

1 **Title: A process-driven sedimentary habitat modelling approach, providing**
2 **insights into seafloor integrity and biodiversity assessment within the European**
3 **Marine Strategy Framework Directive**

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24
25 **Abstract**

26
27 The Marine Strategy Framework Directive (MSFD) seeks to achieve good
28 environmental status, by 2020, for European seas. The applicability of a process-driven
29 benthic sedimentary habitat model, to be used in the implementation of the MSFD in
30 relation to biodiversity and seafloor integrity descriptors for sedimentary habitats, has
31 been analysed. The approach is used to project, onto a map, the major environmental
32 factors influencing soft-bottom macrobenthic community structure and the life-history
33 traits of species. Among the 16 environmental variables considered in this investigation,
34 a combination of water depth, mean grain size, a wave-induced sediment resuspension
35 index and annual bottom maximum temperature, are found to be the most significant
36 factors explaining the variability in the structure of benthic communities in the study
37 area. The aforementioned variables are classified into those representing the
38 `Disturbance` and `Scope for Growth` components of the environment. It was observed
39 that the habitat classes defined in the process-driven model reflected different structural
40 and functional characteristics of the benthos. Moreover, benthic community structure
41 anomalies due to human pressures could be detected also, within the model produced.
42 Thus, the final process-driven habitat map can be considered as being highly useful for
43 seafloor integrity and biodiversity assessment, within the European MSFD. Likewise,
44 for conservation, environmental status assessment and managing human activities,
45 especially within the marine spatial planning process.

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51 **Keywords:** sedimentary habitat modelling, benthic processes, life-history traits, marine
52 ecosystem, Marine Strategy Framework Directive

39 1. Introduction

40 Increasing pressure induced by human activities in the marine environment has
41 triggered the necessity for new management requirements. Amongst others, new
42 initiatives towards marine management, *e.g.* Marine Spatial Planning (MSP) (Douvere
43 & Ehler, 2009; European Commission, 2010b) and Ecosystem-Based Management
44 (EBM) (or the Ecosystem-based MSP (Foley *et al.*, 2010; Katsanevakis *et al.*, 2011)),
45 have highlighted the need for the best available scientific knowledge on the marine
46 environment, as well as ecosystem functioning. For example, benthic habitat maps have
47 been identified as being the basic knowledge to permit scientists and managers to
48 understand the distribution of living and non-living resources on the seafloor
49 (Shumchenia & King, 2010) together with their characteristics (vulnerability,
50 sensitivity, etc.). Such information needs to be taken into account in managing human
51 activities to optimize the exploitation of marine goods and services, at the same time,
52 minimizing the environmental impact of the related uses and activities. Unfortunately,
53 scientific knowledge on the extent, geographical range and ecological functioning of
54 benthic habitats is still poorly established. Consequently, it is difficult to manage
55 resources effectively, protect ecologically important areas and establish legislation to
56 safeguard the oceans.

57 In order to address this management requirement, there is an urgent need to
58 develop robust methods for mapping marine ecosystems, to establish their geographical
59 location, extent, and condition (Brown *et al.*, 2011). Specifically, in the European
60 Marine Strategy Framework Directive (MSFD, (Council Directive 2008/56/EC, 2008),
61 two important descriptors used in assessing environmental status of marine waters are
62 seafloor integrity and biodiversity. “Sea Floor” is interpreted as including both the
63 physical parameters of the seabed - bathymetry, roughness (rugosity), substrate type,
64 etc.; and biotic composition of the benthic community. “Integrity” is interpreted as both
65 covering spatial connectivity, such that the habitats are not fragmented unnaturally,
66 whilst having the natural ecosystem such processes functioning in characteristic ways.
67 “Biodiversity” includes, together with species, population and ecosystem structure,
68 other indicators related to habitat distribution, extent and condition (European
69 Commission, 2010a). Areas of high habitat integrity on both of these standards are
70 resilient to perturbations. As such, human activities can cause some degree of
71 perturbation without serious and lasting harm to the ecosystems (Borja *et al.*, 2011; Rice
72 *et al.*, 2010; Rice *et al.*, 2012; Van Hoey *et al.*, 2010).

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73 The environmental variables that describe a species' fundamental niche can be
74 grouped broadly into: resource gradients, *e.g.* chemicals or energy consumed by a
75 species; direct gradients of variables, with a physiological influence on a species but not
76 consumed by it, *e.g.* sediment grain size or temperature; and indirect gradients of
77 variables, correlated with direct and resource gradients but with no physiological
78 connection to the species, *e.g.* depth and latitude (Meynard & Quinn, 2007).
79 Considering the aforementioned assumptions, habitat modelling methods have been
80 used to link statistically field observations of biological data to a set of environmental
81 variables or spatial predictors, reflecting some key characteristics of the niche (Guisan
82 & Zimmermann, 2000; Hirzel & Guisan, 2002; Hirzel & Le Lay, 2008). Physical
83 disturbance and available food supply are known to be important in structuring benthic
84 communities (Kube *et al.*, 1996). Thus a benthic habitat model should take these into
85 account, together with, other information on physical processes occurring on the
86 seafloor and oceanographic information pertaining to the near-bottom water column
87 (Gogina *et al.*, 2010b). Consequently, the process-driven habitat template (Kostylev &
88 Hannah, 2007), takes into consideration the aforementioned assumptions, when
89 formulating the habitat model. The process-driven habitat template is a conceptual
90 model, used to relate species life-history traits to the properties of the environment,
91 transforming maps of the physical environment into a map of benthic habitat types.
92 Such an approach has been applied to benthic marine habitat in Atlantic Canada
93 (Kostylev *et al.*, 2005); it was applied also elsewhere in assessing how the resulting
94 classification corresponded to distributions of a number of species, including corals,
95 sponges, and commercially important bottom fish (Gregr, 2008). More recently, the
96 capacity of this model to explain the spatial distribution of fish species diversity has
97 been demonstrated (Fisher *et al.*, 2011).

98 Within this context, the main objective of the present study was to test the
99 applicability of a process-driven benthic sedimentary habitat model, in the
100 implementation of the European MSFD, in relation to the biodiversity and seafloor
101 integrity descriptors for sedimentary habitats (Borja *et al.*, 2011; Rice *et al.*, 2010; Van
102 Hoey *et al.*, 2010) and the MSP approach. A case study, the Basque continental shelf
103 (Bay of Biscay) has been adopted. To accomplish this objective, the following
104 sequential approach was applied: (i) near-bottom oceanographic and sedimentological
105 parameters that determine species assemblages were identified; (ii) the most important
106 environmental parameters were selected and fitted within the process-driven habitat

107 model template; (iii) a process-driven habitat model map was produced; (iv) the
108 structural parameters and life-history traits of species were analysed within the process-
109 driven habitat model template; and, finally, (iv) benthic habitats were characterised in
110 terms of species' assemblages and environmental characteristics.

112 **2. Material and methods**

113 The study area is located on the continental shelf of the Basque Country, in the
114 southeastern part of the Bay of Biscay, northern Spain (Figure 1).

115 **2.1. The process-driven habitat model template**

116 The process-driven marine benthic habitat mapping approach, as proposed by
117 Kostylev and Hannah (2007), is based upon ecological theory that relates species life-
118 history traits to the properties of the environment (Huston, 1994; Margalef *et al.*, 1979;
119 Reynolds, 1999; Southwood, 1977), transforming maps of the physical environment
120 into those of benthic habitat types. This approach is based upon the aggregation of sets
121 of environmental selective factors, on two axes. The 'Disturbance' axis, reflects the
122 intensity of habitat alteration or destruction, or the durational stability of habitats,
123 including only natural seabed processes responsible for the selection of species' life
124 history traits, on the evolutionary time-scale. The 'Scope for Growth' (SfG) axis, which
125 describes the amount of energy available for growth and reproduction after adjusting the
126 available food supply by environmental stressors that pose a cost for the physiological
127 functioning of organisms. This latter factor could be related also to the metabolic theory
128 of ecology (Brown *et al.*, 2004). Thus, the habitat model constructed according to
129 aforementioned assumptions should reflect the main ecological characteristics of the
130 habitats.

131 **2.2. Environmental data**

132 For this investigation, soft-bottom macrobentos data and a set of 16
133 environmental variables were considered, which could be grouped into: (i) seafloor
134 morphology (depth and distance to rock); (ii) sediment characteristics (mean grain size,
135 sorting, gravel content, sand content, fine content, organic matter content, redox
136 potential) and sediment resuspension index; and (iii) oceanographical conditions near
137 the seafloor (average annual chlorophyll content, average spring chlorophyll content,
138 annual mean temperature, annual temperature range and minimum annual temperature).
139 The characteristics of each of the datasets are described bellow.

