

New evidence for 5f covalency in actinocenes determined from carbon K-edge XAS and electronic structure theory†

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Evidence for metal–carbon orbital mixing in thorocene and uranocene was determined from DFT calculations and carbon K-edge X-ray absorption spectra (XAS) collected with a scanning transmission X-ray microscope (STXM). Both the experimental and computational results showed that the 5f orbitals engaged in significant δ -type mixing with the $C_8H_8^{2-}$ ligands, which increased as the 5f orbitals dropped in energy on moving from Th^{4+} to U^{4+} . The first experimental evidence for extensive ϕ -orbital interactions has been provided by the C K-edge XAS analysis of thorocene; however, ϕ -type covalency in uranocene was negligible. The results highlighted two contrasting trends in orbital mixing from one pair of highly symmetric molecules, and showed that covalency does not increase uniformly for different molecular orbital interactions with later actinides.

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Introduction

Actinide sandwich complexes – “actinocenes”, $(C_8H_8)_2An$ ($An = Th, Pa, U, Np, Pu$) – have played a central role in the development of electronic structure models in organoactinide chemistry.^{1–4} Prior experimental and theoretical studies of $(C_8H_8)_2An$ have provided considerable evidence of covalent mixing between the ligands and metal-based 5f and 6d orbitals.^{5–18} Much of our current understanding of actinocene bonding and orbital mixing is based on earlier gas phase photoelectron spectroscopy (PES) studies, which probed the occupied molecular orbitals.^{9,19–21} Covalency in the empty or partially occupied 5f and 6d orbitals has not been studied extensively, despite the foundational role of actinocenes in the development of ligand field theory for organoactinide complexes.

Recent advances in soft X-ray synchrotron radiation have shown that studies of empty or partially occupied 5f and 6d orbitals are within reach using ligand K-edge X-ray absorption spectroscopy (XAS). The technique measures ligand centered $1s \rightarrow np$ ($n =$ principle quantum number) transitions, whose

intensities are proportional to coefficients of orbital mixing between metals and ligands.²² Applications of ligand K-edge XAS to quantify orbital mixing in M–Cl and M–S bonds is well-established for transition metals²² and actinides,^{23,24} and recent developments in C and O K-edge XAS has enabled quantitative examinations of a variety of metal interactions with light atoms in molecular systems.^{25–29}

In this study, C K-edge XAS and time-dependent density functional theory (TDDFT) was used to evaluate the electronic structures of thorocene, $(C_8H_8)_2Th$, and uranocene, $(C_8H_8)_2U$. These molecules were ideal for evaluating the relative role of 5f *versus* 6d covalency in actinide bonding because of their variable electron count and because hybridization of the 5f and 6d orbitals is symmetry-forbidden in the D_{8h} point group. The results were consistent with earlier synthetic,^{8,30,31} spectroscopic, and theoretical analyses of actinocenes, and when taken together provide a comprehensive bonding picture for both the occupied and unoccupied actinide orbitals.^{5,8,9,12–17} Experimental evidence for an unusual ϕ -bonding interaction in $(C_8H_8)_2Th$ is also discussed.

Results and discussion

Ground state electronic structure and molecular orbital description

Before discussing the C K-edge XAS spectra in detail it is instructive to provide a framework for evaluating the molecular orbital interactions in each complex. Because the electronic structures for actinocenes are well established, this discussion will focus on the metal-based unoccupied orbitals that are relevant to the C K-edge XAS experiment. For the purposes of this

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study, we define covalency in bonding as mixing between two orbitals, in accordance with recently published studies.¹⁷ The currently accepted actinocene bonding model^{15,8,9,12-18} is consistent with results of our DFT calculations on $(C_8H_8)_2Th$ and $(C_8H_8)_2U$ as shown in Fig. 1 and 2 and Table 1. In general, the DFT calculations showed that bonding in the $(C_8H_8)_2An$ compounds involved orbital mixing between the sixteen $(C_8H_8)_2^{4-}$ orbitals that are C-C π -bonding (perpendicular to the individual ring planes) and the metal 5f and 6d orbitals. The actinide 6d valence orbitals interacted to form molecular anti-bonding orbitals of $2a_{1g}$ (6d- σ), $2e_{1g}$ (6d- π), and $2e_{2g}$ (6d- δ) symmetries, while the actinide 5f valence orbitals were split into the $2a_{2u}$ (5f- σ), $2e_{1u}$ (5f- π), $2e_{2u}$ (5f- δ), and $1e_{3u} + 2e_{3u}$ (5f- ϕ) molecular orbitals. In accordance with previous theoretical studies,^{12,33,34} our DFT calculations confirmed a dominant role in bonding for the gerade and ungerade e_2 (δ) orbitals and showed that the a (σ) and e_1 (π) orbitals were engaged in significantly less mixing. It has been proposed that the origin of this increased mixing is related to the directionality of the lobes of the $2e_{2u}$ (5f- δ) orbitals, which pointed more towards the carbon atoms of the $C_8H_8^{2-}$ rings.⁵ The DFT calculations also revealed an unusual case of ϕ -bonding in the bonding $1e_{3u}$ and anti-bonding $2e_{3u}$ (5f- ϕ) orbitals, which has been discussed in previous theoretical reports.³⁵

C K-edge and $N_{5,4}$ -edge measurements

Obstacles associated with measuring the C K-edge XAS spectra of nonconducting molecular compounds were recently

