



Pathways to Interdisciplinary and Transdisciplinary Research: the SHAPE-ID Toolkit

Find tools and resources to make informed decisions about interdisciplinary and transdisciplinary research with the Arts, Humanities and Social Sciences, the Sciences, Technology, Engineering and Mathematics, and societal partners.

The SHAPE-ID Toolkit

Overview, trajectories, and user guidance

Abstract

This document describes how the SHAPE-ID Toolkit came into being and explains its overall structure and current state.

In order to preserve the guidance for users of the online toolkit, it compiles the content of the toolkit 'entry page', 'about', 'roles', and 'sitemap' webpages. It refers to all the other SHAPE-ID documents published on Zenodo and thereby serves as directory.

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SHAPE-ID expert panel

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Online source – SHAPE-ID Toolkit

This publication refers to the web-based SHAPE-ID Toolkit: www.shapeidtoolkit.eu.

The Toolkit provides tools and resources to make informed decisions about interdisciplinary and transdisciplinary research with the Arts, Humanities and Social Sciences, the Sciences, Technology, Engineering and Mathematics, and societal partners.

Contributors & Co-producers

The SHAPE-ID toolkit is an artefact of collective wisdom. It was inspired by many inputs from the SHAPE-ID consortium mentioned above under 'compiled by', as well as members of the SHAPE-ID expert panels, namely: Prof. Rosi Braidotti, Nina Braun, Dr. Antonia Caro González, Prof. Kurt Deketelaere, Fionn Kidney, Prof. Geoffrey Crossick, Alice Dijkstra, Dr. Heide Hackmann, Prof. John Hegarty, Dr. Christoph Köller, Prof. Premesh Lalu, Dr. Gabi Lombardo, Prof. Michael O'Rourke, Prof. Margit Sutrop, Prof. em. Dr. Julie Thompson Klein, Prof. Przemysław Urbańczyk, Prof. Ing. Dr.phil. Dr.h.c. Verena Winiwarter, and Jan Jakub (Kuba) Wygnański.

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What is the SHAPE-ID Toolkit?

The SHAPE-ID Toolkit was developed by the SHAPE-ID project (see [appendix III](#)). It compiles tools and resources to support informed decisions about how to develop or support interdisciplinary and transdisciplinary research, with an emphasis on research involving Arts, Humanities and Social Sciences disciplines alongside societal partners and researchers from the STEM disciplines.

Users can discover

- What interdisciplinary and transdisciplinary research are and why they are worthwhile.
- How to create collaborative conditions and co-create a successful research project.
- How to engage and communicate with collaborators from other disciplines and other sectors.
- How to fund, support and evaluate these types of research, and much more.

The toolkit development has been documented in the following report: [10.5281/zenodo.5116040](https://doi.org/10.5281/zenodo.5116040).

Where can I find the web-based version and PDF version of the SHAPE-ID Toolkit?

The **web-based version** of the SHAPE-ID Toolkit was launched in June 2021 under www.shapeidtoolkit.eu. The long-term home of the web-based toolkit has not yet been defined by the time of publishing this PDF. In case of change in URL for the web-based toolkit, the project team will add new versions of this PDF to the zenodo platform. To check for new versions, use the DOI of this PDF ([10.5281/zenodo.4743703](https://doi.org/10.5281/zenodo.4743703)) and check the version history.

In order to make sure that the toolkit's resources remain accessible irrespective of the legacy of the web-based version, the main elements of the toolkit have been extracted and published in **PDF format on zenodo**, see [appendix I](#).

Who is the SHAPE-ID Toolkit for?

The toolkit aims to both inspire and inform. It is aimed at all levels of experience but especially newcomers. It is designed to serve as a knowledge gateway for:

- **Researchers** from any discipline, but particularly the Arts, Humanities and Social Sciences, who want to learn more about IDR/TDR, doing collaborative research and IDR/TDR careers



- ▶ **Funders and policymakers** interested in understanding why IDR/TDR is worth investing in and how to develop and evaluate IDR/TDR funding programmes
- ▶ **Staff in research performing organisations** with roles in educating, training, supporting, hiring, evaluating and promoting researchers
- ▶ **Societal partners** who wish to engage in knowledge co-creation with researchers, e.g., partners from industry, civil society organisations, the cultural sector and citizens

What is in the Toolkit?

