# Chapter 5

# Learning Swahili morphology

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We describe the results of automatic morphological analysis of a large corpus of Swahili text, the Helsinki corpus, using Linguistica, an unsupervised learner of morphology. The result is a fine-grained analysis, with some results corresponding to the familiar linguistic analysis, and with others that are possible only with exact quantitative measures available with computational analysis. The prefixal inflectional morphology is largely done well, while the suffixal morphology is successfully analyzed in some cases and not in others.

#### 1 Introduction

In this paper we would like to explain some of the things that we have learned from a project on the learning of morphology. "Learning of morphology" in this context means using an algorithm which takes a large amount of text from a language, and draws conclusions about what are the roots, affixes, and principles of word construction (from roots and affixes) in this particular language. The crucial fact to bear in mind is that the algorithm is to have no prior knowledge of the language that we give to it. Whether the language is English or is Swahili, the learning algorithm starts from the same point; any differences that it draws between the two derive entirely from the data, and not from anything that we have given to the algorithm.

That sounds like a tall order, and in some ways it is. But we can offer the following as motivation for this work. When we teach an introductory course on linguistics, we always reserve the second class on morphology for an experiment. We begin by putting a word on the board: *ninasema*, but we do not tell them this is from Swahili. We ask if anyone knows what it means or what language it



comes from; if someone does know, we tell them to be quiet for the rest of the class. Then we ask everyone else to divide it into morphemes. There is silence, of course, because the students think they have no idea what the right answer is. Then we ask them to guess how many morphemes there are here: one? two? more? Students guess there are at least two morphemes, and if pressed, typically offer a cut into *nina-sema*.

Then we write unasema, and ask them if this allows them to change their minds. Everyone with an opinion opines that the correct cuts are ni-nasema and u-nasema. When we ask why they do not like nina-sema and una-sema — which, after all, would allow them to keep the guess that they started out with, when they knew only ninasema — they do not know why, but they are pretty sure that ni/u + nasema is right.

Then we consider a third word, *anasema*, and the students feel confirmed in their judgment after the second word, since they can easily extend their hypothesis to *ni/u/a* + *nasema*. Again, we ask them why they do not want to go for *nina/una/ana* + *sema*, and although they cannot say why exactly, they are pretty confident that this last hypothesis is not right, because it is missing something.

The next word is *ninaona*, and the students easily conclude that there is a break after *nina* (comparing *ninasema* and *ninaona*) and furthermore, the word should be divided up as *ni-na-ona*. The next two words we offer are *ninampiga* and *tunasema*. The first they break up as *ni-na-mpiga*, and the second as *tu-na-sema*. How about *ninawapiga*? That must be *ni-na-wa-piga*, and then they realize we must go back and reanalyze *ninampiga* as *ni-na-m-piga*. So far we have what we see in Figure 1.

The point to bear in mind is that the students have done this without being told what the Swahili words mean in English. At some point we explain that in other linguistics courses, the teacher gives their students the same words along with their English translations, but we tell them that we do not think it is necessary to know the meanings of the words to find the morphemes, and that the external form (which is to say, the spelling) is enough to discover the right morphological structure. By the end of the class, we have analyzed about 30 Swahili words, and found the right structure, at which point we tell them what the various morphemes mean in English, and briefly show the template of the Swahili verb, as in Figure 1. We have indicated tense markers with double and verbal roots with single underlining, respectively, for the reader's convenience, here and below.

From this we draw the conclusion that it is possible to learn Swahili morphology even when you do not know another language to compare it to. This is a welcome conclusion, because this is a task that all Swahili-speaking children must undertake when they are two or three years old.

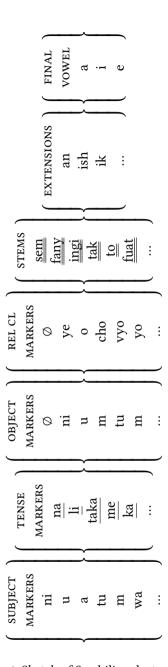


Figure 1: Sketch of Swahili verb structure

Table 1: Blind analysis

ni	na	Ø	sema
u		wa	ona
a		m	piga

But how exactly do the students do this? If we say they just use common sense, that is certainly true, but common sense is notoriously difficult to analyze. Furthermore, there is every reason to believe that this particular task is part of the language-learning capacity, so we have a very real professional interest in puzzling out exactly what this learning process is. It is for this reason that we began to develop an algorithm that learns the morphological structure of words, but with no access to meaning. In fact, we have developed several different approaches (and we are still looking for the best one), all of which we put under the umbrella name *Linguistica* (Goldsmith 2001, 2006, 2010).

How well can an automatic morphology analyzer deal with Swahili today? It has a long way to go before we can see it as comparable to a freshman taking a linguistics course using common sense. Still, there is a lot that it does right – which is to say, there are a lot of linguistic generalizations that it does observe. While there are interesting and complex matters of morphophonology in Swahili (loss of a vowel before another vowel, *ky* becoming *ch*, etc.) we can approach the problem of morphology before solving problems of morphophonology. What Swahili offers is a large range of affixes of similar sizes, and it is quite a challenge for an algorithm to break down a word into morphemes.

The present paper offers an overview of how Linguistica analyzes Swahili words. Its value at this point is not that it does a better job of analysis than a human, but rather that it can do a careful study of the morphology of a text so that we can ourselves more easily discover what it is that we are looking at. Linguistica serves as a tool to let us better understand what the data are that we are looking at when we explore a language on a large scale.

We believe that this work will be of interest to readers in different categories. For the linguist who knows Swahili, the results are interesting because such a linguist sees what can be learned in an explicit procedure of this sort. For such a linguist, Linguistica really serves as a microscope through which the details of the language emerge – almost visually. For the linguist interested in morphology, the work is of interest for what it says about the linguistic analysis of morphemes. The central operation here is – so to speak – *split*; the challenge for language learning is finding the pieces that the grammar of language organizes. One way to say this is that we are figuring out where a language-learner performs a "split"

operation, turning an unanalyzed string into its component pieces. *Split*, in this sense, is the flip-side to the process of *Merge*, so much discussed in the current literature. *Merge* makes sense only once we have developed the broad strokes of the rule of *Split*!

#### 2 Earlier work in this area

There was a good deal of work in computational morphology in general, and in automatic learning of morphology in a number of cases, during the last decade of the 20th century and the first decade of the present century, including significant work on Swahili and a number of other Bantu languages. Some of this was motivated by an interest in automatic learning of morphology (see the overviews in Goldsmith 2010 and Goldsmith et al. 2017), and much was motivated by practical goals. These goals included developing morphological analyzers that could be used in speech recognition systems and in machine translation, and also methods that could be used to parse very large Swahili corpora, such as the one developed as the Helsinki Corpus of Swahili, notably the SALAMA project described by Hurskainen (1992, 1999, 2004).

The SALAMA project developed the linguistic resources that made this work possible, notably the corpus of Swahili that contained over 300,000 distinct words. Without the resources that this project created, our work would not have been possible at all.

De Pauw & De Schryver (2008) provide an overview of much of that work, and they discussed work on a number of languages, including Northern Sotho, Zulu, Xhosa, and Tswana (see also De Pauw et al. 2009). There was a special issue on African Language Technology in *Language Resources and Evaluation* in 2011 which provided coverage of work that was being done at that time. As just noted, much of the work had practical goals in mind, such as improving speech recognition (Gelas et al. 2012) and machine translation systems, and in such a context, focusing on the development of a system that operates with no language-particular knowledge is a luxury item that has few rewards. Several researchers applied one of the Morfessor systems, such as Gelas et al. (2012) and some applied one of the Linguistica systems. Lindén (2008) explores predicting unseen Swahili words; see also Muhirwe (2007).

