

Acknowledgement



Targeting Real Chemical Accuracy at the Exascale project has received funding from the European Union Horizon 2020 research and innovation programme under Grant Agreement No. 952165.



Correlating electrons via adiabatic connection approach

Łódź April 18-20 2023





Targeting Real Chemical Accuracy at the Exascale project has received funding from the European Union Horizon 2020 research and innovation programme under Grant Agreement No. 952165.

• Most wavefunction (WF) theories assumes a reference (model) WF

Most wavefunction (WF) theories assumes a reference (model) WF

Single reference model: electrons are distributed among orbitals in a single way (single Slater determinant WF)

$$\Psi = \hat{a}_1^{\dagger} \hat{a}_2^{\dagger} \dots \hat{a}_N^{\dagger} |vac\rangle$$

Most wavefunction (WF) theories assumes a reference (model) WF

Single reference model: electrons are distributed among orbitals in a single way (single Slater determinant WF)

$$\Psi = \hat{a}_1^{\dagger} \hat{a}_2^{\dagger} \dots \hat{a}_N^{\dagger} |vac\rangle$$

Multireference model: WF is represented as a linear combination of a few Slater determinants of particular importance for a problem under consideration

$$\Psi = \sum_{Q} D_Q \hat{a}_{q_1}^{\dagger} \hat{a}_{q_2}^{\dagger} \dots \hat{a}_{q_N}^{\dagger} |vac\rangle$$

Most wavefunction (WF) theories assumes a reference (model) WF

Single reference model: electrons are distributed among orbitals in a single way (single Slater determinant WF)

$$\Psi = \hat{a}_1^{\dagger} \hat{a}_2^{\dagger} \dots \hat{a}_N^{\dagger} |vac\rangle$$

Multireference model: WF is represented as a linear combination of a few Slater determinants of particular importance for a problem under consideration

$$\Psi = \sum_{Q} D_Q \hat{a}_{q_1}^{\dagger} \hat{a}_{q_2}^{\dagger} \dots \hat{a}_{q_N}^{\dagger} |vac\rangle$$

• The energy error is called correlation energy

$$E_{corr} = E_{exact} - E_{ref}$$
$$E_{ref} = \left\langle \Psi \hat{H} \Psi \right\rangle$$

- The concept of electron correlation does not have a sharp definition (multireference WF definitely accounts for some part of correlation).
- Taking into account a structure of the wavefunction we distinguish, in general, between

Dynamic electron correlation: included by allowing excitations to many unoccupied orbitals

Static (non-dynamic) correlation: accounted for by including in the wavefunction expansion nearly degenerate states.

$$\underline{\gamma(\mathbf{r}_1,\mathbf{r}_1')} = N \int d\sigma \int d\tau_2 \dots \int d\tau_N \ \Psi^*(\mathbf{r}_1,\sigma,\tau_2,\dots,\tau_N) \Psi(\mathbf{r}_1',\sigma,\tau_2,\dots,\tau_N)$$

one-electron reduced function: **one-electron density matrix** γ

1-RDM contains information about electron correlation

Electron correlation (dynamic or static) is manifested in the pattern of natural occupation numbers.

Electron correlation (dynamic or static) is manifested in the pattern of natural occupation numbers.

Natural occupation numbers: eigenvalues of the one-electron reduced density matrix

$$\hat{\gamma}_{pq} = \hat{a}_{q}^{\dagger} \hat{a}_{p}$$
$$\gamma_{pq} = \langle \Psi_{0} | \hat{\gamma}_{pq} | \Psi_{0} \rangle$$
$$\gamma (x, x') = \sum_{pq} \gamma_{pq} \varphi_{p}(x) \varphi_{q}(x')^{*}$$

$$\gamma_{pq} = n_p \delta_{pq}$$

Electron correlation (dynamic or static) is manifested in the pattern of natural occupation numbers.

Natural occupation numbers: eigenvalues of the one-electron reduced density matrix

$$\hat{\gamma}_{pq} = \hat{a}_{q}^{\dagger} \hat{a}_{p}$$

$$\gamma_{pq} = \langle \Psi_{0} | \hat{\gamma}_{pq} | \Psi_{0} \rangle$$

$$\gamma (x, x') = \sum_{pq} \gamma_{pq} \varphi_{p}(x) \varphi_{q}(x')^{*}$$

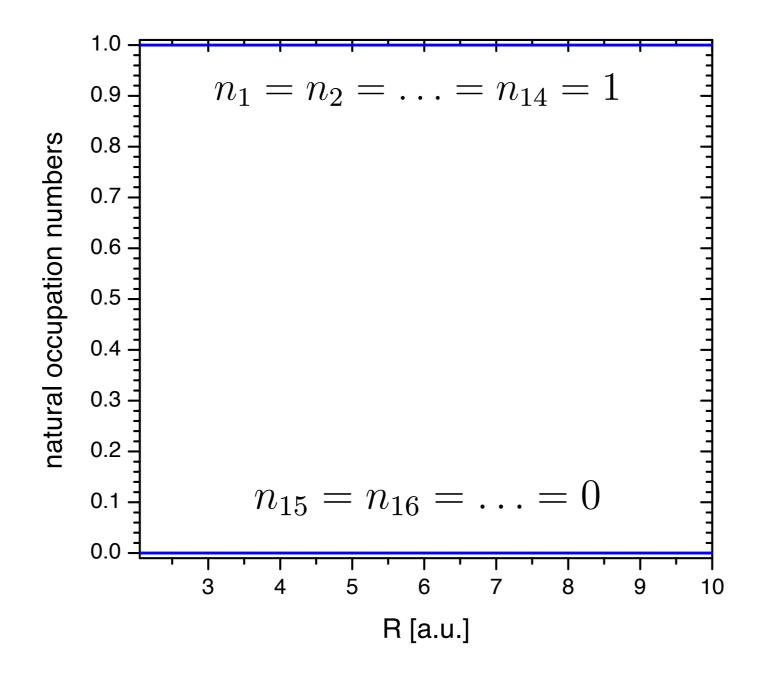
$$\gamma_{pq} = n_{p} \delta_{pq}$$

Natural occupation numbers are nonnegative and not greater than 1

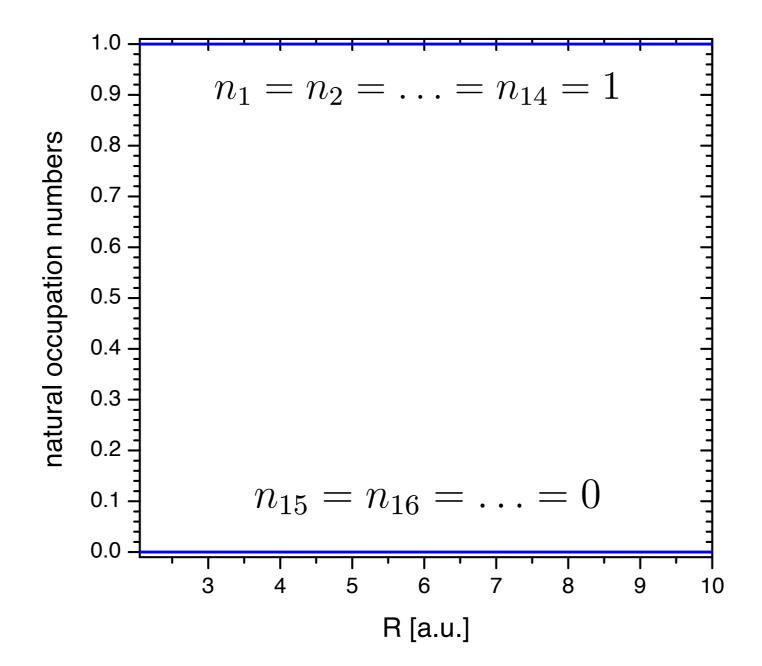
$$\forall p \quad 0 \le n_p \le 1$$

Single determinantal WF:
$$\Psi = \hat{a}_1^{\dagger} \hat{a}_2^{\dagger} \dots \hat{a}_{14}^{\dagger} |vac\rangle$$

