

1 **Vegetable protein isolate-stabilized emulsions for enhanced delivery of conjugated**
2 **linoleic acid in Caco-2 cells**

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9 **Abstract**

10 Developing edible delivery systems which offer higher protection and release of
11 bioactive constituents is a current challenge in the food industry. The ability of oil-in-
12 water emulsions (20% oil) stabilized by soy or pea protein isolates (4%) to deliver
13 conjugated linoleic acid (CLA, 6%), was studied. The emulsions were prepared by
14 conventional homogenization (550 bar) using one or five homogenization passes. The
15 physicochemical properties of the emulsions were determined, as well as loading
16 capacity and oxidative stability. The emulsions were subjected to *in vitro* digestion, and
17 tested on absorptive Caco-2 cells. The presence of CLA isomers was followed
18 throughout the process. When comparing similar treatments, soy protein isolate
19 emulsions showed smaller particle size distributions than emulsions prepared with pea
20 protein isolates. Emulsions containing soy proteins showed preferential adsorption of
21 the α' subunit of conglycinin and the A₁, A₂, A₄ subunits of glycinin on the oil droplets.
22 All emulsions protected the encapsulated CLA better than the non-emulsified control in
23 which CLA was oxidized during storage, as well as after *in vitro* digestion and delivery
24 in Caco-2 cells. Similar percentages of bioaccessibility and bioavailability of CLA were
25 found for all the emulsion treatments. The results obtained here open new prospects for
26 using oil-in-water emulsions as structured emulsion-based delivery systems to be used
27 in functional foods containing CLA with health enhancing properties.

28 **Keywords:** conjugated linoleic acid, emulsion, soy protein, pea protein, Caco-2 cells

29 **1. Introduction**

30 The enrichment of foods with lipophilic bioactives, such as omega-3 fatty acids,
31 carotenoids and phytosterols, is an increasingly important area in the food industry,
32 especially in light of the increased tendency for consumption of low-fat good, which in
33 turn decreases the intake of lipophilic bioactives (Gao et al., 2014). A number of
34 emulsion-based technologies could be used as edible delivery systems of these
35 compounds by the food, medical, and pharmaceutical industries, including conventional
36 emulsions, multiple emulsions, multilayer emulsions, solid lipid particles, and filled
37 hydrogel particles. Emulsion technology is particularly suited for the design and
38 fabrication of delivery systems for encapsulating bioactive lipids (McClements, Decker,
39 & Weiss, 2007; McClements & Li, 2010). To create emulsions kinetically stable for a
40 reasonable period of time, stabilizers such as emulsifiers or texture modifiers need to be
41 added to prevent gravitational separation, flocculation, coalescence and Oswald
42 ripening (McClements, 2005). In this sense, commercial protein isolates are commonly
43 used as emulsifiers. However, they exhibit poor solubility compared to other protein
44 fractions or high-soluble isolates obtained in the laboratory (Keerati-u-rai & Corredig,
45 2010; Wang et al., 2012).

46 Within bioactive compounds of lipophilic nature, conjugated linoleic acid (CLA) is a
47 mixture of positional and geometric isomers of linoleic acid with conjugated double
48 bonds. The CLAs are found naturally in foods derived from ruminant animals, meat, or
49 dairy products. The most representative CLA isomers are c9,t11-18:2 and t10,c12c-
50 18:2. CLA has been shown to exert various potent physiological functions such as
51 anticarcinogenic, antiobese, antidiabetic and antihypertensive properties (Koba &
52 Yanagita, 2013; Moon, Lee, Chung, Choi, & Cho, 2008). In recent years, there has been
53 an increasing interest to incorporate CLA into various food products, [the most common](#)

54 being dairy products ~~the most common~~ (Campbell, Drake, & Larick, 2003; Jimenez,
55 Garcia, & Beristain, 2008). However, CLA exhibits very poor chemical stability
56 presenting autoxidation and isomerization during thermal processing, such as UHT,
57 which decrease its nutritional value. To overcome these problems, it is necessary to
58 protect/encapsulate CLA in an efficient delivery system, like an oil-in-water emulsion.
59 Gum arabic was found to be a suitable emulsifier for CLA-in-water emulsions (Yao et
60 al., 2013). However, to date the research made with this bioactive compound has
61 focused mainly on microencapsulation by drying processes rather than oil-in-water-
62 emulsions (Choi, Ryu, Kwak, & Ko, 2010; Costa et al., 2015; Lalush, Bar, Zakaria,
63 Eichler, & Shimoni, 2004; Park et al., 2002; Yang, Gu, Xu, Li, & Zhang, 2010; Yang,
64 Gu, & Zhang, 2009).

65 There is still significant debate on what are the best strategies for CLA protection since
66 it has been demonstrated that the molecules can decompose to furan fatty acids in the
67 presence of air. Furan fatty acids may have toxicological properties so that spray-drying
68 encapsulation procedure might not be an adequate processing (Buhrke, Merkel, Lengler,
69 & Lampen, 2012). Gao et al. (2014) have successfully developed soy lipophilic protein
70 nanoparticles (LPP) as a novel delivery vehicle for conjugated linoleic acids. Vegetable
71 proteins, such as soy protein, are rarely used to deliver hydrophobic compounds, in spite
72 of the gained interest for sourcing more sustainable protein isolates for food product
73 development.

