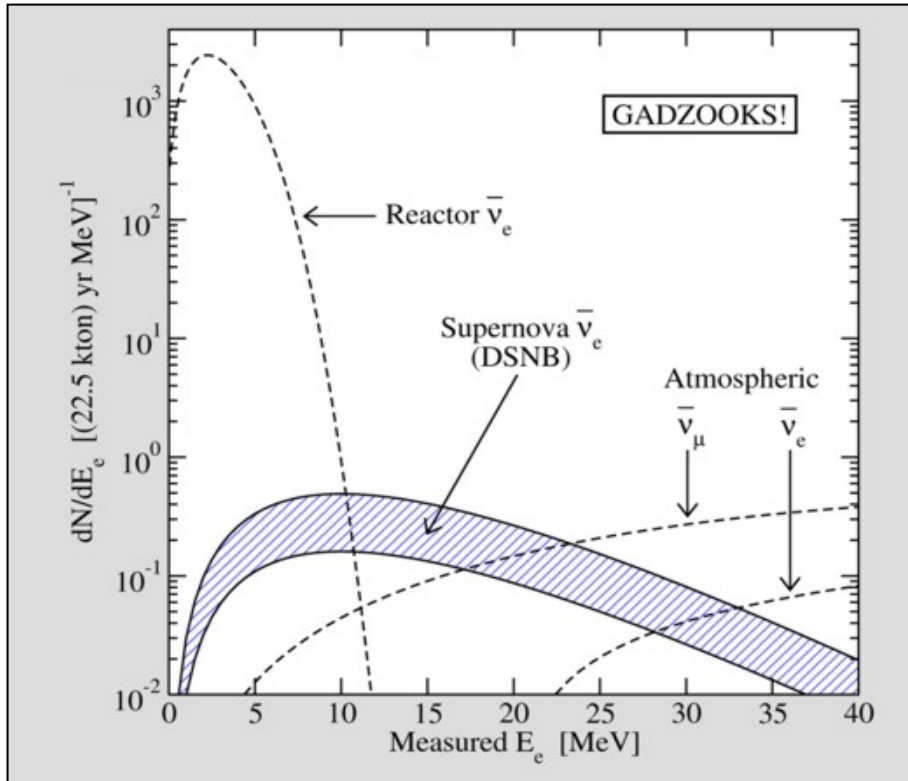
Main Results

- **Neutrino-Neutrino interactions can lead to large flavor changes**
- **Can find “when” and “how fast” using stability analysis**
- **Solutions are space-time dependent and can spontaneously break symmetries**
- **The spatial growth of slow collective effects typically blocked by the dispersion in dense ordinary matter**
- **Crossing in the ELN distribution leads to fast conversion that can make all flavors almost equally populated in situ**

The background of the slide is a deep space image featuring a dense field of stars of various colors (white, blue, orange) and large, diffuse nebulae in shades of pink, red, and orange. The text is centered in the middle of the frame.

What can we learn?

DSNB

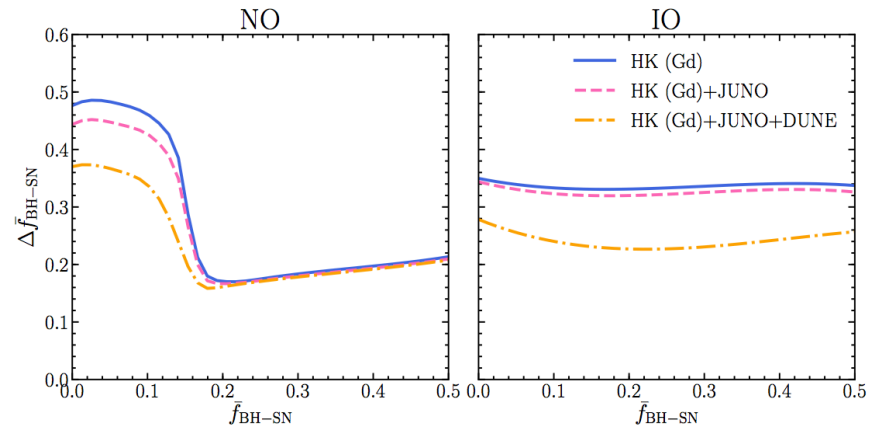
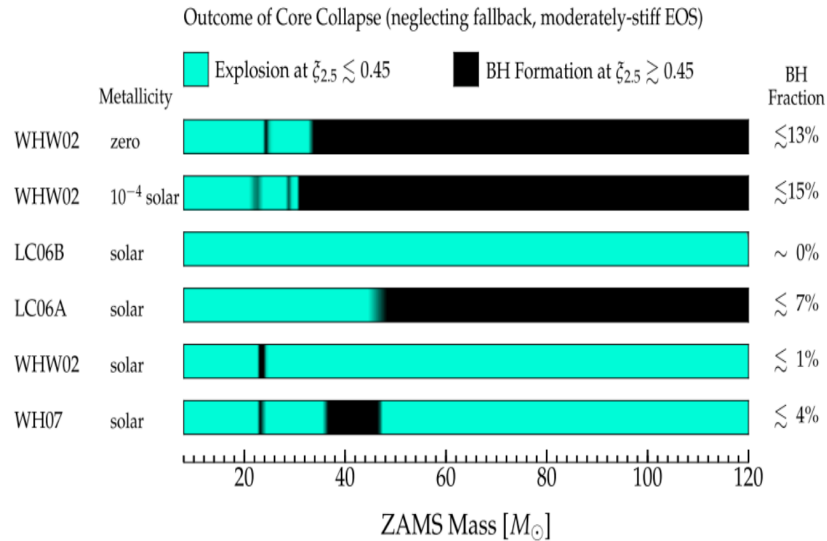


Zeldovic (1964), Bisnovatyi-Kogan and Seidov (1982)
 Plot from Beacom and Vagins (2003)
 See reviews by Lunardini (2010) and Beacom (2010)

Super-Kamiokande Collaboration (2013)

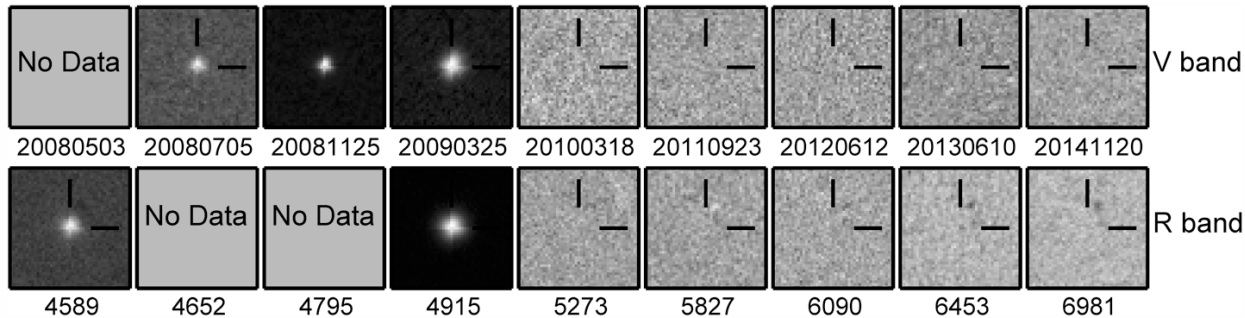
We may be close to detecting the DSNB.
 With Gd upgrade of Super-K this can be very promising.

Are there failed SN?



