

# (eV) sterile neutrinos: the global picture

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- I. Oscillation anomalies:  $\nu_e$  disappearance
  - II. Oscillation anomalies:  $\nu_\mu \rightarrow \nu_e$  appearance
  - III. Sterile neutrino models and  $\nu_\mu$  disappearance

## 3 $\nu$ oscillations (until last week)

- Global 6-parameter fit (including  $\delta_{\text{CP}}$ ):
  - **Solar**: Cl + Ga + SK(1–4) + SNO-full (I+II+III) + Borexino;
  - **Atmospheric**: DeepCore;
  - **Reactor**: KamLAND + Double-Chooz + Daya-Bay + Reno;
  - **Accelerator**: Minos + T2K + NOvA;
- best-fit point and  $1\sigma$  ( $3\sigma$ ) ranges:

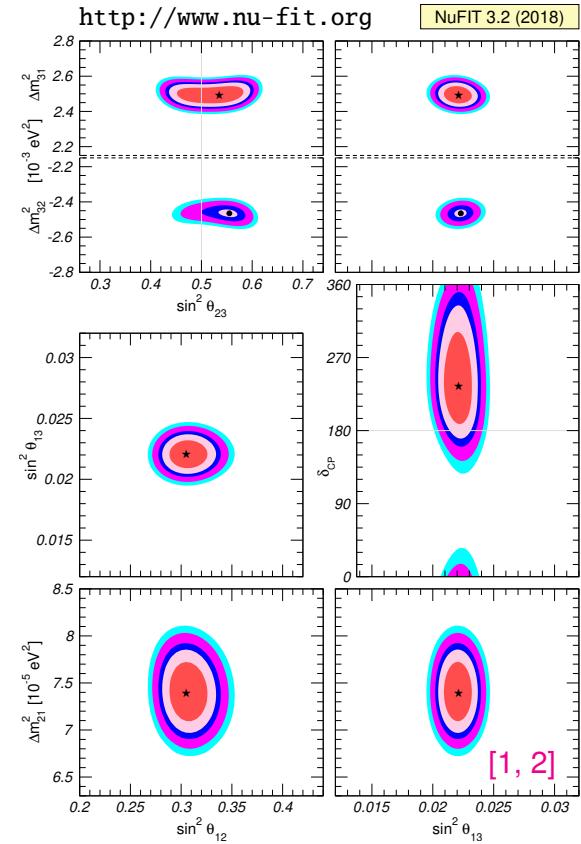
$$\theta_{12} = 33.62^{+0.78}_{-0.76} \left( {}^{+2.44}_{-2.20} \right), \quad \Delta m_{21}^2 = 7.40^{+0.21}_{-0.20} \left( {}^{+0.62}_{-0.60} \right) \times 10^{-5} \text{ eV}^2,$$

$$\theta_{23} = \begin{cases} 47.2^{+1.9}_{-3.9} \left( {}^{+4.4}_{-6.9} \right), \\ 48.1^{+1.4}_{-1.9} \left( {}^{+3.6}_{-6.8} \right), \end{cases} \quad \Delta m_{31}^2 = \begin{cases} +2.494^{+0.033}_{-0.031} \left( {}^{+0.099}_{-0.095} \right) \times 10^{-3} \text{ eV}^2, \\ -2.465^{+0.032}_{-0.031} \left( {}^{+0.096}_{-0.097} \right) \times 10^{-3} \text{ eV}^2, \end{cases}$$

$$\theta_{13} = 8.54^{+0.15}_{-0.15} \left( {}^{+0.44}_{-0.45} \right), \quad \delta_{\text{CP}} = 234^{+43}_{-31} \left( {}^{+141}_{-90} \right);$$

- neutrino mixing matrix:

$$|U|_{3\sigma} = \begin{pmatrix} 0.799 \rightarrow 0.844 & 0.516 \rightarrow 0.582 & 0.141 \rightarrow 0.156 \\ 0.242 \rightarrow 0.494 & 0.467 \rightarrow 0.678 & 0.639 \rightarrow 0.774 \\ 0.284 \rightarrow 0.521 & 0.490 \rightarrow 0.695 & 0.615 \rightarrow 0.754 \end{pmatrix}.$$

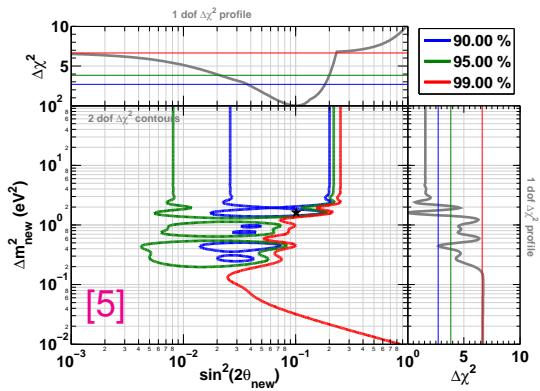
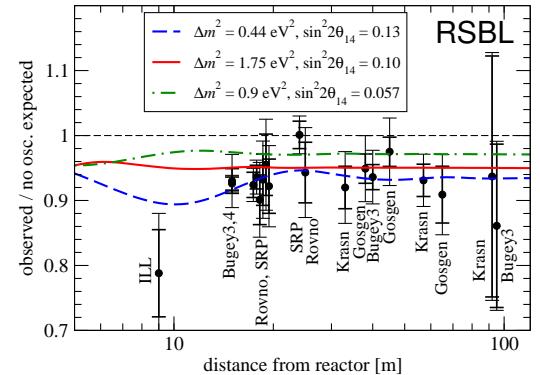


[1] I. Esteban *et al.*, JHEP 01 (2017) 087 [[arXiv:1611.01514](https://arxiv.org/abs/1611.01514)].

[2] I. Esteban *et al.*, NuFIT 3.2 (2018), <http://www.nu-fit.org>.

## $\bar{\nu}_e$ disappearance: the reactor anomaly

- In [3, 4] the reactor  $\bar{\nu}$  fluxes was reevaluated;
  - the new calculations result in a small increase of the flux by about **3.5%**;
  - hence, **all** reactor short-baseline (RSBL) finding **no evidence** are actually **observing a deficit**;
  - this deficit **could** be interpreted as being due to SBL neutrino oscillations;
  - no visible dependence on  $L \Rightarrow \Delta m^2 \gtrsim 1 \text{ eV}^2$ ;
  - global data ( $3\sigma$ ):  $\begin{cases} \Delta m_{\text{sol}}^2 \simeq [6.8 \rightarrow 8.0] \times 10^{-5} \text{ eV}^2, \\ |\Delta m_{\text{atm}}^2| \simeq [2.4 \rightarrow 2.6] \times 10^{-3} \text{ eV}^2 \end{cases}$
- ⇒ solutions: **add new neutrinos** or **revise fluxes**.



[3] T.A. Mueller *et al.*, Phys. Rev. **C83** (2011) 054615 [[arXiv:1101.2663](https://arxiv.org/abs/1101.2663)].

[4] P. Huber, Phys. Rev. C **84** (2011) 024617 [[arXiv:1106.0687](https://arxiv.org/abs/1106.0687)].

[5] G. Mention *et al.*, Phys. Rev. **D83** (2011) 073006 [[arXiv:1101.2755](https://arxiv.org/abs/1101.2755)].

⇒ Talk: Hayes

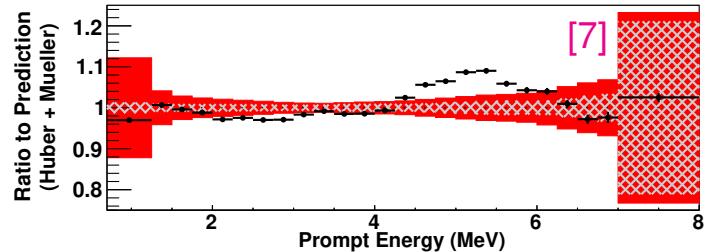
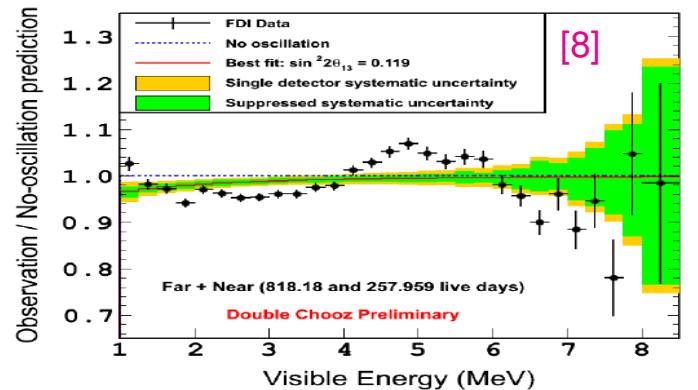
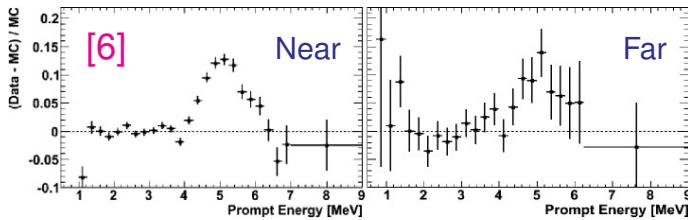
⇒ Talk: Suhonen