141 **- Seafloor morphology**

142 High-resolution multibeam echosounder (MBES), at 1 m horizontal resolution
143 Digital Elevation Model (DEM) and bathymetric LiDAR, at 2 m horizontal resolution
144 grid, were available up to 100 m depth (Chust *et al.*, 2008; Galparsoro *et al.*, 2010). The
145 information on seafloor type distribution and sediment characteristics were derived from
146 Galparsoro *et al.* (2010). The seabed surface corresponding to sedimentary deposits is
147 406 km², representing 37% of the surface of the study area. The distance to rock map
148 was calculated using Euclidean distance algorithm (ArcGIS) from the morpho-
149 sedimentary map of the same study (Galparsoro *et al.*, 2010). Such a layer represents
150 the minimum distance of each pixel to the nearest rock substratum. Each
151 aforementioned information layer was resampled at 5 m horizontal resolution grid, to
152 homogenise and operate between the different information layers in the GIS.

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154 **- Sediment characteristics and sediment resuspension index calculation**

155 Sediment variables and grain size distribution maps were extracted from
156 Galparsoro *et al.* (2010) (Figure 2). To calculate the sediment resuspension index, storm
157 wave characteristics were propagated across the study area. The significant wave height,
158 exceeding 12 hours per year (Hs12), and period (Tp) were derived from the
159 oceanographic buoy *Bilbao-Vizcaya* record (period 1996-2006) (Puertos del Estado,
160 2007). Numerical modelling (González *et al.*, 2007; SMC, 2002) was used, with the
161 MBES-derived DEM as an input. The spatial resolution of the resulting grid was 20 m.
162 Wave-induced near-bottom maximum orbital velocities were derived using linear wave
163 theory and Hs, period (Tp) and mean water depth, for each point of the computational
164 grids. The critical current for sediment resuspension was calculated following an
165 empirical relationship between grain size and critical current speed (Hjulström, 1935).
166 Finally, the resuspension index was calculated by dividing the Orbital Velocity by the
167 Critical Current, then multiplied by 100. Thus, values higher than 1 indicate areas of
168 sediment resuspension; lower values indicate that sediment is not remobilised by wave-
169 induced currents.

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171 **- Oceanographic data**

172 CTD profiles from 21 monitoring stations, measured in spring, autumn, summer
173 and winter, since 1998, were collated (Borja *et al.*, 2009) (see Figure 1, for sample
174 locations). Oceanographic data corresponding to the same period as the benthic data

175 (*i.e.* 2003-2010) were obtained, whilst near-bed oceanographic parameters were retained
176 for further analysis. Subsequently, the mean value and standard deviation of each
177 selected parameter (*i.e.* mean, maximum and minimum annual water temperature;
178 annual mean and mean spring chlorophyll concentration (for measures obtained
179 between April and May)) was derived. In order to obtain a continuous layer, each
180 parameter was interpolated using ordinary kriging, with spherical-fitted models of
181 semivariograms, into a grid of 5 m resolution (Surfer 8, from Golden Software).

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183 **- Biological data**

184 Benthic biological data were collected using a Van Veen sediment grab
185 (sampling area 0.1 m²). The data corresponding to infauna and epifauna were extracted
186 for the time period 2003-2010 (see Figure 1, for benthic sample locations). This
187 selection resulted in 404 grab samples, with benthos identified at species level whenever
188 possible (a total of 1,202 species). For each sample species richness (number of *taxa*)
189 and Margalef index were determined. Subsequently, samples collected in areas with
190 known human impacts, such as those located near sewage outfalls, dredging and
191 sediment disposal sites, were identified (166 out of 404); this was in order to analyse the
192 influence of such data on the final results of the analysis. As such, two datasets were
193 generated; (i) containing all the available samples (404); and (ii) only with samples
194 collected from "natural habitats" (*i.e.* 238).

195 The ecological significance of the generated process-driven habitat model,
196 together with the resulting habitat classes, were analysed. The species lists for each
197 habitat class, defined in the habitat template, were compared with the species life-
198 history traits extracted from the Life Information Network (MarLIN, 2006). Eight
199 biological traits were selected for the analysis: lifespan; maturity; generation time; size;
200 living habit; sociability; fragility; and flexibility. Continuous values were binned into
201 classes: (*i.e.* Lifespan (<2 years; 2-5 years; 5-10 years; >10 years); Maturity (<1 year, 1
202 year, 1-2 years, 2-3 years, 3-5 years); Generation Time (<1 year, 1 year, 1-2 years, 3-5
203 years); Size (Very small (<1 cm), Small (1-2 cm), Small-medium (3-10 cm), Medium
204 (11-20 cm), Medium-large (21-50 cm), Large (>50 cm)); and Flexibility (High (>45
205 degrees), Low (10-45 degrees), None (< 10 degrees)). For the remaining, qualitative
206 descriptors were retained: Living habit (Attached, Burrow dwelling, Erect, Free living,
207 Tubiculous); Sociability (Colonial, Gregarious, Solitary); and Fragility (Fragile,
208 Intermediate, Robust). When no information on a particular trait was available for a

209 taxon, zero values were entered for each trait category. Then, for each habitat class, the
210 percentage of species showing each studied trait was calculated.

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212 **- Data integration and analysis**

213 For each benthic grab sample location, the values of environmental variables
214 were extracted and the straight line distance to all possible pairs was calculated.
215 Subsequently, multivariate analysis was undertaken using the PRIMER (Plymouth
216 Routines In Multivariate Ecological Research (version 6) software package (Clarke,
217 1993; Clarke & Gorley, 2006). Fourth-root transformation was applied to species
218 abundance, to reduce the influence of highly abundant species. The Bray Curtis
219 similarity matrix (Bray & Curtis, 1957) was calculated, with a dummy variable added
220 (value: 1).

221 The RELATE routine, with the Spearman rank correlation method, was used to
222 analyse the correlation between: species composition and the spatial location of the
223 samples; environmental variables and spatial location of samples; and *taxon*
224 composition and environmental conditions. The BEST routine was used to investigate
225 the significance of any relationship between *taxon* composition and environmental
226 conditions; likewise to identify the environmental variables that best matched the
227 distribution of *taxa* (Bremner *et al.*, 2006a; Clarke & Ainsworth, 1993; Louzao *et al.*,
228 2010; McArthur *et al.*, 2010; Shumchenia & King, 2010; Todd & Kostylev, 2011).
229 BEST analysis was run with Spearman rank correlation method and Euclidean distance
230 resemblance measure.

231 Finally, statistically most significant environmental variables layers were
232 transformed, by linear scaling from 0 to 1; this was based upon the minima and maxima
233 of each environmental variable layer, then transformed into SfG and Disturbance axis
234 using equal weights in an additive model (Kostylev & Hannah, 2007). In order to
235 display simultaneously two template axes in geographical space, a red-green colour map
236 was used on the basis of a band composition algorithm. This approach created a single
237 raster dataset, through the combination of Disturbance (red band of the image) and
238 Scope for Growth (green band of the image) rasters.

239 SfG and Disturbance values for each sample location were extracted in GIS and
240 plotted within the process-driven habitat template; this was divided then into 16 classes
241 (4x4 squares). Subsequently, each sample was classified according to these classes.
242 Finally, an analysis of similarity (ANOSIM) was carried out. Species richness and

243 diversity (Shannon) of the samples were interpolated in the process-driven habitat
244 template to analyse the ecological significance of the template. Once classes were
245 identified, the average similarity and the representative species for each assemblage,
246 based upon the similarity percentages method (SIMPER), were estimated. Finally, the
247 traits of the species of each of the defined habitat classes were analysed.

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249 **3. Results**

250 In terms of the derived environmental variables, the wave-induced near-bottom
251 maximum orbital velocity is shown in Figure 2a. This information, together with the
252 grain size distribution (Figure 2b), was used to calculate the sediment resuspension
253 index (Figure 2c). Values lying close to 0 on the map indicate that sediments are not
254 resuspended by wave action; high values indicate a higher probability of sediment
255 remobilisation. The near-bed oceanographical, obtained from CTD data records, are
256 shown in Figure 3.

257 In terms of the statistical analysis results, a significant correlation was found
258 between *taxonomic* composition and environmental conditions, when all samples (404)
259 were considered (RELATE; $\rho = 0.35$, $p < 0.1\%$). The correlation between the
260 environmental variables and the geographical location was also significant (RELATE; ρ
261 $= 0.33$, $p < 0.1\%$), as well as the correlation between the species composition and sample
262 location (RELATE; $\rho = 0.14$, $p < 0.1\%$).

