

# Performance Analysis of List Scheduling in Heterogeneous Computing Systems

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**Abstract**— Given a parallel program to be executed on a heterogeneous computing system, the overall execution time of the program is determined by a schedule. In this paper, we analyze the worst-case performance of the list scheduling algorithm for scheduling tasks of a parallel program in a mixed-machine heterogeneous computing system such that the total execution time of the program is minimized. We prove tight lower and upper bounds for the worst-case performance ratio of the list scheduling algorithm. We also examine the average-case performance of the list scheduling algorithm. Our experimental data reveal that the average-case performance of the list scheduling algorithm is much better than the worst-case performance and is very close to optimal, except for large systems with large heterogeneity. Thus, the list scheduling algorithm is very useful in real applications.

**Keywords**— Average-case performance, list scheduling algorithm, mixed-machine heterogeneous computing system, worst-case performance.

## I. INTRODUCTION

A Key consideration in high performance parallel computing is the match between the computational needs of a parallel application and the advanced capabilities of a parallel machine. Homogeneous computing using one mode of parallelism, while providing good performance for some applications, has severe limitations in satisfying diversified characteristics of program codes efficiently. A parallel program or application may have various tasks with different architectural requirements. For applications with multiple types of parallelism, any single parallel machine will spend most of the time to execute ill-matched codes, resulting in significant degradation of overall system performance.

Heterogeneous computing is a parallel computing technique that solves computationally intensive problems with diverse computing requirements in an environment that incorporates a distributed suite of different autonomous high performance parallel machines interconnected by high speed links and networks. Heterogeneous computing is well-orchestrated, coordinated, and effective use of different types of machines, networks, software, and interfaces to maximize their combined performance and cost-effectiveness. Heterogeneous computing systems have the ability to match computing needs to appropriate computing resources [1], [3], [10], [12].

In a mixed-machine heterogeneous computing system, coarse-grained heterogeneity is supported at task level. A parallel program is divide into a collection of tasks (or modules, code segments), such that within a task, processing

requirements are homogeneous. Through offline procedures called code-type profiling and analytical benchmarking [7], [13], the execution time of each task on each machine is measured, and the best machine to execute a task is identified. Then, the problem is scheduling (including mapping), that is, to assign the tasks to the machines and to determine when a task is to be executed. Given a parallel program to be executed on a heterogeneous computing system, the overall execution time of the program is determined by a schedule. A mixed-machine heterogeneous computing system coordinates the execution of tasks of a parallel program on different machines within the system to exploit various architectural features and achieve improved system performance.

Scheduling and mapping of tasks of parallel programs in heterogeneous computing systems have been studied extensively, where parallel programs are modeled by task precedence graphs (directed acyclic graphs) [14] or task interaction graphs (undirected graphs) [6]. For independent tasks [11], many heuristics have been developed and compared for static scheduling (i.e., the complete set of tasks to be scheduled is known in advance) [2] and dynamic scheduling (i.e., tasks arrive at different times and scheduling is performed as tasks arrive) [9].

In this paper, we investigate the problem of scheduling tasks of a parallel program in a mixed-machine heterogeneous computing system such that the total execution time of the program is minimized. Since the problem is NP-hard even in a homogeneous computing system [4], we consider approximation algorithms that produce near-optimal schedules. We analyze the worst-case performance of the *list scheduling* (LS) algorithm. In particular, we prove that the worst-case performance ratio of the list scheduling algorithm is in the range  $[\gamma_2, \gamma_1]$ , with

$$\gamma_1 = \frac{1}{\alpha} \left( 2 - \frac{1}{m} \right),$$

and

$$\gamma_2 = \begin{cases} \frac{1}{\alpha} \left( 2 - \left( \frac{2}{\alpha + 1} \right) \frac{1}{m} \right) & \text{if } m \leq \frac{2}{1 - \alpha}, \\ \frac{1}{\alpha} \left( 2 - \frac{1}{m - 1} \right) & \text{if } m \geq \frac{2}{1 - \alpha}, \end{cases}$$

where  $m$  is the number of machines and  $\alpha$  is a measure of system heterogeneity with  $0 < \alpha \leq 1$ , i.e., the smallest ratio of the execution time on the fastest machine to the execution time on the slowest machine for all tasks. Our result includes Graham's classic result in [5] for homogeneous

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computing systems as a special case. Our result also improves the lower bound in [8] for the worst-case performance ratio of the list scheduling algorithm. We notice that although task scheduling in mixed-machine heterogeneous computing systems have been investigated experimentally, there is lack of such analytical result.

It is clear that precedence constraints, task execution times, together with the machine selection issue, make the scheduling problem in heterogeneous computing systems more complicated than the corresponding scheduling problem in homogeneous computing systems. We find that in the worst case, the list scheduling algorithm has limited ability to take advantage of the power of heterogeneous computing systems with large heterogeneity, due to its simple scheduling and mapping strategies. It is therefore an interesting problem to design more efficient algorithms that are able to assign tasks to the right machines at the right times.

In addition to the worst-case performance analysis, we also examine the average-case performance of the list scheduling algorithm. Our experimental data reveal that the average-case performance of the list scheduling algorithm is much better than the worst-case performance and is very close to optimal, except for large systems with large heterogeneity. Thus, the list scheduling algorithm, though very simple, is useful in real applications.

The rest of the paper is organized as follows. In Section 2, we give a parallel program model for heterogeneous computing, describe the task scheduling problem, and define performance measures. In Section 3, we present the list scheduling algorithm in heterogeneous computing systems. In Section 4, we analyze the worst-case performance ratio of the list scheduling algorithm. In Section 5, we demonstrate experimental data for the average-case performance bound of the list scheduling algorithm. Finally, we conclude the paper in Section 6.