The toolkit is a collection of resources organised around nine goals users might want to achieve.



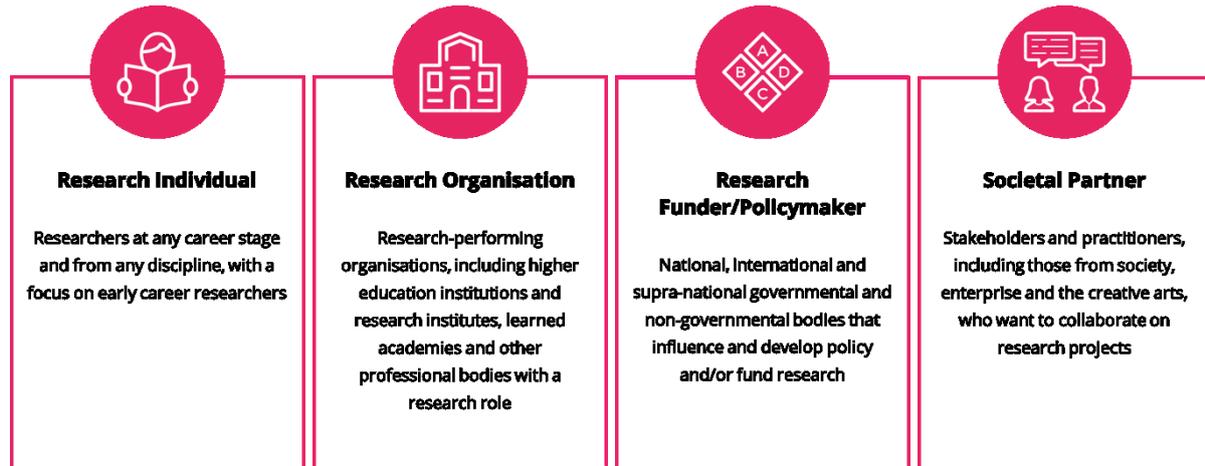
For each goal, the toolkit offers curated resources which are documented in a separate PDF file for each goal, see the resources collection series in [appendix I](#). Some of these resources have been generated by the SHAPE-ID team, such as Top Ten Tips, reflective tools, topic guides, case studies and annotated bibliographies (see [appendix I](#)). Various other resources have already been available online and the SHAPE-ID toolkit serves as a gateway to find and reach these resources, e.g., websites, blogposts, videos, audios, reports and papers. Also, resources that come from specific disciplines or organisations have been



included in the toolkit, if the project team considered them to have valuable messages for a more general audience. The team then provided context and introductions to guide their use where necessary.

How is the web-based Toolkit structured?

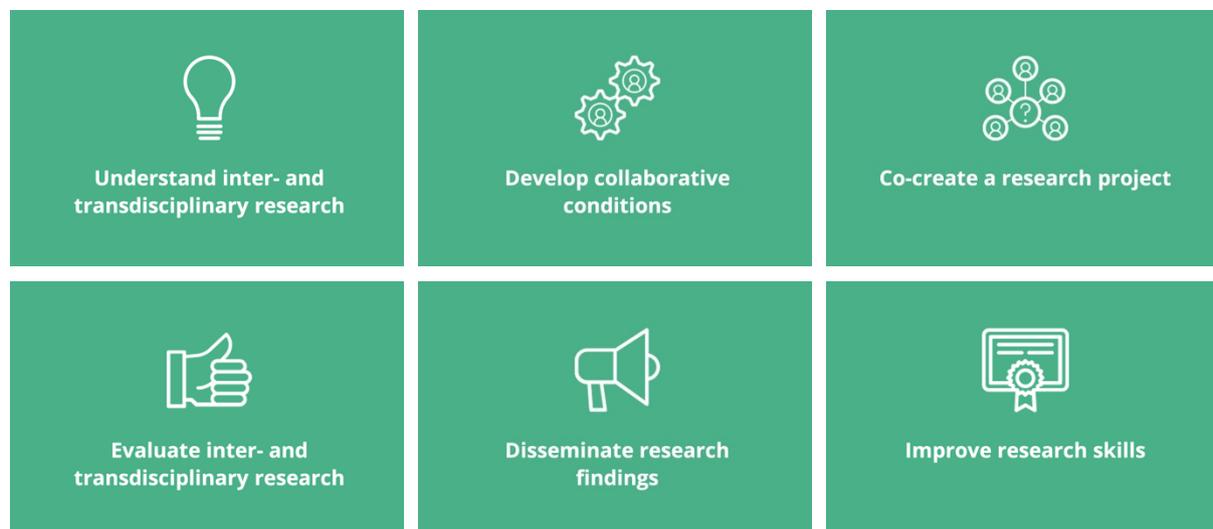
The toolkit provides different guided pathways to the following four roles:



These guided pathways are illustrated in the next four subsections.

