# EXPLORING THE KETOGENIC DIET: IMPACTS, CONTROVERSIES IN METABOLIC SYNDROME MANAGEMENT AND BEYOND.

# <u>Nazarova Maftuna Mirsaidovna,</u> PhD student at Biochemistry Department Tashkent Medical Academy, Tashkent, Uzbekistan, <u>maftunanazarova0101@gmail.com</u>

**Abstract:** This review article provides a comprehensive examination of the ketogenic diet (KD), a high-fat, low-carbohydrate dietary regimen, and its potential role in managing metabolic syndrome (MetS). The KD's effectiveness in significantly impacting weight loss, BMI, glucose levels, insulin levels, HOMA-IR, HbA1c, total cholesterol, triglycerides, AST, and ALT is discussed. The article also explores the controversies surrounding the KD, including potential side effects and its role in cancer treatment. Future research directions, such as the link between the KD and the gut microbiome and its use in treating cardiovascular diseases, are highlighted. The article concludes by emphasizing the need for personalization in the KD and the necessity for long-term clinical trials to determine if the short-term effects of the KD translate into beneficial long-term outcomes.

**Keywords:** Ketogenic Diet, Metabolic Syndrome, Obesity, Cardiovascular Diseases, Gut Microbiome, Personalization, Long-term Clinical Trials, Cancer Treatment, High-Protein Ketogenic Diet, Standard Ketogenic Diet, Cyclical Ketogenic Diet, Targeted Ketogenic Diet.

#### **I. Introduction**

#### 1.1 Definition of the Ketogenic Diet

The ketogenic, or keto, diet is a regimen that involves significantly cutting down on carbohydrates and substituting them with fats. This dietary shift pushes your body into a metabolic condition called ketosis. In this state, your body becomes remarkably adept at using fat as its primary energy source. Additionally, the liver converts fat into ketones, which can provide energy for the brain. This

diet has been found to aid weight loss in some individuals (Sukkar & Muscaritoli, 2021).

The ketogenic diet, traditionally used in medicine to manage refractory epilepsy in children, is a dietary approach that emphasizes high fat, adequate protein, and low carbohydrate intake. This diet compels the body to utilize fats as its primary energy source instead of carbohydrates. There are multiple variations of this diet, including the Standard Ketogenic Diet (SKD), the Cyclical Ketogenic Diet (CKD), the Targeted Ketogenic Diet (TKD), and the High-Protein Ketogenic Diet. Each of these diets can help with weight loss, fat burning, and blood sugar balance. However, they differ in their objectives and benefits.

### **1.2 Overview of Metabolic Syndrome**

Metabolic syndrome is a cluster of conditions—high blood pressure, high blood sugar, excess body fat around the waist, and abnormal cholesterol levels—that occur together, increasing the risk of heart disease, stroke, and type 2 diabetes. The syndrome is linked to obesity, inactivity, and insulin resistance. It's increasingly prevalent, with up to a third of U.S. adults estimated to have it, and the prevalence rose over 35% from 1988–1994 to 2007–2012. Despite its serious health implications, lifestyle modifications like diet, exercise, and weight management can help manage this syndrome and reduce the risk of associated diseases.

#### **1.3 Role of Dietary Interventions in Metabolic Syndrome**

Dietary interventions indeed play a significant role in managing metabolic syndrome. They can regulate the composition of intestinal microbiota by ingesting probiotics/prebiotics and dietary fiber (Shang et al., 2022). This regulation can improve host immunity, metabolic processes, and nutrients bioavailability. Additionally, these interventions can inhibit oxidative stress and inflammatory pathways, thereby improving host health (He & Shi, 2017a). The food we consume and nutrigenetic factors can influence the development of metabolic syndrome. A typical western diet, which is rich in sugars and saturated fats, can lead to insulin

resistance, a key component of metabolic disease (diabetes) (Dayi & Ozgoren, 2022).

On the other hand, diets rich in fiber and low in processed foods can help improve insulin sensitivity and reduce the risk of diabetes (Chen et al., 2017). Moreover, low-carbohydrate diets and Mediterranean diets have shown promise in reducing pain and inflammation, which are common in metabolic syndrome (Kaushik et al., 2020).

In addition, the gut microbiota may be a potential target for therapeutic interventions in metabolic syndrome. Both probiotics and prebiotics have shown potential as pharmaceutical strategies for preventing and treating metabolic diseases. The mechanisms that explain these relationships include inflammation, enhanced intestinal permeability, and alterations in energy metabolism (He & Shi, 2017b).

# **II.** Types of Ketogenic Diets

#### 2.1 Standard Ketogenic Diet (SKD)

The Standard Ketogenic Diet (SKD) is a dietary regimen that has gained significant attention in recent years due to its potential health benefits. The diet is characterized by a high intake of fats, moderate protein, and very low carbohydrates. The typical macronutrient distribution is around 70-75% fat, 20% protein, and 5-10% carbohydrates.