## II. PRELIMINARIES

Let  $H = (M_1, M_2, \dots, M_m)$  be a mixed-machine heterogeneous computing system consisting of a suite of  $m$  autonomous machines. The  $m$  machines may have different processor architectures (e.g., SIMD, MIMD, VLIW, vector, superscalar, and special processors) and different execution speeds for the same task.

A parallel program  $P$  can be specified as a triplet  $P = (T, \prec, \tau)$ .  $T$  is a set of  $n$  tasks  $T = \{T_1, T_2, \dots, T_n\}$ , each will be executed on one of  $M_1, M_2, \dots, M_m$ . There is a set of precedence constraints, or, a partial order  $\prec$  on  $T$ , such that if  $T_{i_1} \prec T_{i_2}$ , then  $T_{i_2}$  cannot start its execution until  $T_{i_1}$  is completed. The precedence constraints  $\prec$  among the tasks can be represented by a task precedence graph, i.e., a directed acyclic graph (dag) in which, there are  $n$  nodes representing the  $n$  tasks  $T_1, T_2, \dots, T_n$ , and there is an arc  $(T_{i_1}, T_{i_2})$  if and only if  $T_{i_1} \prec T_{i_2}$ .

Task execution times are given by  $\tau : T \times M \rightarrow (0, +\infty)$ , where  $\tau(T_i, M_j)$  is the execution time of task  $T_i$  on machine  $M_j$ . For each task  $T_i$ , there exists a permutation  $\pi_i = (\pi_i(1), \pi_i(2), \dots, \pi_i(m))$ , such that

$$\tau(T_i, M_{\pi_i(1)}) \leq \tau(T_i, M_{\pi_i(2)}) \leq \dots \leq \tau(T_i, M_{\pi_i(m)}).$$

Therefore, machine  $M_{\pi_i(k)}$  is the  $k$ th fastest machine to execute task  $T_i$ . Let  $\alpha$  be the largest value such that for each task  $T_i$ , the execution times on its fastest machine and the slowest machine are related as  $\tau(T_i, M_{\pi_i(1)}) \geq \alpha \tau(T_i, M_{\pi_i(m)})$ , where  $0 < \alpha \leq 1$ . The parameter  $\alpha$  is a measure of heterogeneity of  $H$ .

A schedule is a specification of when and where to execute the tasks  $T_1, T_2, \dots, T_n$ . Clearly, for a parallel program  $P$  and a heterogeneous computing system  $H$ , the overall execution time is determined by a schedule. The scheduling problem addressed in this paper is, given a parallel program  $P$  and a heterogeneous computing system  $H$ , finding a nonpreemptive schedule of tasks in  $P$  on  $H$  such that the overall execution time of the tasks in  $P$  is minimized.

The above scheduling problem is NP-hard even in a homogeneous computing system consisting of identical machines [4]. Therefore, we seek efficient algorithms that are able to generate acceptable schedules. The objective of this paper is to study the performance of the list scheduling algorithm which finds a near-optimal schedule of tasks in a parallel program  $P$  on a heterogeneous computing system  $H$ .

Let  $A(P)$  be the length of a schedule produced by an algorithm  $A$ , and  $OPT(P)$  be the length of an optimal schedule. Define

$$\gamma_A = \sup_P \left( \frac{A(P)}{OPT(P)} \right)$$

to be the *worst-case performance ratio* of algorithm  $A$ . If  $A(P) \leq \gamma_1 OPT(P)$  for all  $P$ , then  $\gamma_1$  is called a *worst-case performance bound* of algorithm  $A$ , i.e.,  $\gamma_A \leq \gamma_1$ . If for any small  $\epsilon > 0$ , there exists an instance  $P$  such that  $A(P) \geq (\gamma_2 - \epsilon) OPT(P)$ , then the bound  $\gamma_2$  is a lower bound for  $\gamma_A$ , i.e.,  $\gamma_A \geq \gamma_2$ .

When a parallel program  $P$  contains random precedence constraints and/or random task execution times, both  $A(P)$  and  $OPT(P)$  are random variables. Define

$$\bar{\gamma}_A(P) = E \left( \frac{A(P)}{OPT(P)} \right)$$

to be the *average-case performance ratio* of algorithm  $A$  for random parallel program  $P$ , where  $E(\cdot)$  stands for the expectation of a random variable. If  $A(P)/OPT(P) \leq \beta$ , then  $\bar{\beta}$ , the expectation of  $\beta$ , is called an *average-case performance bound* of algorithm  $A$  for random parallel program  $P$ , i.e.,  $\bar{\gamma}_A(P) \leq \bar{\beta}$ .

## III. THE LIST SCHEDULING ALGORITHM

The list scheduling algorithm was originally proposed by Graham for scheduling precedence constrained tasks in homogeneous computing systems with  $\alpha = 1$  (that is, a task  $T_i$  has the same execution time on all machines  $M_1, M_2, \dots, M_m$ ) [5]. A list schedule (i.e., a schedule produced by the list scheduling algorithm) is based on an initial ordering of the tasks  $L = (T_{j_1}, T_{j_2}, \dots, T_{j_n})$ , called a *priority list*. Initially, at time zero, the scheduler instantaneously scans the list  $L$  from the beginning, searching for tasks that are ready to be executed, i.e., tasks which have no predecessors under  $\prec$  still waiting in  $L$ . The first ready task in  $L$  is removed from  $L$  and assigned

