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17 **Abstract**

18 NiMo catalysts supported on different sulfated and non-sulfated aluminas and zirconias were
19 studied for the catalytic conversion of lignin in a formic acid/ethanol medium. All the pre-
20 reduced NiMo-support combinations resulted in high conversion of lignin into bio-oil, with over
21 60 % yield (mass %). The NiMo-sulfated alumina catalyst exhibited the highest activity among
22 all the catalyst studied. The overall reaction mechanism of the catalytic lignin conversion was
23 found to be especially complex. The oil yield and its properties are affected by a combination of
24 successive catalytic reactions that are part of the lignin conversion process. Lignin is first de-
25 polymerized into smaller fragments through the cleavage of the aliphatic ether bonds. This
26 reaction can be either catalyzed by Ni⁰ species and strong Lewis acid sites within the alumina
27 supports. In the presence of both active species, the Ni⁰ catalyzed ether bond cleavage is the
28 prevailing reaction mechanism. In a second step, the smaller lignin fragments can be stabilized by
29 catalytic hydrodeoxygenation (HDO) and alkylation reactions that hinder their re-polymerization
30 into char. Mo was found to be especially active for HDO reactions while all the catalyst studied
31 exhibited significant alkylation activity. The final bio-oil yield is strongly dependent on the
32 aliphatic ether bond cleavage rate; the contribution of those monomer stabilization reactions (i.e.
33 HDO and alkylation) being secondary.

34 **1. Introduction**

35 The use of lignocellulosic biomass as a raw material for the production of renewable fuels and
36 chemicals is growing rapidly. Consequently, there is an increasing interest in the development of
37 integrated models for the efficient valorization of woody biomass (i.e. as lignocellulosic bio-
38 refineries). Over the last decades, most of the effort has been focused on the development of
39 more efficient lignocellulose pretreatment technologies and the subsequent conversion of the
40 cellulose and hemicellulose fraction into bio-ethanol [1-3] and/or value-added chemicals [4]. In
41 contrast, the third component, lignin, is mostly considered a waste and it is normally burned as a
42 low value fuel [5]. However, the expected growth of the production of lignocellulosic-based
43 products will demand the efficient valorization of the lignin sub-product and the conceptual
44 integration of its conversion processes in the so-called bio-refinery concept.

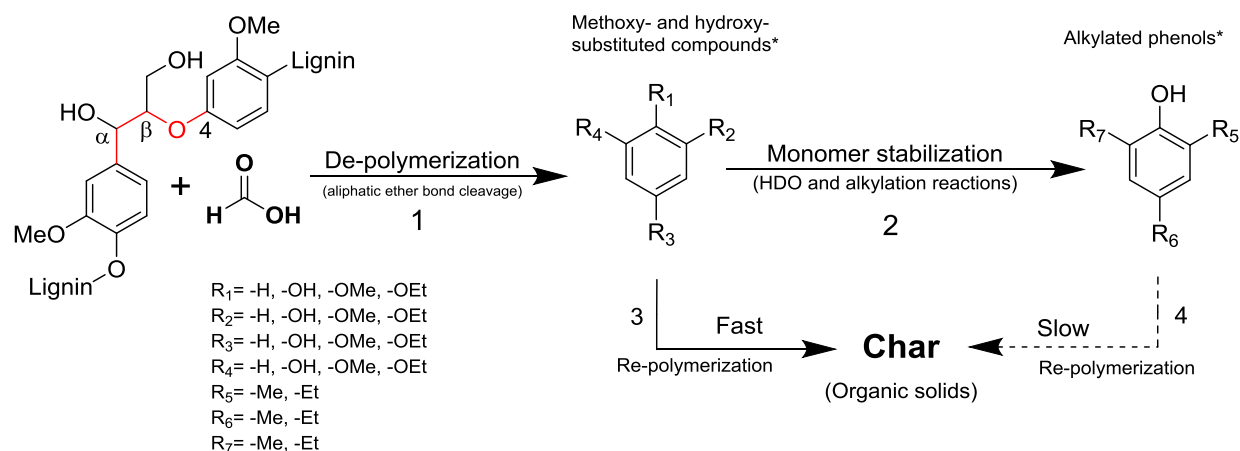
45 A plausible process that could meet these requirements is the recently developed LtL
46 process [6, 7]. Formic acid, one of the main sub-products from the cellulose and hemicellulose

47 hydrolysis and sugar conversion processes [8, 9], can react together with lignin in an ethanol
48 media to yield a mono-aromatic based oil, with considerable chemical stability and low oxygen
49 content. This process is carried out under severe reduction conditions: formic acid is decomposed
50 mainly into CO₂ and H₂ providing high reaction pressures. Nevertheless, the LtL conversion
51 requires high temperatures, in the range of 300-360 °C, and long residence times for complete
52 lignin conversion. The process severity would imply a high cost at industrial scale, and at present,
53 the oil yields and its quality are not sufficient to produce LtL oils competitive with traditional
54 fossil-based products [10, 11].

55 A possible option in order to decrease the process severity and simultaneously increase
56 the LtL oil yield and quality – i.e. a higher H/C and lower O/C ratio- is the use of heterogeneous
57 catalysts. Recently Zhang and co-workers [12] described the most important catalytic routes for
58 the conversion of lignin in a reductive environment (hydroprocessing), and the most relevant
59 conversion mechanism were: (i) the catalytic hydrogenolysis of the aliphatic ether bonds of the
60 lignin biopolymer and (ii) the catalytic hydrodeoxygenation (HDO) of the lignin monomers. For
61 the catalytic hydrogenolysis of lignin, Ni-based catalysts are normally the preferred choice (e.g.,
62 Raney Ni, Ni/SiO₂, Ni/activated carbon) [13-15]. In the case of HDO, nonetheless, three different
63 types of catalysts have been studied: monometallic, bimetallic and bifunctional. The
64 monometallic catalysts consist mainly of Mo-supported catalysts [12]. The bimetallic systems
65 refer typically to the combination of mixed sulfides of Co, Ni, Mo and W. Nevertheless, sulfided
66 catalysts are not entirely adequate since they increase the amount of sulfur containing compounds
67 in the liquid products [12, 16]. Bifunctional catalysts in turn contain both metal and acid sites
68 [17]. Several combinations of hydrogenating (e.g. Ni Raney, Pd/C, Pt/Al₂O₃) and solid-acid
69 catalysts (e.g. HZSM-5 and Nafion/SiO₂) [18-20], or even bifunctional catalysts (e.g. Ru/HZSM-
70 5, Ni/HZSM-5) [21, 22] have been studied, mostly with lignin model compounds as starting
71 materials.

72 However, very little research has been conducted in the catalytic hydroprocessing of a
73 real lignin feedstock [12, 23]; and even less in the formic acid aided lignin conversion [24]. In a
74 previous study, we investigated the role of formic acid and the solvent (i.e. ethanol) in the
75 catalytic lignin conversion with a NiMo supported on a sulfated Al₂O₃, a Lewis solid acid [25].
76 The investigations revealed that the catalytic lignin conversion into bio-oil follows a complex
77 reaction pathway. In the presence of formic acid, lignin undergoes de-polymerization through a

78 formylation-deformylation-hydrogenolysis pathway that leads to the cleavage of its aliphatic
 79 ether bonds. The depolymerized monomers and oligomers can undergo subsequent
 80 hydrodeoxygenation (HDO) and alkylation reactions. These reactions tend to stabilize the lignin
 81 monomers hindering their re-polymerization into char (Scheme 1).



82
 83 Scheme 1. Sequential reaction scheme for the conversion of lignin into bio-oil. * The term
 84 compounds may refer to both monomers and/or oligomers. This is an adapted scheme based on a
 85 previous study [25].

86 To further explore these processes, the catalytic role of the type of metal (i.e. Ni and Mo),
 87 the Lewis acidity and the metal-support interaction on the lignin de-polymerization (i.e. aliphatic
 88 ether bond cleavage) and monomer stabilization reactions (i.e. HDO and alkylation) is studied
 89 using well-characterized heterogeneous catalysts. Moreover, the relative importance of each
 90 reaction pathway (de-polymerization vs. stabilization) on the oil yield and oil quality is also
 91 assessed. For this purpose, several combinations of non-sulfided monometallic (i.e. Ni and Mo)
 92 and bimetallic NiMo catalysts supported on zirconia and γ -alumina are evaluated. Sulfated
 93 zirconia [26, 27] and alumina [28] are also used as catalyst supports to further explore the effect
 94 of the Lewis acidity strength in the reaction mechanism. The recyclability of the NiMo catalyst in
 95 the LtL conversion process is also examined.

