Strong correlation in quantum chemistry and density matrix renormalization group method

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Problem of electron correlation

Born-Oppenheimer approximation:

$$H_{\rm el} = -\sum_{i} \frac{\nabla_i^2}{2} + \frac{1}{2} \sum_{i \neq j} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} - \sum_{i,l} \frac{Z_l}{|\mathbf{r}_i - \mathbf{R}_l|} \qquad \Rightarrow \qquad \Psi_{\rm el}(\mathbf{r}_1 \dots \mathbf{r}_n)$$

e Hartree-Fock approximation:

▶ Single Slater determinant ⇒ one-electron problems



Ost-Hartree-Fock methods:

$$\Psi_{\rm el} = \Psi_{\rm HF} + \Psi_{\rm corr}$$
 $E_{\rm corr} = E_{\rm exact} - E_{\rm HF}$... correlation energy

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Dynamical versus static electron correlation



- Our targets: molecules requiring very large active spaces
- We employ DMRG for proper description of static correlation and other methods (CC, AC) for the missing dynamical correlation

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What systems can we study?



Transition metal complexes

Polyradical polycyclic aromatic hydrocarbons (PAHs)



What are the strong and weak points of DMRG?

Strong points

- Variational
- Genuinely multireference
- Size consistent

Weak(er) points

Not suitable for dynamical correlation

Not truly black-box

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Complexity of the exact (FCI) solution

• Full configuration interaction (FCI) active space expansion:

$$\Psi_{\rm el} = \sum_{n_1 n_2 \dots n_k} \psi^{n_1 n_2 \dots n_k} |n_1 n_2 \dots n_k\rangle$$

- $|n_i\rangle \in \{|\mathsf{empty}\rangle, |\downarrow\rangle, |\uparrow\rangle, |\downarrow\uparrow\rangle\}, \qquad \sum_i n_i = N$
- Dimension of the vector (Hilbert) space increases exponentially with system size: $\sim 4^k$
- in PVDZ basis set:



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• With FCI one can treat at most 16 (20) active orbitals.

- Do we really need to exploit the full Hilbert space?
- Most of quantum phase space is not explored by physical ground states
- How to reduce the complexity of $\Psi_{\rm el}$ and come up with a class of variational wave functions that captures the physics of electronic Hamiltonian?
- Introduction of locality into the wave function parametrization
- The simplest approach: $\psi^{n_1n_2...n_k} \approx \psi^{n_1}\psi^{n_2}\cdots\psi^{n_k}$
- $4^k \Rightarrow 4k$ parameters, however not very accurate!

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Matrix Product State (MPS) ansatz

$$\Psi_{\rm el} = \sum_{n_1 n_2 \dots n_k} \psi^{n_1 n_2 \dots n_k} | n_1 n_2 \dots n_k \rangle, \qquad | n_i \rangle \in \{ | 0 \rangle, | \downarrow \rangle, | \uparrow \rangle, | \downarrow \uparrow \rangle \}$$

• DMRG: Matrix product state (MPS) ansatz:





$$\psi^{n_1n_2\dots n_k} \approx \sum_{i_1i_2\dots i_{k-1}} \psi^{n_1}_{i_1} \psi^{n_2}_{i_1i_2} \psi^{n_3}_{i_2i_3} \cdots \psi^{n_k}_{i_{k-1}}, \qquad \mathbf{4}^k \Rightarrow \mathcal{O}(\mathbf{4}M^2k) \text{ parameters}$$

- Bond dimension M governs accuracy as well as computational cost: $M \rightarrow \text{dim}(\text{FCI}), E_{\text{DMRG}} \rightarrow E_{\text{exact}}$
- One or two individual MPS tensors are variationally optimized at a time (sweeping)



Singular value decomposition (SVD)

- Very versatile tool of linear algebra
- Arbitarary (rectangular) matrix M can be decomposed as

