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Transforming growth factor- β : innately bipolar

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Widely heralded for depressing ongoing immune responses, renewed interest in the proficiency by which transforming growth factor β (TGF- β) not only engages but also might drive an over-reactive innate response highlights its bipolar nature. Although coordination of the development and function of Treg, in addition to direct inhibition of cellular activation, are prominent pathways by which TGF- β controls adaptive immunity, paradoxically TGF- β appears instrumental in initiation of host responses to invasion through recruitment and activation of immune cells and persuasion of Th17 lineage commitment. Nevertheless, true to its manic-depressive behavior, new evidence links TGF- β with depression of innate cells, including NK cells, and by way of a potential bridge between mast cells and Treg. Disruption of the tenuous balance between these opposing actions of TGF- β underlies immunopathogenicity.

Addresses

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Introduction

The pioneering discovery of transforming growth factor β (TGF- β) by Roberts, Sporn and co-workers 25 years ago [1] presaged an incredible tale of discovery and insight into control of the immune system. Their initial observations and dogged pursuit of the structure and function of this new molecule provided the springboard from which it became appreciated that TGF- β might not only mediate neoplastic events, as initially described, but also have a role in immunity. On the shoulders of such giants began an epoch devoted to understanding how TGF- β choreographs host defense. Although inevitable that someone would have eventually identified this potent immunoregulatory molecule, it was this early discovery by Roberts and co-workers that ushered in an era of enlightenment, and, even today, TGF- β continues to mystify [2].

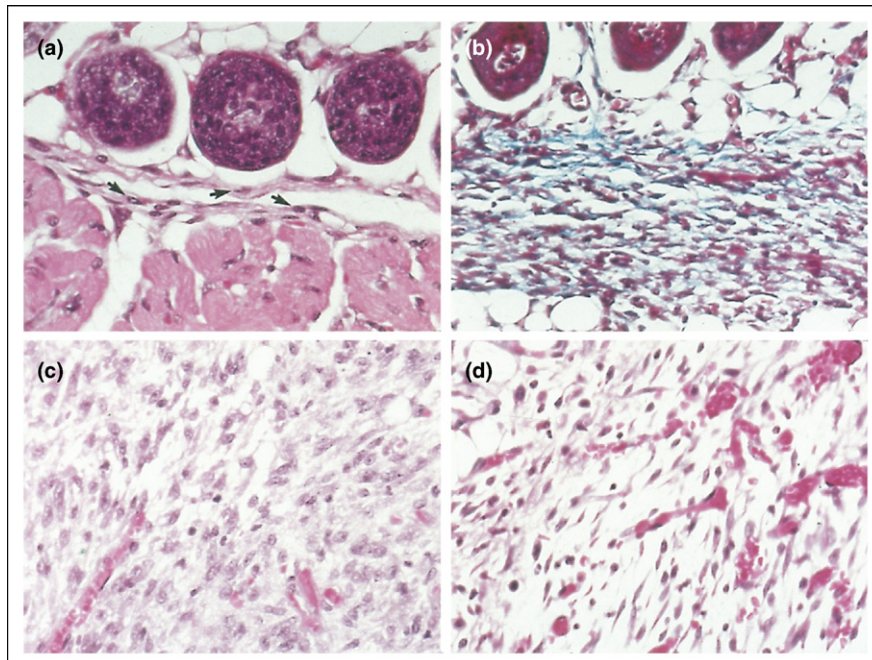
With the recent emphasis on the powerful immune-depressing actions of TGF- β , we might have transiently neglected its equally pivotal influence in engaging and agitating innate immune pathways; however, recent discoveries have brought us back to the future. Following on the heels of the identification and characterization of TGF- β [3], a 25 kD homodimeric cytokine secreted as a latent complex that requires proteolytic cleavage or structural modification to enable high affinity receptor recognition, evidence emerged that this newly described molecule might influence inflammatory cells [4]. More prescient than even Roberts imagined were her observations that local injection of TGF- β induced not only accumulation of fibroblasts and matrix but also appearance of leukocytes at the injection site (Figure 1), precipitating assessment of the possibility that TGF- β might be a recruitment factor for macrophages and neutrophils [5,6]. Endogenous expression of TGF- β at sites of injury or infection is consistent with accumulation of inflammatory cells and with dissuasion of leukocyte congregation pursuant to local delivery of TGF- β -neutralizing antibodies [7–9]. Exploring this same model of synovial inflammation, the first inklings of bipolarity began to materialize with evidence that TGF- β could, inexplicably at the time, both induce and inhibit arthritic lesions [2,8–10]. Exciting recent findings offer insight into this apparent paradox.

