

Cite this: *J. Mater. Chem. C*, 2015, 3, 2399

# Aggregation-induced chirality, circularly polarized luminescence, and helical self-assembly of a leucine-containing AIE luminogen

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Self-assembling of luminescent molecules into one-dimensional nanostructures is of particular interest in fabricating nanoscale electronic and photonic devices. Herein, we report the rational design and synthesis of a chiral fluorescent tetraphenylethylene derivative containing L-leucine methyl ester moiety (TPE-Leu). In solution, TPE-Leu is non-emissive and CD silent, but becomes highly emissive and CD active upon aggregation, exhibiting aggregation-induced emission (AIE) and chirality (AIC). Upon evaporation of its solution, TPE-Leu readily self-assembles into helical fluorescent micro/nanofibers, which show circularly polarized luminescence (CPL) and have the CPL dissymmetry factors in the range of 0.02–0.07. This molecular design combines the AIE effect, chirality, and self-assembling capability together, and is highly efficient in constructing novel functional micro/nanomaterials with well-defined structures and enhanced emission.

Received 28th November 2014  
Accepted 12th January 2015

DOI: 10.1039/c4tc02726d

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## Introduction

Fabrication of functional architectures by bottom-up molecular assembly is an important approach for the design of micro and nano devices.<sup>1</sup> By deliberately designing the cooperative molecular building blocks, a controllable self-assembly can be realized to construct desirable architectures with novel functions.  $\pi$ -conjugated luminescent molecules are ideal building blocks for the molecular assembly due to their unique optoelectronic properties and have potential applications in optoelectronic devices and biological sensors.<sup>2</sup> Compared with “conventional” organic fluorophores, the luminogens with AIE characteristics are newly emerging “stars”.<sup>3</sup> AIE molecules, as represented by silole, tetraphenylethylene (TPE), triphenylethene, distyrylanthracene, and their derivatives, are non-emissive in solution, but become highly luminescent in the condensed state.<sup>4</sup> This unique AIE property overcomes the

notorious aggregation-caused quenching (ACQ) effect that conventional fluorescent molecules normally suffer in their aggregated or solid state.<sup>5</sup>

Precise self-assembling of AIE molecules into desired architectures, such as nanoparticles, nanorods and nanofibers, is still a challenging task.<sup>6</sup> Among the various morphological structures, one-dimensional (1D) fluorescent nanorods and nanofibers with AIE properties are of considerable interest because they are in high demand in optoelectronic devices for transferring current and optical signals. Zhang and coworkers reported the controllable self-assembly of di(*p*-methoxyphenyl)-dibenzofulvene into microrods with different emission colors and efficiencies in the presence of different concentrations of cetyltrimethylammonium bromide.<sup>7</sup> Šket synthesized a BF<sub>2</sub> complex with AIE property, which can form the fluorescent microfibers through the sublimation process.<sup>8</sup> Huang *et al.* fabricated the luminescent 1D nanorods by the self-assembly of two four-armed TPE derivatives containing electron-rich and electron-deficient groups driven by charge-transfer interactions.<sup>9</sup> Zhu and Zhao prepared an AIE-active dicyanomethylene-4*H*-pyran (DCM) derivative with high red-emission, which can self-assemble into 1D micro/nanowires.<sup>10</sup> Our groups constructed the efficient fluorescent microfibers and nanorods by the self-assembling of TPE-based luminogens.<sup>11</sup> Recently, we have been endowing the fluorescent nanofibers with chiral properties, by the introduction of amino acid attachments to the AIE scaffold.<sup>12</sup> We have successfully prepared valine-containing silole and TPE, which self-assembled into helical nanofibers with enhanced emission and circularly polarized

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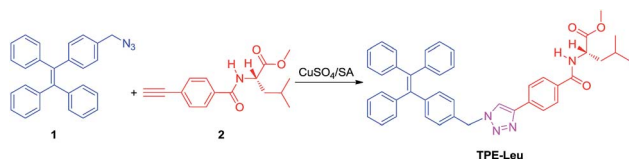
luminescence (CPL) properties. The optical properties and the self-assembling behaviors are determined both by the AIE scaffold and amino acid attachments. Elucidating the cooperative effects of different combinations of the building blocks is necessary for better understanding the underlying principles and improving the rational molecular design.