User Pathway > Research Individual

Research takes place in higher education institutions but also in government, industry and the voluntary sector. We anticipate that individual researchers – particularly early career researchers and those who are new to inter- and transdisciplinary research – will be most interested in the goals below, but they may also want to investigate the resources in [Fund collaborative research](#) and [support collaborative researchers](#). A full list of categories for each section is available on the Sitemap page (see [appendix II](#)).





Develop a career in inter- and transdisciplinary research

User Pathway > Research Organisation

Research organisations include universities, colleges and technical institutions as well as think-tanks and other bodies, such as learned and professional associations. We anticipate that those based in such organisations – in particular research managers and those with responsibility for research strategy – will be most interested in the goals below, but they may also want to investigate the resources in [Co-create a research project](#) and [Develop a career in inter- and transdisciplinary research](#). A full list of categories for each section is available on the Sitemap page (see [appendix II](#)).



Understand inter- and transdisciplinary research



Develop collaborative conditions



Fund collaborative research projects



Evaluate inter- and transdisciplinary research



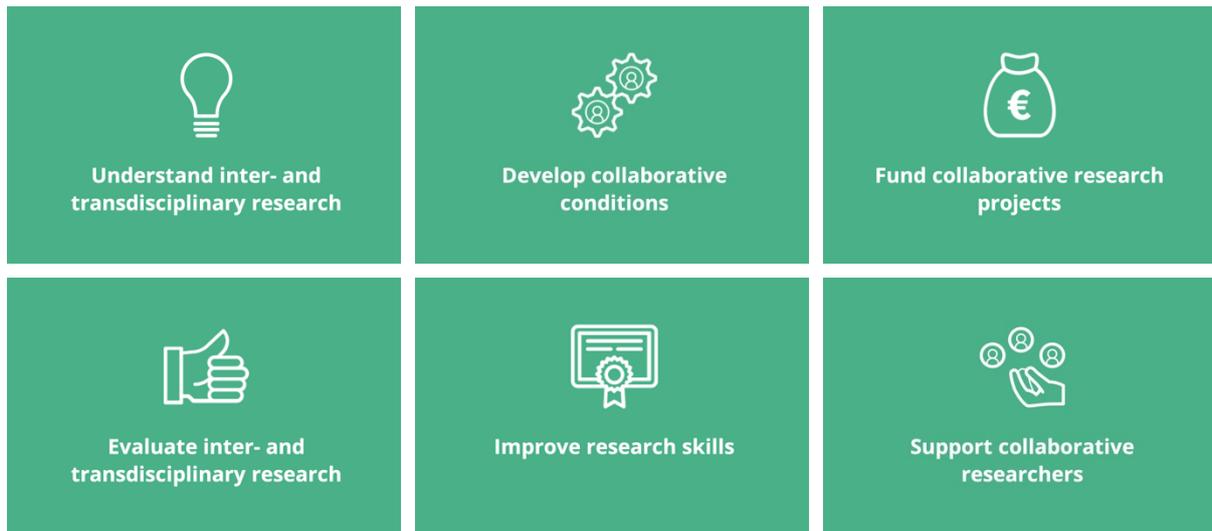
Disseminate research findings



Support collaborative researchers

User Pathway > Research Funder/ Policymaker

Institutions that fund research include national governments, the European Commission, charitable foundations and industry. These funders also play an important role in driving research policy, e.g. by deciding what kinds of research to fund. We anticipate that those based in such organisations will be most interested in the goals below, but they may also want to investigate the resources in [Co-create a research project](#), [Disseminate research findings](#) and [Develop a career in inter- and transdisciplinary research](#). A full list of categories for each section is available on the Sitemap page (see [appendix II](#)).