96

97 **2. Experimental**

98 **2.1 Chemicals**

99 Formic acid (>98%), tetrahydrofuran (>99.9%), ethyl acetate (99.8%), hexadecane (>99.8%),
100 sulfuric acid (95-97%), anhydrous sodium sulphate (>99.0 %) and zirconium (IV) hydroxide
101 (97%) were purchased from Sigma Aldrich and used as supplied. γ -alumina (>97%), nickel(II)
102 nitrate hexahydrate (99.9+% Ni) and ammonium molybdate tetrahydrate (99.98% Mo) were
103 purchased from Strem Chemicals Inc and used as received. The Technical College of Bergen
104 supplied rice straw lignin from a strong acid carbohydrate dissolution pre-treatment. The lignin
105 was ground and sieved (<500 μm) prior to use. The elemental composition and the inorganic ash
106 content of the rice straw lignin are given in Table S1, *Supplementary Information*.

107 **2.2 Synthesis of the catalyst**

108 **2.2.1 Synthesis of the supports**

109 *Non-sulfated alumina:* γ -alumina was dried at 100 °C for 24 h prior to use. This support is named
110 AL.

111 *Non sulfated zirconia:* ZrO_2 was obtained by calcining $\text{Zr}(\text{OH})_4$ at 600 °C for 4 h with a heating
112 ramp of 3°C/min. The resulting support is denoted as ZR.

113 *Sulfated alumina:* γ -alumina was subjected to a thermal treatment in air at 450 °C for 4 h with a
114 heating ramp of 3 °C/min. The calcined alumina was impregnated (4 cm^3 sulfuric acid/ cm^3 of
115 pore) with a sulfuric acid solution (mass fraction of 0.5 %) and stirred for 24 hours. The solution
116 was dried at 80 °C for 24 h and the resulting solid was calcined at 600 °C for 4 h with a heating
117 ramp of 3 °C/min. The resulting sulfated alumina is denoted as SAL.

118 *Sulfated zirconia:* $\text{Zr}(\text{OH})_4$ was impregnated (5 mL/g) with a sulfuric acid solution (0.5 M) and
119 stirred for 2 h. The solution was dried at 80 °C for 24 h and the resulting solid was calcined at
120 600 °C for 4 h with a heating ramp of 3 °C/min, obtaining the sulfated zirconia (SZ). This support
121 is denoted as S-ZR.

122

123 **2.2.2 Synthesis of the metallic catalysts**

124 The bimetallic catalysts were later prepared by successive incipient-wetness impregnation of the
125 corresponding support (AL, SAL, ZR and SZR) with an aqueous solution of ammonium
126 molybdate ($(\text{NH}_4)_6\text{Mo}_7\text{O}_{24}\cdot 4\text{H}_2\text{O}$) and/or nickel nitrate ($\text{Ni}(\text{NO}_3)_2\cdot 6\text{H}_2\text{O}$). The nominal loading of
127 MoO_3 and NiO are 12 % and 5 % respectively, given as mass fraction percentage. After
128 impregnation, the catalysts were dried at 105 °C for 20 min and calcined in an air flow (10
129 mL/min) at 570 °C for 2 h with a heating ramp of 2 °C/min. Later, the solids were subjected to
130 pre-reduction (activation) under a hydrogen flow (10% v/v) at 550 °C for 2 h with a heating ramp
131 of 2 °C/min and used shortly after the treatment. The resulting bimetallic catalysts are named H-
132 NiMo-AL, H-NiMo-SAL, H-NiMo-ZR and H-NiMo-SZR. Two monometallic catalysts were
133 synthesized with the SAL support: one containing only Ni (H-Ni-SAL) and the second containing
134 only Mo (H-Mo-SAL). The metal loading and the synthesis procedure were equivalent to the
135 ones used for their bimetallic counterparts.

136 The bare supports (AL, SAL, ZR and SZR) were analyzed by N_2 -adsorption, FT-IR of
137 adsorbed pyridine, NH_3 -TPD, and XRD. The bimetallic H-NiMo-AL, H-NiMo-SAL, H-NiMo-
138 ZR, H-NiMo-SZR, H-Ni-SAL and H-Mo-SAL catalysts were analyzed by N_2 -adsorption, NH_3 -
139 TPD, ICP-EAS, XRD, TPR and CO-chemisorption. The experimental procedures for the
140 characterization of the catalyst are described in the *Supplementary Information*.

141 **2.3 LtL experiments**

142 **2.3.1 Experimental set-up**

143 A detailed description is given elsewhere by Oregui Bengoechea *et al.*[10]. Briefly summarized,
144 lignin (2.0 g), formic acid (1.5 g), ethanol (2.5 g) and the catalyst (0.2 g) were added to a stainless
145 steel reactor (Parr 4742 non-stirred reactor, 25 mL volume). The reactor was closed and heated
146 up to 340 °C in a Carbolite LHT for 6 h. Two replicates were performed for each experiment.
147 The results refer to the average values of both experiments. The amounts of the reactants used for
148 all the experiments are summarized in Table S2, *Supplementary Information*.

149

150 **2.3.2 Work-up procedure**

151 After heating for the predetermined duration, the reactor was cooled down to ambient
152 temperature by natural convection. The liquid mixture within the reactor was extracted with a
153 solution of ethyl acetate:tetrahydrofuran (90:10) and the solid phase (unreacted lignin, reaction
154 products and catalyst) was filtered off. The resulting liquid phase was dried over Na₂SO₄ and
155 concentrated at reduced pressure (160 mm bar) at 40 °C to yield a dark-brown to black liquid.
156 The oil and solid yield are given as mass fraction % and were determined using the following
157 equation:

158 Oil/Solid Yield (mass fraction %) = dry mass of oil or solids (g)/dry mass of input lignin (g)

159 For the catalyzed experiments, the solid yield was calculated after subtracting the amount of
160 catalyst introduced. Therefore, the solid yield refers only to the organic solids (char) and the
161 inorganic lignin ashes.

162 The oil was analyzed by GC-MS and GPC-SEC. Its elemental composition was also determined.
163 The procedures used for the characterization of the oils are described in the Supplementary
164 Information.

165 **2.3.3 Recycling experiments**

166 After the reaction, the solids (organic ashes, inorganic ashes and catalysts) were recovered and
167 calcined at 570 °C for 2h with a heating ramp of 2 °C/min to eliminate the organic residues. The
168 resulting solids (catalyst and inorganic ashes) were pre-reduced at the same conditions described
169 in *Section 2.2.2* and re-used in the LtL process. After the first recycling cycle, a higher amount of
170 solid was recovered due to inorganic ash accumulation in the system. Therefore, to guarantee the
171 proper reduction of the catalyst, in the second recycling cycle the pre-reduction of the catalyst
172 was carried out for 3 h.

173 Blank experiments were also carried out to evaluate the activity of the inorganic ashes.
174 The experimental procedure is analogous to the one described in *Section 2.3.1*, but in this case,
175 instead of catalysts, 0.2 g of inorganic ashes were added (ASH-1 experiment). The method used
176 to obtain the inorganic lignin ashes is the same used to determine the lignin ash content
177 (*Supplementary Information*).

178

179 **3. Results**

180 **3.1 Catalyst Characterization**

181 **3.1.1 N₂-adsorption**

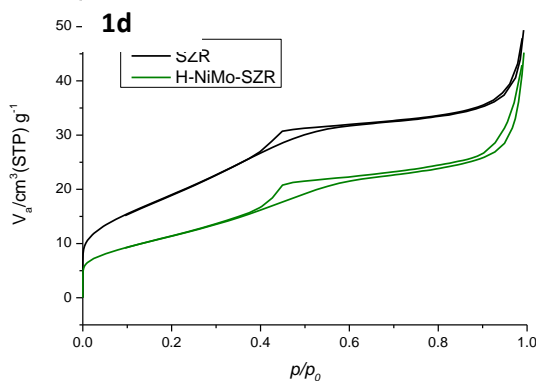
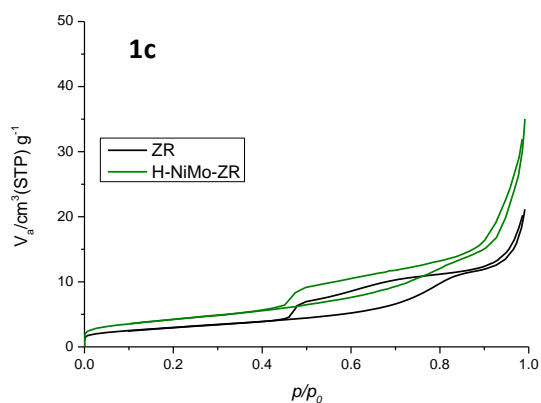
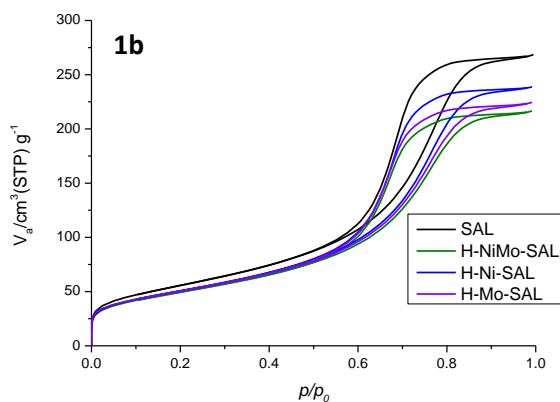
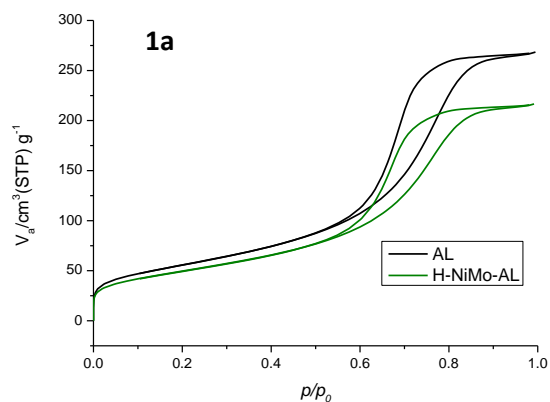
182 The textural properties of the catalysts are presented in Table 1 and Fig. 1. The results show that
 183 the sulfating process has different effects on the textural properties of the alumina and zirconia
 184 supports. In the case of the alumina catalysts, small differences between the sulfated and non-
 185 sulfated counterparts are observed: the sulfated solids display slightly higher surface areas. The
 186 alumina-based solids (AL, SAL, H-NiMo-AL and H-NiMo-SAL) exhibit an IUPAC Type IV
 187 isotherm typical for mesoporous materials. The catalysts (H-NiMo-AL and H-NiMo-SAL)
 188 present a lower surface area and V_t than their corresponding bare supports (AL and SAL)
 189 suggesting that the incorporation of the Ni and Mo causes the partial blockage of the pores [29].