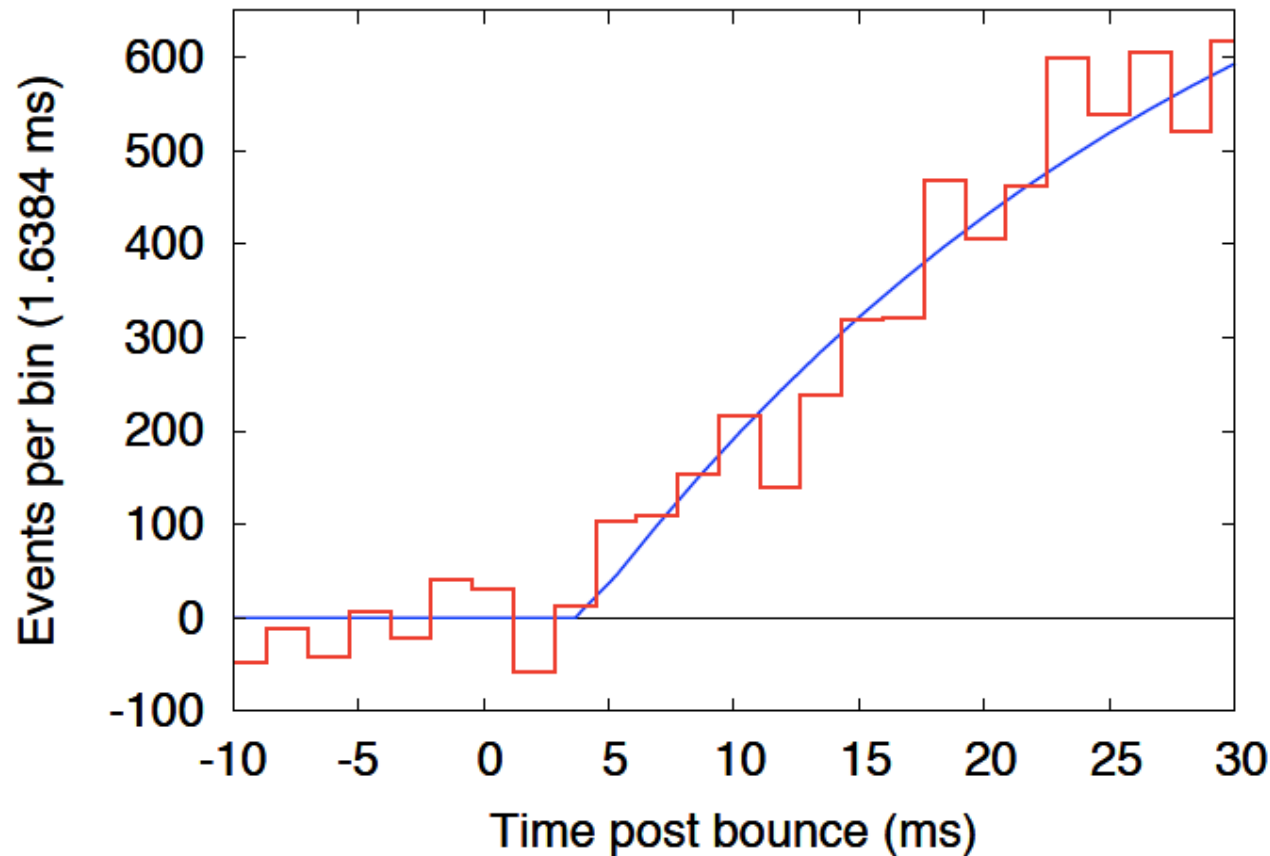
Some supernovae are not expected to explode
O'Connor and Ott (2013)
Ertl, Janka, Woosley, Sukhbold, Ugliano (2016)

DSNB is sensitive to the failed SN fraction
Lunardini (2009)
Moller, Suliga, Tamborra, Denton (2018)

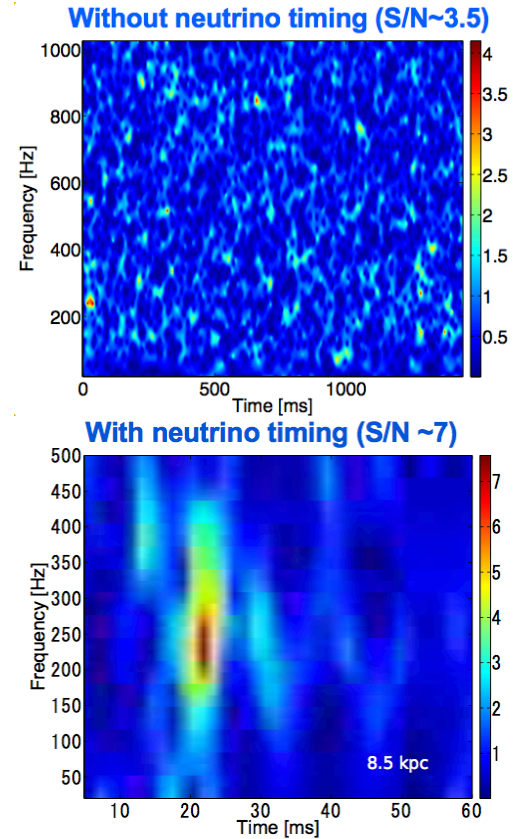


SN without a bang
Gerke, Kochanek, Stanek (2014)
Reynolds, Fraser, Gilmore (2015)

Timing



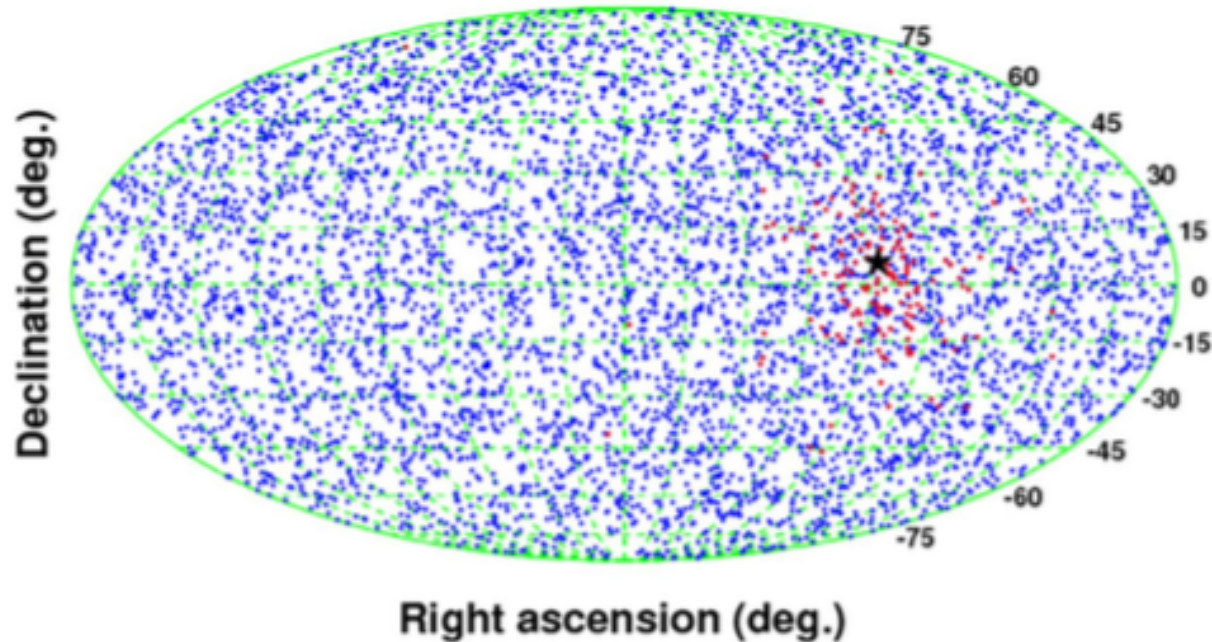
Pagliaroni, Vissani, Coccia, Fulgione (2009)
Plot from Halzen and Raffelt (2009)



Nakamura, Horiuchi, Tanaka, Hayama,
Takiwaki, Kotake (2016)

Improved ability to spot the signal with different messengers

Pointing



Using directionality of elastic scattering events and subtraction of tagged inverse beta “background”

Beacom and Vogel (1998)

Beacom and Vagins (2000)