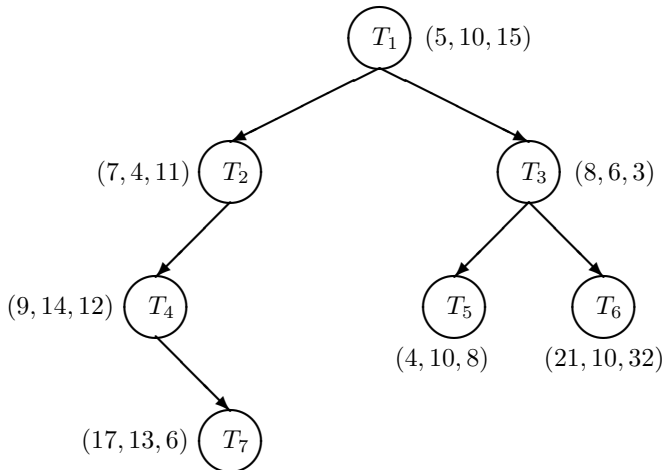


Fig. 1. An example parallel program with  $n = 7$  tasks.

to a machine for processing. Such a search is repeated until there is no ready task or there is no more machine available. In general, whenever a machine completes a task, the scheduler immediately scans  $L$ , looking for the first ready task to be executed. If such a ready task is found, it is scheduled on that machine; otherwise, the machine becomes idle and waits for the next finished task. As running tasks complete, more precedence constraints are removed and more tasks will be ready.

For a parallel program  $P$ , the length (i.e., makespan) of a list schedule certainly depends on the priority list  $L$ . However, the performance of a list schedule is quite robust. Graham proved that the list schedule length is no more than twice the optimal schedule length for arbitrary  $L$ . In particular, let  $LS(P, L)$  denote the length of a list schedule based on a priority list  $L$ , and  $OPT(P)$  be the length of an optimal schedule. Graham proved the following well known result: for any parallel program  $P$  and any priority list  $L$ , we have

$$LS(P, L) \leq \left(2 - \frac{1}{m}\right) OPT(P);$$

furthermore, the bound  $2 - 1/m$  is the best possible, i.e.,  $\gamma_{LS} = 2 - 1/m$  [5].

The excellent performance of the list scheduling algorithm motivates us to apply the strategy to scheduling tasks of parallel programs in mixed-machine heterogeneous computing systems. The extension of the algorithm to heterogeneous computing systems is straightforward. Again, a list schedule is based on a priority list, i.e., an initial ordering of the tasks. The priority list can be arbitrary and does not affect our analytical result. Initially, and whenever a machine completes a task, the scheduler searches for a ready task. If such a task is found, it is assigned to the best idle machine and is executed nonpreemptively on that machine. Ties are broken arbitrarily if there are several best idle machines for the task, e.g., selecting the best machine with the least index. The selection of the best machine is only for practical purpose. Theoretically, it does not matter which idle machine to choose, since the worst-case performance bound to be proven in Section 4 remains the same.

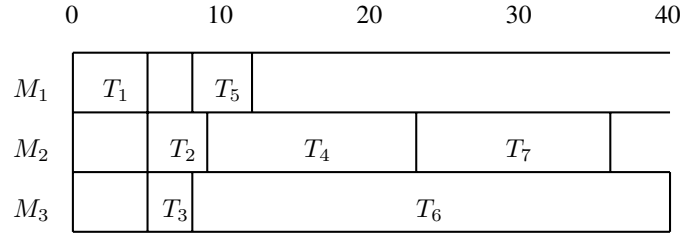


Fig. 2. A list schedule of length 40.

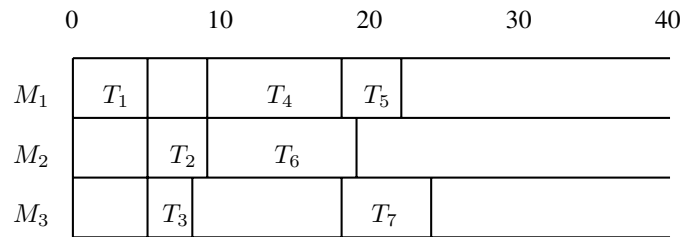


Fig. 3. An optimal schedule of length 24.

For example, let us consider a parallel program with  $n = 7$  tasks shown in Figure 1 on a heterogeneous computing system with  $m = 3$  machines. Each task  $T_i$  is described by a vector  $(\tau(T_i, M_1), \tau(T_i, M_2), \dots, \tau(T_i, M_m))$ . The  $\tau(T_i, M_j)$ 's are chosen randomly only for the purpose of illustration. A list schedule based on the priority list  $L = (T_1, T_2, \dots, T_7)$  is displayed in Figure 2. Initially, at time 0, task  $T_1$  is scheduled on its best machine  $M_1$ . When  $T_1$  completes at time 5, tasks  $T_2$  and  $T_3$  are ready for execution and they are assigned to their best machines  $M_2$  and  $M_3$  respectively. When task  $T_3$  completes at time 8, tasks  $T_5$  and  $T_6$  are ready for execution. Task  $T_5$  is scheduled on  $M_1$ , since  $M_1$  is the best machine of  $T_5$ . Task  $T_6$  is assigned to  $M_3$ , since  $M_3$  is the only available machine at this moment. At time 9, task  $T_2$  is finished. Task  $T_4$  is then scheduled on  $M_2$ . The completion of task  $T_5$  does not introduce any more ready tasks. Finally, when task  $T_4$  is finished at time 23, task  $T_7$  is scheduled on  $M_2$ , since  $M_2$  is the best available machine for  $T_7$ . We notice that it is not a good decision to schedule  $T_6$  on  $M_3$ , because  $M_3$  is the worst machine of  $T_6$ . However, the list scheduling algorithm does not have another choice at time 8. Although four tasks are executed on their best machines, the overall length of the list schedule is still 40, which is longer than that of an optimal schedule as depicted in Figure 3. In the optimal schedule, task  $T_6$  is deferred until task  $T_2$  finishes at time 9 so that  $T_6$  can be executed on its best machine  $M_2$ . This means that there is an issue of machine selection. Task  $T_5$  is deferred until  $T_4$  completes because  $T_4$  has a successor  $T_7$ , i.e., there is a consideration of the structure of a task precedence graph. It seems that the list scheduling algorithm has very limited capability to deal with such difficulties.

