

# Acquisition and study of blowing pressure profiles in recorder playing

Francisco García<sup>†</sup>  
pul.editions@gmail.com

Josep Tubau  
pi2dlor@gmail.com

Leny Vincelas<sup>†</sup>  
leny.vincelas@gmail.com

Esteban Maestre<sup>†‡</sup>  
esteban.maestre@upf.edu  
esteban@ccrma.stanford.edu

<sup>†</sup> Music Technology Group, Universitat Pompeu Fabra, Barcelona, Spain

<sup>‡</sup> Center for Computer Research in Music and Acoustics, Stanford University, USA

## ABSTRACT

This paper presents a study of blowing pressure profiles acquired from recorder playing. Blowing pressure signals are captured from real performance by means of a low-intrusiveness acquisition system constructed around commercial pressure sensors based on piezoelectric transducers. An alto recorder was mechanically modified by a luthier to allow the measurement and connection of sensors while respecting playability and intrusiveness. A multi-modal database including aligned blowing pressure and sound signals is constructed from real practice, covering the performance space by considering different fundamental frequencies, dynamics, articulations and note durations. Once signals were pre-processed and segmented, a set of temporal envelope features were defined as a basis for studying and constructing a simplified model of blowing pressure profiles in different performance contexts.

## Keywords

Instrumental gesture, recorder, wind instrument, blowing pressure, multi-modal data.

## 1. INTRODUCTION

The process of music performance offers great opportunities for pursuing research on instrumental gestures when investigated from a computational approach based on data observation and analysis. Within the process, the musical message is represented as a written score containing an ordered sequence of note events and annotations of discrete nature. The performer interprets the score and transforms it into a set of physical actions of continuous nature intended to serve as controls for the musical instrument. Those are called instrumental gestures [4]. Furthermore, in the case of excitation-continuous musical instruments (e.g., bowed strings or wind instruments) the complexity of interaction makes the problem becoming much more interesting [4, 7, 6].

In recorder playing, the blowing pressure is often seen as the most important instrumental gesture parameter modulated during performance. The recorder could be considered

among the simplest excitation-continuous musical instruments, but the study of instrumental gesture parameters from real performance have been strongly limited by the intrusiveness resulting from a range of measurement techniques, all based on the introduction of plastic tubes (or *catheters*) in the mouth of a performer while playing. Moreover, the direct measurement of blowing pressure signals in flute-like instruments have been limited to the transverse flute with many different studies carried out in the recent history for the extraction of respiratory parameters during performance [1], in the study of performance techniques [6, 2] or in the analysis of frequency content of the breath pressure [8]. The main drawback of these approaches is the intrusiveness of the measurement: the performer is forced to modify his natural performance in order to adapt to the modified instrument. It is difficult to find studies dealing with deep instrument modifications resulting in a reduced intrusiveness or enhanced measurement accuracy, mainly because altering the instrument structure could easily lead to a modification of the timbre.

The remainder of the paper is organized as follows. Section 2 provides an overview of the previous related work. In Section 3, we introduce the acquisition device and setup, the construction of the database and data pre-processing. Section 4 presents the definition of the envelope features and a series of analyses regarding the relation of blowing pressure profile features and performance contexts. Finally, section 5 concludes by summarizing important results and shedding some light on the imminent future work.

## 2. BLOWING PRESSURE

The recorder belongs to the family of the aerophones, which produce sound primarily by causing a body of air to vibrate without the use of membranes nor strings. Normally, recorders are made up of three separable sections: the head, the middle and the foot piece. The head is the responsible for the primary sound production.

Two main acoustic models try to explain the complex phenomena happening in flute-like instruments: the jet-drive model by Fletcher [3] and the discrete vortex model by Verge [10]. The former neglects many details of the flow at the lip labium which appear to be fundamental for the performance of the instrument [9]. The latter basically describes the timbre of the instrument as a function of the dimensionless velocity of the air jet and the mouth geometry and throws a very important conclusion about the energy transformations that happen during the sound production process: from the pneumatic energy, coming from the air jet developed by the player, 95% is dissipated in the *mixing*

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. To copy otherwise, to republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee.

NIME'11, 30 May–1 June 2011, Oslo, Norway.

Copyright remains with the author(s).