190 **Table 1:** BET surface area (S_{BET}), total pore volume (V_t), total acidity measurements (NH₃-TPD), NiO and
 191 MoO₃ content (ICP-EAS) and number of nickel active sites (CO-chemisorption) for the bare supports
 192 (AL, SAL, ZR, SZR) and metallic catalyst (H-NiMo-AL, H-NiMo-SAL, H-NiMo-ZR, H-NiMo-SZR, H-
 193 Ni-SAL, H-Mo-SAL).

Entry	Catalyst ^a	S_{BET} (m ² /g)	V_t (cm ³ /g)	Acidity (mmol NH ₃ /g cat.)		ICP-MS		No. of Ni active sites (μmol CO/g cat)
				Weak	Strong	NiO	MoO ₃	
				85-340°C	340-590°C	(mass fraction %)		
1	AL	198	0.416	0.420	0.390	-	-	-
2	H-NiMo-AL	172	0.328	0.521	0.366	4.7	11.4	18.5
3	SAL	202	0.414	0.485	0.397	-	-	-
4	H-NiMo-SAL	179	0.335	0.547	0.375	3.6	10.7	6.9
5	H-Ni-SAL	183	0.369	0.374	0.589	5.8	-	12.6
6	H-Mo-SAL	181	0.347	0.467	0.335	-	11.7	-
7	ZR	11	0.032	0.056	0.054	-	-	-
8	H-NiMo-ZR	15	0.010	0.045	0.026	5.2	11.7	2.1
9	SZR	71.2	0.074	0.289	0.126	-	-	-
10	H-NiMo-SZR	42.4	0.066	0.146	0.067	4.6	10.0	0.7

194 ^a **H** refers to those catalyst that were submitted to a pre-reduction treatment, **NiMo**: bimetallic catalysts
 195 containing Ni and Mo, **Ni**: monometallic catalyst containing only Ni, **Mo**: monometallic catalyst
 196 containing only Mo, **AL**: γ-alumina, **SAL**: sulfated alumina, **ZR**: zirconia and **SZR**: sulfated zirconia
 197

198 The textural properties of the zirconia support, however, are considerably altered upon
199 sulfating. The non-sulfated zirconia solids (ZR and H-NiMo-ZR) exhibit adsorption-desorption
200 isotherms with a hysteresis loop at high relative pressures (Figure 1c) generally assigned to the
201 presence of mesopores [30]. Nevertheless, the low surface area and V_t found in these solids
202 (*entries 7-8*, Table 1) suggest that this hysteresis is due to nanoparticle-aggregation [31]. Thus,
203 the ZR and H-NiMo-ZR solids are either macro- or non-porous. The sulfated zirconia solids
204 (SZR and H-NiMo-SZR), on the other hand, are mesoporous solids and exhibit considerably
205 higher surface areas than their non-sulfated counterparts. The sulfated zirconia solids (SZR and
206 H-NiMo-SZR) show a IUPAC Type IV isotherms (Figure 1d) with a large increase in the
207 adsorption at higher relative pressures (p/p_0), which can be assigned to the presence of large size
208 mesopores in the samples [32]. The isotherms also exhibit a sharp-step H2-type hysteresis loop,
209 which can be explained by the interconnectivity of pores [33, 34]. The SZR support exhibits
210 considerably higher surface area and V_t in comparison to the H-NiMo-SZR catalyst, suggesting
211 that the pores are partially blocked by the Ni and Mo species.



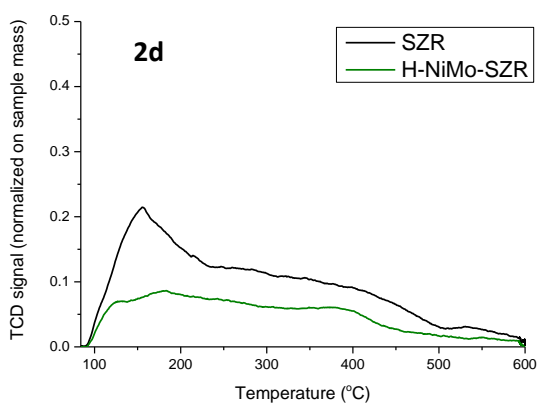
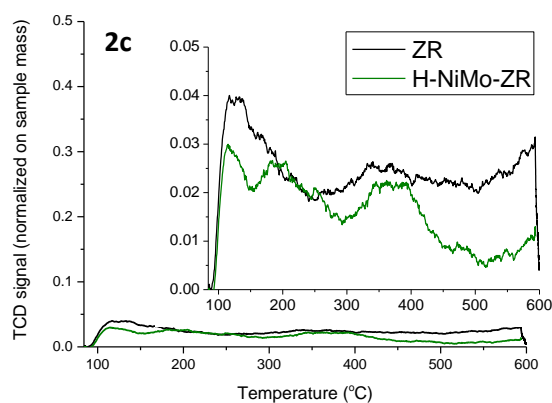
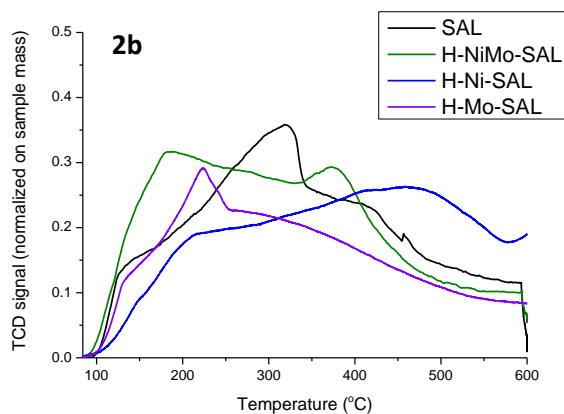
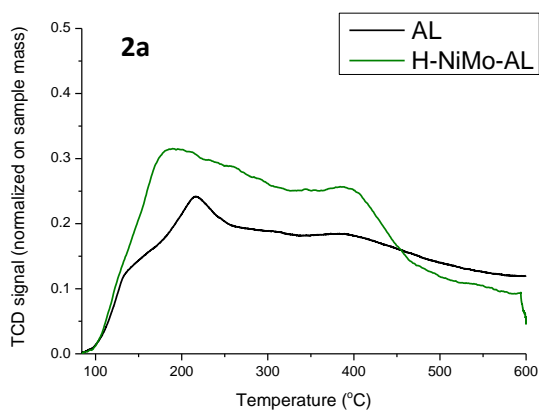
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213

214 **Figure.1 a)** N₂-adsorption isotherms for the AL (●) and H-NiMo-AL (●) catalysts **b)** N₂-adsorption
 215 isotherms for the SAL (●), H-NiMo-SAL (●), H-Ni-SAL (●) and H-Mo-SAL (●) catalysts **c)** N₂-
 216 adsorption isotherms for the ZR and H-NiMo-ZR (●) catalysts **d)** N₂-adsorption isotherms for the SZR (●)
 217 and H-NiMo-SZR (●) catalysts

218 3.1.2 Acidity measurements (NH₃-TPD and DRIFT of absorbed pyridine)

219 NH₃-TPD and DRIFT of absorbed pyridine are normally combined to determine the strength,
 220 concentration and type of acid sites (Lewis or Brønsted) within a solid. The NH₃-TPD results are
 221 presented in Figure 2 and Table 1, while the DRIFT results are depicted in Figure S1
 222 (*Supplementary Information*). In order to facilitate the analysis, the acid sites are further
 223 classified according to their strength. This classification is based on NH₃ desorption
 224 temperatures. Hence, those acid sites that are not stable below the reaction temperature (<340
 225 °C) were designated as weak, while those acid sites that are stable above the reaction temperature
 226 (>340 °C) are designated as strong (Table 1).



