



## Off-axial acoustic scattering of a high-order Bessel vortex beam by a rigid sphere

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### ARTICLE INFO

#### Article history:

Received 8 October 2010

Received in revised form 25 January 2011

Accepted 7 February 2011

Available online 16 February 2011

#### Keywords:

Acoustic scattering

Bessel vortex beam

Off-axial scattering

Rigid sphere

### ABSTRACT

In this paper, the off-axial acoustic scattering of a high-order Bessel vortex beam by a rigid immovable (fixed) sphere is investigated. It is shown here that shifting the sphere *off* the axis of wave propagation induces a dependence of the scattering on the azimuthal angle. Theoretical expressions for the incident and scattered field from a rigid immovable sphere are derived. The near- and far-field acoustic scattering fields are expressed using partial wave series involving the spherical harmonics, the scattering coefficients of the sphere, the half-conical angle of the wave number components of the beam, its order and the beam-shape coefficients. The scattering coefficients of the sphere and the 3D scattering directivity plots in the near- and far-field regions are evaluated using a numerical integration procedure. The calculations indicate that the scattering directivity patterns near the sphere and in the far-field are strongly dependent upon the position of the sphere facing the incident high-order Bessel vortex beam.

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### 1. Introduction

Wave scattering from regularly- and irregularly-shaped objects occurs in nature, in which some forms of radiation, such as light, sound, or even gravitational waves deviate from their incident trajectory to form a well-defined scattering pattern in space [1].

As an example in the field of acoustics, this topic is investigated extensively in underwater applications [2–5] with the aim of identifying targets from their sonar echoes. In most of these important investigations, scattering from plane (axisymmetric) waves is only considered [6]. Therefore, the acoustic scattering is not dependent on the incident beam's parameters. Nevertheless, when the incident beam is in the form of a Gaussian focused (finite) beam [7–10], a zero-order [11], or a high-order Bessel vortex beam [12–14], the scattered field strongly depends on the beam's parameters, such as the beam's focus, and the half-cone angle of incidence. In those studies, however, the *axial* acoustic scattering is only investigated such that the target is *centered* along the axis of propagation of the incident waves.

It is nonetheless recognized that a *complete* solution of the problem requires solving for *both* the on- and off-axial acoustic scattering. Despite the significant scientific reports investigating the *axial* acoustic scattering by a sphere, the lack of such a solution provides us with the impetus to tackle this problem. A theoretical derivation is established here to study these effects and determine the acoustic pressure distribution of a mono-frequency high-order Bessel vortex beam incident upon a rigid sphere. Such beams have attracted significant attention and considerable investigations in optics and acoustics applications because they belong to the family of non-diffracting beams that do not spread while propagating in space (See section II.A in [15] for a detailed discussion). Furthermore, they are self-healing; if part of the beam is obstructed or distorted, the beam reconstructs itself after a

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characteristic propagation distance [16]. Thus, such beams have provided an attractive alternative to using standard Gaussian beams in various applications [17–20].

In this paper, a general spherical coordinate separation-of-variables solution for the incident and scattered acoustic pressures, as well as numerical simulations are presented for the determination of the scattered acoustic pressure distribution both near the surface of a rigid immovable sphere and in the far-field region. The incident field is composed of a high-order Bessel vortex beam of arbitrary character. Calculations for the rigid sphere in non-viscous water demonstrating the effects of shifting the sphere off the beam's axis are presented. Some aspects and properties of the near- and far-field acoustic scattering are discussed in full detail. An understanding of the scattering properties is central for analyzing the acoustic radiation forces [21–26] and torques on spheres and may be helpful in the development of an “acoustic blender” [27] and tweezers for rotating and manipulating small objects.

### 2. Theory and analysis

Consider an acoustical wave field emerging from an arbitrarily shaped aperture propagating in an ideal fluid incident upon a rigid sphere and described by its complex pressure  $P^{(inc.)}$  that is a solution of the Helmholtz wave equation,

$$(\nabla^2 + k^2)P^{(inc.)} = 0, \tag{1}$$

where  $k$  is the wave number.

