

1 This article was published as: Goymann W, Landys MM, Wingfield JC (2007)  
2 Distinguishing seasonal androgen responses from male-male androgen responsiveness –  
3 revisiting the Challenge Hypothesis. Hormones and Behavior 51, 463-476. DOI:  
4 [10.1016/j.yhbeh.2007.01.007](https://doi.org/10.1016/j.yhbeh.2007.01.007)  
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6 **Distinguishing seasonal androgen responses from male-male androgen**  
7 **responsiveness – revisiting the Challenge Hypothesis**  
8

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30

31 **Abstract**

32 Androgen levels show strong patterns throughout the year in male vertebrates and play an  
33 important role in the seasonal modulation of the frequency, intensity, and persistence of  
34 aggression. The Challenge Hypothesis (Wingfield et al. 1990) predicts that seasonal  
35 patterns in androgen levels vary as a function of mating system, male-male aggression  
36 and paternal care. Although many studies have addressed these predictions, investigators  
37 have often assumed that the ratio of the breeding season maximum and breeding  
38 baseline concentrations (termed “androgen responsiveness”) reflects hormonal responses  
39 due to social stimulation. However, increasing evidence suggests that seasonal androgen  
40 elevations are not necessarily caused by social interactions between males. Here, we  
41 separate the seasonal androgen response ( $R_{\text{seasonal}}$ ) and the androgen responsiveness to  
42 male-male competition ( $R_{\text{male-male}}$ ) to begin to distinguish between different kinds of  
43 hormonal responses. We demonstrate that  $R_{\text{seasonal}}$  and  $R_{\text{male-male}}$  are fundamentally  
44 different and should be treated as separate variables. Differences are particularly evident  
45 in single-brooded male birds that show no increase in plasma androgen levels during  
46 simulated territorial intrusions (STIs), even though  $R_{\text{seasonal}}$  is elevated. In multiple-brooded  
47 species, STIs typically elicit a rise in androgens. We relate these findings to the natural  
48 history of single - and multiple-brooded species and suggest a research approach that  
49 could be utilized to increase our understanding of the factors that determine different types  
50 of androgen responses. This approach does not only include  $R_{\text{seasonal}}$  and  $R_{\text{male-male}}$ , but  
51 also the androgen responsiveness to receptive females ( $R_{\text{male-female}}$ ) and to non-social  
52 environmental cues ( $R_{\text{environmental}}$ ), as well as the physiological capacity to produce and  
53 secrete androgens ( $R_{\text{potential}}$ ). Through such studies, we can begin to better understand  
54 how social and environmental factors may lead to differences in androgen responses.

55

56 Key words: aggression, androgen responsiveness, birds, Challenge Hypothesis,  
57 corticosterone, estradiol, resident-intruder paradigm, simulated territorial intrusion,  
58 testosterone

59

60 **Introduction**

61 Androgens such as testosterone are intimately associated with reproduction and affect a  
62 variety of morphological, physiological and behavioral traits. Therefore, they have been  
63 the focus of many integrative studies on vertebrate physiology, life-history, ecology and

64 evolution (e.g. Marler et al., 1988; Ketterson and Nolan, 1992; Ketterson et al., 1999;  
65 Sinervo et al., 2000; Ricklefs and Wikelski, 2002). For example, androgens induce muscle  
66 hypertrophy and are responsible for the development of accessory reproductive organs  
67 and some secondary sex characters. They regulate spermatogenesis and provide a  
68 negative feedback signal for gonadotropin and GnRH secretion. Furthermore, androgens  
69 affect sexual and aggressive behaviors, at least in reproductive contexts (reviewed in  
70 Wingfield et al., 2001).

71         Wingfield (1984b) proposed that androgen levels above breeding baseline function  
72 to increase the frequency and intensity of aggression in males, especially when  
73 hierarchies are being established or when dominance relationships are challenged.  
74 Although it is now clear that androgens can strongly influence the behavior of males,  
75 behavioral and social cues can also feed back to the brain to trigger the release of  
76 androgens and other hormones. Studies have demonstrated rapid effects of social  
77 interactions on plasma concentrations of androgens in a wide array of vertebrate taxa,  
78 such as fish, amphibians, reptiles, birds, and mammals including humans (for recent  
79 reviews see Oliveira, 2004; Archer, 2006; Hirschenhauser and Oliveira, 2006). For  
80 example, in association with experimentally-induced social challenge, plasma androgen  
81 levels of males have been found to increase to adjust the readiness and intensity of  
82 agonistic and sexual display behavior (e.g. Wingfield and Wada, 1989; Gwinner et al.,  
83 2002; Hirschenhauser et al., 2004; for a review on all vertebrate taxa see Hirschenhauser  
84 and Oliveira, 2006). In line with these data, seasonally breeding birds with a high degree  
85 of male-male competition show a correlation between high plasma androgens and periods  
86 of social instability and/or when females are receptive (Fig. 1). In contrast, high  
87 concentrations of circulating androgens are virtually absent in species that do not compete  
88 for territories or mates, such as in Western gulls (*Larus occidentalis*; Fig. 1) or in seabirds  
89 with long-term pairbonds (e.g. Lormée et al. 2000; Goymann et al. 2004). Due to such  
90 observations it was presumed that elevations in circulating androgens above the breeding  
91 baseline (Level B, for a definition see below) were for the most part associated with  
92 temporal variations in aggressive and sexual behavior, rather than with basal reproductive  
93 physiology. This prediction led to the formulation of the Challenge Hypothesis (Wingfield  
94 et al., 1990), which states that circulating levels of androgens correlate with aggression  
95 only during periods of social instability, and that male-male interactions over social status  
96 and access to females, as well as the presence of fertile females, tend to increase plasma  
97 androgens.

98 Wingfield et al. (1990) postulated three levels at which testosterone or other  
99 androgens may be present in the circulation (see Fig. 2): (1) A constitutive homeostatic  
100 'Level A' which represents the basal secretory activity of Leydig cells during the non-  
101 breeding season. This level is presumed to maintain feedback regulation of both GnRH  
102 and gonadotropin release. (2) A regulated (periodic) breeding season baseline 'Level B',  
103 which represents constitutive secretory activity stimulated by environmental cues, e.g. day  
104 length. Level B is sufficient for spermatogenesis, the development of some secondary  
105 sexual characters and accessory organs, and the expression of reproductive behaviors.  
106 And, finally, (3) a maximum 'Level C' that is achieved through social stimulation from  
107 competing males or via interactions with receptive females. Theoretically, Level C  
108 represents the physiological maximum, but because it is obtained from seasonal profiles it  
109 may not represent the absolute maximum to which plasma androgens may increase. The  
110 increase in androgens to Level C can be short or long in duration, and small or great in  
111 magnitude. In contrast to the increase from Level A to Level B, which periodically occurs  
112 at the onset of the breeding season, the increase from Level B to Level C is considered  
113 facultative, i.e. is triggered mostly by social stimulation (Wingfield et al., 1990, 2000).

114 Another important cornerstone for the Challenge Hypothesis is based on the  
115 observation that high levels of testosterone (at Level C) often interfere with male parental  
116 care in birds (e.g. Silverin, 1980; Hegner and Wingfield, 1987; Ketterson et al., 1992;  
117 Beletsky et al., 1995; Saino and Moeller, 1995; Schoech et al., 1998; Moreno et al., 1999;  
118 De Ridder et al., 2000; Stoehr and Hill, 2000). Therefore, Wingfield et al. (1990)  
119 hypothesized that temporal patterns of plasma androgens are the result of a trade-off  
120 between the degree to which male parental care is necessary for reproductive success as  
121 compared to the necessity to express aggressive behavior (Fig. 1). In sum, socially  
122 monogamous species with a high degree of male parental care are predicted to show an  
123 increase in androgens to Level C only during periods of territory establishment, during  
124 male-male challenges, or when females are fertile, so that paternal care is not  
125 compromised. In contrast, androgen levels in polygynous species with little or no paternal  
126 care should be close to the breeding season maximum Level C throughout breeding due  
127 to intense and continued interactions between males and the regular availability of  
128 receptive females. However, polygynous males that do contribute to duties at the nest  
129 should show an androgen pattern between those two extremes. To test these predictions  
130 Wingfield et al. (1990, 2000) conducted an interspecific comparison of seasonal androgen  
131 patterns in birds. Wingfield et al. (1990) also introduced the term "androgen

132 responsiveness”, or “R”, which they defined as “a hormonal responsiveness to social  
133 interactions”. Further, they established a measure for R taking into consideration the  
134 interspecific variation in baseline and maximum testosterone levels, i.e.  $R = (\text{Level C} -$   
135  $\text{Level A}) / (\text{Level B} - \text{Level A})$ . Because Level A is usually (but not always) non-  
136 detectable, this equation can typically be simplified to  $R = \text{Level C} / \text{Level B}$ . These  
137 interspecific comparisons confirmed the existence of a relationship between mating  
138 system, importance of male parental care, and degree to which androgen levels respond  
139 to competing males and fertile females. A more recent analysis by Hirschenhauser et al.  
140 (2003) controlled for phylogenetic relationships and obtained similar results, with the  
141 exception that the effect of paternal care was not observed. Indeed, recent studies have  
142 shown that testosterone does not always suppress male parental care (Van Duyse et al.,  
143 2000; Lynn et al., 2002; Lynn et al., 2005), leading to the formulation of the essential male  
144 parental care hypothesis. According to this hypothesis, males should become insensitive  
145 to testosterone when male parental care is absolutely essential for the success of a nest  
146 (Lynn et al., 2002, 2005).

147 To date, most studies have calculated androgen responsiveness using seasonal  
148 androgen profiles, although Hirschenhauser et al. (2003) have additionally incorporated  
149 androgen levels following experimentally-induced male-male interactions. As already  
150 mentioned, Wingfield et al. (1990) defined androgen responsiveness as “a hormonal  
151 responsiveness to social interactions”. Hirschenhauser et al. (2003) called it “an androgen  
152 responsiveness to social challenges”. However, because androgen responsiveness in  
153 these publications is largely based on seasonal androgen profiles a more accurate term  
154 would be “seasonal androgen response” ( $R_{\text{seasonal}}$ , a term we use for the remainder of this  
155 paper, see Table 1). We propose this term not only because it is formally more accurate,  
156 but also because we suggest that the seasonal androgen response ( $R_{\text{seasonal}}$ ) should be  
157 differentiated from immediate androgen responsiveness to social instability, e.g. the short-  
158 term change in circulating androgens experienced by territorial males that are challenged  
159 with a conspecific intruder, such as during a simulated territorial intrusion (STI). Immediate  
160 androgen responsiveness can be measured by taking the ratio between androgen levels  
161 in challenged males and unchallenged controls. We refer to this type as male-male  
162 androgen responsiveness ( $R_{\text{male-male}}$ ; Table 1).