 $M = UDV^{\dagger}$ $D \dots$ diagonal matrix

singular values: $d_1 \geq d_2 \geq \ldots \geq d_r > 0$ $r \ldots$ Schmidt rank



• SVD provides optimal approximation of M by M' with lower Schmidt rank r' < r

 $M' = UD'V^{\dagger}$ $D' = \operatorname{diag}(d_1, d_2, \ldots, d_{r'}, 0, \ldots)$

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Schmidt decomposition

• In DMRG, as we will see, we group orbitals into the left and right blocks - bipartite splitting

$$\begin{split} |\Psi\rangle &= \sum_{ij} \Psi_{ij} |i\rangle_L |j\rangle_R \\ &= \sum_{ij} \sum_a U_{ia} D_{aa} V_{ja}^* |i\rangle_L |j\rangle_R \\ &= \sum_a d_a \Big(\sum_i U_{ia} |i\rangle_L\Big) \Big(\sum_j V_{ja}^* |j\rangle_R\Big) \\ &= \sum_{a=1}^r d_a |a\rangle_L |a\rangle_R \end{split}$$

- Schmidt rank is a measure of entanglement between the left and right parts!
- $r = 0 \dots$ product state, $r > 0 \dots$ entangled state

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• Any wavefunction can be *exactly* factorized into the MPS form:

$$\begin{split} \psi^{n_1 n_2 \dots n_k} &\equiv \psi_{n_1, (n_2 \dots n_k)} = \sum_{a_1} U_{n_1 a_1} D_{a_1 a_1} (V^{\dagger})_{a_1, (n_2 \dots n_k)} = \sum_{a_1} A_{a_1}^{n_1} \psi_{a_1 n_2 \dots n_k} \\ &= \sum_{a_1} A_{a_1}^{n_1} \psi_{(a_1 n_2), (n_3 \dots n_k)} = \sum_{a_1} \sum_{a_2} A_{a_1}^{n_1} U_{(a_1 n_2), a_2} D_{a_2 a_2} (V^{\dagger})_{a_2, (n_3 \dots n_k)} \\ &= \sum_{a_1} \sum_{a_2} A_{a_1}^{n_1} A_{a_1 a_2}^{n_2} \psi_{a_2 n_3 \dots n_k} \dots \end{split}$$





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• Hamiltonian can be exactly factorized into the matrix product operator (MPO) form



- Computation of $\langle \Psi_{MPS} | H_{MPO} | \Psi_{MPS} \rangle$ can be done *efficiently* by contraction of the tensor network e.g. from the left to the right
- DMRG/MPS simplest (1D) tensor network method

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• DMRG encodes locality through the structure of contractions of auxiliary indices



- E.g. i_1 and its contraction give rise to correlations between occupancies n_1 and n_2
- It can be shown that when the underlying orbital (entanglement) topology is linear, DMRG ansatz is optimal
- DMRG is a powerful method even for non-linear topologies

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Basics of the DMRG algorithm

$$H = \sum_{\substack{pq=1\\\sigma \in \{\uparrow,\downarrow\}}}^{k} h_{pq} a_{p\sigma}^{\dagger} a_{q\sigma} + \sum_{\substack{pqrs=1\\\sigma,\sigma' \in \{\uparrow,\downarrow\}}}^{k} v_{pqrs} a_{p\sigma}^{\dagger} a_{q\sigma'}^{\dagger} a_{r\sigma'} a_{s\sigma}, \qquad v_{pqrs} = \frac{1}{2} \langle pq | sr \rangle$$

- MO integrals h_{pq} and v_{pqrs} are input parameters
- Zeroth step ordering of orbitals on 1D lattice



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Two-site DMRG algorithm

- First iteration: $\{I\} = \{|0\rangle, |\downarrow\rangle, |\uparrow\rangle, |\uparrow\downarrow\rangle\}$ and is *complete*
- Explicit matrix form of second-quantized operators:

$$a^{\dagger}_{\uparrow} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}, \quad a^{\dagger}_{\downarrow} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ \downarrow \\ \uparrow \\ \uparrow \downarrow \end{pmatrix}$$