This review will emphasize the newly appreciated connections between TGF- β and cellular components of innate immunity, particularly mast cells and NK cells, and the unique ability of TGF- β to direct CD4⁺ T-cell lineage commitment to both proinflammatory Th17 cells and anti-inflammatory Treg.

TGF- β as an instigator

The initial recruitment of inflammatory cells that recognize, process and present antigen to lymphocytes is key to innate immunity and provides the bridge over which adaptive immunity is launched. An innate response to trauma or infection engages a sequence of events that might include clot formation, platelet aggregation and rapid mediator release, which forward-drive inflammation, remodeling and re-epithelialization [11]. Among the platelet secretome is TGF- β — one of the first agents on the scene — which jump-starts the ensuing response. Intuitively, release of a molecule at the onset of an innate response would not be anticipated to depress, but rather to excite, host defense. As sentinels, resident and recruited monocytes, macrophages and dendritic cells not only detect and combat invaders, apoptotic cells and cellular debris but also, through a complex interactive process, alert other immune cells to guide the repertoire

Figure 1



Local delivery of TGF- β initiates recruitment of inflammatory cells. The first evidence that TGF- β influenced cells of the immune system was revealed following local injection of TGF- β into newborn mouse skin. Mice (1 day old) were injected each day in the nape of the neck with 20 μ l of a solution of saline alone or with 20 μ l of a saline solution of TGF- β (800 ng). **(a)** Control injection (72 h). This section shows the interface below the reticular dermis, between the subcutaneous adipose tissue (containing hair follicles, top) and the underlying skeletal muscle (bottom). Only a small number of fibroblasts are usually found at this interface, as indicated by arrows (hematoxylin and eosin [H&E] \times 430). **(b)** TGF- β injection (48 h). The subcutaneous interface is expanded by fibroblasts, macrophages, granulocytes and newly formed collagen bundles (blue). (Masson trichrome; \times 260.) **(c)** TGF- β injection showing cellular infiltrate (72 h). The subcutaneous space is now further expanded by sheets of fibroblasts, endothelial cells and macrophages, surrounded by a collagenous network (H&E \times 430). **(d)** TGF- β injection showing new blood vessels (72 h). This section shows pronounced neovascularization, with newly formed capillary loops, surrounded by fibroblasts and occasional macrophages. Extravasated erythrocytes are also present (H&E \times 430). Reproduced with permission from [4].

of tolerance, ignorance or immune responsiveness. Initially, TGF- β amplifies the reaction via autocrine and paracrine upregulation of TGF- β and TGF- β receptors (TGF β Rs), and overexuberant release of TGF- β fuels manic inflammatory responses [2,7].

Following extravasation and emigration from blood vessels, TGF β R-bearing leukocytes respond to TGF- β ligands, which coordinate multiple and diverse cell autonomous and cell-cell interactions [12], through induction of kinase activity of the intracellular domain of TGF β RII. This oligomerizes with and phosphorylates TGF β RI kinase [13] to activate transcriptional co-regulators — Smad2 and Smad3 — and crosstalk with Smad-independent pathways. Receptor-associated Smad3 has been linked to leukocyte chemotaxis [12], and Smad2 or Smad3 partnering with common Smad4 enables nuclear translocation and transcriptional regulation of gene expression. Transduction of noncanonical pathways adds to the diversity of cell type-dependent and context-dependent molecular programs, related to activation status and a myriad of other contextual cues. In this regard, TGF- β increases FcR expression [14] and triggers cyto-

kines in immature or newly recruited monocytes [15], whereas, to activated leukocytes, TGF- β becomes inhibitory [16]. This bipolarity was once likened to a light switch: if it is off TGF- β will turn it on, whereas if it is on TGF- β will turn it off [17]; for the most part, this paradigm still holds.