Several efforts have included "data-driven" learning, including De Pauw et al. (2006) on Swahili, De Schryver & De Pauw (2007) on Northern Sotho. Unsupervised learning is discussed for Luo (Nilotic) in De Pauw et al. (2010), and for Gikuyu (De Pauw & Wagacha 2007). Lindén (2008) discusses semi-supervised lemmatization of Swahili.

## 3 What is a morphological analysis?

What is a morphological analysis? This question is not anodyne; our answer to it determines what we expect of our learning algorithm. In much of the work in this area over the last 20 years, the answer has been that a morphological analysis is a division of each word into the pieces called *morphs*. We would like to accomplish more than that; we would like to discover more of the principles that determine the order and the distributional possibilities of roots and affixes.<sup>1</sup>

We can learn an extremely important lesson by looking at what the students did as they examined the Swahili words and proposed an analysis, based purely on form. They became convinced that they had found the right pattern, one that really gets at something *true* about the data, when they discovered sets of morphemes that take the form in Figure 2 for English or Swahili. Of course, the patterns there hold not just for these two verb stems, but for a very large set of stems, and this is even more true in the case of Swahili.

$$\left\{ \begin{array}{c} \text{jump} \\ \text{laugh} \end{array} \right\} \left\{ \begin{array}{c} \emptyset \\ \text{ed} \\ \text{ing} \\ \text{s} \end{array} \right\}$$

$$\left\{\begin{array}{c} ni \\ u \\ a \end{array}\right\} \left\{\begin{array}{c} \underline{na} \end{array}\right\} \left\{\begin{array}{c} \emptyset \\ wa \\ m \end{array}\right\} \left\{\begin{array}{c} \underline{\underline{sem}} \\ \underline{\underline{on}} \\ \underline{\underline{pig}} \end{array}\right\} \left\{\begin{array}{c} \underline{a} \end{array}\right\}$$

Figure 2: Two pieces of morphology

These representations show clearly how a good morphological analysis captures excess information that would be present if we were to simply list all of the relevant words. Morphological analysis starts with words, identifies redundancies, and uses those redundancies to create a representation in which what is stated is the essence of the grammatical description, that is, what makes the language what it is. In the present case, the word *redundancy* means needless repetition of a string of phonemes (or letters).

<sup>&</sup>lt;sup>1</sup>The view that the study of words was the study of how the words are composed of morphs and morphemes was viewed by most American linguists of the first half of the 20th century as the greatest American contribution to linguistics, second only to the setting of the phoneme on a firm methodological basis.

Table 2: Class-based prefixes

class	verbal SM	nominal pr.	adjectival pr.	pror	nominal pr.
PRS.1SG	ni	_	m-		
PRS.1PL	tu	_	wa-		
PRS.2SG	u	_	m-		
PRS.2PL	m	_	wa-		
1	a	m, mw	m, mw	yu	but w/- V
2	wa	wa	wa	wa	but w/- V
3	u	m, mw	m	u	but w/- V
4	i	mi	mi	i	but y/- V
5	li	ji/Ø	ji/Ø	li	but j/– V
6	ya	ma	ma	ya	but y/- V
7	ki	ki	ki	ki	but ch/- V
8	vi	vi	vi	vi	but vy/– V
9	i	$n/\emptyset$	$n/\emptyset$	i	but y/- V
10	zi	$n/\emptyset$	$n/\emptyset$	zi	but z/- V
11	u	u	m		
14	u	u	m		
15	ku, kw	ku, kw	ku, kw		
16	pa	pa			
17	ku	ku			
18	m	m			

Harris believed that by measuring this *successor frequency* we could find good candidates for morpheme breaks, and he was right. But the strings that we dis-

cover in this way are only candidates; many of them are not at all morphemes, and many morphemes are not discovered by Harris's method (or rather, by his methods). We hesitate to show the reader what can go wrong; it may cause them to wonder why we are using these methods. Here is a summary of the first stage of the algorithm that Linguistica employs. If we are seeking suffixes:

- 1. Find every position in each word where the successor frequency is 2 or greater, and imagine splitting the word there, with the piece on the left a potential stem, and the piece on the right the (potential) stem's *continuation*. We say "potential" here, because this piece has more tests to pass before it can be called a stem.
- 2. Having done that, for each potential stem S, gather together all of S's continuations, and alphabetize them. (For example, the potential stem jump might be linked to the set of suffixes  $\emptyset$ , ed, ing, s.)
- 3. Consider all of these continuations, and find those which are exact matches as the continuation of two different potential stems. For example, *walk* might also be linked to the set of suffixes in  $\emptyset$ , *ed*, *ing*, *s*. If we find such pairs of *multiple stems* and also *multiple suffixes*, we call that a *signature*.

If we are seeking prefixes, we do much the same, except that we do it in the reverse direction. We scan each word from right to left, looking to see how many different letters *precede* each string reaching to the end:

- 1. Find every position in each word where the predecessor frequency is 2 or greater, and imagine splitting the word there, with the piece on the right a *potential stem*, and the piece on the left the potential stem's continuation (in this case, however, the continuation is in a right-to-left direction, counter-intuitive as that may seem).
- 2. Having done that, for each potential stem *S*, gather together all of *S*'s continuations, and alphabetize them. (For example, the potential stem *-tabu* ('book' in Swahili) might be linked to the set of prefixes *ki-*, *vi-* 'SG, PL'.)
- 3. Consider all of these continuations, and find those which are exact matches as the continuation of two different potential stems. (For example, -tu 'thing' in Swahili might also be linked to the set of prefixes in ki,vi.) If we find such pairs of multiple stems and also multiple affixes, we label that a signature. A small Swahili text might include the signature {ki,vi: tabu,tu}.

This account assumes that we already know where the points are where the successor frequency (or predecessor frequency) is greater than 1, and it turns out that there is a simple way to find all those points, in all the words, and it requires much less work than one might imagine. First, alphabetize the list of words, and then go through that list looking only at pairs of words that are adjacent on the list (such as *walked*, *walking*, for example). Scan the two words from left to right (if we are looking for suffixes) or right to left (if we are looking for prefixes), and stop at the first point where the two words differ by a letter. The algorithm takes what is to the left of that point as a potential stem, and it then moves on to the next pair of words. This process is both simple and fast, from a computational point of view.

It is not right to say that the algorithm is finding stems at this point. We will let it analyze Swahili to find prefixes, and in so doing, we are finding the leftmost set of morphemes, and treating everything that follows as an unanalyzed whole, which we call for now simply a "potential-stem." In fact, that potential-stem contains many morphemes within it; we are now engaged in simply slicing off the leftmost prefixes of the words in Swahili, and we have just called what follows a "potential stem." We will continue to cut the potential-stem down to smaller morphs as that becomes possible. Thus in most of the cases we look at below, the "potential" stems that are computed are themselves analyzable into morphs (at a later stage in the computation, as well as in our heads). In order to avoid the ambiguity of the phrase "potential stem," we will create a new term, parastem, to refer to this. A signature is composed of a set of affixes and a set of parastems, and the parastems may themselves be analyzed further in additional signatures. A parastem that can be broken down no further is a stem.