Single determinantal WF:
$$\Psi = \hat{a}_1^{\dagger} \hat{a}_2^{\dagger} \dots \hat{a}_{14}^{\dagger} |vac\rangle$$

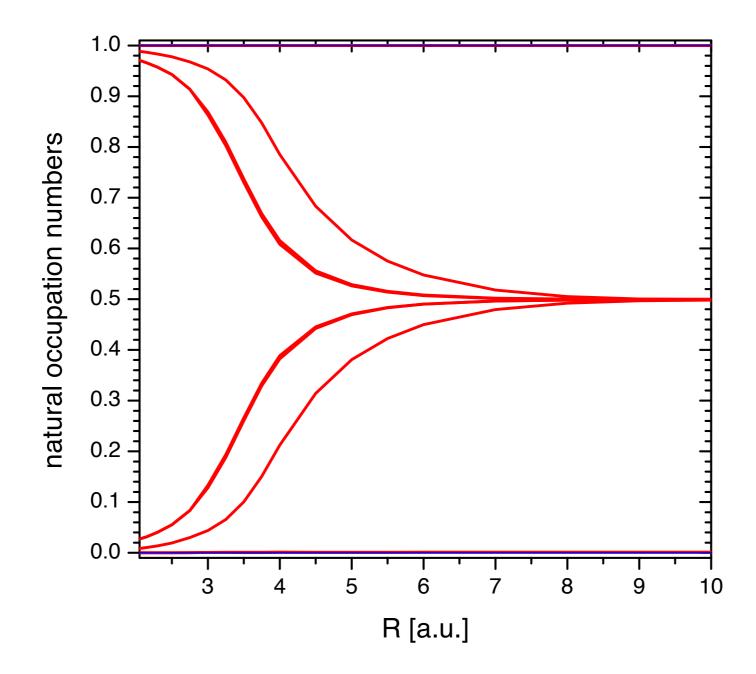




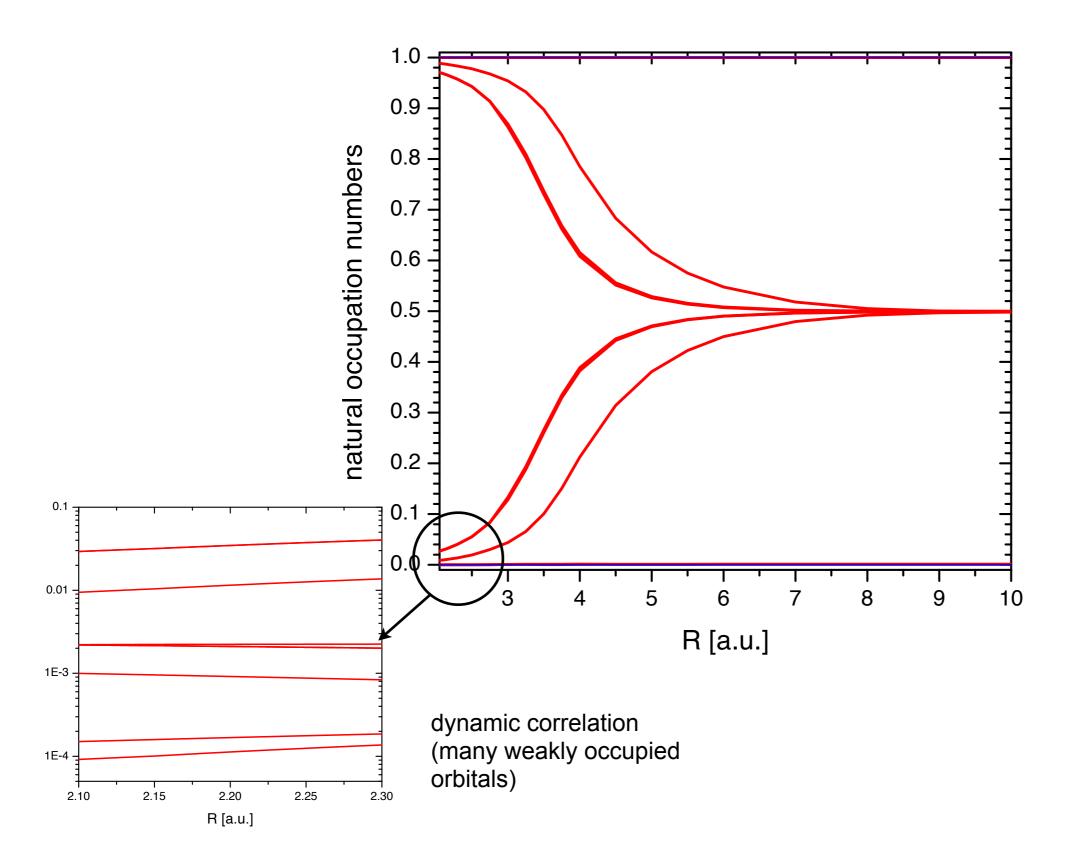


No correlation

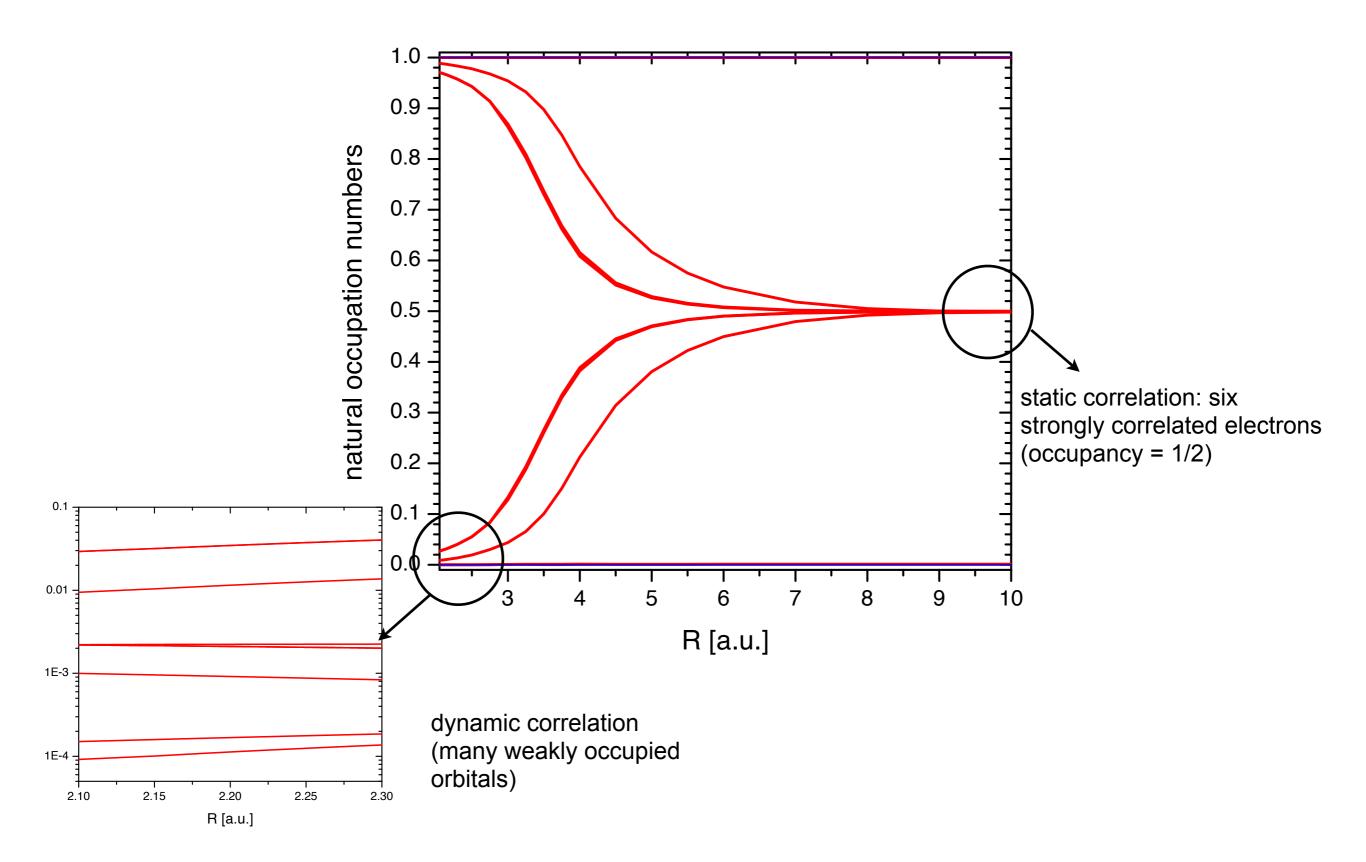
Exact (fully correlated) wavefunction



Exact (fully correlated) wavefunction



Exact (fully correlated) wavefunction



$$\Psi = C_0\psi_0 + C_1\psi_1 + C_2\psi_2 + \dots + C_m\psi_m + \dots$$

$$E_{ref} = \left\langle \Psi \hat{H} \Psi \right\rangle$$

$$E_{corr} = E_{exact} - E_{ref}$$

$$\Psi = C_0\psi_0 + C_1\psi_1 + C_2\psi_2 + \dots + C_m\psi_m + \dots$$

Weak correlation: $|C_0| \gg |C_1|, |C_2|, \ldots$

$$E_{ref} = \left\langle \Psi \hat{H} \Psi \right\rangle$$

$$E_{corr} = E_{exact} - E_{ref}$$

$$\Psi = C_0\psi_0 + C_1\psi_1 + C_2\psi_2 + \dots C_m\psi_m + \dots$$

Weak correlation: $|C_0| \gg |C_1|, |C_2|, \ldots$

Strong correlation: $|C_0| \approx |C_1| \approx \ldots \approx |C_m|$

$$E_{ref} = \left\langle \Psi \hat{H} \Psi \right\rangle$$

$$E_{corr} = E_{exact} - E_{ref}$$

$$\Psi = C_0\psi_0 + C_1\psi_1 + C_2\psi_2 + \dots + C_m\psi_m + \dots$$

Weak correlation: $|C_0| \gg |C_1|, |C_2|, \ldots$

Strong correlation: $|C_0| \approx |C_1| \approx \ldots \approx |C_m|$

Strong correlation can be efficiently captured by multireference wavefunction (MR-WF) models.

$$E_{ref} = \left\langle \Psi \hat{H} \Psi \right\rangle$$

$$E_{corr} = E_{exact} - E_{ref}$$

$$\Psi = C_0\psi_0 + C_1\psi_1 + C_2\psi_2 + \dots + C_m\psi_m + \dots$$

Weak correlation: $|C_0| \gg |C_1|, |C_2|, \ldots$

```
Strong correlation: |C_0| \approx |C_1| \approx \ldots \approx |C_m|
```

Strong correlation can be efficiently captured by multireference wavefunction (MR-WF) models.

Even with very large *m* the expansion is not long enough (not even DMRG) to retrieve all important correlation.

$$E_{ref} = \left\langle \Psi \hat{H} \Psi \right\rangle$$

$$E_{corr} = E_{exact} - E_{ref}$$

• If a Hamiltonian is known for an assumed reference WF, i.e.

$$\hat{H}^{(0)}\Psi_{ref} = E^{(0)}\Psi_{ref}$$

perturbation theory can be applied to recover correlation energy for the perturbation operator

$$\hat{H}' = \hat{H} - \hat{H}^{(0)}$$
$$E_{exact} = E^{(0)} + E^{(1)} + E^{(2)} + \dots$$

$$\left\langle \Psi_{ref} | \hat{H} | \Psi_{ref} \right\rangle = E^{(0)} + E^{(1)}$$

the correlation energy (or rather a part of it) is obtained as

• If

$$E_{corr} = E^{(2)} + \dots$$

 Perturbation approaches have been established for single-reference and multireference methods, the examples are