163 The necessity for a clear distinction between  $R_{\text{seasonal}}$  and  $R_{\text{male-male}}$  is exemplified by  
164 recent findings in blue tits. Male blue tits (*Cyanistes caeruleus*) show a pronounced  
165 seasonal increase in circulating testosterone during the breeding season: from an

166 undetectable Level A during non-breeding to a detectable Level B of 0.6 ng/ml during the  
167 parental phase and, finally, to a maximum Level C of 5.4 ng/ml during egg-laying. This  
168 change in androgen concentrations results in a high calculated value for  $R_{\text{seasonal}}$  (Level  
169 C/Level B =  $5.4/0.6 = 9$ ). However, when males of this species are challenged with direct  
170 male-male competition trials (STIs), testosterone concentrations decline. Thus, the  
171 seasonal androgen response ( $R_{\text{seasonal}}$ ) and responsiveness to male-male interactions  
172 ( $R_{\text{male-male}}$ ) do not necessarily correspond in the blue tit (Landys et al., in press) and may  
173 also show no correlation in other species, such as the great tit (*Parus major*, Van Duyse et  
174 al., 2004).

175         These findings prompted us to re-examine some of the interspecific predictions of  
176 the Challenge Hypothesis. Our aim is to show (1) that  $R_{\text{seasonal}}$  and  $R_{\text{male-male}}$  represent  
177 different measures of androgen responses, (2) that these measures may not necessarily  
178 be additive, and (3) that differences between  $R_{\text{seasonal}}$  and  $R_{\text{male-male}}$  may be related to  
179 differences in the flexibility in the timing of life-cycle stages. To this end, we compared the  
180 seasonal androgen response ( $R_{\text{seasonal}}$ ) with androgen responsiveness to male-male  
181 interactions ( $R_{\text{male-male}}$ ) in birds for which data are currently available and related these  
182 measures to the natural history. Although androgens also play a role in female behavior  
183 (e.g. Staub and deBeer, 1997; Ketterson et al. 2005; Wingfield et al., 2006) our analyses  
184 were restricted to males. The results lead us to propose that at least three additional  
185 measures of androgen responsiveness should be considered in future investigations, i.e.  
186 the androgen responsiveness of males to receptive females  $R_{\text{male-female}}$ , the androgen  
187 responsiveness to non-social environmental cues  $R_{\text{environmental}}$ , and the physiological  
188 potential to release androgens into the circulation  $R_{\text{potential}}$ , which can be measured via  
189 injections of GnRH (Table 1).

190

## 191 **Methods**

192 We compiled data from all breeding birds that have been examined to our knowledge for  
193 changes in plasma testosterone – the principal androgen in birds – during male-male  
194 territorial interactions. From these data, we determined the androgen responsiveness to  
195 male-male interactions ( $R_{\text{male-male}}$ ) for each species by calculating the ratio between  
196 plasma testosterone of birds caught after an STI trial and testosterone levels of  
197 unstimulated control birds. For great tits, blue tits, Gambel's white-crowned sparrows,  
198 rufous-collared sparrows, rufous whistlers and pied flycatchers, data for  $R_{\text{male-male}}$  were  
199 available for more than one sub-stage of breeding (territory establishment and parental

200 phases). Sample size was too limited to conduct separate analyses for all sub-stages.  
201 However, with the exception of the pied flycatcher, the directionality of responses were  
202 similar for different sub-stages in all species. Thus, we calculated the mean  $R_{\text{male-male}}$   
203 across sub-stages. For each species included in our comparison of  $R_{\text{male-male}}$  we also  
204 collected information on the seasonal androgen response ( $R_{\text{seasonal}}$ , defined as the ratio  
205 between the highest mean testosterone level observed in the breeding season profile  
206 Level C, and the breeding baseline Level B). It is important to note that a Level C  
207 estimated from breeding season profiles does not necessarily represent the maximum  
208 level to which testosterone may increase, as levels generated during male-male  
209 interactions may reside above the respective breeding season profile. Nevertheless, we  
210 found that  $R_{\text{seasonal}}$  was generally larger than  $R_{\text{male-male}}$ , as the latter is a ratio usually based  
211 on androgen levels located between Levels B and C. For this reason and because the  
212 comparison of ratios in statistical tests is problematic (Atchley et al. 1976; Packard and  
213 Boardman, 1988), we decided to employ a meta-analytical approach with standardized  
214 effect sizes (or standardized effect differences) instead of comparing the absolute  
215 magnitude between the two androgen responsiveness measures. Standardized effect  
216 sizes (or Cohen's  $d$ , defined as the difference between two means divided by the pooled  
217 standard deviation for those means; Cohen, 1988) represent a measure of the magnitude  
218 of the difference between Level B and C, or between control and STI-challenged birds,  
219 respectively, on a standardized scale. Thus, they allow a direct comparison of the  
220 magnitude of a response independent of absolute differences in the respective  
221 measurements. Standardized effect sizes for  $R_{\text{seasonal}}$  and  $R_{\text{male-male}}$  and their 95%  
222 confidence intervals were calculated using the program ESCIdelta (Cumming and Finch,  
223 2001; for recent reviews on the usefulness of effect sizes see (Cohen, 1990; Cumming  
224 and Finch, 2001; Thompson, 2002; Nakagawa and Foster, 2004).

225 As per the original Challenge Hypothesis (Wingfield et al., 1990), we ranked males  
226 on a scale from 1 to 3 according to their degree of male-male aggression (1 = low  
227 aggression: males may show some aggression at the beginning of the breeding season,  
228 but only for a brief period; 2 = moderate aggression: males interact aggressively for a  
229 large part of the breeding season, but the frequency of aggression abates once the  
230 parental phase starts; 3 = high aggression: males interact aggressively throughout the  
231 entire breeding season). Males were also ranked for their contribution to parental duties  
232 (1 = low parental care: males function as an escort or in predator-defense, or may provide  
233 no care at all; 2 = high parental care: males provide direct parental care by actively

234 incubating and/or feeding young at rates equal to or even greater than those of their  
235 mates). Ranks for both traits were utilized to generate an index of male-male  
236 aggressiveness over male parental care according to the ratio between those two traits,  
237 as in the original paper by Wingfield et al. (1990). We are aware that there are statistically  
238 more sophisticated methods to look at effects of male-male aggression and male  
239 investment in parental care (for example see Hirschenhauser et al., 2003) and that the  
240 use of ratios is problematic (e.g. Atchley et al. 1976; Packard and Boardman, 1988).  
241 However, we used the aggressiveness/parental care ratio as originally presented in  
242 Wingfield et al. (1990), so that we could compare androgen responsiveness patterns in  
243 our data (derived from  $R_{\text{male-male}}$ ) to those of the original data set (based on  $R_{\text{seasonal}}$ ).  
244 However, we should point out that we use this ratio mainly for illustrative purposes, as  
245 most of the analyses presented do not include and are independent of this ratio.

246 All statistical comparisons were conducted with Systat 11 (Systat Software, Erkrath,  
247 Germany). Tests were two-tailed and the significance level was set to  $\alpha=0.05$ . Due to  
248 limited sample size, phylogenetic corrections were not possible. However, the main  
249 purpose of this contribution is conceptual rather than analytical, which in our view justifies  
250 such an approach.

251

252

## 253 **Results**

254 Males with a low aggression/parental care ratio (i.e. males that show little aggression but  
255 provide parental care) also expressed a large  $R_{\text{seasonal}}$ , whereas males with a high  
256 aggression/parental care ratio showed a low  $R_{\text{seasonal}}$ . Although we made sure to include  
257 data on  $R_{\text{seasonal}}$  (such as Wingfield et al., 1990; Wingfield et al., 2000), our results are in  
258 accordance with a larger interspecific comparisons of androgen responsiveness that  
259 bases androgen responsiveness not only on  $R_{\text{seasonal}}$  but also to some extent on  $R_{\text{male-male}}$   
260 (Hirschenhauser et al., 2003; Fig. 3a).

261 When we replaced  $R_{\text{seasonal}}$  with  $R_{\text{male-male}}$  the relationship between androgen  
262 responsiveness and the aggression/parental care ratio disappeared (Fig. 3b), suggesting  
263 that  $R_{\text{seasonal}}$  and  $R_{\text{male-male}}$  relate to aggressiveness and male parental care in different  
264 ways. Interestingly, single-brooded species (i.e. species that raise only one brood per  
265 breeding season) showed the highest  $R_{\text{seasonal}}$  but the lowest  $R_{\text{male-male}}$ , whereas multiple-  
266 brooded species showed the reverse. In a first analytical step, we directly tested for a  
267 relationship between  $R_{\text{seasonal}}$  and  $R_{\text{male-male}}$ . Overall, the two variables showed no



268 significant correlation (Spearman's  $\rho=0.159$ ,  $p<0.3$ ). However, when we considered  
269 single- and multiple-brooded species separately, there was a significant positive  
270 correlation between  $R_{\text{seasonal}}$  and  $R_{\text{male-male}}$  in single-brooded birds (Pearson's  $r=0.836$ ,  
271  $p=0.02$ ), but not in multiple-brooded birds (Pearson's  $r=0.512$ ,  $p=0.2$ ; Fig. 4).

272 To further evaluate and compare differences between  $R_{\text{seasonal}}$  and  $R_{\text{male-male}}$ , we  
273 calculated the standardized effect sizes for each of these measures ( $dR_{\text{seasonal}}$  and  $dR_{\text{male-}}$   
274  $\text{male}$ , respectively). Standardized effect sizes allowed us to directly compare  $R_{\text{seasonal}}$  and  
275  $R_{\text{male-male}}$  in standardized units independent of differences in the absolute magnitude of the  
276 measures. We compared standardized effect sizes using a mixed model with  $dR_{\text{seasonal}}$   
277 and  $dR_{\text{male-male}}$  as the wrapped dependent variable. To identify the two different kinds of  
278 androgen response measures, the new wrapped dependent variable was labelled  $R_{\text{seasonal}}$   
279 or  $R_{\text{male-male}}$  and the label was included as a fixed factor. "Number of broods" (single- or  
280 multiple-brooded) represented a second fixed factor, and "species" was included as a  
281 random factor (because most species contributed two measurements, one for  $dR_{\text{seasonal}}$   
282 and one for  $dR_{\text{male-male}}$ ). The random factor "species" did not explain a significant  
283 proportion of the variance ( $Z = 0.703$ ,  $p=0.24$ ). However,  $dR_{\text{seasonal}}$  was significantly larger  
284 than  $dR_{\text{male-male}}$  ( $Z = 8.455$ ,  $p < 0.0001$ ; Fig. 5). The number of broods raised per breeding  
285 season did not have an overall effect ( $Z=0.234$ ,  $p = 0.82$ ), but the interaction between  
286 brood number and type of androgen response ( $R_{\text{seasonal}}$  or  $R_{\text{male-male}}$ ) was significant  
287 ( $Z = 3.017$ ,  $p = 0.003$ ): the difference between  $dR_{\text{seasonal}}$  and  $dR_{\text{male-male}}$  was larger in  
288 single-brooded than in multiple-brooded species (Fig. 5), indicating that  $R_{\text{male-male}}$  explains  
289 a relatively larger proportion of  $R_{\text{seasonal}}$  in multiple-brooded birds. The fact that the  $dR_{\text{male-}}$   
290  $\text{male}$  of multiple brooded species was positive and the 95% confidence interval did not cross  
291 zero suggests that multiple-brooded species respond to male-male interactions with a  
292 significant increase in circulating androgens (Fig. 5). In contrast, the  $dR_{\text{male-male}}$  of single-  
293 brooded species was close to zero, suggesting that male-male interactions do not lead to  
294 significant changes in androgen concentrations in single-brooded males.