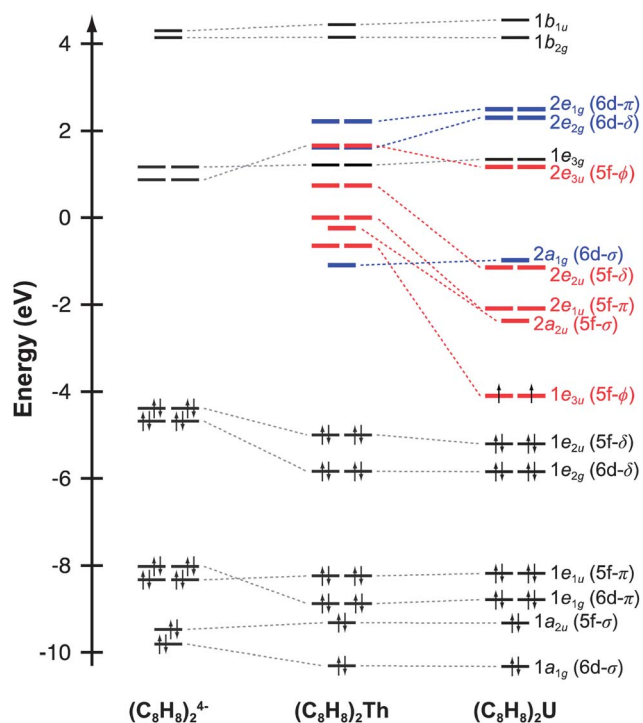


Fig. 1 Quantitative molecular orbital diagram showing density functional theory (DFT) calculated energies for an idealized $(C_8H_8)_2^{4-}$ fragment in D_{4h} symmetry (left) in comparison to those for $(C_8H_8)_2Th$ (middle) and $(C_8H_8)_2U$ (right). For $(C_8H_8)_2U$, alpha spin-orbital energies are used.

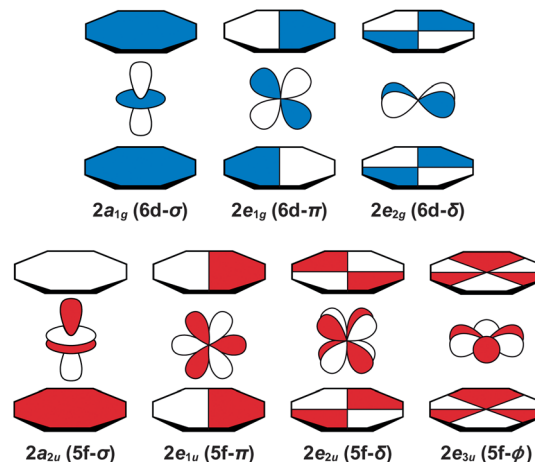


Fig. 2 Representations of the 5f- and 6d-antibonding interactions in actinocenes. For a complete table of all the virtual Kohn-Sham orbitals for $(C_8H_8)_2Th$ and $(C_8H_8)_2U$, refer to the ESI.†

addressed in the study of M-C bonding for Group 4 bent metallocene dichlorides, $(C_5H_5)_2MCl_2$ ($M = Ti, Zr, Hf$).²⁹ Using that methodology, small droplets of $(C_8H_8)_2Th$ and $(C_8H_8)_2U$ were

Table 1 Calculated energies^a and atomic compositions^b of selected virtual molecular orbitals for $(C_8H_8)_2Th$ and $(C_8H_8)_2U$. The lowest energy molecular orbitals given are the antibonding LUMO for $(C_8H_8)_2Th$ and the doubly occupied SOMOs for $(C_8H_8)_2U$

Orbital	Energy (eV)	MO (DFT, %)					
		C 2p	C 2s	M 6d	M 5f	M 7s	M 7p
$(C_8H_8)_2Th$							
$1b_{1u}$ (An-C nb)	4.40	0.98	0	0	0	0	0
$1b_{2g}$ (An-C nb)	4.10	0.97	0	0	0	0	0
$2e_{1g}$ (6d- π)	2.18	0.10	-0.18	0.71	0	0	0
$2e_{3u}$ (5f- ϕ)	1.62	0.49	0	0	0.47	0	0
$2e_{2g}$ (6d- δ)	1.57	0.23	-0.02	0.75	0	0	0
$1e_{3g}$ (An-C nb)	1.17	0.96	-0.01	0	0	0	0
$2e_{2u}$ (5f- δ)	0.69	0.13	0	0	0.86	0	0
$2e_{1u}$ (5f- π)	-0.05	0.01	0.01	0	0.91	0	0.05
$2a_{2u}$ (5f- σ)	-0.29	0.03	0	0	0.88	0	0
$1e_{3u}$ (5f- ϕ)	-0.69	0.33	0	0	0.65	0	0
$2a_{1g}$ (6d- σ)	-1.13	0.03	0.02	0.73	0	0.14	0
$(C_8H_8)_2U$							
$1b_{1u}$ (An-C nb)	4.54	0.98	0	0	0	0	0
$1b_{2g}$ (An-C nb)	4.14	0.94	0	0	0	0	0
$2e_{1g}$ (6d- π)	2.50	0.13	-0.13	0.44	0	0	0
$2e_{2g}$ (6d- δ)	2.30	0.22	-0.02	0.74	0	0	0
$1e_{3g}$ (An-C nb)	1.35	0.96	-0.01	0	0	0	0
$2e_{3u}$ (5f- ϕ)	1.17	0.89	0	0	0.07	0	0
$2a_{1g}$ (6d- σ)	-0.97	0.02	0	0.77	0	0.03	0
$2e_{2u}$ (5f- δ)	-1.14	0.28	0	0	0.71	0	0
$2e_{1u}$ (5f- π)	-2.09	0.02	0	0	0.97	0	0
$2a_{2u}$ (5f- σ)	-2.37	0.03	0	0	0.96	0	0
$1e_{3u}$ (5f- ϕ)	-4.10	0.06	0	0	0.94	0	0