In a system of spherical coordinates  $(r, \theta, \phi)$  with its origin chosen at the center of the sphere (See Fig. 1), the most general separation of variables solution of the Helmholtz Eq. (1) is given by

$$P^{(inc.)}(r, \theta, \phi) = P_0 \sum_{p=0}^{\infty} \sum_{q=-p}^p [a_{pq}(ka)j_p(kr) + b_{pq}(ka)y_p(kr)] Y_p^q(\theta, \phi), \tag{2}$$

where  $P_0$  is the pressure amplitude,  $a_{pq}(ka)$  and  $b_{pq}(ka)$  are arbitrary beam-shape coefficients,  $j_p(\cdot)$  and  $y_p(\cdot)$  are the spherical Bessel function of the first and second kind, respectively, and  $Y_p^q(\cdot)$  are the  $q$ th-order spherical harmonics of  $p$ th-degree. The factor  $\exp(-i\omega t)$  is suppressed from Eq. (2) since the space-dependent pressure is only concerned. It is important to note here that Eq. (2) represents a general solution of Eq. (1), however it requires specific boundary conditions to be used in a real physical system. In the description of acoustical (or optical) high-order Bessel (vortex) beams, which describe a wave-field that is physically finite at the origin ( $r=0$ ), the parameter  $b_{pq}(ka)=0$ , and the spherical Bessel functions of the second kind  $y_p(\cdot)$  may be excluded since they represent a singularity at the origin.

Without loss of generality, the incident pressure field is therefore rewritten as

$$P^{(inc.)}(r, \theta, \phi) = P_0 \sum_{p=0}^{\infty} \sum_{q=-p}^p a_{pq}(ka)j_p(kr)Y_p^q(\theta, \phi). \tag{3}$$

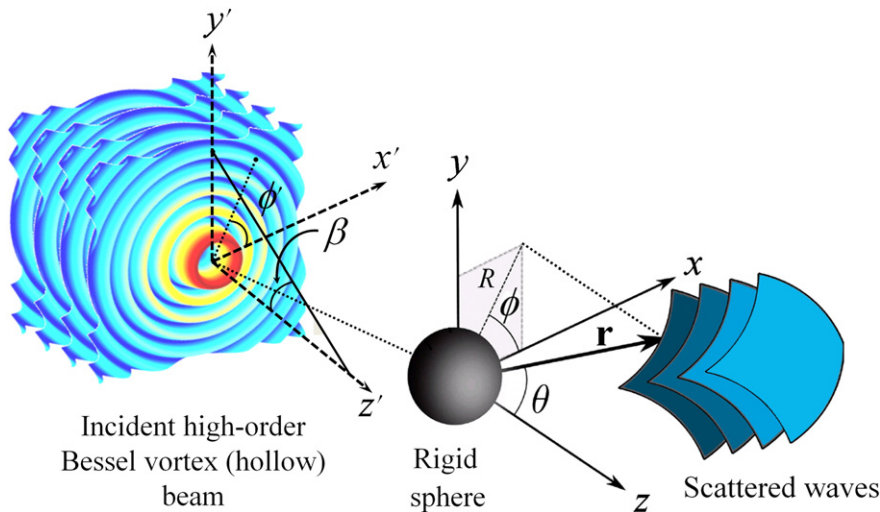


Fig. 1. Geometry of the problem. The primed coordinate system has its origin at the center of the beam, while the unprimed coordinate system is referenced to the sphere center.

Consider a spherical target immersed in a non-viscous fluid, and placed arbitrarily in the field of the incident pressure field as given by Eq. (3). The presence of the spherical target in the waves' path causes the incident field to scatter. The scattered field is represented by

$$P^{(sc.)}(r, \theta, \phi) = P_0 \sum_{p=0}^{\infty} \sum_{q=-p}^p a_{pq}(ka) S_p(ka) h_p^{(1)}(kr) Y_p^q(\theta, \phi), \tag{4}$$

where  $h_p^{(1)}(\cdot)$  are the spherical Hankel functions of the first kind, and  $S_p(ka)$  are the scattering partial wave coefficients of the sphere determined by applying appropriate boundary conditions at the interface fluid-structure, with the assumption that the fluid is ideal. These functions generally depend on the sphere's material parameters such as the longitudinal sound speed  $c_L$ , the shear or transverse sound speed  $c_T$ , and the mass densities of both the fluid  $\rho_f$  and the sphere  $\rho_s$ . For a rigid immovable sphere, these coefficients are determined using the solution that satisfies the boundary condition of the vanishing of the particle velocity at the boundary  $r=a$  such that,

$$S_p(ka) = -j_p'(ka) / h_p^{(1)'}(ka), \tag{5}$$

where  $a$  is the sphere's radius.