User Pathway > Societal Partner

Collaborative research may involve a wide range of individuals and groups. In [Co-create a research project](#), we focus primarily on prospective partnerships between academic researchers and four key societal groups: artists and creative practitioners, citizen groups, enterprise, and the voluntary sector (including non-governmental/non-profit organisations). Given the complex assortment of societal partners with a potential interest in transdisciplinary research, the focus in this first version of the toolkit is slightly more on what researchers need to know about working with societal partners, but we anticipate that those based in such organisations will also be interested in the goals below.

You may also find our [Top Ten Tips](#) for working in multi-stakeholder collaborations and Downloadable Guides such as the [SHAPE-ID guide to the contributions Arts, Humanities and Social Sciences make to research addressing societal challenges](#) useful. See our [Case Studies](#) for examples of collaborations between researchers and other societal partners.





Alternative entry points

Besides the guided pathways, the toolkit's homepage provides additional entry points to the resources:

- A direct link to the collection of Top Ten Tips
- A direct link to the collection of Case Studies
- A direct link to Downloadable Guides comprising of Reflective Tools, Topic Guides and Annotated Bibliographies
- A FAQ, providing brief answers to questions and a link that redirects users to additional information regarding the questions
- Guided Tours in video format provide a brief introduction to the Toolkit for individual researchers, research leaders and societal partners.



APPENDIX I – PDF extracts of the SHAPE-ID Toolkit

SHAPE-ID resources collection series

- ▶ Understand inter- and transdisciplinary research: [10.5281/zenodo.4743671](https://zenodo.org/record/4743671)
- ▶ Develop collaborative conditions: [10.5281/zenodo.4743673](https://zenodo.org/record/4743673)
- ▶ Co-create a research project: [10.5281/zenodo.4743675](https://zenodo.org/record/4743675)
- ▶ Fund collaborative research: [10.5281/zenodo.4743677](https://zenodo.org/record/4743677)
- ▶ Evaluate inter- and transdisciplinary research: [10.5281/zenodo.4743679](https://zenodo.org/record/4743679)
- ▶ Disseminate inter- and transdisciplinary research findings: [10.5281/zenodo.4743683](https://zenodo.org/record/4743683)
- ▶ Improve inter- and transdisciplinary research skills: [10.5281/zenodo.4743685](https://zenodo.org/record/4743685)
- ▶ Support collaborative researchers: [10.5281/zenodo.4743687](https://zenodo.org/record/4743687)
- ▶ Develop a career in inter- and transdisciplinary research: [10.5281/zenodo.4743689](https://zenodo.org/record/4743689)

Top Ten Tips collection on inter- and transdisciplinary research:

[10.5281/zenodo.4743693](https://zenodo.org/record/4743693)

Reflective tools collection on inter- and transdisciplinary research:

[10.5281/zenodo.4743695](https://zenodo.org/record/4743695)

Case studies collection on inter- and transdisciplinary research:

[10.5281/zenodo.4743691](https://zenodo.org/record/4743691)

Annotated bibliography collection on inter- and transdisciplinary research:

[10.5281/zenodo.4743697](https://zenodo.org/record/4743697)

SHAPE-ID topic guide series

- ▶ Quick Guide for Pre-Award Research Managers and Administrators of Inter- and Transdisciplinary Research: [10.5281/zenodo.4743701](https://zenodo.org/record/4743701)
- ▶ AHSS contributions to inter-and transdisciplinary research: [10.5281/zenodo.5116019](https://zenodo.org/record/5116019)
- ▶ Guide to SHAPE-ID Toolkit Resources for Evaluators of ID and TD: [10.5281/zenodo.5532732](https://zenodo.org/record/5532732)
- ▶ Guide to Evaluating Inter- and Transdisciplinary Research: [10.5281/zenodo.5116027](https://zenodo.org/record/5116027)
- ▶ Research infrastructures in inter- and transdisciplinary research: [10.5281/zenodo.5116029](https://zenodo.org/record/5116029)
- ▶ Open Science and the Arts, Humanities and Social Sciences: [10.5281/zenodo.5116035](https://zenodo.org/record/5116035)
- ▶ Guide to participatory foresight tools for ID and TD: [10.5281/zenodo.5532727](https://zenodo.org/record/5532727)



SHAPE-ID Toolkit for Dissemination: Project report: [10.5281/zenodo.5116040](https://zenodo.org/record/5116040)

SHAPE-ID Toolkit: Overview, trajectories, and user guidance:
[10.5281/zenodo.4743703](https://zenodo.org/record/4743703)



APPENDIX II – Sitemap of the web-based Toolkit

On the web-based Toolkit you can access any page on the site from the Sitemap and access sub-tasks within the goals pages.

