

# The number of smallest parts of *Partitions of n*

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World Journal of Advanced Research and Reviews, 2023, 17(01), 119–125

Publication history: Received on 06 November 2022; revised on 21 December 2022; accepted on 24 December 2022

Article DOI: <https://doi.org/10.30574/wjarr.2023.17.1.1390>

## Abstract

George E Andrews derived formula for the number of smallest parts of partitions of a positive integer  $n$ . In this paper we derived the generating function for the number of smallest parts of all partitions of  $n$  utilizing  $r$ -partitions of  $n$ . We also derive the generating function for  $Ac(n)$ , the number of smallest parts of the partitions of  $n$  which are multiples of  $c$  and also to evaluate the sum of smallest parts of partitions of  $n$  by applying the concept of  $r$ -partitions of  $n$ .

**Keywords:** Partition;  $r$ -partition; Smallest parts of the partition;  $r$ -partition of positive integer  $n$

## 1. Introduction

We adopt mostly the common notation on *partitions* used by Andrews [1] as given below.

A *partition* of a positive integer  $n$  is a finite non-increasing sequence of positive integers  $\lambda_1, \lambda_2, \dots, \lambda_r$  such that

$\sum_{i=1}^r \lambda_i = n$  and is denoted by  $n = (\lambda_1, \lambda_2, \dots, \lambda_r), n = \lambda_1 + \lambda_2 + \lambda_3 + \dots + \lambda_r$  or  $\lambda = (\lambda_1^{f_1}, \lambda_2^{f_2}, \lambda_3^{f_3}, \dots)$  when  $\lambda_1$  repeats  $f_1$

times,  $\lambda_2$  repeats  $f_2$  times and so on. The  $\lambda_i$  are called the parts of the *partition*. In what follows  $\lambda$  stands for a *partition* of  $n$ ,  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_r), \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_r$ .

$$p(n) = \begin{cases} 1 & \text{if } n = 0, \\ \text{number of } \textit{partitions} \text{ of } n & \text{if } n \in \mathbb{N}, \\ 0 & \text{if } n \text{ is negative.} \end{cases}$$

### 1.1. Ex: partitions of 7.

7	6+1	5+1+1	4+1+1+1	3+1+1+1+1	2+1+1+1+1+1	2+1+1+1+1+1+1
	5+2	4+2+1	3+2+1+1	2+2+1+1+1		
	4+3	3+3+1	2+2+2+1			
		3+2+2				

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If  $1 \leq r \leq n$  then  $\xi_r(n)$  is the set of partitions of  $n$  with  $r$  parts and its cardinality is denoted by  $p_r(n)$ . A partition of  $n$  with exactly  $r$  parts is called  $r$ -partition of  $n$ . We define

$$p_r(n) = \begin{cases} 0 & \text{if } r=0 \text{ or } r>n \\ \text{number of } r\text{-partitions of } n & \text{if } 0 < r \leq n \end{cases}$$

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### 1.2. Ex: 3-partitions of 8

$$6+1+1, \quad 5+2+1, \quad 4+3+1, \quad 4+2+2, \quad 3+3+2.$$

- $spt(n)$  denotes the number of smallest parts including repetitions in all partitions of  $n$ .
- $r-spt_i(n)$  denotes the number of  $i^{th}$  smallest parts in all  $r$ -partitions of  $n$ .

Verification of the above illustration by 3-partitions of 10 having second smallest parts.

$$\underline{8}+1+1, \quad 7+\underline{2}+1, \quad 6+\underline{3}+1, \quad \underline{6}+2+2, \quad 5+\underline{4}+1, \quad 5+\underline{3}+2, \quad \underline{4}+\underline{4}+2, \quad \underline{4}+3+3.$$

## 2. Generating function for $spt(n)$

The generating function for the number of smallest parts of all partitions of positive integer  $n$  is derived by Andrews [2]. By utilizing  $r$ -partitions of  $n$ , we present a formula for finding the number of smallest parts of  $n$ .

