The best things in life are free but data sharing is not: A multi-institutional study of the realities of academic data sharing

Presented to IASSIST 2024 Halifax, Nova Scotia



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Session Overview

- Phase 1 DMS Expenses Data Collection & Analysis
 - Background & Methodology
 - Institution Results
 - DMS Opportunities
 - Research Results
- Phase 2 RADS Collaborations and Implications
 - Data Curation Network
 - Joining institutions to RADS

RADS Background and Methodology

Phase 1: Retrospective Study, 2021–2023













Funded by the National Science Foundation (NSF) EAGER grant #2135874: Completing the Lifecycle: Developing Evidence Based Models of Research Data Sharing, 2021–2023

> / ASSOCIATION OF RESEARCH / LIBRARIES

Study Participants









Researchers

- Units that support any data sharing activities
- Expenditure and salary knowledge
- Fiscal year 2021/2022

- Funded between 2013-2022
- Funded by: DOE, NIH, NSF



Research Methods

<u>Administrators</u> — **Fiscal Year 21/22**

- Out of 27 DMS activities, which data sharing activities their unit supports
- Personnel cost = % effort × salary
- Infrastructure costs

Researchers — During the grant period

- Out of 27 activities, data sharing activities were done during their grant period
- Personnel cost = % effort × salary
- Infrastructure costs



RADS DMS Activities & Phases

Phase	Number of Activities*
Planning Design and Start Up	9
Data Collection, Storage, and Management	4
Making Data Broadly Available	8/9
Data Retention, Including Preservation and Long-Term Access	4
Project Closeout and Compliance	2

^{*27} activities for researchers; 28 for institutions/administrators

Service Categories



Research Offices (RSCH)



Libraries + Archives (LIB)



IT + Compute (IT)



Institutes + Centers (IC)





Libraries provide support for public access to research data throughout the grant lifecycle.



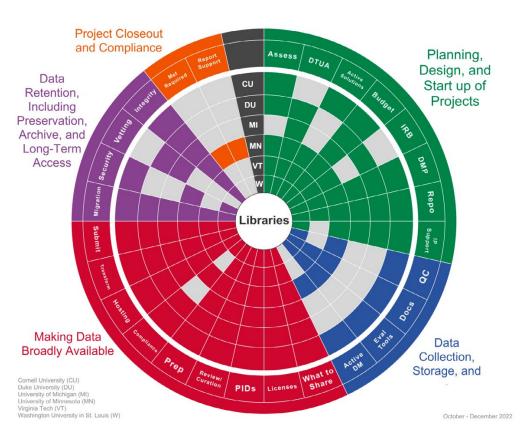
Broad support for:

- Planning, Design, and Start Up of Projects
- Making Data Broadly Available
- Data Retention, Including Preservation, Archive, and Long-Term Access

Support is less prevalent for:

- Data Collection, Storage, and Management
- Project Closeout

Libraries - Services & Infrastructure for Public Access to Research Data (LINK)



https://tabsoft.co/3yCyu5x

Opportunities for Libraries

- Providing guidance on data licensing
 - (66% of researchers reported "not doing")
- Assigning persistent identifiers (DOI, ROR, ORCID)
 - (only 20% of researchers report internal assistance)
- Consulting on selecting data for publishing and data publishing in general