263 In contrast, when only samples from natural habitats (238) were considered (i.e.
264 after removing stations from human-modified areas), a higher correlation was found
265 between *taxonomic* composition and environmental conditions at the stations studied
266 (RELATE; $\rho = 0.40$, $p < 0.1\%$). A significant, but lower, correlation, between the
267 environmental variables and the spatial location of the samples, was found (RELATE; ρ
268 $= 0.13$, $p < 0.1\%$). Correlation between species composition and spatial location of the
269 samples was found also to be significant, but lower than when considering all of the
270 samples (RELATE; $\rho = 0.08$, $p < 0.1\%$).

271 Oxygen values were found to be always near to saturation, or saturated. As the
272 concentration was not reaching a level that could affect the organisms, O₂ values were
273 not considered as being discriminative in terms of biological response; as such, they
274 were not used in any further analysis. On the other hand, salinity did not show
275 significant variations in the near-bottom (Table 1), so it was not kept for further
276 analysis.

277 From the aforementioned 16 environmental parameters considered in this
278 investigation, the best correlation between environment and *taxa* was provided by a
279 combination of 4 environmental parameters (BEST; $\rho = 0.46$): mean grain size; water
280 depth; sediment resuspension index; and maximum temperature. The associations
281 between environmental conditions and *taxon* composition were weaker when
282 environmental variables were considered individually. The order of importance of each
283 of the variables resulted in: water depth ($\rho = 0.42$); the resuspension index ($\rho = 0.34$);
284 the average annual maximum temperature ($\rho = 0.33$); and mean grain size ($\rho = 0.33$) (in
285 all cases, with a significance level of 0.1%). Water depth could be related to all the
286 environmental components of the investigation; meanwhile, mean grain size was used
287 to calculate the resuspension index. Hence, the main variables driving Disturbance and
288 SfG, within the study area, were the resuspension index and the annual maximum
289 temperature, respectively. Both variables were transformed then into the SfG and
290 Disturbance axes using linear scaling. The plot of the benthic samples distribution in the
291 process-driven habitat template is shown in Figure 4a.

292 The analysis of similarity between habitat classes extracted from the process-
293 driven habitat template, together with benthic community structure, showed a
294 statistically significant correlation (ANOSIM; $\rho = 0.31$, $p < 0.1\%$) (Figure 4b).
295 Subsequently, for each habitat class, environmental data (Table 2) and the average
296 similarity and the representative species for each assemblage, based upon the similarity
297 percentages method (SIMPER) and macrobenthos species lists, were extracted (Tables 1
298 to 8 in Supplementary Material).

299 The response of the benthic structural parameters - species richness and
300 Margalef index - to the resuspension index has indicated that species richness decreases
301 rapidly as the resuspension index increases, up to approximately 1.5; this lies near to the
302 threshold of sediment resuspension (Figure 5a). The Margalef index showed almost the
303 same response, with an almost proportional decrease in comparison to an increase in the
304 resuspension index (Figure 5b).

305 Within the process-driven habitat template, the Margalef index values showed an
306 increase as the Disturbance reduced, showing a maximum for a medium range of SfG
307 (Figure 6a). A similar pattern was observed for species richness (Figure 6b); the highest
308 was located in a narrower range of disturbance values, but across a wide range of SfG
309 values. When all of the benthos sample data were considered, the results showed the
310 same general pattern, but with some differences: (i) lower Margalef values than

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311 expected (rectangle "A" in Figure 6c); and (ii) higher Margalef values than expected
312 (rectangle "B" in Figure 6c). In the same way, species richness resulted also in the same
313 pattern as for natural samples, with some outliers (rectangles "A", "B", "C" and "D" in
314 Figure 6d).

315 Life-history traits information was found to be available only for 45% of the
316 total number of species identified. The percentage of presence of species showing each
317 trait, calculated for each habitat class defined in the process-driven template, is shown
318 in Table 3. Here, some general patterns can be observed: species with shorter lifespan
319 (<2 years), shorter maturity span (<1 year) and lower generation time, were present at
320 higher percentages in areas characterized by higher SfG and low to medium Disturbance
321 (mainly in Classes 1, 2, 3, 6, 7). In contrast, higher lifespan and maturity span species
322 proportion decreased, as Disturbance and SfG increased. Conversely, burrow dwelling
323 species increased as Disturbance increased, whilst free living and tubicolous proportion
324 species decreased. The proportion of gregarious and smaller size species increased, as
325 Disturbance and SfG increased. In contrast, the proportion of solitary and larger species
326 decreased. In terms of fragility, flexibility and generation time, the results were unclear.

327 Finally, a predicted Disturbance map was produced, by linearization of the
328 resuspension index. The derived values of Disturbance range between 0 and 1. A zero
329 value represents zones of lower disturbance; value close to unit, representing higher
330 disturbance. The spatial distribution is shown in Figure 7a. For comparison, the SfG
331 map was produced by linearization of the average annual maximum temperature (Figure
332 7b). Values lying close to 1 occurred in shallow water areas, with a gradient to lower
333 values towards deeper water areas. The final process-driven sedimentary habitat map is
334 presented in Figure 7c. In general terms, highest Disturbance and SfG habitats are
335 located within nearshore areas; this is because they are influenced by sediment dynamic
336 processes and because of their proximity to estuaries. In contrast, the Nervion estuary
337 shows low Disturbance and high SfG habitats; here the estuary mouth is protected from
338 waves, by a dyke. Elsewhere, the map reveals nearshore areas with high Disturbance
339 and low SfG, these are within very shallow waters and away from the influence of
340 estuaries. Finally, the habitats with lower Disturbance and SfG are located in the deeper
341 water areas of the study area, which are supposed to be associated with more stable
342 oceanographical parameters. Such results demonstrate that the values of Disturbance
343 and SfG are not always associated linearly, e.g. there are areas with high Disturbance,
344 for which the SfG ranges from moderate to high (and *vice-versa*).

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2 **346 4. Discussion**

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4 347 During the preliminary steps of the analysis, it was noted that the correlation
5 348 between taxonomic composition and environmental conditions was higher for samples
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7 349 collected in areas classified as having a low influence of human activities (“natural”
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9 350 habitats), than for samples collected in areas with known human pressures (i.e. with
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11 351 wastewater discharges and dredged sediment disposal). Such a result could be explained
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13 352 in terms of the environmental impact producing anomalies in species composition, due
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15 353 to changes in the prevailing physical and chemical conditions (sediment grain size,
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17 354 organic matter content, etc.), generated by human activities (Birchenough & Frid, 2009;
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19 355 Borja *et al.*, 2000; Boyd *et al.*, 2005).

20 356 The correlation established between macrobenthic species composition and
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22 357 environmental parameters was weak, but statistically significant. Nonetheless, the
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24 358 correlation obtained was comparable to that obtained in other habitat modelling studies,
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26 359 where similar algorithms have been used to relate biotic structure to environmental
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28 360 characteristics (Ellingsen, 2002; Gogina *et al.*, 2010a; Louzao *et al.*, 2010; Lu, 2005;
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30 361 Shumchenia & King, 2010). On this basis, it is considered that the improvement on the
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32 362 availability of environmental data (an increase in the spatial density of the
33
34 363 oceanographic data), would derive into a higher correlation between environmental data
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36 364 and biological composition. Thus, these assumptions have to be taken into account, for
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38 365 a proper interpretation of the subsequent results.