#### IV. WORST-CASE PERFORMANCE ANALYSIS

In this section, we analyze the worst-case performance of the list scheduling algorithm that produces a near-optimal schedule for a parallel program  $P$  on a suite  $H$  of heterogeneous machines.

The main result of the paper is the following theorem, where the upper bound for  $\gamma_{LS}$  was originally proved in [8] and is included here for the sake of completeness, and the lower bound for  $\gamma_{LS}$  is improved here.

*Theorem 1:* The worst-case performance ratio of the list scheduling algorithm is  $\gamma_2 \leq \gamma_{LS} \leq \gamma_1$ , where

$$\gamma_1 = \frac{1}{\alpha} \left( 2 - \frac{1}{m} \right),$$

and

$$\gamma_2 = \begin{cases} \frac{1}{\alpha} \left( 2 - \left( \frac{2}{\alpha + 1} \right) \frac{1}{m} \right) & \text{if } m \leq \frac{2}{1 - \alpha}, \\ \frac{1}{\alpha} \left( 2 - \frac{1}{m - 1} \right) & \text{if } m \geq \frac{2}{1 - \alpha}, \end{cases}$$

in heterogeneous computing systems.

*Proof.* We notice that when a task completes, ready tasks may be scheduled for execution. We call such a moment as a break point. Let  $t_1, t_2, t_3, \dots, t_b$  be the break points in a list schedule of a parallel program  $P$  based on a priority list  $L$ . Since several tasks may complete at the same time, we have  $b \leq n$ . Such break points divide the time interval  $[0, LS(P, L))$  into  $(b+1)$  half-open sub-intervals:

$$\begin{aligned} I_0 &= [t_0, t_1), \\ I_1 &= [t_1, t_2), \\ I_2 &= [t_2, t_3), \\ &\dots, \\ I_{b-1} &= [t_{b-1}, t_b), \\ I_b &= [t_b, t_{b+1}), \end{aligned}$$

where  $t_0 = 0$  is the starting time, and  $t_{b+1} = LS(P, L)$  is the completion time of  $P$  under the list schedule based on  $L$ .

We go through the above list of sub-intervals in its reverse order  $I_b, I_{b-1}, \dots, I_2, I_1, I_0$ , and divide the set of sub-intervals  $\{I_0, I_1, I_2, \dots, I_{b-1}, I_b\}$  into two disjoint subsets  $\mathfrak{S}_1$  and  $\mathfrak{S}_2$  as follows.

Assume that  $T_{j_1}$  is a task that is completed at time  $LS(P, L)$  and is scheduled at time  $t_{k_1}$ . Then, the sub-intervals  $I_{k_1}, I_{k_1+1}, \dots, I_b$  are put into  $\mathfrak{S}_1$ . We say that  $I_{k_1}, I_{k_1+1}, \dots, I_b$  are covered by task  $T_{j_1}$ . Now, we ask the question, "Why is task  $T_{j_1}$  not scheduled at time  $t_{k_1-1}$ ?" There are two possible reasons for this, namely,

- (C1) task  $T_{j_1}$  is not ready at time  $t_{k_1-1}$ , i.e., there is a task  $T_{j_2}$  such that  $T_{j_2} \prec T_{j_1}$ , and  $T_{j_2}$  is still in execution or just scheduled at time  $t_{k_1-1}$ , or
- (C2) task  $T_{j_1}$  is ready at time  $t_{k_1-1}$  and is considered for execution; however, it is not scheduled because there is no idle machine to be allocated to  $T_{j_1}$ .

Under condition (C1), we assume that  $T_{j_2}$  starts at time  $t_{k_2}$ , and put  $I_{k_2}, I_{k_2+1}, \dots, I_{k_1-1}$  into  $\mathfrak{S}_1$ , and say that these sub-intervals are covered by  $T_{j_2}$ . Then, we ask the question, "Why is task  $T_{j_2}$  not scheduled at time  $t_{k_2-1}$ ?" Under condition (C2),  $I_{k_1-1}$  is put into  $\mathfrak{S}_2$ . We notice that all the  $m$  machines are busy during  $I_{k_1-1}$ ; otherwise, task  $T_{j_1}$  would be scheduled at time  $t_{k_1-1}$ . We then continue to check sub-interval  $I_{k_1-2}$

and ask the question, "Why is task  $T_{j_1}$  not scheduled at time  $t_{k_1-2}$ ?"

In general, assume that  $I_r, I_{r+1}, \dots, I_b$  have been checked and there exists a chain of tasks  $T_{j_i} \prec T_{j_{i-1}} \prec \dots \prec T_{j_1}$  such that each sub-interval in  $\mathfrak{S}_1$  is covered by one of the tasks in the chain. Also, task  $T_{j_i}$  is ready at time  $t_r$ . Our question is "Why is task  $T_{j_i}$  not scheduled at time  $t_{r-1}$ ?" Again, there are two possible reasons, i.e., precedence constraints (C1) and machine constraints (C2). If task  $T_{j_i}$  is not ready at time  $t_{r-1}$ , i.e., there is a task  $T_{j_{i+1}}$  such that  $T_{j_{i+1}} \prec T_{j_i}$ , and  $T_{j_{i+1}}$  is scheduled at time  $t_{k_{i+1}}$  and still in execution at time  $t_{r-1}$ , we put  $I_{k_{i+1}}, I_{k_{i+1}+1}, \dots, I_{r-1}$  into  $\mathfrak{S}_1$  and say that these sub-intervals are covered by  $T_{j_{i+1}}$ . If task  $T_{j_i}$  is ready at time  $t_{r-1}$  but not scheduled for execution,  $I_{r-1}$  is put into  $\mathfrak{S}_2$ . We then continue to check sub-interval  $I_{r-2}$ .