Our previous work showed that introducing *L*-leucine methyl ester units into the pendants of polyphenylacetylene can efficiently induce the polymer to have helical conformation. The helical conformation was further amplified as helical nanofibers on the higher order construction of the polymer.<sup>13</sup> Inspired by this idea, we design a TPE molecule with a *L*-leucine methyl ester attachment (**TPE-Leu**). This compound is non-luminescent and circular dichroism (CD) silent in solution. Upon aggregate formation, it emits intensely and also gives strong CD signals, showing the typical AIE and AIC properties. **TPE-Leu** self-assembles into helical micro/nanofibers, which are circularly polarized luminescent and exhibit large CPL dissymmetry factors.

## Results and discussion

Following the synthesis route shown in Scheme 1, **TPE-Leu** was prepared as a white solid powder with a yield of 81%. The product was carefully purified and characterized by NMR, high-resolution mass spectrometry and elemental analysis, from which satisfactory results were obtained (see Experimental section for details).

The UV spectra of **TPE-Leu** in 1,2-dichloroethane (DCE), DCE–hexane suspension and cast film given in Fig. 1A, show absorption peaks located at the wavelength of about 315 nm, which corresponds to the absorption of the TPE unit. CD spectra are also captured to check whether the chirality of the amino acid attachment has been transferred to the TPE scaffold. As shown in Fig. 1B, no CD signal is observed in DCE solution of **TPE-Leu**, which may be caused by the random orientation of the chromophores in solution.<sup>14</sup> However, when hexane, the poor solvent of **TPE-Leu**, is added, it displays two CD peaks at the wavelength of 258 and 295 nm in DCE–hexane suspension (1/9, v/v), respectively. These two peaks correspond to the absorption of the leucine-containing triazolylphenyl group, suggesting the occurrence of an AIC effect.<sup>15</sup> We then studied the optical activity of **TPE-Leu** in its cast film, which was formed by natural evaporation of its DCE solution on a quartz substrate. The film does not show a conventional “film” appearance, but actually consists of a large number of fibers. It exhibits strong cotton effects and a new peak at the wavelength of ~328 nm as well. Because the leucine-containing unit (2) is



Scheme 1 Synthesis route to **TPE-Leu**. SA = sodium ascorbate.

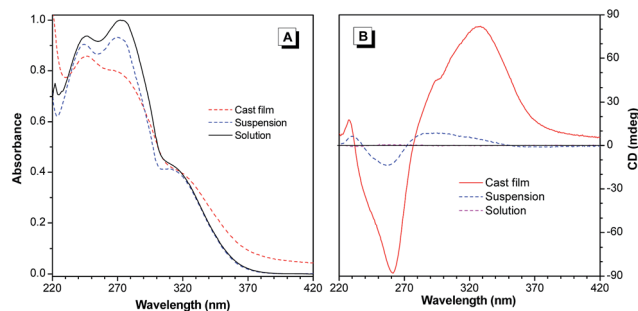


Fig. 1 (A) UV absorption spectra of **TPE-Leu** in 1,2-dichloroethane (DCE) solution and DCE–hexane (1/9, v/v) suspension with concentration of  $3 \times 10^{-5}$  M, and cast film prepared by natural evaporation of its DCE solution. (B) CD spectra of **TPE-Leu** in DCE solution and DCE–hexane (1/9, v/v) suspension with concentration of  $10^{-4}$  M, and cast film prepared by natural evaporation of its DCE solution of  $0.66 \text{ mg mL}^{-1}$ .

CD-silent at the wavelengths longer than 300 nm,<sup>16</sup> the new peak must stem from the absorption of the TPE moiety. It indicates that chirality has been successfully transferred from the chiral amino acid attachments to the TPE units, giving rise to the formation of a preferred-handed helical conformation in the aggregate state.

We then studied the fluorescence of **TPE-Leu** in THF–water mixtures with different water fractions ( $f_w$ ). As shown in Fig. 2A, the photoluminescence (PL) curves of **TPE-Leu** in THF and THF–water mixtures with  $f_w$  lower than 70% are almost flat lines parallel to the abscissa. The PL intensities of **TPE-Leu** are enhanced significantly when  $f_w$  is higher than 70%. The highest intensity was reached at the  $f_w$  of 90%, which is 460-fold higher than that in THF solution (Fig. 2B). Because water is a poor solvent for **TPE-Leu**, the addition of water to the THF solution likely induces the formation of aggregates. At high water fraction in the mixture, a majority of **TPE-Leu** will aggregate, which blocks the non-radiative energy transference and turns on the fluorescence of the molecules.<sup>3f</sup> Therefore, **TPE-Leu** still keeps the AIE property with an introduction of leucine attachments.