- Hamiltonian of a single site (orbital) can be easily formed from them
- Right part {*r*} is guessed (may be even random matrices)
- Increasing the left block by remaining orbitals without truncation \Rightarrow curse of dimensionality!
- Renormalization finding the optimal block basis with bounded dimension M

$$\{I_{p-1}, \dim = M\} \otimes \{s, \dim = 4\} \Rightarrow \{I_p, \dim = M\}$$

$$|I_{p}\rangle = \sum_{l_{p-1}s} O_{l_{p-1}s,l_{p}} |I_{p-1}\rangle \otimes |s\rangle \qquad \mathbf{O} \dots 4M \times M \text{ renorm. matrix}$$

Two-site DMRG algorithm

- Determinant representation of many-body basis is not stored, rather matrix representations of second-quantized operators needed for $H \cdot \psi$
- Notice that due to truncation (in-completeness of many-body basis)

$$(a^{\dagger}_{
ho\uparrow}a_{q\downarrow})_{lphaeta}
eq\sum_{\gamma}(a^{\dagger}_{
ho\uparrow})_{lpha\gamma}(a_{q\downarrow})_{\gammaeta}$$

- Due to efficient treatment of intermediates, no need for four-index entities
- Pre-summation:

$$\mathcal{A}_{rs}^{\uparrow\uparrow} = \sum_{\substack{pq \in \text{left} \\ rs \notin \text{left}}} v_{pqrs} a_{p\uparrow}^{\dagger} a_{q\uparrow}^{\dagger}, \qquad rs \notin \text{left}$$
$$\mathcal{H}_{\text{int}}^{\uparrow\uparrow\uparrow\uparrow\uparrow} \ni \sum_{\substack{pq \in \text{left} \\ rs \notin \text{left}}} v_{pqrs} a_{p\uparrow}^{\dagger} a_{q\uparrow}^{\dagger} a_{r\uparrow} a_{s\uparrow} = \sum_{\substack{rs \notin \text{left} \\ rs \notin \text{left}}} \mathcal{A}_{rs}^{\uparrow\uparrow} a_{r\uparrow} a_{s\uparrow}.$$

 \Rightarrow at most two-index operators

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Two-site DMRG algorithm

• Projecting Schrödinger equation onto $\{l\} \otimes \{s_l\} \otimes \{s_r\} \otimes \{r\}$

$$|\Psi
angle = \sum_{ls_ls_rr} \psi_{ls_1s_2r} \left| l
ight
angle \otimes \left| s_l
ight
angle \otimes \left| s_r
ight
angle \otimes \left| r
ight
angle$$

$$n_{\uparrow}(l) + n_{\uparrow}(s_l) + n_{\uparrow}(s_r) + n_{\uparrow}(r) = n_{\uparrow}^{\text{tot}}$$
$$n_{\downarrow}(l) + n_{\downarrow}(s_l) + n_{\downarrow}(s_r) + n_{\downarrow}(r) = n_{\downarrow}^{\text{tot}}$$

• Hamiltonian has a tensor product structure:

$$H = \sum_{\alpha} H_{l}^{(\alpha)} \otimes H_{s_{l}}^{(\alpha)} \otimes H_{s_{r}}^{(\alpha)} \otimes H_{r}^{(\alpha)}$$

• Since single site operators are due to symmetry reasons scalars:

$$\begin{split} \psi_{ls_{1}s_{2}r} \Rightarrow \psi_{lr} \equiv \mathbf{C} \\ H \left| \psi \right\rangle \Rightarrow \sum_{\alpha} f_{\alpha} \mathbf{H}_{l}^{(\alpha)} \cdot \mathbf{C} \cdot (\mathbf{H}_{r}^{(\alpha)})^{\mathsf{T}} \end{split}$$