74 Oil-in-water emulsions containing CLA could be used as ingredients in functional
75 foods; however, efficient delivery of the biological compound and its bioefficacy in the
76 emulsion system needs to be demonstrated. ~~In vitro experiments, such as the uptake by~~
77 ~~cell cultures (e.g., Caco-2 cells) that mimic the human intestinal epithelium, provide~~
78 ~~useful insights into possible physicochemical mechanisms that occur during digestion~~

79 ~~and absorption of lipids (David Julian McClements & Li, 2010).~~ Previous research
80 demonstrated that LPP can protect CLA from oxidation and also show a sustained
81 release profile (Gao et al., 2014). However, so far, no studies evaluated CLA
82 bioavailability in oil in water emulsion systems using human intestinal cell models such
83 as Caco-2 cells. .In vitro experiments, such as the uptake by cell cultures (e.g., Caco-2
84 cells) that mimic the human intestinal epithelium, provide useful insights into possible
85 physicochemical mechanisms that occur during digestion and absorption of lipids
86 (David Julian McClements & Li, 2010).

87 The encapsulation, release and bioefficacy of CLA encapsulated in oil-in-water
88 emulsions stabilized with vegetable proteins have yet to be reported. The aim of this
89 study was to investigate the effect of different oil in water emulsions stabilized by soy
90 and pea protein isolates on the delivery of CLA. Commercially available soy and pea
91 protein isolates were used, to increase the relevance of this research to the food industry
92 (Nishinari, Fang, Guo, & Phillips, 2014). The CLA bioefficacy was studied using *in*
93 *vitro* digestion and a Caco-2 intestinal cell absorption model.

94 **2. Materials and Methods**

95 *2.1 Materials*

96 A commercial soy protein isolate (SPI) (PRO-FAM 974) was purchased from
97 Lactotecnia (Barcelona, Spain). The composition of this commercial SPI according to
98 manufacturer was: 90% protein, 4% fat, 6% moisture, and less than 5% ash (dry basis,
99 w/w). Pea protein isolate (PPI) (Nutralys®F85M) was purchased from Roquette Frères
100 S.A. (Lestrem, France). The composition of this commercial PPI according to
101 manufacturer was: 85% protein, 7% moisture, and less than 5% ash (dry basis, w/w).
102 Solubility of both protein isolates at neutral pH was < 40%. Soybean oil was purchased

103 from Sigma-Aldrich Corporation (Oakville, ON, Canada). A free fatty acid mixture
104 high in isomers of CLA (Neobee®CLA80) was purchased from Stepan Specialty
105 Products LLC (Maywood, USA), containing 80.4% of total CLA. The two main
106 isomers present were c9,t11-CLA and t10,c12-CLA, in a 50:50 ratio. Other CLA
107 isomers (*cis,cis* and *trans,trans*) were present in minor concentrations (<1.2%).
108 Neobee®CLA80 was obtained from natural safflower oil by a gentle, proprietary
109 process without antioxidants added. All other chemicals used were of analytical or
110 better grade. Pepsin (P7000), pancreatin (P1750), phospholipase A2 (P6534) and bile
111 salts (B8631) were obtained from Sigma-Aldrich.

112 2.2 Preparation of oil-in-water emulsions

113 Oil-in-water emulsions (20% oil, v/v) containing conjugated linoleic acid (CLA, 6%)
114 and soybean oil were emulsified with a fixed protein content of SPI or PPI (4%, w/v).
115 Firstly, the stock protein dispersion (4%, w/v) was prepared by dispersing the protein in
116 ultrapure water and stirring for 1 h at 40 °C. Protein dispersions were stored overnight at
117 4°C to allow complete hydration. A coarse emulsion was prepared by mixing the protein
118 dispersion with the oil using a hand-held homogenizer (Polytron PT 1200, Kinematica,
119 Fisher Sci., Mississauga, ON, Canada) at 15000 rpm for 1 min. Conventional
120 homogenization was then carried out at 550 bar for one and five passes using
121 Emulsiflex C5, Avestin (Ottawa, ON, Canada). Emulsions were separated using
122 ultracentrifugation (45 min at 50,000 g and at 25 °C) (Optima™ LE-80K, with a Ti-45
123 rotor, Beckman–Coulter, Mississauga, Ontario, Canada). Cream was carefully removed
124 from the top layer and dried on a filter paper, then resuspended in ultrapure water to the
125 initial volume fraction. The serum phase was withdrawn using a syringe and filtered
126 through 0.45 µm filter (Millipore, Billerica, MA, USA). Fresh emulsions were

127 immediately used for further analysis and stored at 4 °C with 0.02% (w/v) of sodium
128 azide to determine physico-chemical stability.

129 *2.3 Determination of oil droplet size distribution*

130 The particle size distribution of the emulsions was measured using static light
131 scattering (Mastersizer 2000, Malvern Instruments, Worcestershire, UK) using
132 water as the dispersing agent. The refractive indexes of soy oil and water were
133 taken as 1.47 and 1.33, respectively. Emulsion samples were diluted in distilled water
134 until an appropriate obscuration was obtained. Emulsion samples were also diluted in 2
135 g/L sodium dodecyl sulphate (SDS) at least 30 min before light scattering analyses to
136 check for the presence of aggregated or coalesced droplets that could be dissociated by
137 SDS (Pearce & Kinsella, 1978). The surface-weighted mean diameter ($d_{3,2}$, μm) and the
138 volume-weighted mean diameter ($d_{4,3}$, μm) were determined on the fresh emulsions, the
139 emulsions were kept at 4 °C during 10 days and the digestates of the fresh emulsions.