39 366 In terms of the environmental variables identified as explaining species
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41 367 composition, some authors have reported differences in the order of importance of
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43 368 individual environmental factors. For example, Todd and Kostylev (2011) found that
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45 369 summer oxygen saturation was the single variable which best explained the distribution
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47 370 of bottom fauna on the Scotian Shelf (Canada). Further, seawater in the study area
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49 371 showed oxygen saturation percentages which lay always over 80% and close to 100%
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51 372 (Borja *et al.*, 2011). Other authors investigation the Baltic sea (Gogina & Zettler, 2010)
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53 373 state that changes in salinity had also a noticeable effect in the determination of suitable
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55 374 habitats for certain species of benthic macrofauna. Once again, in the study area, salinity
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57 375 within the bottom layers showed low variability (a measured range of between 35.1 and
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59 376 35.5). Hence, the environmental factors with considerable ranges of variability could be
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61 377 those limiting, or influencing, the species assemblages. In fact, the Bay of Biscay is
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63 378 located in a temperate zone with no extreme oceanographic changes throughout the year
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379 (Valencia *et al.*, 2004). As the hydrographical parameters are relatively stable, the wave
380 energy and sediment dynamics could be identified as being the most important factors
381 influencing benthic assemblages, in relation to the shallow water depth (Dolbeth *et al.*,
382 2007). Moreover, habitats characterized by relatively high disturbance and low SfG may
383 provide areas in which to detect the strongest direct linkages, between environmental
384 characteristics and life-history traits of species (Fisher *et al.*, 2011). In other studies,
385 water depth may appear to be the most significant variable influencing species
386 assemblages, being identified as the driving gradient influencing other environmental
387 characteristics and species diversity (McArthur *et al.*, 2010). This association denotes
388 that the environmental parameters contributing to the Disturbance and SfG components
389 of the model depend upon the background characteristics of the location where it is due
390 to be applied. In relation to this observation, additional studies could be carried out to
391 investigate if the response of structural parameters and traits, to Disturbance and SfG
392 components, are comparable across regional seas.

393 The model established here showed an increase of species richness and Margalef
394 index, as the Disturbance and the SfG decrease (Figure 6a and 6c). This interpretation
395 fits well with the initial hypothesis of the process-driven habitat template (Kostylev &
396 Hannah, 2007) and the ecological theories on which is based (Huston, 1994; Margalef *et*
397 *al.*, 1979; Reynolds, 1999; Southwood, 1977, 1988). The model obtained here is in
398 agreement with the general assumption that shallow, eutrophic systems near coastal
399 margins tend to have high biomass and low species richness; this is due to high
400 productivity and extreme environmental conditions (Edgar, 2001). Such systems give
401 way to moderate biomass and species richness on most coastal shelves (Snelgrove,
402 2001); this is followed by an increase in richness and decrease in biomass and
403 abundance, in the deep sea (Levin *et al.*, 2001). According to this characteristic, in
404 terms of the ecological implications of the process-driven habitat template, zones with
405 low Disturbance and SfG classes were associated with deeper water areas not affected
406 by waves; thus, the diversity of such zones, would be higher (see for example, the
407 continental shelf area in front of Lekeitio and Higer Cape, in Figure 7c. Meanwhile, the
408 opposite situation could be found in areas with high SfG and Disturbance; these are
409 located in shallow water depth, adjacent to estuaries, e.g. the coastal area in front of the
410 Nervión estuary (Figure 7c).

411 Samples of benthos were represented only in 8 out of the 16 theoretical classes
412 in the process-driven habitat template, when it was divided into a 4x4 squares grid. This

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413 result is because the template is constructed for the combination of all possible
414 environmental conditions, represented as continuous layers, together with the lack from
415 samples for certain areas. Within this context, there was an absence of samples for the
416 habitat types with extreme Disturbance values (i.e. samples in areas with a very low or
417 very high disturbance values) and areas with very low SfG. The lack of samples in very
418 low Disturbance areas is related to the absence of samples from deepest water areas of
419 the study area were absent. Meanwhile the lack of samples from very high disturbance
420 areas, is related to the absence of samples from extremely shallow (<7) waters.
421 Nevertheless, it should be noted that the area corresponding to Disturbance values
422 higher than 0.65 accounted for only 2% of the total study area surface. Moreover, there
423 are some combinations of Disturbance and SfG that are difficult to occur naturally, such
424 as areas with a combination of low productivity (i.e. low SfG) and high disturbance. As
425 such, no samples are located within these classes.

426 The interpretation of the biological traits results suggests some ecological
427 differences in the habitat classes, defined using the process-driven template. In general,
428 for those habitat classes with lower SfG and Disturbance, the proportion of species with
429 higher lifespan, maturation time, generation time and size appears to be higher (the
430 opposite in areas with higher SfG and Disturbance), as anticipated initially. Differences
431 in characteristics of lifespan, maturity time and sociability are particularly well reflected
432 in the process-driven template. Such results indicate that the process-driven template
433 reflects some of the environmental characteristics linked to metabolic theory (Brown *et al.*
434 *et al.*, 2004), combined with the ecological functioning of marine benthic assemblages and
435 BTA (Bremner *et al.*, 2006b). Nevertheless, the results should be utilised with care, due
436 to the absence of biological trait information for 55% of the listed species. The benthic
437 invertebrate assemblages are incorporated into the maintenance of ecological processes
438 and the biological traits, which can provide information about some aspects of
439 ecosystem functioning (Bremner *et al.*, 2006a). Thus, further investigations into the
440 characterisation of species traits is considered very valuable, for habitat modelling
441 approaches dedicated to conservation and management purposes (Bremner, 2008). As
442 stated by Roff *et al.* (2003), the ecosystem-based approach, which defines representative
443 habitat types, is a fundamental prerequisite for management. In this sense, the process-
444 driven habitat template approach fits well within this concept, of producing ecologically
445 meaningful habitat maps derived from environmental parameters.

446 Understanding community-level feedbacks, such as those involving both

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447 diversity and species richness, together with disturbance, has implications for
448 understanding the response of ecological systems to physical habitat alteration, or
449 destruction, and human perturbation (Randall Hughes *et al.*, 2007). Given that certain
450 human activities can increase the disturbance (*i.e.* dredged material disposal sites,
451 dredging, trawling, sewage sludge), the result could be an acceleration of species loss
452 beyond the expectations of direct human modification of habitats (related to biodiversity
453 and the seafloor integrity ecosystem indicators in the MSFD (Borja *et al.*, 2011; Van
454 Hoey *et al.*, 2010). This assumption could be observed when Margalef index and
455 species richness for a “natural” subset and for all of the data (including human-disturbed
456 habitats) were plotted in the process-driven habitat template. In some cases, it was noted
457 that the species richness was lower than expected for natural habitats (rectangles "A"
458 and "D", within Figure 6d). These corresponded to samples collected in areas of
459 dredged material disposal, a regasification plant water disposal, and a sewage sludge
460 area. In other cases (rectangle "B" in Figure 6c) a higher Margalef index than expected
461 was found; this corresponded to samples collected in an area influenced by an
462 organically-enriched sewage sludge. On the other hand, the response of the species
463 richness and Margalef index, to the resuspension index, indicates a decrease in species
464 richness and Margalef index for a small increase of disturbance, in environments with
465 low natural disturbance (Figure 5). According to the results obtained, the model
466 produced for “natural” habitats could be used to infer: (i) the expected values for
467 structural parameters of the benthic biological communities and life-history trait, for a
468 certain combination of values of SfG and Disturbance; and (ii) as a proxy, indicating the
469 risk of habitat damage, or sensitivity of habitats, derived from human activities
470 producing habitat disturbance, or seafloor physical alteration, in those areas where the
471 natural disturbance is low, such bottom trawling disturbance (Queiros *et al.*, 2006),
472 dredging or dredged sediment disposal (Wilber *et al.*, 2008) as processes determining
473 the opportunistic response (Norkko *et al.*, 2006) and the recovery of sediment
474 communities and habitats, following physical disturbance (Dernie *et al.*, 2003).
475 Theoretically, the habitat model could be also used as a proxy of risk of overfishing
476 taking into account the SfG of the habitats (Fisher *et al.*, 2011).

477 In the present investigation, the process-driven template has been tested for
478 sedimentary habitats (which represent 37% of the case study area); nevertheless, it
479 could be considered as being applicable to other sedimentary environments elsewhere.
480 In addition, the same approach could be developed for hard-bottom substrata. For the

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481 latter case, some of the environmental parameters used here would be useful, e.g. wave
482 energy in the near-bottom; temperature, etc., but new ones should be incorporated into
483 the model, e.g. light penetration.

484 The use of ecosystem characteristics makes the process-driven habitat modelling
485 approach to be considered as being useful for the implementation of management
486 measures, *i.e.* the Habitats Directive (92/43/EEC) and MSFD, but also as a basis for
487 MSP of human activities (Douvere & Ehler, 2009; European Commission, 2010b). The
488 increase in availability of data, *i.e.* the spatial density of samples and information on
489 life-history traits of species, would probably improve the statistical results and the
490 robustness of the resulting model. It should be noted, even if habitat models are
491 important tools for understanding the ecological niche of a particular species or
492 communities and their ecological functioning, they must be considered carefully in
493 relation to the representation of reality.