TGF- β as an innate depressant

In addition to neutrophils and macrophages, an innate response, depending on the inciting agent, typically involves mast cells and natural killer cells (NK cells); evolving data provide insight into previously unrecognized links between TGF- β and these populations. Mast cells, which are responsive to injury and pathogens and were once thought to have a relatively predictable role in innate immunity, are perhaps no longer so predictable. Considered primary responders in allergy and asthma and also agents of autoimmune pathogenesis, these cells have, of late, become appreciated for their plasticity in producing both pro- and anti-inflammatory mediators. Whereas TGF- β stimulates early mast cell recruitment [18], it characteristically has differentiation status-dependent effects on IgE-mediated release of effector molecules,

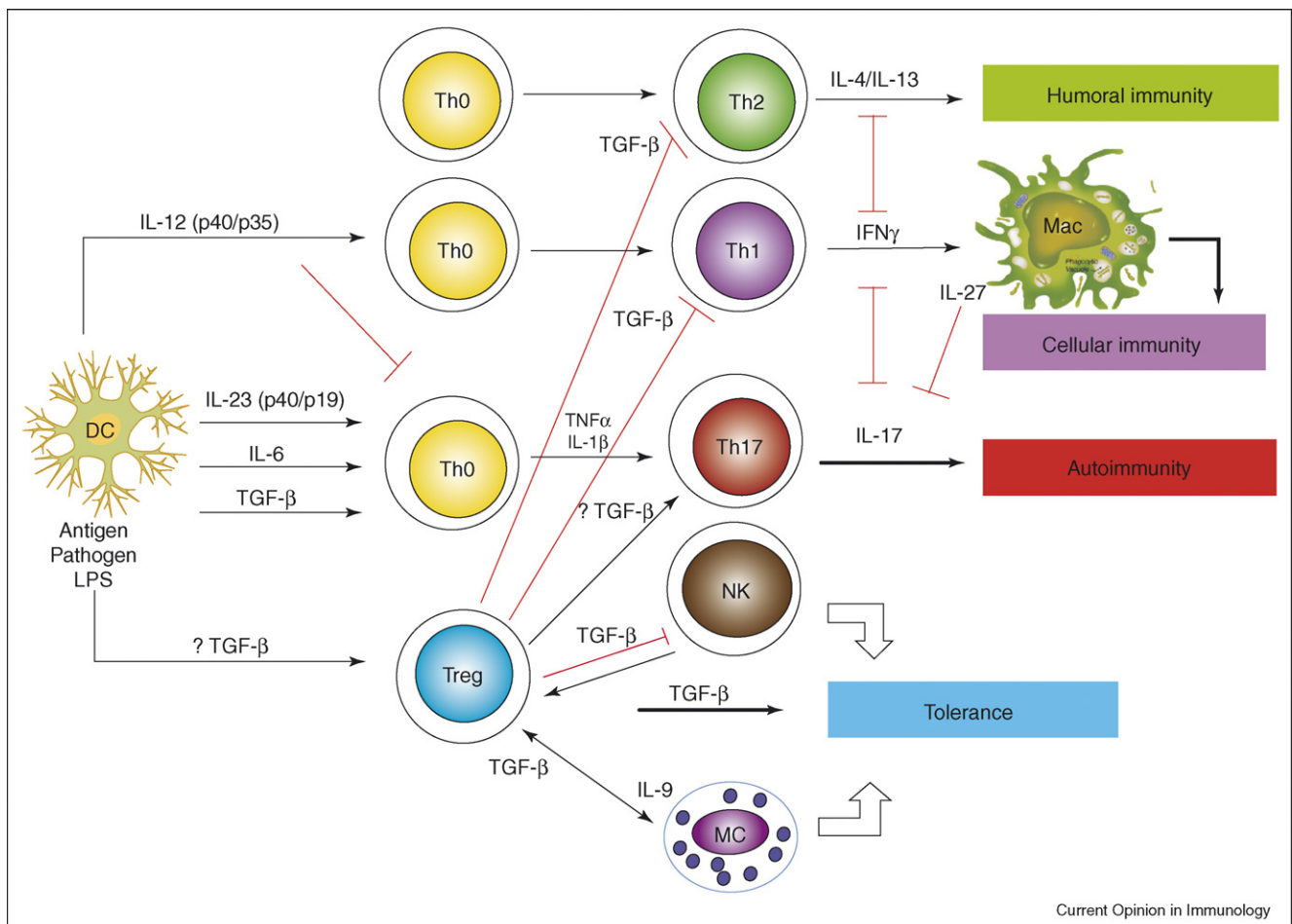
such as histamine, proteases and tumor necrosis factor α (TNF α). In turn, mast cells produce TGF- β , amplifiable by the Th2 cytokine interleukin (IL)-9 [19], and, because TGF- β and chymase are co-stored in secretory granules, degranulation might coordinately trigger secretion and activation of TGF- β [20].