^a Alpha spin-orbital energies are reported for $(C_8H_8)_2U$. ^b The use of a non-orthogonal basis set can cause Mulliken analysis to have nonphysical results such as compositions >100% or <0.³²

allowed to evaporate on Si_3N_4 windows, forming thin crystallites that enabled transmission XAS measurements that were within the linear regime of the Beer–Lambert law. A scanning transmission X-ray microscope (STXM) was utilized to record images, elemental maps, C K-edge XAS, and actinide $\text{N}_{5/4}$ -edge spectra.³⁶ Normal contrast images and elemental maps of representative crystals of $(\text{C}_8\text{H}_8)_2\text{Th}$ and $(\text{C}_8\text{H}_8)_2\text{U}$ used in the XAS experiments have been provided in Fig. 3.

Spectra at the $\text{N}_{5,4}$ -edges were collected because they probed valence 5f orbitals directly with electronic excitations from core 4d orbitals,^{32,37–39} and because previous studies have shown that the branching ratio of N_5 and N_4 peaks can be sensitive to changes in valence electronic structure.^{40–43} The Th and U $\text{N}_{5/4}$ -edge XAS spectra and elemental maps also provided confidence that pure $(\text{C}_8\text{H}_8)_2\text{An}$ particles had been selected for subsequent C K-edge XAS analyses. Background-subtracted actinide $\text{N}_{5,4}$ -edge XAS spectra for $(\text{C}_8\text{H}_8)_2\text{Th}$ and $(\text{C}_8\text{H}_8)_2\text{U}$ have been included in Fig. 4. For each $(\text{C}_8\text{H}_8)_2\text{An}$ complex, the major contribution to the $\text{N}_{5,4}$ -edge absorption intensities likely arose from dipole allowed $4d^{10}5f^n \rightarrow 4d^95f^{n+1}$ transitions (Th, $n = 0$; U, $n = 2$). These transitions were split by 36.7 (Th) and 41.3 eV (U) into two primary actinide N_5 ($4d_{5/2}$) and N_4 ($4d_{3/2}$) edges due to spin–orbit coupling of the core–hole. The actinide N_5 and N_4 -edge transitions are found at 675.3 and 712.0 eV for $(\text{C}_8\text{H}_8)_2\text{Th}$ and 737.3 and 778.6 eV for $(\text{C}_8\text{H}_8)_2\text{U}$. On moving from $(\text{C}_8\text{H}_8)_2\text{Th}$ to $(\text{C}_8\text{H}_8)_2\text{U}$ the N_5 peak widths at half-maxima broadened from 4.0 eV to 5.2 eV while the N_4 peaks broadened from 4.4 to 5.1 eV. As shown by a graphical analysis of the 2nd derivative of the raw spectra (see ESI†),⁴² the branching ratio $A_5/(A_5 + A_4)$, where A_5 and A_4 are the areas under the N_5 and N_4 peaks, increased from 0.62

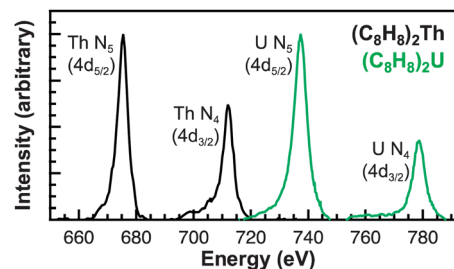


Fig. 4 Thorium and uranium $\text{N}_{5,4}$ -edge ($4d_{5/2,3/2}$) XAS data obtained in transmission for $(\text{C}_8\text{H}_8)_2\text{Th}$ (black trace) and $(\text{C}_8\text{H}_8)_2\text{U}$ (green trace).

for $(\text{C}_8\text{H}_8)_2\text{Th}$ ($5f^0$) to 0.67 for $(\text{C}_8\text{H}_8)_2\text{U}$ ($5f^2$). This increase is consistent with previous spectroscopic and theoretical studies that showed larger branching ratios with greater 5f-orbital occupancies for the early actinide metals Th, U, Np, Pu, and Am.^{40,44} Because the $\text{N}_{5,4}$ -edges were primarily reflective of multiplet structure, additional insights into ligand field strength and effects from covalency were sought using C K-edge XAS measurements.