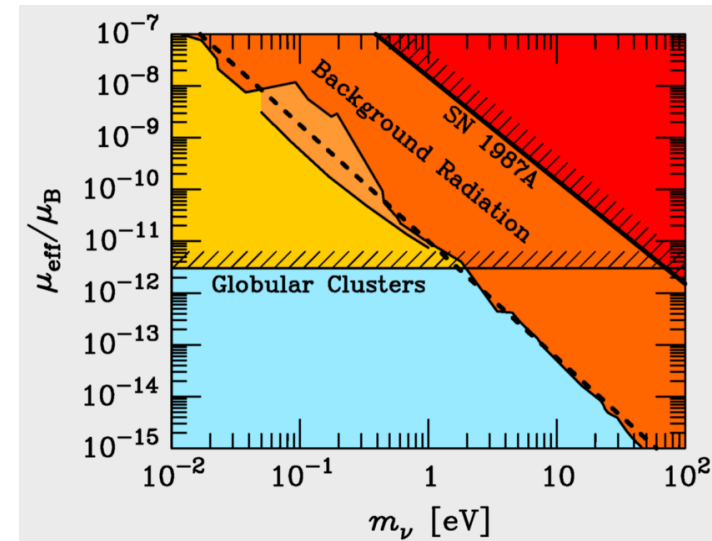
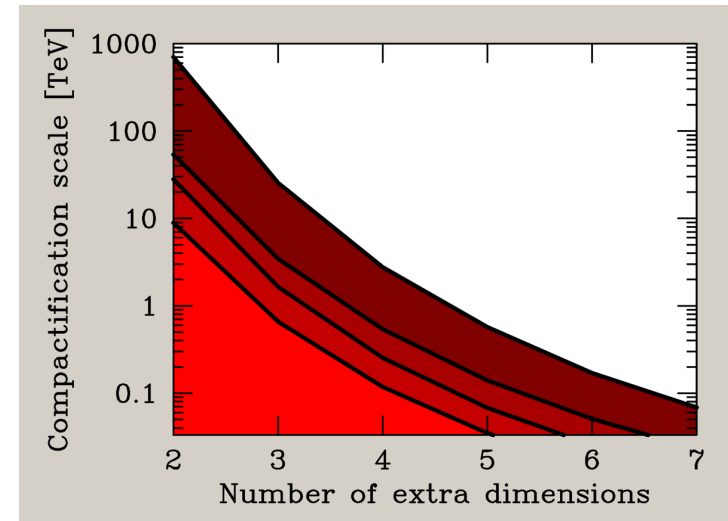
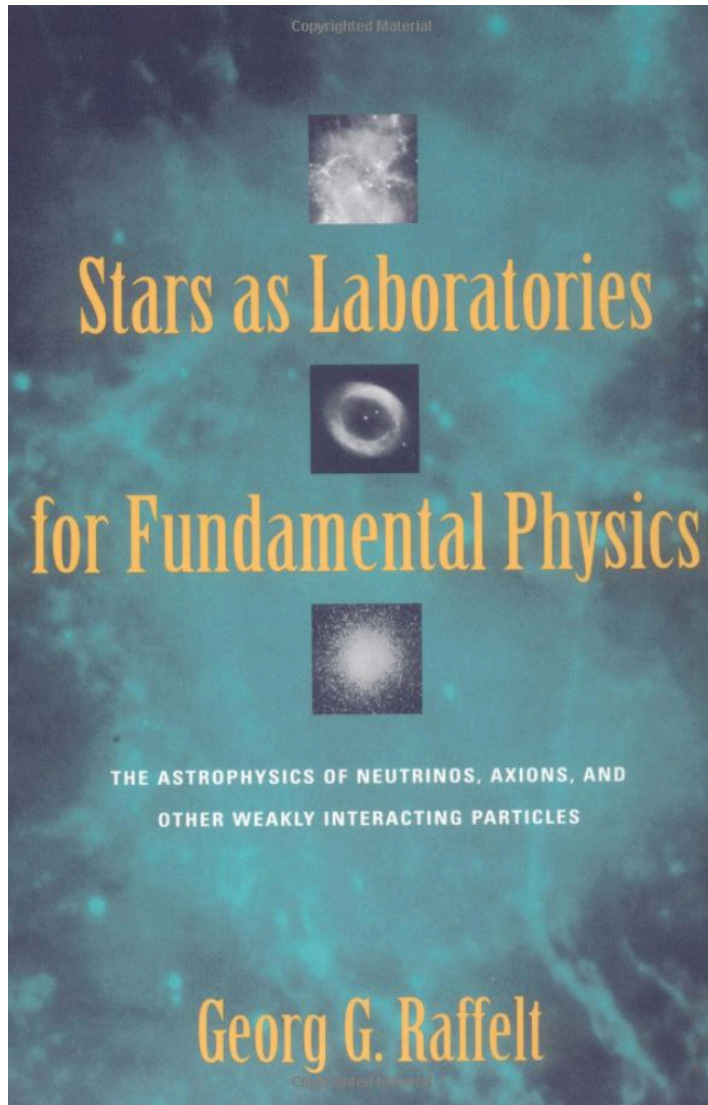
Tomas, Semikox, Raffelt, Kachelriess, Dighe (2003)

Plot from Abe et al. for Super-K (2016)

For triangulation: see Beacom and Vogel (1998)
Muhlbeier, Nunokawa, and Zukanovich Funchal (2013)
Brdar, Lindner, Xu (2018)

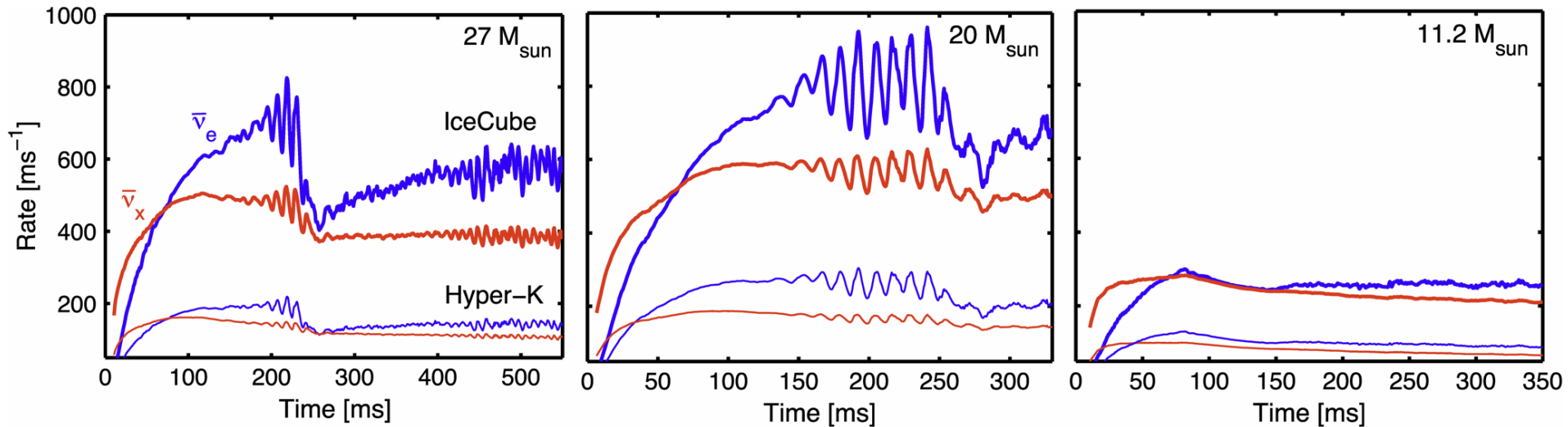
Pointing accuracy of a few degrees for SN at 10 kpc

Fundamental Physics with Stars



Copyright: The University of Chicago

SASI Signatures



27 M_{sun} star
Multiple SASI
episodes and
convection

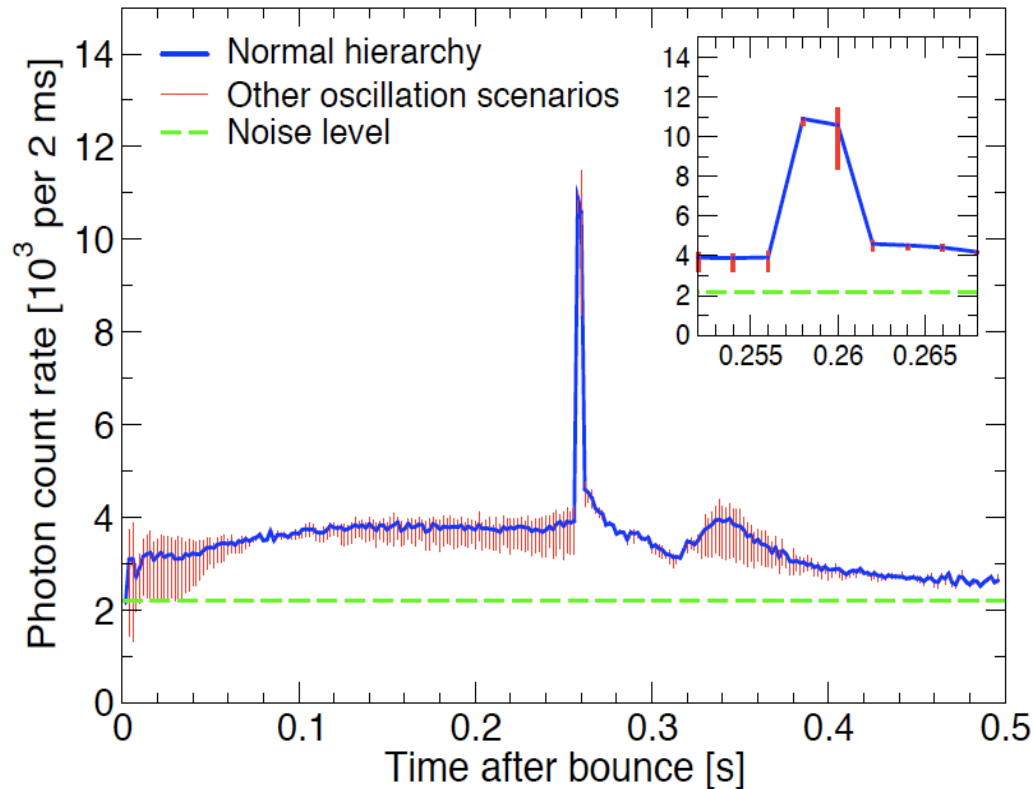
20 M_{sun} star
Single SASI
episode and
convection