The SKD works by shifting the body's metabolism from glucose to ketones, which are produced when the body breaks down fats for energy. This state, known as ketosis, is achieved when carbohydrate intake is drastically reduced, and fat intake is increased. The body becomes incredibly efficient at burning fat for energy and also turns fat into ketones in the liver, which can supply energy for the brain. Research on the effects of the SKD on various health conditions has been extensive. One area of interest is its potential role in managing Metabolic Syndrome (MetS). Several studies have suggested that the SKD may have beneficial effects on MetS. For instance, a systematic review found that most studies showed a significant effect of the SKD on weight loss, BMI, glucose levels, insulin levels, HbA1c, total cholesterol, and triglycerides (Charlot & Zoll, 2022). Another study reported that the SKD could be a promising approach for obesity and MetS treatment (Gershuni et al., 2018a). However, the optimal carbohydrate proportion and diet duration must be explored to enhance the beneficial effects of the SKD.

In conclusion, the SKD works by shifting the body's metabolism from glucose to ketones, promoting fat burning and potentially leading to weight loss and improved metabolic health.

# 2.2 High-Protein Ketogenic Diet (HPKD)

The High-Protein Ketogenic Diet (HPKD) is a modification of the traditional ketogenic diet, with a greater emphasis on protein consumption while still maintaining a low-carbohydrate and moderate-fat regimen. The macronutrient distribution for the HPKD is roughly 60-65% fat, 30% protein, and 5-10% carbohydrates. This diet is especially favored by athletes, bodybuilders, and those aiming to maintain muscle mass while shedding body fat.

The HPKD operates similarly to the Standard Ketogenic Diet (SKD) in terms of carbohydrate restriction. Consuming a diet high in fat and low in carbohydrates, such as this one, will stabilize your blood sugar levels, resulting in sustained energy and stabilized hunger hormones and cravings. On a HPKD, the same types of foods are consumed as on a standard keto diet: Healthy Fats (Olive oil, coconut oil, MCT oil, avocados, butter, ghee, tallow, etc.), Quality Protein (beef, poultry, pork, seafood, nuts, seeds), and Non-Starchy Veggies (leafy greens, cucumbers, broccoli, asparagus, onions, tomatoes, zucchini). The only difference is that your daily protein intake is increased to 120 grams.

Research on the HPKD has shown promising results in various areas. In terms of metabolic syndrome (MetS), a review published in Current Nutrition Reports highlighted the potential of the ketogenic diet in reversing MetS (Gershuni et al., 2018a). The diet, by changing how the brain gets energy to function, has successfully reduced seizures in many patients. Emerging evidence supports using ketogenic diet therapies in other adult neurologic disorders and medical conditions,

such as migraines, Parkinson's disease, dementia, brain tumors, and multiple sclerosis (Cervenka et al., 2021).

## **2.3 Cyclical Ketogenic Diet (CKD)**

The Cyclical Ketogenic Diet (CKD) is a variant of the standard ketogenic diet, which is characterized by periods of low-carb, high-fat intake interspersed with periods of higher carb intake. This diet is often referred to as "keto cycling" and is particularly popular among those seeking muscle growth and improved exercise performance.

In a typical CKD, you consume up to 50 grams of carbs for 5-6 days a week. These days are usually weekdays and are referred to as the ketogenic diet phase. During this phase, your goal is to maintain ketosis, a metabolic state where your body uses fat as its primary energy source instead of glucose. During these days, you increase your carb intake to 70-80% of your total calories. For instance, if your daily calorie requirement is 2,000 calories, 70-80% of that goes to carbs, which would be equivalent to 350-400 grams of carbs.

The CKD is believed to offer the benefits of ketosis while also incorporating the benefits of strategic carbohydrate consumption. However, it's important to note that the CKD is not the same as carb cycling. While both involve varying carb intake, carb cycling doesn't reduce overall carb intake drastically enough to reach ketosis.

A systematic review by Anouk Charlot and Joffrey Zoll found that most studies showed a significant effect of the ketogenic diet on weight loss, BMI, glucose levels, insulin levels, HOMA-IR, HbA1c, total cholesterol, TG, AST, and ALT, with no major side effects. The heterogeneity in the results seems to be explained by differences in diet composition and duration. The review concluded that the ketogenic diet appears to be a safe and promising approach for obesity and MetS treatment. (Charlot & Zoll, 2022)