295 The effect sizes  $dR_{\text{seasonal}}$  and  $dR_{\text{male-male}}$  were not correlated (Pearson's  $r^2 = -0.02$ ,  
296  $p = 0.93$ , Fig. 6), a result that did not change when analyzing single- and multiple-brooded  
297 species separately (single-brooded:  $r^2 = 0.03$ ,  $p = 0.95$ ; multiple-brooded:  $r^2 = 0.37$ ,  
298  $p = 0.37$ ; Fig. 6). This suggests that the magnitude of the seasonal change in androgen  
299 concentrations does not reflect the magnitude of the change in androgen concentrations  
300 during male-male encounters.

301

## 302 **Discussion**

303 Results from the original Challenge Hypothesis and subsequent comparative studies  
304 suggested that patterns of androgen secretion during the breeding season are related to  
305 mating system, degree of male-male aggression, and – with limitations – to patterns of  
306 male parental care (Wingfield et al., 1990, 2000; Hirschenhauser et al., 2003; Garamszegi  
307 et al., 2005). Although most of the androgen data used in these studies represent what we  
308 now call the seasonal androgen response ( $R_{\text{seasonal}}$ ), some of these studies also included  
309 data based on immediate androgen responsiveness to staged male-male interactions  
310 ( $R_{\text{male-male}}$ ), with the assumption that  $R_{\text{seasonal}}$  is basically a reflection of  $R_{\text{male-male}}$  or vice  
311 versa (see also Fig. 1). Our distinction between these two measures has shown that the  
312 relationship between  $R_{\text{seasonal}}$  and  $R_{\text{male-male}}$  is more complex than previously assumed.  
313  $R_{\text{seasonal}}$  may even be unrelated to  $R_{\text{male-male}}$ . For example, unlike  $R_{\text{seasonal}}$ ,  $R_{\text{male-male}}$  can  
314 display a value of less than 1, i.e. androgen levels may actually decline during male-male  
315 interactions (e.g. in blue tits and great tits). Only when single- and multiple-brooded  
316 species were considered separately did we find a positive correlation between these two  
317 androgen response measures – albeit with a shallow slope and only in single-brooded  
318 species (Fig. 4). A larger sample may reveal that the relationship between  $R_{\text{seasonal}}$  and  
319  $R_{\text{male-male}}$  may be significant also in multiple-brooded species. If so, Fig. 4 predicts that the  
320 slopes of the relationships will be vastly different in single- and multiple-brooded bird  
321 species. In any case, our results suggest that the relationship between  $R_{\text{seasonal}}$  and  $R_{\text{male-}}$   
322  $\text{male}$  in single- and multiple-brooded species may be based on completely different factors  
323 (see discussion below).

324

## 325 **Additivity of androgen responsivenesses**

326 Ideally,  $R_{\text{seasonal}}$  should represent the maximum to which androgen levels of a species  
327 increase during the breeding season. However,  $R_{\text{seasonal}}$  does not always equal the  
328 physiological maximum of testosterone secretion, as can be elicited using a GnRH  
329 challenge (for a detailed discussion of this topic see the section ‘Future directions’ below).  
330 According to the 3-level (A, B, C) model of androgen secretion (Wingfield et al., 1990; see  
331 introduction), the seasonal androgen response consists of androgen responsiveness to  
332 male-male interactions, as well as androgen responsiveness to other environmental or  
333 social cues. Thus, the effect size of the seasonal androgen response  $dR_{\text{seasonal}}$  should be  
334 the sum of the effect sizes of male-male androgen responsiveness  $dR_{\text{male-male}}$  and possible  
335 androgen responsiveness to other cues, such as to the presence of receptive females,

336 which we refer to as  $dR_{\text{male-female}}$ . However, to date, most tests of the Challenge  
337 Hypothesis have focussed only on male interactions, sometimes without distinguishing  
338 between  $R_{\text{seasonal}}$  and  $R_{\text{male-male}}$ . Male-female interactions have received much less  
339 attention in studies of free-ranging bird species, even though receptive females or  
340 additional reproductive cues have been demonstrated to strongly impact on androgen  
341 secretion patterns in males. For example, Feder et al. (1977) and O'Connell et al. (1981)  
342 found that when male ring doves (*Streptopelia risoria*) were exposed to females, plasma  
343 levels of testosterone increased. Moore (1983) showed that male Gambel's white-crowned  
344 sparrows (*Zonotrichia leucophrys gambelli*) paired with estradiol-implanted females  
345 expressed androgen concentrations about two times higher than those of males paired  
346 with untreated females (see Table 3), and Johnsen (1998) found that during the late  
347 breeding season, free-ranging male red-winged blackbirds maintained higher levels of  
348 testosterone when receptive females were present. In accordance with these data, males  
349 of many bird species show a seasonal peak in plasma androgens during the period of  
350 nest-building and egg-laying, when females are most fertile (for a recent example see  
351 Goymann et al., 2006). This effect of females is not specific to birds. There is ample  
352 evidence that females have an impact on the secretion of gonadotrophins and androgens  
353 also in fish, amphibians, reptiles, and mammals, including humans (e.g. Bronson and  
354 Desjardins 1982, James and Nyby, 2002; Goymann et al. 2003; Stacey, 2003; Archer,  
355 2006; Dloniak et al. 2006; reviews in Wingfield et al., 1994). Further, Gwinner et al. (2002)  
356 demonstrated that non-social reproductive cues may also trigger a full androgen  
357 response: male European starlings show similar androgen elevations when presented with  
358 a combination of a male competitor and a nestbox, a female and a nestbox, or a nestbox  
359 alone. Androgen responsiveness to such non-social environmental cues could be termed  
360  $R_{\text{environmental}}$ . Furthermore, male starlings housed in groups without nestboxes had lower  
361 levels of luteinizing hormones and testosterone during the breeding season than males of  
362 groups housed with nestboxes (Gwinner et al. 1987).

363 Presently, we do not know whether  $R_{\text{seasonal}}$  represents a composite of androgen  
364 responses to various reproductive cues. If so, the effect sizes of the different types of  
365 androgen responsiveness measures should be additive, i.e.  $dR_{\text{seasonal}} = dR_{\text{male-male}}$   
366  $+ dR_{\text{male-female}} + dR_{\text{environmental}}$ . To our knowledge, the Gambel's white-crowned sparrow and  
367 the red-winged blackbird are the only species for which such information is available at  
368 present. In Gambel's white-crowned sparrows the effect sizes  $dR_{\text{male-male}}$  and  $dR_{\text{male-female}}$   
369 almost add up to  $dR_{\text{seasonal}}$  (Table 3). This is not the case for red-winged blackbirds:

370  $dR_{\text{male-male}}$  is slightly negative and  $dR_{\text{male-female}}$  does not compensate for this negative effect  
371 (Table 3). However, because  $dR_{\text{male-female}}$  is similar in magnitude as  $dR_{\text{seasonal}}$ ,  $R_{\text{male-female}}$   
372 alone may be responsible for the expression of a full  $R_{\text{seasonal}}$  in male red-winged  
373 blackbirds, but only at times when they are not challenged by other males. Thus, at least  
374 in some species, the different kinds of androgen responsiveness may be non-additive, i.e.  
375 their effect sizes may not sum up ( $dR_{\text{seasonal}} \neq dR_{\text{male-male}} + dR_{\text{male-female}} + dR_{\text{environmental}}$ ). If  
376 the latter is true, this leaves us with two possibilities: Either is  $R_{\text{seasonal}}$  not a direct function  
377 of the hormonal responsiveness to social interactions ( $R_{\text{male-male}}$  and  $R_{\text{male-female}}$ ) and non-  
378 social environmental cues ( $R_{\text{environmental}}$ ). More likely, the expression of a full  $R_{\text{seasonal}}$  in  
379 some species may be a function of mainly one androgen responsiveness measure ( $R_{\text{male-}}$   
380  $\text{male}$ ,  $R_{\text{male-female}}$ ,  $R_{\text{environmental}}$ ), and its full expression may require the absence of the other  
381 factors. This latter idea is supported by evidence from red-winged blackbirds (described  
382 above). The androgen decrease in blue tits and great tits subjected to male-male  
383 interactions (Van Duyse et al., 2004; Landys et al., in press) also hints at the existence of  
384 such non-additive effects. In fact, Fig. 5 suggests that  $R_{\text{male-male}}$  contributes little, if  
385 anything to  $R_{\text{seasonal}}$  in single-brooded species. Thus, it is likely that  $R_{\text{male-female}}$  or  
386  $R_{\text{environmental}}$  are responsible for most of  $R_{\text{seasonal}}$  in these species (as already proposed for  
387 parids; Landys et al. in press). The potential consequences of this for seasonal androgen  
388 concentrations in cavity nesting species, such as blue tits and great tits, are especially  
389 interesting. If androgen patterns are driven mainly by  $R_{\text{male-male}}$ , high density populations  
390 that breed in nest-boxes (in which males frequently encounter each other) should have a  
391 lower  $R_{\text{seasonal}}$  than natural low-density populations. However, if male tits strongly respond  
392 to females ( $R_{\text{male-female}}$ ) or to the nest-boxes themselves ( $R_{\text{environmental}}$ ),  $R_{\text{seasonal}}$  should be  
393 higher in the artificially-created nest-box populations.

394 Typically, androgen concentrations of free-living male birds are higher than those of  
395 captive conspecifics. It has been suggested that low androgen levels of captive males are  
396 the result of restricted access to the full repertoire of environmental stimuli usually  
397 experienced during breeding, e.g. the ability to interact with other males or with receptive  
398 females (Wingfield et al., 1990). However, at the Max Planck Institute in Andechs, an  
399 interesting exception to this rule was recently found: although androgen concentrations  
400 are indeed higher in free-living as compared to captive multiple-brooded European  
401 stonechats (*Saxicola torquata*), the opposite is observed in single-brooded stonechats  
402 from Siberia (*Saxicola maura*): captive male Siberian stonechats held singly in cages  
403 without visual access to other males or females have significantly higher levels of

404 testosterone than free-living males. In free-living Siberian stonechats, testosterone levels  
405 are often undetectable, even during the territory establishment phase or when females are  
406 most fertile (M. Raess and E. Gwinner, unpublished data). In combination with the  
407 documented  $R_{\text{male-male}}$  of blue tits and great tits, the Siberian stonechat data suggest that  
408 the release of androgens may sometimes be suppressed rather than increased by social  
409 interactions – a pattern that may be more common in single-brooded than in multiple-  
410 brooded birds. Thus, in such species, the expression of a full seasonal androgen  
411 response may require the absence, rather than the presence, of at least some social  
412 stimuli.