140 *2.4 SDS-PAGE*

141 Aliquots (100 μl) of sample (emulsions, serum and cream phases) were treated with 200
142 μl of extraction buffer, containing 50 mM Tris-HCl, 5 M urea, 1% SDS, 4% 2-
143 mercaptoethanol, pH 8.0. The samples were equilibrated at room temperature for an
144 hour then centrifuged (Eppendorf, Brinkmann Instruments, Westbury, NY, USA) at
145 10,000 g for 10 min, to separate the oil phase before mixing the aqueous extract with
146 200 μl of the electrophoresis buffer, which was composed by 125 mM Tris-HCl, 5 M
147 Urea, 1% SDS, 20% Glycerol, 4% 2-mercaptoethanol, pH 6.8. Samples were then
148 heated at 95 °C for 5 min. After cooling to room temperature, aliquots (5 μl) of all
149 protein samples were loaded onto 12.5% polyacrylamide gel with 4% stacking gel in

150 Bio-Rad mini-protein electrophoresis (Bio-Rad Laboratories Ltd., Mississauga, ON,
151 Canada) for proteins separation. Gels were fixed and stained using Bio-Rad Coomassie
152 blue R-250 stain solution (45% methanol, 10% acetic acid and 0.10% Blue R-250)
153 followed by de-staining using 45% methanol, 45% ultrapure water and 10% acetic acid
154 solution then gel was scanned using a SHARP JX-330 scanner (Amersham Biosciences,
155 Quebec, Canada) and the bands were analyzed using image analysis software
156 (ImageMaster® 1D, Version 2.0, Amersham Biosciences).

157 *2.5 Quantification of CLA isomers*

158 An alkali plus acid-catalyzed methylation method was carried out to quantify the CLA
159 isomers (Moltó-Puigmartí, Castellote, & López-Sabater, 2007). Briefly, saponification
160 with NaOCH₃/MeOH (2500 µL) and esterification procedure (2500 µL of BF₃) was
161 taken. Fatty acid methyl esters (FAME) were analyzed by gas chromatography, using
162 an automated Agilent 6890 GC system (Agilent, Palo Alto, CA, USA) equipped with a
163 flame ionization detector (FID). FAME was separated on CP-Sil 88 capillary column
164 (100 m × 0.25 mm i.d. × 0.20 µm). Operating conditions were as follows: injector port
165 temperature 250 °C; hydrogen as carrier gas at a linear pressure of 17.7 psi; split ratio
166 1:10 or 1:0.1 and injection volume 1 µL or 2 µL for emulsions and digestates, or Caco-2
167 cell monolayer samples, respectively. The detector temperature was set to 255 °C, with
168 H₂ flow 40.0 mL/min and air flow 450 mL/min. A programmed temperature gradient
169 was used for the chromatographic separation. The run started at 70 °C for 4 min, and
170 then a gradient of 13 °C/min was applied to reach 175 °C. After 27 min at this
171 temperature, the temperature was risen to 215 °C applying a gradient of 4 °C/min, and
172 finally held there for 31 min, for a total run time of 80 min (Kramer et al., 2004;
173 Kramer et al., 1997). Gas-chromatographic peaks were identified by comparing the

174 peaks' retention times to those of a standard FAME mix as well as of a linoleic acid
175 methyl ester isomer mix. CLA peaks were quantified using individual pure standards
176 c9,t11 and t10,c12-CLA. The c9,t11 and t10,c12-CLA isomers were also confirmed by
177 spiking the standards in o/w emulsions containing soybean oil. Calibration curves of
178 t9,c11 and t10,c12-CLA were constructed using pure standards (in a range of 0.05-1
179 µg/µL for the emulsions and digestates samples and 0.01-0.5 µg/µL for the different
180 Caco-2 cell monolayers phases) containing 50 µL of the internal standard solution
181 (C23:0, 0.2 µg/µL) and were found to be linear, with correlation coefficients >0.985.
182 Other isomers products (*cis,cis* and *trans,trans*-CLA) derived from the main isomers
183 (t9,c11-CLA and t10,c12-CLA) were quantified with t10,c12-CLA due to closer
184 retention time. The ratio percentages between t9,c11; t10,c12; *cis,cis* and *trans,trans*-
185 CLA were also determined. The initial CLA sample, was analysed to confirm its
186 composition, and it was composed by 49% c9,t11; 48% t10,c12; 0.45% *cis,cis* and
187 1.9% *trans,trans*).

188 2.6 CLA encapsulation

189 The content of CLA loaded in o/w emulsions was determined by GC-FID technique in
190 the emulsions (initial CLA isomer) and the cream phase resuspended in water
191 (recovered CLA isomer). The amount of loaded CLA per 100 g of initial CLA (in
192 emulsions)—loading capacity (LC) was thus calculated from Eq. (1):

$$193 \quad \%LC = \frac{C_{\text{recovered CLA isomer}}}{C_{\text{initial CLA isomer}}} \times 100 \quad (1)$$

194 where C is the concentration.