As we observed at the beginning of this section, within the community of computational linguists working on the problem of automatic learning of morphology, different researchers have begun with different assumptions about what the task is. Some linguists have focused on the problem of segmentation, which means dividing a word up into successive morphs, while others (perhaps skeptical about the notion of morph or morpheme) seek to tag any given word with the morphosyntactic features that it bears. Our work falls in the former group – that is, we are very concerned with finding the proper analysis of a word into consecutive morphs. In addition, we would like to provide an analysis of how morphemes relate to one another in a word. Traditionally, linguists have spoken about relationships in praesentia, relations between morphemes that appear in a given word, and relationships in absentia, which is to say, the way in which multiple morphemes are alternatives to one another in a particular position in a

word's morphology. We are interested in learning as much as possible about this aspect of a language's morphology as well.

Our interest here is exploratory. We are not in a rush to develop a practical tool; we have the opportunity to take some time and look at what kind of evidence regarding linguistic structure can be found by looking carefully at language data.<sup>2</sup>

# 4 Morphology of the left edge of the Swahili verb

We used the Helsinki corpus of Swahili, which has about 300,000 distinct words. When we applied the current Linguistica algorithm above to 300,000 words to find prefixal signatures, we found 3,434 signatures; when we added an entropy-based filter,<sup>3</sup> 1,235 signatures remained, and it is this set of signatures that we will describe.

In some ways, using Linguistica is a bit like using a microscope, and just like when we use a microscope for the first time, it takes a bit of experimenting before the picture comes sharply into focus. Let us begin our tour, then, with a rough statement of the position of morphemes in finite Swahili verbs, and a summary of what Linguistica gleans from a large corpus.

<sup>3</sup>That filter is roughly this: when the algorithm makes a prefix cut, in light of what we have said so far, it is because as we scan from right to left, a spot is found where there are two alternative options: e.g., as we scan *kitabu* and *vitabu* from right to left, a break will be created before the common stem *-itabu*, though this is in fact wrong. Indeed, throughout the corpus, when a signature *k=v* would be uncovered, it will always be followed by exactly one phoneme option: the vowel *i*. The location of true morpheme breaks always involves options both to the left and to the right (which is to say, both prior in time and forward in time). We measure this notion of *options* by the entropy of the final letter right before and right after a proposed morpheme break, and if (as with *k-itabu*, *v-itabu*) zero entropy is discovered (which is just a way of saying that only one letter is present), the algorithm proceeds to create other splits until a non-zero entropy is found. In the case of *kitabu*, this means adding the break *ki-tabu*, *vi-tabu*, which has a non-zero entropy after the break, since many different letters follow *ki*-and *vi-*, such as we see in *kilima*, *vilima* 'hill, hills'.

<sup>&</sup>lt;sup>2</sup>The algorithm that we employ here has the following stages. We will describe the process of prefix discovery, and the mirror image of it is used for suffix discovery. First, we alphabetize the list of words from the right-end of each word, then we look at each pair of adjacent words on this list, and determine find the rightmost letter whereby the two words disagree. We take the material to the right of that point as a *protostem*. For each word w that ends with protostem t, we take t to be t's extension if t if t is what precedes t in word t. We call each set of extensions to a protostem a *protosignature*. We collect all protosignatures that are associated with at least two protostems. We create a set of signatures which consist of a collection of extensions and all of the stems which occur with exactly those extensions in the corpus. If all of the stems in a signature end with the same letter or string of letters, that letter or string of letters is moved from the stems to the extensions. Two further functions are used to identify licit morphemes in the extensions in the system used here.

The textbook description of Swahili is much as given in Figure 3, while Linguistica's conclusions for the left side and the right side of the Swahili verb are given in Table 4. The first figure concerns the initial subject marker position, the following tense marker position, and the position after the tense marker. It does not properly distinguish object markers, such as the *-ki-* in *ni-li-ki-som-a* 'I read it' from the relative clause marker *cho* in *ki-tabu ni-li-cho-ki-soma* 'the book that I read'.

Figure 3: Sketch of Swahili verb morphology

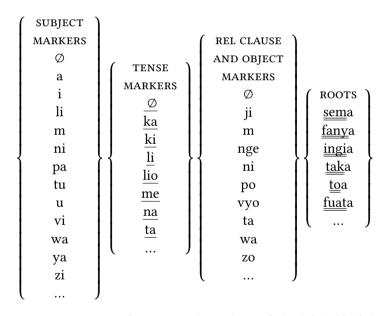


Figure 4: Summary of Linguistica's analysis of the left half of the Swahili verb

Indepently of prefix discovery, Linguistica analyzes the right-end of the word, and the major part of its conclusions are summarized in Figure 5.

However, the template given in these two tables gives only a superficial summary of Linguistica's analysis. Let us consider what happens with each slice of the analysis.

There are 1,235 signatures that emerge from the first iteration of prefixal analysis. What do these signatures say? What can we learn from them? It is natural

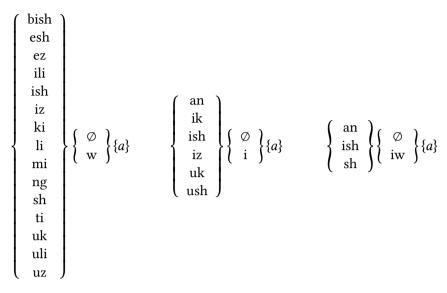


Figure 5: Three signatures from Linguistica's analysis of the right half of the Swahili verb

to sort them in some way so that the most interesting signatures will appear at the top of the list, and there are several ways of sorting that come to mind. We might, for example, sort the signatures by the number of stems they contain, or we might sort them by the number of affixes. Both present us with interesting material. From a linguist's point of view, sorting them by the number of affixes is by far the more interesting. Figure 6, which is presented to the user by Linguistica, shows how we can arrange the signatures in a lattice, where signatures with the same number of affixes appear on the same row, and in which the signatures in each row are sorted by decreasing numbers of stems (though we have departed from that latter point a bit to make the figures easier to read here).

We need to explain carefully what the relation is between the several figures and tables given here. Each box in Figure 6 is a signature, and each corresponds to an individual *row* in Table 4. Each of these signatures corresponds to an individual *number* that appears in the rightmost field of Table 3, and the reader can see the correspondence by comparing the number which indicates the number of stems in each signature.

In Table 3, each line corresponds to a row in Figure 6 – top row to top row, and then down from there. Each of the lines in the next table, Table 4, corresponds to *one* of the individual signatures tallied in Figure 6 or Table 3. The first row in Table 4 corresponds to the one signature with 14 affixes, and the five signatures in row 2 in Table 5 are the next five signatures in Table 3 (or Figure 6).