The background subtracted and normalized C K-edge XAS spectra for the $(\text{C}_8\text{H}_8)_2\text{An}$ are provided in Fig. 5. The second derivative of the spectra suggested the presence of several pre-edge peaks, which were indicative of actinide 5f and 6d orbital mixing with the cyclooctatetraene dianion C–C π -orbitals (Fig. 5 and ESI†). The peaks fell into two distinct groupings centered at roughly 285.5 eV and 287 eV. They also moved as a pair to lower energy and decreased in intensity upon moving from Th to U. Though both groupings were asymmetric and comprised of multiple unique transitions, the groupings

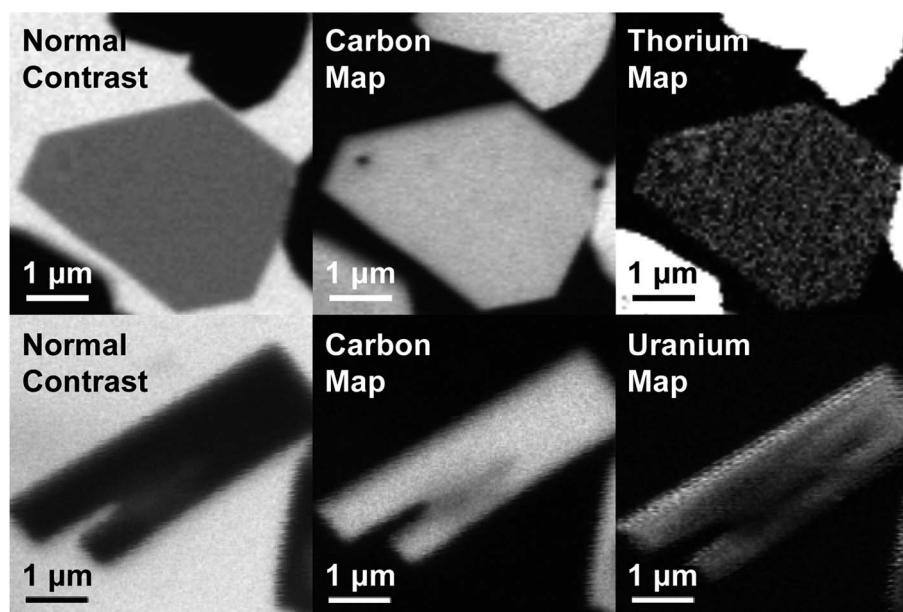


Fig. 3 Three images each of the crystals of $(\text{C}_8\text{H}_8)_2\text{Th}$ (top row) and $(\text{C}_8\text{H}_8)_2\text{U}$ (bottom row) from which C K-edge and $\text{N}_{5/4}$ -edge XAS data were obtained: normal contrast images obtained with a photon energy of 300 eV (left column); elemental distribution maps obtained by subtraction using photon energies of 286–276 eV (middle top), 292–282 eV (middle bottom), 675–660 eV (right top) and 738–718 eV (right bottom) with regions containing carbon, thorium or uranium shown as white using a standard grayscale.

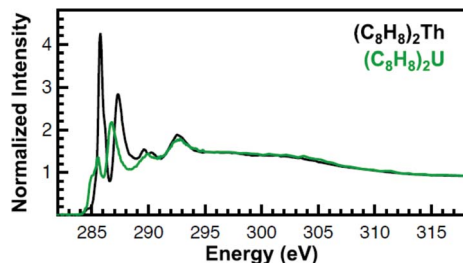


Fig. 5 C K-edge XAS data obtained in transmission for $(\text{C}_8\text{H}_8)_2\text{Th}$ (black trace) and $(\text{C}_8\text{H}_8)_2\text{U}$ (green trace).

observed for $(\text{C}_8\text{H}_8)_2\text{U}$ were broader as a possible consequence of increased f–f electron repulsion and/or spin–orbit coupling. At this stage, it was not possible to deconvolute the spectra and assign intensity to unique transitions because multiple curve fitting models could be derived. In the absence of a well-defined model of the experimental spectra, general aspects of the spectral profiles and transition energies will be discussed.

TDDFT spectral simulations

Because of the complexity of both experimental spectra, TDDFT calculations were necessary to guide spectral interpretations (Fig. 6 and Table 2). The TDDFT calculations for the $(\text{C}_8\text{H}_8)_2\text{Th}$ and $(\text{C}_8\text{H}_8)_2\text{U}$ compounds suggested that transitions involving the actinide 6d orbitals were unlikely to be accurately resolved in the experimental spectra. The calculated transitions to the $2a_{1g}$ (6d- σ), $2e_{1g}$ (6d- π), and $2e_{2g}$ (6d- δ) orbitals (285 to 289 eV) exhibited little oscillator strength. In addition, transitions involving $2e_{1g}$ (6d- π), and $2e_{2g}$ (6d- δ) orbitals were calculated at high energy, above the 2nd main pre-edge peak, and in a low intensity valley that likely coincided with the onset of the edge.

Table 2 Comparison of experimental and calculated energies (eV)^a for transitions determined using C K-edge XAS data and TDDFT calculations for $(\text{C}_8\text{H}_8)_2\text{Th}$ and $(\text{C}_8\text{H}_8)_2\text{U}$

Final state orbital	Transition energies (eV)	
	XAS	TDDFT
$(\text{C}_8\text{H}_8)_2\text{Th}$		
$1b_{1u} + 1b_{2g}$ (An-C nb)	290.2	290.6
$2e_{1g}$ (6d- π)	—	289.1
$2e_{3u}$ (5f- ϕ)	288.7	288.5
$2e_{2g}$ (6d- δ)	288.7	288.3
$1e_{3g}$ (An-C nb)	287.4	287.5
$2e_{2u}$ (5f- δ)	287.2	287.2
$2e_{1u}$ (5f- π)	—	286.6
$2a_{2u}$ (5f- σ)	—	286.4
$1e_{3u}$ (5f- ϕ)	285.7	285.9
$2a_{1g}$ (6d- σ)	285.3	285.6
$(\text{C}_8\text{H}_8)_2\text{U}$		
$1b_{1u} + 1b_{2g}$ (An-C nb)	289.8	290.2
$2e_{1g}$ (6d- π)	—	288.9
$2e_{2g}$ (6d- δ)	287.9	288.6
$1e_{3g}$ (An-C nb)	287.0	287.3
$2e_{3u}$ (5f- ϕ)	286.7	286.7
$1e_{3u}$ (5f- ϕ)	285.5	285.7
$2a_{1g}$ (6d- σ)	285.5	285.6
$2e_{2u}$ (5f- δ)	284.9	284.9
$2e_{1u}$ (5f- π)	—	284.1
$2a_{2u}$ (5f- σ)	—	283.8

^a Experimental values were determined from a plot of the 2nd derivative of the spectrum (see ESI). Calculated values were taken from TDDFT simulated spectra and shifted by approximately +10 eV (see Experimental).

