# **DSWE R Package User Help Document**

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### <span id="page-2-0"></span>1 Differences between DSWE's R package and Python package.

The functions in the two DSWE packages closely mirror each other, but with the following differences:

- A. DSWE R package does not have the deep learning power curve function (DNNPowerCurve).
- B. DSWE Python package does not have the spline-based power curve function (SplinePCFit).
- C. DSWE Python package's BayesTreePowerCurve function uses BartPy, which is the Python implementation of BART. BartPy was not implemented by the original authors of BART and its results differ slightly from the BART function in R. So, the difference between the R and Python versions of the Bayes Tree power curve is larger than those between other power curve functions in the two packages.
- D. For the time being, AMK in Python has to take the bandwidth parameters from the R package, as the optimal bandwidth selection algorithm, i.e., the direct plug-in (DPI) algorithm, is still being implemented. Once DPI is implemented, AMK in Python will be stand alone.
- E. DSWE Python package does not have the Energy Decomposition function (deltaEnergy).

### <span id="page-2-1"></span>2 How do I install the package?

The package is available through [CRAN,](https://cran.r-project.org/package=DSWE) the official package repository of R, as well as o[n GitHub.](https://github.com/TAMU-AML/DSWE-Package) This package is tested on and is compatible with **R of version 3.5.0 or above**. When using an earlier R version, problems may arise.

The package should be installed using the standard install.packages()command in R or RStudio:

#### **install.packages("DSWE")**

Note: The package contains C++ source codes, and thus can be installed using the pre-compiled binaries available on CRAN or compiling the source code itself. When installing, R might ask if one wants to install the binaries or compile from source. Please select the latter only if you have the necessary tools to compile C++ code. For those who wish to compile from source, we provide the details on how to get the necessary tools in an optional section at the end of this document.

#### <span id="page-2-2"></span>How to update the package to a new version?

Use the following command in R or RStudio:

```
update.packages("DSWE")
```
### <span id="page-3-0"></span>3 How to use KNN to fit a multi-dimensional power curve?

**Step1**: Download the sample data set as shown.

Visit site using the following link - *[https://sites.google.com/view/yuding/book-dswe/dswe](https://sites.google.com/view/yuding/book-dswe/dswe-datasets)[datasets.](https://sites.google.com/view/yuding/book-dswe/dswe-datasets)* The page looks like as shown below and select the option 5.



Download the sample data set as shown below in green boxes. After downloading, save the file in working directory.



**Step 2:** Set the path containing data set to a current working directory. Further load the package and import the data set as shown.



**Step 3:** Prepare the arguments to fit a power curve as shown below.



**Note: - The RMSE reported is based on generalized cross validation on training set. To obtain a prediction on a test point, follow the next step.**

**Step 4**: Prediction on a test point using the model generated from KnnPCFit and using the function KnnPredict as shown below.



#### <span id="page-6-0"></span>How to select the best subset of variables in building a multi-dimensional power curve?

**Step 1**: The data set mentioned in previous questions will be used to demonstrate the usability of subset selections. The package is loaded and data is imported as shown.

```
1 #setting the work directory which contains data set
2\overline{ }setwd('F://')\overline{3}4 #loading the package
  library(DSWE)
5
6
7\overline{ }#import the data set
8 data = read.csv('F:/Inland Wind Farm Dataset1(WT1).csv')
9
```
**Step 2**: Prepare the arguments to fit the KNN power curve and retrieve the best subset as shown.



#### <span id="page-7-0"></span>Suppose one has built a KNN power curve model using some data. When the new data comes in, how can one update it periodically?

**Step 1**: The data set mentioned in previous questions will be used to demonstrate model update. The package is loaded and data is imported as shown.



**Step 2**: Prepare the arguments to update the previously obtained model using new data as shown.



## <span id="page-8-0"></span>4 How to use temporal Gaussian process (tempGP) model to fit a multi-dimensional power curve?

#### **Note:**

The temporal Gaussian Process, or tempGP, is a Gaussian process-based model, and hence the inference (fitting) can be computationally expensive for large datasets. In order to overcome this problem, we implemented an acceleration method using the method of Vecchia.