227

228

229 **Figure 2a)** NH₃-TPD for the AL (●) and H-NiMo-AL (●) catalysts **b)** NH₃-TPD for the SAL (●), H-
 230 NiMo-SAL (●), H-Ni-SAL (●) and H-Mo-SAL (●) catalysts **c)** NH₃-TPD for the ZR (●) and H-NiMo-ZR
 231 (●) catalysts **d)** NH₃-TPD for the SZR (●) and H-NiMo-SZR (●) catalysts

232

233 AL, SAL and SZR display acidity in the weak and strong region according to the NH₃-
 234 TPD results (Figure 2). The ZR support exhibits no significant acidity, nor did its corresponding
 235 bimetallic catalysts (H-NiMo-ZR), as observed in Figure 2c and in Table 1 (*entries 7-8*). The
 236 DRIFT spectra for the AL, SAL and SZR depicted in Figure S1 indicate that the acidity of these
 237 supports is mainly of Lewis nature: the IR bands around 1450 cm⁻¹ and 1610 cm⁻¹ are associated
 238 with pyridine adsorbed on Lewis (L) acid sites. No bands around 1540 cm⁻¹ associated with
 239 Brønsted (B) acid sites are found for any of the solids [35, 36]. The additional weak IR peak
 240 observed around 1495 cm⁻¹ is normally attributed to a combination band associated with both B
 241 and L sites [36]. Thus, sulfating the alumina did not alter the nature of its acid sites. On the
 242 contrary, the zirconia experimented and increase in surface acidity due to the appearance of
 243 surface Lewis acid sites.

244 The NH₃-TPD results presented in Table 1 and Figure 2 also indicate that, in the case of
245 the alumina, the sulfation process did not alter the strength and distribution of the Lewis sites
246 either. Only a slight increase of weak acid sites is observed (0.420 mmol NH₃/g cat. for AL and
247 0.485 SAL mmol NH₃/g cat.). When compared with their corresponding bare supports, the
248 bimetallic alumina catalyst H-NiMo-AL and H-NiMo-SAL display a larger amount of weak acid
249 sites but a slightly smaller amount of strong acid sites.

250 As mentioned above sulfating the zirconia had a positive effect in the generation of acid
251 sites, significantly increasing the Lewis acidity of the SZR support (Table 1). As depicted in
252 Figure 2d, the majority of the acid sites found in the SZR support are weak. Its corresponding
253 bimetallic catalysts, H-NiMo-SZR, also exhibits substantial acidity although the amount weak
254 and strong acid sites is considerably smaller (Table 1).

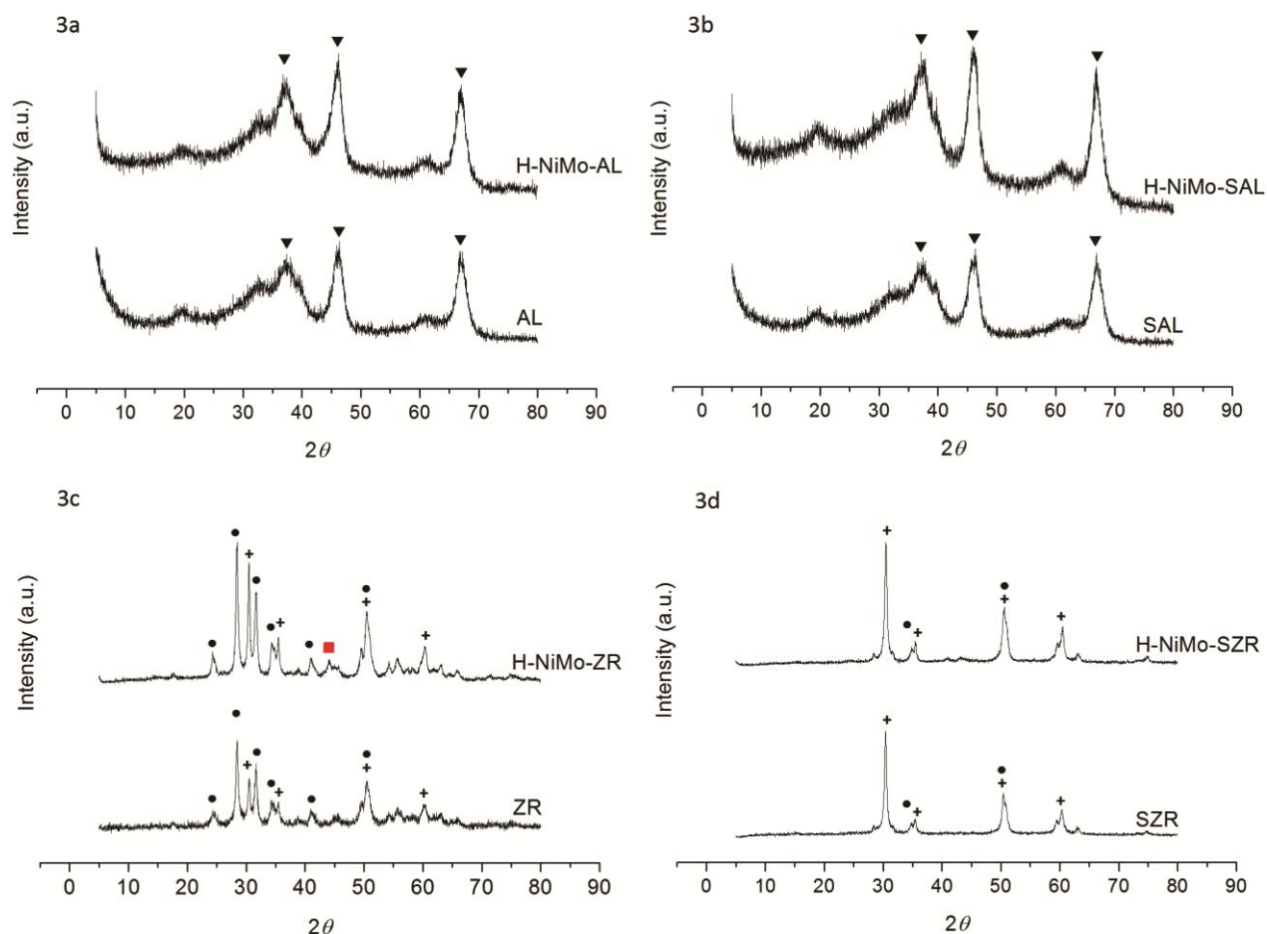
255 3.1.3 ICP-AES

256 The Ni and Mo loadings shown in Table 1 are given in their oxide form, NiO and MoO₃,
257 respectively. The nominal metal loadings are 5.0 % for the NiO and 12.0 % for the MoO₃. Two
258 factors seem to affect the Ni content: the sulfation process and the type of support (i.e. alumina or
259 zirconia). Higher amounts of Ni are observed for those catalysts based on zirconia (H-NiMo-ZR
260 and H-NiMo-SZR) and for non-sulfated supports (H-NiMo-AL and H-NiMo-ZR). In the case of
261 the Mo, the sulfation process is the most determinant factor: those non-sulfated supports (H-
262 NiMo-AL and H-NiMo-ZR) give catalysts with higher Mo contents. Thus, the H-NiMo-ZR
263 catalyst has the highest Ni loading followed by the H-NiMo-AL > H-NiMo-SZR >> H-NiMo-
264 SAL. In the case of Mo, the highest loading is obtained for the H-NiMo-ZR followed by the H-
265 NiMo-AL > H-NiMo-SAL > H-NiMo-SZR.