11.2 M_{sun} star
No SASI
episode; only
convection

Tamborra, Raffelt, Hanke, Janka, Mueller (2014)
Tamborra, Hanke, Mueller, Janka, Raffelt (2013)

The next galactic SN may reveal distinct signatures of the neutrino mechanism

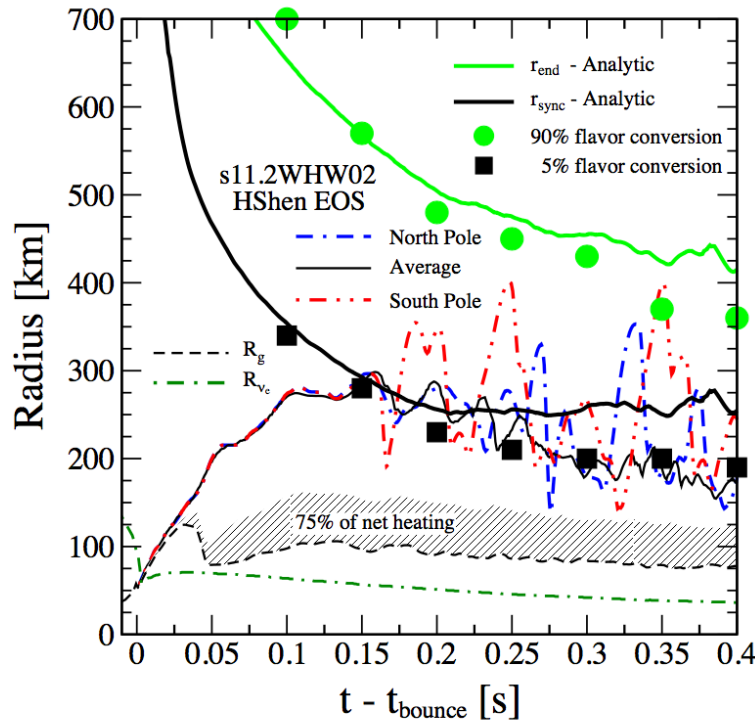
QCD transition in SN



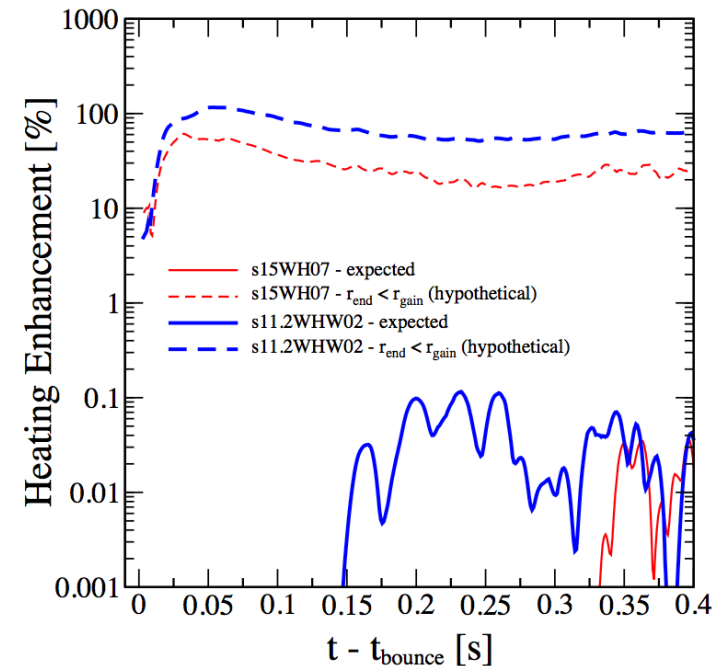
Dasgupta, Fischer, Horiuchi, Liebendoerfer, Mirizzi, Sagert, Schaffner-Bielich (2009)
Simulation by Sagert, Fischer, Hempel, Pagliara, Schaffner-Bielich, Mezzacappa, Thielemann, Liebendoerfer (2008)

Neutrino telescopes are exquisitely sensitive to anything
that affects the electron antineutrino lightcurve

Fast Conversions = Heating?

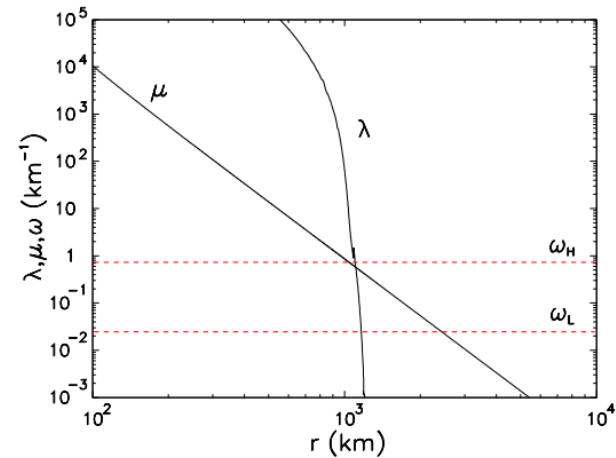
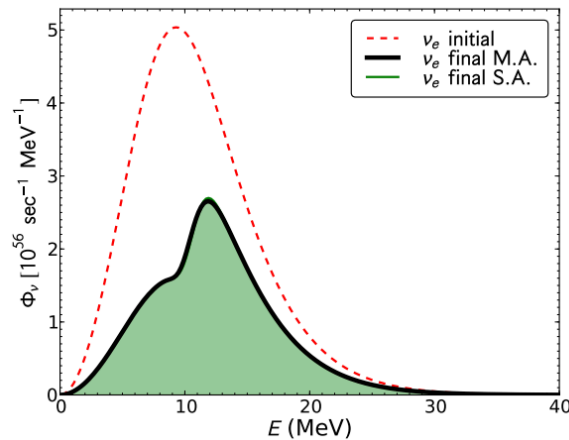


Not possible with slow conversions that occur above r_{gain}



May be possible if fast conversions that occur below r_{gain} !

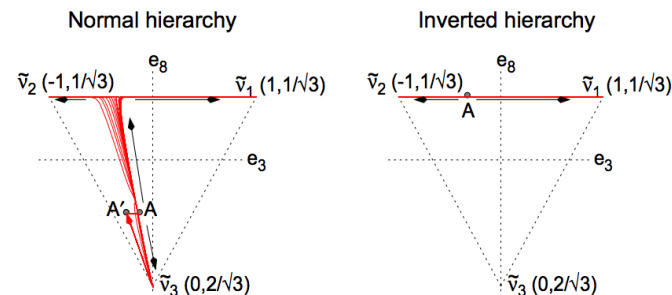
O-Mg-Ne Supernovae



Duan, Fuller, Carlson, Qian (2007)
 Duan, Fuller, Carlson, Qian (2008)
 Dasgupta, Dighe, Mirizzi, Raffelt (2008)
 Cherry, Fuller, Carlson, Duan, Qian (2010)

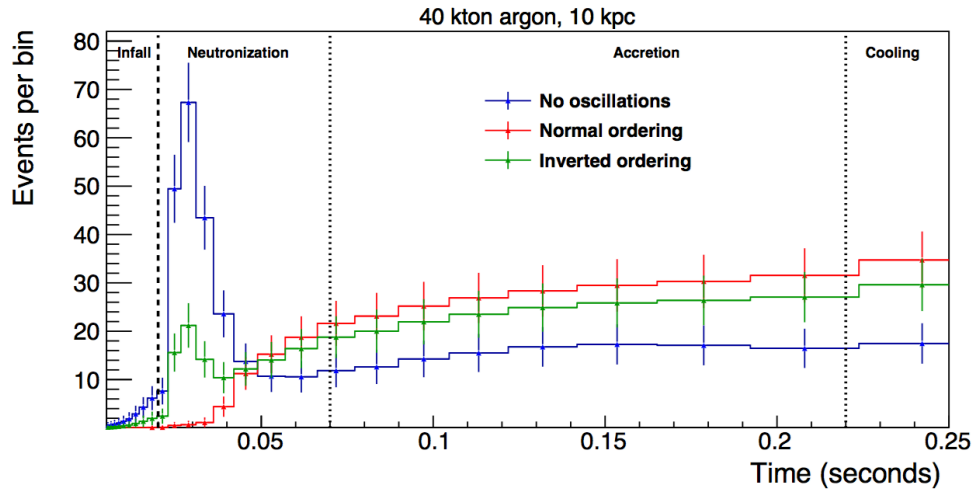
Star has sharply falling matter density, so
 MSW resonance occurs before (slow)
 collective effects.