Vecchia has a few hyperparameters to tune. We have tuned those parameters to strike a balance between prediction accuracy and computation time. We advise to use the default parameter settings. The fast computation is expected to be within four minutes on a single core of a modern computer, in contrast to hours of computation required by the original tempGP. The accelerated version is set as the default option for the tempGP function.

We expect the fast computation results to be 1-5% worse than that of the original version of tempGP. If accuracy is extremely important to the user at the cost of orders of magnitude of increased computation time, then one can easily set the argument vecchia to FALSE and limit memory to None to use the original version of tempGP.

#### **Example:**

For this example, we use data1 in the DSWE package.

**Step 1:** Divide the training dataset into an input variable matrix and a response vector. One can also create a vector of time indices for the training data points. If the time indices are not created, the code assigns positive integers starting from 1 as the time indices. For example, if there are 100 training data points, the code will assign the time indices from 1 to 100.

```
data = DSWE::data1
trainindex = 1:5000 #using the first 5000 data points to train the model
traindata = data[trainindex,]
xCol = c(2:6) #input variable columns
yCol = 7 #response column
tCol = 1 #column with the time indices
trainX = as_matrix(traindata[, xCol])trainY = as.numeric(traindata[,yCol])
trainT = as.numeric(traindata[,tCol])
```
**Step 2:** Call the tempGP function using the training data. There are two ways to call tempGP, with training time indices or without training time indices. As explained in Step #1, when

training time indices are not assigned, tempGP automatically assigns the time indices starting from 1.

```
## Two ways to call the tempGP function
# 1. Using user defined trainT
tempGPObject = tempGP(trainX, trainY, trainT)
# 2. Generating time indices internally as the sequence of integers starting from 1.
tempGPObject = tempGP(trainX, trainY)
```
**Step 3:** Use the predict method to get predictions from the learned model. Again, one can either use just environmental input variables alone to predict the response, or also use the time indices of the test data points. We first show the case for using just the environmental input variables and provide details of using time indices in Section 3.1.

```
testdata = data[5001:10000,] # defining test data as the next 5000 data points after 
train indices
## Predict only the function f(x) and ignore temporal component g(t)testX = as.matrix(testdata[,xCol,drop = F])
testY = as.numeric(testdata[,yCol])
predF = predict(tempGPObject, testX)
rmseF = sqrt(mean((testY - predF)^2)) #rmse
cat('RMSE using just f(x):', round(rmseF, 3), '\n')
```
#### <span id="page-10-0"></span>How to update the training data in the tempGP model when new data is available?

Using the time indices for test data points improves the prediction accuracy of the tempGP model if the time indices of the test points are close to that of the training points, as the temporal dependence in response vanishes after a short period of time. Thus, we have provided another function *updateData* to keep updating the training data as the new data becomes available.

```
## Predict both f(x) and g(t) using a rolling window data update with the help of
updateData() method for tempGP objects.
```

```
predY = rep(\theta, nrow(testdata)) #vector to store the rolling predictions
```
**Step 1:** Do an *i*-step ahead prediction given the input variables. In this example, we use the actual input variable values; if the input variable values are not available, replace with their forecast.

```
#starting a loop for doing the rolling predctions
for (i in 1:nrow(testdata)){
testX i = as.matrix(testdata[i,xCol,drop = F]) #input variables for time point i;
replace with forecast when actual data not available.
testT i = testdata[i, tCol] #time index for i
 predY[i] = predict(tempGPObject, testX i, testT i) #predict both f(x) and g(t)
```
**Step 2:** Once the data for time point ' *i* ' is available, update the tempGP model using the updataData function.

```
#After time point i, the data for time point i would be available. Update the data 
and residuals in the tempGP object.
tempGPObject = updateData(tempGPObject, newX = testX i, newY = testY[i], newT =
testT_i)
}
rmseY = sqrt(mean((testY - predY)^2)) #rmse
cat('RMSE using rolling update and f(x) + g(t):',round(rmseY,3),'\n')
```
### <span id="page-11-0"></span>5 How to use AMK to fit a multi-dimensional power curve?

**Step 1**: The data set mentioned in previous question will be used to demonstrate the usability of AMK. The package is loaded and data is imported as shown.