# I. Oscillation anomalies: $\nu_e$ disappearance

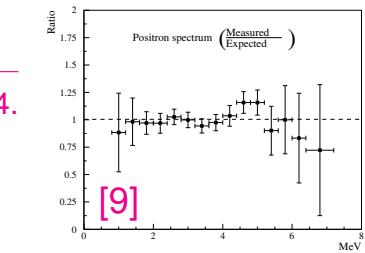
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## $\bar{\nu}_e$ disapp: 5 MeV excess

- Neutrino 2014: RENO [6] reported an **excess** of events around 5 MeV;
- excess (not deficit) & independent of  $L \Rightarrow$  **flux feature**, not **sterile oscillations**;
- seen by Daya-Bay [7], Double-Chooz [8], and many others (also old Chooz [9]);
- more info: ⇒ Talks: Hayes



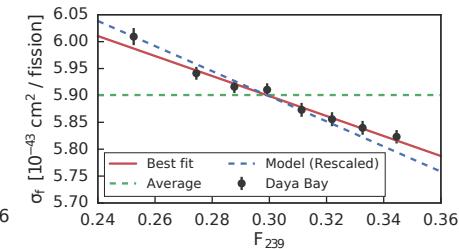
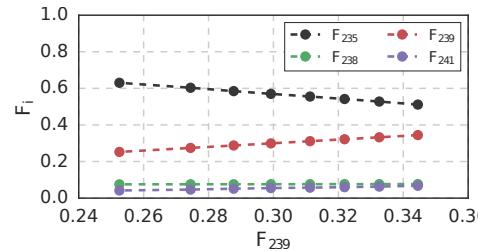
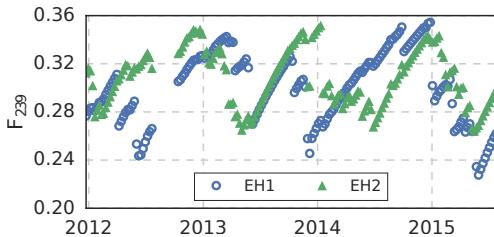
- [6] S.H Seo [RENO collab], talk at Neutrino 2014, Boston, USA, June 2-7, 2014.  
[7] F. P. An *et al.* [Daya-Bay collab], CPC 41 (2017) [arXiv:1607.05378].  
[8] I.G. Botella [Double-Chooz collab], talk at EPS 2017, Venice, Italy.  
[9] M. Apollonio *et al.* [Chooz collab], PLB 466 (1999) 415 [hep-ex/9907037].



# I. Oscillation anomalies: $\nu_e$ disappearance

## Reactor $\bar{\nu}_e$ flux at the Daya-Bay

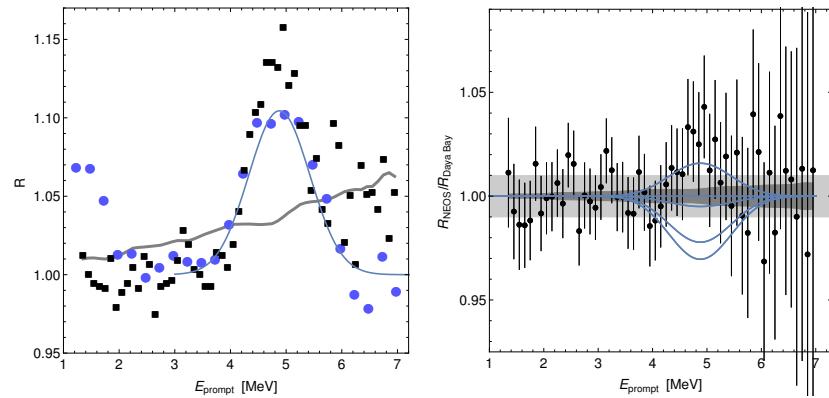
- $\bar{\nu}_e$  from  $[^{235}\text{U}, ^{238}\text{U}, ^{239}\text{Pu}, ^{241}\text{Pu}]$  fission chains;
- Daya-Bay: varying fuel composition  $\Rightarrow$  reconstruct contribution of individual isotopes;
- Results on the overall IBD yield  $\sigma_f$ :
  - (A)  $1.7\sigma$  below expectation (known);
  - (B) dependence on fuel composition (described by  $^{239}\text{Pu}$ ) is  $3.1\sigma$  away from model;
- sterile neutrinos can explain (A) but not (B);
- isotopes: measured  $^{239}\text{Pu}$  OK,  $^{235}\text{U}$  not OK.



[10] F.P. An et al. [Daya-Bay collab], Phys. Rev. Lett. **118** (2017) 251801 [arXiv:1704.01082].

## The 5 MeV excess at Daya-Bay and NEOS

- In Ref. [11], the Daya-Bay spectrum from Ref. [7] was combined with the NEOS spectrum presented at ICHEP 2016 (later published in Ref. [12]) to infer which isotope is most likely to contribute to the 5 MeV excess;
- idea: fission fractions in Daya-Bay ( $^{235}\text{U} = 56.1\%$ ,  $^{239}\text{Pu} = 30.7\%$ ) sizably different from NEOS ( $^{235}\text{U} = 65.5\%$ ,  $^{239}\text{Pu} = 23.5\%$ )  $\Rightarrow$  data are complementary;
- method:
  - construct a double ratio to cancel systematics;
  - add a “bump” to a given isotope and fit its amplitude;
- result: fit is best when the bump is ascribed to  $^{235}\text{U}$ .



[7] F. P. An *et al.* [Daya-Bay collab], Chin. Phys. C **41** (2017) [[arXiv:1607.05378](https://arxiv.org/abs/1607.05378)].

[11] P. Huber, Phys. Rev. Lett. **118** (2017) 042502 [[arXiv:1609.03910](https://arxiv.org/abs/1609.03910)].

[12] Y. J. Ko *et al.* [NEOS collab], Phys. Rev. Lett. **118** (2017) 121802 [[arXiv:1610.05134](https://arxiv.org/abs/1610.05134)].

# I. Oscillation anomalies: $\nu_e$ disappearance

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## $\bar{\nu}_e$ disapp: new fluxes or new neutrinos?

- $^{235}\text{U}$  flux prediction is in tension with reactor rates [13], with Daya-Bay time evolution [10], and with DB/NEOS spectral data [11];
- however, reactor rates favor sterile solution [14];
- combined fit [14]: flux-only and sterile-only solutions give similar fits, but flux+sterile best;

Sample	235	235+239	OSC	235+OSC	239+OSC
Rates	20.7	17.7	12.8	12.6	12.7
Daya-Bay	3.8	3.6	9.5	3.6	3.8
Combined	25.3	24.8	23.0	20.2	17.5

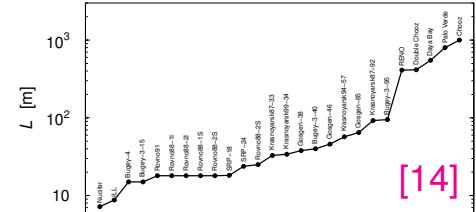
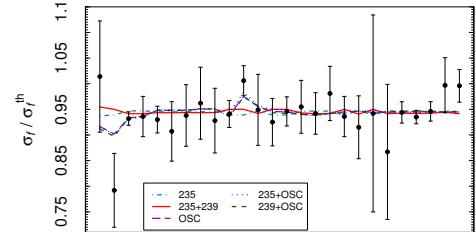
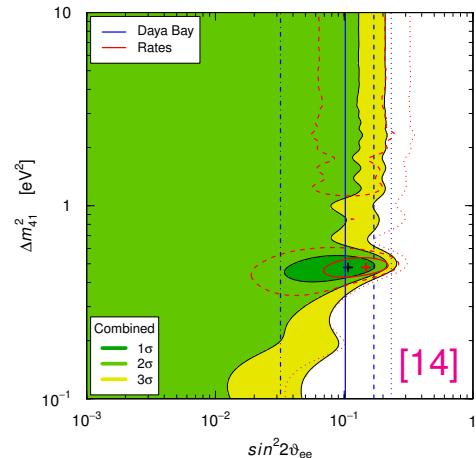
- anyway, free fluxes reduce significance of sterile hint.