For $(\text{C}_8\text{H}_8)_2\text{Th}$, the pattern of C 1s \rightarrow 5f transition energies was closely related to the relative orbital ordering described from the ground-state DFT calculation (Fig. 1 and Table 2). For example, the TDDFT for $(\text{C}_8\text{H}_8)_2\text{Th}$ predicted strong low energy

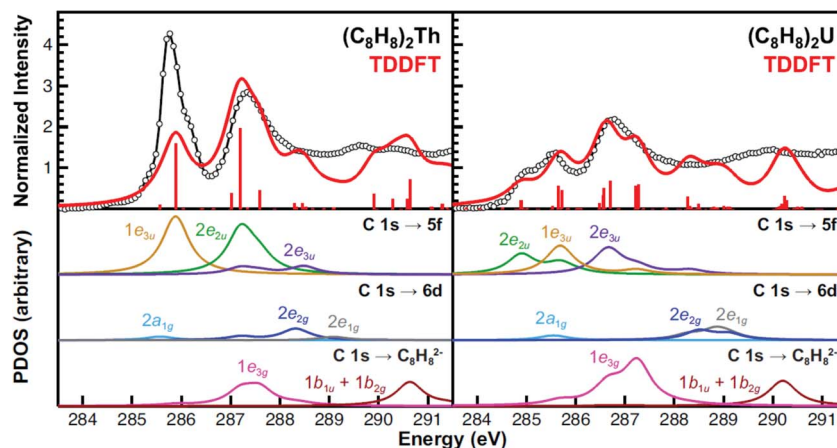


Fig. 6 The experimental C K-edge XAS data obtained for $(\text{C}_8\text{H}_8)_2\text{Th}$ and $(\text{C}_8\text{H}_8)_2\text{U}$ (black circles and traces) are compared with the TDDFT calculations in the top panels. The red bars represent the energies and oscillator strengths for the individual transitions. Moving down, the subsequent panels show the partial density of states (PDOS) derived from the TDDFT for final states associated with the 5f, 6d, or ligand-based orbitals. Excitations to the antibonding $2a_{2u}$ (5f- σ), $2e_{1u}$ (5f- π) orbitals (effectively zero transition intensity), and higher lying metal 7s, 7p or other Rydberg-type orbitals are provided in the ESI.† Each simulated spectrum has been shifted by approximately +10 eV based on assignment of the C 1s \rightarrow $2e_{2u}$ (5f- δ) transitions to account for omission of atomic relaxation and other effects (see Experimental).⁹⁹

electronic excitations centered at 285.9 and 287.2 eV from C 1s orbitals to the bonding $1e_{3u}$ ($5f-\phi$) and antibonding $2e_{2u}$ ($5f-\delta$) orbitals, which correlated well with the first two pre-edge features at 285.7 and 287.2 eV. For comparison, the 1.5 eV experimental splitting for transitions involving $1e_{3u}$ and $2e_{2u}$ orbitals was close to the 1.4 eV splitting shown in the ground-state DFT calculations for the same $1e_{3u}$ and $2e_{2u}$ orbitals. These values were also similar to calculations reported in earlier work with $(C_8H_8)_2Pa$.³⁴ The TDDFT calculations indicated that these C 1s $\rightarrow 2e_{2u}$ ($5f-\delta$) transitions overlapped with those involving the exclusively ligand-based $1e_{3g}$ (Th–C nonbonding) orbitals. Additionally, the energy for the C 1s $\rightarrow 2e_{2u}$ ($5f-\delta$) transitions coincided with transitions to the Th–C antibonding $2e_{3u}$ ($5f-\phi$) orbitals (288.5 eV), which were the antibonding counterparts of the bonding $1e_{3u}$ ($5f-\phi$) orbitals, as shown in Fig. 1. This close correspondence between the spectrum and the one-electron orbital model was likely associated with the Th⁴⁺ ground state electronic configuration being $(1s)^2 \dots (5f)^0(6d)^0$, hence the excited states reflected the simple $(1s)^1 \dots (5f)^1(6d)^0$ and $(1s)^1 \dots (5f)^0(6d)^1$ electronic configurations.⁴⁵