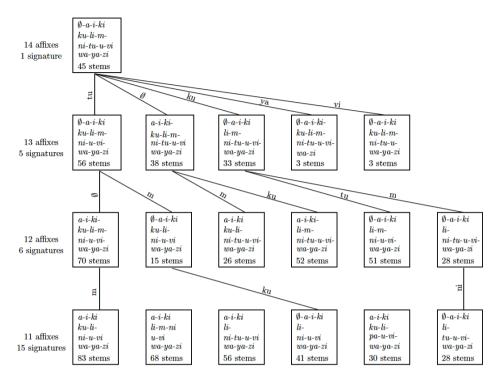


Figure 6: Top of the lattice of word-initial signatures

Table 3: Word-initial signature counts

N affixes	N signatures	Stem	coun	ts in ii	ndivi	dual	sign	ature	es	
14	1	45								
13	5	56	38	33	3	3				
12	6	70	52	51	28	26	15			
11	15	83	68	56	41	30	28	16	11	9
10	22	129	90	38	32	30	23	22	20	17
9	34	205	46	32	29	20	19	16	16	15
8	56	131	82	56	41	26	25	24	17	16
2	653	2419	1297	1070	755	690	668	601	564	507

Table 4: Examples of word-initial signatures

Stem count				S	Signatures	ıres						
a i	Έ.		ij	ш	ni	+	tt tt	n	vi	wa	ya	zi
a i	Ł.	ku	ij	ш	ni			n	Zi	wa	ya	Zį
a i	Έ.		ij	ш	ni	t	n	n	Zi	wa	ya	$z_{\mathbf{i}}$
a i	Κi		li	ш	ni	1	n	n	Λİ	wa	ya	zi
a i	Ł.		li	ш	ni	1	n	n	Λİ	wa		zi
a i	Κ̈́	ku	ij	ш	ni	1	n	n		wa	ya	Zi
a i	. <u>⊼</u>		ij	ш	ni			n	Vi	wa	ya	Żİ
a i	<u>'</u>		ij	ш	ni	t	n	n	Zi	wa	ya	Zi
a i	<u>k</u> :		ij	ш	ni			n	Vi	wa	ya	Zi
a i	<u>'</u>		ii		ni	t	Ħ	n	Zi	wa	ya	Zi
a i	<u>'\</u>	ku	ij		ni	t	n	n	Ņ	wa	ya	Zi
a i	<u>'</u>		ij			pa		n	Ņ	wa	ya	Zi
a i								n		wa		

### 4.1 The top signature and its parastems

The very top signature in Figure 6 is the largest signature, in that it has a set of 14 prefixes:  $\emptyset$ -a-i-ki-ku-li-m-ni-tu-u-vi-wa-ya-zi; it does not contain the locative marker pa-. The vast majority of signatures beneath it are composed of subsets of those 14 prefixes. Each of the five signatures on the row for 13 affixes is missing one prefix from the one in row 14 (in both Table 3 and Figure 6), just as each of the signatures in row 12 is missing one from those above it, and these differences between the affixes comprising the signatures are marked on the lines in the figure. We have given 18 signatures in Figure 6 out of the total set of 1,235.

Let us dig a little more deeply, and look at the parastems that are found in the top signatures (recall that a parastem is an element in a signature which may be analyzed in a later signature). Each parastem is sorted by, and listed with, its total occurrence count in the corpus in Table 5. One does not see much that stands out with this frequency sorting, but we have sorted the stems alphabetically in Table 6. Here we have *manually* underlined the tense markers and double-underlined the verb roots for the reader's benefit, and manually indicated morpheme breaks (these breaks have not been discovered by Linguistica yet).

Table 5: Parastems of	the longest signature,	sorted by frequency

		1.	1001	1:1 1 1:	
na	554,554	rudi	1991	likubali	564
le	33,130	nahitaji	1783	naingia	447
kiwa	20,663	jadili	1514	fike	325
pande	10,230	ngekuwa	1493	taondoka	321
ko	8,857	pate	1404	kiingia	261
takuwa	8,824	kubali	1370	tafanikiwa .	253
we	6,440	nakwenda	1188	kafanya	218
po	5,847	nazidi	1028	naongeza	215
a	4864	tatoa	939	kazidi	203
nataka	4854	naanza	758	nafuata	180
nao	4787	takwenda	654	napita	160
liko	4278	zidi	598	nampa	159
kiwemo	3995	meingia	583	nawapa	155
nayo	3574	nategemea	579	najenga	151
nadaiwa	2220	ngali	331	naifanya	91

<sup>&</sup>lt;sup>4</sup>We consider the absence of *pa* to be an error committed by Linguistica.

Table 6: Parastems	of the	longest	signature	alphabetized	from	left to
right		C	Ü	•		

a	me <u>ingi</u> a	<u>па</u> т <u>р</u> а	<u>pat</u> e
<u>jadili</u>	na	<u>na ongez</u> a	po
<u>ka fany</u> a	<u>na anz</u> a	<u>na pit</u> a	<u>rudi</u>
<u>ka zidi</u>	<u>na daiw</u> a	<u>na <u>tak</u> a</u>	<u>ta <u>fanikiw</u> a</u>
<u>ki ingi</u> a	<u>na <u>fuat</u> a</u>	<u>na tegeme</u> a	<u>ta</u> ku <u>w</u> a
<u>ki w</u> a	<u>na <u>hitaji</u></u>	<u>na</u> wa <u>p</u> a	<u>ta</u> kw <u>end</u> a
<u>ki</u> wemo	<u>na</u> i <u>fany</u> a	na yo	<u>ta ondok</u> a
ko	<u>na</u> kw <u>end</u> a	ngali	
<u>kubali</u>	<u>na ingi</u> a	<u>na zidi</u>	<u>ta <u>to</u> a</u>
<u>li</u> ko	<u>na jeng</u> a	<u>nge</u> ku <u>w</u> a	we
<u>li kubali</u>	na o	<u>zidi</u>	

There are some interesting points here (though once we see them, we are inclined to say to ourselves, Oh yes, I should have thought of that). First of all, there are several monosyllabic elements, including three that are monomorphemic *na*, *le*, *we*, often referred to as *pronominal stems* (which can be monomorphemic or bimorphemic) in the Swahili literature. *na* can be translated as 'with,' and it is used to indicate possession (*a-na kitabu* 'he has a book', where *a-* is the Class 1 verbal prefix). *-le* is a demonstrative stem 'yonder' which is preceded by a pronominal prefix, and *-o* is a demonstrative stem 'near you.' *We* is a stem that marks 2nd person singular; we return to Linguistica's treatment of pronominal stems below.

From a quantitative point of view, there are two points of interest. The most frequent item in the list of parastems, na, has a ridiculously high count at 554,554; as we just noted, SM + na expresses possession (na could be translated as with). Other than this one item, the rest of the parastems reflect a frequency distribution in keeping with a Zipfian distribution, as we find in most of the other signatures as well (we return to this immediately). It is striking, as well, that there are no parastems with frequency below 91.

Let us digress for a moment on an interesting point. Word distribution in Swahili is Zipfian as it is other languages, which means that there are a very large number of words that occur very rarely: just once. That proportion is around half: about half of the words in a wordlist drawn from a corpus occur only once. Words that occur only twice in the entire corpus is about two-thirds of that, and over a relatively large range of words of high frequency, the observed frequency is inversely proportional to the rank of the work on the word-frequency list: the

 $k^{\mathrm{th}}$  word on the frequency ranked list has a frequency around 0.06/k. This breaks down for words of low frequency, but it summarizes well what frequencies we can expect among the most frequent words of a language. This distribution holds for stems as well.

There is a sense in which we can speak of the placement of potential words in this lattice in a dynamic fashion. Suppose we complete our morphological analysis of Swahili on our corpus, and then we go back to the beginning of the corpus and consider each word, knowing now its internal morphological structure but not knowing at any given moment anything about its future appearances in the corpus. In this mental experiment, we can watch any individual parastem as it climbs up through the lattice as we proceed further and further down the corpus. Let us say that we are observing the stem s; we watch it first appear with a given prefix  $p_1$ , and then later with prefix  $p_2$ ; this puts the stem on the second row of the lattice, inside the signature with those two prefixes  $p_1$  and  $p_2$ . When it appears later with a third prefix  $p_3$ , it moves up again, and it might eventually get to the top, once the parastem has appeared with all of the prefixes that it possibly can.