[10] F.P. An et al. [DB], PRL **118** (2017) 251801 [arXiv:1704.01082].

[11] P. Huber, PRL **118** (2017) 042502 [arXiv:1609.03910].

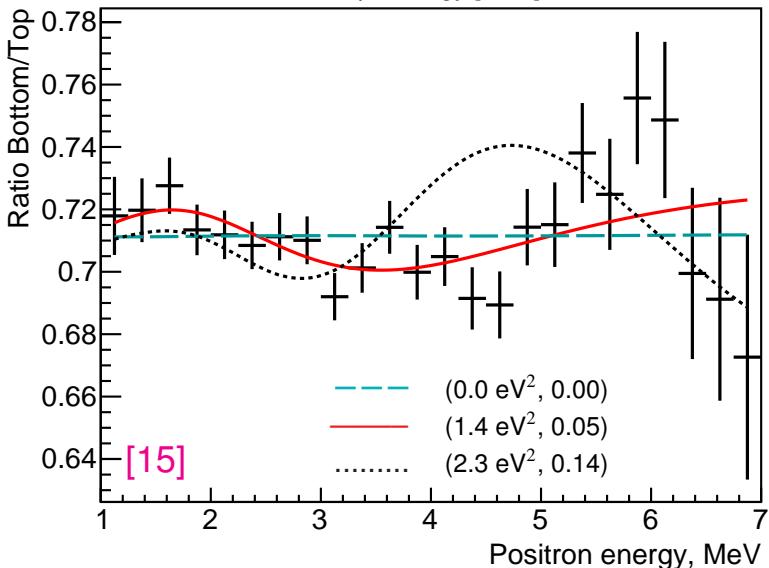
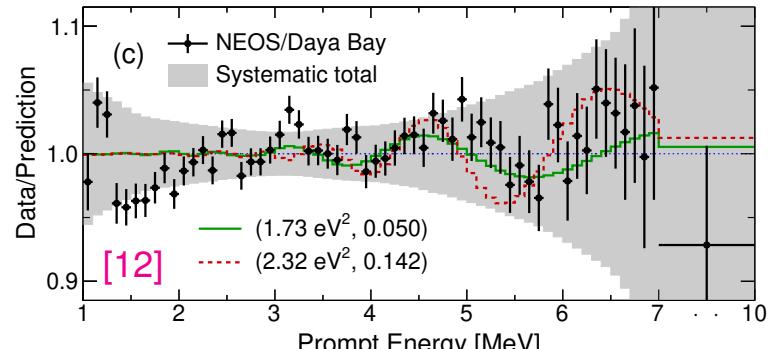
[13] C. Giunti, PLB **764** (2017) 145 [arXiv:1608.04096].

[14] C. Giunti et al., JHEP **10** (2017) 143 [arXiv:1708.01133].



## NEOS and DANSS results

- Both detectors have measured reactor neutrinos at very short baseline:
  - NEOS [12]: 24 m;
  - DANSS [15]: 10.7 m → 12.7 m;
- data: near/far spectral ratios ⇒ insensitive to **flux shape & normalization**:
  - NEOS: normalized to Daya-Bay;
  - DANSS: movable detector;
- both detectors observe small energy modulations ⇒ hints of **sterile  $\nu$** .

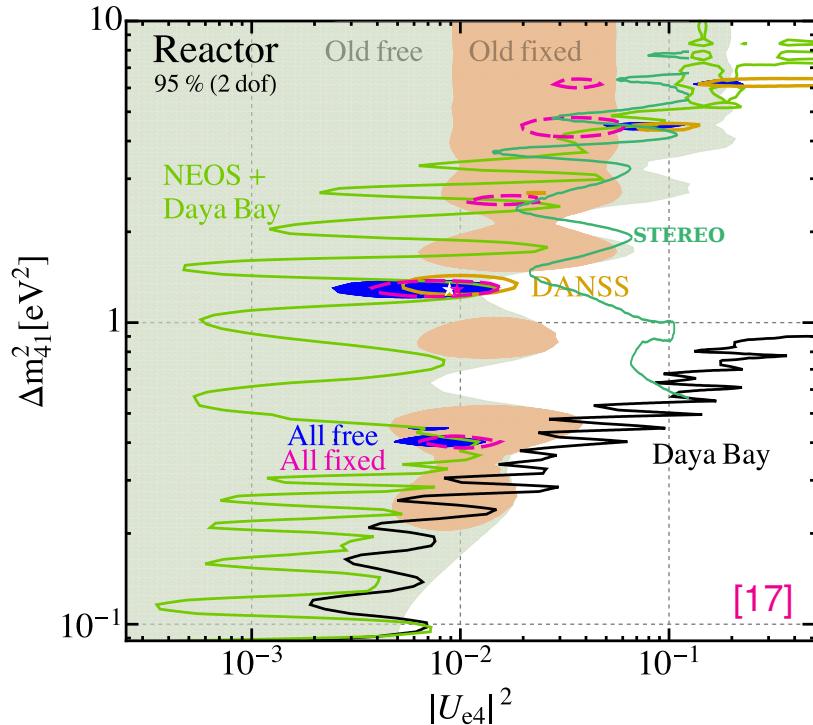


[12] Y. J. Ko *et al.* [NEOS collab], PRL **118** (2017) 121802 [[arXiv:1610.05134](https://arxiv.org/abs/1610.05134)].

[15] I. Alekseev *et al.* [DANSS collaboration], [arXiv:1804.04046](https://arxiv.org/abs/1804.04046).

## Global analysis of all reactor $\bar{\nu}_e$ disappearance data

- Total rates only  $\Rightarrow$  two models [16]:
  - “free”: unconstrained normalizations for [ $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$ ];
  - “fixed”: assumes Huber fluxes;
- spectral data as near/far ratios  $\Rightarrow$  independent of **flux assumptions**;
- results [17]:
  - $2.9\sigma$  ( $3.5\sigma$ ) hint for **sterile  $\nu$**  from analysis with **free (fixed)** fluxes;
  - fit dominated by **DANSS+NEOS**;
  - DANSS osc. agree with NEOS;
  - DANSS osc. in tension with **fixed**.



[16] M. Dentler *et al.*, JHEP 11 (2017) 099 [arXiv:1709.04294].

[17] M. Dentler *et al.*, arXiv:1803.10661.

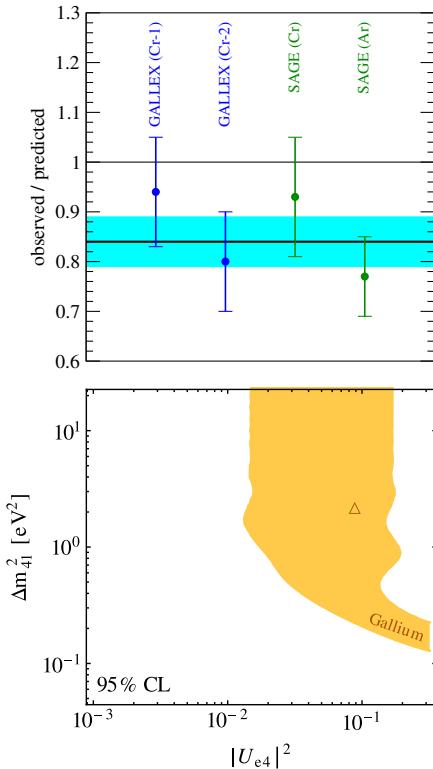
$\Rightarrow$  Poster #M/147: Á. Hernández-Cabezudo

## $\nu_e$ disappearance: the gallium anomaly

- The  $^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$  neutrino capture cross-section, relevant for the **GALLEX** and **SAGE** solar neutrino experiments, was calibrated with intense  $^{51}\text{Cr}$  and  $^{37}\text{Ar}$  neutrino sources;
- these measurements show a significant deficit with respect to the predicted values:

$$\begin{aligned} \text{GALLEX: } & \left\{ \begin{array}{l} R_1(\text{Cr}) = 0.94 \pm 0.11 \text{ [18]} \\ R_2(\text{Cr}) = 0.80 \pm 0.10 \text{ [18]} \end{array} \right\} \\ \text{SAGE: } & \left\{ \begin{array}{l} R_3(\text{Cr}) = 0.93 \pm 0.12 \text{ [19]} \\ R_4(\text{Ar}) = 0.77 \pm 0.08 \text{ [20]} \end{array} \right\} \Rightarrow 0.84 \pm 0.05 \end{aligned}$$

- such  $3\sigma$  deficit can be interpreted in terms of  $\nu$  oscillations;
- once again, data suggests  $\Delta m^2 \gtrsim 1 \text{ eV}^2$ .



