



Safe and Explainable  
Critical Embedded Systems based on AI

## PhIMT0003 Model Optimization Log

Version 1.0

### Documentation Information

<b>Contract Number</b>	101069595
<b>Project Website</b>	<a href="http://www.safexplain.eu">www.safexplain.eu</a>
<b>Contractual Deadline</b>	31.04.2024
<b>Dissemination Level</b>	PU
<b>Nature</b>	R
<b>Author</b>	Javier Fernández
<b>Modified by</b>	Javier Fernández
<b>Reviewed by</b>	Lorea Belategi, Irune Agirre
<b>Approved by</b>	Irune Agirre
<b>Keywords</b>	DL, Inference Management, Model Optimization



This project has received funding from the European Union's Horizon Europe programme under grant agreement number 101069595.

# Table of Contents

1	Review / Modification History .....	2
2	Objective .....	3
3	Scope.....	3
4	Model Optimization .....	3
5	Acronyms and Abbreviations .....	5
6	Bibliography .....	6

# 1 Review / Modification History

Version	Date	Description Change
V1.0	01/12/2023	First version after complete internal review
V0.2	20/11/2023	Modifications and improvements based on internal review
V0.1	11/11/2023	First draft

*Note: The paragraphs/name of the project/Rev./Ref./history table in **blue** must be replaced with the information for the specific project. The paragraphs written in **red** are instructions that can be used as a guide, so they must be deleted.*

## 2 Objective

The objective of this document is to define the information relative to the optimization of the DL model.

## 3 Scope

This template applies to the model optimization steps performed through the Artificial Intelligence - Functional Safety Management (AI-FSM).

## 4 Model Optimization

The following table collects the information relative to the model optimization:

*Table 1. Model optimization information*

Model optimization		<Model_optimization_ID>
Date	Date of design: Format YYYY/MM/DD (year, moth, day)	
Responsible	The person who converts the model	
Phase of the lifecycle	Inference Management	
Input Model Specifications		
Verified Learning Model ID or Model Conversion ID	<Model_ID>_<Model_ID_version> or, if the model have just been converted: <Model_conversion_ID>	
Calibration fundamentals operations (preprocessing operations before post-quantization)		
Calibration	Set the range to a maximum absolute value seen during calibration, to a percentile of the distribution of absolute values, use specific methods such as the KL divergence method to obtain an entropy value...	
Transformation function	For instance: $f(x)=s \cdot x$	
Scale factor	I.e., $s = (2^b - 1) / (\alpha - \beta)$	
Post-training quantization specifications		
Framework and version	Specify the framework used to convert the model and its version: TensorFlow, pytorch, keras, etc.	
Packages and version	Tensorflow (keras, tensorflow), onnx-tf (onnx), torch (pythorch)...	
Quantization precision	Precision level for quantization: 8-bit (int8_t, uint8_t), int8, 16-bit (int16_t, uint16_t)	
Quantization scheme	Symmetric/asymmetric	
Quantization technique	Weight quantization, integer quantization...	
Quantization granularity	Layerwise quantization, channelwise quantization, groupwise quantization... In case of being a particular quantization for each layer, group of layers... there would be specified configurations for each of the quantizations.	
Additional configurations	Include here all the information that makes the quantization reproducible	
Pruning specifications		
Framework and version	Specify the framework used to convert the model and its version: TensorFlow, pytorch, keras, etc.	
Packages and version	Tensorflow (keras, tensorflow), onnx-tf (onnx), torch (pythorch)...	
Pruning criteria	Weight magnitude, gradient magnitude, global or local threshold...	
Pruning patterns	Element-wise, vector-wise, block-wise, group-wise...	
Additional configurations		
Techniques to recover accuracy		
Partial quantization configurations		
Quantization-aware training configurations		
Learning quantization parameters configurations		

## 5 Acronyms and Abbreviations

Below is a list of acronyms and abbreviations employed in this document:

- AI - FSM – Artificial Intelligence - Functional Safety Management

## 6 Bibliography

Add here the reference to used bibliography / references (if any).