# 2.4 Targeted Ketogenic Diet (TKD)

The Targeted Ketogenic Diet (TKD) is a variation of the standard ketogenic diet (SKD) that is designed to cater to athletes and individuals engaged in high-

intensity exercise. The primary purpose of TKD is to provide an immediate source of energy and to prevent low blood glucose levels among athletes. On the TKD, people consume your daily allotment of carbs before, during, or after a workout. This is different from the standard ketogenic diet (SKD), where people keep carbs low at all times. The best sources of pre-workout carbs are glucose and dextrose, not fructose, as it primarily gets stored in the liver rather than in the blood. The TKD is meant to improve exercise performance for high-intensity workouts or extended bouts of activity. Eating a small number of fast-absorbing carbs before, during, or after intense workouts can help fuel their session and top off people's glycogen stores. To get this benefit from the TKD, people need to be fat-adapted and glycogen-depleted. Fat adaptation typically happens several weeks into the keto diet, and allows them to slip in and out of ketosis more easily.

A study titled "Nutritional Ketosis for Weight Management and Reversal of Metabolic Syndrome" also discussed the benefits of carbohydrate restriction, which suggests that carbohydrate intolerance is a common thread in MetS. The five main components of metabolic syndrome—obesity, fasting blood sugar, high triglycerides (TGs), low HDL cholesterol, and hypertension—are all improved by carbohydrate restriction (Gershuni et al., 2018).

# **III. Ketogenic Diet and Metabolic Syndrome**

### 3.1 Potential Benefits of the Ketogenic Diet in Metabolic Syndrome

The ketogenic diet (KD) has been shown to have several potential benefits for metabolic syndrome (MetS). It is a high-fat, low-carbohydrate, and non-caloric restrictive diet that has gained popularity as a weight loss intervention. The diet has been found to have significant effects on weight loss, BMI, glucose levels, insulin levels, HOMA-IR, HbA1c, total cholesterol, and triglycerides. It has also been suggested that the KD could potentially increase the genetic diversity of the microbiome and increase the ratio of Bacteroidetes to Firmicutes (Dowis & Banga, 2021). Furthermore, the KD diet could potentially improve lipid profiles by lowering low-density lipoprotein (LDL), increasing high-density lipoprotein (HDL), and lowering triglycerides.

# **3.2 Safety and Efficacy of the Ketogenic Diet**

The safety and efficacy of the KD in treating MetS have been evaluated in several studies. The systematic review by Anouk Charlot and Joffrey Zoll found no major side effects of the KD. However, the results' heterogeneity seems to be explained by a difference in diet composition and duration. Another study titled "Effects of ketogenic diet on health outcomes: an umbrella review of meta-analyses of randomized clinical trials" found beneficial associations of KD supported by moderate to high-quality evidence on seizure and several cardiometabolic parameters. However, KD was associated with a clinically meaningful increase in LDL-C (Patikorn et al., 2023). Therefore, it's crucial to perform more rigorous researches to focus on clinical safety and appropriate treatment duration and plan of KDs (Zhang et al., 2021).

#### **3.3** Controversies Surrounding the Ketogenic Diet

The ketogenic diet (KD), a high-fat, low-carbohydrate diet, has been used for decades to treat metabolic disorders and refractory pediatric epilepsy. However, despite its popularity and potential benefits, there are several controversies surrounding the KD. One of the main controversies is the potential side effects of the diet. The KD could cause low blood pressure, kidney stones, constipation, nutrient deficiencies, and an increased risk of heart disease. It could also cause social isolation or disordered eating. Furthermore, the diet is low in fibrous foods like grains and legumes, which could lead to constipation and fuzzy thinking (Gough et al., 2021).

Another controversy is the potential role of the KD in cancer treatment. While some proponents of the KD proclaim it as a magical diet that can even cure cancer, the reality is more complex. Carcinogenesis is a complex process in which normal cells turn into cancer cells via multiple sequential mutations occurring randomly in the DNA over many years. Hyperinsulinemia, hyperglycemia, and chronic inflammation may influence the neoplastic process through various pathways. However, the relative contributions of genetic mutations, immune cell dysfunction, metabolic disturbances, and influences of the microenvironment are

likely to differ depending on the type of tumor and thus necessitate different treatments (Gough et al., 2021).

#### **IV. Conclusion**

The ketogenic diet, characterized by high fat and low carbohydrate intake, has shown promising results in managing obesity and metabolic syndrome. The diet has been found to significantly impact weight loss, BMI, glucose levels, insulin levels, HOMA-IR, HbA1c, total cholesterol, triglycerides, AST, and ALT. However, the effectiveness of the diet can vary significantly depending on its composition and duration, emphasizing the need for personalization. While the diet has been associated with improvements in various metabolic parameters and does not worsen hunger, appetite, or mood, it has also been linked with a clinically meaningful increase in LDL-C. Therefore, long-term clinical trials are necessary to determine if the short-term effects of the ketogenic diet translate into beneficial long-term outcomes such as reduced cardiovascular events and mortality.

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