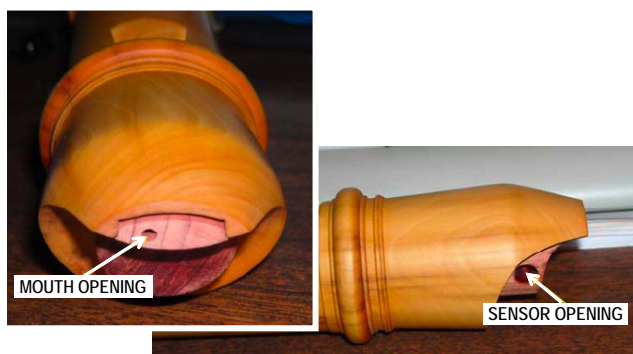


Figure 1: Detail of the openings in the mouthpiece.

region (a concept introduced by Elder in 1973 which refers to the coupling zone at the exit of the windway and the lip labium), i.e. the shedding of vortices at the edge of the labium causes 95% of the energy to dissipate. From the remaining 5% that is transferred to the acoustic oscillation of the air in the pipe, around 3 or 4% is dissipated in viscous and thermal losses to the pipe walls, so that only about 1% of the initial pneumatic energy is radiated as sound. Therefore, we consider that aiming at extracting blowing pressure by measurements carried out after the mixing region would lead to less representative correlates of instrumental control.

With regard to the main instrumental gesture parameters modulating perceptual attributes of the produced sound, the blowing pressure and the fingering could be considered as the most important. Indeed, blowing pressure, as opposed to fingering, presents a continuous nature and allows the control of dynamics, timbre and overblowing techniques. During performance, blowing pressure is exponentially related to pitch [5], and linearly related to the dynamics, although it has not been quantified in an empirical way [6]. Variations in dynamics are achieved through a change in the blowing pressure, although this phenomenon also causes the pitch to slightly change. Fingering allows the performer to alter fundamental frequency and, in combination with blowing pressure, may also help to modulate dynamics. Montgermont [6] studied the relationship between dynamics and blowing pressure for the transverse flute. For a given pitch, the amount of blowing pressure needed for achieving a higher dynamic is obviously higher. Furthermore, the relationship between blowing pressure and fundamental frequency in the case of the transverse flute follows a linear relationship  $P = 0.8 \times f$  [3, 6]. In this work, we focus on traditional performance techniques (articulation and dynamics), assuming a fixed fingering position for each of the analysis contexts.

### 3. DATA ACQUISITION

Blowing pressure and radiated sound are synchronously acquired from real practice by means of a novel, low-intrusiveness measurement setup based on a modified recorder and a close-field microphone. A set of scripts was designed in order to cover a number of performance contexts when constructing a multi-modal database.

#### 3.1 Acquisition of blowing pressure

Special mechanical alterations were carried out in the mouthpiece block of an alto recorder that allowed the connection of two pressure sensors without altering the timbre of the original instrument: the intrusiveness was significantly reduced as compared to using a plastic catheter in the mouth of the

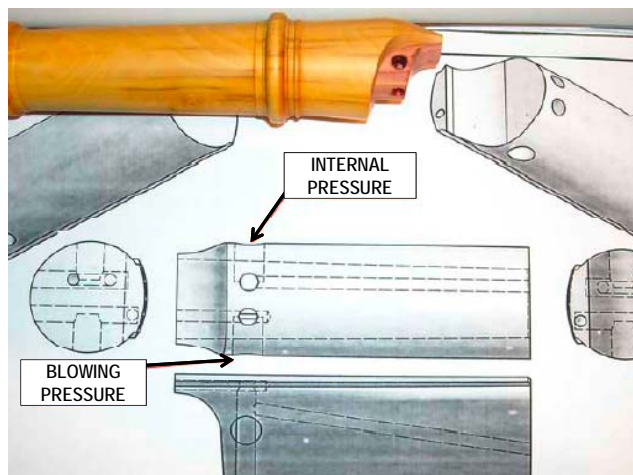


Figure 2: Further detail of the modified mouthpiece, where both ducts can be observed.

musician, i.e. the performer could play the instrument naturally. The instrument was designed by the catalan luthier Josep Tubau, who carried out the modifications and tests under our supervision with the aim of establishing two measurement points: (i) pressure in the mouth of the performer (or *blowing* pressure), and (ii) pressure at the closer end of the resonator pipe (or *internal* pressure).