Using the above procedure, we obtain two disjoint subsets of sub-intervals  $\mathfrak{S}_1$  and  $\mathfrak{S}_2$ , and a chain of  $l$  tasks  $T_{j_l} \prec T_{j_{l-1}} \prec \dots \prec T_{j_1}$  such that each sub-interval in  $\mathfrak{S}_1$  is covered by one of the tasks in the chain. We know that all the  $m$  machines are allocated during a sub-interval in  $\mathfrak{S}_2$ ; otherwise, more ready tasks would be scheduled at the beginning of the sub-interval. Also, it is clear that during a sub-interval in  $\mathfrak{S}_1$ , at least one machine is used.

Now, we are ready to establish the upper bound  $\gamma_1$  for  $\gamma_{LS}$ , that is, for any heterogeneous computing system  $H$ , any parallel program  $P$ , and any initial priority list  $L$ , we have

$$LS(P, L) \leq \frac{1}{\alpha} \left( 2 - \frac{1}{m} \right) OPT(P).$$

Let  $X_1$  and  $X_2$  be the total length of all the sub-intervals in  $\mathfrak{S}_1$  and  $\mathfrak{S}_2$  respectively. Then, we have

$$LS(P, L) = X_1 + X_2.$$

Let  $M_{p_i}$  be the machine that executes task  $T_i$  in the list schedule. Notice that  $M_{p_i}$  is not necessarily the best machine of  $T_i$ . In the worst case,  $M_{p_i}$  is the slowest machine to execute  $T_i$ . (Note: Since we are considering the worst machine, the worst-case performance bound is valid for any machine selection policy.) Nevertheless, in an optimal schedule, the execution time of  $T_i$  is still at least  $\alpha \tau(T_i, M_{p_i})$ . Since  $T_{j_l}, T_{j_{l-1}}, \dots, T_{j_1}$  must be executed sequentially in any schedule, we obtain

$$OPT(P) \geq \alpha X_1.$$

Let

$$W = \sum_{i=1}^n \tau(T_i, M_{p_i})$$

denote the total amount of work performed in the parallel program  $P$  under the list schedule. Then, we get

$$OPT(P) \geq \alpha \left( \frac{W}{m} \right).$$

Since at least one machine is used during a sub-interval in  $\mathfrak{S}_1$ , and all the  $m$  machines are allocated during a sub-interval in  $\mathfrak{S}_2$ , we have

$$W \geq X_1 + mX_2.$$

$M_1$	$T_1$	$T_m$
$M_2$	$T_2$	$T_{m+1}$
$\vdots$	$\vdots$	$\vdots$
$M_{m-1}$	$T_{m-1}$	$T_{2m-2}$
$M_m$	$T_{2m-1}$	

Fig. 4. An optimal schedule of  $P_1$  with length  $m$ .

$M_1$	$T_1$	$T_m$
$M_2$	$T_2$	$T_{m+1}$
$\vdots$	$\vdots$	$\vdots$
$M_{m-1}$	$T_{m-1}$	$T_{2m-2}$
$M_m$	$T_{2m-1}$	

Fig. 6. An optimal schedule of  $P_2$  with length  $m$ .

The above discussion can be summarized in the following inequality,

$$\frac{LS(P, L)}{OPT(P)} \leq \frac{X_1 + X_2}{\max(\alpha X_1, \alpha(X_1/m) + \alpha X_2)}$$

$$= \frac{1}{\alpha} \cdot \frac{X_1 + X_2}{\max(X_1, X_1/m + X_2)}.$$

The inequality for the upper bound in the theorem can be obtained from

$$\frac{X_1 + X_2}{\max(X_1, X_1/m + X_2)} \leq 2 - \frac{1}{m},$$

which can be derived as follows. If  $X_1 \geq X_1/m + X_2$ , i.e.,  $X_2 \leq (1 - 1/m)X_1$ , we get

$$\frac{X_1 + X_2}{\max(X_1, X_1/m + X_2)} = \frac{X_1 + X_2}{X_1}$$

$$= 1 + \frac{X_2}{X_1}$$

$$\leq 2 - \frac{1}{m}.$$

If  $X_1 \leq X_1/m + X_2$ , i.e.,  $X_2 \geq (1 - 1/m)X_1$ , we get

$$\frac{X_1 + X_2}{\max(X_1, X_1/m + X_2)} = \frac{X_1 + X_2}{X_1/m + X_2}.$$

It is easy to verify that the right hand side of the above equation is a nonincreasing function of  $X_2$  and it reaches its maximum value  $2 - 1/m$  when  $X_2 = (1 - 1/m)X_1$ .

As for the first lower bound for  $\gamma_{LS}$ , i.e.,

$$\gamma_{LS} \geq \frac{1}{\alpha} \left( 2 - \left( \frac{2}{\alpha + 1} \right) \frac{1}{m} \right),$$

we construct the following example program  $P_1$ . Let  $T$  contain  $2m - 1$  independent tasks  $T_1, T_2, \dots, T_{m-1}, T_m, T_{m+1}, \dots, T_{2m-2}, T_{2m-1}$  with no precedence constraint. The execution times of the  $2m - 1$  tasks on the  $m$  machines are described as follows.