195

196 2.7 Peroxide value of emulsions

197 Emulsions with sodium azide (0.02% w/v) were stored at 37 °C for 20 days. Fresh
198 samples and samples after 10 and 20 days of storage were collected to further analysis.
199 The same mixture of oils (soybean oil and CLA) was used for the control. The
200 formation of lipid peroxides was evaluated according to the AOAC Official Method
201 965.33 (Hortwitz, 2002). Briefly, 25 mL CH₃COOH–CHCl₃ was added into 1.50 ± 0.05
202 g test portion, and the mixture was stirred to destroy the emulsifier-trapping material.
203 Then 1 mL saturated KI solution was added, followed by vigorously shaking 1 min, and
204 the mixture was held in a dark place for 5 min. Then 75 mL H₂O was added to terminate
205 the reaction. It was slowly titrated with 0.0394 M Na₂S₂O₃ with vigorous shaking ~~until~~
206 ~~yellow disappear~~. Subsequently, drops of 1% starch solution was added, titration and
207 shaking was continued to release all I₂ from CHCl₃ layer, until blue just disappeared.
208 Peroxide value (Pv) was calculated as follows from Eq. (2):

$$209 \quad Pv_{(\text{milliequivalent peroxide/kg oil or fat})} = \frac{V \times N \times 1000}{g \text{ sample}} \quad (2)$$

210 where *V* is the volume (mL) of the Na₂S₂O₃ solution used and *N* is its normality. A
211 blank was titrated to adjust the Pv of samples.

212 2.8 Release of CLA isomers

213 2.8.1 *In vitro* gastro-duodenal digestion

214 The *in vitro* digestion experiments were performed according to the INFOGEST method
215 (Minekus et al., 2014), with minor modifications. In brief, oil-in-water emulsions and
216 the CLA-control (the CLA without being protected by an emulsification process) were
217 initially dispersed in simulated gastric fluids. The samples were incubated with the
218 gastric fluids containing pepsin (25000 U/mL) at 37 °C, and at pH 2 in a 250 rpm
219 shaking water bath for 1 h. The duodenal digestion was then initiated by the addition of

220 simulated duodenal fluids containing pancreatin (5 mg/mL), and phospholipase A₂ (5
221 μL of 6.7 mg/mL). Simulated bile fluids containing bile salts (0.4 mM) and
222 phospholipids (1 mM) were also added. The duodenal phase of digestion was also
223 simulated at 37 °C for 2 h in a 250 rpm shaking water bath at pH 7. Digestion of the
224 samples was stopped with the same medium that we use to cultivate the cells. We chose
225 the dilution 1:40, prior cytotoxic experiments using sulforhodamine B (SBR) assay
226 demonstrated that this dilution was the minimum with no toxic effect (data not shown).
227 Digestion samples were collected and analysed by GC-FID as described above.

228 2.8.2 *Free Fatty Acid (FFA) determination*

229 The amount of free fatty acids (FFA) liberated after *in vitro* digestion was quantified as
230 described elsewhere (Malaki Nik, Wright, & Corredig, 2011). In brief, the FFAs were
231 extracted under acidic conditions after duodenal digestion. The amount of FFA was
232 determined using a colorimetric method (NEFA kit) by measuring absorbance at λ_{\max} of
233 550 nm (UV-VIS microplate spectrophotometer, Spectramax plus, Molecular Devices,
234 CA, USA) and by reference to a standard curve prepared using oleic acid ranging from
235 0.1 to 2 mM.

236 2.8.3 *Transport studies through the Caco-2 cell monolayer*

237 Caco-2 cell line was provided from the Canadian Research Institute for Food Safety
238 (CRIFS) Culture Collection (Food Science, University of Guelph, ON, Canada). The
239 cells were cultured in DMEM (Sigma-Aldrich) supplemented with 10% FBS, 100 U/mL
240 penicillin, 100 mg/mL streptomycin, 1% non-essential aminoacids and 2 mM L-
241 glutamine (Invitrogen, Canada Inc., Burlington, ON, Canada) at 37 °C in at humidified
242 atmosphere containing 5% CO₂.

243 For transport experiments, Caco-2 cells were seeded in 12-well Transwell® plates (0.4
 244 µm pore size, inserts of 1.2 cm diameter, BD Biosciences, Mississauga, ON, Canada) at
 245 a density of 6×10^4 cells per insert. The cells were maintained for 21 days until they
 246 reach full confluency and the complete monolayer was formed. The culture medium
 247 was replaced every second day. To ensure the integrity of the monolayer was
 248 maintained the transepithelial electrical resistance (TEER) (Evon World Precision
 249 Instruments, Sarasota, FL, USA) was measured every other day.
 250 Apical and basolateral compartments were washed with PBS to remove any cell debris
 251 and then incubated respectively with 425 µL and 1500 µL of DMEM without FBS. The
 252 cells were incubated at 37 °C for 30 min prior to experiments to equilibrate the
 253 monolayers. Digestates were added in the apical compartment at a dilution ratio of 1:40
 254 (sample: medium, v/v) and incubated for 4 h at 37 °C, 5% CO₂. The viability of the
 255 monolayers was assessed by measuring the TEER value before and after 1, 2 and 4 h of
 256 experiment. Then the cell lysates (collected in PBS), apical and basolateral samples
 257 were collected and stored at – 20 °C till analysed by GC-FID.

258 The amount of bioaccessible CLA *in vitro* per 100 g of initial CLA (in digestates of
 259 emulsions) was thus calculated from Eq. (3):

$$260 \quad \% \text{ Bioaccessible isomer} - \text{CLA} = \frac{C_{CLA \text{ isomer in cells compartment}}}{C_{CLA \text{ isomer in digestates}}} \times 100 \quad (3)$$

261 Also, the amount of bioavailable CLA *in vitro* per 100 g of initial CLA (in digestates of
 262 emulsions)—was therefore calculated from Eq. (4):

$$263 \quad \% \text{ Bioavailable isomer} - \text{CLA} = \frac{C_{CLA \text{ isomer in basolateral compartment}}}{C_{CLA \text{ isomer in digestates}}} \times 100 \quad (4)$$

264 where *C* is the concentration.