Considerations



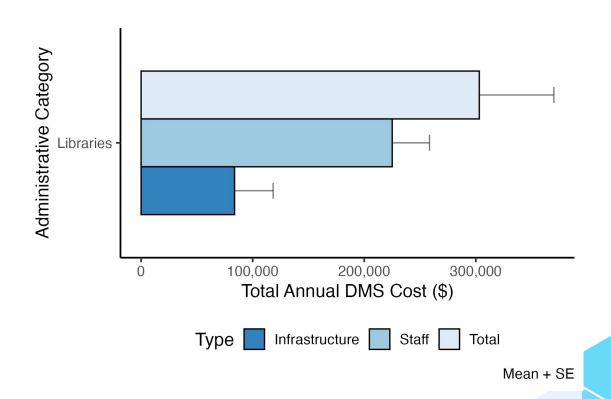
Data sharing instruction



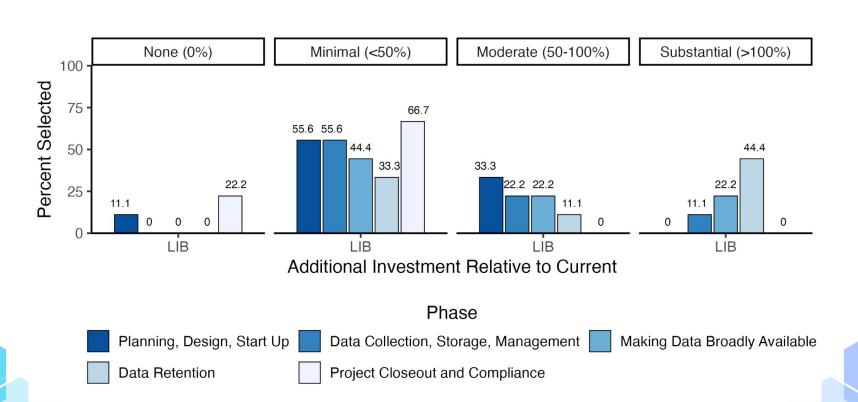
Financial Considerations

Libraries Expenses

- Libraries have the largest total data sharing expenses
- Staffing was largest expense for libraries



Libraries future investments in RDM





IT, Research Offices,
Specialized Institutes &
Centers

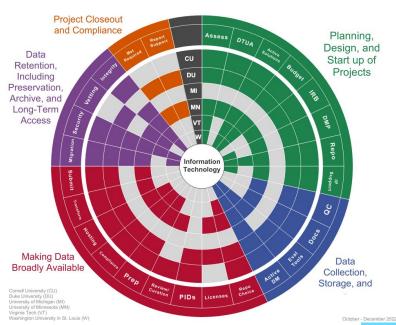
Information Technology Offices - Services & Infrastructure for Public Access to Research Data (LINK)

IT Offices provide support for public access to research data across most of the phases

- Planning, Design, and Start Up of Projects
- Data Collection, Storage, and Management
- Making Data Broadly Available
- Data Retention, Including Preservation, Archive, and Long-Term Access

Less support provided in

Project Closeout and Compliance



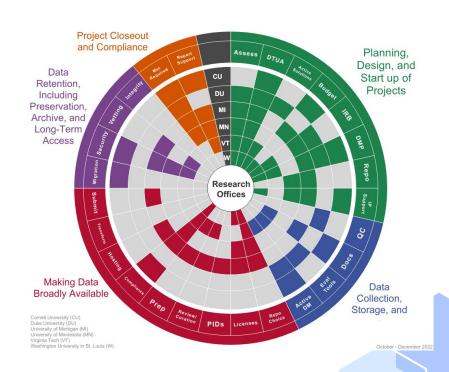
Research Offices - Services & Infrastructure for Public Access to Research Data (LINK)

Research Offices provide support for public access to research data primarily in the beginning and end stages.

- Planning, Design, and Start Up of Projects
- Project Closeout and Compliance

Less support provided in

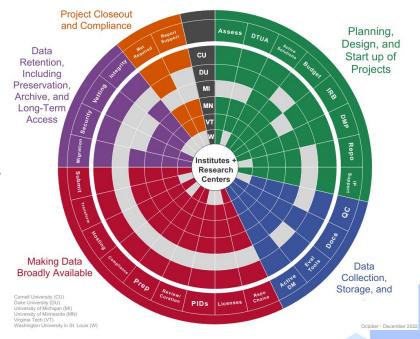
Making Data Broadly Available



Institutes & Research Centers - Services & Infrastructure for Public Access to Research Data (<u>LINK</u>)

Research Centers provide support for public access to research data in <u>all</u> of the phases.

But individual centers focus on their members, not the institution as a whole.



Administration / Service Units are still adjusting



Much of the support for data management and sharing provided by the institution is:

- Center the needs of the institution, rather than the researcher.
- Generally, more focused on minimizing risk, than on sharing data.

Libraries, and IT Units to varying extents, provide researcher focused data services, but many are not taking advantage of the services, or know they exist.