## <span id="page-12-0"></span>6 How to use the spline model to fit a multi-dimensional power curve?

**Step 1**: The data set mentioned in previous question will be used to demonstrate the usability of SplinePCFit. The package is loaded and data is imported as shown.





## <span id="page-13-0"></span>7 How to use the Bayesian tree model to fit a multi-dimensional power curve?

Due to some issues related to CRAN package BayesTree, that has not been resolved by its maintainer, the BayesTreePCFit function in DSWE, which depends on BayesTree, has to be discontinued for now.

**Step 1**: The data set mentioned in previous question will be used to demonstrate the usability of BayesTreePCFit. The package is loaded and data is imported as shown.





### <span id="page-14-0"></span>8 How to use the XGBoost model to fit a multi-dimensional power curve?

**Step 1**: The data set mentioned in the previous question will be used to demonstrate the usability of XgbPCFit, i.e., the XGBoost-based power curve model. The package is loaded and data is imported as shown

```
1 #setting the work directory which contains data set
2 setwd('F;/')
\overline{3}4 #loading the package
5 library (DSWE)
6
7 #import the data set
8 data = read.csv('F:/Inland Wind Farm Dataset1(WT1).csv')
9
\overline{10}
```


## <span id="page-15-0"></span>9 How to use the support vector machine to fit a multi-dimensional power curve?

**Step 1**: The data set mentioned in previous question will be used to demonstrate the usability of SvmPCFit. The package is loaded and data is imported as shown.





### <span id="page-16-0"></span>10 How do the power curve functions compare with each other?

We tested the power curve functions implemented both in R and Python packages using [Dataset#5](https://sites.google.com/view/yuding/book-dswe/dswe-datasets) [\(Inland and Offshore Wind Farm Dataset1\)](https://zenodo.org/record/5516552) of the *[Data Science for Wind Energy](https://sites.google.com/view/yuding/book-dswe)* book. Please follow the instruction of Step 1 to Question 3 "How to use KNN to fit a multi-dimensional power curve?" to download the datasets. There are six turbine datasets in Dataset#5, four from onshore turbines and two from offshore turbines.

The following power curve functions are tested: tempGP, AMK, KNN, DNN, SSANONA, SVM, XGBoost, and the binning method (the IEC standard procedure).

The following table presents the root mean square error (RMSE) based on a randomized fivefold cross-validation. The power is normalized, with each turbine's rated power as 100%. So the values reported below are relative to the rated power. For instance, 0.1 means 10% of the rated power.

In the table, the results of AMK, KNN, SSANOVA, and the binning method are taken directly from Table 5.7 of the *[Data Science for Wind Energy](https://sites.google.com/view/yuding/book-dswe)* book, the results of tempGP, TempGP(fast computation), XGBoost and SVM are obtained using the respective R package functions, and the results of DNN are obtained using its Python package function.



## <span id="page-17-0"></span>11 How to select the subsets of data, before and after a decision point, so that they can be deemed probabilistically comparable?

**Step1**: Download the sample data set.

Visit site using following link - *<https://sites.google.com/view/yuding/book-dswe/dswe-datasets>* The page looks like as shown below and select Dataset #5.



Download the data set as shown below in green boxes. After downloading, save the file in working directory.



**Step 3**: The package is loaded and data is imported. Also, arguments are prepared and matching function is employed as shown



## <span id="page-19-0"></span>12 How to compare performance of two turbine or two data set in different time period?

**Step 1**: The data set mentioned in previous question will be used to demonstrate the performance quantification. The package is loaded and data is imported as shown.



**Step 2**: Prepare the arguments to use performance comparison function as shown.



### <span id="page-20-0"></span>How to use a different probability distribution than that computed from the data to compute the weighted difference between the power curves?