• Diagonalization of H by means of iterative solvers like Davidson or Lanczos

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 $\{\phi_{\mathsf{left}}\}_{p}\otimes\{\phi_{\mathsf{site}}\}_{p+1}\Rightarrow\{\phi_{\mathsf{left}}\}_{p+1}$

- Truncation pushes the (bond) dimension from $M \cdot 4$ back to M
- Formation of a reduced density matrix of the enlarged Left (Right) block

$$\mathbf{D}_{\mathsf{Left}} = \mathbf{c}\mathbf{c}^{\dagger}$$
 where $\mathbf{c} = \psi_{(ls_1)(s_2r)}$

and form the *rectangular* transformation matrix \mathbf{O} from M eigenvectors corresponding to the largest eigenvalues

• All the operators $\{\mathbf{H}_i\}$ are transformed to the new basis $\{\phi_{\text{left}}\}_{p+1}$

 $ilde{\mathbf{H}}_i = \mathbf{O}^{\dagger} \mathbf{H}_i \mathbf{O}$

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Equivalence of SVD and RDM approaches

$$\begin{split} |\Psi\rangle &= \sum_{ij} \Psi_{ij} |i\rangle_L |j\rangle_R \\ &= \sum_{ij} \sum_a U_{ia} D_{aa} V_{ja}^* |i\rangle_L |j\rangle_R \\ &= \sum_a d_a \Big(\sum_i U_{ia} |i\rangle_L \Big) \Big(\sum_j V_{ja}^* |j\rangle_R \Big) \\ &= \sum_{a=1}^r d_a |a\rangle_L |a\rangle_R \end{split}$$

$$\rho_{L} = tr_{R}(\rho) = tr_{R} |\Psi\rangle \langle\Psi|$$
$$= \sum_{a=1}^{r} \omega_{a} |a\rangle_{L} \langle a|_{L} \qquad \omega_{a} = d_{a}^{2}$$

- Diagonal form
- ρ_L and ρ_R share the spectrum
- **U** formed by eigenvectors of ρ_L

$$\sum_{\mathbf{a}=1}^{r}\omega_{\mathbf{a}}=1$$

$$\chi = 1 - \sum_{a=1}^{r'} \omega_a \dots$$
 truncation error, e.g. $10^{-5}, 10^{-6}$

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Renormalization produces MPS wave function

$$\begin{aligned} |\phi_{p+1}\rangle &= \sum O_{(p\ i_{p+1}),p+1} |\phi_{p}\rangle \otimes |i_{p+1}\rangle \quad \text{where} \quad |i_{p+1}\rangle \in \{|0\rangle, |\downarrow\rangle, |\uparrow\rangle, |\downarrow\uparrow\rangle \} \\ &= \sum A_{p,p+1}^{i_{p+1}} |\phi_{p}\rangle \otimes |i_{p+1}\rangle \\ &= \sum A_{p-1,p}^{i_{p}} \cdot A_{p,p+1}^{i_{p+1}} |\phi_{p-1}\rangle \otimes |i_{p}\rangle \otimes |i_{p+1}\rangle \\ &= \sum A_{1}^{i_{1}} \cdots A_{p-1,p}^{i_{p}} \cdot A_{p,p+1}^{i_{p+1}} |i_{1}\rangle \otimes \ldots \otimes |i_{p}\rangle \otimes |i_{p+1}\rangle \end{aligned}$$

• A matrices are reshapes of O matrices!

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Two-site DMRG algorithm scaling



QC-DMRG scaling

 $\mathcal{O}(M^3k^3) + \mathcal{O}(M^2k^4)$

- $k \dots$ number of molecular orbitals, $\mathcal{O}(10)$
- M... bond dimension, $\mathcal{O}(1000)$

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Entanglement entropies

 $\rho_L \dots$ info about L \leftrightarrow R interaction $\Rightarrow S = -\text{tr}\rho_L \ln \rho_L \dots$ entanglement entropy