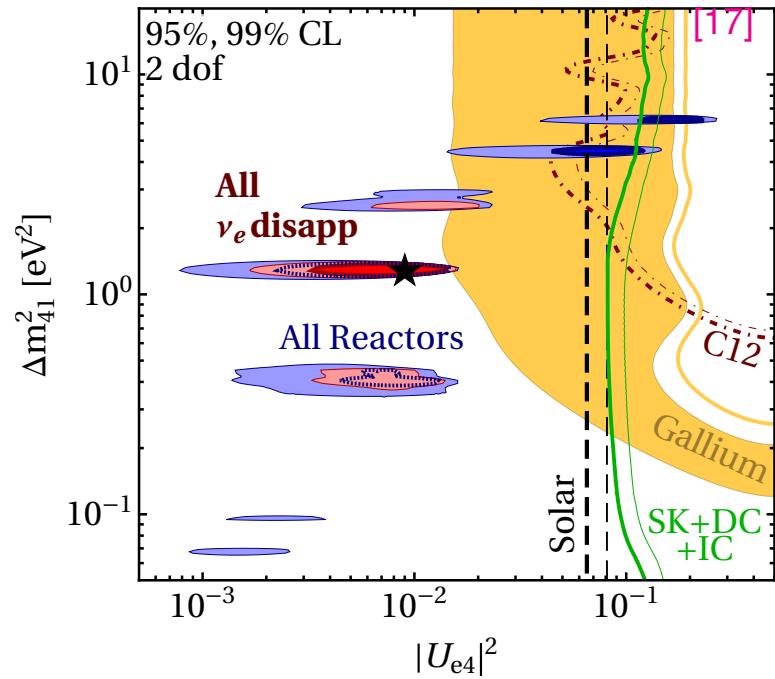
[18] F. Kaether *et al.*, Phys. Lett. **B685** (2010) 47–54 [[arXiv:1001.2731](https://arxiv.org/abs/1001.2731)].

[19] J. Abdurashitov *et al.* [SAGE collab], Phys. Rev. **C59** (1999) 2246–2263 [[hep-ph/9803418](https://arxiv.org/abs/hep-ph/9803418)].

[20] J. Abdurashitov *et al.* [SAGE collab], Phys. Rev. **C73** (2006) 045805 [[nucl-ex/0512041](https://arxiv.org/abs/nucl-ex/0512041)].

## Global analysis of $\nu_e$ and $\bar{\nu}_e$ disappearance

- In addition to reactor ( $\bar{\nu}_e$ ) and gallium ( $\nu_e$ ) data, we include:
  - Karmen [21] and LSND [22] data on  $\nu_e + {}^{12}\text{C} \rightarrow e^- + {}^{12}\text{N}$  reaction;
  - atmospheric neutrino data ( $\nu_e + \bar{\nu}_e$ );
  - solar neutrino data ( $\nu_e$ );
- results [17]:
  - small tension ( $2.2\sigma$ ) between gallium and reactor (free fluxes) data;
  - hint for sterile slightly increases to  $3.2\sigma$  ( $3.8\sigma$ ) for free (fixed) fluxes;
  - global best-fit at  $\Delta m_{41}^2 = 1.3 \text{ eV}^2$ .



[17] M. Dentler *et al.*, arXiv:1803.10661.

[21] B. Armbruster *et al.* [Karmen collab], Phys. Rev. C **57** (1998) 3414 [hep-ex/9801007].

[22] L. B. Auerbach *et al.* [LSND collab], Phys. Rev. C **64** (2001) 065501 [hep-ex/0105068].

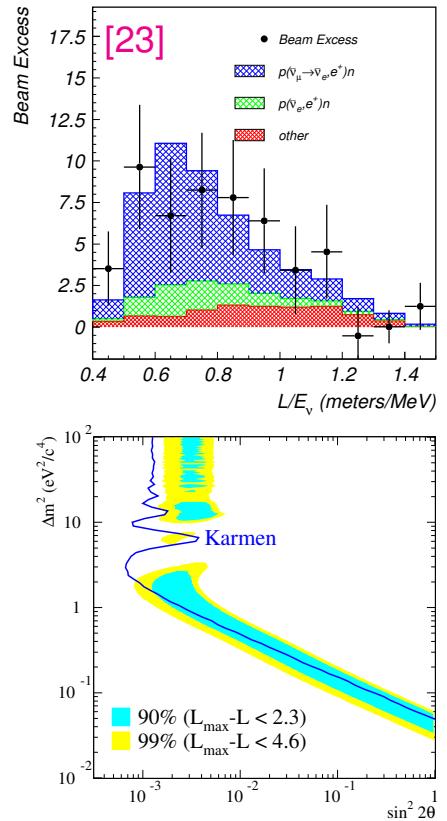
### The LSND anomaly

- The LSND experiment observed an excess of  $\bar{\nu}_e$  events in a  $\bar{\nu}_\mu$  beam ( $E_\nu \sim 30$  MeV,  $L \simeq 35$  m) [23];
- the Karmen collaboration did not confirm the claim, but couldn't fully exclude it either [24];
- the signal is compatible with  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  oscillations provided that  $\Delta m^2 \gtrsim 0.1$  eV $^2$ ;
- on the other hand, global neutrino data give (at  $3\sigma$ ):

$$\Delta m_{\text{SOL}}^2 \simeq [6.8 \rightarrow 8.0] \times 10^{-5} \text{ eV}^2,$$

$$|\Delta m_{\text{ATM}}^2| \simeq [2.4 \rightarrow 2.6] \times 10^{-3} \text{ eV}^2;$$

- again, to explain LSND with mass-induced  $\nu$  oscillations one needs **new** neutrino mass eigenstates;
- MiniBooNE: much larger  $E_\nu$  and  $L$  but similar  $L/E_\nu$ .

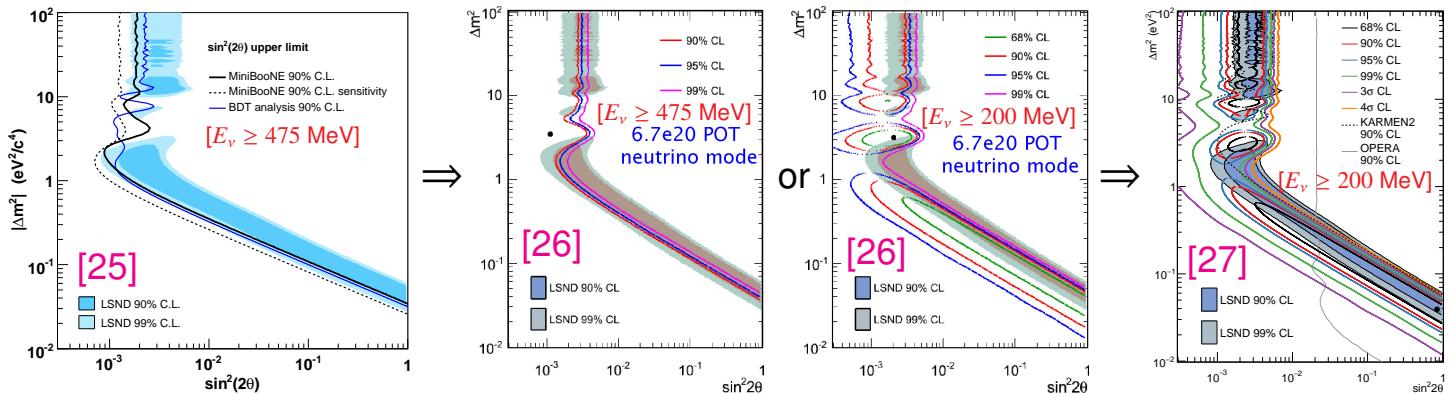


[23] A. Aguilar-Arevalo *et al.* [LSND collab], Phys. Rev. D **64** (2001) 112007 [hep-ex/0104049].

[24] B. Armbruster *et al.* [KARMEN collab], Phys. Rev. D **65** (2002) 112001 [hep-ex/0203021].