MP2, CASPT2 or NEVPT2

 Perturbation approaches have been established for single-reference and multireference methods, the examples are

MP2, CASPT2 or NEVPT2

• Problems of the perturbation methods:

not general: for each reference WF it may be necessary to figure out a zeroth-order Hamiltonian, which is not unique

one stops at 2nd-order correction (increasing cost and possible lack of convergence if higher-orders are included)

intruder state problem (close-lying states)

 Perturbation approaches have been established for single-reference and multireference methods, the examples are

MP2, CASPT2 or NEVPT2

• Problems of the perturbation methods:

not general: for each reference WF it may be necessary to figure out a zeroth-order Hamiltonian, which is not unique

one stops at 2nd-order correction (increasing cost and possible lack of convergence if higher-orders are included)

intruder state problem (close-lying states)

 Perturbation approaches designed for multireference WF's suffer from additional problems:

> CASPT2 or NEVPT2 methods become very expensive (3rd- and 4thorder reduced density matrices are needed) when the number of active electron and orbitals increases

often not accurate enough (2nd-order only)

corrected energies do not show monotonic convergence to the FCI value with the expansion of the number of active electrons and orbitals

Part 2

General formalism of the adiabatic connection (AC) method

Part 2

General formalism of the adiabatic connection (AC) method

The adiabatic connection formalism has been already proposed in the framework of DFT as a way of accounting for correlation energy

D. Langreth and J. Perdew, Phys. Rev. B **15**, 2884 (1977)

and together with the Random Phase Approximation has recently led to emergence of a new family of orbital-dependent DF correlation functionals (RPA and its variants)

H. Eshuis, J. Bates, and F. Furche, Theor. Chem. Acc. **131**, 1084 (2012)X. Ren, P. Rinke, C. Joas, and M. Scheffler, J. Mater. Sci. **47**, 7447 (2012)

Adiabatic Connection (AC) formula for the Correlation Energy

 Derivation of the AC expression for the correlation energy (for a given reference WF)

$$E_{corr} \equiv E_{exact} - E_{ref}$$
$$E_{ref} = \left\langle \Psi_{ref} | \hat{H} | \Psi_{ref} \right\rangle$$

is based on defining the AC Hamiltonian

$$\forall_{0 \le \alpha \le 1} \quad \hat{H}^{\alpha} = \hat{H}^{(0)} + \alpha \hat{H}'$$
$$\hat{H}' = \hat{H} - \hat{H}^{(0)}$$