265 2.9 Statistical analyses

266 Descriptive statistics, mean and standard deviation, were listed for each variable in this
267 study. In order to evaluate each variable of emulsions among type of protein (SPI or
268 PPI) and passes of homogenization (one and five passes), a General Linear Model was
269 performed. The statistical analysis was performed using the SPSS® v17.0 package to a
270 95% level of significance and Tukey adjustment was performed for multiple
271 comparisons of the means ($P < 0.05$). Also, the Student's *t*-test for paired samples
272 analysis was carried out to compare the changes between day 0, 10 and 20. Significance
273 was defined as $p < 0.05$ for a two-sided test. Experiments were performed in duplicate
274 as separate, independent runs.

275 3. Results and Discussion

276 3.1 Physical characteristics of emulsions

277 3.1.1 Particle size distribution

278 The diameter distribution of SPI and PPI stabilized emulsions is shown in Figure 1, for
279 samples before and after digestion. While emulsions prepared using only 1 pass through
280 the homogenizer showed a large diameter, between 10 and $<100 \mu\text{m}$, those prepared
281 with five passes through the homogenizer showed smaller particle size distributions
282 (Fig. 1A,C, for emulsions before digestion). These emulsions had a major peak for
283 diameters of about $14 \mu\text{m}$, a value significantly ~~lower~~ higher than for the same
284 emulsions prepared with ~~only one~~ five passes. The droplets covered with soy protein
285 isolate after five homogenization passes showed the smallest particle size ($d_{4.3}$ and $d_{3.2}$,
286 $P < 0.05$), and they were smaller of the same emulsions prepared with PPI (Table 1). It is
287 widely known that the more an emulsion passes through the homogenization device, the
288 less re-coalescence of droplets will occur (Floury, Desrumaux, & Lardières, 2000;

289 Schultz, Wagner, Urban, & Ulrich, 2004). After one pass through the homogenizer, the
290 emulsions, regardless of the type of protein used to stabilize the system, were extensively
291 flocculated. This is clearly shown by the smaller particle size distribution measured
292 after treatment with SDS (Fig. 1A,C). The residual larger droplets in the emulsions
293 prepared with five passes were also disrupted using SDS, indicating that in this case
294 also some bridging flocculation remained for both SPI and PPI emulsions.

295 The emulsions treated only with one pass through the homogenizer showed a gel-like
296 behaviour, due to the extensive flocculation, and this was not the case for the five passes
297 emulsions, which showed to be shear-thinning (data not shown). With respect to the
298 physical stability of the emulsions after 10 days, only the soy protein isolate emulsion
299 treated at one pass had signs of destabilisation, and this was also shown with an
300 increase in particle size ($P < 0.05$).

301 The emulsions were subjected to *in vitro* digestion, and their particle size distribution is
302 shown in Figure 1B,D. In the case of SPI stabilized emulsions, at five passes, there were
303 no significant changes in $d_{4.3}$ and $d_{3.2}$ ($P > 0.05$). The particle size of the SPI emulsions
304 prepared with one pass also showed a similar particle size distribution, albeit shifted to
305 smaller diameter, possibly due to the presence of bile salts, disrupting some of the
306 bridging flocs of the emulsions. In the case of PPI emulsions prepared with five passes,
307 ~~while the one pass through the homogenizer did not show significant changes in the~~
308 ~~particle size distribution, the emulsion prepared with five passes showed extensive~~
309 flocculation after digestion was observed. These differences in particle size distribution
310 between the emulsions may cause differences in the fatty acid release and the delivery
311 and absorption of CLA.

312 3.1.2 Protein composition at the interface

313 To determine ~~if homogenization conditions caused~~ differences in the protein adsorption
314 at the interface, SDS-PAGE analysis was carried out on emulsions and cream samples.
315 Differences in the distribution of the proteins in the cream phase would indicate
316 differences in the protein adsorption. The major protein bands were quantified by laser
317 scanning densitometry and the ratios between the main protein fractions present in the
318 emulsions and cream phases of the SPI and PPI emulsions obtained after five passes
319 through the homogenizer are shown in Table 2 and Figure 2.

320 In the case of soy protein stabilized emulsions, the major fractions associated with the
321 interface were α' subunit of conglycinin, as well as the A and B subunits of glycinin.
322 Specifically, α' subunit of conglycinin and A₁, A₂, A₄ subunits of glycinin in SPI
323 emulsion showed to be at a higher ratio compared to the emulsions ($P < 0.05$). These
324 results were in contrast with previous reports (Keerati-u-rai & Corredig, 2009) for 1%
325 SPI oil-in-water emulsions. In that case, there was no difference in the subunit
326 distribution between the oil droplets and the serum phase. The higher protein level in
327 the emulsion as well as their different protein history (with a lower solubility index for
328 the proteins used in this study) may be the cause for the discrepancy. ~~There were no~~
329 ~~statistical differences in the protein composition at the interface between emulsions~~
330 ~~prepared with one pass or five passes through the homogenizer, as clearly shown by the~~
331 ~~P-values in Table 2.~~

332 For PPI stabilized emulsions, the protein subunits that were present the most at the
333 interface were legumin α and vicilin (Table 2 and Fig. 2).- There were no statistically
334 significant differences in the bands intensity of proteins in the cream or emulsion
335 samples (Table 2).