Why don't all parastems appear at the very top of the lattice, then? The first answer is because language is Zipfian, and most parastems do not occur very often – certainly not 14 times, the minimal number of occurrences needed for a parastem to get to the top of the lattice. A second reason is that not all parastems want to occur with all the different noun classes (so to speak); a stem built from a verb which requires a human subject is likely to occur primarily or only with class 1 and 2 markers, and a lot of verb roots have this property, and similar remarks hold for other subgroups of verb and adjectival roots.

The result of this is that it is of linguistic interest to see how quickly a given parastem moves up this lattice, and which ones get stuck in the lattice somewhere below the top row. They get stuck if they are infrequent, or they get stuck if there is a reason why they should not appear with all of the noun classes. End of digression!

### 4.2 The 2nd signature: ∅-*a*-*i*-*ki*-*ku*-*li*-*m*-*ni*-*u*-*vi*-*wa*-*ya*-*zi*

After the first signature, with 14 affixes, we find that the next 5 longest signatures all contain 13 prefixes. A moment's thought will tell us that we should have expected that there would be 14 different signatures here, rather than only 5; after

<sup>&</sup>lt;sup>5</sup>Reality is more complex than this comment suggests; for example, there are a number of stems, such as *rafiki* 'friend' that has two plural forms, *rafiki* and *ma-rafiki*, where *ma-* is the class 6 prefix.

all, there are 14 different ways to contain one fewer affix than the total of 14; put another way, there are 14 different ways to select 13 affixes from a set of 14. But in fact there are only 5 such signatures, rather than 14. If we retain the dynamic image described just above, we can imagine that the stems that are in these 5 signatures are those which failed to reach the top because they each failed to get one of the 14 affixes, and it would be reasonable to expect that these would be the 5 with the lowest frequency. Here is what we find; the missing affixes are tu,  $\emptyset$ , ku, ya, and vi.

The second signature, which has 13 affixes and 56 stems, is missing the subject marker tu- (1st person plural). Its parastems are given in Table 7. As with the first signature, it is hard to see much in this table, but if we sort the parastems alphabetically (left to right), we find a more interesting pattern in Table 8.

lisema	lifanya	nakuja	kafanya
likuwa	nakuwa	kitumia	naongeza
mekuwa	litaka	meingia	wape
naweza	naonekana	nategemea	kazidi
kiwemo	kifanya	likaa	nabaki
lianza	natoa	kipata	nafuata
naendelea	nakwenda	naingia	nakosa
litoa	baki	naitwa	napita
weze	lipo	nachukua	nampa
kawa	nazidi	mebaki	nawapa
meanza	kaanza	lipokea	najenga
nadaiwa	kitaka	kiingia	naenda
nafanya	siwe	kaingia	kabaki
napaswa	naanza	naleta	naifanya

Table 7: Parastems of second signature, sorted by decreasing frequency

It is striking that the parastems in Table 8 are composed of a small number of morphemes reused in different combinations, a good deal more so than was seen in Table 6. In Table 8, there are 56 parastems, and all but 4 begin with one of the

<sup>&</sup>lt;sup>6</sup>The reader might think that this means that for each of the 30 parastems in the top signature, the final prefix they encountered in the corpus was one of  $\emptyset$ , ku and pa. That is not quite right, because it is possible that they went through a state in which they had 14 different affixes, but not one of the three signatures ranked 2, 3, or 4; this is possible because if all of that signature's stems had moved up to the top signature, we would not see that phantom signature in the program's output.

<u>baki</u>	me <u>anz</u> a	<u>na daiw</u> a	<u>na</u> m <u>p</u> a
<u>ka anz</u> a	<u>me</u> <u>baki</u>	<u>na end</u> a	<u>na onekan</u> a
<u>ka <u>baki</u></u>	<u>me</u> <u>ingi</u> a	<u>na endele</u> a	<u>na ongez</u> a
<u>ka ingi</u> a	<u>me</u> ku <u>w</u> a	<u>na <u>fany</u> a</u>	<u>na pas</u> wa
ka <u>zidi</u>	<u>ka</u> wa	<u>na <u>fuat</u> a</u>	<u>na pit</u> a
<u>ki ingi</u> a	<u>ka <u>fany</u> a</u>	<u>na</u> i <u>fany</u> a	<u>na tegeme</u> a
<u>li anz</u> a	<u>ki <u>fany</u> a</u>	<u>na ingi</u> a	<u>na to</u> a
<u>li fany</u> a	<u>ki <u>tak</u> a</u>	<u>na <u>it</u> wa</u>	<u>na</u> wa <u>p</u> a
<u>li <u>ka</u> a</u>	<u>ki <u>tumi</u> a</u>	<u>na <u>kos</u> a</u>	<u>na wez</u> a
<u>li</u> ku <u>w</u> a	<u>ki pat</u> a	<u>na</u> ku <u>į</u> a	<u>na zidi</u>
<u>li</u> po	<u>na anz</u> a	<u>na</u> ku <u>w</u> a	siwe
<u>li poke</u> a	<u>na <u>baki</u></u>	<u>na</u> kw <u>end</u> a	<u>ki</u> wemo
<u>li sem</u> a	<u>na chuku</u> a	<u>na jeng</u> a	weze

wape

Table 8: Parastems of second signature, sorted left to right

5 tense markers ka, ki, li, me, na (see Table 12). Linguistica has not yet identified those as a class of morphemes – that will have to await the second iteration – but the natural goal for the learner is to find a way to identify subclasses of data that are going to be easier to analyze than the entire vocabulary taken as a whole. A number of roots are reused a good deal; these are the high frequency roots of the language, often used as auxiliary verbs in certain respects: -baki- 'stay': 4, -anz- 'start': 4, -ingi- 'enter': 4, -fany- 'do, make': 5. (We emphasize here that the apparent identification of the prefixes in this table was done by us, not by Linguistica.)

Let us take a step back. The top signature, as we sort by number of affixes, is the signature with 14 noun class prefixes plus the null prefix, and it does not include the locative prefix pa-, which does not appear until the 23rd signature, which is a-i-ki-ku-li-pa-u-vi-wa-ya-zi, with 30 stems. As we go down the list of signatures, as they get shorter (i.e., we go down a list of signatures which is sorted by the number of class prefixes contained), we have to wait until signatures 355 and 356 ( $\emptyset$ -al-k-l-z and  $\emptyset$ -ha-k-n-z) till we find anything else.  $\emptyset$ -al-k-l-z is, to be sure, an error,  $^7$  and  $\emptyset$ -ha-k-n-z is an error as well,  $^8$  but it has the first occurrence

li tak a

li <u>to</u> a

na <u>let</u> a

 $<sup>^{7}</sup>$ It wrongly places an *i* in the stem which should be in the prefixes.

<sup>&</sup>lt;sup>8</sup>Again, it wrongly places an *i* in the stem.

of the principal negative prefix ha. The next 138 signatures are various subsets of the class prefixes, and then the next three signatures consist of two errors and the first significant appearance of the negative form of the verb. These three signatures are  $\emptyset$ -al-l-v;  $\emptyset$ -h-k-t (both errors) – and  $\emptyset$ -ha-hawa-si, which has 172 parastems associated with it. Let us look at this negative signature.