Opportunities for Underutilized / Underdeveloped Services

- For IT Departments
 - Data security services
 - Creating quality control mechanisms or procedures for infrastructure
- For Research Offices
 - Ensuring funding agency requirements for data sharing have been met



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IT department by SITI NURHAYATI from Noun Project (CC BY 3.0)



Created by Pike Picture from Noun Project

Workplace research by Pike Picture from Noun Project (CC BY 3.0)

Opportunities for Underutilized / Underdeveloped Services

- For Research Institutes & Specialized Centers
 - May not be available to provide outside services
 - May serve as pilots or models for providing support services

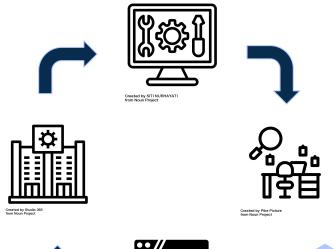


Research

Research center by Studio 365 from Noun Project (CC BY 3.0)

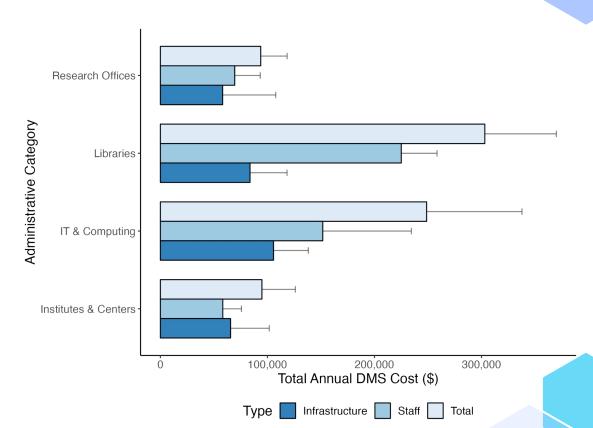
Opportunities for Cross Campus Collaboration

- Developing recommendations, policies and practices for deaccessioning / removing research data at the institution
- Identifying and budgeting for the costs of data management and sharing
- Training / Education



Administrator Expenses

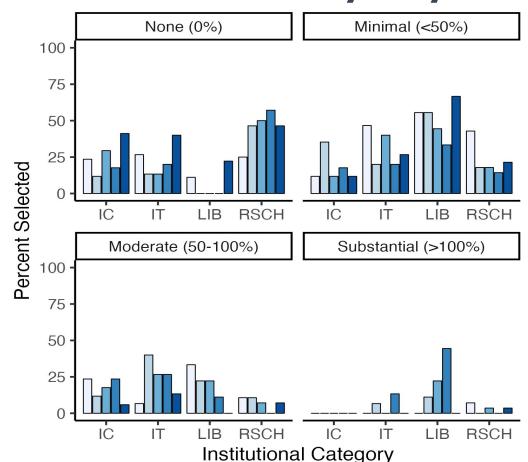
- Averaged across responses within institutional service areas
- Libraries and IT faced largest total DMS expenses
- Staffing was largest expense for libraries



Future DMS Investments within 5yrs by

Category

- Planning, Design, and Start Up of Projects
- Data Collection, Storage, and Management
- Making Data Broadly Available
- Data Retention, Including Preservation, Archive, and Long-Term Access
- Project Closeout and Compliance





Researchers

How do researchers engage with Data Management & Sharing?