Overall, the computational approach described above supported an interpretation of the C K-edge XAS spectrum of $(C_8H_8)_2U$ that was similar to $(C_8H_8)_2Th$, but with some added complexity due to the $5f^2$ ground state of U⁴⁺.^{12,46} The ground state DFT calculation (Fig. 1) suggested that the first excited state could result from excitation into the doubly occupied $1e_{3u}$ ² ($5f-\phi$) orbitals and provide a $1e_{3u}$ ³ final state on uranium. However, there are two factors that push transitions to the $1e_{3u}$ ($5f-\phi$) orbitals to higher energy. The first is associated with double occupancy of the 5f orbitals that leads to a significant Coulomb repulsion (the Hubbard U). This raises the energy of final states that involve a $1e_{3u}$ ³ electronic configuration and favors nearby configurations that avoid double occupancy. The second is associated with Hund's rule spin coupling, which can provide energy stabilization to these nearby excited state configurations. For example, TDDFT results demonstrated that the quartet $5f^3$ excited states, which resulted from excitation into the antibonding $2e_{1u}$ ($5f-\pi$) and $2a_{2u}$ ($5f-\sigma$) orbitals were the lowest energy excited states. However, because there was very little mixing in the $2e_{1u}$ ($5f-\pi$) and $2a_{2u}$ ($5f-\sigma$) orbitals, transitions to them have negligible oscillator strength and were likely not resolved in the experimental spectra (Fig. 6). Hence, the TDDFT predicts that the first low energy grouping of features at about 285 eV was best attributed to closely spaced transitions from the C 1s orbitals to both the antibonding $2e_{2u}$ ($5f-\delta$) and bonding $1e_{3u}$ ($5f-\phi$) orbitals. The C 1s $\rightarrow 1e_{3u}$ ($5f-\phi$) transition was primarily centered at the 285.5 eV peak maximum, while the C 1s $\rightarrow 2e_{2u}$ ($5f-\delta$) transition was largely responsible for the emergence of the low-energy shoulder at 284.9 eV. For comparison, relative to $(C_8H_8)_2Th$ there was little change in the energies of the C 1s $\rightarrow 1e_{3u}$ ($5f-\phi$) transitions, but the C 1s $\rightarrow 2e_{2u}$ (δ) transition in $(C_8H_8)_2U$ was 2.3 eV lower in energy. For the high energy grouping of features at 286.8 eV, the calculations showed contributions from C 1s electron excitations to the $2e_{3u}$ ($5f-\phi$) and the $1e_{3g}$ (An–C nonbonding) molecular orbitals. Although moving from $(C_8H_8)_2Th$ to $(C_8H_8)_2U$ had little effect on the

energy of C 1s $\rightarrow 1e_{3g}$ (An–C nonbonding) transitions – which involved exclusively ligand-based orbitals – the energy for the C 1s $\rightarrow 2e_{3u}$ ($5f-\phi$) transitions was appreciably lower (288.5 eV for Th and 286.7 eV for U).

Despite the generally good agreement in peak position between the TDDFT and the experimental data, the distribution of the TDDFT intensities did not always faithfully reproduce the experiment. For example, the first feature in the spectrum for $(C_8H_8)_2Th$ (*ca.* 285 eV) was more intense in the experimental spectrum than in the calculation. The discrepancy was less obtuse once the 10% error associated with the experimental peak intensities was considered. Additionally, there existed several sources of potential error in the calculated intensities, which are discussed briefly. Our previous studies suggested that spin–orbit effects were relatively minor in the context of the experimental resolution available from the ligand K-edge XAS experiment.²⁴ However, spin–orbit effects will certainly alter the distribution of oscillator strength (and to some extent the energies) in transitions to the 5f-manifold. In general, it is possible to perform calculations in either Russell–Saunders coupling (LS) or by an iterative solution including the spin–orbit coupling (the *jj* limit). Our current approach – thus far – has interpreted XAS spectra in the LS limit, recognizing that the associated TDDFT spectrum will represent a weighted average over the appropriate spin–orbit coupled states. We prefer this approach because it has provided relative ease for comparisons with transition metal spectra and because it is not clear that interpretation in the *jj* coupling basis will be superior. The real answer lies somewhere between these two limits, and is not easily addressed with one-electron approximations such as TDDFT. An additional and important issue is associated with systems that contain partially filled 5f-orbitals, like the $5f^2$ $(C_8H_8)_2U$ molecule. In these cases single determinant approximations are incapable of determining all the appropriate multiplet states. Once again, the TDDFT results must be viewed as providing a spectrum that is an average over certain spin and angular momentum multiplets.

A more pressing concern with the TDDFT calculation (in our opinion) lies with the approximate nature of the functional. The hybrid functionals used here have been quite successful for determining the properties of actinide materials. However, almost all functionals (LDA, GGA, hybrids) suffer from that fact that the associated long-range potential does not decay asymptotically with distance as $1/r$, which it should. This inadequacy has dramatic effects on the energies of charge-transfer like states associated with the valence electrons.⁴⁷ In the present context, this shortfall may cause an incorrect description of the potential felt at the C 1s core by the valence electrons. For example, the C 1s eigenvalue is, essentially, proportional to the electrostatic potential at the core generated by the valence electrons. The inappropriate potential at the core generated by DFT is in part responsible for our need to shift the predicted C 1s energy transitions to align theory with the experimental peaks.⁴⁸ In so far as it is a constant error, it need not concern us. However, the oscillator strength associated with transitions from the C 1s orbitals is a different issue, and one that to our knowledge has not been studied in depth. We have

therefore conducted preliminary calculations that compared the computed oscillator strengths between the B3LYP functional and a calculation using the Hartree–Fock/single-excitation configuration–interaction approach (HF–CIS). Because the HF potential does decay properly as $1/r$, and the CIS approach is quite similar to the TDDFT approximation, the comparison should principally reflect any difficulties associated with the asymptotic form of the potential. In the HF–CIS approximation, we find the intensity of the first peak in $(\text{C}_8\text{H}_8)_2\text{Th}$ is now significantly larger than the second, in qualitative agreement with experiment. It should be possible to retain the advantages of DFT while more faithfully reproducing the intensities with “range-corrected” density functionals.^{49–52} This tentative conclusion merits additional research.