413

#### 414 **Number of broods and $R_{\text{male-male}}$**

415 Our analysis suggests that there is a relationship between  $R_{\text{male-male}}$  and the number of  
416 broods raised per breeding season (or another variable closely related to brood number,  
417 see also Landys et al., in press): regardless of reproductive stage, the effect size of  $R_{\text{male-}}$   
418  $\text{male}$  ( $dR_{\text{male-male}}$ ) was smaller for single-brooded species than for multiple-brooded birds.  
419 Our meta-analysis showed that males of multiple-brooded species generally respond to  
420 male-male interactions with a significant increase in circulating androgens. In contrast,  
421 male-male interactions in single-brooded species do not lead to significant changes in  
422 androgen concentrations (see effect size measures for  $R_{\text{male-male}}$  in Fig. 5). Differences  
423 between multiple- and single-brooded species may arise as a consequence of differences  
424 in the flexibility of reproductive timing programs. The finite state machine theory describes  
425 an organism's life cycle as a series of life-history stages (e.g. non-breeding, spring  
426 migration, breeding, moult, fall migration), and, further predicts that flexibility in timing and  
427 the hormonal control of the transition between life-cycle stages may differ depending on  
428 their number and duration (Jacobs and Wingfield, 2000; Wingfield, 2005). Reproduction in  
429 single-brooded species is often characterized by a short breeding season (due to climatic  
430 conditions and/or dependence on specific food resources available only during limited  
431 periods) and a high breeding synchrony. Thus, the timing of breeding may be  
432 comparatively more constrained in single-brooded as compared to multiple-brooded birds  
433 and, hence, may be regulated by different mechanisms. In single-brooded species,  
434 androgens may only prime male-male aggression at the start of breeding, rather than  
435 maintain it throughout the season. For example, in blue tits, testosterone treatment does  
436 not increase male-male aggression or song, but may increase interactions with females  
437 (Foerster and Kempenaers, 2005; Kunc et al., 2006). The only two other single-brooded

438 species for which comparable data are available – the Gambel’s white-crowned sparrow  
439 and the great tit – also show a lack of behavioral sensitivity to external testosterone, with  
440 the exception that testosterone stimulates singing in great tits (Van Duyse et al., 2000;  
441 Meddle et al., 2002; Van Duyse et al., 2002). From a more ultimate perspective, a low  
442  $R_{\text{male-male}}$  in single-brooded species may represent a strategy to minimize any negative  
443 behavioral and/or physiological consequences of high androgen levels (Hillgarth and  
444 Wingfield, 1997; Wingfield et al., 2001).

445 Landys et al. (in press) found that in contrast to multiple-brooded birds, males of  
446 single-brooded species show an increase in circulating corticosterone when they are  
447 challenged with a territorial intruder. Hence, differences in hormonal responses during  
448 male-male interactions between single- and multiple-brooded species are not restricted to  
449 androgens. Changes in plasma corticosterone during male-male interactions may play a  
450 critical and perhaps even more important role than acute changes in androgens, e.g.,  
451 through energy provisioning, stimulation of activity, or promotion of aggression (Landys et  
452 al., in press).

453

#### 454 **$R_{\text{male-male}}$ and the essential paternal care hypothesis**

455 Although our dataset is currently too sparse for a meaningful statistical evaluation, our  
456 results regarding  $R_{\text{male-male}}$  in combination with the essential paternal care hypothesis  
457 (Lynn et al., 2005) prompts us to propose a modification of one of the predictions of the  
458 Challenge Hypothesis. The essential paternal care hypothesis predicts that insensitivity to  
459 androgens should “arise in any environment in which reduced assistance by males in  
460 response to high levels of androgens may lead to a significant reduction in reproductive  
461 success” (Lynn et al., 2005, p. 171). We predict that organisms that have evolved  
462 behavioral insensitivity to androgens during the parental phase should by default also  
463 avoid an increase in androgens during male-male challenge. Initial results indicate that  
464 this really may be the case (Lynn and Wingfield, 2005; see also Table 1 in Landys et al., in  
465 press). If so, then species with essential male parental care should keep androgen levels  
466 low during challenge (close to Level B), resulting in a low  $R_{\text{male-male}}$ . In species without any  
467 male parental care androgens should circulate at high concentrations (close to level C)  
468 throughout the breeding season, and, as a consequence also produce a low  $R_{\text{male-male}}$ . In  
469 fact, only species in which males contribute to parental care but are not essential for the  
470 success of a clutch should express a large  $R_{\text{male-male}}$ . This scenario predicts a bell-shaped  
471 curve for  $R_{\text{male-male}}$  during the parental phase depending on the presence and importance

472 of male parental care (Fig. 7). Additional data from more species are required to  
473 determine whether the differences of  $R_{\text{male-male}}$  of single- and multiple-brooded species are  
474 related to differences in the importance of male parental care. It should be recognized,  
475 however, that blue tits and great tits, and possibly other single-brooded species show a  
476 low  $R_{\text{male-male}}$  already before the initiation of the parental phase, suggesting that male  
477 parental care patterns cannot account for all differences in  $R_{\text{male-male}}$  between single- and  
478 multiple-brooded species.

479

#### 480 **Future directions**

481 To better understand and address how brood-number contributes to observed differences  
482 in the various androgen responsiveness measures ( $R_{\text{seasonal}}$ ,  $R_{\text{male-male}}$ ,  $R_{\text{male-female}}$  etc.),  
483 they should be evaluated in species comprised of both single and multiple-brooded  
484 populations. This would allow for testing of the 'broodedness' effect irrespective of  
485 phylogenetic constraints. Further, a larger data set would allow a refined evaluation of the  
486 potential impact of different mating systems and especially the importance of male  
487 parental care on  $R_{\text{male-male}}$ .

488 While little is known about  $R_{\text{male-male}}$ , even less is known about  $R_{\text{male-female}}$  or  
489 androgen responsiveness to non-social environmental cues ( $R_{\text{environmental}}$ ), such as  
490 ownership of a nestbox (as seen in starlings; Gwinner et al., 1987, 2002). To investigate to  
491 what degree  $R_{\text{seasonal}}$  is a combination of other androgen responsiveness measures,  
492 experiments that measure androgen responsivenesses to different kinds of stimuli – both  
493 environmental and social – are required. To this end, future investigations should always  
494 include (1) the determination of a seasonal androgen profile which allows an estimation of  
495  $R_{\text{seasonal}}$ , (2) a measure of  $R_{\text{male-male}}$  via use of STIs, and (3) an estimation of  $R_{\text{male-female}}$  via  
496 presentation of estradiol-primed females (Moore, 1983) or through use of more  
497 sophisticated methods. For example, Patricelli et al. (2002, 2006) have used robotic  
498 females to measure behavioral responses of males to copulation solicitations. Such  
499 robotic females may have the potential to become a standardized method to investigate  
500  $R_{\text{male-female}}$ .

501 A complete picture of androgen responsiveness in a species should also include a  
502 GnRH-challenge during breeding to establish the physiological capacity of an organism to  
503 produce androgens (see e.g. Wingfield et al., 1991; Moore et al., 2002; Goymann and  
504 Wingfield, 2004). Androgen levels obtained with GnRH-challenges may represent a more  
505 consistent estimate of Level C androgen concentrations than androgen concentrations

506 obtained from seasonal hormone profiles, especially when the seasonal profile is based  
507 on a small number of samples. As mentioned in the introduction, Wingfield et al. (1990)  
508 defined Level C as the physiological maximum that is achieved during social stimulation  
509 from competing males or from interactions with receptive females. In the current paper, we  
510 propose that a full androgen response in some species may require the absence of such  
511 social cues. Hence, in contrast to the original definition, Level C in such species could only  
512 be achieved through *absence* of social stimulation. Assuming that males of all bird species  
513 respond with a maximum release of androgens when injected with a sufficient dose of  
514 GnRH, one could use this technique to estimate the maximum potential of an organism to  
515 mount an androgen response ( $R_{\text{potential}}$ , i.e. the ratio between circulating androgens after  
516 stimulation with GnRH as compared to circulating androgens before such stimulation,  
517 ideally at Level B). Thus,  $R_{\text{potential}}$  would represent a standardized measure for the  
518 physiological capacity of an organism to produce a maximum androgen response. We  
519 have listed data for  $R_{\text{potential}}$  in Table 3. In the white-browed sparrow weaver and the  
520 rufous-collared sparrow  $R_{\text{potential}}$  and  $R_{\text{seasonal}}$  are rather similar. In others, such as the  
521 African black coucal, the Gambel's white-crowned sparrow and the dark-eyed junco,  
522  $R_{\text{seasonal}}$  is higher than  $R_{\text{potential}}$ , whereas in Pudget sound white-crowned sparrows and  
523 red-winged blackbirds  $R_{\text{potential}}$  is higher than  $R_{\text{seasonal}}$ . Part of the variance probably stems  
524 from the fact the androgen response to GnRH may vary between breeding sub-stages, as  
525 has been demonstrated for the dark-eyed junco (Jawor et al., 2006). In the black coucal,  
526 for example, the androgen response to GnRH was measured during the parental phase,  
527 but possibly GnRH injections may have elicited a stronger response during the mating  
528 phase (Goymann and Wingfield, 2004).

529 Throughout this paper we have referred to changes in circulating androgen  
530 concentrations as a means whereby behavioural or physiological effects may be  
531 produced. It should be pointed out, however, that there are many other levels at which  
532 hormone action can be regulated. Ultimately, it is the number and distribution of androgen  
533 and estrogen receptors that transduce plasma levels of androgens into behavioral or  
534 physiological effects. Even before a steroid binds to its receptor, other factors, such as  
535 binding globulins, receptor co-factors, and conversion enzymes may modulate the action  
536 of the hormone (for a recent review on the complex interaction of steroid hormones with  
537 such factors see Hau, 2007). Thus, there are many mechanistic layers at which the  
538 signalling value of a particular concentration of plasma hormones may be altered. These  
539 different layers are the basis for a biodiversity of mechanisms that organisms have



540 evolved to modify the actions of androgens or other hormones. This biodiversity of  
541 mechanisms will always limit our possibilities to generalize patterns in levels of circulating  
542 hormones.

543

544 In addition, we suggest that a larger incorporation of the ethological approach  
545 would greatly benefit the field of behavioral endocrinology. Throughout this paper we have  
546 referred to the androgen response of males toward STIs as male-male androgen  
547 responsiveness, or  $R_{\text{male-male}}$ . However, although STIs may be useful in establishing  $R_{\text{male-}}$   
548  $\text{male}$ , at least three issues may potentially complicate interspecific comparisons of this  
549 measure. First, different methodologies employed by different studies may to some extent  
550 confound interpretations (addressed in more detail in Landys et al., in press). For  
551 example, Wikelski et al. (1999) stimulated spotted antbirds with playback for two hours  
552 and found changes in plasma levels of testosterone only after this time. In contrast, other  
553 species (e.g. Astheimer et al., 2000; McDonald et al., 2001; Meddle et al., 2002) were  
554 exposed to simulated territorial intrusions lasting only 10 minutes and significant changes  
555 in circulating testosterone were not observed.

556 Second, Wingfield and Wada (1989) found a testosterone response in song  
557 sparrows only when both a live decoy and playback of conspecific song was presented. In  
558 contrast, free-living blue tits showed a strong albeit negative endocrine response to the  
559 presentation of a caged decoy alone (Landys et al., in press). Hence, not only may  
560 hormonal responses to STIs in and of themselves differ among species, but also the kind  
561 and duration of stimuli that are required to elicit hormonal responses may show  
562 interspecific variation.

563 Third, we assume that STIs effectively mimic intrusions from competing conspecific  
564 males. However, the different ways in which such social situations are perceived may  
565 modulate androgen responsiveness (reviewed in Oliveira, 2004). For example, the  
566 territorial cichlid fish *Oreochromis mossambicus* responds to a live intruder with an  
567 increase in circulating androgens (Hirschenhauser et al., 2004), but shows no change in  
568 androgen levels when presented with its mirror image, even though vigorous aggressive  
569 displays are expressed (Oliveira et al., 2005). Thus, at least in the case of this cichlid,  
570 androgen responsiveness depends on appropriate behavioral feedback from the  
571 opponent.