Explained using
 synchronized MSW



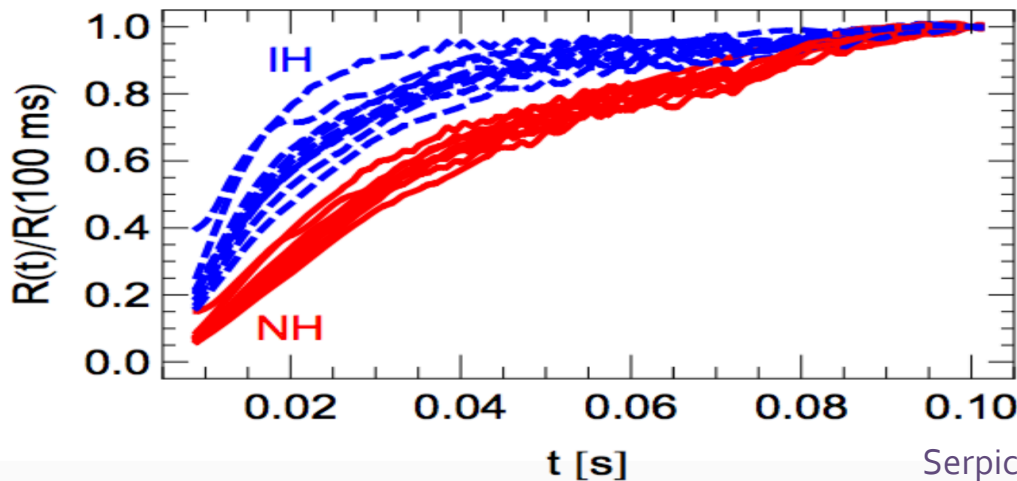
Application of 3 flavor formalism by Dasgupta and Dighe (2007)

Mass Ordering



No neutronization peak seen in electron neutrinos for inverted mass ordering

Plot from E. Worcester's talk at Neutrino 2018
Wallace, Burrows, Dolence (2015)
Kachelriess, Tomas, Buras, Janka, Marek, Rampp (2004)



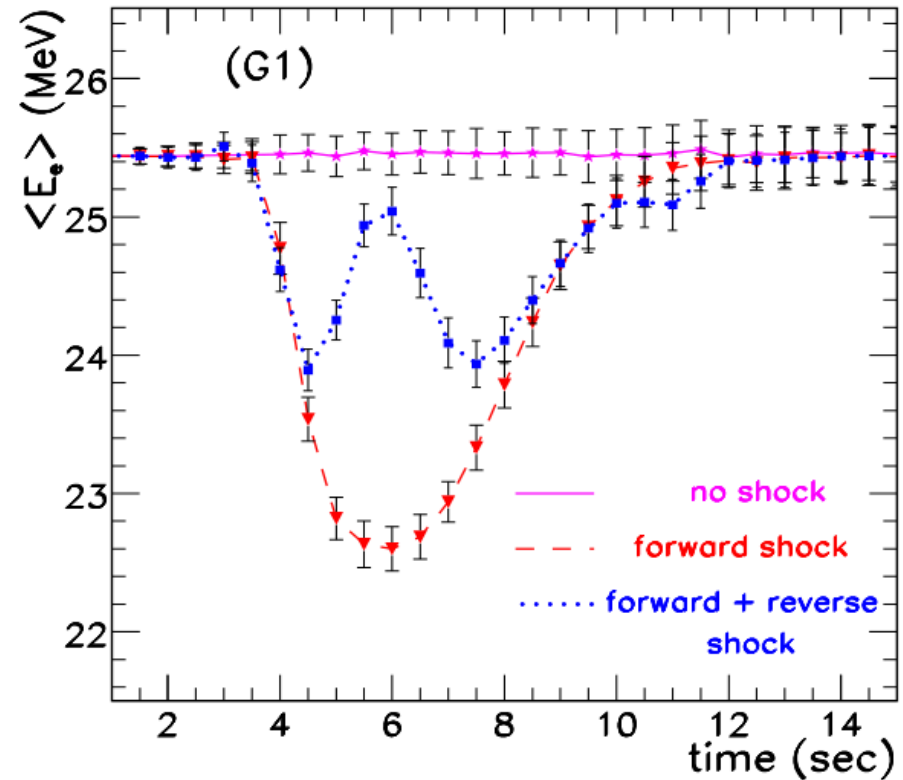
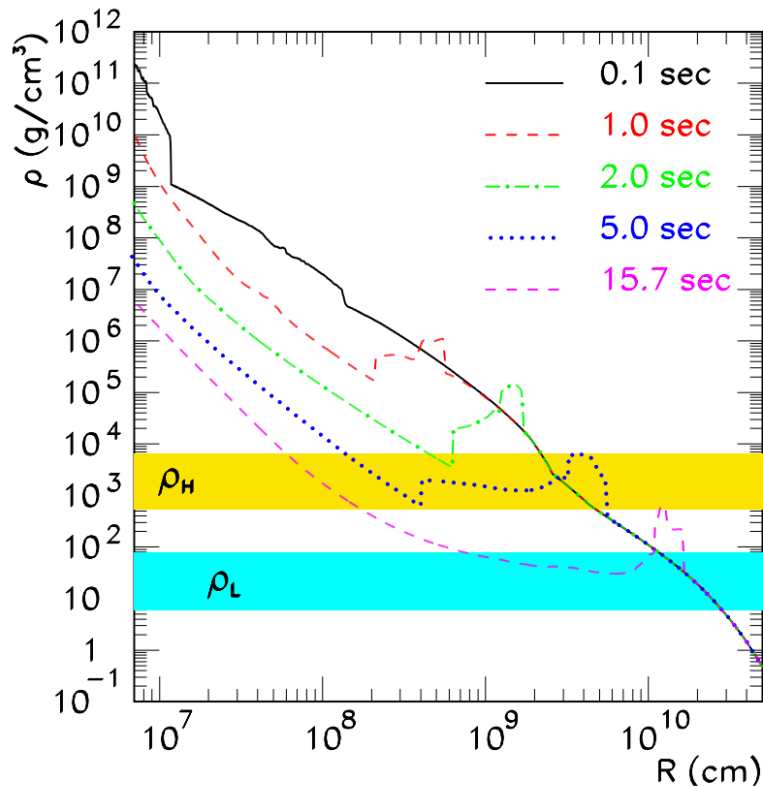
Electron antineutrino signal rises faster for inverted ordering

Note: This can change if fast conversions occurs in the accretion phase

Serpico, Chakraborty, Fischer, Hudepohl, Janka, Mirizzi (2011)

Neutronization burst can reveal the neutrino mass ordering

Shock Wave

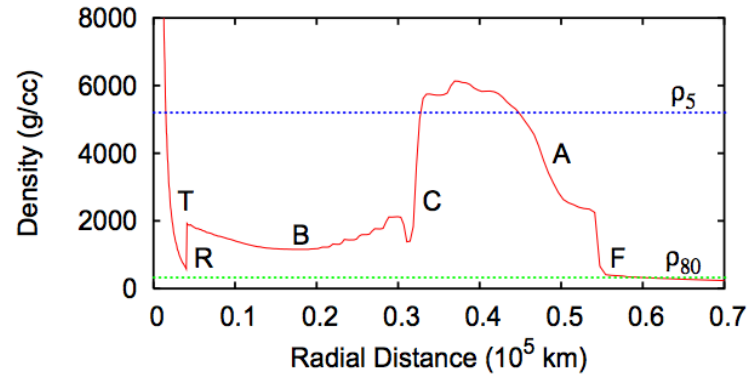


Schirato and Fuller (2002)