We here only illustrate the Sitemap of the web-based Toolkit without active links, a list off all available resources in PDF format can be found in [Appendix I](#).

Home

About

Resources

- ▶ Case Studies
- ▶ Top Ten Tips
- ▶ Downloadable Guides

FAQs

Guided Pathways

Roles

- ▶ Research Individual
- ▶ Research Organisation
- ▶ Research Funder/Polycymaker
- ▶ Societal Partner

Goals

Understand inter- and transdisciplinary research

- ▶ Learn more about ID/TD
- ▶ Understand different motivations for ID/TD
- ▶ Understand the roles that AHSS can play
- ▶ Read inspiring case studies

Develop collaborative conditions

- ▶ Build relationships across different sectors
- ▶ Collaborate across disciplines
- ▶ Build research teams

Co-create a research project

- ▶ Why societal partners might want to take part in research
- ▶ Partnerships with artists and creative practitioners
- ▶ Partnerships with citizens
- ▶ Partnerships with enterprise
- ▶ Partnerships with the voluntary sector/NGOs
- ▶ Forming research partnerships with societal groups
- ▶ Successfully share knowledge between different types of partners



Fund collaborative research projects

- ▶ Co-design funding calls
- ▶ Understand the influence of funding on the outcomes of ID/TD
- ▶ Support AHSS disciplines in ID/TD funding processes
- ▶ Identify relevant funding sources
- ▶ Budget for ID/TD processes

Evaluate inter- and transdisciplinary research

- ▶ Find out about good practice in ID/TD evaluation
- ▶ Appoint ID/TD evaluators
- ▶ Assess the feasibility and impact of an ID/TD approach in research proposals

Disseminate research findings

- ▶ Co-create research impact
- ▶ Understand research-policy interactions
- ▶ Learn how to communicate with different audiences
- ▶ Acknowledge contributions in outputs
- ▶ Publication and Open Access

Improve research skills

- ▶ Access ID/TD training
- ▶ Integrate different disciplines
- ▶ Develop a collaborative funding proposal
- ▶ Identify potential ID/TD tools and methods
- ▶ Understand 'reflexivity'
- ▶ Identify ID/TD leadership opportunities
- ▶ Manage complex research projects

Support collaborative researchers

- ▶ Commit to long-term policy change
- ▶ Develop ID/TD career pathways
- ▶ Connect ID/TD education and research
- ▶ Support AHSS capacity building
- ▶ Support community building
- ▶ Evaluate ID/TD staff
- ▶ Arts and Humanities Research Infrastructures in Europe

Develop a career in inter- and transdisciplinary research

- ▶ Create an ID/TD CV
- ▶ Build and sustain a career as an ID/TD researcher
- ▶ Develop a network
- ▶ Supervise an ID/TD PhD



APPENDIX III – What is SHAPE-ID?

SHAPE-ID is a Coordination and Support Action funded by the European Commission under the Horizon 2020 Framework Programme, see www.shapeid.eu.

Aim

The aim of the project was to review understandings and best practice of doing and supporting interdisciplinary and transdisciplinary research (IDR/TDR) involving Arts, Humanities and Social Sciences (AHSS) disciplines alongside societal partners and researchers from the Sciences, Technology, Engineering and Mathematics (STEM) disciplines.

With the SHAPE-ID toolkit the project aims to provide guidance for policymakers, funders, research performing organisations, researchers and research partners to help make better decisions and promote change in policymaking, funding and educational institutions.

Consortium

The SHAPE-ID consortium brought together inter- and transdisciplinary scholars and practitioners from six countries, based in the Trinity College Dublin (TCD), Istituto di Studi per l'Integrazione dei Sistemi (I.S.I.S) - Societa'cooperativa (ISINNOVA), Eidgenoessische Technische Hochschule Zuerich (ETH Zürich), University of Edinburgh (UEDIN), Instytut Badan Literackich Polskiej Akademii Nauk (IBL PAN).