- Task  $T_1$ 's best machine is  $M_1$  and  $T_1$ 's execution time on  $M_1$  is  $2/(\alpha + 1)$ .
- For  $2 \leq i \leq m - 1$ , task  $T_i$ 's best machine is  $M_i$  and  $T_i$ 's execution time on  $M_i$  is 1.

- Task  $T_m$ 's best machine is  $M_1$  and  $T_m$ 's execution time on  $M_1$  is  $m - 2/(\alpha + 1)$ .
- For  $m + 1 \leq i \leq 2m - 2$ , task  $T_i$ 's best machine is  $M_{i-m+1}$  and  $T_i$ 's execution time on  $M_{i-m+1}$  is  $m - 1$ .
- Task  $T_{2m-1}$ 's best machine is  $M_m$  and its execution time on  $M_m$  is  $m$ .
- For all  $1 \leq i \leq 2m - 1$ , the execution time of  $T_i$  on a machine other than its best machine is  $1/\alpha$  times that on  $T_i$ 's best machine.

Figure 4 shows an optimal schedule of  $P_1$ , where  $OPT(P_1) = m$  and all tasks are executed on their best machines. Now, consider a list schedule with the initial priority list  $L = (T_1, T_m, T_{m+1}, \dots, T_{2m-2}, T_2, T_3, \dots, T_{m-1}, T_{2m-1})$ . Assume that the machine with the least index is chosen if there are ties. The list schedule based on  $L$  is depicted in Figure 5, where all tasks, except  $T_1$ , are executed on their worst machines. It is easy to verify that

$$\frac{2}{\alpha + 1} + \frac{m - 2}{\alpha} = \frac{1}{\alpha} \left( m - \frac{2}{\alpha + 1} \right),$$

that is, tasks  $T_1, T_2, \dots, T_{m-1}$  are executed on  $M_1$  and their total execution time is identical to the execution time of  $T_m$  on  $M_2$ . When  $T_{m-1}$  is completed, task  $T_{2m-1}$  is scheduled on  $M_1$ . Thus, the list schedule length is

$$LS(P_1, L) = \frac{2}{\alpha + 1} + \frac{m - 2}{\alpha} + \frac{m}{\alpha}$$

$$= \frac{2}{\alpha + 1} + \frac{2m - 2}{\alpha}.$$

Therefore,

$$\frac{LS(P_1, L)}{OPT(P_1)} = \frac{1}{m} \left( \frac{2}{\alpha + 1} + \frac{2m - 2}{\alpha} \right)$$

$$= \frac{1}{\alpha} \left( 2 - \left( \frac{2}{\alpha + 1} \right) \frac{1}{m} \right).$$

As for the second lower bound for  $\gamma_{LS}$ , i.e.,

$$\gamma_{LS} \geq \frac{1}{\alpha} \left( 2 - \frac{1}{m - 1} \right),$$

we construct the following example program  $P_2$ . Let  $T$  contain  $2m - 1$  independent tasks  $T_1, T_2, \dots, T_{m-1}, T_m, T_{m+1}, \dots, T_{2m-2}, T_{2m-1}$  with no precedence constraint. The execution

$M_1$	$T_1$	$T_2$	$\cdot \cdot \cdot$	$T_{m-1}$	$T_{2m-1}$
$M_2$	$T_m$				
$M_3$	$T_{m+1}$				
$\vdots$	$\vdots$				
$M_m$	$T_{2m-2}$				

Fig. 5. A list schedule of  $P_1$  with length  $2/(\alpha + 1) + (2m - 2)/\alpha$ .

$M_1$	$T_1$	$T_2$	$\cdot \cdot \cdot$	$T_{m-1}$	$T_{2m-1}$
$M_2$	$T_m$				
$M_3$	$T_{m+1}$				
$\vdots$	$\vdots$				
$M_m$	$T_{2m-2}$				

Fig. 7. A list schedule of  $P_2$  with length  $(2m - (m - \alpha\delta)/(m - 1))/\alpha$ .

times of the  $2m - 1$  tasks on the  $m$  machines are described as follows.

- Task  $T_1$ 's best machine is  $M_1$  and  $T_1$ 's execution time on  $M_1$  is a very small quantity  $\delta$ .
- For  $2 \leq i \leq m - 1$ , task  $T_i$ 's best machine is  $M_i$  and  $T_i$ 's execution time on  $M_i$  is  $(m - \alpha\delta)/(m - 1)$ .
- Task  $T_m$ 's best machine is  $M_1$  and  $T_m$ 's execution time on  $M_1$  is  $m - \delta$ .
- For  $m + 1 \leq i \leq 2m - 2$ , task  $T_i$ 's best machine is  $M_{i-m+1}$  and  $T_i$ 's execution time on  $M_{i-m+1}$  is  $m - (m - \alpha\delta)/(m - 1)$ .
- Task  $T_{2m-1}$ 's best machine is  $M_m$  and its execution time on  $M_m$  is  $m$ .
- For all  $1 \leq i \leq 2m - 1$ , the execution time of  $T_i$  on a machine other than its best machine is  $1/\alpha$  times that on  $T_i$ 's best machine.