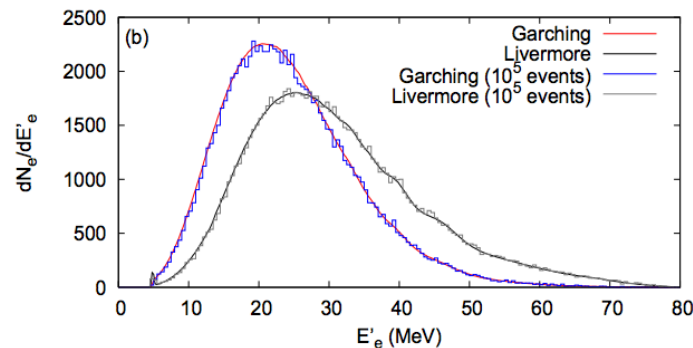
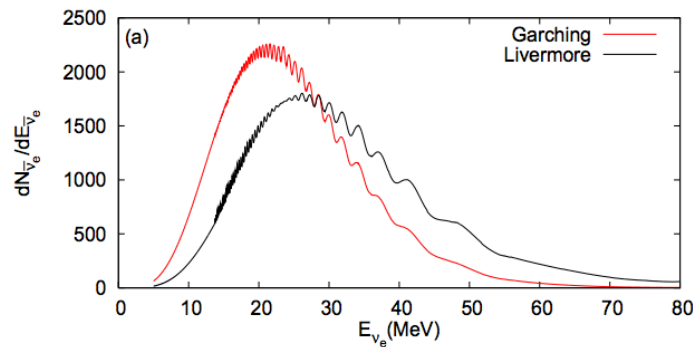
Tomas, Kachelriess, Raffelt, Dighe, Janka, Scheck (2004)

Shockwave propagation leads to dips/bumps in observed average E

Phase Effects



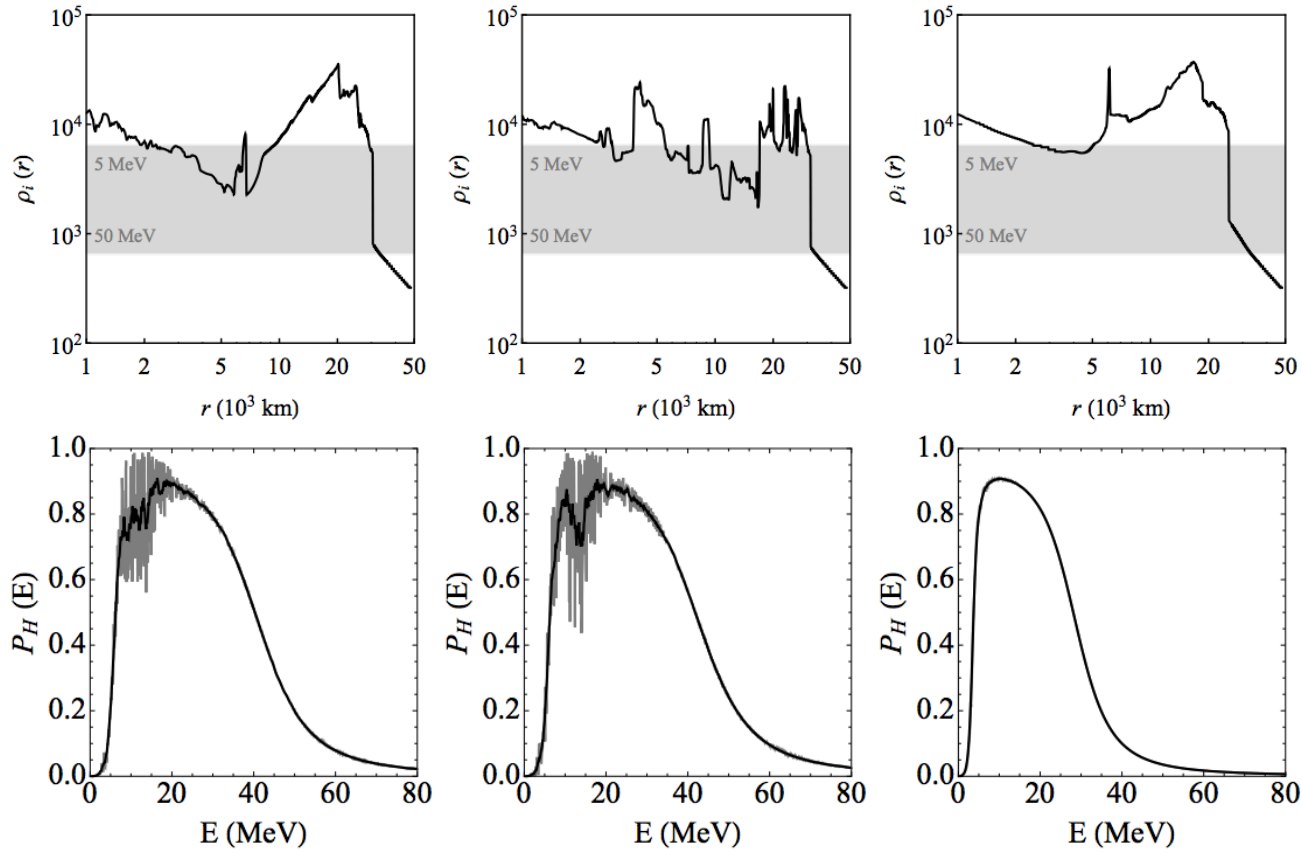
Density profile is not monotonic. There are multiple resonances. Some of them are close enough and lead to interference features with large wavelength in $1/E$



Typically gets averaged out due to finite energy resolution

Dasgupta and Dighe (2005)

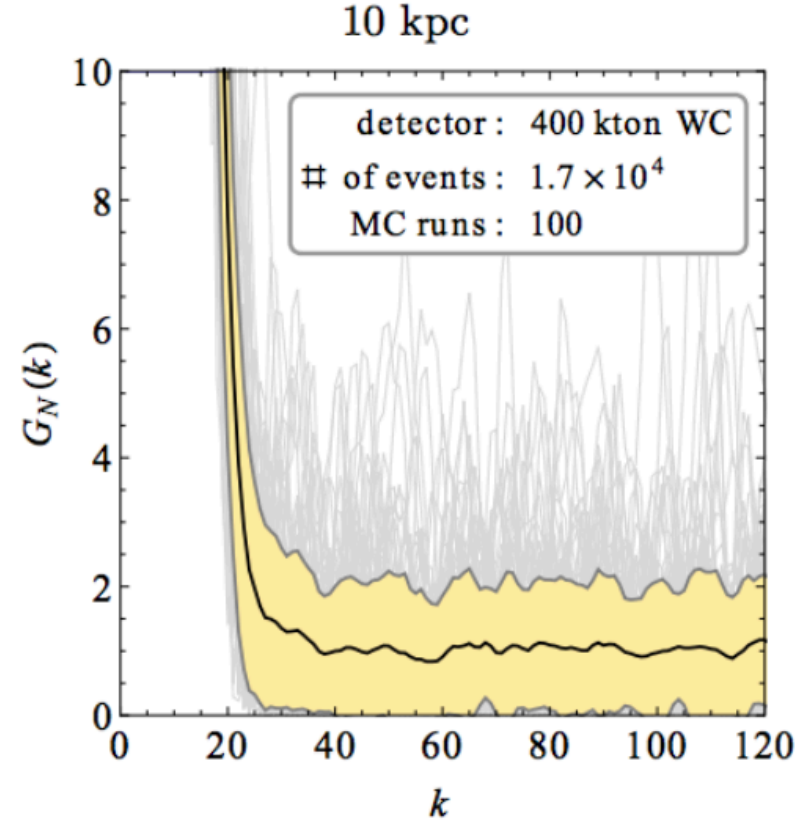
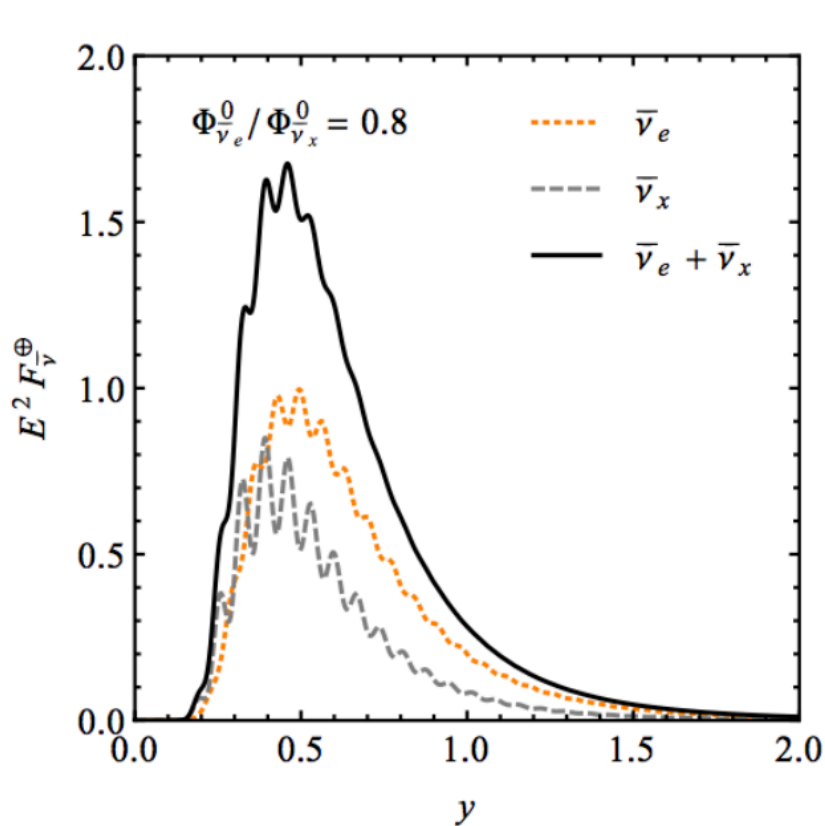
Turbulence