Of considerable intrigue is whether this TGF- β forges the newly uncovered partnership between mast cells and regulatory T cells (Treg), which are instrumental in controlling peripheral tolerance (Figures 2 and 3). Antigen-stimulated thymic-derived and peripheral TGF- β -converted CD4⁺CD25⁺Foxp3⁺ Treg [21] —bastions of suppression of effector T-cell activation, proliferation and function to curtail an immune response [22,23]— also produce high levels of IL-9, which influences not only mast cell growth but also their generation of cytokines, proteases and IgE receptors [24^{••}]. Co-localizing mast

cells, in turn, have the power to impact Treg-mediated allograft tolerance, possibly through TGF- β . Confirming the novel contribution of this dynamic duo, tolerance mechanisms abrogated in mast cell-deficient mice were restored following injection of mast cells [24^{••}]. While unique in identifying a connection between mast cells and Treg, these studies also implicate Treg much earlier in an immune response than usually appreciated. Such a concept is in keeping with recent demonstrations that Treg block innate NK cell activity [25^{••}]. NK cells vehemently respond to viruses, other pathogens and tumor cells with secretion of cytolytic molecules and interferon (IFN) γ , and Treg intervene in an unanticipated contact-dependent way [25^{••},26[•]].

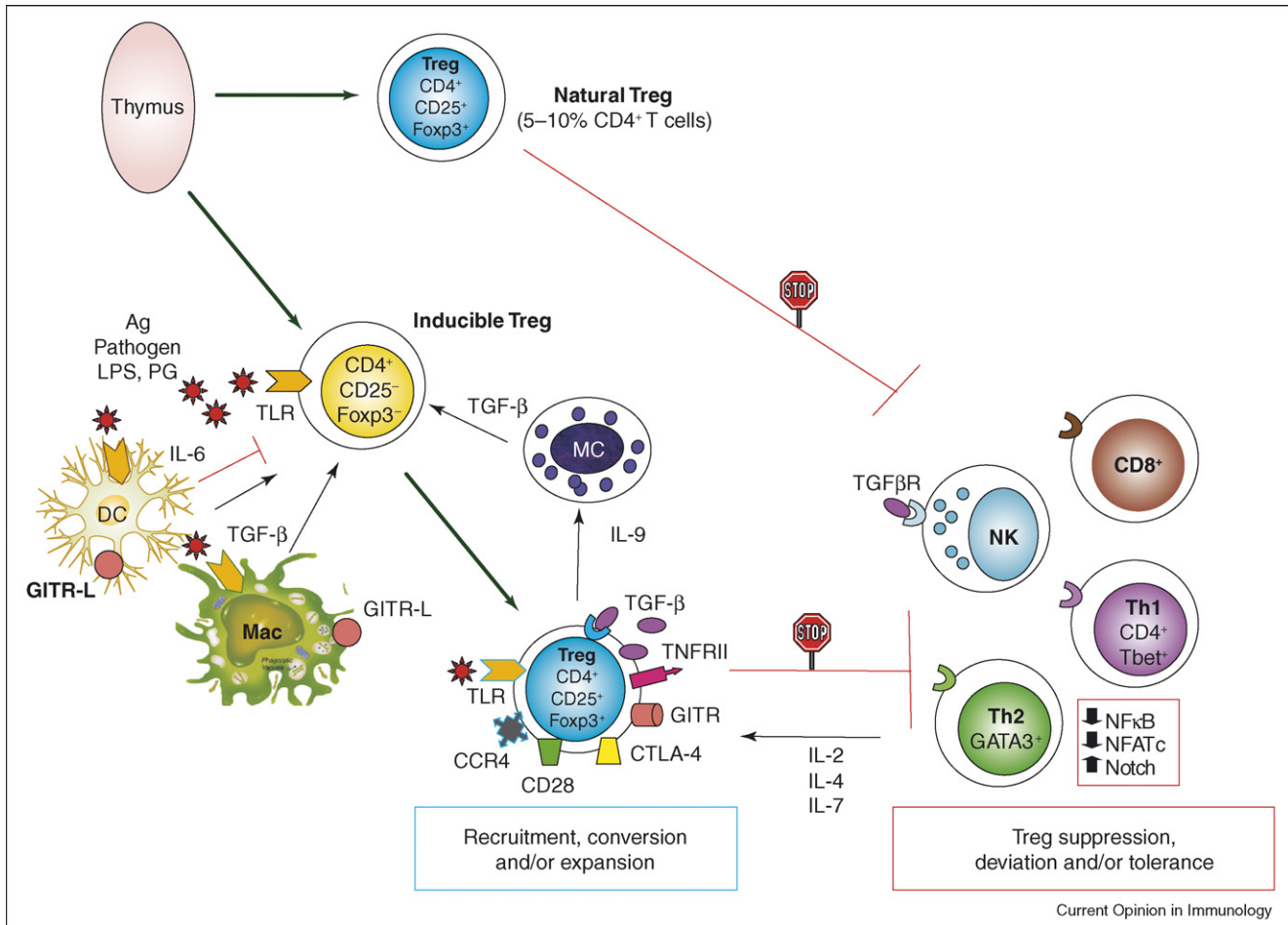
Certainly, involvement of innate immune mechanisms in regulation of acquired immunity has been demonstrated in the generation and function of Treg [27[•],28^{••}], but the