• Single-orbital entropy (S_i) : entanglement between a given molecular orbital and the rest

$$\rho_i = \operatorname{tr}_{\forall j \neq i} \rho \qquad S_i = -\operatorname{tr} \rho_i \ln \rho_i$$

- Can be employed for selection of the active space
- Two-orbital entropy (S_{ij}) : entanglement between a given orbital pair and the rest

$$ho_{ij} = \mathrm{tr}_{orall k
eq i, j}
ho \qquad \mathcal{S}_{ij} = -\mathrm{tr}
ho_{ij} \ln
ho_{ij}$$

• Mutual information (I_{ij}) : correlation between two orbitals i and j when embedded in the whole system

$$I_{ij} = S_i + S_j - S_{ij}$$

- Can be employed for orbital ordering optimization
- S_i and S_{ij} (and I_{ij}) can be calculated efficiently from MPS

Orbital ordering

- Proper ordering of molecular orbitals on a 1D lattice is in the hearth of *efficient* DMRG huge impact on accuracy/convergence!
- In order to minimize an error of truncation, the highly entangled orbitals should be as close as possible and located in the center of a lattice.



- We cut at most one entanglement bond
- $\bullet \ \Rightarrow \ {\rm low \ truncation \ error}$



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- We cut the same bond many times
- $\bullet \ \Rightarrow \ \mathsf{huge} \ \mathsf{truncation} \ \mathsf{error}$
- Pairwise entanglement (more precissely correlation) is described by mutual information Iij.
- $d_{ij} \ldots$ distance of two sites: $d_{ij} = |i j|$.
- Our aim is to minimize cost functions of the following form:

$$\mathsf{cost}_\eta = \sum_{ij} \mathit{I}_{ij} \mathit{d}_{ij}^{\eta}$$

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- Brute for approach for optimization is certainly not feasable factorial cost!
- For $\eta = 2$, concepts from spectral graph theory can be employed:
- Graph: orbitals (sites) = vertices, I_{ij} = edge weights.
- It turns out that solving the eigenvalue problem of a discrete graph Laplacian corresponds to cost₂ minimization

$$L_{ij} = \delta_{ij} D_{ii} - I_{ij}$$
 $D_{ii} = \sum_{j} I_{ij}$

- The second eigenvector (so called Fiedler vector) provides the optimal ordering.
- General $cost_{\eta}$ can be minimized using *genetic algorithms*.
- It turns out that I_{ij} from cheap approximate calculations with small D works excellent!

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Mutual information for bonding analysis



Article

On-Surface Strain-Driven Synthesis of Nonalternant Non-Benzenoid Aromatic Compounds Containing Four- to Eight-Membered Rings

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MolMes scalable QC-DMRG code

 Motivated by large-scale DMRG applications and accessibility of supercomputers, we have developed the scalable quantum chemical DMRG implementation



MOLMPS features

- Highly templated C++ code, flexible in Hamiltonian definition: Hubbrad-like, RHF, UHF, relativistic (4c)
- Low level lightweight tensor library allowing global memory storage
- Post-DMRG features: CASCCF, tailored CC, AC
- Interfaced to NWChem, Orca

J. Brabec, J. Brandejs, K. Kowalski, S. Xantheas, Ö. Legeza, and L. V., J. Comp. Chem. 2021, 42, 534–544.

MOLMES scaling tests

• Fe(II)-porphyrin model, CAS(32,34), left - Davidson, right Renormalization



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M = 2048, local data model

MOLMPS scaling tests



 π -conjugated system, CAS(63, 63), M = 4096





FeMoco cluster, CAS(113, 76)*, M = 6000



*https://github.com/zhendongli2008/Active-space-model-for-FeMoco

J. Brabec, J. Brandejs, K. Kowalski, S. Xantheas, Ö. Legeza, and L. V., J. Comp. Chem. 2021, 42, 534–544. Apple 19 and 19 an

