

## ELECTRONIC SPECTROSCOPY OF HETEROSYSTEM SI/CU SURFACES WITH NANOSCALE PHASES AND FILMS

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**Abstract.** *In this article, valence electron state density, energy band parameters, energetic, optical and electrophysical properties of metal oxide and silicide films with thickness  $\leq 40$  Å formed on Si/Cu surface during ion implantation and annealing were studied.*

**Key words:** *thermal oxidation, nanophases, nanofilms, plasma oscillation, surface roughness, optical-phonon mode, island growth.*

## ЭЛЕКТРОННАЯ СПЕКТРОСКОПИЯ ПОВЕРХНОСТЕЙ ГЕТЕРОСИСТЕМ SI/CU С НАНОРАЗМЕРНЫМИ ФАЗАМИ И ПЛЕНКАМИ

**Аннотация.** *В статье исследованы плотность состояний валентных электронов, параметры энергетических зон, энергетические, оптические и электрофизические свойства пленок оксидов металлов и силицидов толщиной  $\leq 40$  Å, образующихся на поверхности Si/Cu в процессе ионной имплантации и отжига.*

**Ключевые слова:** *термическое окисление, нанопазы, нанопленки, плазменные колебания, шероховатость поверхности, оптико-фононный режим, рост островков.*

### Introduction

Recently, nanoscale structures obtained on the surface and near it for materials of various natures have been widely studied, which is due to their promise for the development of new POP and MIS structures for solid-state electronics devices. Nanoscale systems can be obtained using molecular beam, solid-phase and gas-phase epitaxy and ion bombardment methods. In this case, it is of particular interest to obtain and study the properties of nanostructures based on freely hanging films. Previously, the patterns of formation of nanosized films of SiO<sub>2</sub> and MeSi<sub>2</sub> (Me is a metal) on the surface of a thin free-hanging Si/Cu heterostructure were studied. In particular, it was found that the SiO<sub>2</sub> film obtained by ion bombardment followed by heating contains a large amount of non-stoichiometric SiO<sub>x</sub> oxides and unbound Si atoms (5–6 at.%), and the BaSi<sub>2</sub> and CoSi<sub>2</sub> films contain excess metal atoms - up to 10 at. %. In this case, the E<sub>g</sub> of the SiO<sub>2</sub> and BaSi<sub>2</sub> films decreased by more than two times [1-2].

In this dissertation, the densities of state of valence electrons, energy band parameters, energetic, optical and electrophysical properties of metal oxide and silicide films with a thickness of  $\leq 40$  Å formed on the Si/Cu surface during ion implantation followed by annealing were studied for the first time. In Fig. Figure 1. shows SEM and RHEED images for the Si/Cu surface before and after implantation of ions with energy  $E = 1$  keV and dose  $6 \times 10^{15}$  sm<sup>-2</sup> [3]. The surface of non-implanted Si is smooth and has a polycrystalline structure. After implantation of ions, separate cluster phases with a new composition and structure appear on the surface. The surface sizes of cluster phases range from 10 to 20 nm. These phases occupy half of the entire irradiated area.

However, in the electron diffraction pattern, the concentric rings characteristic of polycrystalline films completely disappear, and new, diffuse and wide rings characteristic of

disordered surfaces are observed. Apparently, it can be assumed that microstresses arising near the cluster phases lead to disorder in non-irradiated areas of the surface. Starting from a dose  $D \approx 2 \times 10^{16} \text{ cm}^{-2}$ , overlapping boundaries of neighboring clusters are observed and, starting from a dose of  $10^{17} \text{ cm}^{-2}$ , complete doping of the surface layers occurs and an amorphous layer of non-stoichiometric silicon dioxide is formed - in the RHEED picture, instead of concentric rings, one diffuse ring is observed – amorphous halo [4-5].

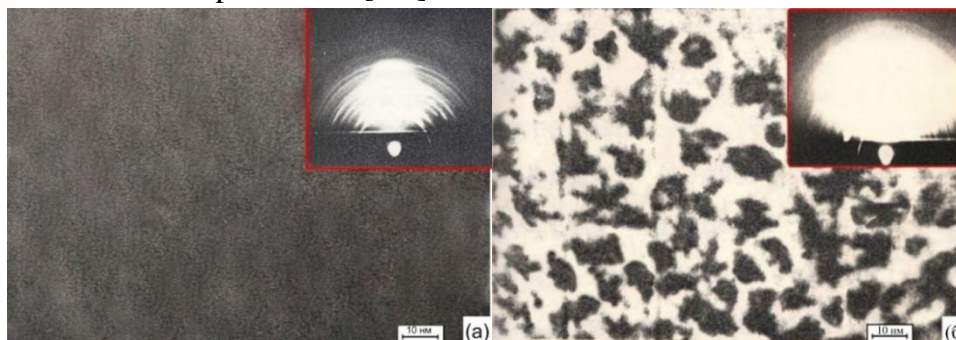


Fig. 1. SEM images and RHEED patterns (insets) for the Si/Cu(100) surface before (a) and after (b) implantation with ions with energy  $E = 1.0 \text{ keV}$  at a dose of  $6 \times 10^{15} \text{ cm}^{-2}$  [3-4].