Plot from Borriello, Chakraborty, Janka, Lisi, Mirizzi (2013)
Fogli, Lisi, Mirizzi, Montanino (2006)
Friedland and Gruzinov (2006)

Survival probabilities are highly stochastic quantities

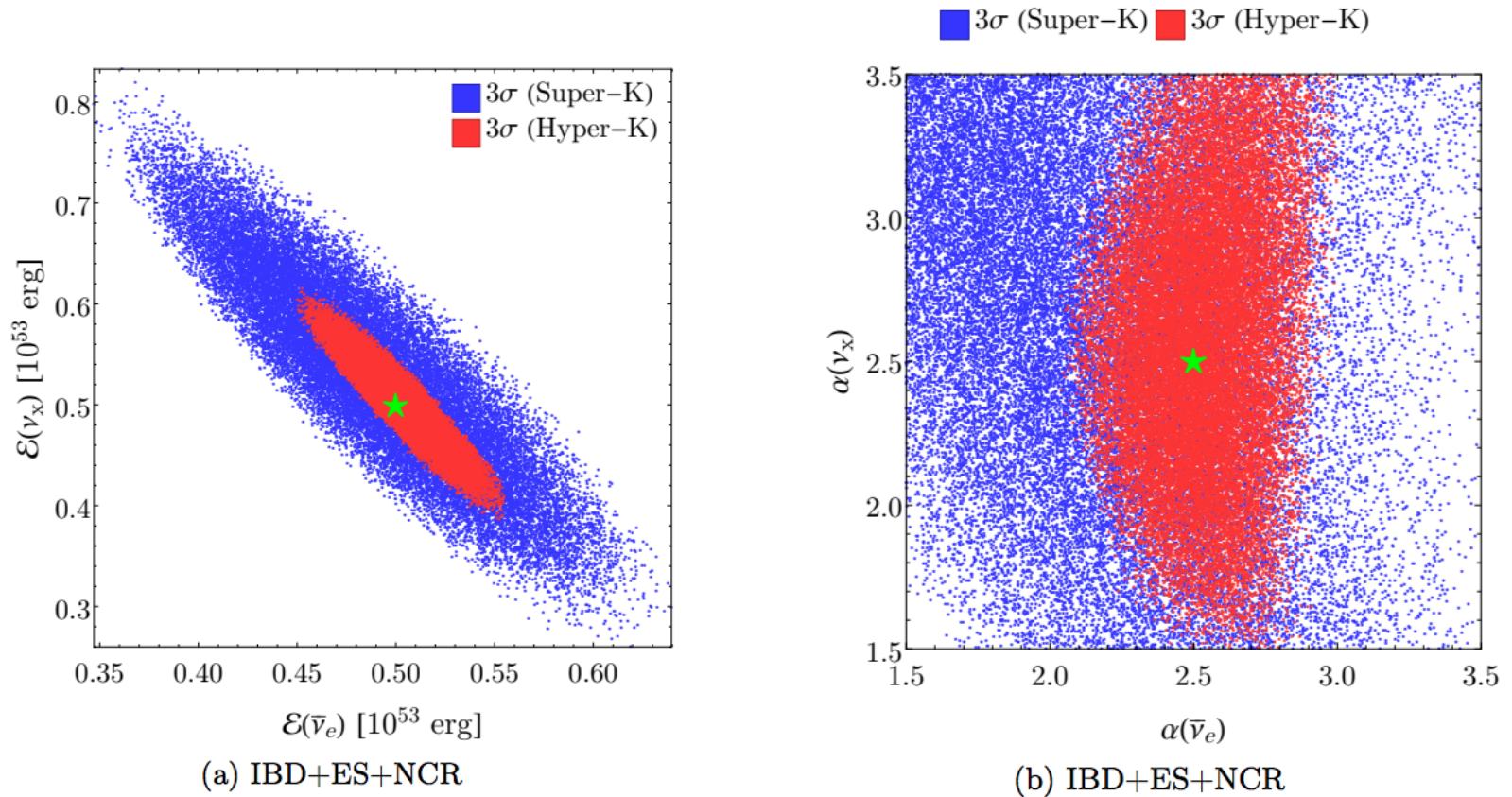
Earth Matter Effects



Energy-dependent regeneration in Earth depends on spectral differences between flavors and encodes neutrino mass ordering.
May be hard to see.

Boriello, Chakraborty, Mirizzi, Serpico, Tamborra (2012)
Lunardini, Smirnov (2001)

SN Flux Reconstruction



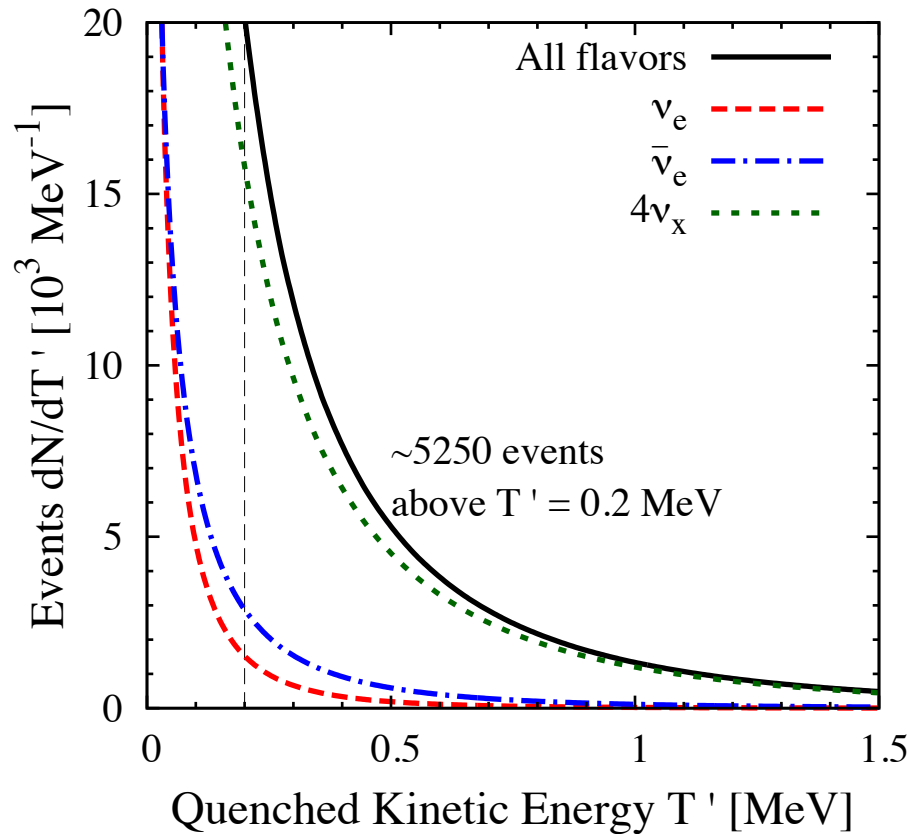
May be possible to reconstruct fluxes with unknown pinching