Figure 6 shows an optimal schedule of  $P_2$ , where  $OPT(P_2) = m$  and all tasks are executed on their best machines. Now, consider a list schedule with the initial priority list  $L = (T_1, T_m, T_{m+1}, \dots, T_{2m-2}, T_2, T_3, \dots, T_{m-1}, T_{2m-1})$ . The list schedule based on  $L$  is depicted in Figure 7, where all tasks, except  $T_1$ , are executed on their worst machines. It is easy to verify that

$$\delta + \frac{(m - 2)(m - \alpha\delta)}{\alpha(m - 1)} = \frac{1}{\alpha} \left( m - \frac{m - \alpha\delta}{m - 1} \right),$$

that is, tasks  $T_1, T_2, \dots, T_{m-1}$  are executed on  $M_1$  and their total execution time is identical to the execution time of  $T_i$  on  $M_{i-m+2}$ , where  $m + 1 \leq i \leq 2m - 2$ . When  $T_{m-1}$  is completed, task  $T_{2m-1}$  is scheduled on  $M_1$ . Thus, the list schedule length is

$$\begin{aligned} LS(P_2, L) &= \delta + \frac{(m - 2)(m - \alpha\delta)}{\alpha(m - 1)} + \frac{m}{\alpha} \\ &= \frac{1}{\alpha} \left( 2m - \frac{m - \alpha\delta}{m - 1} \right). \end{aligned}$$

Therefore,

$$\frac{LS(P_2, L)}{OPT(P_2)} = \frac{1}{\alpha} \left( 2 - \frac{1}{m - 1} \cdot \left( 1 - \frac{\alpha\delta}{m} \right) \right).$$

Since  $\delta$  can be arbitrarily small, we obtain the second lower bound.

Finally, we notice that

$$\frac{1}{\alpha} \left( 2 - \left( \frac{2}{\alpha + 1} \right) \frac{1}{m} \right) \geq \frac{1}{\alpha} \left( 2 - \frac{1}{m - 1} \right),$$

that is,

$$\left( \frac{2}{\alpha + 1} \right) \frac{1}{m} \leq \frac{1}{m - 1},$$

if and only if  $m \leq 2/(1 - \alpha)$ . Hence, we get the combined lower bound  $\gamma_2$ . This completes the proof of the theorem. ■

TABLE I  
NUMERICAL DATA FOR THE PERFORMANCE BOUNDS  $\gamma_2$  AND  $\gamma_1$ .

$m$	$\alpha = 0.2$		$\alpha = 0.4$		$\alpha = 0.6$		$\alpha = 0.8$		$\alpha = 1.0$	
	$\gamma_2$	$\gamma_1$	$\gamma_2$	$\gamma_1$	$\gamma_2$	$\gamma_1$	$\gamma_2$	$\gamma_1$	$\gamma_2$	$\gamma_1$
2	5.8333	7.5000	3.2143	3.7500	2.2917	2.5000	1.8056	1.8750	1.5000	1.5000
3	7.5000	8.3333	3.8095	4.1667	2.6389	2.7778	2.0370	2.0833	1.6667	1.6667
4	8.3333	8.7500	4.1667	4.3750	2.8125	2.9167	2.1528	2.1875	1.7500	1.7500
5	8.7500	9.0000	4.3750	4.5000	2.9167	3.0000	2.2222	2.2500	1.8000	1.8000
6	9.0000	9.1667	4.5000	4.5833	3.0000	3.0556	2.2685	2.2917	1.8333	1.8333
7	9.1667	9.2857	4.5833	4.6429	3.0556	3.0952	2.3016	2.3214	1.8571	1.8571
8	9.2857	9.3750	4.6429	4.6875	3.0952	3.1250	2.3264	2.3438	1.8750	1.8750
9	9.3750	9.4444	4.6875	4.7222	3.1250	3.1481	2.3457	2.3611	1.8889	1.8889
10	9.4444	9.5000	4.7222	4.7500	3.1481	3.1667	2.3611	2.3750	1.9000	1.9000

Theorem 1 contains Graham's classic result in [5] for homogeneous computing systems as a special case when  $\alpha = 1$ .

In Table 1, we show the numerical values for the lower bound  $\gamma_2$  and the upper bound  $\gamma_1$  for  $\gamma_{LS}$ . We observe that the lower bound and the upper bound are very close, except when both  $m$  and  $\alpha$  are very small.

It is clear that in addition to precedence constraints and task execution times, there is also a machine selection issue, which makes the scheduling problem more complicated than the one solved in [5]. Theorem 1 implies that in the worst case, the list scheduling algorithm has limited ability to take advantage of the power of heterogeneous computing systems with large heterogeneity, due to its simple scheduling and mapping strategies, that is, scheduling a task as soon as it is ready and not always assigning a task to its best machine. It is therefore an interesting problem to design more efficient algorithms that are able to assign tasks to the right machines at the right times.

### V. SIMULATION RESULTS

In this section, we present simulation results on the average-case performance of the list scheduling algorithm in heterogeneous computing systems. Analysis of the average-case performance ratio is beyond the scope of the paper.

For the purpose of average-case performance evaluation, we need models to randomize precedence constraints among the tasks and execution times of the tasks in a parallel program. In our simulations, a parallel program  $P = (T, \prec, \tau)$  with random dag structure and random execution times is generated as follows.

- We use the random graph model  $DAG(n, p)$  to produce a random dag. In such a random dag with  $n$  tasks  $T_1, T_2, \dots, T_n$ , the probability that there exists an arc  $(T_{i_1}, T_{i_2})$  is  $p$ , where  $0 \leq p \leq 1$ , for all pairs  $(i_1, i_2)$  with  $1 \leq i_1 < i_2 \leq n$ . The existence of an arc is independent of the existence of other arcs.
- The  $\tau(T_i, M_j)$ 's are random variables generated as follows. For each task  $T_i$ , we first pick  $M_k$  as the worst machine for  $T_i$ , where  $k$  is uniformly distributed in  $\{1, 2, \dots, m\}$ . We then generate  $\tau(T_i, M_k)$  which is uniformly distributed in the interval  $(0, 1)$ , and for each  $j$ ,  $1 \leq j \leq m$  and  $j \neq k$ , we generate

$\tau(T_i, M_j)$  which is uniformly distributed in the interval  $(\alpha\tau(T_i, M_k), \tau(T_i, M_k))$ .