- DMRG-SCF:
- Replacing FCI by DMRG in CASSCF orbital optimization
- The simplest case decoupled optimization of MPS and MO coefficients

initial orbitals $\Rightarrow h_{pq}, v_{pqrs} \Rightarrow \text{DMRG: } \gamma_{pq}, \Gamma_{pqrs} \Rightarrow \text{orbital rotation} \Rightarrow \text{new set of } h_{pq}, v_{pqrs} \dots$

- Dynamical electron correlation:
- DMRG can treat dozens of active orbitals, but usually not the full orbital space
- Missing out-of-CAS dynamical electron correlation important for chemical accuracy (1 kcal/mol)
- DMRG-NEVPT2, DMRG-TCCSD, DMRG-AC

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Dynamical correlation extensions

- DMRG active space in spite of being large usually cannot be the full space
- DMRG active space: tens of orbitals, full space: hundreds of orbitals
- To achieve chemical accuracy (1 kcal/mol) methods for computation of the missing dynamical correlation are necessary!
- Most of the existing methods (e.g. DMRG-NEVPT2) require higher-body RDMs $\Gamma^{(n)}$
- Recently, we have presented/tested two methods, which avoid this problem

Tailored coupled clusters: DMRG-TCCSD(T) (plus DLPNO)

Adiabatic connection: DMRG-SCF-AC0

L. V., A. Antalík, J. Brabec, F. Nesse, Ö. Legeza, J. Pittner, J. Phys. Chem. Lett. 2016, 7, 4072.

P. Beran, M. Matoušek, M. Hapka, K. Pernal, L. V., J. Chem. Theor. Comput., 2021, 17, 7575.

Tailored coupled clusters

- Essentially single reference theory
- Split-amplitude ansatz

$$\ket{\Psi_{ ext{TCC}}} = e^{\mathcal{T}} \ket{\Psi_{ ext{ref}}} = e^{\mathcal{T}^{ ext{ext}} + \mathcal{T}^{ ext{CAS}}} \ket{\Psi_{ ext{ref}}}$$

• \mathcal{T}^{ext}

• \mathcal{T}^{CAS}

- amplitudes extracted from DMRG (CASCI) calculation
- frozen during CC calculation
- account for static correlation

$$\begin{array}{ll} |\Psi_{\mathrm{TCCSD}}\rangle & = & e^{\left(\mathcal{T}_{1}^{\mathrm{ext}}+\mathcal{T}_{2}^{\mathrm{ext}}\right)}e^{\left(\mathcal{T}_{1}^{\mathrm{CAS}}+\mathcal{T}_{2}^{\mathrm{CAS}}\right)} |\Psi_{\mathrm{ref}}\rangle \\ & \approx & e^{\left(\mathcal{T}_{1}^{\mathrm{ext}}+\mathcal{T}_{2}^{\mathrm{ext}}\right)} |\Psi_{\mathrm{CASCI}}\rangle \end{array}$$

- Requires minimal modifications of the CC code
- T. Kinoshita, O. Hino, and R. J. Bartlett, J. Chem. Phys. 2005, 123, 074106.

- determined through the usual CC
- account for dynamic correlation

CCSD tailored by MPS wave functions

Small active space DMRG calculation



Acquisition of CI coefficients by efficient contraction of MPS matrices

$$|\Psi_{\mathsf{MPS}}
angle = \sum_{\{n\}} \mathbf{A}^{n_1} \mathbf{A}^{n_2} \cdots \mathbf{A}^{n_k} | n_1 n_2 \cdots n_k
angle$$

Calculation of CAS amplitudes

$$T_1^{CAS} = C_1$$
 $T_2^{CAS} = C_2 - \frac{1}{2}(C_1)^2$

• CCSD calculation for T_1^{ext} and T_2^{ext}

• Implemented in ORCA

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DMRG-TCCSD performance: Cr₂

- One of the most notorious and demanding systems
- Excellent level of theory for dymamical and static correlation required
- $\bullet\,$ Single-point calculation at 1.5 Å, Ahlrichs' SV basis set $\rightarrow\,$ FCI energy available



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