**Step 1:** Construct a desired *testset* and a probability distribution over that *testset* as shown below as an example:

```
## Construct a custom testset and custom weights.
ws_{min} = 5 #minimum value of wind speed for constructing the testset.
ws_{max} = 15 #maximum value of wind speed for constructing the testset.
ws_test = seq(ws\_min, ws\_max, length.out = 50) #generate 50 grid points for wind speed.
rho\_min = 1.1 #minimum value of air density for constructing the testset.
rho_{max} = 1.3 #maximum value of air density for constructing the testset.
rho_test = seq(rho-min, rho_max, length.out = 50) #generate 50 grid points for air density.
#Combine ws_test and rho_test to create a 50 by 50 mesh grid.
testset = expand.grid(ws_test, rho_test)#For example, We use a Weibull distribution for windspeed with shape = 2.25 and scale = 6.5.
#and uniform distribution for air density. Please change as desired.
#Multiplying the weights by 1 to denote a uniform distribution for air density.
weights = dweibull(testset[,1], shape = 2.25, scale = 6.5)*1
weights = weights/sum(weights) #normalizing weights to ensure that they sum to 1.
```
**Step 2**: Run *ComparePCurve()* function as described earlier with the *testset* generated in Step 1 as one of the inputs:

```
#Loading the package and reading the data
library(DSWE)
data1 = read.csv('Inland Wind Farm Dataset1(WTI).csv')data2 = read.csv('Inland Wind Farm Dataset1(WT2).csv')datalist = list(data1, data2)#Calling ComparePCurve() function
output = ComparePCurve(data = datalist, xCol = c(2,3,4), xCol.circ = 3,yCol = 7, testCol = c(2,4), testSet = testset)
```
**Step 3:** Use the output from *ComparePCurve()* function to compute the weighted difference and statistically significant weighted difference with the weights computed in Step 1 as follows:

```
# Computing weighted difference with mu1 as the base for percentage calculation
muDiff = output$muDiffbase = output$mu1
weightedDiff = ComputeWeightedDifference(muDiff = muDiff, weights = weights, base = base)# Computing statistically significant weighted difference
confBand = output$bandweightedStatDiff = ComputeWeightedDifference(mubiff = mulDiff, weights = weights, base = base,statDiff = TRUE, confBand = confBand)
```
## <span id="page-21-0"></span>13 A case study of estimating the effect associated with turbine upgrades.

This case study applies the functions in the DSWE package to the Turbine Upgrade Dataset, associated with the book, *[Data Science for Wind Energy](https://sites.google.com/view/yuding/book-dswe)*, and available from the website below. The case study is explained in Section 4.1 of the preprint [https://arxiv.org/pdf/2005.08652.pdf.](https://arxiv.org/pdf/2005.08652.pdf) Additional information about the dataset and turbine upgrades can be found in Section 1.2.3 and Chapter 7 of *[Data Science for Wind Energy.](https://sites.google.com/view/yuding/book-dswe)* The dataset includes two cases of upgrades-one is the pitch angle adjustment and the second is the vortex generator installation. The steps below explain how the top rows of Table 3 of the preprint <https://arxiv.org/pdf/2005.08652.pdf> are obtained as well as how the VG effect is estimated.

The above preprint is now published in the journal of *Renewable Energy*. The paper's full citation is

Ding, Kumar, Prakash, Kio, Liu, Liu, and Li, 2021, "A case study of space-time performance comparison of wind turbines on a wind farm," *Renewable Energy*, Vol. 171, pp. 735-746.

One can go to *<https://sites.google.com/view/yuding/publications>* (and then go to J77) to get the reproducibility report and R code for reproducing the majority of the results in this paper.

**Step1**: Download the sample data set as shown.

Visit site using the following link - *[https://sites.google.com/view/yuding/book-dswe/dswe](https://sites.google.com/view/yuding/book-dswe/dswe-datasets)[datasets](https://sites.google.com/view/yuding/book-dswe/dswe-datasets)*. The page looks like as shown below and select Dataset #7.