336 The difference in behaviour between SPI and PPI stabilized emulsions was caused by
337 the difference in the amount of protein necessary to obtain a full coverage of the

338 interface, and the aggregation state of the protein. It has been previously reported that
339 0.5-2.0% highly soluble soy protein fractions can stabilize emulsions containing 10-
340 20% oil (Floury, Desrumaux, & Legrand, 2002; Keerati-u-rai & Corredig, 2009).
341 However, the commercial SPI used in this study had lower solubility than that reported
342 in the literature (Keerati-u-rai & Corredig, 2010; Wang et al., 2012), and similarly, PPI
343 isolates also did not show good solubility. Hence, in both cases, a higher protein content
344 was needed to stabilize the emulsion. The particle size distribution clearly showed that
345 the emulsions droplets showed some extent of flocculation even after 5 homogenization
346 passes, possibly due to the presence of large protein aggregates bridging between the
347 droplets.

348 *3.1.3 CLA loading capacity (%)*

349 There were no significant differences in the loading capacity of c9t11 and t10c12-CLA
350 isomers within different emulsions (Fig. 23). Both CLA isomers showed the same
351 recovery of the CLA initially added into emulsions. However, fresh SPI emulsions
352 prepared with 5 homogenization passes showed a higher recovery of CLA isomers
353 compared to the same emulsion obtained with one pass of homogenization or the
354 emulsions stabilized with PPI. It is important to note that a physically stable emulsion is
355 needed to produce an effective encapsulation of lipids (Hu, McClements, & Decker,
356 2004; McClements & Li, 2010; Zhang et al., 2014).- Furthermore, evaluating CLA
357 concentration in cream phases, significant differences were seen for all CLA isomers
358 between SPI and PPI emulsions prepared with 5 homogenization passes, in which the
359 emulsion stabilized with PPI had a lower concentration (Fig. 4). The SPI-stabilized
360 emulsion treated with five passes showed the smallest average diameter and the smallest

361 increase in $d_{4.3}$ after 10 days of storage, and this is cause to the higher % loading of
362 c9t11-CLA and t10c12-CLA isomers (both 71 ± 18 %).

363 It was hypothesized that the CLA encapsulated in these emulsions could be protected
364 from oxidation and isomerization of CLA. Very few studies are available on the loading
365 of CLA in oil-in-water emulsions. It has been previously reported (Costa et al., 2015)
366 that CLA could be loaded in PPI inclusions,- and the loading capacity reported was
367 lower than that measured in this work ([Figure-Fig. 23](#)).

368 A smaller loading capacity for CLA has been reported also in freeze-dried and spray-
369 dried matrices, compared to the fresh emulsions measured in this study, with values
370 below 15% (w/w) (Choi, Ryu, Kwak, & Ko, 2010; Jimenez, García, & Beristain, 2004;
371 Park et al., 2002). Other authors have studied the complexes formed between CLA and
372 other components such amylose and β -cyclodextrin, such complexes improved the
373 efficiency of the delivery of CLA (Lalush et al., 2004; Yang et al., 2010, 2009).

374 Recently CLA was encapsulated in soy lipophilic protein nanoparticles (LPP) by
375 ultrasonication and coating the particles with sodium caseinate (Gao et al., 2014). The
376 encapsulation efficiency of such systems was about 90%. It is possible to hypothesize
377 that, in the case of SPI and PPI emulsions, complexes may also form between CLA and
378 the protein complexes, precipitating during centrifugation, leading to incomplete
379 recovery of CLA in the cream phase of the emulsions.

380 *3.2 Chemical stability of the emulsions*

381 The double bonds of CLA may be in positions 7,9; 8,10; 9,11; 10,12; or 11,13 along the
382 18 carbon chain. Amongst the isomers, t9t11-CLA and t10c12-CLA isomers have been
383 linked to health benefits (Koba & Yanagita, 2013). The CLA free fatty acid oil used in

384 this study is rich in those isomers, but further transformation may occur during
385 processing (Martínez-Monteagudo, Saldaña, Torres, & Kennelly, 2012).

386 The stability of CLA in emulsions is shown in Fig. 35, by comparing the concentration
387 of the main isomers in fresh emulsions and in the emulsions after storage at 4 °C for 10
388 days. There were no changes in the isomer CLA profiles and their CLA content in
389 emulsions with storage (Fig 3A,B5). During oxidation, free radicals react with
390 molecular oxygen to form hydroperoxides. It is virtually impossible to have an
391 unsaturated hydrocarbon compound completely free of peroxides (Martínez-
392 Monteagudo et al., 2012; Moon et al., 2008). It is therefore desirable to avoid these
393 compounds or to keep them apart, for example, by controlling the surface charge on
394 emulsion droplets (Hu et al., 2004). Figure 46 illustrates the peroxide values of the
395 emulsions, as well as of the control (soybean oil with CLA added) as a function of time
396 of storage at 37 °C. The peroxide value of all the emulsions remained under 10 meq
397 O₂/kg fat after 20 days of storage at 37 °C, with no significant differences ($P>0.05$)
398 among treatments (Fig. 46). In contrast, the peroxide values for the control increased
399 significantly and reached a plateau after 10 days. The relatively low content of
400 peroxides in the emulsions is not surprising, since CLA can act as antioxidant, capturing
401 free radicals responsible for peroxide formation during lipid oxidation (Fagali & Catalá,
402 2008; Martínez-Monteagudo et al., 2012). The findings suggested that the oxidative
403 stability of the CLA-soybean oil emulsions was preserved in the emulsions compared to
404 free oil control.