572 Our own experience with STIs suggests that also the behavior of caged intruder  
573 birds may influence the behavioral response of territory owners. For example, female

574 European robins typically respond less strongly to a caged female intruder that crouches  
575 in a corner as compared to a caged intruder sitting on a perch (W. Goymann, pers. obs.).  
576 Furthermore, in male European robins we found that the corticosterone levels of territory  
577 owners differed when exposed to STIs with a stuffed dummy as compared to STIs with a  
578 live decoy (M. Scriba and W. Goymann, unpublished data), although androgen  
579 concentrations did not differ. Hence, subtle differences in experimental setups may  
580 produce large consequences for hormonal responses.

581 Future investigations of  $R_{\text{male-male}}$  and  $R_{\text{male-female}}$  may benefit not only from the  
582 standardization of experimental protocols, but also from the incorporation of more realistic  
583 situations. For one, the behavior of live decoys is hard to control. Even though stuffed  
584 dummies may offer a methodological alternative to live decoys, as they can be made to  
585 pose in a standardized threatening manner, such dummies do not exhibit other behaviors.  
586 In an effort to better reproduce the suite of behaviors a live animal might express, Balsby  
587 and Dabelsteen (2002), Narins et al. (2003) and Patricelli et al. (2002, 2006) pioneered  
588 the use of robotic models for the behavioral research of wild vertebrates. Robotic male  
589 intruders could be programmed to “behave” either more submissively or more offensively.  
590 The hormonal response of the territory owner may change depending on such subtle  
591 cues. Furthermore, as mentioned above, a robotic female that mimics the copulation  
592 solicitation display of a species may provide an elegant way to measure  $R_{\text{male-female}}$ .

593 In summary, our study has shown that it is important to separate the seasonal  
594 androgen response ( $R_{\text{seasonal}}$ ) from the androgen responsiveness to male-male  
595 interactions ( $R_{\text{male-male}}$ ).  $R_{\text{male-male}}$  cannot be predicted from  $R_{\text{seasonal}}$ , and it is currently not  
596 possible to evaluate how other kinds of androgen responsiveness measures, such as  
597  $R_{\text{male-female}}$  or  $R_{\text{potential}}$ , relate to  $R_{\text{seasonal}}$ . To our knowledge, a distinction among different  
598 kinds of androgen responsiveness measures has not previously been made, but may be  
599 essential for a better understanding of hormone-behavior interactions. Furthermore, we  
600 have demonstrated that  $R_{\text{seasonal}}$  and  $R_{\text{male-male}}$  differ between single-brooded and multiple-  
601 brooded species, suggesting that the number of broods a pair can raise per breeding  
602 season (or a correlated variable) represents an important ecological factor that may shape  
603 differences in the evolution of hormone-behavior relationships.

604

## 605 **Acknowledgements**

606 There are different practices on how author sequences in multi-authored papers are  
607 established. Tschardt et al. (2007) suggested to state the method of how the author

608 sequence in a paper was assigned in the acknowledgment section: in this contribution we  
609 followed the sequence-determines-credit (SDC) approach, i.e. authors are listed according  
610 to the importance of their contribution. Michaela Hau and two very constructive  
611 anonymous referees helped to improve earlier versions of this manuscript. We thank the  
612 *Andechs Crew*, i.e. all the people of the Department of Biological Rhythms and Behaviour  
613 of the Max Planck Institute for Ornithology for always offering lively and constructive  
614 discussions on this topic. Following the closure of this department, we dedicate this paper  
615 to all the exceptional and highly spirited people that have been members of the *Andechs*  
616 *Crew*.

617

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892 **Figure legends**

893

894 Fig. 1. Schematic representation of interspecific variation in seasonal profiles of plasma  
895 testosterone in birds. Black bars refer to periods of frequent male-male interactions or  
896 mate guarding. With respect to Western gulls territories and mates are available in  
897 excess, hence there is little or no competition (see Wingfield et al. 1982). Redrawn from  
898 Wingfield et al. (1987).

899

900 Fig. 2. Graphical representation of the 3-Level model of androgens in male birds. Level A  
901 represents the non-breeding androgen baseline required for feedback regulation of GnRH  
902 and gonadotropin release. Level B represents the androgen baseline during breeding  
903 induced by environmental cues such as an increase in day length. Level B is sufficient for  
904 spermatogenesis to proceed, as well as for the expression of secondary sexual characters  
905 and reproductive behaviours. Level C represents the physiological testosterone maximum  
906 that can be achieved during interactions with other males or receptive females. The  
907 increase from level A to level B occurs seasonally at the onset of the breeding season,  
908 while the increase from level B to level C is facultative, i.e. only triggered by social  
909 stimulation or challenge during the breeding season. Redrawn from Wingfield et al.  
910 (1990).

911

912 Fig. 3. (a) Relationship between the seasonal androgen response ( $R_{\text{seasonal}}$ ) and the index  
913 of male-male aggression and male parental care (from low aggression and high male  
914 parental care to high aggression and low male parental care; see (Wingfield et al., 1990)  
915 and main text for further explanation) in bird species for which data on male-male  
916 androgen responsiveness ( $R_{\text{male-male}}$ ) are available. The stipled regression line in (a)  
917 represents the original regression line by Wingfield et al. (1990) and is included for  
918 comparative illustrative purposes only. (b) Relationship between the male-male androgen  
919 response ( $R_{\text{male-male}}$ ) and the ratio of male-male aggression and paternal care of all bird  
920 species for which data on male-male androgen responsiveness are available. Species that  
921 raise only one clutch per breeding season are represented by solid circles; species that  
922 raise more than one clutch per breeding season are represented by open circles. The line  
923 in (b) represents the regression line for  $R_{\text{male-male}}$ .

924

925 Fig. 4. Correlation between the seasonal androgen response ( $R_{\text{seasonal}}$ ) and male-male  
926 androgen responsiveness ( $R_{\text{male-male}}$ ) in single-brooded (solid circles; Pearson's  $r = 0.836$ ,  
927  $p = 0.02$ ; regression line  $y = 0.13x - 0.083$ ) and multiple-brooded birds (open circles;  
928 Pearson's  $r = 0.512$ ,  $p = 0.2$ ) listed in Table 2. Single-brooded species show a relatively  
929 higher  $R_{\text{seasonal}}$  and a lower  $R_{\text{male-male}}$  than multiple-brooded birds.

930

931 Fig. 5. Mean ( $\pm 95\%$  confidence intervals) standardized effect sizes of the seasonal  
932 androgen response ( $dR_{\text{seasonal}}$ , white bars) and male-male androgen responsiveness  
933 ( $dR_{\text{male-male}}$ , grey bars) in single- and multiple-brooded species from Table 2.  $dR_{\text{seasonal}}$  is  
934 significantly larger than  $dR_{\text{male-male}}$  in both single- and multiple-brooded species. Further,  
935  $dR_{\text{male-male}}$  is significantly larger in multiple- than in single-brooded species. Numbers  
936 above bars refer to sample sizes.

937

938 Fig. 6. Correlation between effect sizes ( $\pm 95\%$  confidence intervals for both variables) of  
939 the seasonal androgen response ( $dR_{\text{seasonal}}$ ) and male-male androgen responsiveness  
940 ( $dR_{\text{male-male}}$ ) in single- and multiple-brooded species from Table 2. The area shaded in grey  
941 represents negative values for  $dR_{\text{male-male}}$ , i.e. circles in this area indicate species that  
942 decrease testosterone levels in response to male-male interactions. Species with  
943 confidence intervals crossing the dotted lines do not show significant changes in the  
944 respective androgen response. All species show a significantly positive seasonal  
945 androgen response. Most single-brooded species show either a negative or a lacking  
946 male-male androgen responsiveness, see also Fig. 5. The effect sizes  $dR_{\text{seasonal}}$  and  
947  $dR_{\text{male-male}}$  are not correlated.

948

949 Fig. 7. Predicted relationship between the importance of male parental care and the  
950 androgen responsiveness to male-male interactions  $R_{\text{male-male}}$  during the parental phase.  
951 When males do not provide parental care,  $R_{\text{male-male}}$  should be low because androgen  
952 levels remain high (at Level C) throughout the breeding season. When male parental care  
953 is essential,  $R_{\text{male-male}}$  should be low because males should not mount a testosterone  
954 response above Level B when they are challenged by an intruder.

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958

959 Table 1. Definition of the different kind of androgen responses (R). Please mind that  
 960 statistical comparisons are more appropriately done with the effect sizes (dR) rather than  
 961 with the actual R-ratios.

Type of androgen response	Definition
$R_{\text{seasonal}}$ and its effect size $dR_{\text{seasonal}}$	Seasonal androgen response, which is obtained by comparing Level C (seasonal maximum) with Level B androgen levels (breeding baseline)
$R_{\text{male-male}}$ and its effect size $dR_{\text{male-male}}$	Androgen responsiveness to male-male interactions during the breeding season, which can be established by comparing the androgen concentrations of males measured after a simulated territorial intrusion with those of control males
$R_{\text{male-female}}$ and its effect size $dR_{\text{male-female}}$	Androgen responsiveness of males to the presence of receptive females during the breeding season. This can be tested, for example, by pairing males to females that are treated with estradiol (and therefore engage in frequent copulation solicitation display) and compare the androgen levels of these males to those of males paired with control females (that do not solicit copulations).
$R_{\text{environmental}}$ and its effect size $dR_{\text{environmental}}$	Androgen responsiveness to non-social environmental cues, such as the presence of nest-boxes, suitable nesting sites, or food and water. This response to environmental cues is tested by comparing androgen levels of males after the introduction of the environmental cue to those of control males.
$R_{\text{potential}}$ and its effect size $dR_{\text{potential}}$	Physiological capacity of an organism to mount an androgen response. This capacity is tested by injecting a sufficient dose of GnRH. The androgen levels after such a GnRH-challenge are then compared with control values obtained before the injection or with those of individuals injected with saline.