Download the sample data set as shown below. After downloading, save the file in working

#### directory.



**Step 2:** Set the path containing data set to a current working directory. Further load the package and import the data set as shown

#### For pitch angle pair:



For VG upgrade:

```
\mathcal{L} = \mathcal{L} \cup \mathcal{L}# setting the working directory which contains data set
setwd('F://')# loading library
library(DSWE)
# import the data set
data = read.csv('Turbine Upgrade Dataset(VG Pair).csv')
\mu . A dependence on the contract of the co
```
**Step 3:** Use the performance comparison function on pitch angle adjustment and VG upgrade as shown below. In case of pitch angle adjustment, user just needs to import the appropriate data set and change the value of 'input' variable as shown below in the script

 $\begin{array}{l} \begin{array}{c} \begin{array}{c} \ldots \end{array} \end{array} \end{array} \begin{array}{c} \begin{array}{c} \ldots \end{array} \end{array} \end{array} \begin{array}{c} \begin{array}{c} \ldots \end{array} \end{array} \begin{array}{c} \ldots \end{array} \begin{array}{c} \ldots \end{array} \end{array} \begin{array}{c} \ldots \end{array}$ 

For Pitch Angle adjustment:





#### For VG upgrade:



## <span id="page-26-0"></span>14 How to use the Energy Decomposition approach and the deltaEnergy function?

The following example applies the functions to the Turbine Upgrade Dataset, which bears similarity to the case study in:

Latiffianti, E, Ding, Y, Sheng, S, Williams, L, Morshedizadeh, M, Rodgers, M. Analysis of leading edge protection application on wind turbine performance through energy and power decomposition approaches. Wind Energy. 2022; 25( 7): 1203- 1221. doi:10.1002/we.2722. Available online: <https://onlinelibrary.wiley.com/doi/full/10.1002/we.2722>

This approach can be used for two purposes: 1) estimating the effect associated with turbine upgrades, 2) comparing the performance of two turbines on the same period of operations. Both will be demonstrated in the following.

**Step1**: The data set mentioned in the previous question will be used to demonstrate the performance quantification. Perform **Step 1** from the previous question.



Download the sample data set as shown below. After downloading, save the file in the working directory.

**Step 2:** Set the path containing data set to a current working directory. Further load the package and import the data set as shown.

```
# Setting the working directory that contains data sets
setwd('~\sim F://')#loading library
library(DSWE)
#import the data sets
data1 = read.csv("Turbine Upgrade Dataset(VG Pair).csv')
```
**Step 3:** To apply performance comparison using energy decomposition, the data pair needs synchronization and imputation. There are three functions available related to the energy decomposition: syncSize, imptPower and deltaEnergy. The deltaEnergy automatically includes sync $Size$  and it also provides the option to carry  $imptPower$ . With the available functions, there are two ways to obtain the energy decomposition: A) using deltaEnergy to perform synchronization, imputation, and decomposition in one go, or B) using  $\pm$  mpt Power and then apply deltaEnergy on the output. The following are the script for each Approach A and Approach B separately.

#### **Energy decomposition using approach A.**

```
# Step 3 Approach A
Before = data1[data1$upgrade.status==0,]
After = data1[data1\upgrade.status==1,]
set. seed(50)upgrade.effect = deltaEnergy(data=list(Before,After), powercol = 11, xcol = c(4:7), timecol=2,
                              sync.method = "random", vcol=4, vrange = c(5, 12, 25))
set.seed(70)
control. effect = deltaEnergy(data=list(Before,After), powercol = 12, xcol = c(4:7), timecol=2,
                              sync.method = "random", vcol=4, vrange = c(5, 12, 25))
control.effect$deltaE.turb
# VG effects (energy difference)
delta. turb = round(update.effect$delta. true b - control.effect$delta. true b. 2)cat(paste('delta E from VG effects is ',deltaE.turb,'%',sep=''),'\n')
> # VG effects (energy difference)
> deltaE.turb = round(upgrade.effect$deltaE.turb - control.effect$deltaE.turb,2)
> cat(paste('delta E from VG effects is ',deltaE.turb,'%',sep=''),'\n')
delta E from VG effects is 1.46% -
                                             Result display
```
Note that the result from energy decomposition and power difference (ComparePCurve) are not expected to be the same, but intuitively it should be close enough when data pairs are adequate in size. In this particular example, each pair has 5,000 data points after synchronization. Ideally, 1-year worth of data should be used. Please refer to Latiffianti et al, 2022 as mentioned above.