494 The rise in importance of mapping benthic marine environments, for
495 management purposes, has resulted in a general shift from predominantly species-based
496 management strategies to the ecosystem-based approach (Heap & Harris, 2011). For the
497 present investigation, the process-driven habitat mapping approach (Kostylev &
498 Hannah, 2007) was selected as an insight into biodiversity and seafloor integrity
499 assessment, within the MSFD. In this way, the European Commission (2010a)
500 identified 6 indicators as being suitable for seafloor integrity assessment, From the 6, 3
501 can be related to the approach presented here: (i) the extent of the seabed affected
502 significantly by human activities (identified using this approach); (ii) the presence of
503 particularly sensitive and/or tolerant species (related to some of the functional traits);
504 and (iii) indices assessing benthic community condition and functionality. Hence, the
505 process-driven approach is related to species composition, structure and function
506 (biodiversity and life-history traits of species) and the main characteristics of the
507 environment (natural and anthropogenic) influencing seafloor integrity; these could
508 serve for the environmental assessment, as a complement to other tools proposed or
509 used by van Hoey et al. (2010), Borja et al. (2011) and Rice et al. (2012).

510 For the MSFD, when assessing the environmental status, the authors are aware
511 of the possible shortcomings of the method presented; however, it could be considered
512 as a good approach utilising the available information, which is the criterion required by
513 the MSFD.

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515 Acknowledgments

516 This project was supported by the Basque Water Agency (URA), the
517 Department of Environment, Land Use, Agriculture and Fisheries, of the Basque
518 Government, the MeshAtlantic project (Atlantic Area Transnational Cooperation
519 Programme 2007-2013 of the European Regional Development Fund)
520 (www.meshatlantic.eu) and the project DEVOTES (DEvelopment Of innovative Tools
521 for understanding marine biodiversity and assessing good Environmental Status) funded
522 by the European Union under the 7th Framework Programme, ‘The Ocean for
523 Tomorrow’ Theme (grant agreement no. 308392), www.devotes-project.eu”. We wish
524 to thank: Professor Michael Collins (School of Ocean and Earth Science, University of
525 Southampton (UK) and AZTI-Tecnalia (Spain)), for kindly advising us on some details
526 of the manuscript. Marta Pascual was funded by the Fundación Centros Tecnológicos,
527 with a Training, Specialization and Technological Development grant. We wish to
528 thank: Professor Michael Collins (School of Ocean and Earth Science, University of
529 Southampton (UK) and AZTI-Tecnalia (Spain)) for kindly advising on some details of
530 the manuscript; This paper is contribution number XXX from AZTI-Tecnalia (Marine
531 Research Division).

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Table 1. Mean, maximum (max.) and minimum (min.) values for each of the environmental variables analysed within the study area, in the near-bottom layer of the water column. S.D: Standard Deviation. PSU: Practical Salinity Units

Variable	Mean ± S.D.	Max.	Min.
Annual mean temperature (°C)	14.2±0.6	15.6	12.5
Annual maximum temperature (°C)	19.4±1.1	20.9	14.8
Annual minimum temperature (°C)	11.9±0.3	12.5	11.6
Annual temperature range (°C)	6.4±1.0	7.7	2.7
Annual mean chlorophyll concentration ($\mu\text{g}\cdot\text{l}^{-1}$)	0.69±0.19	0.96	0.14
Spring chlorophyll concentration ($\mu\text{g}\cdot\text{l}^{-1}$)	1.03±0.5	1.9	0.08
Mean grain size (Phi)	2.17±1.22	7.38	-1.69
Sorting	1.41±0.44	3.52	0.15
Gravel content (%)	3.9±11.4	93	0
Sand content (%)	69.9±32.9	100	0.06
Fine content (%)	26.3±33	99.7	0
Organic Matter content (%)	3.1±2.8	26.5	0.5
Redox potential (mV)	182.1±231.9	499	-336
Depth (m)	-41.8±23	-114	-6.7
Resuspension index	1.5±0.77	4.81	0.17
Distance to rock (m)	215.7±249.5	997.1	0
Salinity (PSU)	35.4±0.1	35.5	35.1
Oxygen saturation (%)	97.8±7.0	106.3	85.3

Table2[Click here to download Table\(s\): Table_2.doc](#)

Table 2. Main characteristics of each habitat class defined in the process-driven habitat template (see Figure 4a, for habitat class distribution in the process-driven habitat template). Key: NS- number of samples; GS- grain size; SORT- Sorting; OM- organic matter; RI- Resuspension index; AC- annual chlorophyll; SC- spring chlorophyll; and T- temperature.

Habitat class	NS	GS (Phi)	SORT	Gravel (%)	Sand (%)	Mud (%)	OM (%)	Depth (m)	RI	AC ($\mu\text{g}\cdot\text{l}^{-1}$)	SC ($\mu\text{g}\cdot\text{l}^{-1}$)	Mean T ($^{\circ}\text{C}$)	T Range ($^{\circ}\text{C}$)	Min T ($^{\circ}\text{C}$)	Max T ($^{\circ}\text{C}$)	Species richness (n$^{\circ}$)	Margalef	Diversity (Shannon) (bits ind$^{-1}$)
1	15	1.71	1.25	5.0	86.6	8.4	2.1	-46.5	1.12	0.67	0.94	14.4	7.3	11.7	19.8	23.3	3.71	2.64
2	116	1.69	1.50	2.0	91.3	6.7	2.4	-33.7	1.86	0.76	1.00	14.6	6.7	12.1	20.0	16.8	3.02	2.24
3	7	2.02	1.25	0.2	95.2	4.6	2.9	-12.9	3.04	0.65	0.83	15.3	7.1	12.4	19.6	16.0	2.27	1.77
5	35	1.82	1.18	5.3	76.6	18.1	2.4	-72.1	0.95	0.57	0.68	13.9	5.7	11.9	18.4	41.1	5.82	2.96
6	25	1.69	1.48	2.0	91.5	6.6	2.4	-46.6	1.64	0.59	0.62	14.5	6.0	12.1	18.8	25.8	4.34	2.52
7	3	1.69	1.40	0.2	96.8	3.0	2.8	-17.8	2.75	0.58	0.64	15.5	7.3	12.5	19.2	6.9	1.04	1.61
9	27	2.71	1.34	4.9	51.0	44.2	3.2	-94.3	0.71	0.32	0.28	13.1	4.2	11.8	16.8	51.9	6.81	3.28
13	10	2.87	1.26	0.9	57.8	41.3	2.5	-102.2	0.72	0.24	0.17	12.7	3.5	11.7	15.6	39.2	5.80	3.20

Table3

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Table 3. Percentage of presence of different life-history traits divided into classes, for each class defined in the process-driven habitat template (defined in Figure 4b); together with the mean percentage of presence of the life-history traits divided according to the increasing Disturbance and Scope for Growth (SfG) classes: A (habitat classes 1, 5, 9, 13); B (habitat classes 2, 6); C (habitat classes 3, 7); D (habitat classes 13); E (habitat classes 9); F (habitat classes 5, 6, 7); G (habitat classes 1, 2, 3). See Figure 4b for each habitat defined in the process-driven habitat template.