To determine the beam-shape coefficients  $a_{pq}(ka)$ , the analogy with the representation of the electric field [i.e. (29) in [28]] is used to express the incident acoustic pressure at the surface of the sphere (i.e.  $r=a$ ) as

$$P^{(inc.)}(r = a, \theta, \phi) = P_0 \sum_{p=0}^{\infty} \sum_{q=-p}^p a_{pq}(ka) j_p(kr) Y_p^q(\theta, \phi). \tag{6}$$

The coefficients  $a_{pq}(ka)$  are then determined by applying the orthogonality condition of the spherical harmonics  $\int_{\phi=0}^{2\pi} \int_{\theta=0}^{\pi} Y_p^q(\theta, \phi) Y_{p'}^{q'}(\theta, \phi) d\Omega = \delta_{pp'} \delta_{qq'}$ , where  $\delta_{ij} = \begin{cases} 1, & \text{if } i = j, \\ 0, & \text{if } i \neq j, \end{cases}$  is the Kronecker's delta function and  $d\Omega = \sin \theta d\theta d\phi$ . Accordingly, these coefficients are expressed as

$$a_{pq}(ka) = \frac{1}{j_p(ka) P_0} \int_{\phi=0}^{2\pi} \int_{\theta=0}^{\pi} P^{(inc.)}(r = a, \theta, \phi) Y_p^q(\theta, \phi) \sin \theta d\theta d\phi, \tag{7}$$

where the superscript “\*” denotes a complex conjugate.

After determining the beam-shape coefficients  $a_{pq}(ka)$ , the scattered pressure field can be evaluated after substituting Eq. (7) into Eq. (4) using Eq. (5).

For the case of a generalized Bessel vortex beam of order  $m$ , the incident pressure field, that is a proper solution of the homogeneous Helmholtz Eq. (1), is expressed in a coordinate system  $(x', y', z')$  with its origin chosen at the center of the beam (Fig. 1), where  $\phi' = \tan^{-1}(y'/x')$ , and  $R' = \sqrt{(x'^2 + y'^2)}$ , as

$$P^{(inc.)} = P_0 e^{ik_z z'} J_m(k_r R') e^{im\phi'}, \tag{8}$$

where  $J_m(\cdot)$  is the cylindrical Bessel function of the first kind of positive order  $m$  (that can be also negative, however this case is excluded here),  $k_z = k \cos \beta$  and  $k_r = k \sin \beta$  are the axial and radial wave-numbers,  $\beta$  is the half-cone angle formed by the wave-number  $k$  relative the axis of wave propagation, and  $\phi'$ , and  $z'$  are the azimuthal and axial components, respectively.

In a system of spherical coordinates with its origin chosen at the center of the sphere, it has been shown in a previous work [12] that the incident pressure of a high-order Bessel (vortex) beam coinciding with the axis of wave propagation, and represented by a cylindrical wave function given by Eq. (8), is expressed as a closed-form mathematical equation [12,14],

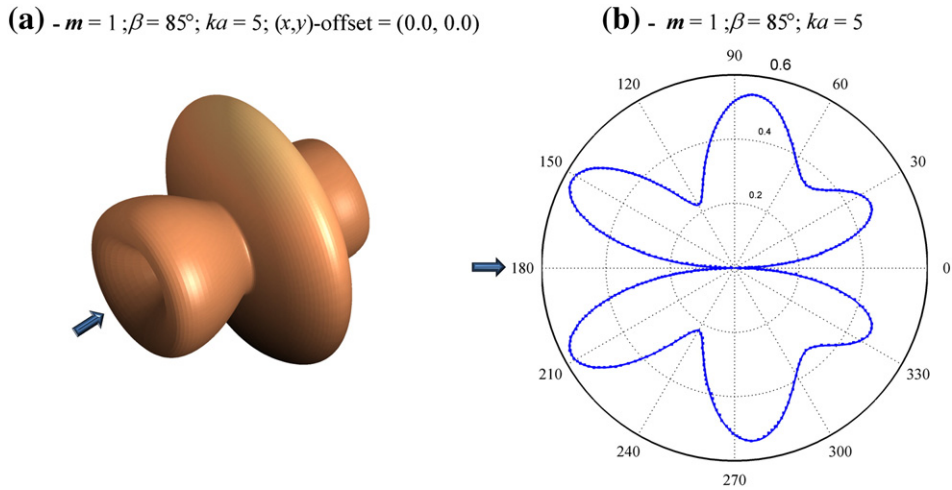
$$\begin{aligned} P^{(inc.)}(r, \theta, \phi) &= P_0 e^{ik_z r \cos \theta} J_m(k_r r \sin \theta) e^{im\phi} = P_0 e^{ik_r \cos \beta \cos \theta} J_m(k_r \sin \beta \sin \theta) e^{im\phi}, \\ &= P_0 \sum_{n=m}^{\infty} \frac{(n-m)!}{(n+m)!} (2n+1) i^{(n-m)} j_n(kr) P_n^m(\cos \theta) P_n^m(\cos \beta) e^{im\phi}, \end{aligned} \tag{9}$$