## **Energy decomposition using approach B.**<br>F# Using approach B -------------------------

```
#Synchronization
set. seed(10)sync.test = syncSize(data=list(Before,After), powercol=11, xcol=c(4:7), method='random',timecol = 2)
set, seed(10)sync.control = syncSize(data=list(Before,After), powercol=12, xcol=c(4:7), method='random',timecol = 2)
# Imputation
imput.test = imptPower(data=sync.test, powercol=2, vcol = 3, vrange = c(5,12,25), rated.power = 1)imput.control = imptPower(data=sync.control, powercol=2, vcol = 3, vrange = c(5,12,25), rated.power = 1)# Energy decomposition
set, seed(10)delta.test = deltaEnergy(data = imput.test, powercol=2, timecol=1, vcol = 3, xcol = c(3:6),imput=FALSE)
deltaE.control = deltaEnergy(data = imput.control, powercol=2, timecol=1, vcol = 3, xcol = c(3:6), imput=FALSE)
#Print result
delta = round(detitate.test$delate.turb - delta.e.control$deltaE.turb.2)cat(paste(delate, '%', sep=''), 'n')
```


Results from Approach A and Approach B may be slightly different due to randomization in the power curve modeling (tempGP) and sometimes synchronization (syncSize) when the synchronization method chosen is 'random'.

### <span id="page-29-0"></span>15 (Optional) Installation using source code

The package contains some C++ code for fast computation, and thus requires compiling C++ code if one wishes to install the package using the source code. Following are the necessary steps in order to get the required compilation tools and install the package from source:

**Step 1**: Install C++ tool chains, which is the Rtools for Windows and the GFortran for Mac. The guidelines to install are:

#### **For Windows**:

Visit site using the following link: *[https://cran.r-project.org/bin/windows/Rtools/history.html.](https://cran.r-project.org/bin/windows/Rtools/history.html)* The page directed looks like the below.



#### **Rtools Downloads**

Some of the tools are incompatible with obsolete versions of R. We maintain one actively updated version of the tools, and other "frozen" snapshots of them. We recommend that users use the latest release of Rtools with the latest release of R.

The current version of this file is recorded here: VERSION.txt.



If the following prompt message box appears with the option of **'Add rtools to system path,' please make sure that option is checked.** If the option is not shown, then just proceed, and Step 2 provides the information for manually adding rtools to system path.



#### **For MacOS**:

You would need C++ and Fortran compilers to build the package. Apple's official C++ compilers can be downloaded by installing the command line developer tools using the following steps:

- Open the Terminal app
- Type the command: xcode-select –install

An installation window will open up. Click install and the installation would be begin.

Apple's command line tools do not have a Fortran compiler. It can be downloaded from the official website of R using the link: *<https://mac.r-project.org/tools/>*



latest version is for MacOS Mojave but works for MacOS Catalina too. If an older version of MacOS is in use, use the link in the circle to download for older versions.

**Step 2**: While installing Rtools, if the prompt message box did not appear with a message **'Add rtools to system path'**, please follow the steps below to manually add Rtools to system path.

- First locate Rtools bin location on your computer. The default location for Rtools35 is "C:\\Rtools\\bin" and the default location for Rtools40 is "C:\\Rtools40\\usr\\bin". Please double check and make sure using the File Explorer on your computer.
- Next, use the following command in R, **Rtool\_bin\_location = "C:\\Rtools\\bin"** if using Rtools35, or, **Rtool\_bin\_location = "C:\\Rtools40\\usr\\bin"** if using Rtools40. If the Rtools bin is not located in directory, please enter the right location identified in the above step.
	- Last, execute the following command in R, **Sys.setenv(PATH = paste(Rtool\_bin\_location, Sys.getenv("PATH"), sep=";"))** , to set up Rtools in path temporarily.

**Step 3:** Use the standard **install.packages()** command as:

**install.packages("DSWE")** to install the package and use the install from source option when asked.

#### **Note: Some message boxes may pop up asking for user input.**

- One pop-up message box asks "*Do you want to install from sources the package which needs compilation*?" Upon prompted, please click on "Yes".
- Another pop-up message box asks for updates. Upon prompted, type "1" and press enter, as it is safe to overwrite the installed dependencies with the recent ones. The layout of prompt may differ, depending on R versions in use. Always select the option to update the package.