Life-history traits	Process-driven habitat class								Disturbance			SfG			
	1	2	3	5	6	7	9	13	A	B	C	D	E	F	G
Lifespan															
<2 years	53	52	58	44	51	60	41	37	43.8	51.5	59	37	41	51.7	54.3
2-5 years	32	33	26	40	34	40	39	47	39.5	33.5	33	47	39	38	30.3
5-10 years	9	11	0	11	11	0	15	16	12.8	11	0	16	15	7.3	6.7
>10 years	6	4	16	5	4	0	5	0	4	4	8	0	5	3	8.7
Living habit															
Attached	0	1	0	0	2	0	1	0	0.3	1.5	0	0	1	0.7	0.3
Burrow dwelling	27	35	29	32	28	50	32	35	31.5	31.5	39.5	35	32	36.7	30.3
Erect	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Free living	46	36	49	44	46	29	40	36	41.5	41	39	36	40	39.7	43.7
Tubicolous	27	28	22	24	24	21	27	29	26.8	26	21.5	29	27	23	25.7
Maturity															
<1 year	57	50	63	40	53	67	38	37	43	51.5	65	37	38	53.3	56.7
1 year	7	7	9	14	11	0	14	16	12.8	9	4.5	16	14	8.3	7.7
1-2 years	30	38	20	39	29	33	37	36	35.5	33.5	26.5	36	37	33.7	29.3
2-3 years	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3-5 years	6	5	9	6	6	0	12	12	9	5.5	4.5	12	12	4	6.7
Generation Time															
<1 year	49	36	58	26	44	0	18	20	28.3	40	29	20	18	23.3	47.7
1 year	0	1	0	0	1	0	0	0	0	1	0	0	0	0.3	0.3
1-2 years	51	63	42	74	56	100	74	73	68	59.5	71	73	74	76.7	52
3-5 years	0	0	0	0	0	0	9	7	4	0	0	7	9	0	0
Sociability															
Colonial	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Gregarious	16	14	28	9	15	20	10	6	10.3	14.5	24	6	10	14.7	19.3
Solitary	84	86	72	91	84	80	90	94	89.8	85	76	94	90	85	80.7
Size															
Very small (<1 cm)	16	16	15	9	14	25	7	6	9.5	15	20	6	7	16	15.7
Small (1-2 cm)	30	28	25	33	31	25	26	24	28.3	29.5	25	24	26	29.7	27.7
Small-medium (3-10 cm)	45	42	52	44	42	38	49	51	47.3	42	45	51	49	41.3	46.3
Medium (11-20 cm)	6	10	7	8	6	13	10	11	8.8	8	10	11	10	9	7.7
Medium-large (21-50 cm)	3	3	2	4	5	0	7	5	4.8	4	1	5	7	3	2.7
Large (>50 cm)	1	1	0	1	2	0	1	2	1.3	1.5	0	2	1	1	0.7
Fragility															
Fragile	41	40	44	45	41	50	46	45	44.3	40.5	47	45	46	45.3	41.7
Intermediate	58	59	56	54	59	50	52	54	54.5	59	53	54	52	54.3	57.7
Robust	1	1	0	1	0	0	2	1	1.3	0.5	0	1	2	0.3	0.7
Flexibility															
High (>45 degrees)	70	68	76	68	60	67	74	79	72.8	64	71.5	79	74	65	71.3
Low (10-45 degrees)	12	15	13	14	21	33	9	6	10.3	18	23	6	9	22.7	13.3
None (< 10 degrees)	18	17	11	19	19	0	17	16	17.5	18	5.5	16	17	12.7	15.3

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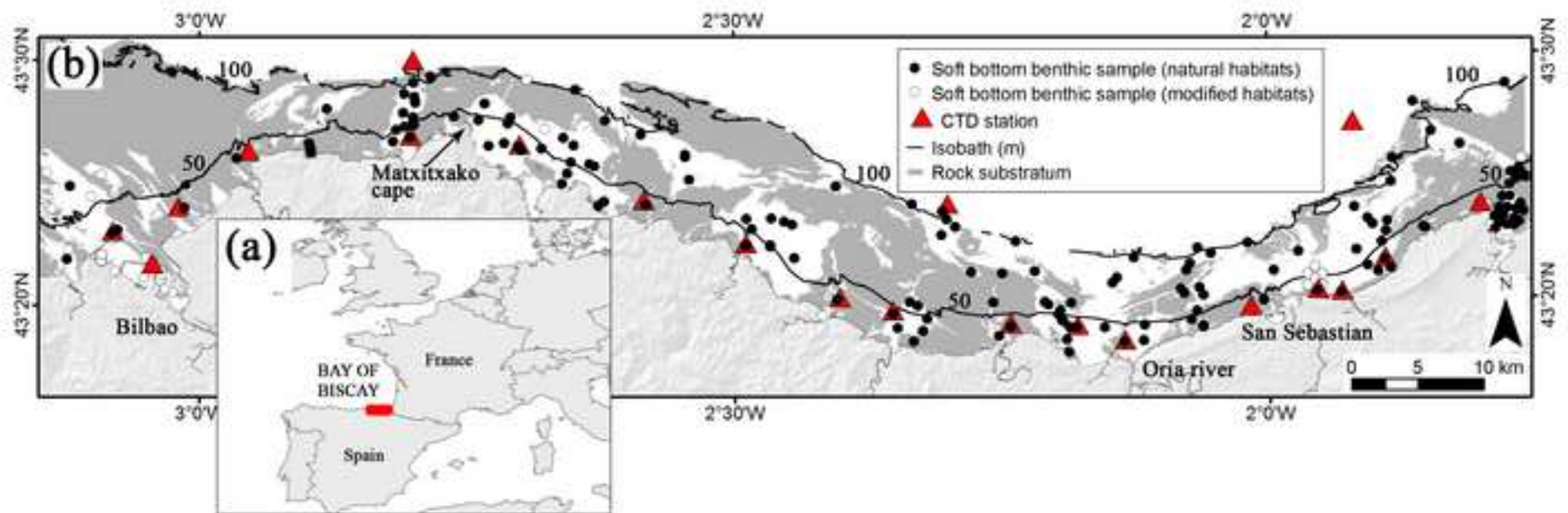


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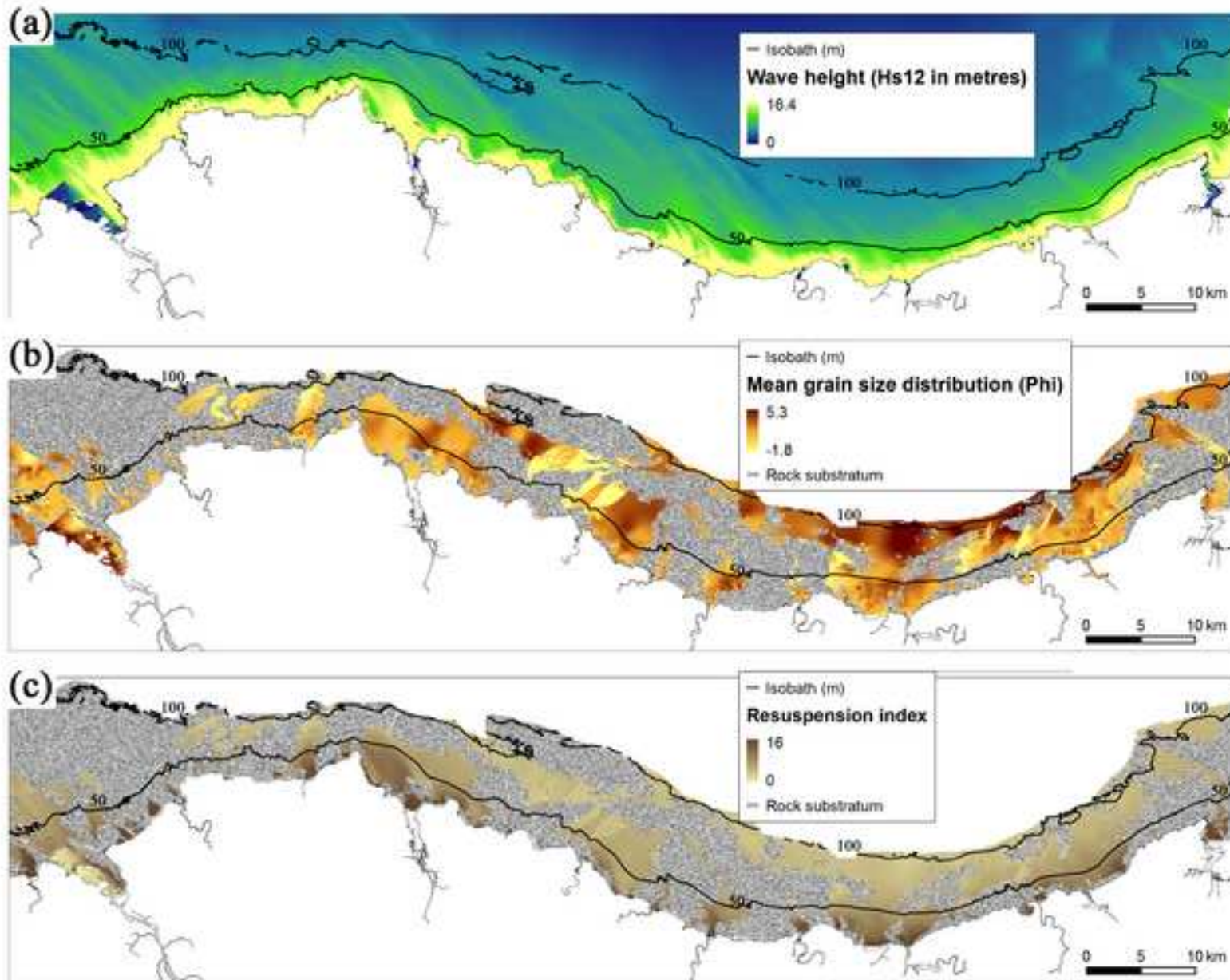