405 3.3 *In vitro* digestion

406 Figure 57 illustrates the changes occurring to the CLA isomers after *in vitro* digestion.
407 No significant differences ($P>0.05$) were found in the CLA isomer profile among

408 emulsions after digestion (Fig. 57). However, the CLA-control showed significant
409 ($P<0.05$) increased percentages of isomerization for *trans, trans* isomers compared to
410 emulsions containing CLA, even though *trans,trans* CLA isomers are more stable in air
411 (Moon et al., 2008).

412 The CLA concentration of different isomers in the digestates was also evaluated, to
413 avoid misleading interpretations by the isomer CLA profile using internal
414 normalisation, and the concentration of the isomers is summarized in Figure 5B7B. No
415 significant differences were seen for isomerization products from t9c11 and c10t12-
416 CLA bioactive compounds between different treatments. However, slight changes in the
417 two major groups of CLA isomers were observed in the digestates of the CLA-control
418 (free CLA), which had a lower concentration of these isomers than in the emulsions.

419 Thus, these results are in concordance with oxidation or losses of CLA isomers in oil
420 that is not protected in an emulsion delivery system (Fig. 57). This indicated that c9t11-
421 CLA and t10c12-CLA isomers are more susceptible to oxidative degradation than
422 isomerization, as other authors have also indicated (Moon et al., 2008)(Moon et al.,

423 2008). ~~It is also interesting to note the decrease in the means in the main CLA isomers~~
424 ~~(c9t11 and t10c12 CLA) in the digestates of PPI emulsion treated at one~~
425 ~~homogenization pass, compared to five passes (Fig. 5B). The higher content of~~
426 ~~lipxygenase in the cream phase of these emulsions treated at one pass could have~~
427 ~~affected the oxidation of CLA due to its unsaturation (Table 2).~~ In general, emulsions
428 clearly protected t9c11-CLA and t10c12-CLA isomers from transformation and
429 oxidation.

430 The release of CLA isomers could have been affected by the interfacial composition of
431 the emulsions after the digest process. Anyway, it has been previously characterized that
432 bile salts have an important role in the duodenal phase for the total displacement of

433 proteins from the interface even without the presence of phospholipids (Malaki Nik et
434 al., 2011; Maldonado-Valderrama, Wilde, Macierzanka, & Mackie, 2011). So that
435 interfacial composition of the digestates was evaluated by SDS-PAGE and empty gels
436 in were found. This indicated a complete hydrolysis of all soy and pea polypeptides
437 (data not shown). The extent of lipolysis of the emulsions was also measured after
438 digestion. Emulsions-stabilized with SPI (4%, w/v) at one and five passes of
439 homogenization presented FFA release percentages of 23.3 ± 0.7 and $22 \pm 1.5\%$,
440 respectively. In parallel, emulsions-stabilized with PPI (4%, w/v) at one and five passes
441 of homogenization showed 25.3 ± 0.3 and 24.8 ± 3.1 , respectively. The extent of
442 lipolysis of the oil (soybean oil and CLA) in these emulsions did not vary between
443 treatments suggesting that the *in vitro* digestibility of the oil within the emulsions would
444 not be affected by the type of protein present at the interface (SPI or PPI), and that the
445 particle size of the emulsions did not affect the final lipolysis. This fact is in
446 concordance with complete hydrolysis of all soy and pea polypeptides after digestion of
447 the emulsions. Other authors reported >80% lipid hydrolysis values for emulsions
448 containing 10% of soybean oil and 1,5% (w/v) SPI (Malaki Nik et al., 2011; Nik,
449 Corredig, & Wright, 2011). The smaller FFA values obtained in this study compared to
450 those found by other authors, can be explained by the differences in the composition of
451 the *in vitro* gastric and intestinal fluids, and the high amount of protein used also had an
452 impact on the release of free fatty acids, as residual protein at the interface may act as
453 physical barrier at the lipid droplet surface during digestion, decreasing lipase activity
454 and therefore catalysis of lipid substrate into free fatty acids. (Malaki Nik *et al.*, (2011)
455 showed that the presence of bile salts in the emulsions led to disruption of the flocs
456 formed in the gastric phase and a significant decrease in mean droplet diameter was
457 observed by the end of the duodenal stage. However, as we used ~~lower~~smaller

458 concentration of bile salts (0.4 mM) in the duodenal phase compared to these authors,
459 theywhich could have not been enough to disrupt flocs during digestion process, as
460 particle size measurements showed in most of the emulsions after digestion process
461 (Table 1). Also, larger particle size might be explained by less interfacial activity of
462 mixed bile salts-phospholipids compared to bile salts alone, as previously suggested
463 (Malaki Nik et al., 2011). Then, larger particle size of the emulsions in this study could
464 have led to the reduction of the lipolysis rate. It is well understood that changes in
465 composition of the emulsions can be used to modulate free fatty acid release in the
466 gastrointestinal tract, and affect satiety and release of bioactives (Guri & Corredig,
467 2014; McClements & Li, 2010).