Figure 2



TGF- β biases T-cell lineage commitment. Multiple cell–cell interactions, soluble factors and cross-regulatory mediators impact the lineage specification of T lymphocytes; one of these is TGF- β , which might inhibit IL-12-mediated Th1 and IL-4-dependent Th2 lineages while driving CD4⁺CD25⁺ Treg conversion of CD4⁺CD25⁻ T cells through upregulation of the transcription factor Foxp3. TGF- β is also essential in commitment of naïve T cells to the IL-17-generating Th17 lineage, in collaboration with additional APC-derived cytokines. TGF- β and Treg, through TGF- β -linked pathways, cross-regulate Th1 and Th2 lymphocyte activation, proliferation and function.

Figure 3



TGF-β influences CD4⁺CD25⁺Foxp3⁺ regulatory T cell development and function. CD4⁺CD25⁺Foxp3⁺ Treg, once thought to be derived only from the thymus in limited quantities (5–10% of CD4⁺ T cells), are now recognized as a peripherally inducible population in which CD4⁺CD25⁻ T cells can, under the correct influences, including products of dendritic cells and macrophages, be recruited, converted and/or expanded into a functionally suppressive population. The identification of an ever-expanding repertoire of cell surface receptors reveals vulnerability to a plethora of ligands, including TGF-β. Recognition of TLRs on Treg links this population to innate immunity, in addition to their complement of co-regulatory molecules (GITR, CTLA-4, CD28), TNFR11 and other cytokine receptors. Expression of CCR4 probably favors targeting and recruitment of Treg to further increase their numbers at sites of immune reactivity. Functional suppression of NK cells and effector T cell functions might be related to altered NFκB, NFATc and/or Notch1 signaling pathways.

reverse — that is, Treg targeting of innate cells — is striking. Cell-bound active TGF-β of autocrine/paracrine origin provides a molecular switch central to the complex physiology by which Treg mediate depression of TGFβR⁺ T effector and NK cells [21,25^{••},26[•],29], potentially entwined with nuclear transcription factor-κB (NF-κB), nuclear factor of activated T cells (NFATc) and/or Notch1–hairy and enhancer of split 1 (Notch1–HES1) signaling [30^{••}]. Whereas recipient effector T cells augment their TGFβR upon antigen activation to become more receptive to Treg–TGF-β inhibitory signals, NK cells constitutively express a full complement of TGFβR and are vulnerable to TGF-β-mediated suppression even in the innate or nonactivated state, as reflected by Smad phosphorylation and functional inability to eliminate

tumor cells [25^{••},26[•]]. Thus, TGF-β is not only inhibitory in adaptive immune sequelae but can also run roughshod over innate immunity.