#### 4.3 More on parastems

We have emphasized that the parastem that is revealed by Linguistica's algorithm is often analyzable, and that it frequently consists of several morphemes. But the parastems discovered need not be complex; if we look at very high frequency parastems to a signature in the first (left-most) layer, one of the highest is *-fanya* 'do, make', with 14,293 occurrence in the signature  $\emptyset$ -*a-i-ki-ku-li-m-tu-u-wa-ya-zi*. Another is *-taka* 'want', with 5,434 occurrences in the signature  $\emptyset$ -*a-i-ki-ku-li-m-u-wa-ya-zi*. Still, this is the exception rather than the rule.

#### 4.4 Verbal negation: the prefix ha-

Verbal negation in Swahili is expressed in ways that are governed by the tense. The simplest pattern for Linguistica to find is the pattern in the simple past tense, as briefly illustrated in Table 9.

		ku-som-a 'to i	read'	
	Pa	st tense	Presen	t tense
	affirmative	negative	affirmative	negative
1sg	ni-li-som-a	si-ku-som-a	ni-na-som-a	si-som-i
1pl	tu-li-som-a	ha-tu-ku-som-a	tu-na-som-a	ha-tu-som-i
3sg	a-li-som-a	h-a-ku-som-a	a-na-som-a	ha-som-i
3PL	wa-li-som-a	ha-wa-ku-som-a	wa-na-som-a	ha-wa-som-i

Table 9: Verbal negation

The  $\emptyset$ -ha-hawa-si signature brings together, for example, the forms kusoma: hakusoma: hawakusoma: sikusoma. These four forms are the infinitive, followed by three negative past tense forms, where -ku- plays the role of a tense marker (marking past tense, the negative TM corresponding to the affirmative -li-.)

The 1st person singular prefix *ni* is replaced by *si* in negative verbs, and while the present tense negative will in native vocabulary bring with it (so to speak)

a change of the final vowel to -i, that change is not observed in the past tense. Thus the three verbal forms in this signature *hasoma-hawasoma-si* are given in Table 9.

The examples in Table 10 illustrate the overwhelming dominance of the past tense negation occurring in this signature. Why do we not find something similar for the present tense? The principal reason is the one already mentioned: in the present tense, the final vowel is most often different than the final vowel in the corresponding affirmative present tense, and thus a method that looks for patterns based on a right-to-left scan is bound to fail, at least at this point in the analysis.

However, there are other ways for the correct analysis to emerge from the data. For example, there are four signatures with three items selected from the set *ha*, *hai*, *hatu*, *hawa*, *hazi*, *hu* and *si*. The signature *ha-hai-hawa*, for example, is associated with 181 stems. Of these 181, 75 begin with the tense marker *-ja-*, which is the tense marker for the negative perfect. We have listed the 32 parastems in this signature with the highest frequency, but the following generalization holds throughout: either the parastem begins with *-ja-*, or it is a (borrowed) verb root ending with its own final vowel (and hence has the same final vowel in the present tense negative as in the affirmative).

#### 5 Second iteration

Let us turn now to the next set of prefixes that we are looking for on the left edge of the Swahili word. We will try a simple procedure: we will consider all of the parastems uncovered during the previous iteration, and apply the same algorithm, treating the parastems as if they were the set of words. In the event, with some 301,000 words in the first iteration from the corpus, we now have 56,363 parastems to consider. From these parastems, 212 signatures arise, and some of the global information is presented in Table 13. The top signatures themselves are given in Table 14.

The signatures in Table 14 support an analysis in which this morphological position includes the morphemes in Table 6, where we have put the traditional designations on these tense markers. The morphs in Table 14 which are not tense markers (and which are errors) are: *lio*, *o*, *nayo*, *i* and *si*.

Table 10: Parastems of the signature  $\emptyset$ -ha-hawa-si

hwa kumuona kuthubutu kumuuliza kutilia a kumwamini kutoka kumweleza kutumia kumwona kuumwa kumwua kumwua kuumia la kunwelewa kuupata kuomba kuwafahamu kuongea kuwahi bu kuonyesha kuwapo aa kupenda kuwaruhusu kupendezwa kuwepo kupendi kuweza kupewa kuyaona kupita kuzingatia kuregea kuzongumizia kuridhishwa kutimia
a kumwamini kutoka kumweleza kutumia kumwona kuumwa kumwua kuumia la kunwelewa kuupata kuomba kuwaeleza kuonana kuwafahamu kuongea kuwahi bu kuonyesha kuwajali kupata kuwaona a kupenda kuwaruhusu kupendelea kuwaruhusu kupendi kuweza kupewa kuyaamini kupigwa kuzingatia kuregea kuzoea ia kuridhika kutumia
kumweleza kutumia kumwona kutumwa kumwua kuumia la kunwelewa kuupata kuomba kuwafahamu kuongea kuwahi bu kuonyesha kuwajali kupata kuwaona a kupenda kuwapo aa kupendelea kuwaruhusu kupendezwa kuwepo kupendi kuweza kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzungumizia
kumwona kutumwa kumwua kuumia la kunwelewa kuupata kuomba kuwafahamu kuongea kuwahi bu kuonyesha kuwajali kupata kuwaona a kupenda kuwapo aa kupendelea kuwaruhusu kupendezwa kuwepo kupendi kuweza kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
kumwua kuumia la kunwelewa kuupata kuomba kuwaeleza kuonana kuwafahamu kuongea kuwahi bu kuonyesha kuwajali kupata kuwaona a kupenda kuwapo aa kupendelea kuwaruhusu kupendezwa kuwepo kupendi kuweza kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
la kunwelewa kuupata kuomba kuwaeleza kuonana kuwafahamu kuongea kuwahi bu kuonyesha kuwajali kupata kuwaona a kupenda kuwapo aa kupendelea kuwaruhusu kupendezwa kuwepo kupendi kuweza kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuradhika kuzungumizia
kuomba kuwaeleza kuonana kuwafahamu kuongea kuwahi bu kuonyesha kuwajali kupata kuwaona a kupenda kuwapo aa kupendelea kuwaruhusu kupendezwa kuwepo kupendi kuweza kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
kuonana kuwafahamu kuongea kuwahi bu kuonyesha kuwajali kupata kuwaona a kupenda kuwapo aa kupendelea kuwaruhusu kupendezwa kuwepo kupendi kuweza kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
kuongea kuwahi bu kuonyesha kuwajali kupata kuwaona a kupenda kuwaruhusu kupendelea kuwaruhusu kupendezwa kuwepo kupendi kuweza kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
kuonyesha kuwajali kupata kuwaona kupenda kuwapo aa kupendelea kuwaruhusu kupendezwa kuwepo kupendi kuweza kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
kuonyesha kuwajali kupata kuwaona kupenda kuwapo aa kupendelea kuwaruhusu kupendezwa kuwepo kupendi kuweza kupewa kuyaamini kupigwa kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
a kupenda kuwapo aa kupendelea kuwaruhusu kupendezwa kuwepo kupendi kuweza kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
kupendelea kuwaruhusu kupendezwa kuwepo kupendi kuweza kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
aa kupendelea kuwaruhusu kupendezwa kuwepo kupendi kuweza kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
kupendi kuweza kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
kupendi kuweza kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
kupewa kuyaamini kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
kupigwa kuyaona kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
kupita kuzingatia kuregea kuzoea ia kuridhika kuzungumizia
kuregea kuzoea ia kuridhika kuzungumizia
ia kuridhika kuzungumizia
9
0
a kuruhusiwa lali
li kusema lipi
liana kushangaa mpi
liwa kushinda mtaji
ta kushiriki mtaki
dia kushirikishwa muamini
kusikia mwezi
kusita ngoji
kusoma ombi
kustahili pambani
kustuka o pigi
a kusubiri pingi
lu kutaja ridhiki
ambia kutambua siti
gua Kurangaza tau
gua kutangaza taji 1 kutarajia toshi
ı kutarajia toshi
ı kutarajia toshi ı kutazamia uoni
ı kutarajia toshi ı kutazamia uoni a kutegemea utaki
ı kutarajia toshi ı kutazamia uoni
a