Rosso, Vissani, Volpe (2017)

For nue: Laha, Beacom (2014), Laha, Beacom, Agarwalla (2014), Nikrant, Laha, Horiuchi (2017)

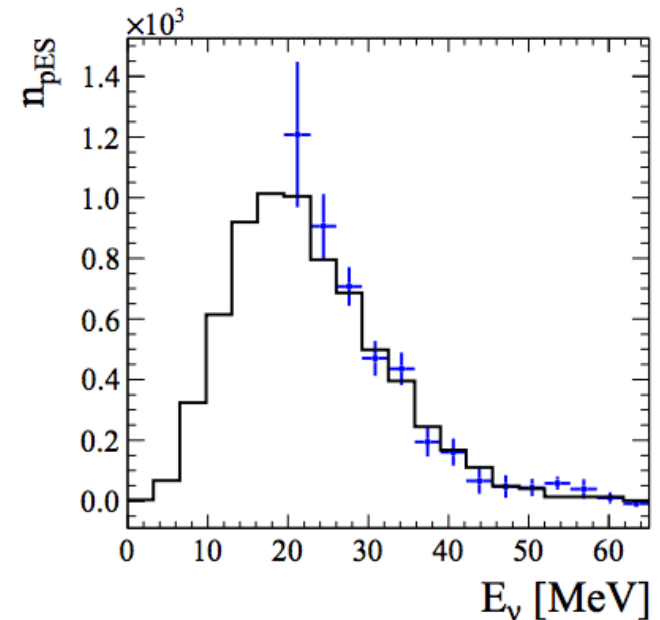
Previously: Minakata, Nunokawa, Tomas, Valle (2008)

Neutral Current is Key



Beacom, Farr, Vogel (2003)
 Dasgupta and Beacom (2011)

Neutrino – Proton elastic scattering can give the unoscillated fluxes if measured with enough statistics and reconstructed with precision



Detailed analysis for JUNO by Li, Li, Wang, Wen, Zhou (2017)

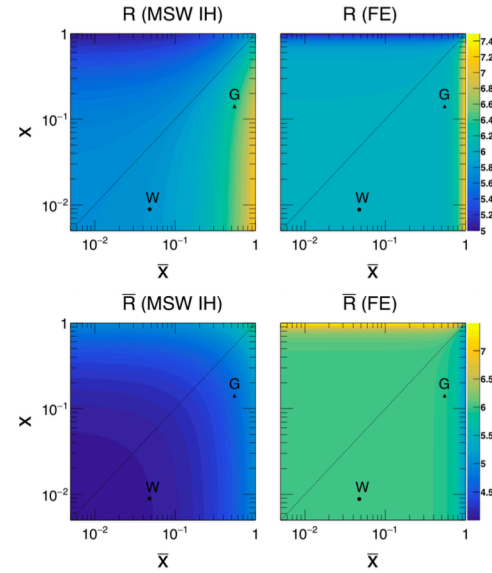
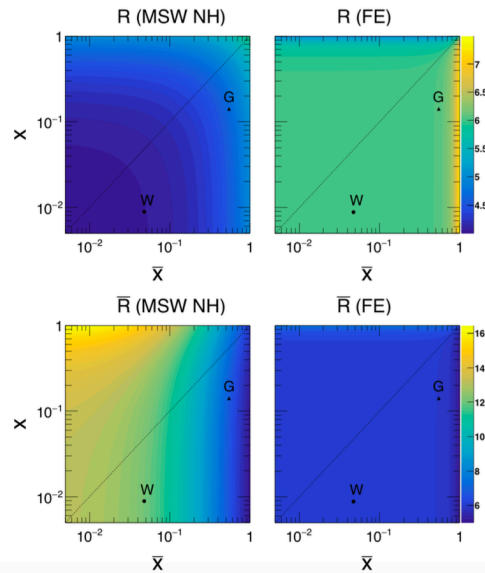
MSW or Collective

Normal
Ordering

Inverted
Ordering

$$R = \frac{F_{\text{pES}}}{F_{\text{IB}}}$$

$$\bar{R} = \frac{F_{\text{pES}}}{F_{\text{LAr}}}$$



It may be possible to reject “only MSW” or “full flavor equilibrium”
using a combination of JUNO, Hyper-K, and DUNE

... without assuming any details of the SN neutrino spectra

Capozzi, Dasgupta, Mirizzi (2018, to appear)

More Reading

Mirizzi, Tamborra, Janka, Saviano, Scholberg, Bollig, Huedepohl, Chakraborty
Riv. Nuovo Cimento 39 (2016)

Detailed Review

Horiuchi and Kneller
J.Phys. G45 (2018)

Interpretative

Dasgupta
PoS ICHEP2010 (2010)

Short review for the impatient. Oscillation section needs update

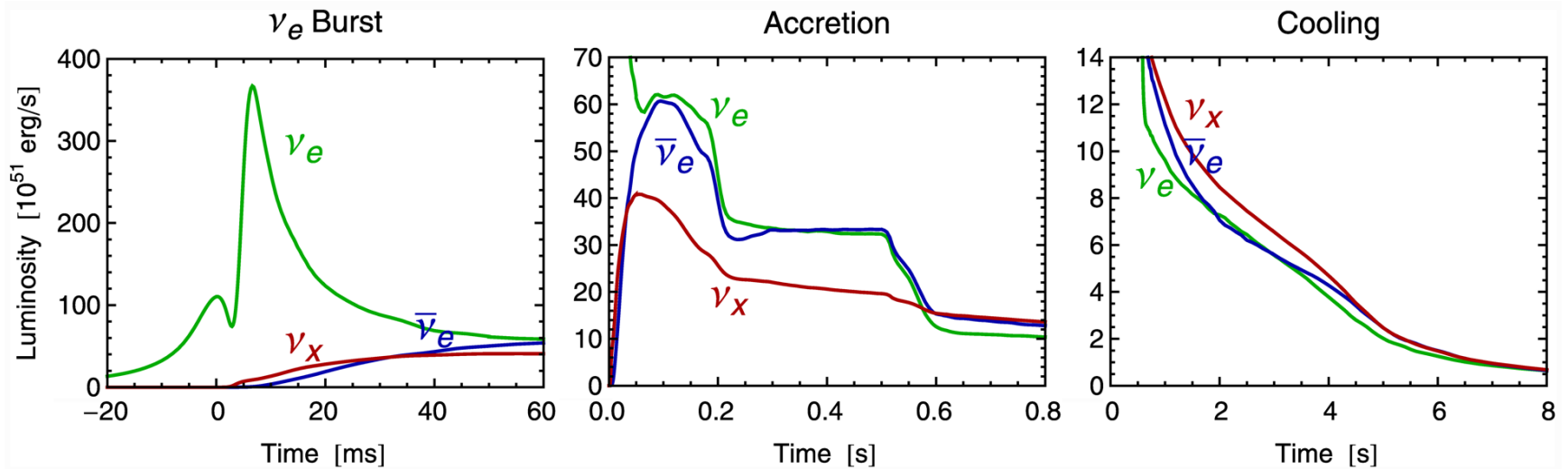
Duan, Fuller, Qian
Ann.Rev.Nucl.Part.Sci. 60 (2010)

Covers the “slow” collective effects

Chakraborty, Hansen, Izaguirre, Raffelt
Nucl.Phys. B908 (2016)

Covers SSB effects in detail

Highlights



Burst	Accretion	Cooling
SN standard candle	Fast collective effects	Nuclear physics
Mass ordering	SN theory	Nucleosynthesis
Timing	Mass ordering	Exotics/Axions
SN theory	Pointing	Shock propagation
...	...	

The Takeaway

- **Introduction**
 - Different phases of SN explosion and different oscillation physics
- **Oscillation theory**
 - MSW : Adiabatic and Non-adiabatic
 - Collective : Slow/Fast both need spectral crossings
- **Non-oscillation observables**
 - timing, pointing, mass, lifetime, E-loss, SASI, failed SN, exotica
- **Oscillation-sensitive observables**
 - Mass ordering, Earth effects, SN properties, equilibration, ...
- **Upshot**
 - Neutrinoization burst : MSW-like
 - Accretion : If crossing exists then fast, else MSW-like
 - Cooling : Spectra are very similar