Although other models of random dags and random task execution times can be employed, we believe that they will not change our conclusions.

While the list schedule length  $LS(P, L)$  can be obtained by a simulation program for arbitrary parallel program  $P$ , it is infeasible to calculate  $OPT(P)$  in reasonable amount of time. We use the following lower bound for  $OPT(P)$ ,

$$OPT(P) \geq \frac{W^*}{m},$$

where

$$W^* = \sum_{i=1}^n \tau(T_i, M_{\pi_i(1)})$$

is the total amount of work performed by the best machines. It is clear that  $\bar{\beta}$  is an average-case performance bound, where

$$\beta = \frac{LS(P, L)}{W^*/m}.$$

The purpose of our experiments is to reveal the value of  $\bar{\beta}$ .

Tables 2–4 show our simulation data for three random parallel programs whose precedence constraints are specified by  $DAG(n, p)$ , where  $n = 200$  and  $p = 0, 0.05, 0.1$ . For each combination of  $DAG(n, p)$ ,  $m$ , and  $\alpha$ , we generate 500 samples of a random parallel program. For each sample of a random parallel program, we compute its  $W^*$ , simulate the list scheduling algorithm and get the list schedule length, and calculate the value of  $\beta$ . The average value of  $\beta$  is considered as the experimental value of  $\bar{\beta}$ . The 99% confidence interval of all the data in each table is also given.

From these data, we observe that the average-case performance of the list scheduling algorithm is much better than the worst-case performance and is very close to optimal, except for the case when  $m$  is large and  $\alpha$  is small. One should also notice that  $\bar{\beta}$  only gives an upper bound for  $\bar{\gamma}_{LS}$ ; the estimation of  $OPT(P)$  using  $W^*/m$  is definitely too optimistic. Therefore, the actual performance of the list scheduling algorithm should be even better.

### VI. SUMMARY

We have analyzed the worst-case performance ratio of the list scheduling algorithm for scheduling tasks of a parallel

TABLE II

SIMULATION DATA FOR THE AVERAGE-CASE PERFORMANCE BOUND  $\bar{\beta}$   
 ON DAG(200,0) (99% CONFIDENCE INTERVAL  $\pm 0.478\%$ ).

$m$	$\alpha = 0.2$	$\alpha = 0.4$	$\alpha = 0.6$	$\alpha = 0.8$	$\alpha = 1.0$
2	1.3383	1.2200	1.1297	1.0596	1.0034
3	1.5858	1.3461	1.1912	1.0848	1.0073
4	1.7741	1.4299	1.2302	1.1015	1.0120
5	1.9284	1.4896	1.2555	1.1135	1.0165
6	2.0584	1.5360	1.2769	1.1235	1.0213
7	2.1636	1.5751	1.2982	1.1333	1.0264
8	2.2558	1.6092	1.3123	1.1414	1.0313
9	2.3417	1.6419	1.3245	1.1485	1.0354
10	2.4142	1.6685	1.3391	1.1561	1.0414

TABLE III

SIMULATION DATA FOR THE AVERAGE-CASE PERFORMANCE BOUND  $\bar{\beta}$   
 ON DAG(200,0.05) (99% CONFIDENCE INTERVAL  $\pm 1.297\%$ ).

$m$	$\alpha = 0.2$	$\alpha = 0.4$	$\alpha = 0.6$	$\alpha = 0.8$	$\alpha = 1.0$
2	1.3391	1.2181	1.1294	1.0598	1.0038
3	1.5902	1.3452	1.1922	1.0864	1.0088
4	1.7828	1.4364	1.2343	1.1059	1.0162
5	1.9445	1.5027	1.2666	1.1222	1.0253
6	2.0952	1.5637	1.2982	1.1422	1.0360
7	2.2483	1.6256	1.3339	1.1643	1.0553
8	2.4106	1.7023	1.3903	1.1979	1.0885
9	2.6176	1.8115	1.4571	1.2542	1.1322
10	2.8932	1.9547	1.5564	1.3344	1.2031

TABLE IV

SIMULATION DATA FOR THE AVERAGE-CASE PERFORMANCE BOUND  $\bar{\beta}$   
 ON DAG(200,0.1) (99% CONFIDENCE INTERVAL  $\pm 1.311\%$ ).

$m$	$\alpha = 0.2$	$\alpha = 0.4$	$\alpha = 0.6$	$\alpha = 0.8$	$\alpha = 1.0$
2	1.3380	1.2205	1.1296	1.0600	1.0045
3	1.5944	1.3535	1.1967	1.0903	1.0127
4	1.8303	1.4664	1.2568	1.1252	1.0339
5	2.1347	1.6172	1.3621	1.2050	1.0974
6	2.5431	1.8527	1.5280	1.3384	1.2158
7	3.0061	2.1568	1.7585	1.5138	1.3681
8	3.5409	2.4731	1.9672	1.7147	1.5321
9	4.0979	2.7948	2.2264	1.9248	1.7304
10	4.6251	3.1283	2.4790	2.1359	1.9303

program in a mixed-machine heterogeneous computing system such that the total execution time of the program is minimized. We also presented experimental data to demonstrate the average-case performance which shows that the simple list scheduling algorithm is very useful in real applications.

As a further research direction, it is interesting to close the gap between the lower and upper bounds in Theorem 1. It is also important to design more efficient algorithms with improved worst-case and average-case performance.

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