962

963

Table 2. List of male birds for which data on the seasonal androgen response ( $R_{\text{seasonal}}$ ) and male-male androgen responsiveness ( $R_{\text{male-male}}$ ) from plasma testosterone data are available

Species	mating system	number of broods	seasonal T level B mean $\pm$ se (ng/ml)	seasonal T level C mean $\pm$ se (ng/ml)	$R_{\text{seasonal}}$ (C/B)	$dR_{\text{seasonal}}$ mean [95%CI]	male-male T control (CO) mean $\pm$ se (ng/ml)	male-male T experim. (E) mean $\pm$ se (ng/ml)	$R_{\text{male-male}}$ (E/CO)	$dR_{\text{male-male}}$ mean [95%CI]	references
Red jungle fowl <i>Gallus gallus</i>	Polyg.	2+					0.8 $\pm$ 0.2	1.1 $\pm$ 0.2	1.4	0.54 [-0.41;1.48]	Johnsen and Zuk 1995
Spotted antbird <i>Hylophylax naevioides</i>	monog.	2+	0.2 $\pm$ 0.1	1.6 $\pm$ 0.6	8.0	1.59 [0.17;2.95]	0.2 $\pm$ 0.1	0.9 $\pm$ 0.2	4.5	2.09 [0.86;3.29]	Wikelski et al. 1999; 2003; Hau et al. 2000
White-bellied antbird <i>Myrmeciza longipes</i>	monog.	1					0.3 $\pm$ 0.1	0.3 $\pm$ 0.1	1.0	0.00 [-1.24;1.24]	Fedy and Stutchbury 2006
Rufous whistler <i>Pachycephala rufiventris</i>	monog.	1	0.15 $\pm$ 0.00	1.7 $\pm$ 0.5	11.3	0.85 [-0.70;2.37]	0.9 $\pm$ 0.3	1.1 $\pm$ 0.3	1.4	0.22 [-1.01;1.44]	McDonald et al. 2001
Pied flycatcher <i>Ficedula hypoleuca</i>	Polyg.	1	0.3 $\pm$ 0.1	3.4 $\pm$ 0.4	11.3	3.80 [2.32;5.29]	1.1 $\pm$ 0.3	2.6 $\pm$ 0.6	1.8	0.52 [-0.33;1.37]	Silverin 1980, 1993, 1998
Great tit <i>Parus major</i>	monog.	1	0.3 $\pm$ 0.05	1.1 $\pm$ 0.1	3.7	2.97 [1.47;4.41]	0.5 $\pm$ 0.2	0.2 $\pm$ 0.0	0.5	-0.58 [-1.44;0.30]	Röhss and Silverin 1983; Van Duyse et al. 2004
Blue tit <i>Cyanistes caeruleus</i>	monog.	1	0.6 $\pm$ 0.5	5.4 $\pm$ 0.6	9.0	2.80 [0.76;4.75]	3.4 $\pm$ 0.7	2.1 $\pm$ 0.1	0.6	-1.09 [-2.11;-0.04]	Landys et al. in press; Landys et al., unpublished data
European nuthatch <i>Sitta europaea</i>	monog.	1	0.4 $\pm$ 0.2	3.8 $\pm$ 1.7	9.5	2.07 [0.70;3.38]	3.0 $\pm$ 1.0	2.7 $\pm$ 1.0	0.9	-0.16 [-1.54;1.24]	Landys et al., unpublished data
White-browed sparrow weaver <i>Plocepasser mahali</i>	Coop.	2+	0.1 $\pm$ 0.02	0.3 $\pm$ 0.1	3	1.39 [-0.24;2.92]	0.2 $\pm$ 0.7	0.2 $\pm$ 0.5	1.0	-0.01 [-1.10;1.09]	Wingfield et al. 1991, 1992, Wingfield and Lewis 1993
Eastern song sparrow <i>Melospiza melodia melodia</i>	monog.	2+	1.4 $\pm$ 0.2	5.8 $\pm$ 2.0	4.1	0.71 [-0.24;1.63]	1.3 $\pm$ 0.3	2.8 $\pm$ 0.6	2.3	0.74 [-0.04;1.51]	Wingfield 1984a, 1984b, 1985, Wingfield and Wada 1989
Western song sparrow <i>Melospiza melodia morphana</i>	monog.	2+	1.7 $\pm$ 0.3	9.5 $\pm$ 2.0	5.6	2.80 [1.16;4.37]	0.4 $\pm$ 0.1	2.1 $\pm$ 1.0	5.3	1.00 [-0.23;2.18]	Wingfield and Hahn 1994
Gambel's white-crowned sparrow <i>Zonotrichia leucophrys gambelii</i>	monog.	1	0.3 $\pm$ 0.08	4.5 $\pm$ 1.1	13.6	1.76 [0.47;3.00]	1.7 $\pm$ 0.4	2.1 $\pm$ 0.6	1.5	0.60 [-0.45;1.63]	Wingfield and Farner 1978a; Meddle et al. 2002
Puget Sound white-cr. Sparrow <i>Zonotrichia leucophrys pugetensis</i>	monog.	2+	1.1 $\pm$ 0.1	5.5 $\pm$ 0.5	5.0	3.04 [2.08;3.97]	0.4 $\pm$ 0.2	0.8 $\pm$ 0.2	2.0	0.86 [-0.35;2.03]	Wingfield and Farner 1978b, Wingfield and Hahn 1994
Rufous-collared sparrow <i>Zonotrichia capensis</i>	monog.	2+	1.3 $\pm$ 0.5	9.7 $\pm$ 1.8	7.8	1.63 [0.48;2.74]	2.8 $\pm$ 0.4	3.2 $\pm$ 1.1	1.2	0.12 [-0.52;0.77]	Moore et al. 2004a, b
American tree sparrow <i>Spizella arborea</i>	monog.	1	0.2 $\pm$ 0.05	2.5 $\pm$ 0.5	12.5	3.94 [1.74;6.08]	2.5 $\pm$ 0.1	4.7 $\pm$ 1.7	1.9	0.55 [-0.69;1.76]	Astheimer et al. 2000
European starling <i>Sturnus vulgaris</i>	Polyg.	2+	0.3 $\pm$ 0.1	2.4 $\pm$ 0.4	8	2.08 [1.11;3.01]	0.9 $\pm$ 0.2	2.6 $\pm$ 0.2	2.9	1.42 [0.89;1.93]	Ball and Wingfield 1987, Gwinner et al. 2002
Red-winged blackbird <i>Agelaius phoeniceus</i>	Polyg.	2+	1.8 $\pm$ 0.2	3.2 $\pm$ 0.7	1.8	0.93 [-0.16;1.99]	4.1 $\pm$ 0.5	2.9 $\pm$ 0.6	0.7	-0.47 [-1.11;0.19]	Beletsky et al. 1989, 1992

Birds are listed according to phylogeny. We indicate number of broods raised per season and mating system (socially monogamous – if less than 15% of individuals are polygynous, polygynous, and cooperative). The seasonal androgen response ( $R_{\text{seasonal}}$ ) was calculated by dividing level C by level B;. Male-male androgen responsiveness ( $R_{\text{male-male}}$ ) was calculated by dividing experimental (E) testosterone levels by control (CO) levels using data from simulated territorial intrusion experiments. We did not include studies that examined effects of breeding density on plasma testosterone. Density may suggest how testosterone levels change with intensity of male-male competition, but density studies do not investigate effects of male-male competition directly and thus should be interpreted with caution.

Table 3: List of male birds with data on  $R_{\text{seasonal}}$ , or  $R_{\text{male-male}}$  in combination with  $R_{\text{male-female}}$ , or  $R_{\text{potential}}$ .  $R_{\text{male-female}}$  was calculated by dividing plasma testosterone levels of experimental males (E) exposed to receptive females by testosterone levels of control (CO) males.  $R_{\text{potential}}$  was calculated by dividing testosterone levels of GnRH challenged males (E) by testosterone levels of saline injected control males or control levels before injection of GnRH (CO). For  $R_{\text{male-male}}$  see Table 2.

Species	$R_{\text{seasonal}}$ (C/B)	$dR_{\text{seasonal}}$ mean [95%CI]	$R_{\text{male-male}}$ (E/CO)	$dR_{\text{male-male}}$ mean [95%CI]	$R_{\text{male-female}}$ (E/CO)	$dR_{\text{male-female}}$ mean [95% CI]	$R_{\text{potential}}$ (E/CO)	$dR_{\text{potential}}$ mean [95% CI]	references
African black coucal <i>Centropus grillii</i>	3.7	1.14 [0.42;1.85]					2.5	1.98 [0.53;3.36]	Goymann and Wingfield 2004
White-browed sparrow weaver <i>Plocepasser mahali</i>	3.0	1.39 [-0.24;2.92]	1.0	-0.01 [-1.10;1.09]			3.0	0.77 [-0.54;2.05]	Wingfield et al. 1991, 1992, Wingfield and Lewis 1993
Gambel's white-crowned sparrow <i>Zonotrichia leucophrys gambelii</i>	13.6	1.76 [0.47;3.00]	1.5	0.60 [-0.45;1.63]	2.47	0.97 [0.09; 1.84]	2.84	3.60 [1.93;5.22]	Wingfield and Farner 1978a; Meddle et al. 2002; Moore 1983; Moore et al. 2002
Puget Sound white-cr. Sparrow <i>Zonotrichia leucophrys pugetensis</i>	5.0	3.04 [2.08;3.97]	2.0	0.86 [-0.35;2.03]			7.4	1.99 [0.78;3.15]	Wingfield and Farner 1978b, Wingfield and Hahn 1994; Moore et al. 2002
Rufous-collared sparrow <i>Zonotrichia capensis</i>	7.8	1.63 [0.48;2.74]	1.2	0.12 [-0.52;0.77]			7.2	1.52 [0.48;2.53]	Moore et al. 2002, 2004a, b
Dark-eyed junco <i>Junco hyemalis</i>	3.8	1.94 [0.85;3.01]					2.3	1.79 [1.46;2.12]	Chandler et al. 1997; Jawor et al. 2006
Red-winged blackbird <i>Agelaius phoeniceus</i>	1.8	0.93 [-0.16;1.99]	0.7	-0.47 [-1.11;0.19]	2.45	0.84 [-0.05;1.70]	3.1	5.54 [4.18;6.88]	Beletsky et al. 1989, 1992 ; Lacombe et al. 1991 ; Johnson 1998