### 2.1. Theorem

$$spt(n) = \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} p(k, n-tk) + d(n)$$

Where  $d(n)$  is the number of positive divisors of  $n$

#### 2.1.1. Proof

Let  $n = (\lambda_1, \lambda_2, \dots, \lambda_r) = (\mu_1^{\alpha_1}, \mu_2^{\alpha_2}, \dots, \mu_{l-1}^{\alpha_{l-1}}, k^{\alpha_l})$  be any  $r$ -partition of  $n$  with  $l$  distinct parts. (1)

Case 1

Let  $r > \alpha_l = t$  which implies  $\lambda_{r-t} > k$

Subtract all  $k$ 's, we get  $n-tk = (\mu_1^{\alpha_1}, \mu_2^{\alpha_2}, \dots, \mu_{l-1}^{\alpha_{l-1}})$

Hence  $n-tk = (\mu_1^{\alpha_1}, \mu_2^{\alpha_2}, \dots, \mu_{l-1}^{\alpha_{l-1}})$  is a  $(r-t)$ -partition of  $n-tk$  with  $l-1$  distinct parts and each part is greater than or equal to  $k+1$ .

Therefore the number of  $r$  – *partitions* with smallest part  $k$  that occurs exactly  $t$  times among all  $r$  – *partitions* of  $n$  is  $p_{r-t}(k+1, n-tk)$

Case 2

Let  $r > \alpha_l > t$  which implies  $\lambda_{r-t} = k$

Omit  $k$ 's from last  $t$  places, we get  $n-tk = (\mu_1^{\alpha_1}, \mu_2^{\alpha_2}, \dots, \mu_{l-1}^{\alpha_{l-1}}, k^{\alpha_l-t})$

Hence  $n-tk = (\mu_1^{\alpha_1}, \mu_2^{\alpha_2}, \dots, \mu_{l-1}^{\alpha_{l-1}}, k^{\alpha_l-t})$  is a  $(r-t)$  – *partition* of  $n-tk$  with  $l$  distinct parts and the least part is  $k$ .

Now we get the number of  $r$  – *partitions* with smallest part  $k$  that occurs more than  $t$  times among all  $r$  – *partitions* of  $n$  is  $f_{r-t}(k, n-tk)$ .

Case 3

Let  $r = \alpha_l = t$  which implies all parts in the *partition* are equal.

The number of *partitions* of  $n$  with equal parts is  $\beta$  which is equal to the number of positive divisors of  $n$ . Since the positive number of divisors of  $n$  is  $d(n)$ , the number of *partitions* of  $n$  with all parts are equal is  $d(n)$ .

$$\text{where } \beta = \begin{cases} 1 & \text{if } r | n \\ 0 & \text{otherwise} \end{cases}$$

From cases (1), (2) and (3) we get  $r$  – *partitions* of  $n$  with smallest part  $k$  that occurs at least  $t$  times is

$$\begin{aligned} & f_{r-t}(k, n-tk) + p_{r-t}(k+1, n-tk) + \beta \\ & = p_{r-t}(k, n-tk) + \beta \end{aligned} \tag{2}$$

From [2], the number of smallest parts in *partitions* of  $n$  is

$$spt(n) = \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} p(k, n-tk) + d(n). \quad \blacksquare$$

The above illustration is verified from the following *partitions* of 8.

8, 7+1, 6+2, 5+3, 4+4, 6+1+1, 5+2+1, 4+3+1, 4+2+2, 3+3+2, 5+1+1+1,  
4+2+1+1, 3+3+1+1, 3+2+2+1, 2+2+2+2, 4+1+1+1+1, 3+2+1+1+1,  
2+2+2+1+1, 3+1+1+1+1+1, 2+2+1+1+1+1, 2+1+1+1+1+1+1,  
1+1+1+1+1+1+1+1.

**2.2. Theorem**

$$p_r(k+1, n) = p_r(n - kr) \quad (3)$$

*2.2.1. Proof*

Let  $n = (\lambda_1, \lambda_2, \dots, \lambda_r), \lambda_i > k \quad \forall i$  be any  $r$  – partition of  $n$ .