Adiabatic Connection (AC) formula for the Correlation Energy

• The coupling parameter (alpha) switches between partial-correlation

$$\Psi_0^{\alpha=0} = \Psi_{ref} , \quad E_0^{\alpha=0} = \left\langle \Psi_{ref} | \hat{H}^{(0)} | \Psi_{ref} \right\rangle$$

and full correlation limits

$$\hat{H}^{\alpha=1} = \hat{H}, \quad \Psi_0^{\alpha=1} = \Psi_0, \quad E_0^{\alpha=1} = E_0 = E_{exact}$$

$$\hat{H}^{\alpha}\Psi^{\alpha}_{\nu} = E^{\alpha}_{\nu}\Psi^{\alpha}_{\nu}$$

$$\frac{\partial E_0^{\alpha}}{\partial \alpha} = \left\langle \Psi_0^{\alpha} | \hat{H}' | \Psi_0^{\alpha} \right\rangle$$

$$\frac{\partial E_0^{\alpha}}{\partial \alpha} = \left\langle \Psi_0^{\alpha} | \hat{H}' | \Psi_0^{\alpha} \right\rangle$$

on one hand

$$\int_0^1 \frac{\partial E_0^{\alpha}}{\partial \alpha} d\alpha = \int_0^1 \left\langle \Psi_0^{\alpha} | \hat{H}' | \Psi_0^{\alpha} \right\rangle d\alpha$$

$$\frac{\partial E_0^{\alpha}}{\partial \alpha} = \left\langle \Psi_0^{\alpha} | \hat{H}' | \Psi_0^{\alpha} \right\rangle$$

on one hand

$$\int_0^1 \frac{\partial E_0^{\alpha}}{\partial \alpha} d\alpha = \int_0^1 \left\langle \Psi_0^{\alpha} | \hat{H}' | \Psi_0^{\alpha} \right\rangle d\alpha$$

on the other

$$\int_{0}^{1} \frac{\partial E_{0}^{\alpha}}{\partial \alpha} d\alpha = E_{0}^{\alpha=1} - E_{0}^{\alpha=0}$$

$$= E_{exact} - \left\langle \Psi_{ref} | \hat{H}^{(0)} | \Psi_{ref} \right\rangle$$

$$= E_{exact} - \left\langle \Psi_{ref} | \hat{H} - \hat{H}' | \Psi_{ref} \right\rangle$$

$$= E_{exact} - E_{ref} + \left\langle \Psi_{ref} | \hat{H}' | \Psi_{ref} \right\rangle$$

$$= E_{corr} + \left\langle \Psi_{ref} | \hat{H}' | \Psi_{ref} \right\rangle$$

$$\frac{\partial E_0^{\alpha}}{\partial \alpha} = \left\langle \Psi_0^{\alpha} | \hat{H}' | \Psi_0^{\alpha} \right\rangle$$

on one hand

$$\int_0^1 \frac{\partial E_0^{\alpha}}{\partial \alpha} d\alpha = \int_0^1 \left\langle \Psi_0^{\alpha} | \hat{H}' | \Psi_0^{\alpha} \right\rangle d\alpha$$

on the other

$$\int_{0}^{1} \frac{\partial E_{0}^{\alpha}}{\partial \alpha} d\alpha = E_{0}^{\alpha=1} - E_{0}^{\alpha=0}$$

$$= E_{exact} - \left\langle \Psi_{ref} | \hat{H}^{(0)} | \Psi_{ref} \right\rangle$$

$$= E_{exact} - \left\langle \Psi_{ref} | \hat{H} - \hat{H}' | \Psi_{ref} \right\rangle$$

$$= E_{exact} - E_{ref} + \left\langle \Psi_{ref} | \hat{H}' | \Psi_{ref} \right\rangle$$

$$= E_{corr} + \left\langle \Psi_{ref} | \hat{H}' | \Psi_{ref} \right\rangle$$

Finally

$$E_{corr} = \int_0^1 \left\langle \Psi_0^\alpha | \hat{H}' | \Psi_0^\alpha \right\rangle d\alpha - \left\langle \Psi_{ref} | \hat{H}' | \Psi_{ref} \right\rangle$$

$$\frac{\partial E_0^{\alpha}}{\partial \alpha} = \left\langle \Psi_0^{\alpha} | \hat{H}' | \Psi_0^{\alpha} \right\rangle$$

on one hand

$$\int_0^1 \frac{\partial E_0^{\alpha}}{\partial \alpha} d\alpha = \int_0^1 \left\langle \Psi_0^{\alpha} | \hat{H}' | \Psi_0^{\alpha} \right\rangle d\alpha$$

on the other

$$\int_{0}^{1} \frac{\partial E_{0}^{\alpha}}{\partial \alpha} d\alpha = E_{0}^{\alpha=1} - E_{0}^{\alpha=0}$$

$$= E_{exact} - \left\langle \Psi_{ref} | \hat{H}^{(0)} | \Psi_{ref} \right\rangle$$

$$= E_{exact} - \left\langle \Psi_{ref} | \hat{H} - \hat{H}' | \Psi_{ref} \right\rangle$$

$$= E_{exact} - E_{ref} + \left\langle \Psi_{ref} | \hat{H}' | \Psi_{ref} \right\rangle$$

$$= E_{corr} + \left\langle \Psi_{ref} | \hat{H}' | \Psi_{ref} \right\rangle$$

Finally

$$E_{corr} = \int_0^1 \left\langle \Psi_0^\alpha | \hat{H}' | \Psi_0^\alpha \right\rangle d\alpha - \left\langle \Psi_{ref} | \hat{H}' | \Psi_{ref} \right\rangle$$

(exact but not practical)

Writing 2-RDM operator in terms of 1-RDM

A. D. McLachlan, M. A. Ball, Rev Mod Phys 36, 844 (1964)

 By using anticommutation relations for fermionic operators 2-RDM operator can be expressed in terms of 1-RDM operators

$$\begin{split} \hat{\Gamma}_{pqrs} &= \hat{a}_{r}^{\dagger} \hat{a}_{s}^{\dagger} \hat{\underline{a}}_{q} \hat{a}_{p} = -\hat{a}_{r}^{\dagger} \hat{\underline{a}}_{s}^{\dagger} \hat{\underline{a}}_{p} \hat{a}_{q} = -\hat{a}_{r}^{\dagger} \left(-\hat{a}_{p} \hat{a}_{s}^{\dagger} + \delta_{ps} \right) \hat{a}_{q} = \hat{a}_{r}^{\dagger} \hat{a}_{p} \hat{a}_{s}^{\dagger} \hat{a}_{q} - \hat{a}_{r}^{\dagger} \hat{a}_{q} \delta_{ps} \\ &= \hat{\gamma}_{pr} \hat{\gamma}_{qs} - \hat{\gamma}_{qr} \delta_{ps} \end{split}$$

$$\Gamma_{pqrs} = \left\langle \Psi_0 | \hat{\Gamma}_{pqrs} | \Psi_0 \right\rangle = \left\langle \Psi_0 | \hat{\gamma}_{pr} \hat{\gamma}_{qs} | \Psi_0 \right\rangle - \gamma_{qr} \delta_{ps}$$

Use the resolution of identity
$$\hat{1} = \sum_{\nu} \ket{\Psi_{\nu}} ra{\Psi_{\nu}} = \ket{\Psi_{0}} ra{\Psi_{0}} + \sum_{
u \neq 0} \ket{\Psi_{\nu}} ra{\Psi_{\nu}}$$

$$\begin{split} \Gamma_{pqrs} &= \langle \Psi_0 | \hat{\gamma}_{pr} | \Psi_0 \rangle \left\langle \Psi_0 | \hat{\gamma}_{qs} | \Psi_0 \right\rangle + \sum_{\nu \neq 0} \left\langle \Psi_0 | \hat{\gamma}_{pr} | \Psi_\nu \right\rangle \left\langle \Psi_\nu | \hat{\gamma}_{qs} | \Psi_0 \right\rangle - \gamma_{qr} \delta_{ps} \\ &= \gamma_{pr} \gamma_{qs} + \sum_{\nu \neq 0} \gamma_{pr}^{0\nu} \gamma_{qs}^{\nu 0} - \gamma_{qr} \delta_{ps} \end{split}$$