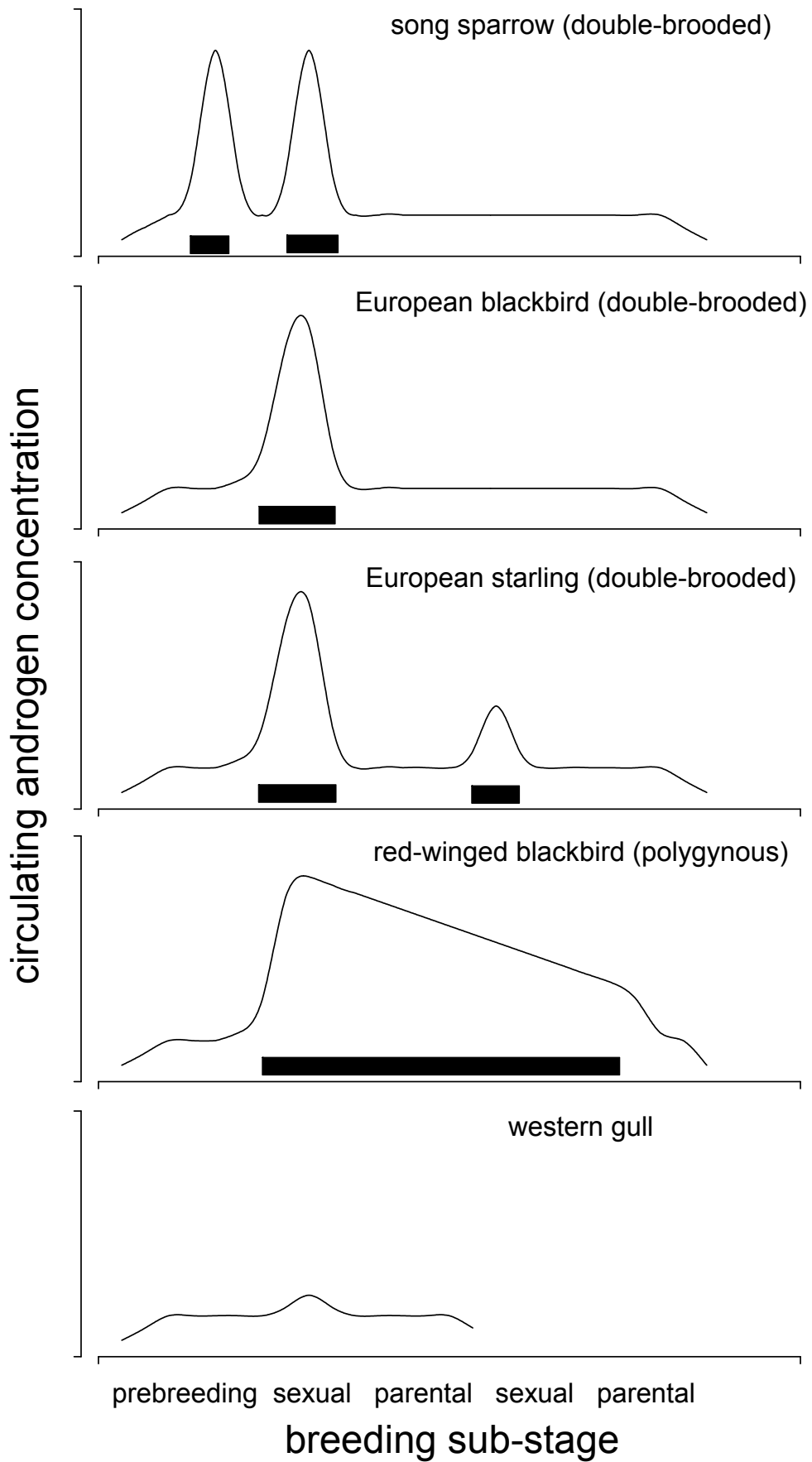


Fig. 1

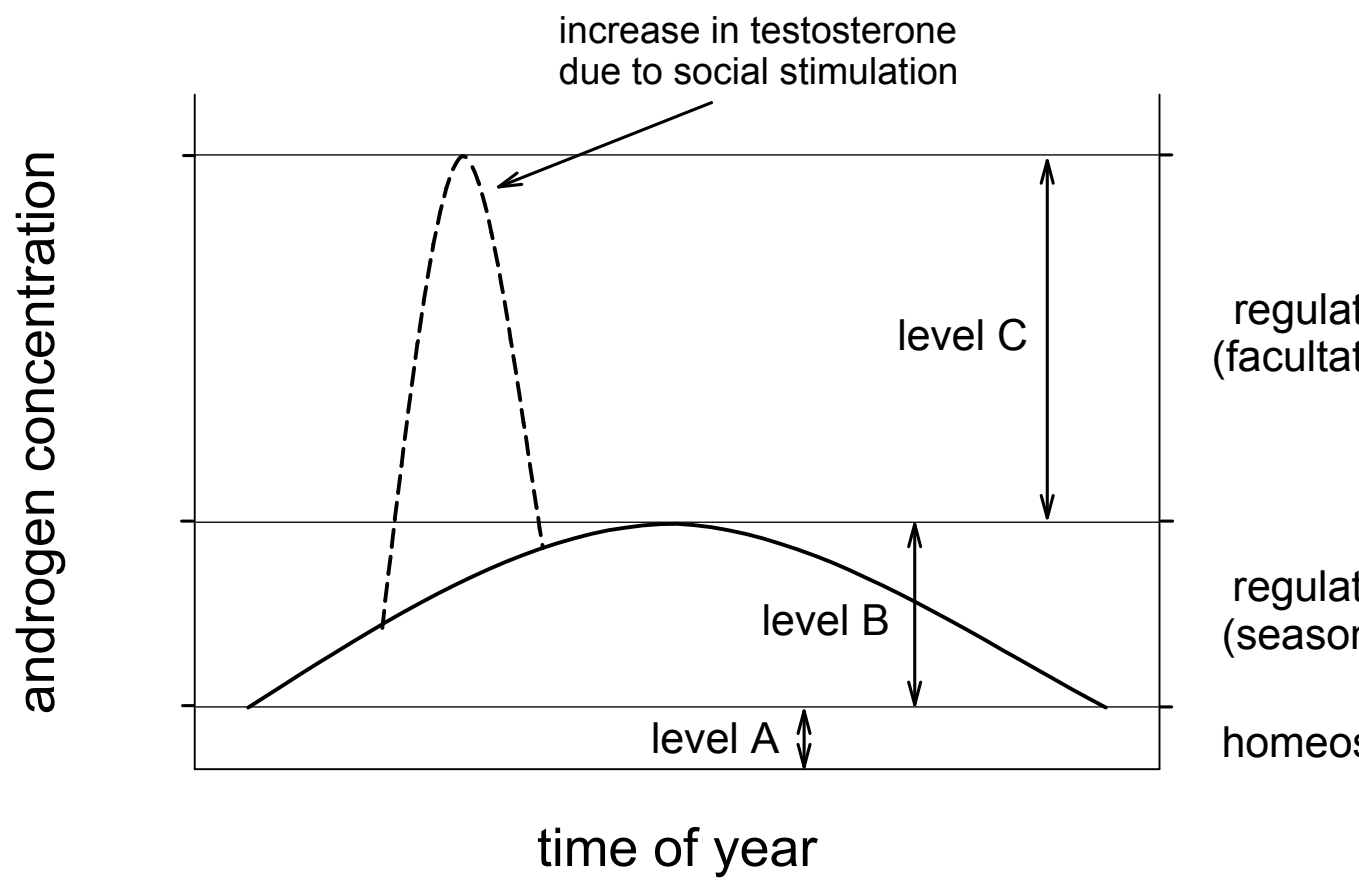


Fig. 2



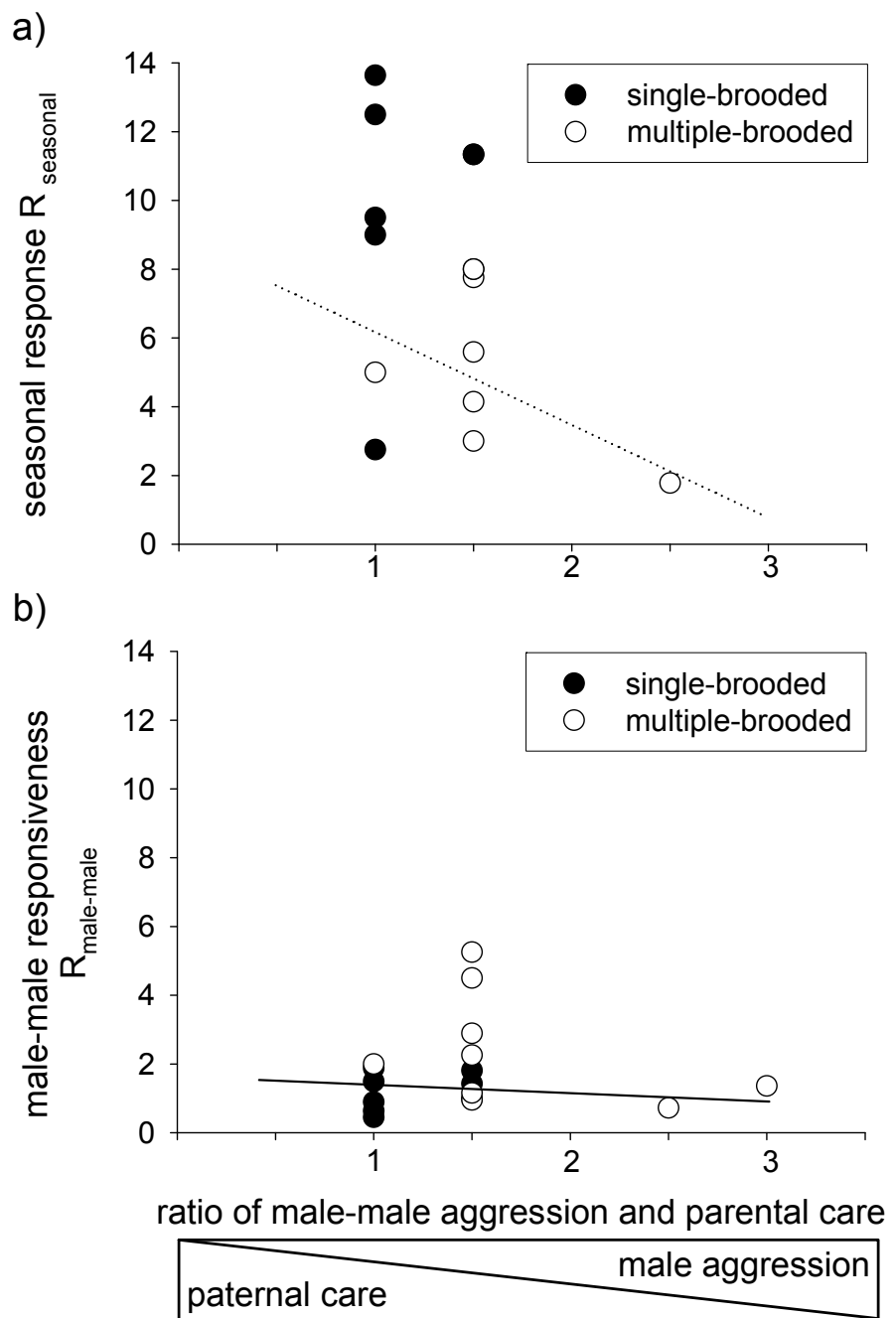


Fig. 3

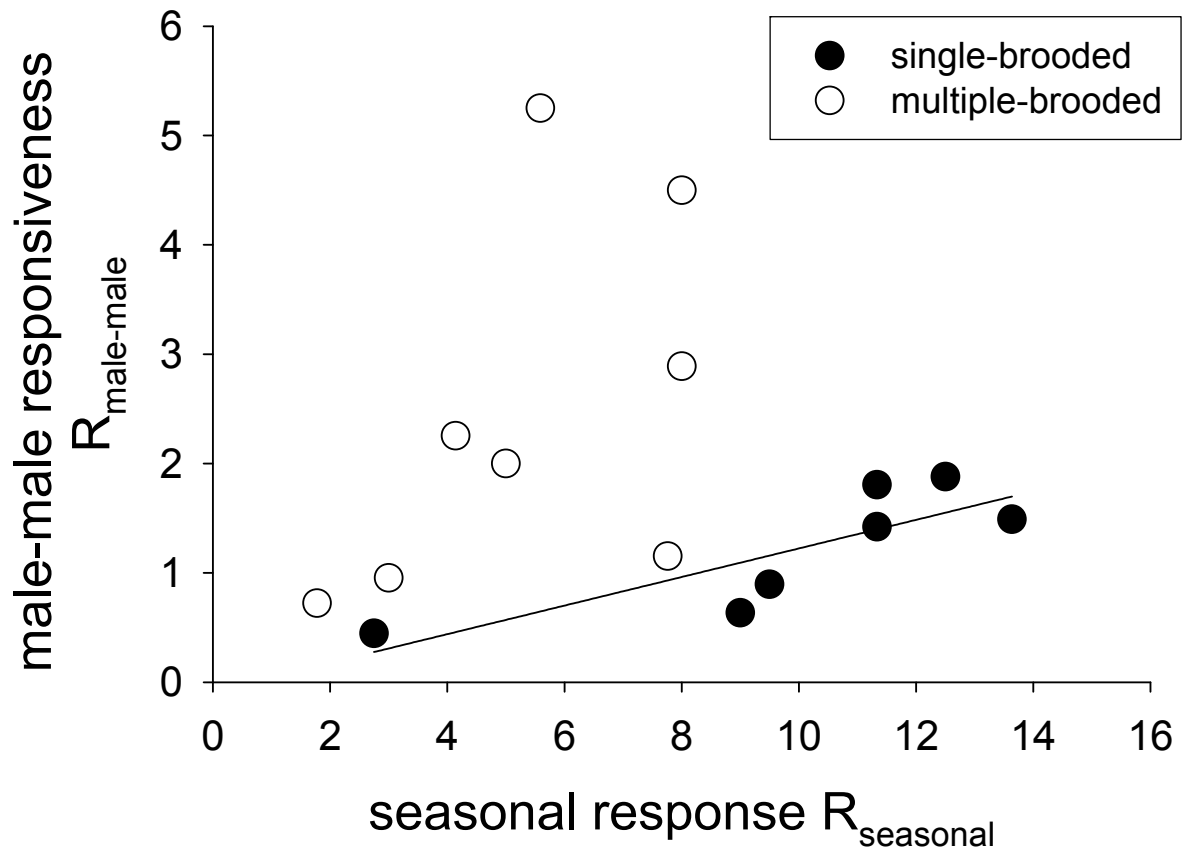