### MiniBooNE neutrino data

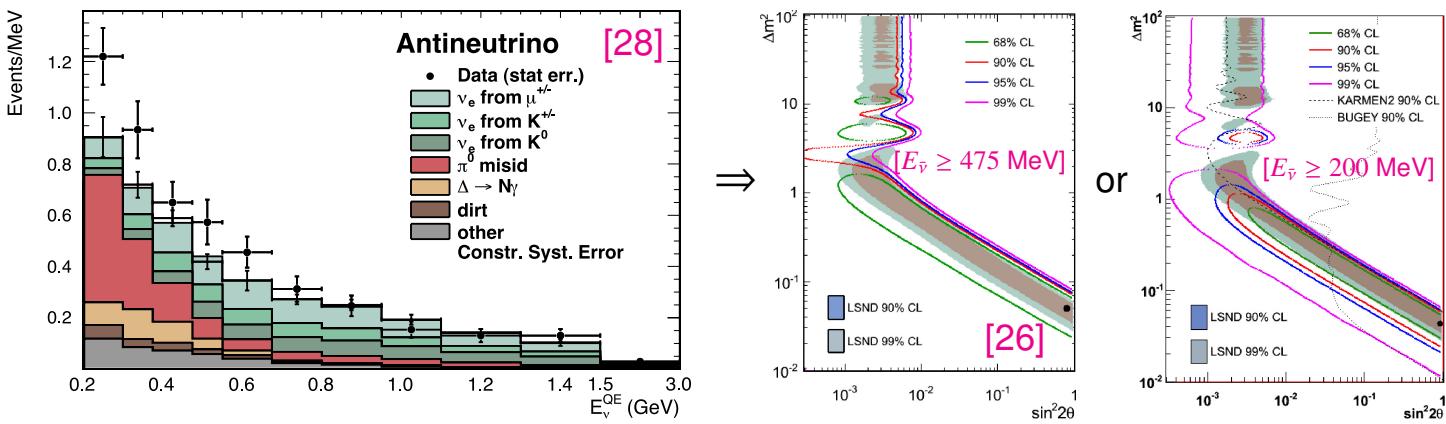
- Statistics:  $5.58$  (2007)  $\rightarrow 6.46$  (2008)  $\rightarrow 12.84$  (2018)  $\times 10^{20}$  POT;
- is  $\nu$  signal compatible with  $2\nu$  oscillations?  $\left\{ \begin{array}{l} 2007: P_{\text{osc}} \simeq 1\% \Rightarrow \text{no it isn't [25]}; \\ 2012: P_{\text{osc}} \simeq 6\% \Rightarrow \text{maybe it is [26]}; \\ 2018: P_{\text{osc}} \simeq 15\% \Rightarrow \text{yes it is [27]}; \end{array} \right.$
- do MB- $\nu$  rule out LSND- $\bar{\nu}$  signal? 2007: yes [25]; 2012: not really [26]; 2018: no [27].



- [25] A.A. Aguilar-Arevalo *et al.* [MiniBooNE collab], Phys. Rev. Lett. **98** (2007) 231801 [[arXiv:0704.1500](https://arxiv.org/abs/0704.1500)].
- [26] C. Polly, talk at Neutrino 2012, Kyoto, Japan, June 3-9, 2012.  $\Rightarrow$  Talk: Huang
- [27] A.A. Aguilar-Arevalo *et al.* [MiniBooNE collab], [arXiv:1805.12028](https://arxiv.org/abs/1805.12028).

### MiniBooNE antineutrino data

- New data presented at Neutrino 2012, statistics doubled ( $\rightarrow 11.27 \times 10^{20}$  POT) [26];
- compatibility with  $\nu$  data:  $\begin{cases} \text{low-energy excess increased} \Rightarrow \text{better agreement;} \\ \text{mid-energy excess reduced} \Rightarrow \text{better agreement;} \end{cases}$
- is  $\bar{\nu}$  signal compatible with  $2\nu$  oscillations?  $P_{\text{osc}} = 66\%$   $\Rightarrow$  definitely yes [28];
- is MB- $\bar{\nu}$  signal compatible with LSND? Yes, irrespective of the energy threshold.

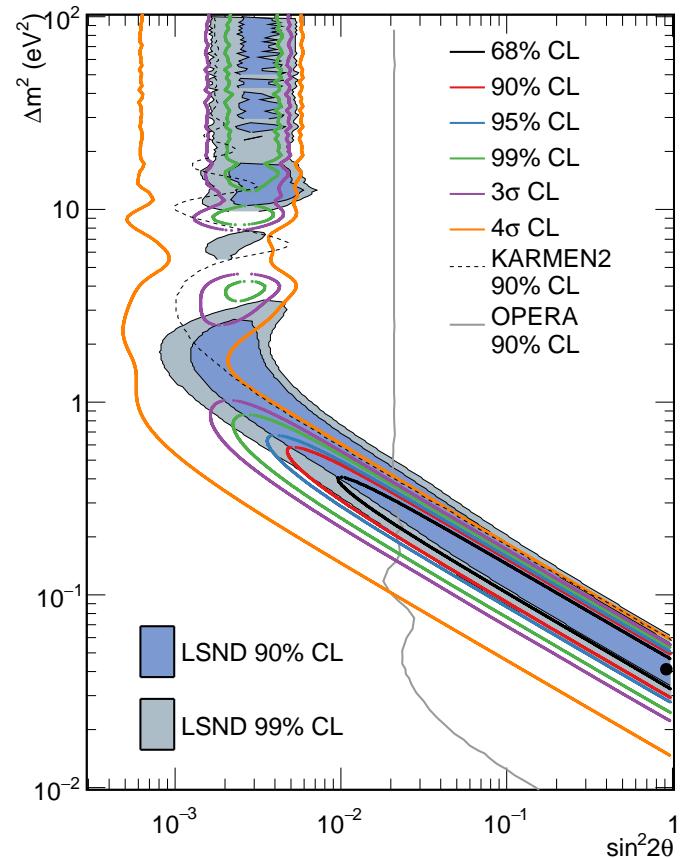
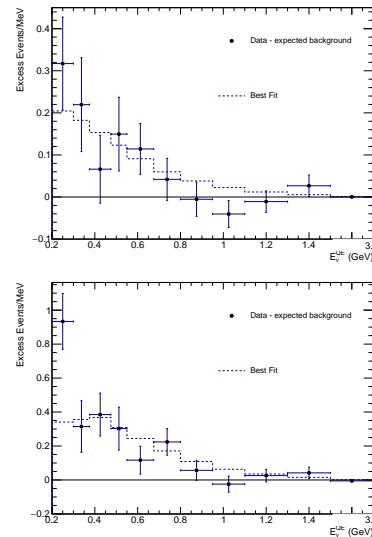
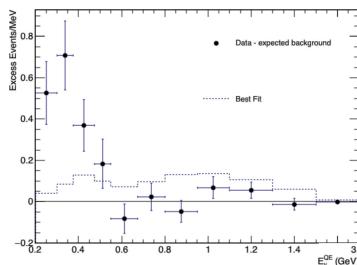


[26] C. Polly, talk at Neutrino 2012, Kyoto, Japan, June 3-9, 2012.

[28] A.A. Aguilar-Arevalo et al. [MiniBooNE collab], PRL 110 (2013) 161801 [arXiv:1303.2588].

### MiniBooNE combined data

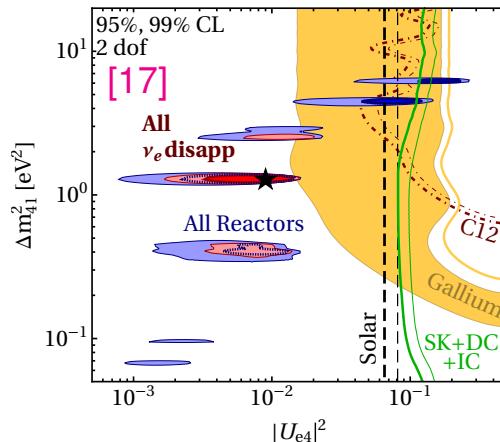
- Low-energy excess in 1st half of  $\nu$  data absent from 2nd half (except lowest bin)  $\Rightarrow$  overall excess is only mild;
- combined  $\nu_e + \bar{\nu}_e$  fit:  $4.8\sigma$  evidence for sterile;
- LSND signal:  $3.8\sigma$ ;
- global LSND + MB preference for sterile neutrinos:  $6.1\sigma$ .



[27] A.A. Aguilar-Arevalo et al. [MiniBooNE collab], arXiv:1805.12028.