Using the relation

$$\Gamma^{\alpha}_{pqrs} = \gamma^{\alpha}_{pr} \gamma^{\alpha}_{qs} + \sum_{\nu \neq 0} \gamma^{\alpha,0\nu}_{pr} \gamma^{\alpha,\nu0}_{qs} - \gamma^{\alpha}_{qr} \delta_{ps}$$

in the adiabatic connection correlation energy expression

$$E_{corr} = \int_0^1 \left\langle \Psi_0^\alpha | \hat{H}' | \Psi_0^\alpha \right\rangle d\alpha - \left\langle \Psi_{ref} | \hat{H}' | \Psi_{ref} \right\rangle$$

allows one to express the correlation energy in terms of the one-electron reduced functions:

$$\gamma^lpha\,=\,ig\langle\Psi^lpha_0|\hat\gamma|\Psi^lpha_0ig
angle$$
 one-electron reduced density matrix (1-RDM)

 $\gamma^{lpha,0
u} = \langle \Psi^{lpha}_0 | \hat{\gamma} | \Psi^{lpha}_{
u}
angle$ transition one-electron RDM

Adiabatic Connection (AC) formula for the Correlation Energy

The exact AC expression for the correlation energy reads

$$\begin{split} E_{corr}^{AC} &= \int_{0}^{1} \left(W^{\alpha} + \Delta^{\alpha} \right) \, d\alpha \quad , \\ W^{\alpha} &= \frac{1}{2} \, \sum_{pqrs}' \left(\sum_{\nu \neq 0} \gamma_{pr}^{\alpha,0\nu} \gamma_{qs}^{\alpha,\nu0} + \gamma_{ps}^{\alpha=0} \gamma_{qr}^{\alpha=0} - \gamma_{qr}^{\alpha=0} \delta_{ps} \right) \left\langle rs|pq \right\rangle \end{split}$$

prime indicates that terms corresponding to spinorbitals p, q, r, s belonging to the same group are excluded and

$$\Delta^{\alpha} = \sum_{I} \sum_{p \in I} \sum_{j \neq I} \sum_{q \in J} \sum_{q \in J} h'_{pq} \int_{0}^{1} (\gamma^{\alpha}_{qp} - \gamma^{\alpha=0}_{qp}) d\alpha$$

Adiabatic Connection (AC) formula for the Correlation Energy

The exact AC expression for the correlation energy reads

$$\begin{split} E_{corr}^{AC} &= \int_{0}^{1} \left(W^{\alpha} + \Delta^{\alpha} \right) \, d\alpha \quad , \\ W^{\alpha} &= \frac{1}{2} \, \sum_{pqrs}' \left(\sum_{\nu \neq 0} \gamma_{pr}^{\alpha,0\nu} \gamma_{qs}^{\alpha,\nu0} + \gamma_{ps}^{\alpha=0} \gamma_{qr}^{\alpha=0} - \gamma_{qr}^{\alpha=0} \delta_{ps} \right) \left\langle rs|pq \right\rangle \end{split}$$

prime indicates that terms corresponding to spinorbitals p, q, r, s belonging to the same group are excluded and

$$\Delta^{\alpha} = \sum_{I} \sum_{p \in I} \sum_{j \neq I} \sum_{q \in J} \sum_{q \in J} h'_{pq} \int_{0}^{1} (\gamma^{\alpha}_{qp} - \gamma^{\alpha=0}_{qp}) d\alpha$$

(still exact but still not practical)

Complete Active Space (CAS) model

$$\Psi^{\rm CAS} = \hat{A}[\psi^{\rm DET} \ \Psi^{\rm FCI}]$$

$$\psi^{\text{DET}} = \psi^{\text{DET}} [\{\varphi_p\}_{p \in \text{inactive}}]$$

single determinant

$$\Psi^{\rm FCI} = \Psi^{\rm FCI} [\{\varphi_p\}_{p \in \rm active}]$$

FCI in a reduced space

Complete Active Space (CAS) model

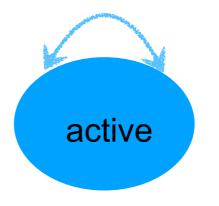
$$\Psi^{\rm CAS} = \hat{A}[\psi^{\rm DET} \ \Psi^{\rm FCI}]$$

$$\psi^{\text{DET}} = \psi^{\text{DET}} [\{\varphi_p\}_{p \in \text{inactive}}]$$

single determinant

$$\Psi^{\mathrm{FCI}} = \Psi^{\mathrm{FCI}} [\{\varphi_p\}_{p \in \mathrm{active}}]$$

FCI in a reduced space



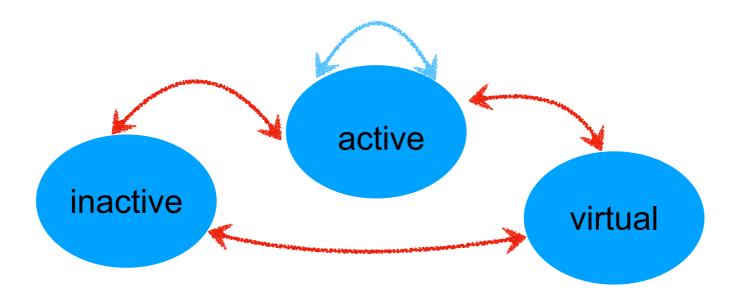
Complete Active Space (CAS) model

$$\Psi^{\rm CAS} = \hat{A}[\psi^{\rm DET} \ \Psi^{\rm FCI}]$$

$$\psi^{\text{DET}} = \psi^{\text{DET}} [\{\varphi_p\}_{p \in \text{inactive}}] \quad \bullet$$

single determinant

$$\Psi^{\rm FCI} = \Psi^{\rm FCI}[\{\varphi_p\}_{p \in \rm active}] \qquad \qquad {\rm FCI \ in \ a \ reduced \ space}$$



Dynamic correlation energy outside CAS is missing

Approximation: the one-body density matrix is constant along the AC path

$$\forall_{\alpha \in [0,1]} \quad \gamma_{pq}^{\alpha} = \gamma_{pq}^{\alpha=0}$$

Approximation: the one-body density matrix is constant along the AC path

$$\forall_{\alpha \in [0,1]} \quad \gamma_{pq}^{\alpha} = \gamma_{pq}^{\alpha=0}$$

Consequently,

 $\Delta^{\alpha} = 0$

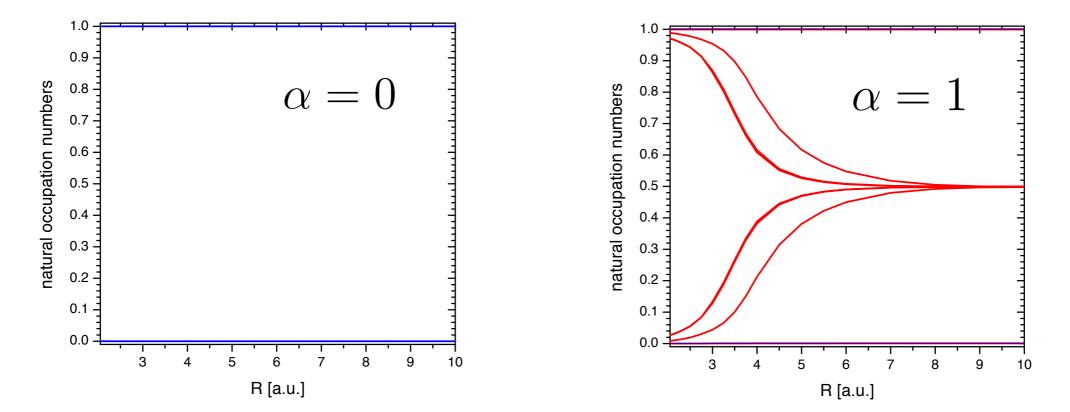
Approximation: the one-body density matrix is constant along the AC path

$$\forall_{\alpha \in [0,1]} \quad \gamma_{pq}^{\alpha} = \gamma_{pq}^{\alpha=0}$$

Consequently,

$$\Delta^{\alpha} = 0$$

... poor approximation if a single determinantal WF were used for a system with strongly correlated electrons, think for example of the N_2 molecule