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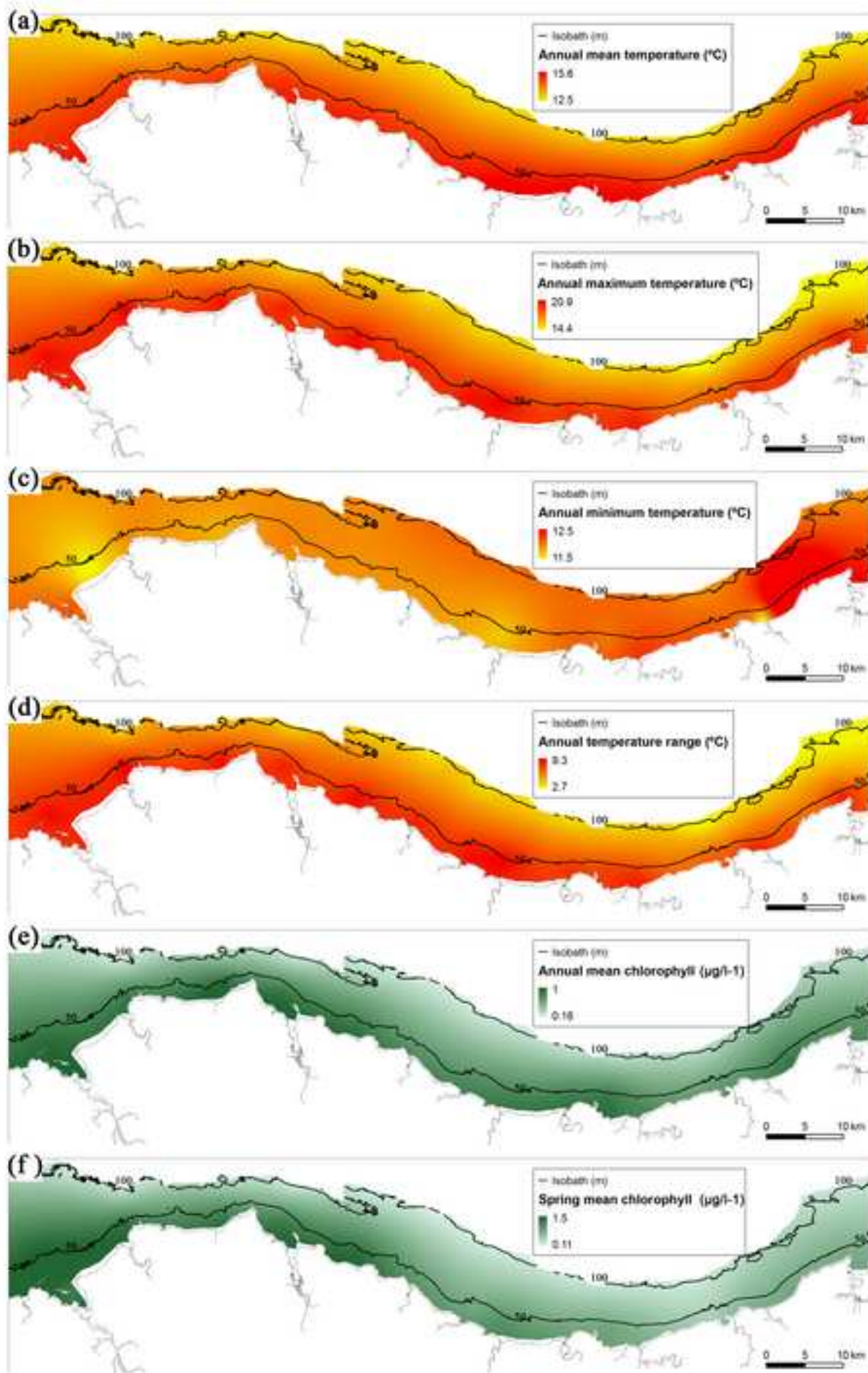


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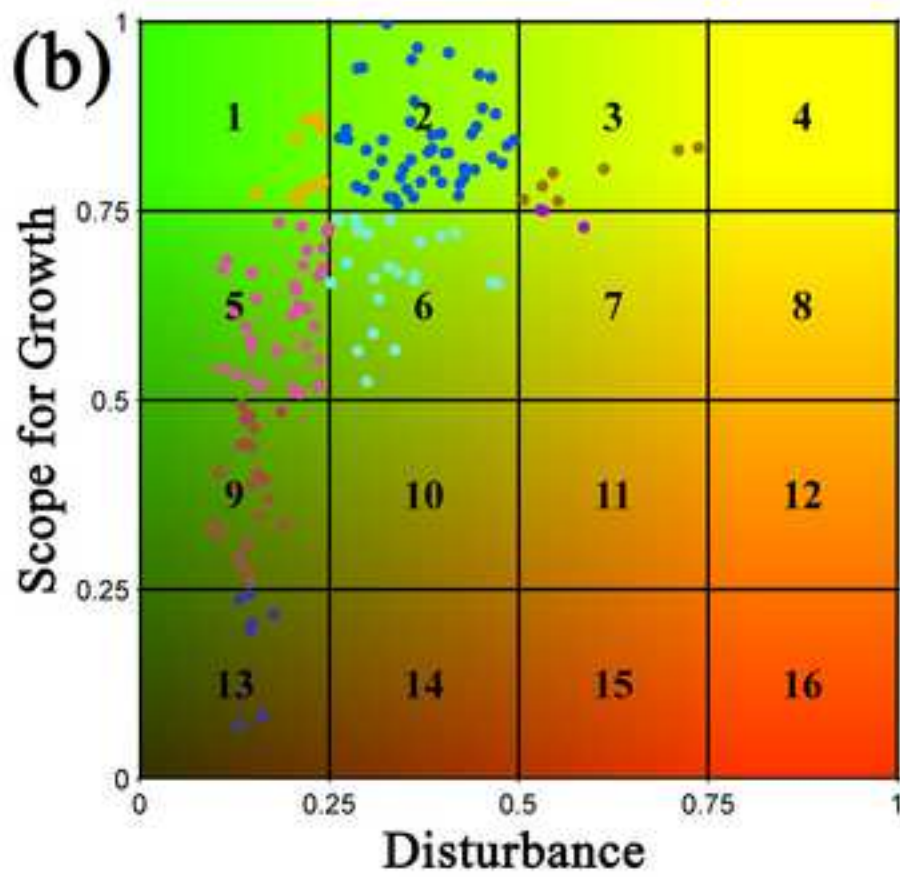
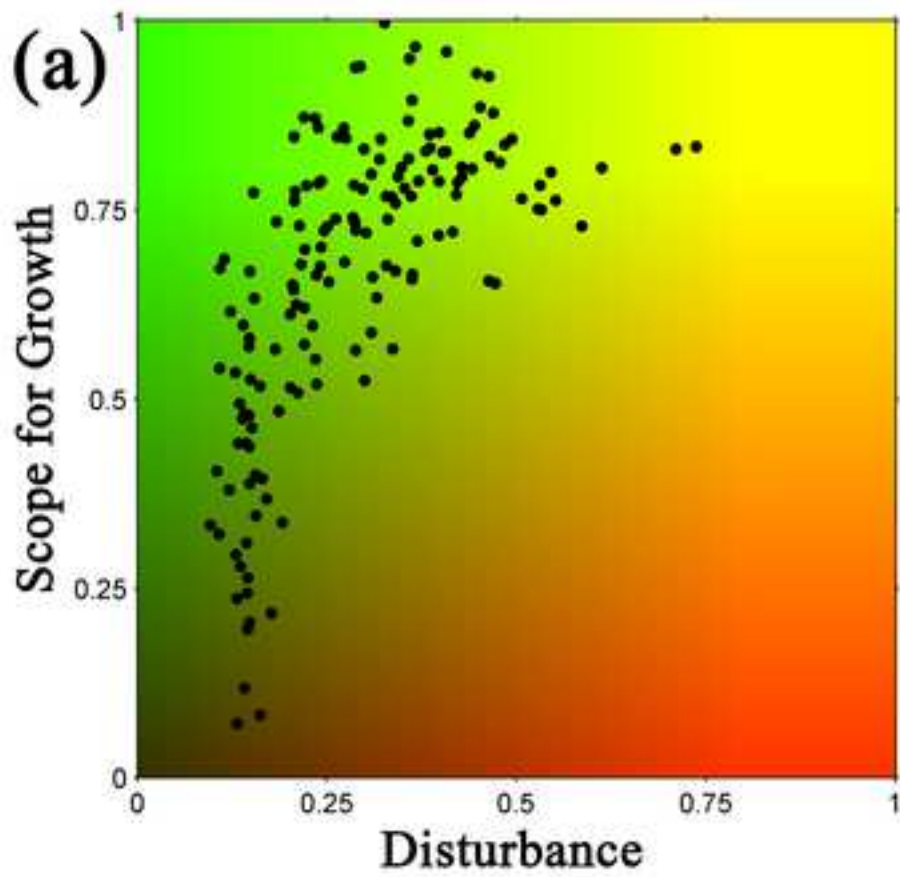