266 3.1.4 X-ray diffraction (XRD)

267 The diffractograms depicted for all the alumina catalysts (AL, H-NiMo-AL and SAL,
268 NiMo-SAL and H-NiMo-SAL), are identical (Figure 3a and 3b). The main peaks corresponding
269 to γ -Al₂O₃ phase are found at 2θ angles of 37.4, 45.9° and 66.7° (Powder Diffraction File (PDF):
270 01-074-2206). On the contrary, the diffractograms of the non-sulfated zirconia catalyst (ZR and
271 H-NiMo-ZR) differ from the sulfated zirconia catalysts (SZR and H-NiMo-SZR) as depicted in
272 Figure 3c and 3d. Both ZR and SZR diffractograms show broad peaks at 30.3°, 35.2°, 50.4° and

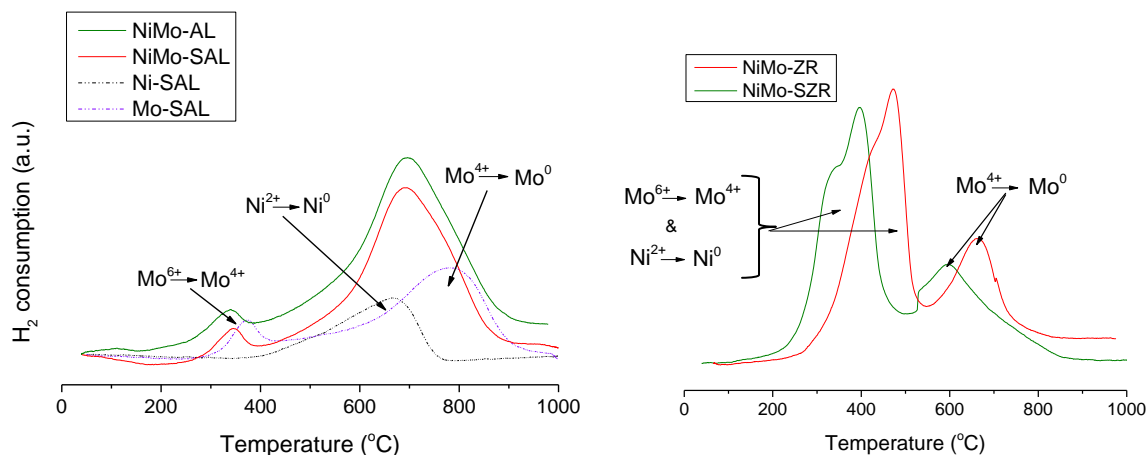
273 60.2° corresponding to tetragonal ZrO₂ (PDF: 01-088-1007), while the peaks at 24.2°, 28.2°,
 274 31.5°, 34.4°, 40,7° and 50.4° are associated to the monoclinic ZrO₂ phase (PDF: 01-088-2390)
 275 [37]. The semi-quantitative analysis of the relative abundance of the zirconia phases was carried
 276 out based on the reference intensity ratio (RIR) for the most intense monoclinic and tetragonal
 277 peaks. The ZR support is about 20 % of tetragonal zirconium oxide and about 80 % monoclinic
 278 zirconium oxide, whereas in the SZR support the percentage of tetragonal phase is significantly
 279 higher, 70 %. This is in accordance with previous studies [36, 38] claiming that the presence of
 280 sulfate retards the conversion of the tetragonal phase to the monoclinic form.



281
 282 **Figure 3a)** XRD diffractograms for the AL and H-NiMo-AL **b)** XRD diffractograms for the SAL and H-
 283 NiMo-SAL **c)** XRD diffractograms for the ZR and H-NiMo-ZR and **d)** XRD diffractograms for the SZR
 284 and H-NiMo-SZR. (▼) Al₂O₃, (●) monoclinic ZrO₂, (+) tetragonal ZrO₂, (■) Ni.
 285

286 Due to the quite low Ni and Mo loadings, identification of chemical phases related to Mo,
 287 MoO_x , Ni, NiO or even of NiMoO_4 species are not expected. The XRD patterns show no Ni
 288 (reflections at 2θ of 44.2° , 51.9° , and 76.1° ; PDF: 01-087-0712), NiO (43.6° and 63.2° ; PDF#: 01-078-0), Mo (40.5° and 73.7° ; PDF: 00-042-1120), MoO_3 (27.3° ; PDF: 00-001-0706), and
 289 NiMoO_4 (14.3° and 28.8° ; PDF: 00-033-0948) characteristic reflections. Since crystalline
 290 particles of sizes below 2-4 nm are undetectable by the XRD technique, most likely these species
 291 give rise to very small coherent domains that are homogeneously dispersed throughout the support
 292 matrix or are amorphous. Only, the H-NiMo-ZR catalyst exhibits a characteristic peak for Ni at
 293 2θ of 44.2° (Figure 3c).

295 3.1.5 Temperature-programmed reduction (TPR)



296
 297 **Figure 4a)** TPR profile for the NiMo-AL (●), NiMo-SAL (●), Ni-SAL (●) and Mo-SAL (●) catalysts **b)**
 298 TPR profile for the NiMo-ZR (●) and NiMo-SZR (●) catalysts

299 Six solids (NiMo-AL, NiMo-SAL, NiMo-ZR, NiMo-SZR, Ni-SAL and Mo-SAL) corresponding
 300 to the non-pre-reduced bimetallic and monometallic catalysts (H-NiMo-AL, H-NiMo-SAL, H-
 301 NiMo-ZR, H-NiMo-SZR, H-Ni-SAL and H-Mo-SAL, respectively) were characterized by TPR
 302 (see Temperature-program-reduction (TPR), *Supplementary Information*). The TPR profiles of
 303 the analyzed solids are presented in Figure 4. No significant differences are observed in the shape
 304 of the NiMo-AL and NiMo-SAL TPR profiles (Figure 4a). The lower amount of hydrogen
 305 consumption observed for the NiMo-SAL can be related to the lower Ni and Mo loading (*Section*
 306 *3.1.3*). Thus, sulfating the alumina did not affect significantly the reducibility of the metal
 307 species. Both catalysts show two main reduction peaks: a low temperature peak centered around
 308 $338\text{-}344^\circ\text{C}$ and high temperature broad peak centered at $671\text{-}672^\circ\text{C}$. The low temperature peak

309 corresponds to the partial reduction (Mo^{6+} in Mo^{4+}) of amorphous, highly defective, multilayered
310 Mo oxides or heteropolymolybdates (octahedral Mo species) [39, 40]. The high temperature peak
311 is assigned to a combination of the reduction of Ni^{2+} in Ni^0 (400-750 °C temperature range) and
312 Mo^{4+} in Mo^0 (centered around 800 °C), as in can be deduced from the TPR profiles obtained for
313 the monometallic Ni-SAL and Mo-SAL catalysts (Figure 4a).

314 The TPR profiles for the NiMo-ZR and NiMo-SZR (Figure 4b) show that the zirconia
315 support favors the reducibility of the metal species in comparison to the alumina. Both TPR-
316 profiles exhibit comparable shapes. However, the reduction peaks for the NiMo-SZR catalyst are
317 shifted to lower temperatures when compared to the ones for NiMo-ZR, indicating that sulfating
318 the zirconia further decreases the reduction temperature of the Ni and Mo species. The TPR
319 profiles comprise of a complex peak with two maxima and a simple peak, indicating three main
320 reduction processes. According to the literature Ni/ZrO₂ TPRs mainly consist of a reduction
321 temperature peak around 325-475 °C temperature range [41-43]. According to Gutierrez et al.
322 [44] monometallic Mo/ZrO₂ catalysts exhibit two reduction peaks: a lower temperature peak
323 centered around 350 °C corresponding to the reduction of Mo^{6+} to Mo^{4+} , and a high temperature
324 peak centered around 500 °C corresponding to the reduction of Mo^{4+} to Mo^0 . Thus, the first
325 complex peak corresponds to the reduction of both Mo^{6+} to Mo^{4+} and Ni^{2+} to Ni^0 while the high
326 temperature reduction peak corresponds to the reduction of Mo^{4+} to Mo^0 , as previously observed
327 in the literature [45].

328 3.1.6 Chemisorption

329 In this work, the CO-chemisorption technique is employed to determine the number of Ni active
330 sites (given as μmol of CO/g) which is typically related to its catalytic activity (Table 1). The
331 number of Ni active sites is strongly affected by the type of support and sulfation process, the
332 former being the most relevant factor. Alumina supports show a higher dispersion of Ni, so does
333 the non-sulfated supports. Hence, the highest number of active sites is found for the H-NiMo-AL
334 catalyst with $18.5 \cdot 10^{-3}$ mmol CO/g cat. Values comparable in magnitude are observed for the H-
335 NiMo-SAL catalyst with $6.9 \cdot 10^{-3}$ mmol CO/g cat. Conversely, the dispersion and number of Ni
336 active sites for the zirconia catalysts is poor: $2.1 \cdot 10^{-3}$ mmol CO/g cat for the H-NiMo-ZR and
337 $0.7 \cdot 10^{-3}$ mmol CO/g cat for the H-NiMo-SZR.

338 3.2 Catalyst effects in LtL conversion

339 All the experiments were carried out twice. The results shown in Table 2 correspond to
 340 the average values of the replicates. When the oil and/or solid yield values differed more than 3.0
 341 percentage points (mass fraction % points), an additional experiment was carried out. Table S3
 342 (*Supplementary Information*) shows the oil and solid yield obtained for the replicates carried out
 343 with the bimetallic catalysts (i.e. H-NiMo-AL, H-NiMo-SAL, H-NiMo-ZR and H-NiMo-SZR).
 344 No significant differences are observed within the replicates, which confirms that the catalytic
 345 LtL experiments are highly reproducible. Note that the content of inorganic ashes of the rice
 346 straw lignin used for the LtL experiments is 14.9 % (mass); therefore, the actual organic solid
 347 yield, re-polymerized lignin fragments, is considerably smaller than the solid yield shown in the
 348 Table 2.

349 The recovery yield presented in Table 2 indicates the amount of lignin that has been
 350 converted into either oil or solid residue. It is also an indirect measurement of the amount of
 351 lignin converted to gas and/or the oil and solid that may have been lost during the work-up
 352 procedure.