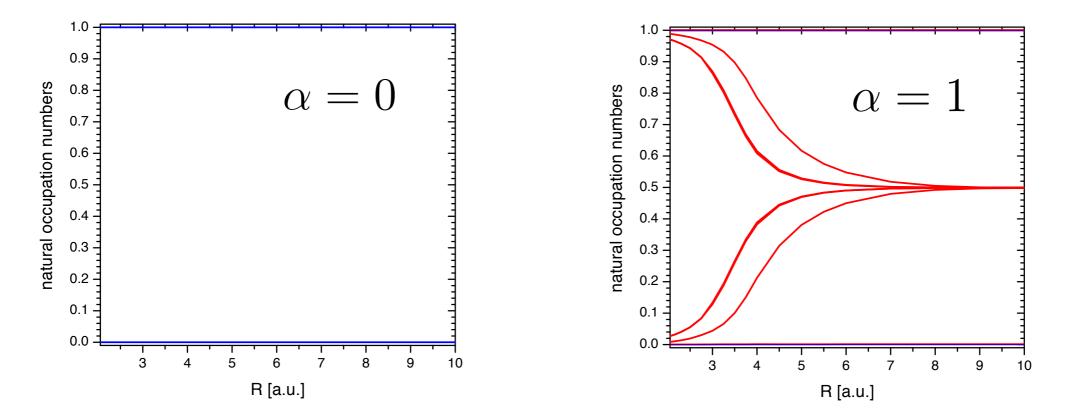
Approximation: the one-body density matrix is constant along the AC path

$$\forall_{\alpha \in [0,1]} \quad \gamma_{pq}^{\alpha} = \gamma_{pq}^{\alpha=0}$$

Consequently,

$$\Delta^{\alpha} = 0$$

... poor approximation if a single determinantal WF were used for a system with strongly correlated electrons, think for example of the N₂ molecule



... but the approximation is justified if the reference wavefunction is multiconfigurational.

Second approximation

Transition density matrices for each coupling constant

$$\gamma_{pr}^{\alpha,0\nu} = \langle \Psi_0^\alpha | \hat{\gamma}_{pr} | \Psi_\nu^\alpha \rangle$$

are obtained within the Extended Random Phase Approximation.

K. Chatterjee and K. Pernal, *J. Chem. Phys.* **137**, 204109 (2012).K. Pernal, *J. Chem. Theory Comput.* **10**, 4332 (2014).

Rowe's equations-of-motion D. J. Rowe, *Rev. Mod. Phys.* **40**, 153 (1968)

Consider an eigenequation of the Hamiltonian

$$\hat{H} |0\rangle = E_0 |0\rangle$$
$$\hat{H} |\nu\rangle = E_{\nu} |\nu\rangle$$
$$\omega_{\nu} = E_{\nu} - E_0$$

The Rowe's equations-of-motion formalism is based on the concept of excitation and dexcitation operators

$$O_{\nu}^{+} |0\rangle = |\nu\rangle$$
$$O_{\nu} |0\rangle = 0$$

$$\langle 0 | [\delta O, [H, O^+]] | 0 \rangle = \omega_{\nu} \langle 0 | [\delta O, O^+] | 0 \rangle$$

Extended Random Phase Approximation

K. Chatterjee and K. Pernal, J. Chem. Phys. 137, 204109 (2012).

Extended Random Phase Approximation

• Only single excitations in the excitation operator

$$O_{\nu}^{\dagger} = \sum_{p>q} \left(X_{pq} a_p^{\dagger} a_q + Y_{pq} a_q^{\dagger} a_p \right)$$

$$\begin{pmatrix} \mathcal{A} & \mathcal{B} \\ \mathcal{B} & \mathcal{A} \end{pmatrix} \begin{pmatrix} \mathbf{X}^{\nu} \\ \mathbf{Y}^{\nu} \end{pmatrix} = \omega_{\nu} \begin{pmatrix} -\mathcal{N} & \mathbf{0} \\ \mathbf{0} & \mathcal{N} \end{pmatrix} \begin{pmatrix} \mathbf{X}^{\nu} \\ \mathbf{Y}^{\nu} \end{pmatrix}$$

$$A_{rspq} = B_{rsqp} = \left\langle 0 | [\hat{a}_r^{\dagger} \hat{a}_s, [\hat{H}, \hat{a}_q^{\dagger} \hat{a}_p]] | 0 \right\rangle$$

Extended Random Phase Approximation

Only single excitations in the excitation operator

$$O_{\nu}^{\dagger} = \sum_{p>q} \left(X_{pq} a_p^{\dagger} a_q + Y_{pq} a_q^{\dagger} a_p \right)$$

$$\begin{pmatrix} \mathcal{A} & \mathcal{B} \\ \mathcal{B} & \mathcal{A} \end{pmatrix} \begin{pmatrix} \mathbf{X}^{\nu} \\ \mathbf{Y}^{\nu} \end{pmatrix} = \omega_{\nu} \begin{pmatrix} -\mathcal{N} & \mathbf{0} \\ \mathbf{0} & \mathcal{N} \end{pmatrix} \begin{pmatrix} \mathbf{X}^{\nu} \\ \mathbf{Y}^{\nu} \end{pmatrix}$$

$$A_{rspq} = B_{rsqp} = \left\langle 0 | [\hat{a}_r^{\dagger} \hat{a}_s, [\hat{H}, \hat{a}_q^{\dagger} \hat{a}_p]] | 0 \right\rangle$$

$$\begin{aligned} \forall_{pqrs} \quad \mathcal{A}_{rspq} &= \mathcal{B}_{rsqp} = (n_r - n_s)(\delta_{pr}h_{sq} - \delta_{sq}h_{pr}) \\ &+ \sum_{tu} \Gamma_{purt} \langle st || qu \rangle + \sum_{tu} \Gamma_{stqu} \langle up || tr \rangle \\ &+ \sum_{tu} \Gamma_{turq} \langle ps |tu \rangle + \sum_{tu} \Gamma_{sptu} \langle tu |qr \rangle \\ &+ \delta_{sq} \sum_{twu} \Gamma_{wurt} \langle tp |wu \rangle + \delta_{pr} \sum_{tuw} \Gamma_{swtu} \langle tu |wq \rangle \end{aligned}$$

K. Chatterjee and K. Pernal, J. Chem. Phys. 137, 204109 (2012).

α -Extended Random Phase Approximation (α -ERPA)

Derive the ERPA equations for the AC Hamiltonian

$$\begin{pmatrix} \mathcal{A}_{\alpha} & \mathcal{B}_{\alpha} \\ \mathcal{B}_{\alpha} & \mathcal{A}_{\alpha} \end{pmatrix} \begin{pmatrix} \mathbf{X}_{\nu}^{\alpha} \\ \mathbf{Y}_{\nu}^{\alpha} \end{pmatrix} = \omega_{\nu} \begin{pmatrix} -\mathcal{N} & \mathbf{0} \\ \mathbf{0} & \mathcal{N} \end{pmatrix} \begin{pmatrix} \mathbf{X}_{\nu}^{\alpha} \\ \mathbf{Y}_{\nu}^{\alpha} \end{pmatrix}$$

$$\begin{aligned} \forall_{p>q} & \mathcal{N}_{pqrs} = (n_p - n_q) \delta_{pr} \delta_{qs} \\ \forall_{p>q} & [\mathcal{A}^{\alpha}]_{pqrs} = A_{pqrs} (h^{\alpha}, g^{\alpha}, \gamma, \Gamma) \\ \forall_{p>q} & [\mathcal{B}^{\alpha}]_{pqrs} = B_{pqrs} (h^{\alpha}, g^{\alpha}, \gamma, \Gamma) \end{aligned}$$

We only know 1- and 2-electron reduced density matrices for alpha=0, so we use them in the ERPA equations.