468 *3.4 Bioaccessibility and bioavailability of CLA isomers*

469 The transport of CLA isomers after digestion and absorption was investigated using a
470 human intestinal cell culture model, by quantifying CLA in the cells and basolateral
471 compartments. Figure ~~6~~8 summarizes the concentration of CLA recovered in the cells
472 and basolateral compartment after 4 h of incubation, showing the percentages of the
473 bioaccessibility and bioavailability of CLA. These values were calculated dividing the
474 concentration which remained in the compartments by the amount in the digestates of
475 the emulsions (Eq. (3,4)).

476 All the treatments showed very similar CLA bioaccessibility results from the main CLA
477 isomers (c9t11 and t10c12) in digestates of the emulsions loaded into the human
478 intestinal cell culture model, except for the higher concentration recovered in the CLA-
479 control digestates. The results clearly suggest that when present in the emulsion, there
480 is a delayed absorption of the CLA in the cells with a lower recovery after 4 hours. In
481 concordance with oxidation/isomerization analysis of CLA-control after digestion, there

482 was an extensive absorption and possibly in cell metabolism of CLA for control
483 samples, where CLA was untrapped.

484 After absorption, there were no significant differences in the amount of t9c11-CLA
485 recovered in the basolateral fraction, between the treatments and the CLA-control
486 ($P>0.05$). This may indicate that albeit there was a higher concentration of CLA in the
487 cell for control, the CLA was also metabolized to a higher extent. Only less than 1% of
488 each CLA isomer from digestates of emulsions loaded to the cell media was recovered
489 in the basolateral phase. On the other hand, a higher concentration of t10c12 was found
490 in the basolateral phase for control than for the emulsion treatments. In this case also,
491 the amounts recovered were around 1.5%. There was no significant difference between
492 the emulsion treatments.

493 Turning now to the experimental evidence on low lipid hydrolysis, CLA bioactive
494 isomers solubilized in oil droplets exhibited minimal duodenal release, but instead
495 remained protected from the gastric and duodenal environment, to later be released after
496 absorption and transport through Caco-2 monolayers.

497 Recently, nanoemulsified CLA in an obesity rat model had greater antiobesity effect
498 than in the non-emulsified CLA-treated group (Kim, Park, Kweon, & Han, 2013).
499 However, chemical stability of different CLA isomers among non-emulsified CLA diet
500 and nanoemulsified CLA (with lecithin) diet and its possible effect on metabolism was
501 not assessed. In this respect, we have shown that the oil-in-water emulsion formulations
502 protected the encapsulated CLA better than the corresponding CLA-control, and the
503 longer residence times may also be beneficial for controlled delivery. In that manner,
504 further work needs to be done to establish whether CLA chemical stability preservation
505 with emulsifiers widely used in the food industry, as protein isolates, is correlated to
506 health benefits to the human body.

507 4. Conclusions

508 This research demonstrated the importance of oil in water emulsions as delivery
509 matrices for highly hydrophobic bioactive compounds. This work was undertaken to
510 design different oil-in-water emulsions formulations to evaluate CLA delivery. All
511 emulsions protected CLA from oxidation during storage, and after *in vitro* digestion,
512 absorption and transport through Caco-2 monolayers compared to non-emulsified CLA
513 control. Both SPI and PPI isolates were effective in stabilizing the emulsions
514 encapsulating and delivering CLA. The emulsions showed a similar *in vitro*
515 digestibility, and similar results of CLA absorption and transport through Caco-2
516 monolayers. ~~After absorption, there were no significant differences in the amount of~~
517 ~~the CLA recovered in the basolateral fraction, between the treatments and the CLA-~~
518 ~~control ($P>0.05$). This may indicate that albeit there was a higher concentration of CLA~~
519 ~~in the cell for control, the CLA was also metabolized to a higher extent.~~
520 Over the last few decades, CLA have been intensively investigated in numerous *in vivo*
521 and *in vitro* studies ~~(Koba & Yanagita, 2013)~~. However, the bioactive main isomers of
522 CLA are already available as food supplements in the market, and the risks and benefits
523 associated with supplementation are currently under discussion. Auto-oxidation of CLA
524 in the presence of molecular oxygen leads to ~~formation of toxic compounds as furan~~
525 ~~fatty acids leading to~~ isomerization of CLA into *cis,cis* and *trans,trans*-isomers through
526 the Caco-2 cells ~~(Buhrke et al., 2012)~~. The results of this study indicate that isomers
527 form during storage and digestion and further investigation is needed to evaluate the
528 risks that these isomers may cause on the human body. Novel-technologies of
529 encapsulation of CLA are necessary to protect the main biological CLA isomers ~~(Costa~~
530 ~~et al., 2015; Gao et al., 2014; Kim et al., 2013; Yao et al., 2013)~~ and oil-in-water

531 emulsions seem to be a suitable solution. -In fact, the emulsions presented in this
532 manuscript work are suitable to produce a functional food-based product, such as
533 skimmed milk, with CLA enriched emulsion containing 2% of fat to deliver 0.6% CLA.
534 According to literature and food industry demands to label the CLA functional products
535 with health claims, one milk glass would have 1.5 g CLA which is in the range required
536 to obtain health benefits.
537 To the best of our knowledge ~~This work~~ was the first attempt in which CLA was
538 preserved into oil-in-water emulsions stabilized with vegetable protein isolates. The
539 findings of this study have a number of important implications for future practice in the
540 food industry for development of novel functional foods.

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