Evaluating An– (C_8H_8) bonding

Taken together, the C K-edge XAS experiment, TDDFT, and ground-state DFT calculations were used to evaluate the relative roles of the $5f-\delta$ and $5f-\phi$ bonding interactions for $(\text{C}_8\text{H}_8)_2\text{Th}$ and $(\text{C}_8\text{H}_8)_2\text{U}$. Moving from Th^{4+} to U^{4+} has been proposed to impart a decrease in energy for the $5f$ atomic orbitals,¹³ bringing them closer in energy to the ligand-based orbitals of e_{2u} symmetry (Fig. 1). The resulting enhancement in orbital mixing was reflected in the DFT calculations by more C 2p character in the $2e_{2u}$ ($5f-\delta$) orbitals for $(\text{C}_8\text{H}_8)_2\text{U}$ (28%) relative to $(\text{C}_8\text{H}_8)_2\text{Th}$ (13%). Additional support for this interpretation was found in complete active space natural orbital calculations published by Kerridge and Kaltsoyannis, which indicated that occupation of the $2e_{2u}$ orbitals is greater for $(\text{C}_8\text{H}_8)_2\text{U}$ relative to $(\text{C}_8\text{H}_8)_2\text{Th}$.⁵³ In spite of the increase in $5f-\delta$ orbital mixing for $(\text{C}_8\text{H}_8)_2\text{U}$, which was anticipated based on the ground-state DFT calculations (Table 1) and earlier PES studies,¹⁹ it was clear that the pre-edge features in the C K-edge XAS spectra and TDDFT calculations between *ca.* 284 and 288.5 eV were more intense for $(\text{C}_8\text{H}_8)_2\text{Th}$ than $(\text{C}_8\text{H}_8)_2\text{U}$. According to the assignment of transition energies described above, it was likely that the intense pre-edge for $(\text{C}_8\text{H}_8)_2\text{Th}$ arose due to strong ϕ -type mixing between the C 2p and Th 5f orbitals of e_{3u} symmetry. The productive mixing was reflected by intense C $1s \rightarrow 1e_{3u}$ ($5f-\phi$) transitions for $(\text{C}_8\text{H}_8)_2\text{Th}$, and by a large splitting between transitions to the unoccupied bonding $1e_{3u}$ and antibonding $2e_{3u}$ orbitals (XAS: 3.0 eV; TDDFT: 2.6 eV) relative to $(\text{C}_8\text{H}_8)_2\text{U}$ (XAS: 1.2 eV; TDDFT: 1.0 eV). As shown in Fig. 7 and Table 1, the calculated C 2p and Th 5f orbital mixing coefficients for $(\text{C}_8\text{H}_8)_2\text{Th}$ also showed significant mixing in the bonding $1e_{3u}$ (33% C 2p, 65% Th 5f) and antibonding $2e_{3u}$ orbitals (49% C 2p, 47% Th 5f). Conversely, for $(\text{C}_8\text{H}_8)_2\text{U}$ the bonding $1e_{3u}$ orbitals were almost entirely metal based (6% C 2p, 94% U 5f), while the antibonding $2e_{3u}$ orbitals were comprised mostly of ligand character (89% C 2p, 7% U 5f). Future efforts are focused on confirming these experimental and computational values using additional spectroscopic and theoretical approaches.

The spectroscopic and computational results reported here demonstrated how subtle differences in actinide 5f orbital energies could influence orbital mixing. As shown

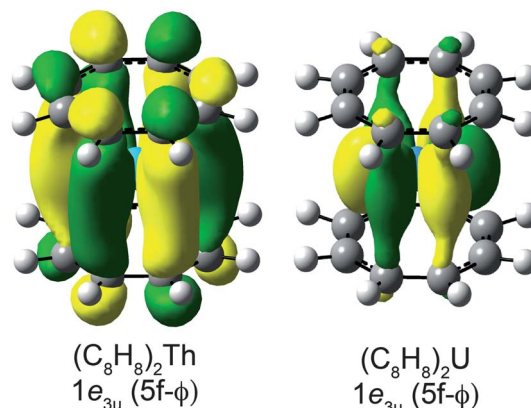


Fig. 7 Representations of the bonding $1e_{3u}$ Kohn–Sham molecular orbitals for $(\text{C}_8\text{H}_8)_2\text{Th}$ (left) and $(\text{C}_8\text{H}_8)_2\text{U}$ (right).

above, the high-lying Th 5f orbitals were capable of forming quite covalent $1e_{3u}$ ($5f-\phi$) interactions. However, as the effective nuclear charge (Z_{eff}) increased when moving further to the right in the actinide series (Th to U), the 5f orbitals dropped in energy. This likely led to an increasing energy mismatch with the $(\text{C}_8\text{H}_8)_2^{4-}$ orbitals of e_{3u} symmetry, such that for $(\text{C}_8\text{H}_8)_2\text{U}$ the $5f-\phi$ mixing was diminished and the $1e_{3u}$ orbitals were best regarded as non-bonding 5f-electron reservoirs.^{1,34,54} Concomitantly, with the decrease in energy of the 5f orbitals, they also became better matched in energy with the filled $(\text{C}_8\text{H}_8)_2^{4-}$ orbitals of e_{2u} symmetry and $5f-\delta$ mixing was enhanced in $(\text{C}_8\text{H}_8)_2\text{U}$.²⁰