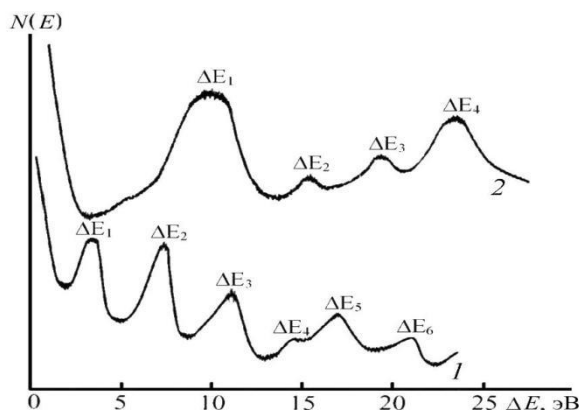
#### Experimental technique

After heating at a temperature of 750 K, the stoichiometric composition of the  $\text{SiO}_2$  film improves significantly (the  $\text{SiO}_2$  concentration increases to 85–90 at.%), but the high degree of amorphism remains.

The HPEE spectra of a free-hanging Si/Cu(100) structure before and after the formation of a nano-sized oxide film are shown in Fig. 1. A number of intense peaks are detected in the Si/Cu spectrum. The presence of peaks at energy values  $\Delta E_1 = 3.4$ ,  $\Delta E_2 = 6.7$  and  $\Delta E_4 = 14 \text{ eV}$  can be explained by the occurrence of interband transitions, and other peaks can be explained by the excitation of surface ( $\Delta E_3 = \hbar\omega_s = 10.4$ ,  $\Delta E_6 = \hbar\omega_s = 21 \text{ eV}$ ) and bulk ( $\Delta E_5 = \hbar\omega_v = 17 \text{ eV}$ ) plasma oscillations [116; P. 102].

The spectrum of the  $\text{SiO}_2$  nanofilm reveals two maxima due to interband transitions  $\Delta E_1 = 9.1$ ,  $\Delta E_3 = 19 \text{ eV}$  and two maxima due to plasma oscillations:  $\Delta E_2 = \hbar\omega_s = 15$  and  $\Delta E_4 = \hbar\omega_v = 23 \text{ eV}$ . Note that the energy of plasma oscillations and interband transitions in a free  $\text{SiO}_2/\text{Si}$  nanofilm differs from the energy in the case of a thick  $\text{SiO}_2$  film.

Fig. 2. HPEE spectra of the Si/Cu(100) heterostructure before (1) and after (2) the formation of the  $\text{SiO}_2$  film,  $E_p = 1000 \text{ eV}$  [1-2].



The nature of the shift of the maxima caused by interband electronic transitions is probably associated with the deformation of the functions of electronic states with a decrease in the thickness of the SiO<sub>2</sub> film and an increase in the influence of the substrate.

When implanting barium with different doses after annealing, nanophases and layers of barium silicides formed on the Si surface. Nanocluster phases and films (d=20-50 Å) of silicides such as BaSi<sub>2</sub> and CoSi<sub>2</sub> were obtained.

Thus, after annealing freely hanging Si/Cu films implanted with O<sub>2</sub><sup>+</sup> and Ba<sup>+</sup> ions, three-layer systems SiO<sub>2</sub>/Si/Cu and BaSi<sub>2</sub>/Si/Cu are formed [6-7].

In table Table 1 shows the band energy parameters, maximum values of the secondary electron emission coefficient  $\sigma_m$ , quantum yield Y of photoelectrons, resistivity  $\rho$  of SiO<sub>2</sub> nanofilms and metal silicides. It can be seen that during the formation of metal silicides, the E<sub>g</sub> value of silicon decreases by three times, the resistivity by 10<sup>4</sup> times, the values of  $\sigma_m$  and Y by one and a half to two times, and in the case of the formation of SiO<sub>2</sub>, E<sub>g</sub> increases by approximately four times,  $\rho$  by 300 times, and  $\sigma_m$  and Y – two to three times [8-9].

Table 1

Zone parameters,  $\sigma_m$ , Y and resistivity ( $\rho$ ) of the resulting films

Options	Si, d=400 Å	SiO <sub>2</sub> /Si, d=20 Å	BaSi <sub>2</sub> /Si, d=50-60 Å	CoSi <sub>2</sub> /Si, d= 50-60 Å
e $\phi$ , eV	5.1	3.9	3.1	-
E <sub>g</sub> , eV	1.1	4.1	0.3	0.4
$\rho$ , $\mu\Omega \square \text{cm}$	6·10 <sup>5</sup>	2·10 <sup>8</sup>	100-150	80-100
F, eV	5.2	4.9	3.9	4.1
$\chi$	4.1	0.8	3.6	3.7
$\sigma_m$	1.2	2.2	2	1.7
Y	8·10 <sup>-5</sup>	6·10 <sup>-4</sup>	4·10 <sup>-4</sup>	-

Note: e $\phi$  and  $\Phi$  are thermionic and photoelectronic work functions.

However, these data differ markedly from the data for similar films obtained on the surface of bulk Si films. For example, the E<sub>g</sub> value for SiO<sub>2</sub> and BaSi<sub>2</sub> created on the surface of bulk Si films is 7.9 and 0.7 eV, respectively. These differences are explained by the fact that in films of SiO<sub>2</sub> (as well as barium silicides) obtained on the surface of free films, due to the limitation of the annealing temperature, they contain a certain amount of unbound atoms of silicon and oxide of the SiO<sub>x</sub> type (1 ≤ x <2) [7-8].

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