353 **Table 2:** Oil, solid and lignin recovery yield, and elemental analysis and M_w of the oils for the catalytic
 354 and non-catalytic experiments

Entry	Experiment ^a	Oil Yield ^b	Solid Yield ^b	Lignin recovery yield ^b	H/C ^b	O/C ^b	M_w^b (Da)
		(mass %) ^c			molar ratio		
1	NC	36.0	43.5	79.5	1.27	0.13	347
2	AL	50.7	27.0	77.7	1.18	0.14	415
3	SAL	52.0	27.6	79.6	1.17	0.14	382
4	ZR	37.2	32.2	69.4	1.23	0.13	373
5	SZR	41.1	34.8	76.0	1.22	0.14	363
6	H-NiMo-AL	63.7	19.6	83.3	1.22	0.14	410
7	H-NiMo-SAL	64.8	18.7	83.5	1.22	0.14	371
8	H-NiMo-ZR	61.2	20.0	81.2	1.26	0.15	454
9	H-NiMo-SZR	61.9	17.3	79.2	1.25	0.16	421
10	NiMo-SAL	60.2	23.8	84.0	1.22	0.15	387
11	NiMo-SZR	57.5	25.2	82.7	1.26	0.13	355

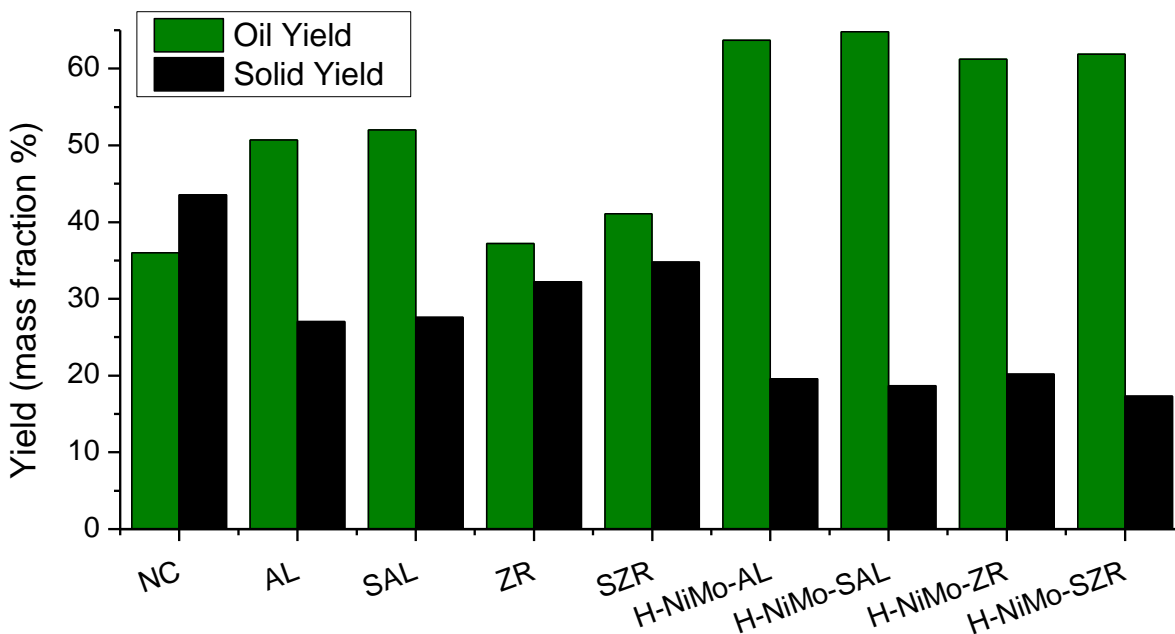
355 ^a **NC:** refers to non-catalyzed experiment **H** refers to those catalyst that were submitted to a pre-reduction
 356 treatment, **NiMo:** bimetallic catalysts containing Ni and Mo, **Ni:** monometallic catalyst containing only
 357 Ni, **Mo:** monometallic catalyst containing only Mo, **AL:** γ -alumina, **SAL:** sulfated alumina, **ZR:** zirconia

358 and **SZR**: sulfated zirconia ^b Average value of the results obtained in the replicates ^c Relative to the lignin
359 input

360 3.2.1 Effect of the bare support (AL, SAL, ZR and SZR)

361 The results obtained with the bare supports are compared with the ones obtained for the non-
362 catalyzed (NC) experiment (*entries 1-5*, Table 2). The lignin recovery yields are above a mass
363 fraction of 75 % except for the ZR experiment, meaning that for this experiment a higher amount
364 of oil or solid was either gasified or lost during the work-up procedure.

365 Only the alumina supports (AL and SAL) exhibit catalytic activity towards the de-
366 polymerization of lignin. The highest oil yield, with a mass fraction of 52.0 %, is obtained for the
367 SAL followed closely by the AL support (Figure 5). The oil yield of the zirconia supports (ZR
368 and SZR) is only slightly higher than the non-catalyzed (NC) experiment, confirming their lack
369 of activity.



370
371 **Figure 5:** Oil and solid yield for bare supports (NC, AL, SAL, ZR and SZR) and the H-NiMo-AL, H-
372 NiMo-SAL, H-NiMo-ZR and H-NiMo-SZR catalysts. Experiments at 340 °C and 6 h. The oil and solid
373 yield are given in mass fraction %.
374

375 Table S4 (*Supplementary Information*) shows the relative abundance of the main lignin-
376 derived compounds present in the oils. Alkyl substituted phenols are the dominant components.
377 No highly oxygenated compounds, such as substituted guaiacols or catechols, are found; the most
378 oxygenated compounds are ethyl benzoate (EB ether), small amounts of ethoxyphenol (EtPh) and
379 some high molecular weight methoxy- and hydroxy- substituted alkylphenols. Additional intense
380 peaks corresponding to 4-hydroxy-butanoic acid and alkyl esters are also found. It is believed that
381 these compounds are formed due to chemical reactions between formic acid, ethanol and lignin
382 degradation products with the gas components (mainly H₂ and CO₂ produced from the
383 decomposition of formic acid). When comparing the NC oil with those produced in the presence
384 of the bare supports (AL, SAL, ZR and SZR), it is clear that the latter contain a higher amount of
385 highly alkylated single ring phenolics, especially a higher amount of highly alkylated methoxy-
386 and hydroxy-phenols. This suggests that the supports increase the alkylation rate of the
387 monomers. The type of support does not seem to affect significantly the relative amount and type
388 of compounds.

389 **3.2.2 Effect of the bimetallic catalysts (H-NiMo-AL, H-NiMo-SAL, H-NiMo-ZR and H- 390 NiMo-SZR)**

391 The bimetallic catalysts (H-NiMo-AL, H-NiMo-SAL, H-NiMo-ZR and H-NiMo-SZR) exhibit
392 higher activities than their corresponding bare supports: Ni and Mo metallic phases significantly
393 increase the oil yields while decreasing the solid yields for all the catalysts studied (*entries 6-9*,
394 Table 2 and Figure 5). The lignin recovery yields also increase to around a mass fraction of 80 %.

395 All the catalysts exhibit similar activities regardless the type of support. The highest oil
396 yield, given as mass fraction %, is obtained for the H-NiMo-SAL (64.8 %), followed closely by
397 the H-NiMo-AL (63.7 %) > H-NiMo-SZR (61.9 %) > H-NiMo-ZR (61.2 %). The solid yields
398 obtained are also significantly lower: all the catalysts give similar solid yields ranging from 17.3
399 % for the H-NiMo-SZR to 20.0 % for the H-NiMo-ZR (Table 2).

400 The bimetallic catalysts yield oils with slightly higher H/C molar ratios than their
401 corresponding bare supports; the O/C molar ratios are comparable or slightly higher (*entries 2-9*,
402 Table 2). The average molecular weight of the oil (M_w) decreases slightly in the case of the
403 alumina-based catalysts (H-NiMo-AL and H-NiMo-SAL), but increases considerably for the
404 zirconia-based ones (H-NiMo-ZR and H-NiMo-SZR). Small differences are also observed in

405 terms of composition of the oils when compared the bimetallic catalyst with the bare supports
406 (Table S4, *Supplementary Information*). The bimetallic catalysts yield oils containing propofol
407 (Prop) and 2-(1,1-dimethylethyl)-3-methylphenol ((dME)MPH); while other compounds such as
408 2,3,5-trimethyl-1,4-benzenediol ((dME)dHB) and 4-(1,1-dimethylethyl)-1,2-benzenediol
409 (dMdMB) are no longer observed. The bimetallic catalysts also produce oils with a larger content
410 of diethyl-phenol (dEPH). Thus, the bimetallic catalyst still yield a higher amount of highly
411 alkylated single ring phenolics when compared with the NC oil.