Fig. 4

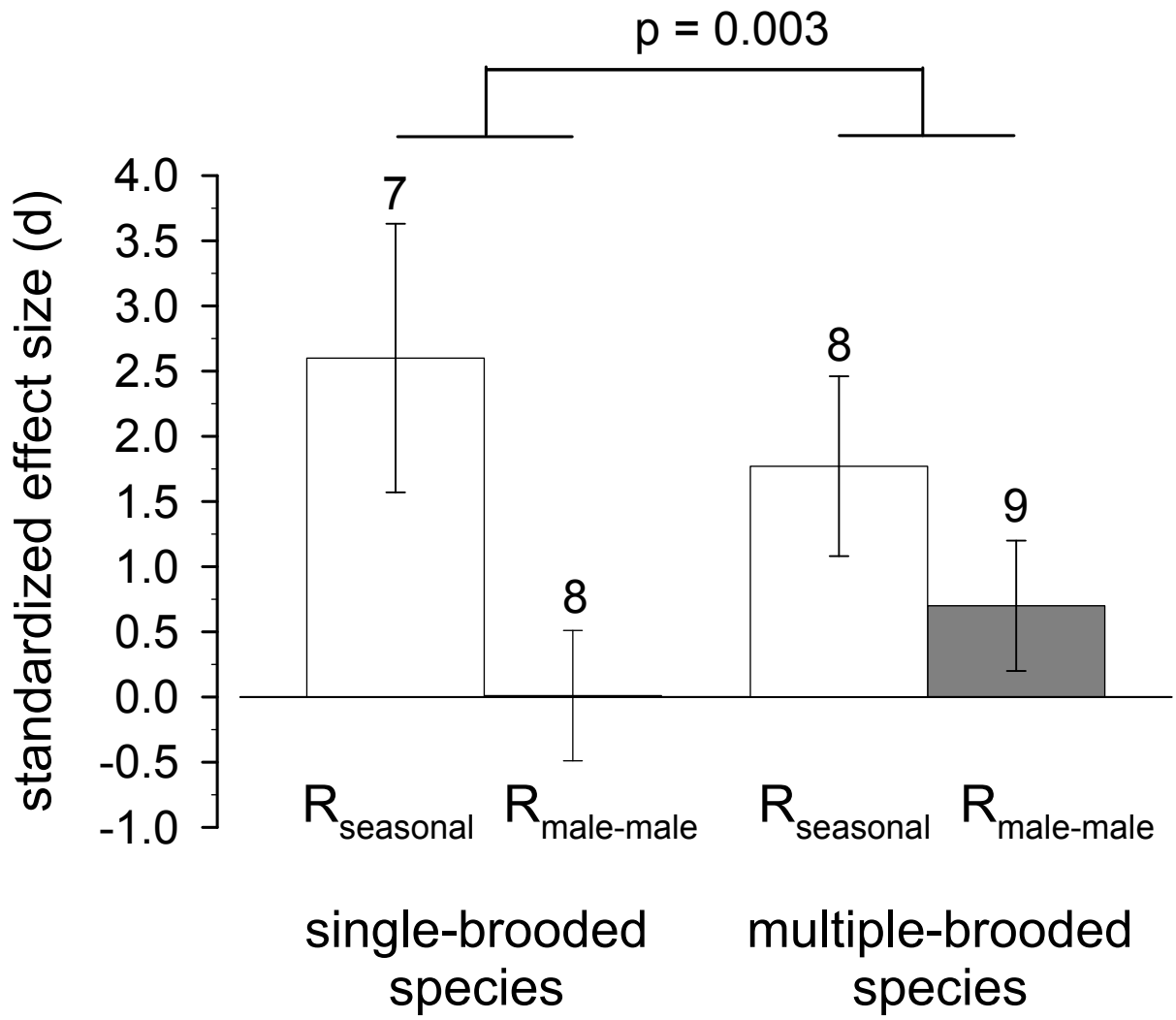


Fig. 5

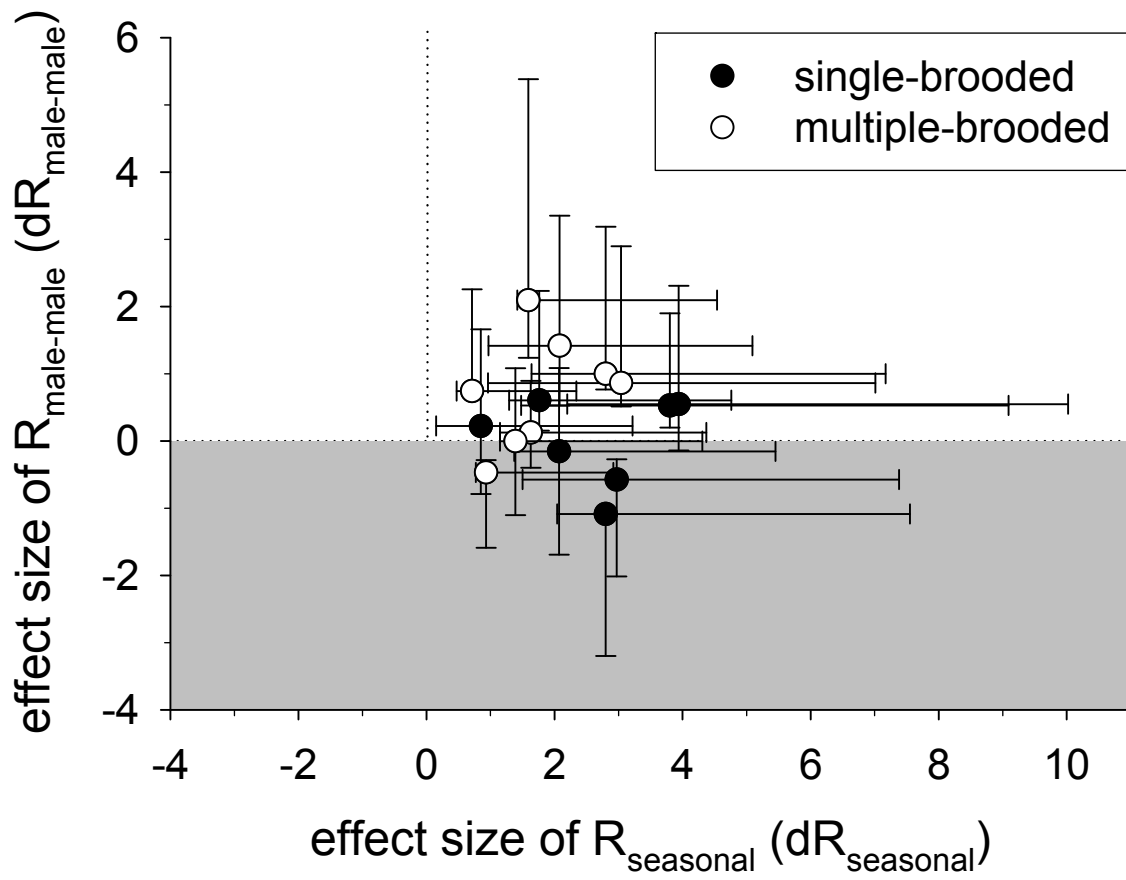


Fig. 6

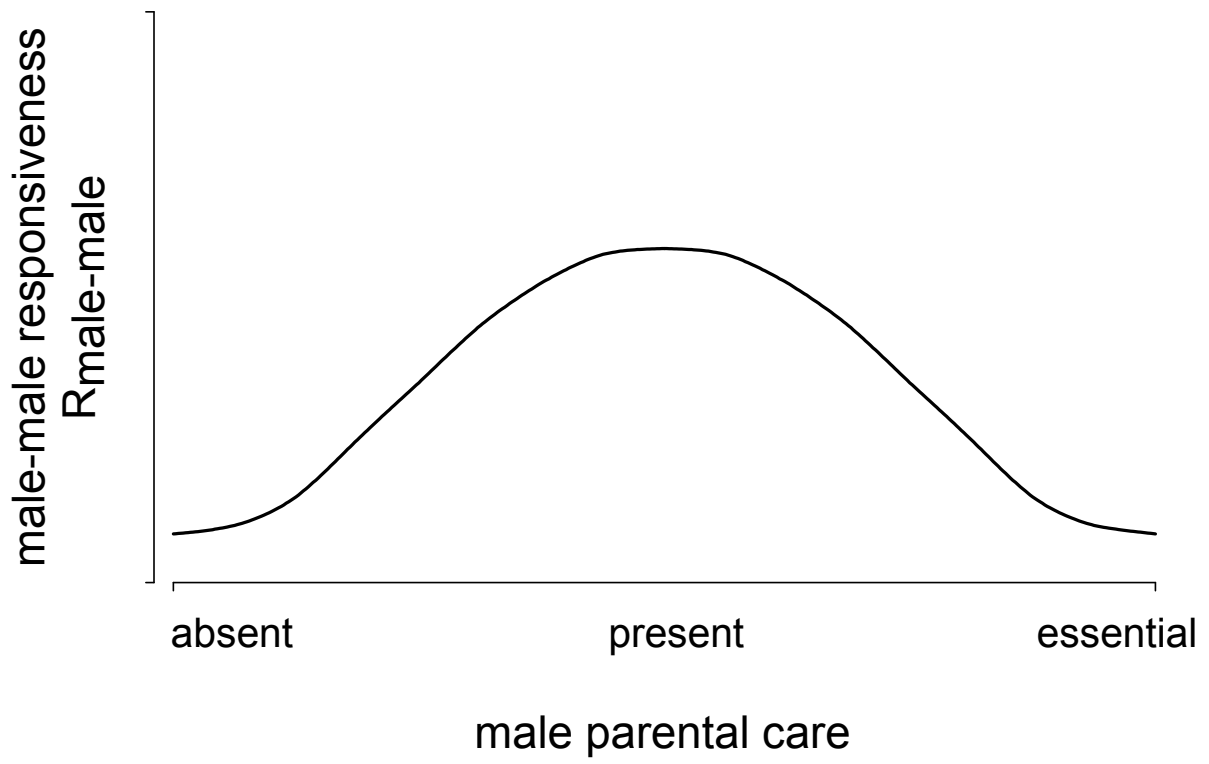


Fig. 7