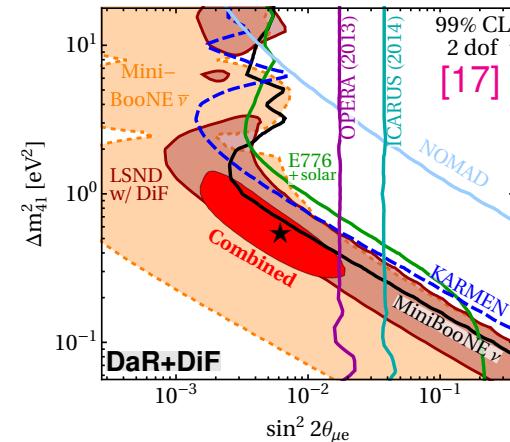
### $\nu_e$ disappearance

- Relevant experiments:
  - **Gallium** ( $\nu$ )
  - **SBL reactors** ( $\bar{\nu}$ )
  - **LBL reactors** ( $\bar{\nu}$ )
  - **KamLAND** ( $\bar{\nu}$ )
  - **Atmos** ( $\nu, \bar{\nu}$ )
  - **Solar** ( $\nu$ )
  - **$^{12}\text{C}$**  ( $\nu$ )



### $\nu_\mu \rightarrow \nu_e$ appearance

- Relevant experiments:
  - **LSND** ( $\bar{\nu}$ )
  - **MiniBooNE** ( $\nu, \bar{\nu}$ )
  - **E776** ( $\nu, \bar{\nu}$ )
  - **ICARUS** ( $\nu$ )
  - **KARMEN** ( $\bar{\nu}$ )
  - **NOMAD** ( $\nu$ )
  - **OPERA** ( $\nu$ )

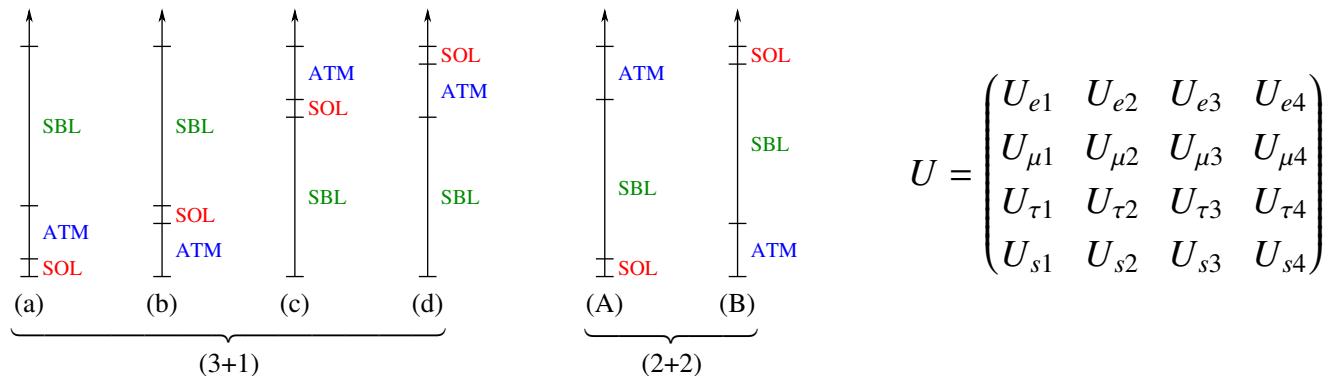


- Note:  $\bar{\nu}_e \rightarrow \bar{\nu}_e$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  probe the same  $\Delta m^2$  but a different mixing angle  $\Rightarrow$  mutual comparison requires embedding them into a **general oscillation model**.

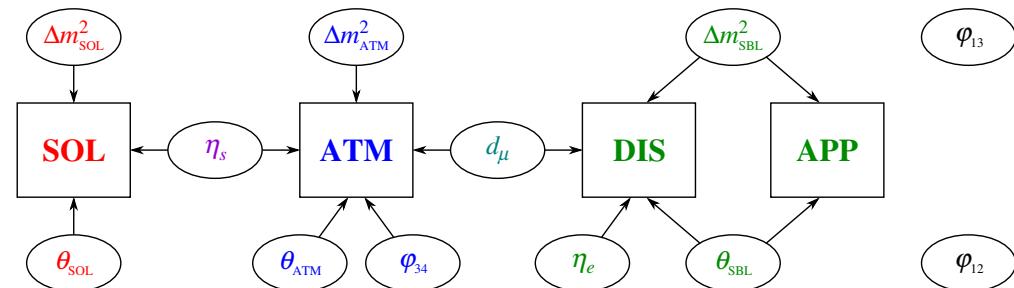
[17] Dentler, Hernández-Cabezudo, Kopp, Machado, MM, Martinez-Soler, Schwetz, arXiv:1803.10661.

#### Four neutrino mass models

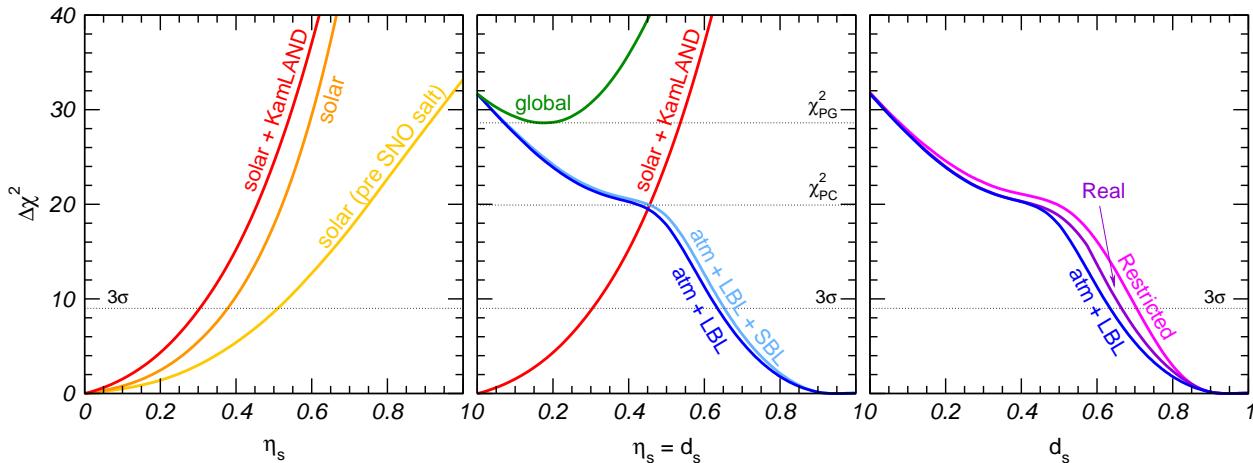
- Approximation:  $\Delta m_{\text{SOL}}^2 \ll \Delta m_{\text{ATM}}^2 \ll \Delta m_{\text{SBL}}^2 \Rightarrow$  6 different mass schemes:



- Total: 3  $\Delta m^2$ , 6 angles, 3 phases. Different set of experimental data partially decouple:



(2+2): ruled out by solar and atmospheric data



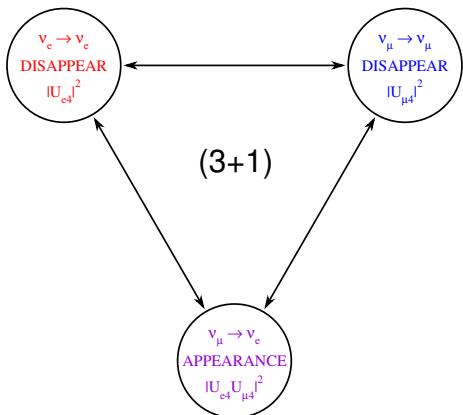
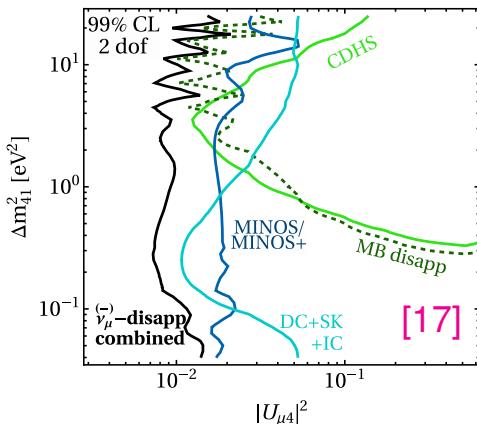
- in (2+2) models, fractions of  $\nu_s$  in **solar** ( $\eta_s$ ) and **atmos** ( $1 - d_s$ ) add to one  $\Rightarrow \boxed{\eta_s = d_s}$ ;
- $3\sigma$  allowed regions  $\eta_s \leq 0.31$  (solar) and  $d_s \geq 0.63$  (atmos) do not overlap; superposition occurs only above  $4.5\sigma$  ( $\chi^2_{\text{PC}} = 19.9$ );
- the  $\chi^2$  increase from the combination of **solar** and **atmos** data is  $\chi^2_{\text{PG}} = 28.6$  (1 dof), corresponding to a PG =  $9 \times 10^{-8}$  [29].