where  $P_n^m(\cdot)$  are the associated Legendre functions of order  $m$  and degree  $n$ .

Rewriting Eq. (9) as a double-summation series as,

$$\begin{aligned} P^{(inc.)} &= P_0 \sum_{n=m}^{\infty} \frac{(n-m)!}{(n+m)!} (2n+1) i^{(n-m)} j_n(kr) P_n^m(\cos \theta) P_n^m(\cos \beta) e^{im\phi}, \\ &= P_0 \sum_{n=0}^{\infty} \sum_{\ell=-n}^n \frac{(n-\ell)!}{(n+\ell)!} \delta_{\ell m} H(n-m) (2n+1) i^{(n-\ell)} j_n(kr) P_n^{\ell}(\cos \theta) P_n^{\ell}(\cos \beta) e^{i\ell\phi}, \end{aligned} \tag{10}$$

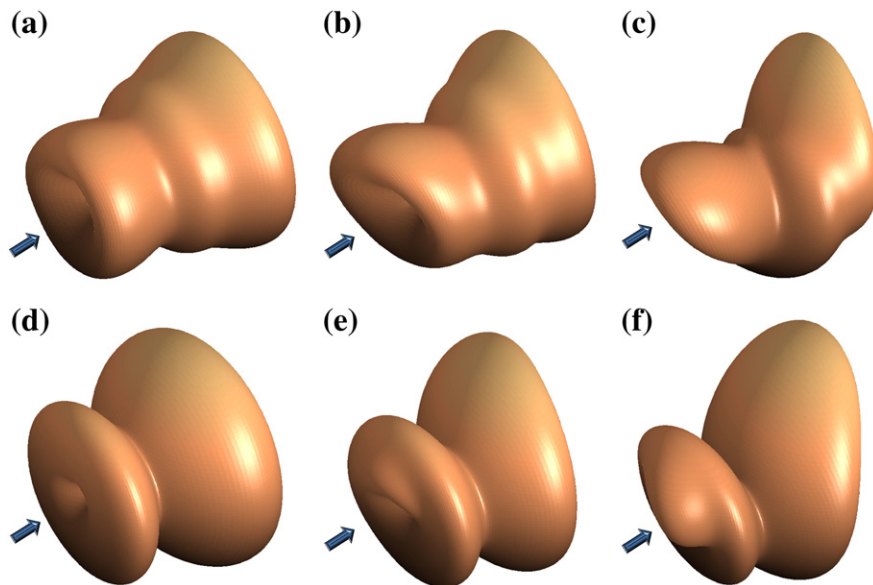




**Fig. 2.** (a) shows the 3D directivity pattern for the *axial* far-field scattering obtained using the numerical integration, whereas (b) shows a comparison between the numerical (dotted line) and the closed-form analytical (solid line) solutions corresponding to the polar 2D plots. The acoustical waves form a first-order Bessel vortex beam (i.e.  $m = 1$ ), with a size parameter  $ka = 5$  and a half-cone  $\beta = 85^\circ$ . The arrows on the left-hand side of (a) and (b) indicate the direction of the incident waves. Perfect agreement is noticed.

Eq. (7). Its inspection shows that the denominator involves the spherical Bessel function of the first kind  $j_p(ka)$ . A particular care needs then to be paid to the choice of  $ka$  so as to avoid the zeros of  $j_p(ka)$ , hence, the resulting indeterminacies that may appear while evaluating the integral. Appropriate selection of  $ka$  requires excluding those to corresponding zeros of  $j_p(ka)$  that are listed in Table 1 for convenience.