Research Pls

N = 255 (8.4%); 91 with complete expense data

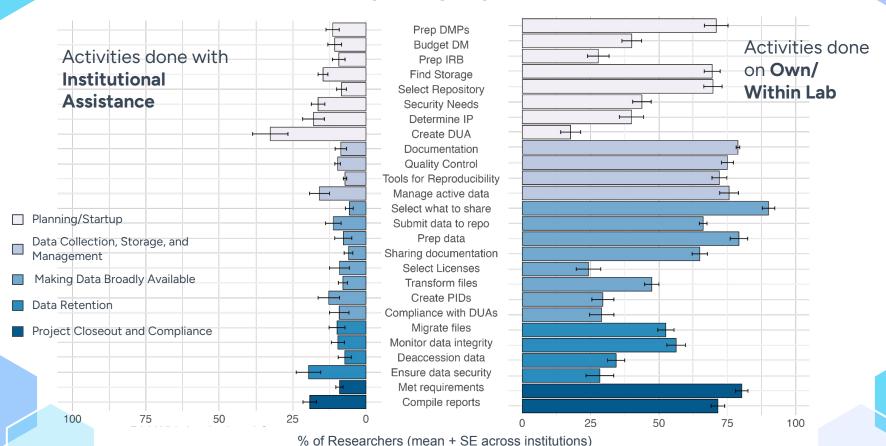
NSF, NIH, DOE Funded Grant



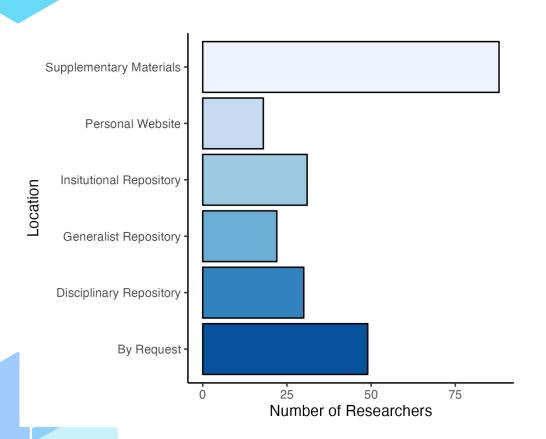


- What data management and sharing activities did you do and did you get support?
- Where was your data shared?
- How did you decide where to share?
- How much did it cost?
 - Personnel cost: % effort X salary
 - Infrastructure costs
- Would you share again?

Most researchers do data management & sharing on their own



Where did they share data and why?



57% Selected a single category;30% selected two13% selected three or more

Top 5 Influences: Where did researchers share?

- 1. Easiest/quickest option 71.4%
- 2. Personal experience 67.9%
- 3. Least expensive option 56.0%
- 4. Funder recommendation 44.0%
- 5. Journal/publisher recommendation 43.5%

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- 10. Library recommendation 10.7%
- 11. Research office recommendation 9.5%
- 12. Campus IT recommendation 3.0%

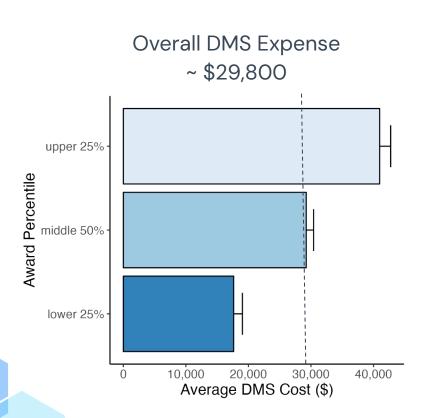
Researcher Expenses

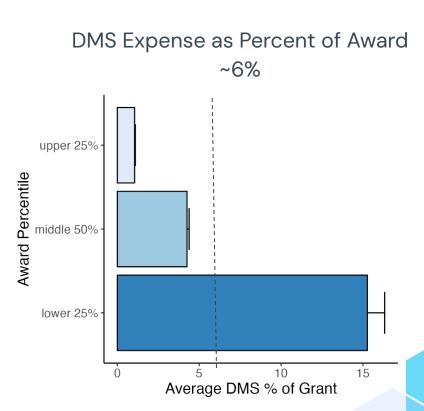
Researchers were grouped by their total award amount

- Average NIH Award ~ 2,730,000; n = 56
- Average NSF Award ~ 428,000; n = 32

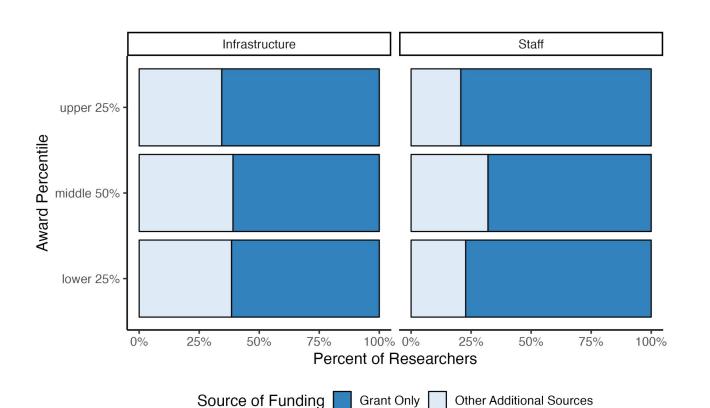
Percentile Group	Award min	Award max	NIH	NSF	DOE	Total N
Lower 25th	\$8,000	\$310,906	7	13	0	20
Middle 50th	\$326,386	\$1,382,409	26	18	3	47
Upper 75th	\$1,467,763	\$122,910,010	23	1	0	24