[29] M. Maltoni, T. Schwetz, M.A. Tortola, J.W.F. Valle, Nucl. Phys. **B643** (2002) 321 [hep-ph/0207157].

#### (3+1): appearance versus disappearance

- (3+1):  $P_{\nu_\mu \rightarrow \nu_e} \propto |U_{e4} U_{\mu 4}|^2$  with  $\begin{cases} |U_{e4}|^2 \propto P_{\nu_e \rightarrow \nu_e}, \\ |U_{\mu 4}|^2 \propto P_{\nu_\mu \rightarrow \nu_\mu}; \end{cases}$
- hence,  $P_{\nu_\mu \rightarrow \nu_e} > 0$  requires  $\begin{cases} P_{\nu_e \rightarrow \nu_e} > 0, \\ P_{\nu_\mu \rightarrow \nu_\mu} > 0; \end{cases}$

¿? are  $\nu_\mu \rightarrow \nu_\mu$  searches compatible with this?



#### $\nu_\mu$ disappearance: present status

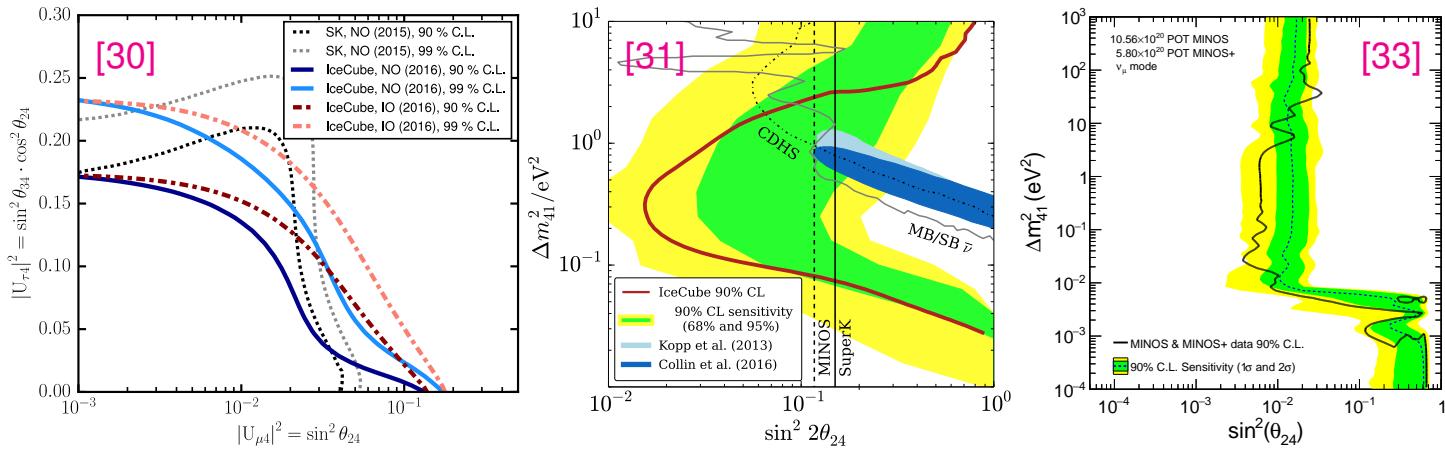
- Many experiments have been performed:
 

– CDHS	( $\nu$ )	– MINOS	( $\nu$ )
– MiniBooNE	( $\nu, \bar{\nu}$ )	– NOνA	( $\nu$ )
– SciBooNE	( $\nu, \bar{\nu}$ )	– SK atmos	( $\nu, \bar{\nu}$ )
- no hint of  $\nu_\mu$  disappearance has been observed;
- bound on  $|U_{\mu 4}|^2$  may be in tension with other data... .

[17] Dentler, Hernández-Cabezudo, Kopp, Machado, MM, Martinez-Soler, Schwetz, arXiv:1803.10661.

#### $\nu_\mu$ disappearance: recent atmospheric and SBL data

- new DeepCore [30] and IceCube [31] atmos. data probe  $\nu_\mu$  mixing with heavy state;
- sterile neutrino oscillations also studied by NO $\nu$ A using neutral-current data [32];
- recent MINOS/MINOS+ analysis [33] improves bound on  $\nu_\mu$  disapp.  $\Rightarrow$  Talk: Aurisano



[30] M.G. Aartsen *et al.* [IceCube collab], Phys. Rev. D **95** (2017) 112002 [[arXiv:1702.05160](https://arxiv.org/abs/1702.05160)].

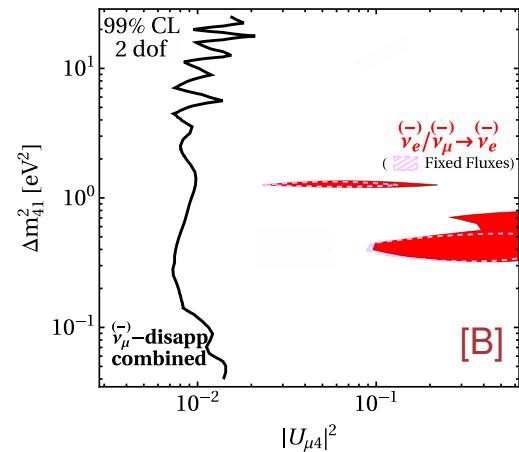
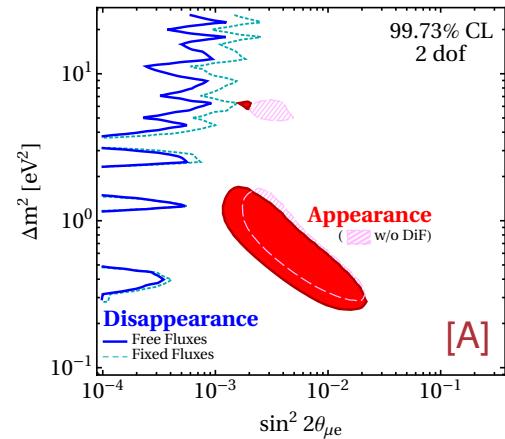
[31] M.G. Aartsen *et al.* [IceCube collab], Phys. Rev. Lett. **117** (2016) 071801 [[arXiv:1605.01990](https://arxiv.org/abs/1605.01990)].

[32] P. Adamson *et al.* [NO $\nu$ A collab], Phys. Rev. D **96** (2017) 072006 [[arXiv:1706.04592](https://arxiv.org/abs/1706.04592)].

[33] P. Adamson *et al.* [MINOS collab], [[arXiv:1710.06488](https://arxiv.org/abs/1710.06488)].

#### (3+1): tension among data samples

- Limits on  $\nu_e \rightarrow \nu_e$  and  $\nu_\mu \rightarrow \nu_\mu$  disappearance imply a bound on the  $\nu_\mu \rightarrow \nu_e$  appearance probability;
- such bound is stronger than what is required to explain the LSND and MiniBooNe excesses [A];
- hence, severe tension arises between APP and DIS data:  $\chi^2_{\text{PG}}/\text{dof} = 29.6/2 \Rightarrow \text{PG} = 3.7 \times 10^{-7}$  [17];
- a similar result is visible when comparing “ $\nu_e$ -data” ( $\nu_e \rightarrow \nu_e$  and  $\nu_\mu \rightarrow \nu_e$ ) and “ $\nu_\mu$ -data” ( $\nu_\mu \rightarrow \nu_\mu$ ) [B];
- note: tension between APP and DIS data first pointed out in 1999 [34]. Full global fit in 2001 [35] cornered (3+1) models. No conceptual change since then...



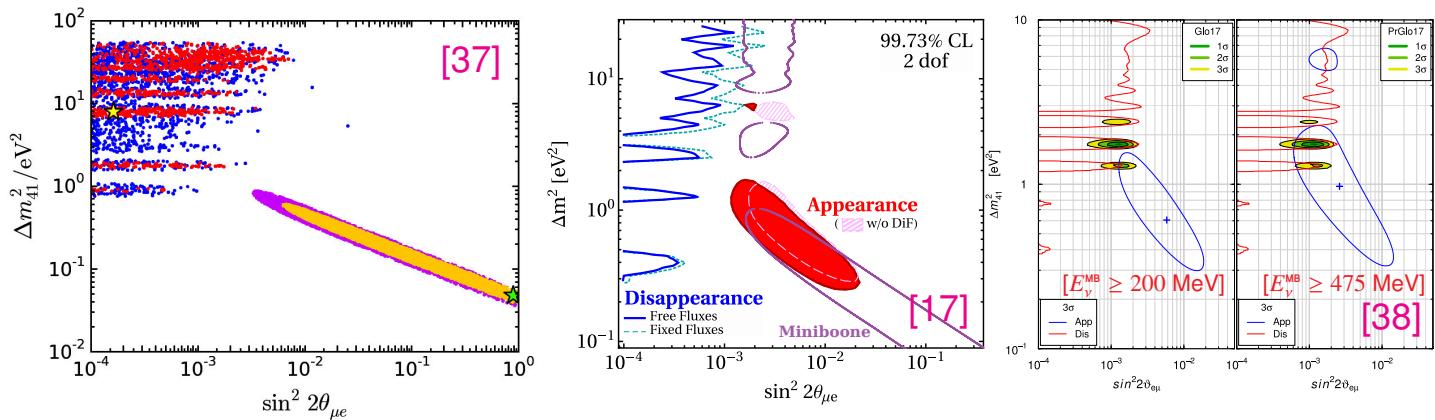
[17] M. Dentler *et al.*, arXiv:1803.10661.