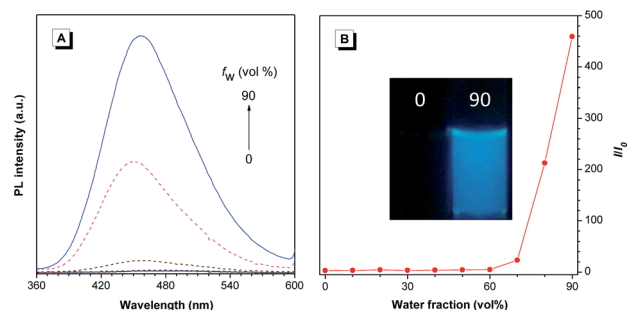


Fig. 2 (A) PL spectra of **TPE-Leu** in THF–water mixtures with different water fraction ( $f_w$ ). Concentration:  $20 \mu\text{M}$ ;  $\lambda_{\text{ex}}$ : 315 nm. (B) Plot of relative emission peak intensity ( $I/I_0$ ) at 456 nm versus  $f_w$  of the THF–water mixture, where  $I$  = emission intensity and  $I_0$  = emission intensity in THF solution. Inset: images of **TPE-Leu** in THF–water mixtures with  $f_w$  of 0 and 90 taken under a hand-held UV lamp.

Generally, molecules exhibit CPL property when either their luminophores or an ensemble of luminophores is chiral. As we know, CPL is the emission analog of CD, which can provide the specific information about the chirality of the fluorophores in the excited state. The CPL activity is commonly evaluated by the emission dissymmetry factor ( $g_{em}$ ), defined as  $g_{em} = 2(I_L - I_R)/(I_L + I_R)$ , where  $I_L$  and  $I_R$  are the intensities of left- and right-handed emissions, respectively. CPL-active organic materials have attracted much interest in recent years for their potential applications in bio/chemosensors and optoelectronic devices, such as CPL lasers, optical storage and processing systems.<sup>17</sup> It is reported that most of pure organic small molecules display the  $g_{em}$  values in the range of  $10^{-5}$ – $10^{-2}$  in their solutions.<sup>18</sup> However, in solid state, their CPL performance usually becomes weakened due to the ACQ effect. Furthermore, the structural diversity of the chiral organic fluorophores is limited, most of which are helicene and 1,1-binaphthyl derivatives.<sup>18,19</sup> Development of new organic materials with efficient CPL performance in solid state is thus in great demand.

Considering that **TPE-Leu** is highly emissive and CD-active in the solid state, it is anticipated to possess CPL properties. We then studied the CPL behavior of the cast film of **TPE-Leu** using a home-built CPL measurement system.<sup>15</sup> As can be seen from Fig. 3, the solid film of **TPE-Leu** exhibits a positive signal in the CPL spectrum. The  $g_{em}$  values are in the range from 0.02 to 0.07 in the detected spectral window of 360–600 nm. At the wavelength of maximum emission, the  $g_{em}$  value of **TPE-Leu** is about 0.05, which is higher than that of valine-containing TPE reported in our previous work.<sup>12b</sup>

In term of its simple synthesis, emission efficiency and dissymmetry factor in solid state, **TPE-Leu** is thus a promising candidate for fabricating advanced electronic CPL devices for bio-sensing and optoelectronic applications.

The cast film on a quartz plate prepared by the evaporation of the DCE solution of **TPE-Leu** exhibits fiber-like morphology, implying that **TPE-Leu** tends to self-assemble to form regular structures rather than forming random aggregates. The self-assembling behaviors of **TPE-Leu** were then explored with a variety of microscopy imaging techniques. We then checked the self-assemblies of **TPE-Leu** induced by the addition of poor solvents of hexane to its DCE solutions. Upon the evaporation of