Subtracting  $k$  from each part, we get  $n - kr = (\lambda_1 - k, \lambda_2 - k, \dots, \lambda_r - k)$

Hence  $n - kr = (\lambda_1 - k, \lambda_2 - k, \dots, \lambda_r - k)$  is a  $r$  – partition of  $n - kr$ .

Therefore the number of  $r$  – partitions of  $n$  with parts greater than or equal to  $k + 1$  is  $p_r(n - kr)$ .

**2.3. Illustration**

Let  $n = 9, k = 2$  and  $r = 3$ .

$$\xi_r(k+1, n) = \xi_3(2+1, 9) = \xi_3(3, 9) = \{3+3+3\}$$

$$\text{Hence } p_3(3, 9) = 1$$

$$\xi_r(n - kr) = \xi_3(9 - 2.3) = \xi_3(3) = \{1+1+1\}$$

$$\text{Hence } p_3(3) = 1$$

$$\text{Hence } p_r(k+1, n) = p_r(n - kr).$$

Further we also derive generating function for the number of smallest parts of all partitions of  $n$  utilizing  $r$  – partitions of  $n$ .

**2.4. Theorem**

$$\sum_{n=1}^{\infty} spt(n) q^n = \frac{1}{(q)_{\infty}} \sum_{n=1}^{\infty} \frac{q^n (q)_{n-1}}{(1 - q^n)}$$

*2.4.1. Proof*

From theorem (1) we have

$$spt(n) = \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} p(k, n - tk) + d(n)$$

where  $d(n)$  is the number of positive divisors of  $n$ .

$$= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} p_r(k, n - tk) + d(n) \quad \text{since } p(n) = \sum_{r=1}^{\infty} p_r(n)$$

First replace  $k + 1$  by  $k$ , then replace  $n$  by  $n - tk$  in (3)

$$= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} p_r(n - tk - r(k - 1)) + d(n)$$

From (4)

$$\begin{aligned}
 \sum_{n=1}^{\infty} spt(n) q^n &= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \frac{q^{r+tk+r(k-1)}}{(q)_r} + \sum_{k=1}^{\infty} \frac{q^k}{1-q^k} \quad \text{since } \frac{n}{r} = k \\
 &= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \frac{q^{tk+rk}}{(q)_r} + \sum_{k=1}^{\infty} \frac{q^k}{1-q^k} \\
 &= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} q^{tk} \left[ \sum_{r=1}^{\infty} \frac{(q^k)^r}{(q)_r} \right] + \sum_{k=1}^{\infty} \frac{q^k}{1-q^k} \\
 &= \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \left[ \left( 1 + \sum_{r=1}^{\infty} \frac{(q^k)^r}{(q)_r} \right) - 1 \right] + \sum_{k=1}^{\infty} \frac{q^k}{1-q^k} \\
 &= \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \left( 1 + \sum_{r=1}^{\infty} \frac{(q^k)^r}{(q)_r} \right) \\
 &= \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \prod_{r=0}^{\infty} \left( \frac{1}{1-q^r q^k} \right) \quad \text{from [1]} \\
 &= \sum_{k=1}^{\infty} \frac{q^k}{(1-q^k)} \prod_{r=0}^{\infty} \left( \frac{1}{1-q^{r+k}} \right) \\
 &= \frac{1}{(q)_{\infty}} \sum_{k=1}^{\infty} \frac{q^k (q)_{k-1}}{(1-q^k)} \\
 &= \frac{1}{(q)_{\infty}} \sum_{n=1}^{\infty} \frac{q^n (q)_{n-1}}{(1-q^n)}
 \end{aligned}$$

### 3. Corollary

The generating function for  $A_c(n)$ , the number of smallest parts of the *partitions* of  $n$  which are multiples of  $c$  is

$$\sum_{n=1}^{\infty} A_c(n) q^n = \frac{1}{(q)_{\infty}} \sum_{n=1}^{\infty} \frac{q^{cn} (q)_{cn-1}}{(1-q^{cn})}$$

To evaluate the sum of smallest parts of *partitions* of  $n$  by applying the concept of *r-partitions* of  $n$ , we propose the following theorem.