Figure5

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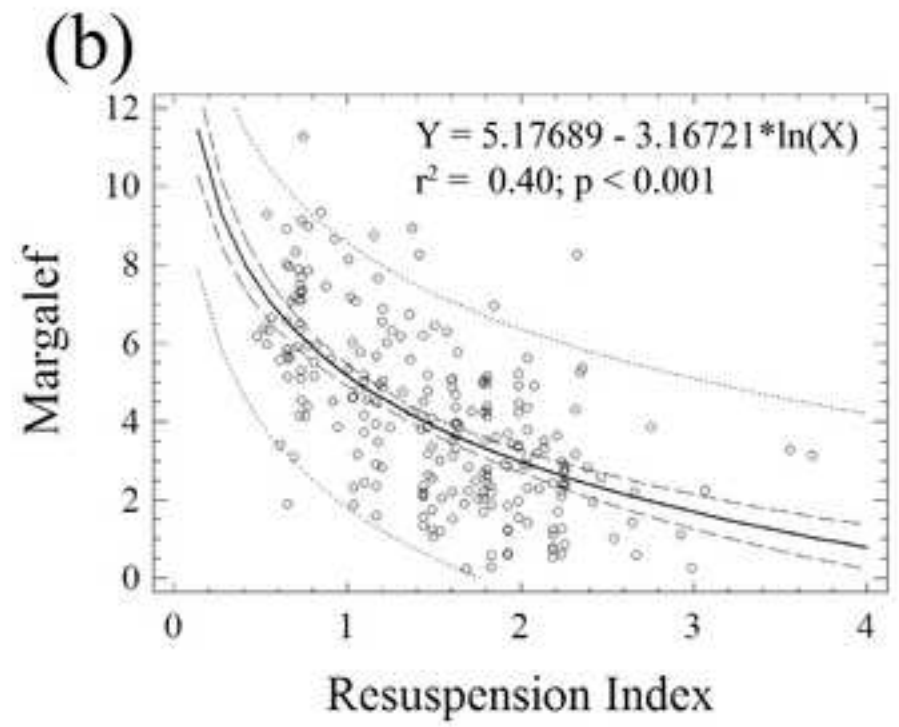
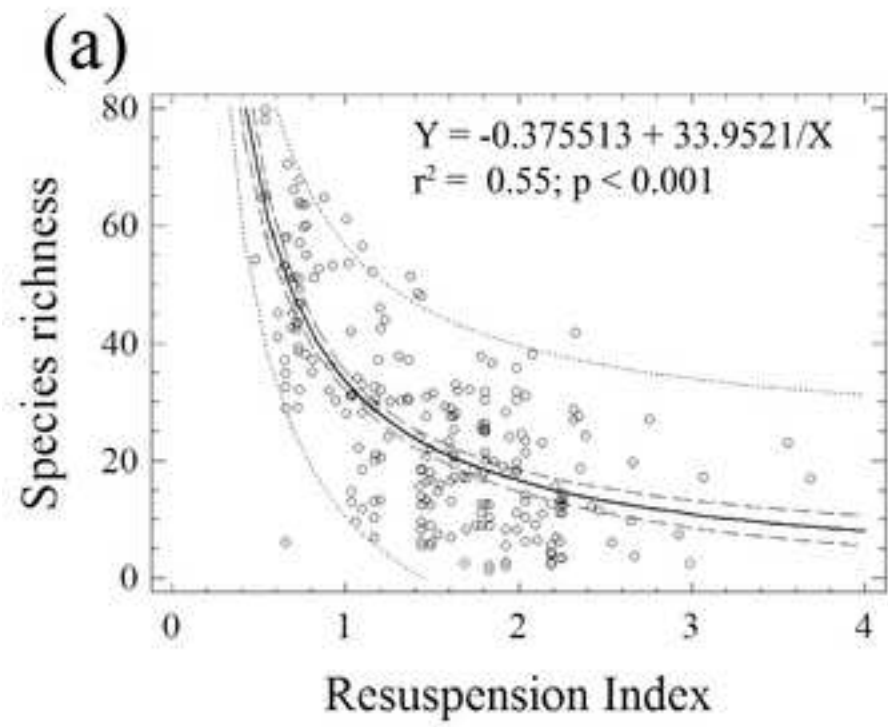


Figure6
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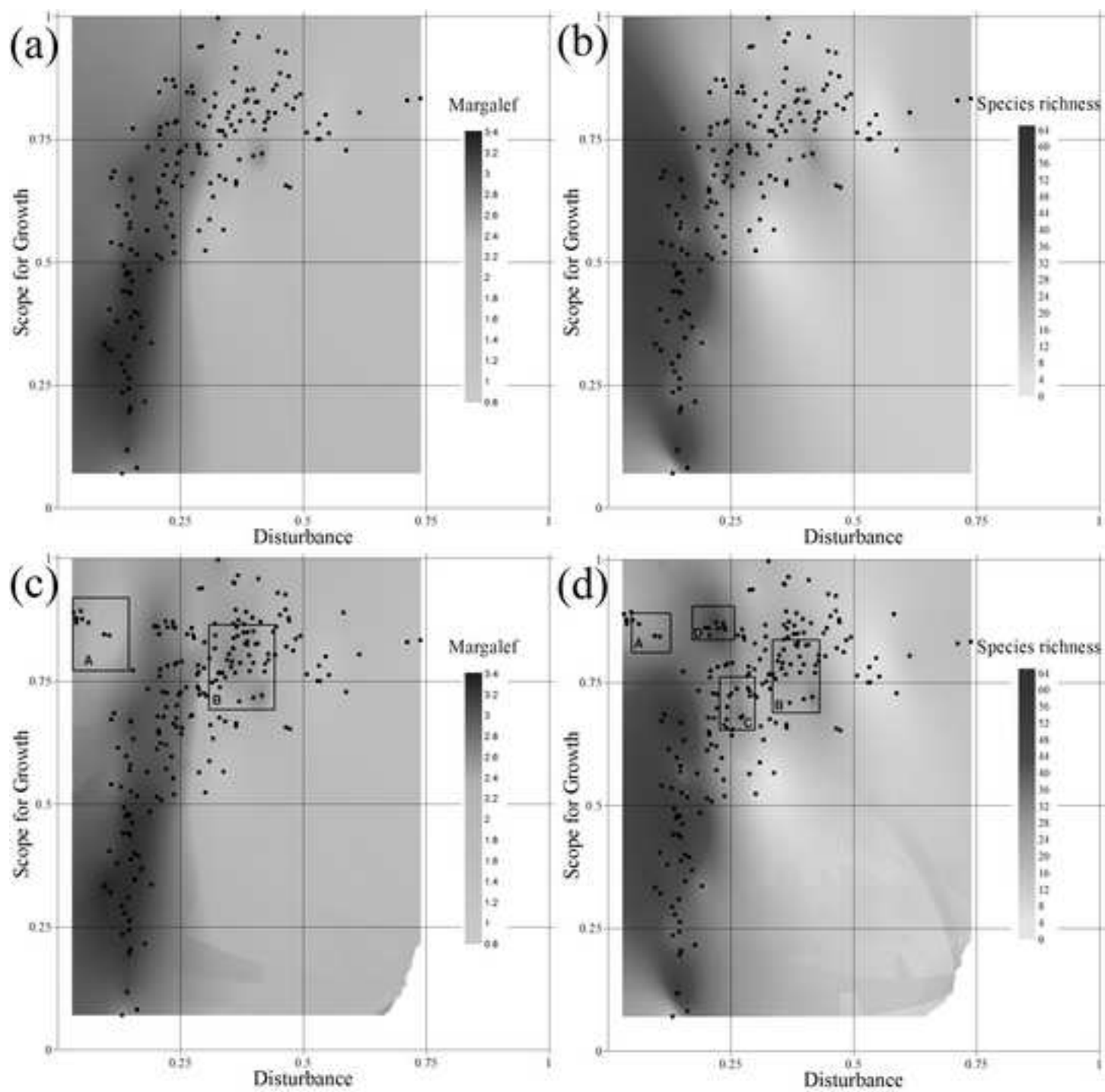


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