Initially, a test is performed to verify the accuracy and correctness of the numerical findings. The test requires computing and comparing the *on-axis* scattering results of the present theory with the ones obtained from the *axial* scattering theory using a closed-form solution previously developed and published in [12,14]. Fig. 2-(a),(b) shows a comparison between the directivity pattern result for the *axial* far-field scattering obtained by using the numerical integration (i.e. “ $(x,y)\text{-offset} = (0,0)$ ” corresponds to a sphere centered on the beam's axis, and the closed-form solution. For this test example, the acoustical waves are selected to form a first-order Bessel vortex beam (i.e.  $m = 1$ ), with a size parameter  $ka = 5$  and a half-cone  $\beta = 85^\circ$ . The arrows on the left-hand side of (a) and (b) indicate the direction of the incident waves. As observed from this figure, excellent agreement is obtained



**Fig. 3.** Comparison between the 3D directivity plots in the near-field (i.e.  $r = 1.2a$ ) (first row, (a)–(c)) and the far-field (second row, (d)–(f)) for a rigid sphere in the field of a first-order ( $m = 1$ ) Bessel vortex beam. The half-cone angle is  $\beta = 45^\circ$  and  $ka = 5$ . In (a),(d), the sphere is centered on the beam's axis. In (b),(e), the sphere is shifted only in the  $x$  direction such that the offset is  $(x,y)\text{-offset} = (0.1\lambda/2a; 0)$ . In (c),(f), the sphere is shifted in both  $x$  and  $y$  directions such that the offset is  $(x,y)\text{-offset} = (0.1\lambda/2a; 0.2\lambda/a)$ . The arrows on the left-hand side indicate the direction of the incident waves.

between the two methods. Additional examples and further tests (not shown here) with higher-order ( $m > 1$ ) Bessel vortex beams for different size parameters (excluded from Table 1) and half-cone values have been performed and provided excellent matching between the two methods.

To illustrate the effect of shifting the sphere *off* the axis of the beam, the acoustic scattering of a first-order ( $m = 1$ ) Bessel vortex beam with  $\beta = 45^\circ$  at  $ka = 5$  is investigated. The 3D directivity plots are displayed in Fig. 3. The top row (i.e. Fig. 2-(a)–(c)) displays the scattering in the near-field for  $r = 1.2a$  for three different cases: in (a) the sphere is centered on the beam's axis such that the  $(x,y)$ -offset is zero. In (b), the sphere is shifted only in the  $x$  direction such that the offset is  $(x,y)$ -offset =  $(0.1\lambda/2a; 0)$ . In (c), the sphere is shifted in both  $x$  and  $y$  directions such that the offset is  $(x,y)$ -offset =  $(0.1\lambda/2a; 0.2\lambda/a)$ . The arrows on the left-hand side indicate the direction of the incident waves. The bottom row in Fig. 3-(d)–(f) shows the 3D directivity plots in the far-field without (Fig. 3-(d)) and with (Fig. 3-(e),(f)) displacement offsets taking the same values as in (b) and (c) respectively. It is obvious that the on-axis scattering displayed in (a),(d) shows perfectly symmetric directivity patterns and is significantly different from the off-axis scattering as shown in (b),(c),(e) and (f). Moreover, the characteristic property of the first-order Bessel vortex beam related to the pressure null at the center of the (hollow) beam is strongly manifested in the *axial* scattering such that the forward and backward acoustic scattering from the sphere (along the axis) vanish. This is somewhat predicted from Eq. (10) because of the dependence on the associated Legendre functions  $P_n^m(\cos \theta)$  that vanish for  $\theta = 0^\circ$  (i.e. forward direction) and  $\theta = 180^\circ$  (backward direction). This is a property of the high-order Bessel vortex beam (i.e.  $m > 0$ ). However, as the offset increases, the scattering pattern loses symmetry and takes particular directivity patterns determined by the amount of the offset (See also Animated supplementary file 1). In the supplementary animation file 1, the 3D scattering directivity patterns have been calculated for a sphere that is shifted off-axially in the bandwidth  $0 \leq x \leq 1.2127$  in incremental steps of  $\delta x = \lambda/200a = 0.0063$ .