The first measurement is achieved by means of a connecting duct that joins a hole at the mouth opening and another hole at one side of the mouthpiece, as it is shown in Figures 1 and 2. The second measurement is carried out thanks to an analogous technique, this time relying on a connecting duct with one of its openings at the closer end of the resonator pipe, as it is also shown by Figure 2. The second measurement, while very useful for studying sound production mechanisms, is not used in this work.

As for the pressure sensors, which had to provide a dynamic range of approximately  $3000Pa$ , we selected a piezoresistive transducer because of a great accuracy together with a small size. The chosen model was the Honeywell<sup>®</sup> ACSX 01DN. The signal coming from the sensor was acquired using a National Instruments<sup>®</sup> acquisition card (USB-6009), which provides a sampling rate of  $48000Hz$  to be multiplexed among the number of signals to be acquired. In our case, two signals were acquired: the blowing pressure signal, and an audio metronome used later for synchronization with the sound signal acquired with the microphone (see below).

#### 3.2 Data pre-processing

Because of different sampling rates and time-propagated sample period inaccuracies due both to hardware and software typical issues, the audio signal coming from the microphone and the pressure signal coming from the acquisition card had to be re-synchronized. For that purpose, an external audio metronome click signal was recorded both by the audio acquisition device and by one of the channels of the USB-6009 analog acquisition card. By means of a pulse detection algorithm devised for this purpose, metronome clicks were correctly detected from both metronome signals, and the obtained time stamps were used for resampling and synchronizing both signals [8].

The second step consisted on removing the high-frequency component of the acquired pressure signal (at a frequency equal to that of the note being played). The blowing pressure presents a coupling frequency component strongly de-

pending on the effective length of the resonator pipe, i.e. it depends on the fingering of the performer (as it happens with the pressure at the mouth). Filtering of this high-frequency component was achieved by means of numerical smoothing, using a quadratic-regression filter conveniently applied to the signal in order to avoid blurring pressure onsets and offsets as it would happen if a low-pass filtering were used.

The final step consisted in segmenting blowing pressure signals into single notes. For that purpose, a two-stage automatic segmentation technique was developed. First, onset candidates are generated for each note, mostly based on the absolute values of blowing pressure and its first three derivatives. Then an adaptive algorithm, making use of the nominal score and taking into account a maximum deviation of the performer, evaluates which of the generated onset candidates best matches a real onset. Resulting segmentations were manually revised in order to avoid errors in further analyses.

### 3.3 Database structure

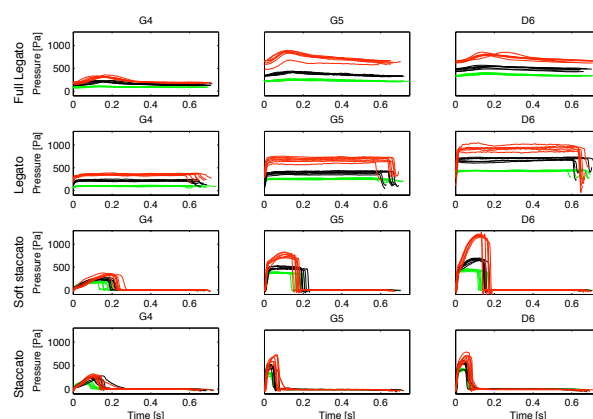
A multi-modal database, including aligned and segmented pressure signals and produced sound, was constructed after carrying data acquisition and processing from a number of recordings with a professional recorder player. A set of recording scripts (mainly musical exercises in the shape of repetitions and scales) was designed so that a balanced set of performance techniques is covered. Four main dimensions (or *performance context* parameters) were taken into account, leading to a total of around 10000 notes. The first analyzed dimension is the **pitch**. The recordings covered the whole tessitura of the instrument, in jumps of 2 or 3 semitones. Each pitch was performed by using a unique (the most common, according to the performer) fingering position. Second, the **dynamics** were divided into three levels: pianissimo (pp), mezzo-forte (mf), and fortissimo (ff). Third, five different **note durations** were recorded. These durations correspond to the duration of a quarter, eighth and sixteenth note at 90 BPM, and an eighth and sixteenth note at 120 BPM, respectively. Finally, four **articulations** (primarily regarded as 'tonguings' by the musician) were considered and labeled as *full legato* (no tonguing, but just diaphragm-driven blowing pressure oscillations), *legato*, *soft staccato*, and *staccato*.