its DCE–hexane (1/9, v/v) mixture, **TPE-Leu** assembled into helical fibers and helical ribbons, as shown in the SEM images in Fig. 4A and B. The helical fibers/ribbons are predominantly left-handed, which corresponds well with the CD and CPL spectra. In contrast to the small fraction of helical ribbons, the helical fibers are dominant and thin fibers further braid together to form thicker ones. Due to their hierarchical assemblies, the helical fibers have a broad width distribution from ~15 to 350 nm and also a wide distribution of helical pitch up to a maximum of 920 nm. Along with the helical fibers and helical ribbons, there are also combined structures exhibiting both the morphology of helical ribbons and fibers, as clearly shown in Fig. 4B. The ribbon in the upper right corner labeled with arrows has several helical knots along its contour, which are not evenly located along the ribbon due to their varying extents of helical wrapping and diverse tilting directions. Similar kind of morphology is also found for the ribbons labeled in the left part of the image. These combined structures of helical ribbons and helical fibers suggest that they are the intermediates of the morphological transition from helical ribbons to helical fibers and the latter are likely formed by the wrapping up of the former. In addition to SEM, the TEM image of **TPE-Leu** in Fig. 4C also confirms the existence of helical fibers and helical ribbons with preferred left-handedness. The fluorescence microscope image of **TPE-Leu** further shows that these helical fibers are several millimeters long and they emit intensive blue fluorescence, as shown in Fig. 4D.

**TPE-Leu** exhibited a similar assembling manner to what has been reported for amino acid-containing amphiphilic molecules, namely they all form helical fibers or ribbons.<sup>20</sup> The helical assemblies are directed by the chirality of the amino acid attachments of the molecules, which exert asymmetric force fields to the TPE scaffold to induce it to twist. The organization likely starts from the formation of chiral layers or ribbons, followed by another organization level that chiral layers or ribbons further twist or wrap up to form helical fibers or tubes.

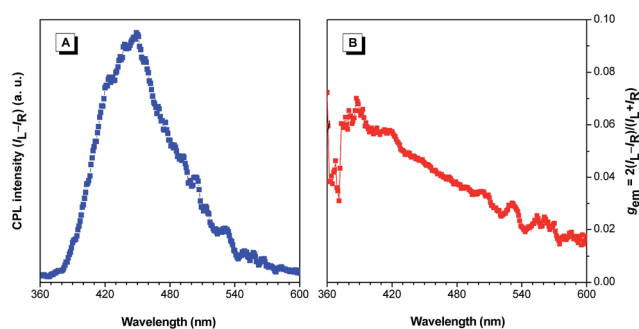


Fig. 3 Plots of (A) CPL and (B) CPL dissymmetry factor  $g_{em}$  versus wavelength of cast film of **TPE-Leu** prepared by the evaporation of its solution,  $\lambda_{ex}$ : 325 nm.

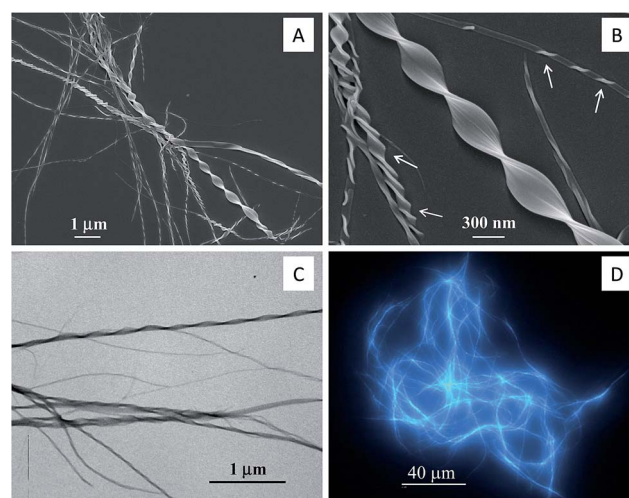


Fig. 4 (A and B) SEM, (C) TEM and (D) fluorescence microscopy images of the aggregates of **TPE-Leu** formed in DCE–hexane (1/9, v/v) mixture, concentration:  $10^{-4}$  M.