[34] S.M. Bilenky *et al.*, PRD **60** (1999) 073007 [hep-ph/9903454].

[35] MM, Schwetz, Valle, PLB **518** (2001) 252 [hep-ph/0107150].

#### (3+1): comparison with other analyses

- A few independent analyses of **APP** versus **DIS** compatibility have been presented;
- results of [36, 37] similar to ours  $\Rightarrow$  (3+1) ruled out:  $\chi^2_{\text{PG}}/\text{dof} = 22.9/2 \Rightarrow \text{PG} = 10^{-5}$ ;
- results of [38]:  $\begin{cases} \text{(3+1) ruled out: } \chi^2_{\text{PG}}/\text{dof} = 17.2/2 \Rightarrow \text{PG} = 0.019\% \text{ (MB } E_\nu > 200 \text{ MeV),} \\ \text{(3+1) very poor: } \chi^2_{\text{PG}}/\text{dof} = 7.2/2 \Rightarrow \text{PG} = 2.7\% \quad (\text{MB } E_\nu > 475 \text{ MeV).} \end{cases}$



- [17] Dentler, Hernández-Cabezudo, Kopp, Machado, MM, Martinez-Soler, Schwetz, arXiv:1803.10661.
- [36] J.M. Conrad *et al.*, Adv. High Energy Phys. **2013** (2013) 163897 [arXiv:1207.4765].
- [37] G.H. Collin *et al.*, Nucl. Phys. B **908** (2016) 354 [arXiv:1602.00671].
- [38] S. Gariazzo, C. Giunti, M. Laveder and Y. F. Li, JHEP **06** (2017) 135 [arXiv:1703.00860].

#### (3+1): robustness of the result

- The tension cannot be eliminated by discarding any *individual* experiment.

Analysis	$\chi^2_{\text{min,global}}$	$\chi^2_{\text{min,app}}$	$\Delta\chi^2_{\text{app}}$	$\chi^2_{\text{min,disapp}}$	$\Delta\chi^2_{\text{disapp}}$	$\chi^2_{\text{PG}}/\text{dof}$	PG
Global	1120.9	79.1	11.9	1012.2	17.7	29.6/2	$3.7 \times 10^{-7}$
<b>Removing anomalous data sets</b>							
w/o LSND	1099.2	86.8	12.8	1012.2	0.1	12.9/2	$1.6 \times 10^{-3}$
w/o MiniBooNE	1012.2	40.7	8.3	947.2	16.1	24.4/2	$5.2 \times 10^{-6}$
w/o reactors	925.1	79.1	12.2	833.8	8.1	20.3/2	$3.8 \times 10^{-5}$
w/o gallium	1116.0	79.1	13.8	1003.1	20.1	33.9/2	$4.4 \times 10^{-8}$
<b>Removing constraints</b>							
w/o IceCube	920.8	79.1	11.9	812.4	17.5	29.4/2	$4.2 \times 10^{-7}$
w/o MINOS(+)	1052.1	79.1	15.6	948.6	8.94	24.5/2	$4.7 \times 10^{-6}$
w/o MB disapp	1054.9	79.1	14.7	947.2	13.9	28.7/2	$6.0 \times 10^{-7}$
w/o CDHS	1104.8	79.1	11.9	997.5	16.3	28.2/2	$7.5 \times 10^{-7}$
<b>Removing classes of data</b>							
$\overleftarrow{\nu}_e$ -dis vs app	628.6	79.1	0.8	542.9	5.8	6.6/2	$3.6 \times 10^{-2}$
$\overleftarrow{\nu}_\mu$ -dis vs app	564.7	79.1	12.0	468.9	4.7	16.7/2	$2.3 \times 10^{-4}$
$\overleftarrow{\nu}_\mu$ -dis+solar vs app	884.4	79.1	13.9	781.7	9.7	23.6/2	$7.4 \times 10^{-6}$

## More sterile neutrinos? The case of (3+2) models

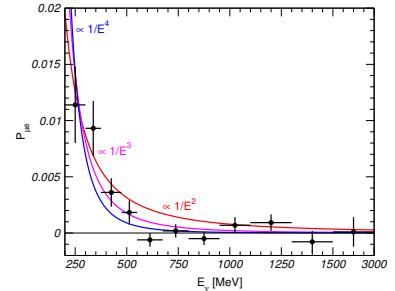
- With *one* extra sterile neutrino,  $m_4$ :

$$P_{\mu e}^{4\nu} = 4|U_{e4}|^2|U_{\mu 4}|^2 \sin^2 \phi_{41} \quad \text{with} \quad \phi_{ij} \equiv \frac{\Delta m_{ij}^2 L}{4E};$$

- for large energy  $P_{\mu e}^{4\nu}$  drops as  $1/E^2$ ;
- however, the low-energy MB excess is much sharper ( $\sim 1/E^3$ );
- On the other hand, with *two* extra neutrinos,  $m_4$  and  $m_5$ :

$$P_{\mu e}^{5\nu} = 4|U_{e4}|^2|U_{\mu 4}|^2 \sin^2 \phi_{41} + 4|U_{e5}|^2|U_{\mu 5}|^2 \sin^2 \phi_{51} + 8|U_{e4}U_{e5}U_{\mu 4}U_{\mu 5}| \sin \phi_{41} \sin \phi_{51} \cos(\phi_{54} - \delta);$$

- terms of order  $1/E^2$  suppressed if  $\delta \approx \pi$  and  $|U_{e4}U_{\mu 4}|\Delta m_{41}^2 \approx |U_{e5}U_{\mu 5}|\Delta m_{51}^2$ ;
- $\Rightarrow$  two extra sterile states provide a better description of the MB low-energy  $\nu$  data [39].
- also,  $\delta = \arg(U_{e4}^* U_{\mu 4} U_{e5} U_{\mu 5}^*)$  differentiates between  $\nu$  (MB) from  $\bar{\nu}$  (MB/LSND);
  - however, (3+2) models suffer from **the same APP/DIS tension** as (3+1) models;
  - also, (3+2) models have stronger problems with **cosmology** since  $\sum m_\nu$  is larger;
- $\Rightarrow$  (3+N) models **do not present substantial advantages** over the simpler (3+1) model.



[39] M. Maltoni, T. Schwetz, Phys. Rev. D76 (2007) 093005 [arXiv:0705.0107].

- Anomalies in  $\nu_e \rightarrow \nu_e$  disappearance and  $\nu_\mu \rightarrow \nu_e$  appearance experiments point towards conversion mechanisms beyond the well-established 3 $\nu$  oscillation paradigm;
- each of these anomalies can be **individually** explained by sterile neutrinos;
- sterile neutrinos still succeed in simultaneously explaining groups of anomalies **sharing the same oscillation channel**. However some problem arises:
  - $\nu_e \rightarrow \nu_e$  disappearance data face issues with flux normalization and the 5 MeV bump, as well as small tensions in reactor vs gallium and “rates” vs DANSS/NEOS;
  - $\nu_\mu \rightarrow \nu_e$  appearance data show an excess in low-E neutrino data, which is not so manifest in antineutrino data.
- in contrast, no anomaly is found in any  $\nu_\mu \rightarrow \nu_\mu$  disappearance data set;

⇒ sterile neutrino models **fail to simultaneously account** for **all** the  $\nu_e \rightarrow \nu_e$  data, the  $\nu_\mu \rightarrow \nu_e$  data and the  $\nu_\mu \rightarrow \nu_\mu$  data. This conclusion is robust;
- if the  $\nu_e \rightarrow \nu_e$  and  $\nu_\mu \rightarrow \nu_e$  anomalies are confirmed, and the  $\nu_\mu \rightarrow \nu_\mu$  bounds are not refuted, new physics will be needed. Such new physics may well involve extra sterile neutrinos, but together with something else (or some “unusual” neutrino property).