Table 11: 32 of the 181 parastems of the signature ∅-hai-hawa

elekei	jatambuliwa	patikani
eleweki	jatangaza	ridhishwi
fahamiki	jatimiza	takiwi
jabadilika	jathibitisha	tarajiwi
jafahamika	jatolewa	tekelezi
jafikia	jawasilisha	tokubali
jajulikana	jeni	walipi
jakamilika	kubaliki	watambui
jaonekana	leti	wezekani
japatikana	mtambui	zingatii
jaripoti	oneshi	

Table 12: Tense markers

ka	consecutive, or narrative
ki	conditional, or participial
li	past
me	perfect
na	present
ta	future
taka	future (before a relative clause marker)
nge	conditional

Table 13: Signatures of the second position (tense marker) in the word

N affixes	N signatures	Stem counts in signatures								
8	1	3								
7	2	5	3							
6	5	10	8	7	3	3				
5	21	16	10	9	9	8	7	6	6	
4	33	112	38	35	23	22	22	15		
3	359	365	281	243	243	237	224	223	191	
2	787	6932	2294	1670	1415	1,239	1,114	983	834	

	Affix	Stem		
Rank	count	count	Signatures	
1	8	3	Ø ki li lio me na o ta	
2	7	5	Ø ka ki li me na ta	
3	7	3	Ø ki li me na nayo ta	
4	6	10	Ø ka ki li me na	
5	6	8	Ø ki li me na ta	
6	6	7	Ø ka ki li na ta	
7	6	3	Ø ka li me na	ku
8	6	3	Ø ka li liyo me ta	
9	5	9	Ø i li na	si
10	5	3	Ø i li na	si
11	5	8	Ø ka ki li me	
12	4	6	Ø ka ki li na	
34	4	22	Ø ka li me	
38	4	22	Ø ki li na	
54	4	112	Ø li na	taka
108	3	141	Ø li me	
109	3	400	Ø li na	
143	2	247	Ø i	
153	2	308	Ø li	
200	2	179	li na	

Table 14: Selected tense marker signatures

# 6 Suffixal system

When we run our algorithm to find the suffixal system, we find 1,263 signatures, distributed in length in Table 15, and illustrated in Table 16 for the longest signatures.

### 6.1 The verbal system

We will focus first on the longest signatures, those with the largest number of affixes. This keeps us in the domain of verbal morphology.

On the whole, the analysis is remarkably good – or linguist-like, in any event. The forms in Table 16 are too long for a linguist's tastes, but the additional parsings given in Table 17 are almost entirely correct. We would like, first of all, for

the final vowel to be separated as a distinct morpheme, and there is a bit more to be said about the -VC- morphemes on the left side of the arrows in this table.

These -VC- morphemes are called *extensions* in Bantu languages, and the most common ones are -*an*- (reciprocal), -*esh*-/-*ish*-/-*ez*-/-*iz*- (causative), -*ik*- (stative), -*iw*- (passive), -*uk*- (reversive). The remaining cases are errors: *bish ki li mi ng sh ti uli ush uz*. 10

N affixes	N signatures	Stem cou	nts in sign	atures		
7	1	12				
6	2	4 3				
5	16	48 34	33 21		13 7	
4	33	172 112	93 90	77 65	56 54	45
3	79?	355 281	243 243	237 224	223	
2	56	308 247	184 179	179 130	109	

Table 15: Final signatures

At the same time, Linguistica proposes the additional analyses, given in Table 17. Table 7 summarizes some of Linguistica's analysis, which really *should* be what is shown in Table 8.

$$\left\{ \begin{array}{ll} bish & esh \\ ez & ili \\ ish & iz \\ ki & li \\ mi & ng \\ sh & ti \\ uk & uli \\ uz \end{array} \right\} \left\{ \begin{array}{ll} a \\ wa \end{array} \right\} \left\{ \begin{array}{ll} an \\ ik \\ ish \\ iz \\ uk \\ ush \end{array} \right\} \left\{ \begin{array}{ll} a \\ ia \end{array} \right\}; \left\{ \begin{array}{ll} an \\ ish \\ sh \end{array} \right\} \left\{ \begin{array}{ll} a \\ iwa \end{array} \right\}$$

Figure 7: Almost final results

appears as a lexicalized intensive suffix.

<sup>&</sup>lt;sup>9</sup>In addition, there is the vocalic extension -i- (applicative), which surfaces as -li-/-le- with verb stems ending in two vowels (e.g., ia, ea, aa, oa, ua); this is discussed in Mpiranya 2014: 112, 146. <sup>10</sup>The morph -ele-/-ili- in pairs like -enda/-endelea 'go/progress', -penda/-pendelea 'like, prefer'

	Affix	Stem		
Rank	count	count	Signatures	
1	7	12	a ana ia ilia iliwa iwa wa	
2	6	4	a ana ia ika iwa wa	
3	6	3	a ana ia ika iwa wa	
4	5	34	Ø a ana ka wa	
5	5	4	a aji e wa	we
6	5	3	a ana ea eka wa	
7	5	7	a ana ia ika wa	
8	5	33	a ana ia iwa wa	
9	5	13	a e ea ewa wa	
12	5	48	a ia ika iwa wa	
22	4	172	Ø a e i	
38	4	25	a ana ia wa	
54	4	54	a e wa	we
74	4	112	a ia iwa wa	

Table 16: Selected final signatures

Figure 8: Correct but not discovered

Table 17: Identification of extensions in final suffix sequences

an $\rightarrow \emptyset$	- i	iz $\rightarrow$ a - wa
an $\rightarrow$ a	- ia	$ki \rightarrow a - wa$
an $\rightarrow$ a	- ishwa	$li \rightarrow a - wa$
$bish \rightarrow a$	- wa	$mi \rightarrow a - wa$
ele $\rightarrow$ a	- za	$ng \rightarrow a - wa$
esh $\rightarrow$ a	- ea	sh $\rightarrow$ a - na - wa
esh $\rightarrow$ a	- ewa - wa	$sh \rightarrow a - e$
$esh \rightarrow a$	- wa	$sh \rightarrow a - ia - wa$
$ez \rightarrow a$	- wa	sh $\rightarrow$ a - iwa
ik $\rightarrow$ a	- ia	sh $\rightarrow$ a - iwa - wa
ili $\rightarrow$ a	- wa	sh $\rightarrow$ a - wa
ish $\rightarrow$ a	- ia	ti $\rightarrow$ a - lia
ish $\rightarrow$ a	- iwa	$ti \rightarrow a - wa$
ish $\rightarrow$ a	- iwa - wa	uk $\rightarrow$ a - ia
ish $\rightarrow$ a	- wa	$uk \rightarrow a - wa$
ish $\rightarrow$ iwa	a - wa	uli $\rightarrow$ a - wa
iw $\rightarrow$ a	- e	$ush \rightarrow a - ia$
iw $\rightarrow$ a	- i	$uz \rightarrow a - wa$
$iz \rightarrow a$	- ia	

# 7 Three other, simpler cases

Linguistica's performance with grammatical stems is mixed: some good, some bad. We will briefly look at three.