Intermolecular hydrogen bonds of the amino acid attachments and  $\pi$ - $\pi$  stacking of TPE scaffold cooperatively stabilize the twisting arrangements of the molecules. The chiral amino acid attachments are critical in determining the assembling behavior and related optical properties, for they not only guide the packing of the molecules in the chiral molecular layers or ribbons, but also direct the tilting directions of the precursors. Bulkier chiral attachments are generally more efficient to exert asymmetric force field to the TPE scaffold and induce more significant helical twists in the chiral molecular packing. The twist will be further amplified on the next organization level as the construction of helical ribbons or fibers. As a consequence of the contribution of the bulky amino acid attachments, intensive CD absorption is expected. The CPL property, which is the chirality of the luminogens, is determined both by the helicity and luminescence of the molecules. An excellent CPL property of a molecule comes from an optimized balanced effect of high CD absorption and luminescence. The CPL property of **TPE-Leu** is higher than that of valine-containing TPE reported in our previous work, suggesting that leucine attachment induces a better cooperative CPL property. The  $g_{em}$  value of the resultant **TPE-Leu** fibers is also high as compared with the reported pure organic luminescent molecules,<sup>18,19</sup> suggesting that leucine attachment is an ideal candidate that guides the resultant molecule to come up with both regular helical assemblies and an excellent CPL performance.

## Conclusions

In summary, novel luminescent helical fibers are constructed by the assembling of L-leucine methyl ester-modified TPE. The deliberately designed **TPE-Leu** molecule inherits the typical AIE characteristic from TPE unit, chirality from the amino acid attachments and a remarkable CPL performance as a cooperative contribution of both groups. These novel properties make these kind of fibers promising candidates for optoelectronics and biological applications. This rational bottom-up nanofabrication by the non-covalent interactions of molecules is an efficient way to construct novel functional micro/nanomaterials with well-defined structures and enhanced emission. It provides an important shortcut for the combination of AIE effect and chirality with the self-assembled structures. The cooperative effect of chiral attachments and AIE scaffold on the optical properties of molecule is pivotal for a maximum output of the performance of the assembled structures. Deciphering the bulkiness effect and the involved non-covalent interactions help to better understand the underlying mechanism of the hierarchical assembling process and its resultant optical properties. It is still a challenging task both in the molecular design and synthesis, and is worth exploring further.

## Experimental section

### General information

Tetrahydrofuran (THF) was distilled from sodium benzophenone ketyl in an atmosphere of nitrogen just prior to use. L-Ascorbic acid, sodium bicarbonate, copper(II) sulfate, and

other chemicals and solvents were all purchased from Aldrich and used as received without further purification.

The <sup>1</sup>H and <sup>13</sup>C NMR spectra were recorded on a Bruker ARX 400 NMR spectrometer in CDCl<sub>3</sub> using tetramethylsilane (TMS;  $\delta = 0$ ) as internal reference. High-resolution mass spectra (HRMS) were recorded on a GCT Premier CAB 048 mass spectrometer in an electron-ionization or a MALDI-TOF mode. UV absorption spectra were measured on a Milton Ray Spectronic 3000 array spectrophotometer. Photoluminescence (PL) spectra were recorded on a PerkinElmer LS 55 spectrofluorometer. Morphological structures of the aggregates were examined by JEOL 2010 transmission electron microscope (TEM) at accelerating voltages of 200 kV and JEOL-6700F scanning electron microscope (SEM) 5 kV, respectively. CD spectra were taken on a JASCO J-810 spectropolarimeter in a 1 mm quartz cuvette using a step resolution of 0.1 nm, a scan speed of 100 nm min<sup>-1</sup>, a sensitivity of 0.1 nm, and a response time of 0.5 s. Circular photoluminescence spectra (CPL) were recorded on a homemade CPL spectroscopy system.<sup>15</sup> A 325 nm He-Cd laser was used as an excitation light source. The retardation of the emitted light from the sample is modulated by a photo-elastic modulator (PEM; Hinds PEM-90, 50 kHz) and detected by the photomultiplier tube (PMT) after passing through the linear polarizer oriented at 45° to the PEM optical axis. The combination of PEM and the linear polarizer provides modulation of the circularly polarized part of the total emission. The DC component of the PMT output is measured by a digital multimeter (Thurlby 1905a), where the total intensity of left ( $I_L$ ) and right ( $I_R$ ) of circularly polarized emitted light can be obtained (*i.e.*,  $I_L + I_R$ ). On the other hand, the AC component is amplified by a pre-amplifier (Stanford Research Systems, SR560) and analyzed by a lock-in amplifier (Stanford Research Systems, SR510), so that the alternating signals with regard to emitted left and right polarization is detected (*i.e.*,  $I_L - I_R$ ). Then, the CPL dissymmetry factor,  $g_{em} = 2(I_L - I_R)/(I_L + I_R)$ , was derived from the ratio of AC signal to the DC signal.