## 4. DATA ANALYSIS

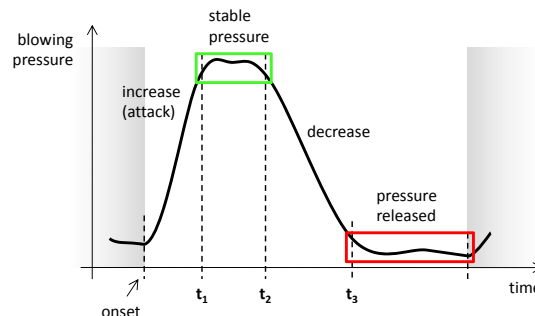
Data analysis first consisted in the observation of segmented blowing pressure profiles and the identification of a number of envelope features as a basis of further systematic analysis and modeling in different performance contexts.

### 4.1 Envelope model

In order to devise an envelope model able to consistently represent profiles in different performance contexts, the first step was to observe the blowing pressure envelopes. Figure 3 shows a general picture of the acquired envelopes: three different dynamics for each given articulation and pitch, all of them for the same note duration (also, only three different pitch values -one per octave- are shown). As a first clear observation, each articulation presents a characteristic shape, as a result of different tonguing (when existing). Secondly, the maximum value of blowing pressure reached within each note is positive-correlated with fundamental frequency and dynamics, as it happened for the transverse flute [6]. For the case of legato articulations (uninterrupted air jet, no tonguing) one can observe how the blowing pressure never falls down to the bottom line of 0 Pa, as opposed to what happens with the staccato-like articulations, for which the



**Figure 3:** Blowing pressure profiles for different articulations (rows), fundamental frequency (columns) and dynamics (GREEN: pp, BLACK: mf, RED: ff); nominal note duration was 0.66 seconds.



**Figure 4:** Schematic representation of the envelope model used in this work.

air pressure gets interrupted during note-to-note transitions (tonguing effect). In fact, one could interpret that in the staccato articulation notes are detached from each other by shortening the blowing pressure “pulses” and forcing a “silence” between consecutive notes. This makes clear an important difference between pressure profiles of staccato-like and legato-like note-to-note articulations.

The envelope model used for quantitatively represent blowing pressure profiles is depicted in Figure 4. It is based on dividing the pressure signal into four different segments. The first segment corresponds to the pressure attack and it is characteristic to all four articulation types. In a second phase (after  $t_1$ ) the pressure reaches its maximum value. In all but the *full legato* articulation,  $t_1$  defines the beginning of a stability state with a higher pressure, during which most of the energy is transferred to the instrument. The third segment, defined between  $t_2$  and  $t_3$  corresponds to a decrease of the blowing pressure, and its presence is equally common to all articulations. Finally, the blowing pressure is released, and a state of stability at its minimum value is reached. In staccato articulations, the last state is significantly long and the pressure stays at 0 Pa. Conversely, the duration of this phase results extremely short for the case of legato articulations, mainly caused by the fact that blowing pressure is lowered and the air flow does not get completely interrupted.

The estimation of the segment durations (defined by  $t_1$ ,  $t_2$ , and  $t_3$ ) is carried out automatically for all notes in the database. The limits of each state are estimated by looking at how the instantaneous blowing pressure compares with a parameter  $\Delta P = P_{max} - P_{min}$  that is computed for each note as the pressure dynamic range along its execution. The limits  $t_1$  and  $t_2$  are computed by considering that pressure excursion during the steady state segment must be within 90% of  $\Delta P$ . Analogously, the time limit  $t_4$  is defined by considering that the pressure excursion during the last state must be within 10% of  $\Delta P$ . Once the profiles are segmented, durations and slopes are computed for each segment, with the idea of analysing the role of performance context parameters (dynamics, articulation, etc.) in shaping the envelopes of blowing pressure.

## 4.2 Observations on fingering and dynamics

A straightforward analysis was first carried out by looking at the averaged value of blowing pressure of the steady state segment (see Figure 4). For that purpose and with the aim of validating our findings in comparison with previous studies on the transverse flute [6, 3], computed pressure values were compared for different pitch values (fingerings) and articulations by averaging all corresponding notes in the database. The results are displayed in Figure 5, clearly showing how blowing pressure is related to pitch (fingering) and dynamics. The pitch-exponential nature of the relationships being independent upon the articulation used, corresponds with what had been shown in literature.