Researcher Expenses

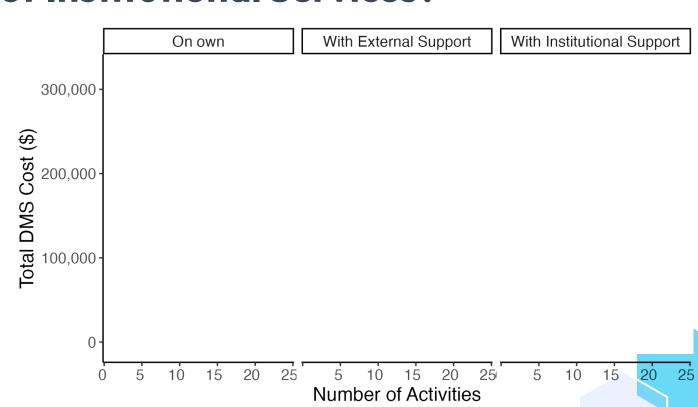




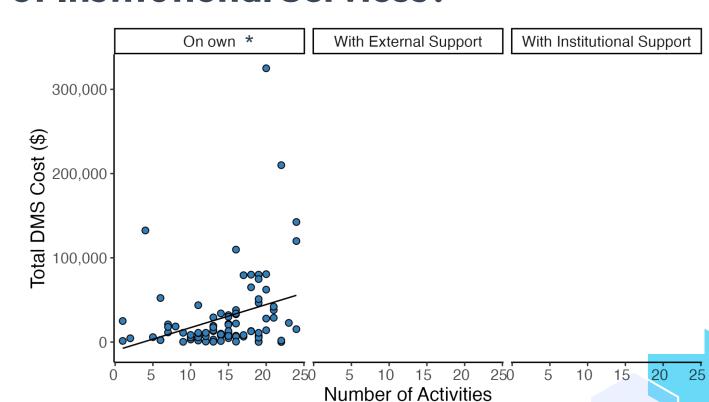
How are these expenses covered?



Does the number of activities done with (or without) support correlate with total cost for data management and sharing?

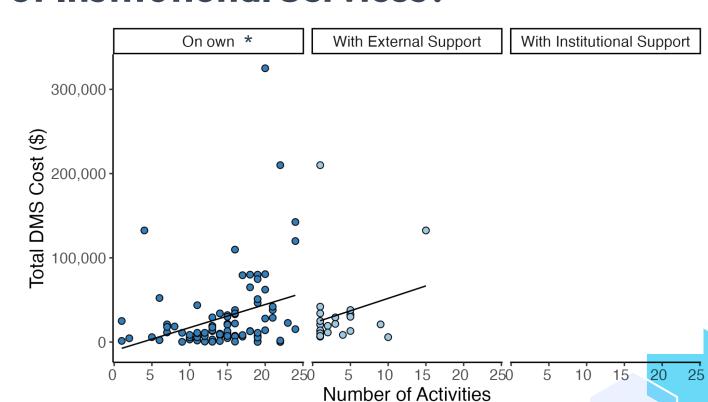


Doing DMS
activities on
their own was
associated
with greater
DMS expense



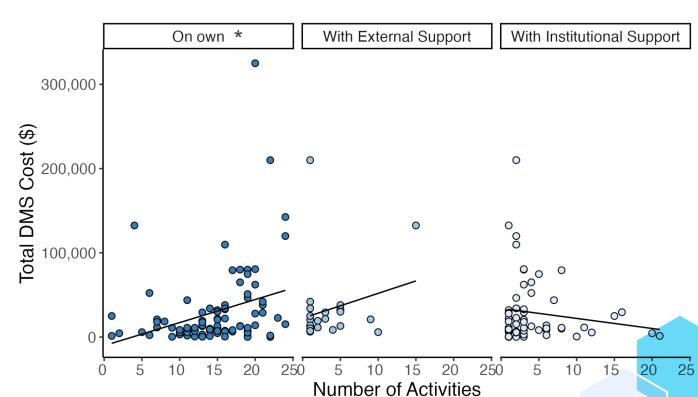
^{*}b = 2735.5, t(88) = 2.96, p = .004; adjusted R^2 = 0.08; all other p > .28