### Sample preparation for PL measurement

A stock THF solution of  $2 \times 10^{-4}$  M **TPE-Leu** was first prepared. Aliquots of the stock solution were transferred to 10 mL volumetric flasks. After appropriate amounts of THF were added, distilled water was added dropwise with vigorous stirring to yield  $2 \times 10^{-5}$  M solutions with different water fractions (0–90 vol%). The PL measurements of the resulting solutions were then conducted immediately.

### Sample preparation for SEM and TEM measurements

A stock DCE solution of **TPE-Leu** ( $1 \times 10^{-3}$  M) was first prepared. The stock solution was then transferred to 5 mL glass vial and diluted to the suspension with a final concentration of  $1 \times 10^{-4}$  M by adding hexane dropwise with vigorous stirring. 4  $\mu$ L of this suspension was immediately dropped onto the surface of silicon wafer and carbon-coated copper grid. After evaporation of the solvent under ambient conditions, the samples were characterized by SEM and TEM, respectively.

## Synthesis

1-[4-(Azidomethyl)phenyl]-1,2,2-triphenylethene (1) and 4-ethynylbenzoyl-L-leucine methyl ester (2) were prepared according to our previous papers.<sup>16,21</sup>

**Synthesis of 4-{1-[4-(1,2,2-triphenylvinyl)benzyl]triazoyl}benzoyl-L-leucine methyl ester (TPE-Leu).** Into a 100 mL two necked round-bottom flask, were added 0.426 g (1.1 mmol) of 1-[4-(azidomethyl)phenyl]-1,2,2-triphenylethene (1) and 0.273 g (1.0 mmol) of 4-ethynylbenzoyl-L-leucine methyl ester (2) in 45 mL of water-THF-ethanol (1/1/1, v/v/v) under nitrogen. 1.25 mL of freshly prepared 1 M aqueous solution of sodium ascorbate was added followed by 24 mg (0.15 mmol) of copper sulfate in 0.5 mL of water. The reaction mixture was stirred at 70 °C overnight. After cooling to room temperature, 50 mL of water was added and the resultant solution was extracted with DCM. The combined organic layer was washed with brine, ethylenediaminetetraacetic acid disodium salt solution and water, and then dried over Na<sub>2</sub>SO<sub>4</sub> overnight. After filtration and solvent evaporation, the crude product was purified by a silica gel column using DCM-ethyl acetate mixture (10 : 1, v/v) as eluent. A white solid of TPE-Leu was obtained with a yield of 81%. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>), δ (TMS, ppm): 7.87 (m, 4H), 7.65 (s, 1H), 7.09 (m, 9H), 7.05 (m, 4H), 7.01 (m, 6H), 6.53 (d, 1H), 5.48 (s, 2H), 4.88–4.85 (m, 1H), 3.78 (s, 3H), 1.80–1.72 (m, 2H), 1.70–1.66 (m, 1H), 1.01–0.98 (m, 6H). <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>), δ (TMS, ppm): 173.8, 166.7, 147.1, 144.7, 143.5, 143.4, 143.3, 141.9, 140.1, 134.0, 133.3, 132.3, 132.2, 131.3, 127.8, 127.6, 126.8, 125.7, 120.3, 54.2, 52.5, 51.3, 42.0, 25.1, 23.0, 22.0. HRMS (MALDI-TOF), *m/z* 660.3118, (M<sup>+</sup>, calcd 660.3100). Anal. calcd for C<sub>43</sub>H<sub>40</sub>N<sub>4</sub>O<sub>3</sub>: C, 78.16; H, 6.10, N, 8.48. Found: C, 78.22; H, 6.10, N, 8.54.

## Acknowledgements

This work was partially supported by the National Natural Science foundation of China (21104046 and 21404077), the Outstanding Youth foundation of Shenzhen (JC201005250038A, JCYJ20140509172609153), the National Basic Research Program of China (973 program, 2013CB834701), the Research Grants Council of Hong Kong (604711, 604913 and N\_HKUST620/11) and the University Grants Committee of Hong Kong (AoE/P-03/08).

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