Innately satisfying then was the recognition that Treg express Toll-like receptors (TLRs) for pathogen-associated molecular patterns, enabling them to sense and to be influenced by infectious and environmental TLR ligands. The TLR repertoire of Treg minimally includes TLR1, TLR2 and TLRs 4–8 [27[•],31]. TLR2 ligands might transiently promote Treg expansion while abrogating their suppressive powers to unhinder an antimicrobial response, and at the same time hold the expanded Treg in reserve to restore homeostasis post-containment [28^{••}]. Another Treg-dampening mechanism inherent in

innate, as well as in adaptive, responses includes TNF-TNFR_{II} interactions, recently linked to downmodulation of Foxp3 [32]. Consequently, beyond TGF- β , a myriad of influential innate forces impact recruitment, activation, survival, conversion and/or expansion of Treg, including local cooperative actions of pathogens, cytokines and antigen-presenting cells (APCs) (Figure 3). Whether locally derived and/or rapidly recruited by way of targeting molecules, such as CCR4 [33^{••}], Treg suppressive manoeuvres become necessary and, usually, sufficient to limit inflammatory responses once the inciting agent is subdued, thereby enabling leukocytes to channel the response toward repair, restoring homeostasis and integrity of afflicted tissues. However, persistence of antigen tilts the balance away from resolution towards an adaptive response.

TGF- β in the transition from innate to adaptive immunity

Through cell-cell interactions and generation of a plethora of regulatory factors, such as TGF- β , innate cells coordinate protection of the host from infection, neoplastic cells and other foreign antigens. Although programmed to recognize pathogen-associated molecular patterns, initiating APC phagocytosis and degradation of microbes, TLRs integrate signaling pathways [34] embedded in a contextual framework structured around kinetics, costimulatory molecules and other factors, and their differential cytokine production foments subsequent responses. Two early cytokines, heterodimeric IL-12 and IL-23, which share an IL-12p40 subunit, orchestrate separate as well as cross-regulatory pathways in NK and T lymphocyte responses [35]. Although not mutually exclusive, Gram-positive microbial recognition by APCs through TLR2 typically favors production of IL-23 [36], whereas Gram-negative bacteria evoke IL-12 by way of TLR4 [35]. However, local macrophage IL-10 production [37] or TGF- β might subvert the transition to adaptive immunity. Once released, IL-12 drives naïve T cells into Th1 IFN γ -producing cells (Figure 2), which are essential to controlling infections, and APC-derived IL-23 facilitates survival of a population of CD4⁺ T cells that express proinflammatory IL-17 (Th17) [38^{••}].

Not to be ignored, new evidence identifies TGF- β as the protagonist in Th17 lineage commitment; it does this with support from IL-6 [38^{••},39^{••},40^{••}], but with IL-27 as a counterbalance and adversary to TGF- β [41^{••},42^{••}]. Th17-secreted IL-17A and IL-17F induce rapid recruitment of polymorphonuclear leukocytes in acute infections or wounds and promote further release of proinflammatory and neutrophil-mobilizing cytokines (GM-CSF, IL-6 and TNF), which sustain Th17 and might eventuate in chronic autoimmune and inflammatory disease; this is all consistent with early studies [5–10], but with a new Th17 mod look. Although activated and/or uncontrolled Th1 cells were typically blamed for evolution of autoimmune lesions, Th17 cells have emerged as

the culprits, partially exonerating Th1 cells. This is consistent with prior studies in which exogenous IFN γ , which cross-regulates IL-17, actually decreased chronic inflammatory (arthritic) disease [43]. Nonetheless, an either/or situation does not bode well and, whereas excess IL-17 drives autoimmunity, an overabundance of IFN γ is also pathogenic; this is evident in TGF- β 1 null mice, in which the paucity of Th17 cells [39^{••}] enables lethal overproduction of IFN γ [44]. Unraveling this link between TGF- β and Th17 lineage specification provides a long-sought explanation of the mechanism by which TGF- β can drive inflammation and how TGF- β mania provokes chronic inflammatory and autoimmune pathology.