#### 3.1. Theorem

The generating function for the sum of smallest parts of the *partitions* of  $n$  is

$$\sum_{n=1}^{\infty} \text{sum spt}(n) q^n = \frac{1}{(q)_{\infty}} \sum_{n=1}^{\infty} \frac{nq^n (q)_{n-1}}{(1-q^n)}$$

3.1.1. Proof

From [3] we have the sum of smallest parts  $\text{sum spt}(n)$  of the *partitions* of a positive integer  $n$  is

$$\text{sum spt}(n) = \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} k p(k, n-tk) + \sigma(n)$$

where  $\sigma(n)$  is sum of positive divisors of  $n$ .

$$= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} k p_r(k, n-tk)$$

First replace  $k+1$  by  $k$ , then replace  $n$  by  $n-tk$  in (3)

$$= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} k p_r(n-tk-r(k-1)) + \sigma(n)$$

Hence the generating function for the sum of smallest parts of the *partitions* of a positive integer  $n$  is

$$\sum_{n=1}^{\infty} \text{sum spt}(n) q^n = \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \frac{kq^{r+tk+r(k-1)}}{(q)_r} + \sum_{k=1}^{\infty} \frac{kq^k}{1-q^k} \text{ from [4]}$$

$$= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \sum_{r=1}^{\infty} \frac{kq^{tk+rk}}{(q)_r} + \sum_{k=1}^{\infty} \frac{kq^k}{1-q^k}$$

$$= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} kq^{tk} \left[ \sum_{r=1}^{\infty} \frac{(q^k)^r}{(q)_r} \right] + \sum_{k=1}^{\infty} \frac{kq^k}{1-q^k}$$

$$= \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} kq^{tk} \left[ 1 + \sum_{r=1}^{\infty} \frac{(q^k)^r}{(q)_r} - 1 \right] + \sum_{k=1}^{\infty} \frac{kq^k}{1-q^k}$$

$$= \sum_{k=1}^{\infty} \frac{kq^k}{(1-q^k)} \prod_{r=0}^{\infty} \left( \frac{1}{1-q^r q^k} \right)$$

$$= \sum_{k=1}^{\infty} \frac{kq^k}{(1-q^k)} \prod_{r=0}^{\infty} \left( \frac{1}{1-q^{r+k}} \right)$$

$$\begin{aligned}
 &= \frac{1}{(q)_\infty} \sum_{k=1}^{\infty} \frac{kq^k (q)_{k-1}}{(1-q^k)} \\
 &= \frac{1}{(q)_\infty} \sum_{n=1}^{\infty} \frac{nq^n (q)_{n-1}}{(1-q^n)} \\
 \sum_{n=1}^{\infty} \text{sum spt}(n)q^n &= \frac{1}{(q)_\infty} \sum_{n=1}^{\infty} \frac{nq^n (q)_{n-1}}{(1-q^n)} \blacksquare
 \end{aligned}$$

#### 4. Conclusion

The formula for the number of smallest parts of partitions of a positive integer  $n$  was first derived by George E Andrews. In the present article we derived the generating function for the number of smallest parts of all partitions of  $n$  utilizing  $r$ -partitions of  $n$ . We also derived the generating function for  $Ac(n)$ , the number of smallest parts of the partitions of  $n$  which are multiples of  $c$  and also to evaluate the sum of smallest parts of partitions of  $n$  by applying the concept of  $r$ -partitions of  $n$ .

There are many such applications. A straightforward one is that partitions can be used in statistical mechanics to count available states to many-particle bosonic/fermionic systems and in the calculation of their "partition" functions.

#### Compliance with ethical standards

##### *Acknowledgments*

Authors are thankful to Dr. K. Hanuma Reddy for his suggestions during  $r$ -partitions

##### *Disclosure of conflict of interest*

We both assure the publishers that Dr. A. Manjusree will be the main author and she will followup all the correspondence. We also disclose that we are bound to the rules and regulations of World Journal of Advanced Research and Reviews.

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