Transition density matrix elements from α -ERPA

$$\forall_{p>q} \quad \left[\gamma^{\alpha,0\nu}\right]_{qp} = \left(n_q - n_p\right) \left[\mathbf{Y}^{\alpha}_{\nu}\right]_{pq}$$
$$\forall_{q>p} \quad \left[\gamma^{\alpha,0\nu}\right]_{qp} = \left(n_p - n_q\right) \left[\mathbf{X}^{\alpha}_{\nu}\right]_{qp}$$

The eigenvectors are normalized

$$[\mathbf{Y}_{\nu}^{\alpha}]^{T} \mathcal{N} \mathbf{Y}_{\nu}^{\alpha} - [\mathbf{X}_{\nu}^{\alpha}]^{T} \mathcal{N} \mathbf{X}_{\nu}^{\alpha} = \frac{1}{2}$$

Adiabatic Connection (AC) formula for the Correlation Energy

A final spin-summed working form of the AC integrand

$$W^{\alpha} = 2 \sum_{p>q,r>s} \left\{ (n_p - n_q)(n_r - n_s) \right\}$$
$$\times \sum_{\nu} \left([\mathbf{Y}^{\alpha}_{\nu}]_{pq} - [\mathbf{X}^{\alpha}_{\nu}]_{pq} \right) \left([\mathbf{Y}^{\alpha}_{\nu}]_{rs} - [\mathbf{X}^{\alpha}_{\nu}]_{rs} \right)$$
$$- \frac{1}{2} [n_p(1 - n_q) + n_q(1 - n_p)] \delta_{pr} \delta_{qs} \left\{ \langle pr | qs \rangle \right\}$$

$$E_{corr}^{AC} = \int_0^1 W^\alpha \ d\alpha$$

K. Pernal, Phys. Rev. Lett. **120**, 013001 (2018).

 Compute the energy for an assumed model (reference) and find one- and two-electron reduced density matrices.

 For a given coupling constant the ERPA equation is solved (the main matrix requires only 1- and 2-RDM's obtained form a reference WF)

$$egin{pmatrix} \mathcal{A}_{lpha} & \mathcal{B}_{lpha} \ \mathcal{B}_{lpha} & \mathcal{A}_{lpha} \end{pmatrix} egin{pmatrix} \mathbf{X}_{
u}^{lpha} \ \mathbf{Y}_{
u}^{lpha} \end{pmatrix} = \omega_{
u} egin{pmatrix} -\mathcal{N} & \mathbf{0} \ \mathbf{0} & \mathcal{N} \end{pmatrix} egin{pmatrix} \mathbf{X}_{
u}^{lpha} \ \mathbf{Y}_{
u}^{lpha} \end{pmatrix}$$

 For a given coupling constant the ERPA equation is solved (the main matrix requires only 1- and 2-RDM's obtained form a reference WF)

$$egin{pmatrix} \mathcal{A}_lpha & \mathcal{B}_lpha \ \mathcal{B}_lpha & \mathcal{A}_lpha \end{pmatrix} egin{pmatrix} \mathbf{X}^lpha_
u \ \mathbf{Y}^lpha_
u \end{pmatrix} = \omega_
u egin{pmatrix} -\mathcal{N} & \mathbf{0} \ \mathbf{0} & \mathcal{N} \end{pmatrix} egin{pmatrix} \mathbf{X}^lpha_
u \ \mathbf{Y}^lpha_
u \end{pmatrix}$$

• The eigenvectors are used as approximate transition density matrices

 $W^{\alpha} = W^{\alpha}(\{\mathbf{Y}^{\alpha}_{\nu}\}, \{\mathbf{X}^{\alpha}_{\nu}\})$

K. Pernal, Phys. Rev. Lett. 120, 013001 (2018).

 For a given coupling constant the ERPA equation is solved (the main matrix requires only 1- and 2-RDM's obtained form a reference WF)

$$egin{pmatrix} \mathcal{A}_lpha & \mathcal{B}_lpha \ \mathcal{B}_lpha & \mathcal{A}_lpha \end{pmatrix} egin{pmatrix} \mathbf{X}^lpha_
u \ \mathbf{Y}^lpha_
u \end{pmatrix} = \omega_
u egin{pmatrix} -\mathcal{N} & \mathbf{0} \ \mathbf{0} & \mathcal{N} \end{pmatrix} egin{pmatrix} \mathbf{X}^lpha_
u \ \mathbf{Y}^lpha_
u \end{pmatrix}$$

The eigenvectors are used as approximate transition density matrices

$$W^{\alpha} = W^{\alpha}(\{\mathbf{Y}^{\alpha}_{\nu}\}, \{\mathbf{X}^{\alpha}_{\nu}\})$$

 The AC integrand is computed and the correlation energy obtained. The correlation energy is added to the reference energy.

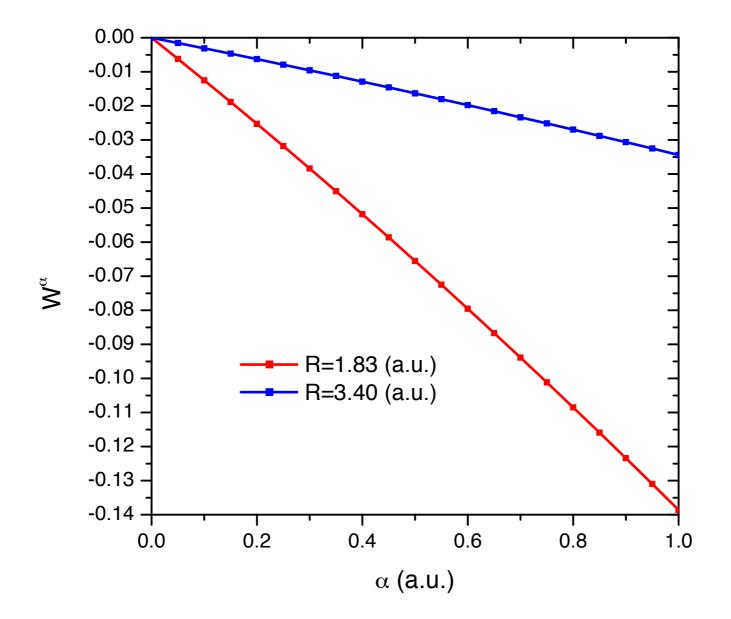
$$E_{corr}^{AC} = \int_0^1 W^\alpha \ d\alpha$$

$$E_{tot} = E_{ref} + E_{corr}^{AC}$$

K. Pernal, Phys. Rev. Lett. 120, 013001 (2018).

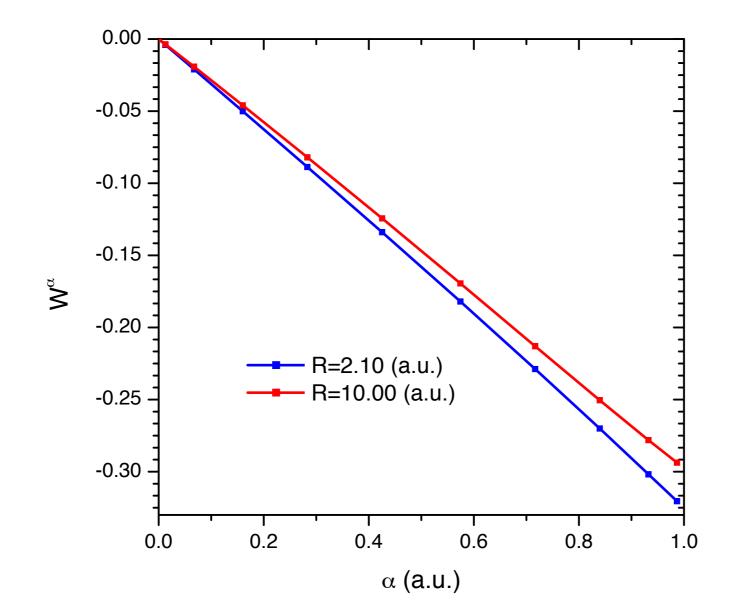
Computation cost reduction - linearization of the AC integrand

The AC integrand is almost linear if the multireference reference wavefunction is employed



Plot of the AC integrand for the H₈ linear chain, R - a distance between adjacent hydrogen atoms. CAS(8,8) reference wavefunction.