B cells and T cells, in the context of co-stimulatory molecules and cytokines, recognize antigen by distinct routes, with T cells typically dependent upon APCs to present antigenic peptides to T cells within organized lymphoid tissues. During this close encounter in an immunological synapse [45], APCs might proffer TGF- β as a controller along with antigen. Although TGF- β supports T-cell differentiation, survival and expansion [21,38^{••},39^{••},46], during a lull in TGF- β , stimulated T cells might be unleashed to differentiate into Th1, Th2 and/or NKT cells. As recent evidence [47] reveals a related activation-inducing encounter between B cells and antigen-bearing dendritic cells, such an encounter can enhance receptivity to T cell help for antibody production and might provide a brief taste of TGF- β . Early work connected TGF- β with generation of antibody-secreting B cells, particularly isotype switching to IgA [48]. The combined actions of TGF- β on T and B cells, whether cell autonomous and/or dependent upon cell-cell interactions, might shape the outcome of immune homeostasis and/or immunopathogenesis.

TGF- β -dependent adaptive depression

The adaptive immune response is a powerful tool for defense against invading pathogens, yet can get mired in molecular mimicry and/or response to self-antigens. Although multiple peripheral tolerance mechanisms (Treg, Tr1, Th3 and NKT), many of which are dependent on TGF- β , exist to protect against such deviant behavior, the existence of autoimmune and chronic infectious diseases indicates that such mechanisms are not infallible. Regulation of early onset autoimmune lesions is dependent upon TGF- β , cytotoxic T lymphocyte antigen 4 (CTLA-4) and Foxp3⁺ Treg, because mice that lack these molecules develop aggressive and fatal autoreactive immunity [23,49]. Transgenic mice that express a dominant negative form of the TGF β R_{II} under a T cell specific promoter exhibit a milder delayed development of autoimmune pathology [50,51], but all these models point to TGF- β as the mastermind of immune homeostasis.

Conclusions

Although much has been learned, TGF- β continues to confound our understanding of immune regulation

through its influential diversity in the development, survival and homeostasis of APCs, mast cells, NK, CD4⁺, CD8⁺ and NKT cells. How can one molecule do so much? The recent identification of a role for TGF- β in driving Th17 lineage commitment provides a new piece of the puzzle, but it is likely that this is not the final one. Clearly not acting in solitaire, TGF- β is crucial to development of immunity and is often associated with exaggerated immune excitability. Conversely, TGF- β is key in restraining essentially all innate and adaptive immune cells, particularly self-reactive T cells, to restore immune homeostasis and to prevent autoimmunity. Augmentation of TGF- β and/or increasing Treg might facilitate a beneficial outcome in chronic and autoimmune diseases. Overly depressive TGF- β in neoplastic and infectious diseases has prompted exploration of immunotherapies targeting TGF- β that have achieved success in animal models and are being considered in humans [52]. Nonetheless, one must not lose sight of the bipolar nature of TGF- β in the design and/or implementation of such strategies. Clearly, when it comes to TGF- β , one size does not fit all and tailored therapies will be required. The current renaissance in deciphering pro-inflammatory actions of TGF- β coupled with its already appreciated inhibitory repertoire will enable meaningful pursuit of these goals. (See Box 1.)

Box 1 In memoriam

This review is dedicated to the memory of Anita Bauer Roberts (1942–2006) without whose discovery of TGF- β in 1981 and continued insightful exploration of its functions in health and disease throughout her career, this field would not have advanced nor would TGF- β have been recognized for its potential as a therapeutic agent and target. Her ground-breaking scientific endeavors, always at the forefront, coupled with her myriad roles as colleague, mentor, collaborator, friend, wife, mother and grandmother have left a huge void. Before her recent death, Roberts remained awestruck by the incredible extent to which TGF- β impacts so many fundamental biological processes, from embryogenesis, morphogenesis and tissue repair to neoplasia and, especially, in the control of physiologic and aberrant immune pathways. What a legacy she leaves!

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