It is obvious from Fig. 3 that near- and far-field scattering directivity plots are not alike. Additional on- and off-axis calculations (not shown here) for a first-order Bessel vortex beam are performed in the near-field while increasing the distance  $r$  from the center of the sphere in increments of 0.1. It is verified that when  $r$  largely exceeds  $a$  (i.e.  $r > 5a$  and beyond, i.e.  $r \rightarrow \infty$ ), the near-field scattering directivity plots closely approach the far-field scattering patterns.

Further calculations for the far-field acoustic scattering of a first-order Bessel vortex beam are performed to investigate the effect of varying the half-cone angle on the 3D directivity plots. The results are shown in Fig. 4 for a size parameter  $ka = 5$  and for half-cone angle values ranging from  $\beta = 10^\circ$  to  $\beta = 80^\circ$  in increments of  $\delta\beta = 10^\circ$ . The arrows in the figure denote the direction of incident waves. In this example, the rigid sphere is shifted along the  $x$ -direction by an offset of  $0.1\lambda/2a$ . As observed in this figure, the symmetry in the 3D acoustic scattering directivity patterns in the far-field is further broken as  $\beta$  increases.

Moreover, the analysis of Eqs. (3), (4) and (14) for which the order  $m$  equals zero, show that the problem reduces to the scattering by a zero-order Bessel beam [31]. In addition, when both the order  $m$  and the half-cone angle  $\beta$  equal zero, the problem reduces to the scattering of plane progressive waves by a sphere [6].

Another example is provided in Fig. 5 in which the order of the beam  $m$  and the size parameter  $ka$  are varied. The computations are made for a size parameter  $ka = 10$  and a half-cone angle  $\beta = 45^\circ$  for the ease of comparison between the earlier computational

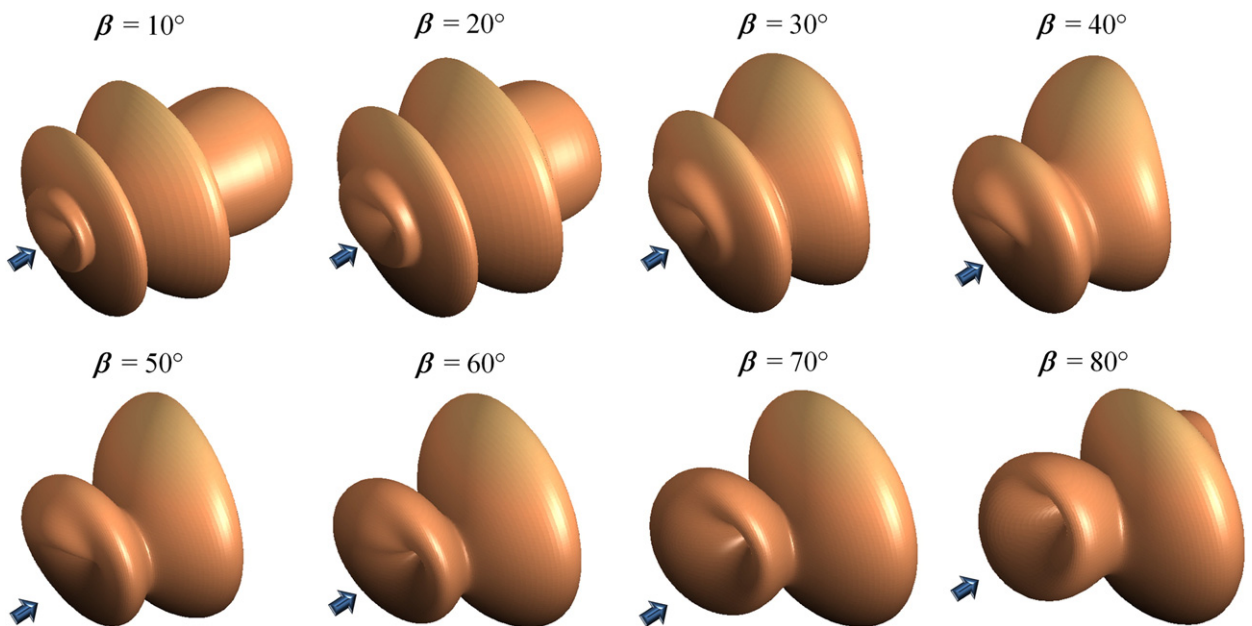
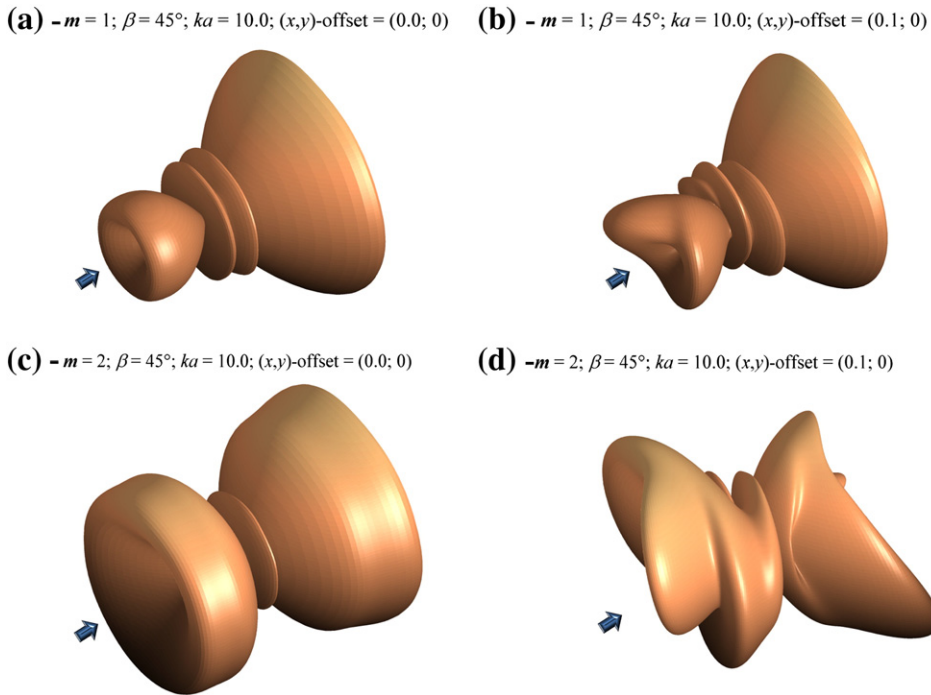