412 In order to evaluate the effect of pre-reducing (activating) the bimetallic catalyst, two
413 additional experiments were carry out with non-pre-reduced catalysts, i.e. NiMo-SAL and NiMo-
414 SZR (entries 10-11, *Supplementary Information*). These catalysts are the non-pre-reduced
415 counterparts of the most active alumina and zirconia catalysts. The NiMo-SAL and NiMo-SZR
416 catalysts present similar textural (Table S5 and Figure S2) and acidic properties (Table S5 and
417 Figure S3) when compared to their pre-reduced H-NiMo-SAL and H-NiMo-SZR counterparts,
418 although the NiMo-SAL and NiMo-SZR present a larger amount of weak Lewis acid sites. The
419 results presented in Table 2 (*entries 10-11*) show that the oil yields of the non-pre-reduced NiMo-
420 SAL and NiMo-SZR are slightly lower than the ones obtained for their pre-reduced counterparts;
421 the solid yields are slightly higher. For the sulfated alumina catalysts (H-NiMo-SAL and NiMo-
422 SAL), the H/C, O/C and M_w values of the oils are comparable, while in the case of the sulfated
423 zirconia catalysts (H-NiMo-SZR and NiMo-SZR), only the M_w values differ.

424 3.2.3 Effect of the Ni and Mo

425 In this section, the activities of monometallic H-Ni-SAL and H-Mo-SAL catalysts are compared
426 with the activity of the bimetallic H-NiMo-SAL catalyst in order to evaluate the role of the Ni
427 and Mo species (Table 3). All the three catalysts are based on the same sulfated alumina support
428 (SAL). The experiments described in this section were performed one year after the ones
429 described in previous sections. Thus, the baseline H-NiMo-SAL experiment was again repeated
430 to take into account the effect of the chemical and physical transformations of lignin upon storage
431 or other serendipity factors. This experiment is labeled R1-NiMo-SAL. The yields are somewhat
432 different, with the oil yield increasing from 64.8 to 76.5 %, but retaining comparable elemental
433 ratios and M_w values.

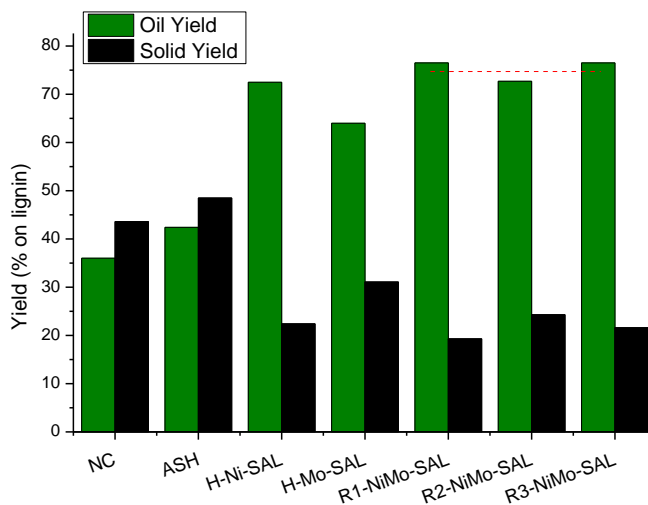
434

435 **Table 3:** Oil, solid and lignin recovery yield, and elemental analysis and M_w of the oils for the
 436 monometallic catalysts and recycled H-Ni-Mo-SAL catalyst.

Experiment ^a	Type of catalyst	Oil Yield ^b	Solid Yield ^b	Lignin recovery yield ^b	H/C ^b	O/C ^b	M_w^b (Da)
		(mass %)			molar ratio		
NC	-	36.0	43.5	79.5	1.27	0.13	347
H-Ni-SAL	Monometallic Ni	72.5	22.4	94.9	1.24	0.15	428
H-Mo-SAL	Monometallic Mo	64.0	31.1	95.1	1.31	0.13	436
ASH	Lignin ashes	42.4	48.5	90.9	1.30	0.13	326
R1-NiMo-SAL	Bimetallic NiMo (fresh, 1 st test)	76.5	19.3	95.8	1.22	0.15	397
R2-NiMo-SAL	Bimetallic NiMo (2 nd test)	72.7	24.3	97	1.25	0.13	398
R3-NiMo-SAL	Bimetallic NiMo (3 rd test)	76.5	21.6	98.1	1.24	0.13	391

437 ^a **NC:** refers to non-catalyzed experiment **ASH:** inorganic ash catalysed experiment, **R1:** experiment with
 438 the fresh bimetallic H-NiMo-SAL catalyst, 1st test. **R2:** recycled H-NiMo-SAL bimetallic catalyst 2nd test
 439 and **R3:** recycled H-NiMo-SAL bimetallic catalyst 3rd test ^a Average molecular weight of the oil ^b Average
 440 value of the results obtained in the replicates ^c Relative to the lignin input

441 The results depicted in Figure 6 and Table 3 show that the bimetallic catalyst (R1-NiMo-
 442 SAL experiment) gives the highest oil and lowest solid yields, a mass fraction of 76.5 % and 19.3
 443 %, respectively. Still, the oil and solid yields of the monometallic Ni catalyst (H-Ni-SAL) are
 444 similar to the ones obtained for the bimetallic R1-NiMo-SAL catalyst, a mass fraction 72.5 % and
 445 22.4 %, respectively. The monometallic Mo catalyst (H-Mo-SAL), on the contrary, gives a
 446 relatively lower oil yield, mass fraction of 64.0 %, and significantly higher solid yield, mass
 447 fraction of 31.1 %. Considerable differences in the properties of the oils are also found (Table 3).
 448 The H-Mo-SAL oil has a higher H/C and a lower O/C ratio than the H-Ni-SAL and R1-NiMo-
 449 SAL oil; thus, the monometallic H-Mo-SAL catalyst produces a more hydrodeoxygenated oil. In
 450 terms of M_w , the lowest values were obtained for the bimetallic R1-NiMo-SAL catalyst (397 Da)
 451 followed by the monometallic H-Ni-SAL (428 Da) and the H-Mo-SAL (436 Da).



452
 453 **Figure 6** oil and solid yield for the NC, ASH, H-Ni-SAL, H-Mo-SAL, R1-NiMo-SAL, R2-NiMo-SAL
 454 and R3-NiMo-SAL experiments

455 Differences in the oil composition are also found between the monometallic catalysts
 456 (Table S6, *Supplementary Information*). In general, the H-Mo-SAL oil has a higher abundance of
 457 alkylated phenols such as ethylmethylphenol (EMPh), diethyl phenol (dEPH) and propofol
 458 (Prop); while the H-Ni-SAL oil contains methoxy- substituted compounds such as 1-ethoxy-2-
 459 methoxy-4-methylbenzene (EtMtMB) and 4-methoxy-2,3,6-trimethyl-phenol (Mt-tMPh), and a
 460 larger amount of ethoxyphenol (EtPh). This is in accordance with the elemental analysis and
 461 explains the higher H/C and lower O/C ratios obtained for the H-Mo-SAL catalyst.

462 3.2.4 Recyclability of the H-NiMo-SAL catalyst (R1-NiMo-SAL)

463 In *Section 3.2.2*, the H-NiMo-SAL was found to be the best catalyst in terms of oil yield and oil
 464 properties. Thus, the recyclability of the H-NiMo-SAL catalyst was studied for three consecutive
 465 catalytic tests. The experiments are summarized in Table 3 and Figure 6. Note that the R1-NiMo-
 466 SAL experiment corresponds to the experiment carried out with the fresh H-NiMo-SAL catalyst
 467 as described in the previous section. The R2-NiMo-SAL and R3-NiMo-SAL experiments
 468 correspond the second and third test, respectively.

469 The catalyst was recycled together with the inorganic lignin ashes. Hence, the possible
 470 catalytic effect of the inorganic ashes is first evaluated (ASH) in order to subtract this effect from
 471 the results obtained upon recycling. The composition of the ashes had been also determined by
 472 ICP-MS to connect their possible activity to specific metal species (Table S7, *Supplementary*

473 *Information*). The ASH experiment gives not only slightly higher oil but also higher solid yield
474 than the NC counterpart (Table 3) does. Thus, the ashes seemed to reduce the amount of oil and
475 solid lost during the work-up procedure. There was also an increase in the H/C ratio and a slight
476 decrease in the M_w value of the oil. This could be assigned to the presence of either Fe or Si
477 inorganic species, since the rest of the elements found were in negligible concentrations.
478 However, as observed in Table 3 the activity of the inorganic lignin ashes was insignificant in
479 comparison to the bimetallic H-NiMo-SAL catalyst.

480 All the recyclability tests (Table 3) give similar lignin recovery yields, ranging from a
481 mass fraction of 95.8 % for the R1-NiMo-SAL experiment to 98.1 % for the R3-NiMo-SAL.
482 Regarding the oil yield, no significant decrease after three consecutive tests is observed. A slight
483 oil decrease is detected after the first test (from a mass fraction of 76.5 % for the R1-NiMo-SAL
484 to 72.7 % for the R2-NiMo-SAL), but the oil yield increases again in the third test up to a mass
485 fraction of 76.5 %. The opposite trend is observed for the solid yield: after the first test, the solid
486 yield increases from a mass fraction of 19.3 % for the R1-NiMo-SAL to 24.3 % for the R2-
487 NiMo-SAL, but decreases to 21.6 % for the R3-NiMo-SAL. In terms of elemental analysis, the
488 H/C ratio increases after each test (from 1.22 to 1.24 and 1.25) and the O/C ratio decreases (from
489 0.15 to 0.13) which could be assigned to the effect of the inorganic ashes. The M_w value does not
490 vary.