The AC integrand is almost linear if the multireference reference wavefunction is employed



Plot of the AC integrand for the N₂ molecule, R - a distance between nitrogen atoms. CAS(6,6) reference wavefunction.

Linear extrapolation from the $\alpha=0$ limit

• First-order expansion of the AC integrand

$$W^{\alpha} = W^{\alpha=0} + \frac{dW^{\alpha}}{d\alpha} \bigg|_{\alpha=0} \alpha = 0 + W^{(1)}\alpha$$
$$E^{AC0}_{corr} = \int_{0}^{1} W^{(1)}\alpha \ d\alpha = \frac{W^{(1)}}{2}$$

 First-order corrections to the ERPA eigenvectors are found from perturbation theory. No need to diagonalize full ERPA matrix (only small blocks).

ACn

$$E_{\rm corr}^{\rm AC_{n_{\rm max}}} = \frac{2}{\pi} {\rm Tr} \left[\left(\int_0^\infty d\omega \sum_{n=1}^{n_{\rm max}} \frac{\bar{\mathbf{C}}(\omega)^{(n)}}{n!(n+1)} \right) \mathbf{D}^2 \right]$$

 \checkmark high-order in α

- \checkmark m⁵ scaling with the system size
- \checkmark n⁶ scaling (only) with the number of active orbitals
- ✓ avoids instabilities

D. Drwal, P. Beran, M. Hapka, M. Modrzejewski, A. Sokol, L. Veis, K. Pernal, J. Phys. Chem. Lett. 13, 4570 (2022)

ACn approximation

 Great computational saving: the cost scales only with the 6th power of the number of the active orbitals. Great computational saving: the cost scales only with the 6th power of the number of the active orbitals.

 The overall cost of the ACn approximation is close to MP2 method if the number of virtual orbitals is much larger than the number of the active orbitals (which is a typical case). Great computational saving: the cost scales only with the 6th power of the number of the active orbitals.

 The overall cost of the ACn approximation is close to MP2 method if the number of virtual orbitals is much larger than the number of the active orbitals (which is a typical case).

• Size-consistent

CASSCF (or DMRG) reference

$$E^{AC-CAS} = E_{CASSCF} + E_{corr}^{AC}$$
$$E^{AC0-CAS} = E_{CASSCF} + E_{corr}^{AC0}$$

1. Find CASSCF solution (for assumed spaces of *active*, *inactive* and *secondary* orbitals).

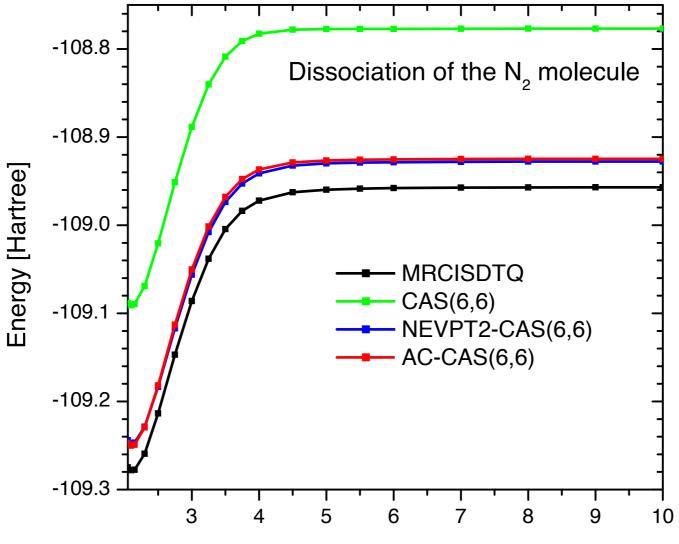
2. Compute the AC correlation energy, which accounts for correlation among *active*, *inactive* and *secondary* orbitals.

E. Pastorczak and K. Pernal, J. Phys. Chem. Lett. 9, 5534 (2018)

E. Pastorczak, M. Hapka, L. Veis, and K. Pernal, J. Phys. Chem. Lett. 10, 4668 (2019)

P. Beran, M. Matousěk, M. Hapka, K. Pernal, and L. Veis, J. Chem. Theory Comput. 17, 7575 (2021)

D. Drwal, P. Beran, M. Hapka, M. Modrzejewski, A. Sokol, L. Veis, K. Pernal, J. Phys. Chem. Lett. 13, 4570 (2022)



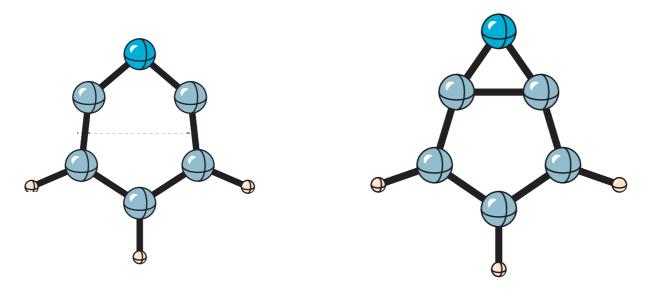
R	[a.	u	
	L .		

	NEVPT2- CAS(6,6)	AC-CAS(6,6)	NEVPT2- CAS(6,12)	AC-CAS(6,12)	NEVPT2- CAS(10,10)	AC-CAS(10,10)
R _{N2} =2.1 [a.u.]	30.7	27.8	30.1	24	20.1	16
R _{N2} =10.0 [a.u.]	29.3	32.4	23.1	27.2	31.4	16.6
E _{diss}	-1.4	4.6	-6.9	3.3	11.3	0.7

Errors of the total energy and the dissociation energy in mHa w.r.t MRCISDTQ^(*) values for the N_2 molecule in cc-pVDZ.

(*)M. Hanauer, A. Koehn, J. Chem. Phys. **136**, 204107 (2012).

Energy difference between mono- and bicyclic forms of the 2,6-pyridyne (C₆NH₃) diradical



	NEVPT2- CAS(8,8)	AC-CAS(8,8)	AC0-CAS(8,8)	NEVPT2- CAS(10,10)	AC-CAS(10,10)	AC0- CAS(10,10)
E _{bi} -E _{mono}	7.4	7.8	7.3	10.8	9.1	10.4
error	-1.4	-1	-1.5	2	0.3	1.6

Energy differences and energy barrier errors in kcal/mol. Errors of the energy barriers computed with respect to the multireference CCSD(T) - Mk-MRCCSD(T) - value, 8.8 kcal/mol, from F.A. Evangelista et al. J. Chem. Phys. 132, 074107 (2010).

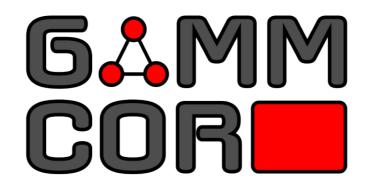
Adiabatic Connection (AC) vs. PT2 (CASPT2, NEVPT2)

No shifts in AC unlike in CASPT2

AC scales with the 6th power with the number of active orbitals - large active spaces can be treated, unlike in CASPT2/NEVPT2

Accuracy comparable to NEVPT2 but sometimes better

We have examples for which AC shows more systematic improvements with enlarging active space than NEVPT2



https://github.com/pernalk/GAMMCOR