Fig. 4. The effect of varying the half-cone angle on the 3D directivity plots for the far-field acoustic scattering of a first-order Bessel vortex beam by a rigid sphere shifted along the  $x$ -direction by an offset of  $0.1\lambda/2a$ . The results are shown for a size parameter  $ka = 5$  and for half-cone angle values ranging from  $\beta = 10^\circ$  to  $\beta = 80^\circ$  in increments of  $\delta\beta = 10^\circ$ . The arrows denote the direction of incident waves.



**Fig. 5.** The effect of varying both the order  $m$  of the high-order Bessel (vortex) beam and the size parameter  $ka$  on the 3D directivity plots of the far-field acoustic scattering. The computations are made for a size parameter  $ka = 10$  and a half-cone angle  $\beta = 45^\circ$ . The arrows denote the direction of the incident waves. (a),(c) correspond to the directivity patterns from a rigid sphere centered on the axis of a first- and a second-order Bessel vortex beam, respectively. Shifting the sphere *off-axis* certainly affects the scattering patterns of the higher-order Bessel vortex beams as shown in (b),(d).

plots displayed in the previous figures. The arrows in the figure denote the direction of incident waves. Fig. 5-(a),(c) corresponds to the far-field acoustic scattering directivity patterns from a rigid sphere centered on the axis of a first- and a second-order Bessel vortex beam, respectively. Shifting the sphere *off-axis* certainly affects the scattering patterns of the high-order Bessel vortex beams as shown in Fig. 5-(b),(d). As observed previously, the symmetry in the directivity plots is broken as soon as the sphere is shifted off-axis.

In all the preceding examples, the sphere is considered rigid immovable (fixed) in water. However, other types of sphere's materials can be used, provided that their appropriate scattering coefficients are used. These scattering coefficients are available in standard literature reports for elastic [6], viscoelastic spheres [32], spherical bubbles [2], soft and solid spherical shells [33,34], coated spheres [35], and coated spherical shells [36,37]. It is important to note that elastic sphere exhibit resonances that affect the acoustic scattering response. Further studies on the off-axial scattering of Bessel beams by elastic spheres are in order and will be the subject of future investigations.