Concluding remarks

The presence of δ -type bonding interactions – where two nodal planes are coincident with the bond axis – has been invoked in both metal–metal bonded complexes^{55–60} and inverted arene sandwich complexes^{61–75} of the d- and f-block elements. To the best of our knowledge, the C K-edge XAS spectrum of thorocene represents the first experimental evidence of measurable ϕ -type orbital mixing, and supports many theoretical analyses that predict the f-elements are uniquely capable of participating in ϕ -bonding interactions.^{13,33–35,57,76} In this case, mixing resulted from a near perfect energy match between the Th 5f and $(\text{C}_8\text{H}_8)_2^{4-}$ orbitals of e_{3u} symmetry, which has been discussed in earlier theoretical reports.^{5,53,77} Orbital mixing has been proposed to increase with later actinides;^{17,78,79} however, the e_{3u} ($5f-\phi$) orbitals for $(\text{C}_8\text{H}_8)_2\text{Th}$ provided an unusual case where mixing is greatest for the early actinides. As shown previously by Cloke and coworkers,⁸⁰ the unoccupied $1e_{3u}$ and $2e_{3u}$ ($5f-\phi$) orbitals did not appear to govern the chemical reactivity of $(\text{C}_8\text{H}_8)_2\text{Th}$. Nevertheless, this type of ϕ -orbital mixing may be important to the chemical and physical properties of other actinide and lanthanide molecules and materials. Future work is focused on evaluating how the decrease in 5f orbital energy anticipated for $(\text{C}_8\text{H}_8)_2\text{Np}$ and $(\text{C}_8\text{H}_8)_2\text{Pu}$ affects bonding in these transuranic organometallics.

Experimental

STXM sample preparation

All manipulations were performed with rigorous exclusion of air and moisture using Schlenk and glovebox techniques under an atmosphere of argon. Toluene (Fisher) was distilled from sodium metal and benzophenone prior to use. Samples of $(C_8H_8)_2Th^2$ and $(C_8H_8)_2U^{81}$ were prepared using the literature procedures. A small amount of each sample (~ 1 mg) was dissolved in toluene (1 mL), and an aliquot of this solution (0.5 μ L) was transferred to a Si_3N_4 window (100 nm, Silson) using a micropipette. The toluene was allowed to evaporate over a few seconds, which deposited thin crystallites of the sample on the Si_3N_4 membrane. After drying for several more minutes, a second window was placed over the sample, sandwiching the crystallites, and the windows were sealed together using Hardman Double/Bubble® epoxy.

STXM measurements and data analysis

The STXM methodology was similar to that discussed previously.^{27–29,32,75} Single-energy images and carbon K-edge XAS spectra were acquired using the STXM instrument at the Advanced Light Source-Molecular Environmental Science (ALS-MES) beamline 11.0.2, which is operated in top-off mode at 500 mA, in a ~ 0.5 atm He filled chamber.³⁶ An energy calibration was performed at the C K-edge for CO_2 gas (294.95 eV) and at the Ne K-edge for Ne gas (867.30 eV). For these measurements, the X-ray beam was focused with a zone plate onto the sample, and the transmitted light was detected. The spot size and spectral resolution were determined from characteristics of the 25 nm zone plate. The energy resolution was determined to be 0.04 eV at the C K-edge and 0.08 eV at the U/Th N-edges, and spectra were collected using circularly polarized radiation. During the STXM experiment, samples showed no sign of radiation damage and each spectrum was reproduced from multiple independent crystallites. Salient features of the spectra were reproducible using samples prepared from non-oriented polycrystalline particles. The C K-edge data were normalized in *MATLAB* using the *MBACK* algorithm,⁸² and by setting the edge jump at 295 eV to an intensity of 1.0. Second-derivative spectra were used as guides to determine the number and position of peaks (see ESI†).

Electronic structure calculations

Ground state electronic structure calculations were performed on the $(C_8H_8)_2An$ complexes using B3LYP hybrid density functional theory (DFT)^{83,84} in the *Gaussian 09* code.⁸⁵ Th and U were modeled with the Stuttgart relativistic effective core potential (ecp) and basis set^{86–88} while C and H were modeled using a Pople style double- ζ 6-31 G(d' , p') basis set with polarization functions optimized for heavy atoms.^{89,90} These functionals and basis sets have demonstrated good agreement between experimental and simulated ligand K-edge XAS spectra for organometallic and inorganic systems.^{23,27,29,91} The molecular orbital compositions of each compound were obtained by Mulliken population analysis of the individual molecular orbitals.

Simulated C K-edge spectra

For $(C_8H_8)_2An$, the C K-edge XAS spectra were simulated using time dependent density functional theory (TDDFT). These calculations were conducted in analogy to earlier reports.^{29,46} As discussed previously, an energy shift must be established to account for the omission of the atomic relaxation associated with the core excitation, relativistic stabilization, and errors associated with the functional. This was achieved by setting the energy of transitions simulated for the antibonding $2e_{2u}$ ($5f-\delta$) orbitals to be equal to those in the experimental spectra, which resulted in energy shifts of +10.450 and +10.026 for $(C_8H_8)_2Th$ and $(C_8H_8)_2U$, respectively.

Conflict of interest

The authors declare no competing financial interest.

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