#### 7.1 -ote 'all'

The stem *-ote* 'all, entire, whole' is one that takes the pronominal prefixes of the sort found before a vowel. We do not find all its forms in the Helsinki corpus, and Linguistica places it in a signature with 11 other stems, all of which appear with the prefixes  $\emptyset$ -a-i-ki-li-m-ni-tu-u-wa-ya-zi, where we indicate the stem counts in the corpus (Figure 9).

					nazo	1,194	<u>nasubiri</u>	407	1
1	Ø	a	i	)	<u>naondok</u> a	371	<u>na</u> ogopa	246	
J	ki	li	m		kirudi	196	ji <u>tokez</u> e	165	l
)	ni	tu	u		<u>natembe</u> a	155	<u>na</u> wa <u>tak</u> a	154	ľ
	wa	ya	zi	)	<u>najadili</u>	83	takapo	78	
					ote	37		J	

Figure 9: Analysis of stem -ote

## 7.2 *-angu* 'my'

Linguistica's analysis here is not very good at all. Linguistica is permitted to assign multiple analyses to words, and it does so quite a bit with these words, as we see in Table 18. The stem -angu is identified in only two of the 15 forms present, and five different roots enter into the proposed analyses of the various forms. Even after studying the results, we are not certain why the algorithm wanders so far from the right answer. It does much better with a consonant-initial form such as -ko.

Table 18: -angu 'my'

class	'my'			Linguistica hypothesis								
	truth	gu	ngu	angu	wangu	yangu	changu					
1	w-angu	wan-gu	wa-ngu		Ø-wangu							
2	w-angu	wan-gu	wa-ngu		Ø-wangu							
3	w-angu	wan-gu	wa-ngu		Ø-wangu							
4	y-angu	yan-gu	ya-ngu			Ø-yangu						
5	l-angu			l-angu								
6	y-angu	yan-gu	ya-ngu			Ø-yangu						
7	ch-angu						Ø-changu					
8	vy-angu					v-yangu						
9	y-angu	yan-gu	ya-ngu			Ø-yangu						
10	z-angu			z-angu								
11			u-ngu									
14			-									
15	kw-angu		ku-ngu									
16	p-angu		pa-ngu									

#### 7.3 -ko of location (from ku-o)

All but one of the forms in Table 19 is correctly analyzed, but because a word can be multiply analyzed, quite a few have more than one analysis, which is not what we want to see here. In addition to the root -ko, other stems are incorrectly seen in one form or another: -iko, -ako, -uko, -mko, -yako, -tuko, -niko and even -kiko. The wrong analyses here are clearly motivated by the presence of words that are grammatically irrelevant but which Linguistica's lack of understanding of real grammar makes it incapable of ignoring. This is an area that we hope to explore more in the near future.

#### 8 Conclusions

What do we think that the reader should make of the work presented here? It is, after all, a computational model trying to perform as well as a trained human linguist, and in many respects does not come up to the standards of the linguist. Some things it can do better than a human, such as paying careful attention to the fact that many different combinations of the class prefixes appear and yet not all with the same frequencies. And it can do relatively poorly on analyzing the possessive form *-angu*. Still, it is not unreasonable to observe that *if* we were to be handed a wordlist of 300,000 words in an unknown language, having Linguistica as a tool would be a fabulous resource.<sup>11</sup>

One otherwise sympathetic reader of an earlier version of this paper expressed the view that we have not given the reader a good enough sense of where Linguistica fails. We have pointed to a few cases of errors, but not given a global sense of the balance of success and failure. That criticism is entirely correct. There are two challenges that Linguistica does not handle well which are important for dealing correctly with a Bantu language, and we have seen them here. The first is deciding which signatures should be "collapsed," i.e., seen as the same signature. For example, in Figure 7 we see 18 signatures with small differences of prefixes. Linguistica should be able to take the next leap, which is to say that it should treat all of these as belonging to the largest signature, thus making predictions about possible but unseen words. It should, that is, realize that the affixes that are "missing" in various cases are only accidentally missing. This problem arises in every language that we have worked on (or know of!), and it is a challenge

<sup>&</sup>lt;sup>11</sup>There are similar projects undertaken as we write this, including work on the Voynich manuscript (see https://en.wikipedia.org/wiki/Voynich\_manuscript) and on Iberian (see http://ibers.cat/corpuseng.html).

Table 19: -ko (from ku-o) of location

						-kiko											Ø-kiko						
	Ø−m					-niko	⊘-niko																
	Ø-ki-	ma-vi				-tuko		Ø-tuko															
	Ø-ha-	n-v				-yako										Ø-yako							
sis	Ø-a					-yuko					Ø-yuko												
hypothes	Ø-al Ø-a Ø-					-mko				Ø-mko													
inguistica	Ø-al	k-l-z				-uko			Ø-uko				Ø-uko									k-uko	
			hay-	w-y		-ako						w-ako				y-ako							
	Ø-al-k ha	l-n-v-	z			-iko	n-iko							⊘-iko	l-iko		k-iko	v-iko	⊘-iko	z-iko			
	Ø -a-i-	ki-ku-li-	m-ni-tu-	u-vi-wa-	ya-zi	-ko	ni-ko	tu-ko	u-ko	m-ko		wa-ko	u-ko	i-ko	li-ko	ya-ko	ki-ko	vi-ko	i-ko	zi-ko	u-ko	ku-ko	u-ko
gold	stan-	dard					ni-ko	tu-ko	u-ko	m-ko	yu-ko	wa-ko	u-ko	i-ko	li-ko	ya-ko	ki-ko	vi-ko	i-ko	zi-ko	u-ko	ku-ko	u-ko
class							1sG	1PL	2sg	2PL	1	2	3	4	2	9	7	8	6	10	11	15	

that we are working on. Note that solving this problem includes *not* placing a signature such as *ki-vi* in with the verbal prefix signatures (and many other cases of the same sort: we need to keep separate verbal, adjectival, and nominal prefix sets, which is not at all a trivial thing to do). A second problem (which is not unrelated to the first, but all of these problems are in one fashion or another related) involves correctly identifying the "left to right slots," so to speak: to correctly identify relative clause markers as something different from object markers, for example. Perhaps the reader should take away the message that very interesting things are done right by Linguistica's analysis, but we are not in a position to say that Linguistica really has the big picture of the morphology correctly sketched.

One of the hotly debated topics in linguistic theory over the last forty years has been the question as to whether the human ability to learn language is something similar in character to other human abilities. Yet while the project that we have described here is one of the relatively few language-learning projects that works on large collections of raw text, it is not at all clear which group of linguists should be happy with the successes that we have documented here. Do the steps in the algorithm that we have used seem like the kinds of things that a Chomskian rationalist would expect to find in a Univeral Grammar? The honest answer is simple: who knows? Suppose (as we believe, on better days) that the present project is one of the best examples of modeling grammar acquisition. If that is so, we have no reason to say that this is not what a rationalist learning algorithm looks like. On the other hand, the person who is uncomfortable with the deus ex machina character of Chomskian Universal Grammar could perfectly reasonably say that Linguistica's careful analysis of large amounts of data is exactly what puts this project in the empiricist, and not the rationalist, camp. But that too would be the voice of prejudice. The rationalist has no solid grounds for insisting that the language learner is incapable of handling large amounts of data and learning from it.

In the end, a principal interest of this project is that it allows us to build a truly explicit learning algorithm, working not on toy data (small amounts of selected data) but on very large and real data sets. That, in turn, provides us with a useful tool for better studying real and large corpora in linguistically sound ways.

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