It is important to emphasize here that the theory is suitable to investigate the on- and off-axial acoustic scattering for any beam that *satisfies* the homogeneous (source-free) Helmholtz wave Eq. (1). It is understood that non-diffracting beams (such as the zero-order and high-order Bessel vortex and trigonometric beams of *integer* order) are adequate solutions of the homogeneous Helmholtz equation, and their acoustic scattering properties can be satisfactorily investigated through the present theory. Nevertheless, Bessel beams with a *fractional* order [38–40], Gaussian and their derivatives (i.e. Bessel–Gaussian, Hermite–Gaussian, Laguerre–Gaussian, Ince–Gaussian, Hypergeometric–Gaussian and others) are generally diffractive and prone to spreading as they propagate in space, thus they do not satisfy the homogeneous Helmholtz Eq. (1) [41]. Therefore, the scattering for such (diffractive) beams may be analyzed through diffraction theory [42]. There exist, however, exceptions [43] in which a focused Gaussian beam may be considered as a good approximation of a non-diffracting beam as long as the beam waist radius is *larger* than a wavelength (See section IV in [28], also [44]), thus the present theory may be applicable in that limit. This approximation may also apply for the case of a fractional Bessel beam in that same limit and outside the region of diffraction where the beam's symmetry may be preserved. However, this problem is nonetheless acknowledged and further investigations are needed to verify that assumption.

As mentioned previously, the size parameter  $ka$  should be judiciously chosen so as to avoid the zeros of the spherical Bessel function of the first-kind (given in Table 1) in Eq. (7). In doing so, possible numerical indeterminacies in the computation of the beam-shape coefficients are circumvented. Moreover, at high  $ka$  values (i.e.  $ka > 15$  and beyond), more sampling and discretization of the domain are needed to ensure proper convergence and hence guarantee the accuracy of the solution. With the aim of overcoming the numerical indeterminacies at the zeros of the spherical Bessel function of the first-kind and improving the computational time, other numerical models (analogous to the ones used in the scattering of electromagnetic radiation (i.e. light)

by a spherical particle [44–47]) that approximate the integral in Eq. (7) with an analytical converging function may be developed, however, they are outside the scope of the present research.

This present theory ignores dissipative and viscous effects as well as thermal damping. Therefore, the 3D directivity plots obtained here may only be directly relevant for cases where the radius of the sphere is larger than the oscillating thermo-viscous boundary layer produced by the incident wave in the vicinity of the sphere in a viscous fluid. This present analysis should assist the development of complete acoustic scattering models that include viscous effects, streaming and thermal damping that may be significant in highly viscous fluids.

#### 4. Conclusions

In summary, theoretical expressions for the incident and scattered acoustic pressure fields are derived, and for the first time, numerical calculations of near-surface and far-field acoustic scattering directivity 3D diagrams are presented for a rigid sphere placed off the axial center of a high-order Bessel vortex beam. A quantitative analysis of the scattering on- and off-axis is provided in both near- and far-field regions for various half-cone angle values. When the sphere is placed on-axis, the backward scattering and forward scattering vanish for all frequencies as shown previously [12]. However, when the sphere is shifted off the beam's axis of wave propagation, significant modifications in the directivity plots occur, which are determined by the amount of the shift, the choices for the frequency as well as varying the beam's order and its half-cone angle. In addition to providing physical insight into the off-axial scattering of acoustic Bessel vortex beams, this investigation would potentially assist in the development of the transverse acoustic radiation force and could provide a useful test of finite element codes for the evaluation of the scattering. Furthermore, the theory developed here can be extended to study the off-axial electromagnetic scattering by dielectric [48], conductive, or other types of spheres.

Supplementary materials related to this article can be found online at [doi:10.1016/j.wavemoti.2011.02.001](https://doi.org/10.1016/j.wavemoti.2011.02.001).

#### Acknowledgments

Dr. Mitri acknowledges the financial support provided through a Director's fellowship (LDRD-X9N9) from Los Alamos National Laboratory. Dr. Silva acknowledges the funding from a grant CNPq 150745/2007-9 (Brazilian agency). Disclosure: this unclassified publication, with the following reference no. LA-UR 11-00970, has been approved for unlimited public release under DUSA ENSCI.

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