## 4.3 Attack times

By comparing the averaged attack time for each different articulation, an interesting observation can be made. For the case of *full legato*, in which the air flow is uninterrupted from note to note, the attack time appears as independent on the fingering (a similar behavior is observed for *legato* articulation). Differently, for those articulations in which the tonguing effect interrupts the blowing pressure right before the note onset, the attack time is negative-correlated to the pitch. Since the maximum blowing pressure before reaching a change of oscillation mode is in general lower for lower pitch fingerings, the performer risks entering an undesired second oscillation mode more easily. Thus, limiting the rate of increase of blowing pressure helps the performer to avoid entering in chaotic transitional states before reaching higher modes of oscillation. Within each type of these two articulation sub-groups, it remains clear that attack times are shorter for *legato* than for *full legato*, and also shorter for *soft staccato* than for *staccato*. Concentrating on one articulation type at a time, no significant differences were found when comparing the durations of the attack segments for different dynamics.

## 5. CONCLUSION

The main contribution of this paper is the acquisition and systematic analysis of blowing pressure signals from real performance in recorder playing. While previous studies had been mostly focused on the transverse flute, here we worked on the recorder and, most importantly, towards a parameterization of blowing pressure that would allow us the reconstruction of profiles with certain ease. With respect to the analysis of profile features, we have successfully reproduced some of the previous studies on transverse flute, and extended them by extracting and analysing articulation-specific features.

We will continue using the multi-modal database for approaching further challenges, like it is the case of building a generative model for synthesizing blowing pressure from

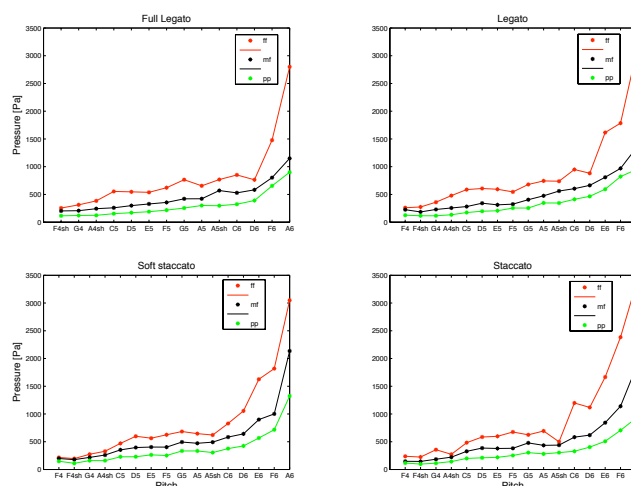


Figure 5: Average blowing pressure for different articulations versus pitch (fingering) for different articulations.

an annotated score (possibly using more elaborate contour models (e.g. concatenated Bézier curves), studying mappings between blowing pressure and sound perceptual attributes, or driving physical models from recorded or synthetic blowing pressure signals.

## 6. REFERENCES

- [1] I. Cossette, P. Sliwinski, and P. Macklem. Respiratory parameters during professional flute playing. *Respiration physiology*, 121(1):33–44, 2000.
- [2] P. de la Cuadra, B. Fabre, N. Montgermont, and L. De Ryck. Analysis of flute control parameters: A comparison between a novice and an experienced flautist. In *Forum Acusticum, Budapest*, 2005.
- [3] N. Fletcher. Acoustical correlates of flute performance technique. *J. Acoust. Soc. Am.*, 57(1), 1975.
- [4] E. Maestre. *Modeling instrumental gestures: an analysis/synthesis framework for violin bowing*. PhD thesis, Universitat Pompeu Fabra, 2009.
- [5] J. Martin. *The acoustics of the recorder*. Moeck, 1994.
- [6] N. Montgermont, B. Fabre, and P. de La Cuadra. Flute control parameters: fundamental techniques overview. *ISMA*, 2007.
- [7] A. Perez. *Enhancing Spectral Synthesis Techniques with Performance Gestures using the Violin as a Case Study*. PhD thesis, Universitat Pompeu Fabra, 2009.
- [8] G. Scavone and A. da Silva. Frequency content of breath pressure and implications for use in control. page 96, 2005.
- [9] M. Verge, B. Fabre, A. Hirschberg, and A. Wijnands. Sound production in recorderlike instruments. i. dimensionless amplitude of the internal acoustic field. *The Journal of the Acoustical Society of America*, 101:2914, 1997.
- [10] M. Verge, A. Hirschberg, and R. Caussé. Sound production in recorderlike instruments. ii. a simulation model. *The Journal of the Acoustical Society of America*, 101:2925, 1997.