491 **4. Discussion**

492 As it has been described in the introduction, the conversion of lignin in a formic acid/ethanol
493 medium follows the general reaction pathway depicted in Scheme 1 [25]. Comparable sequential
494 reaction schemes have already been reported for the conversion of lignin in reductive
495 environments [46-51]. Initially, lignin is fragmented into smaller lignin species, both mono- and
496 oligomers, through the cleavage of its aliphatic ether bonds (i.e. de-polymerization). These lignin
497 fragments (oligomers) can further undergo de-polymerization, HDO and alkylation reactions,
498 and/or re-polymerization into char. The hydrodeoxygenated and alkylated lignin fragments
499 exhibit higher chemical stabilities and show lower tendency towards re-polymerization [47, 48,
500 51]. Particularly, in a formic acid/ethanol medium, the aliphatic ether bond cleavage (de-
501 polymerization) is believed to occur through a formylation-deformylation-hydrogenolysis
502 mechanism, where the hydrogenolysis step is catalyzed by a metallic active site [25].

503 Interestingly, the results presented in *Section 3.2.1* prove that the aliphatic ether bond
504 cleavage, *i.e.* lignin de-polymerization, can be catalyzed in the absence of metallic species. Both
505 of the bare alumina supports (AL and SAL) increased the oil and decreased the solid yield
506 indicating that larger amounts of lignin fragments were produced. The results presented in
507 *Section 3.2.1* also prove that less acidic SZR and the non-acidic ZR exhibited no significant
508 activity. This indicates that only strong Lewis acid sites are able to catalyze the de-
509 polymerization of lignin. Both AL and SAL support exhibited high concentration of strong Lewis
510 acid sites, while the less acidic SZR support contained mainly weak Lewis acid sites.

511 Nevertheless, the activity of the strong Lewis acid sites in the aliphatic ether bond
512 cleavage seems irrelevant when compared to the activity of the metallic species: substantially
513 higher oil and lower solid yields are obtained with the bimetallic catalyst in comparison to the
514 bare supports. The relevance of the metal catalyzed mechanism is particularly evident when
515 comparing the zirconia and alumina catalysts: the ZR and SZR bare supports exhibit no catalytic
516 activity when compared to the AL and SAL; however, the bimetallic H-NiMo-ZR and H-NiMo-
517 SZR catalysts exhibit activities comparable to the bimetallic alumina catalysts (H-NiMo-AL and
518 H-NiMo-SAL). The dominance of the metal catalyzed aliphatic ether bond cleavage over the acid
519 catalyzed aliphatic ether bond cleavage has already been reported in hydrogen/water media [52].

520 The elemental analysis, GPC-SEC and GC-MS analysis of the oils also point towards this
521 conclusion. Despite exhibiting different surface acidities, all the bimetallic catalysts produce oils
522 with comparable H/C, O/C and M_w values and oil compositions (Section 3.2.2). Thus, the metal
523 catalyzed aliphatic ether bond cleavage seems to be the dominant reaction mechanism, regardless
524 the type of support. The elemental analysis also indicates that the presence of metallic species
525 have an effect on the properties of the oils, increasing their H/C ratio. The GC-MS analysis of the
526 oils support the proposition that the bare supports and their corresponding bimetallic catalysts are
527 able to increase the abundance of alkylated compounds. Catalytic alkylation could also contribute
528 to the oil yield increase through the stabilization of the lignin monomers [47], although this
529 contribution is believed to be secondary [51].

530

531 To evaluate the distinctive role of the Ni and Mo metallic species in the LtL conversion
532 mechanism additional experiments with monometallic H-Ni-SAL and H-Mo-SAL catalyst were
533 carried out. The results in Section 3.2.3 show that the oil and solid yields obtained for the
534 monometallic Ni catalysts (H-Ni-SAL) are comparable to the ones obtained for the bimetallic
535 NiMo catalyst (R1-NiMo-SAL/H-NiMo-SAL); the monometallic Mo catalysts (H-Mo-SAL),
536 however, gives significantly lower oil and higher solid yields. All this suggests that the Ni species
537 are more active towards aliphatic ether bond cleavage than Mo species (Scheme 1). Conversely,
538 the monometallic Mo catalysts is more active towards HDO of the lignin fragments in
539 comparison to the monometallic Ni catalyst: the H-Mo-SAL catalyst yielded oil with higher H/C
540 and lower O/C ratios and a lower number of oxygenated aromatics when compared with the H-
541 Ni-SAL. This is in accordance with previous studies with lignin model compounds: Ni⁰ is known
542 to catalyze the cleavage of aryl ether bonds, while MoO₃ is known to cleavage preferentially
543 phenolic C-O bonds over weaker aliphatic ether bonds [12, 53, 54].

544 Non-pre-reduced NiMo-SAL and NiMo-SZR catalysts exhibit similar activities when
545 compared to their pre-reduced H-NiMo-SAL and H-NiMo-SZR counterparts (Section 3.2.2),
546 which indicates that the LtL environment is able to produce Ni⁰ species *in situ*. In order to prove
547 this hypothesis, two non-pre-reduced catalysts, monometallic Ni-SAL and bimetallic NiMo-SAL,
548 were submitted to the LtL environment in the absence of lignin. These catalysts are named Ni-
549 SAL-Used and NiMo-SAL-Used, and their TPR profiles were compared with fresh Ni-SAL and
550 NiMo-SAL (Figure S4). The TPR results confirm that the LtL environment is able to reduce Ni²⁺
551 to Ni (0). The hydrogen consumption for the fresh Ni-SAL catalyst was 1.061 mmol of H₂/g
552 catalysts, while only 0.5715 mmol of H₂/g catalysts for the used Ni-SAL (*see* TPR analysis,
553 Supplementary Information). A lower H₂ consumption is also observed in the case of the
554 bimetallic catalysts: the hydrogen consumption of the fresh NiMo-SAL is 2.262 mmol of H₂/g
555 catalysts, while in the case of the used NiMo-SAL the hydrogen consumption is 1.306 mmol of
556 H₂/g catalysts.

557 The BET (see Section 3.1.1) and CO-chemisorption (see Section 3.1.6) analysis indicate,
558 however, that not all the Ni species participate to the same extent in the catalytic aliphatic ether
559 bond cleavage. The bimetallic alumina catalysts (H-NiMo-AL and H-NiMo-SAL) exhibit a
560 considerably higher porosity and number of Ni active sites as compared to the bimetallic zirconia
561 catalysts (H-NiMo-ZR and H-NiMo-SZR). Furthermore, the low porosity of the bimetallic

562 zirconia catalysts indicates that in the H-NiMo-ZR and H-NiMo-SZR most of the Ni species are
563 found over the outer surface of the supports. Given the comparable oil and solid yield obtained
564 for all the bimetallic catalysts, it is believed that the aliphatic ether bond hydrogenolysis is mainly
565 catalyzed by the outer Ni⁰ species. Only the Ni⁰ species of the outer surface of the catalyst could
566 interact with the high molecular weight and voluminous lignin fragments. Those Ni species
567 within the pores, on the contrary, could only interact with the smaller lignin monomers.

568 When studying the recyclability of the H-NiMo-SAL catalyst, no deactivation is observed
569 after three consecutive tests. The inorganic ashes do not display a significant catalytic activity in
570 terms of oil and solid yield although they do have an effect on the H/C and O/C ratios.

571 **5. Conclusion**

572 The role of Ni, Mo and the acid sites on the overall reaction mechanism of the catalytic LtL
573 conversion in formic acid/ethanol media have been studied. The oil yield and its properties are
574 the product of a combination of successive catalytic reactions. Initially, lignin is de-polymerized
575 into smaller fragments through the cleavage of the aliphatic ether bonds. These fragments can
576 further undergo HDO and alkylation reactions which hinders their repolymerization into char.
577 The results suggest that the catalytic aliphatic ether bond cleavage contributes to a larger extend
578 than catalytic HDO and alkylation reactions to the production of bio-oil. The contribution of the
579 HDO and alkylation reactions is secondary in the case of the oil yield, but do have an effect on
580 the oil properties and compositions.

581 In the absence of metallic species strong Lewis acid sites are able to catalyze the initial
582 aliphatic ether bond cleavage. In the presence of metals (i.e. Ni and Mo), in contrast, the metal
583 catalyzed aliphatic ether bond cleavage mechanism prevails over the acid catalyzed mechanism.
584 Ni⁰, particularly those metallic Ni active sites found in the outer surface of the catalyst, are found
585 to be more active than Mo species in the catalytic ether bond cleavage. In contrast, Mo was found
586 to be more active for HDO reactions. All the bimetallic catalysts also exhibited significant
587 activity towards catalytic alkylation.

588

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597 7. References

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