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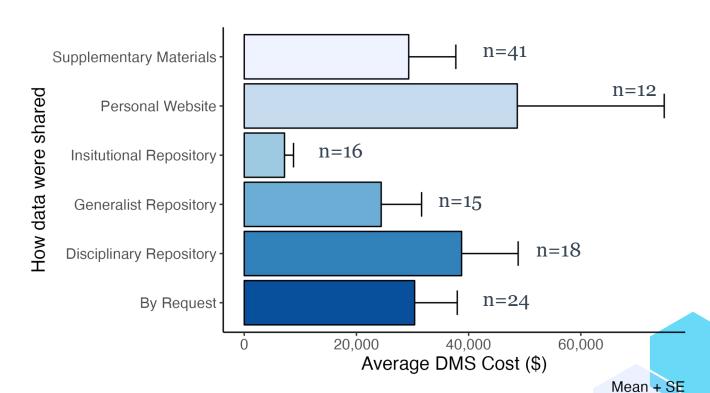
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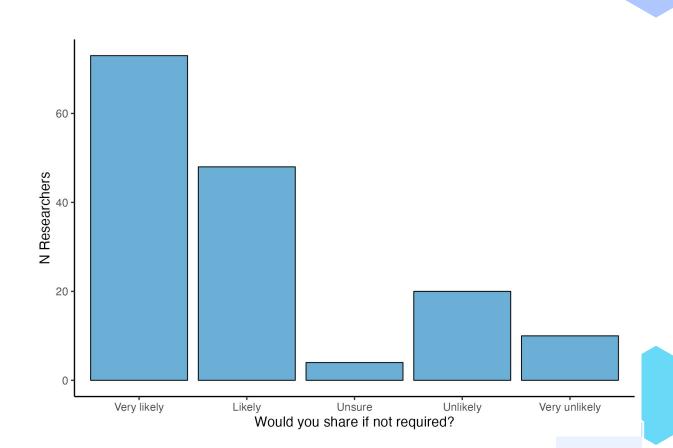
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Sharing in an Institutional Repository (IR) was associated with lower DMS Expenses



Would they share again?

About 80% of researchers were likely or very likely to share data again if they were not required to.



Current Collaborations and Implications



As professional data curators, data management experts, data repository administrators, disciplinary scientists and scholars we represent academic institutions and non-profit data repositories that steward research data for future use.

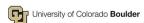




























JOHNS HOPKINS







Example: Benefits at WashU

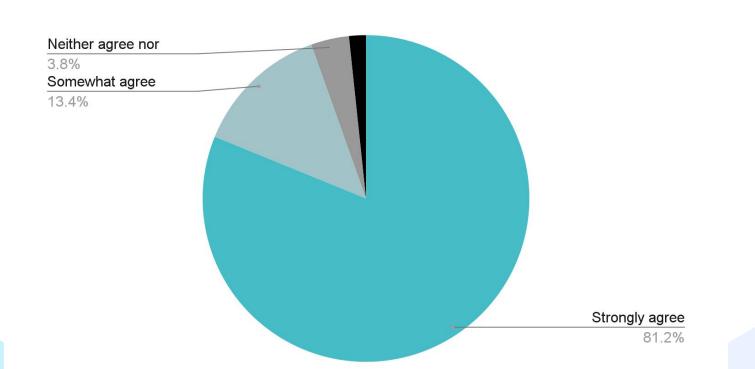
Metadata improvements:

- Insights to the quality of metadata in our repository
- Comparison with best practices and other institutions
- Opportunity to improve metadata and documentation
- Importance of mandatory use of PIDs

Visibility on campus:

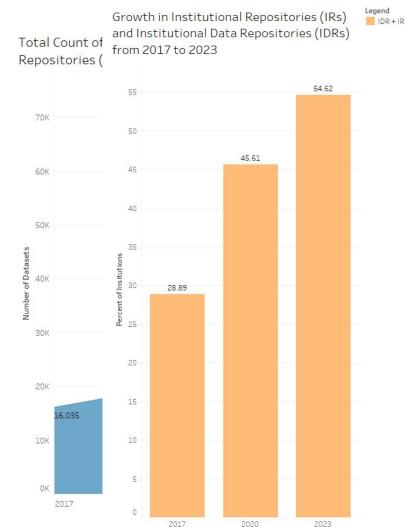
- Made connections with research teams
- Elevated issue for Libraries administration
- Deepened relationships with research administrative staff
- Nationwide press exposure

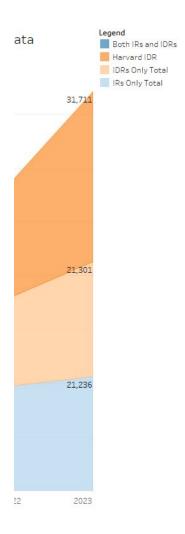
Data curation by this repository adds value to the data sharing process



Institutional Infrastructure

Institutional Repositories and Institutional Data Repositories are important for researchers to share their data







- Value of Curation
- Full-time staff member to support research
- Institutional repository advocacy



DCN/RADS Joining Institutions

- Five institutions will join the DCN at no cost for 2 years to complete this work
- Have additional data on costs to institutions of different types
- DCN will gain invaluable insight into different needs for and benefits of our work

Contact us 👾

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