Project members were: Bianca Vienni Baptista (ETH Zürich), Anna Buchner (IBL PAN), Maureen Burgess (TCD), Caitriona Curtis (TCD), Isabel Fletcher (UEDIN), Giorgia Galvini (ISINNOVA), Maciej Maryl (IBL PAN), Catherine Lyall (UEDIN), Jane Ohlmeyer (TCD), Christian Pohl (ETH Zürich), Andrea Ricci (ISINNOVA), Carlo Sessa (ISINNOVA), Jack Spaapen, Sibylle Studer (ETH Zürich), Keisha Taylor Wesselink (TCD), Doireann Wallace (TCD), Piotr Wciślik (IBL PAN) and Declan Whelan-Curtin (TCD)

Expert panel

The SHAPE-ID Expert Panel was consulted to validate, refine and inform the project's recommendations and final toolkit. A total of 18 panel members (European and International) have been appointed from across SHAPE-ID stakeholder groups.



Prof. Rosi Braidotti (Utrecht University), Nina Braun (Net4Society), Dr. Antonia Caro González (University of Deusto), Prof. Kurt Deketelaere (League of European Research Universities), Fionn Kidney (Human Insight Lab, The Dock, Accenture's Global Centre for Innovation), Prof. Geoffrey Crossick (School of Advanced Study, University of London), Alice Dijkstra (Netherlands Organisation of Scientific Research), Dr. Heide Hackmann (International Science Council), John Hegarty (Trinity College Dublin), Dr. Christoph Köller (Görgen & Köller GmbH), Prof. Premesh Lalu (University of the Western Cape), Dr. Gabi Lombardo (European Alliance for Social Sciences and Humanities), Prof. Michael O'Rourke (Michigan State University), Prof. Margit Sutrop (University of Tartu), Prof. em. Dr. Julie Thompson Klein (Wayne State University), Prof. Przemysław Urbańczyk (Polish Academy of Sciences), Prof. Ing. Dr.phil. Dr.h.c. Verena Winiwarter (University of Natural Resources and Life Sciences), Jan Jakub (Kuba) Wygnański (Ashoka, Shipyard)

Policy briefs

Fletcher, Isabel, Lyall, Catherine, & Wallace, Doireann. (2021). Pathways to Interdisciplinary and Transdisciplinary Research: the SHAPE-ID Toolkit (Version 1.0). Zenodo. [10.5281/zenodo.4922825](https://zenodo.org/record/4922825)

Wallace, Doireann, de Moura Rocha Lima, Giovanna, Sessa, Carlo, & Ohlmeyer, Jane. (2021). Maximising Arts, Humanities and Social Sciences integration in inter- and transdisciplinary research for effective responses to societal challenges - SHAPE-ID Policy Brief. Zenodo. [10.5281/zenodo.4442373](https://zenodo.org/record/4442373)

Vienni Baptista, Bianca, Lyall, Catherine, Ohlmeyer, Jane, Spaapen, Jack, Wallace, Doireann, & Pohl, Christian. (2020). Improving pathways to interdisciplinary and transdisciplinary research for the Arts, Humanities and Social Sciences: first lessons from the SHAPE-ID project – Policy Brief. Zenodo. [10.5281/zenodo.3824953](https://zenodo.org/record/3824953)

Peer-reviewed papers

Vienni Baptista, Bianca. (2021). Reconfiguring interdisciplinary and transdisciplinary spaces for Arts, Humanities and Social Sciences integration. *Revista Educaonline*, 15(2), 45–65. [10.5281/zenodo.4893144](https://zenodo.org/record/4893144)

Reports

Lyall, Catherine; Fletcher, Isabel & Wallace, Doireann (2021): SHAPE-ID Toolkit for Dissemination. Zenodo. [10.5281/zenodo.5116040](https://zenodo.org/record/5116040)

Taylor-Wesselink, Keisha, & Wallace, Doireann. (2021). Draft System of Preconditions for Successful Arts, Humanities and Social Sciences Integration. Zenodo. [10.5281/zenodo.4478449](https://zenodo.org/record/4478449)



Galvini, Giorgia; Sessa, Carlo; Wallace, Doireann; Taylor-Wesselink, Keisha; Ohlmeyer, Jane; Lyall, Catherine; Fletcher, Isabel; Vienni-Baptista, Bianca; Pohl, Christian; Maryl, Maciej; Buchner, Anna; Wciślik, Piotr; Błaszczńska, Marta; Caro González, Antonia. (2021). Report of workshops and analysis of IDR/AHSS integration learning cases. Zenodo. [10.5281/zenodo.4439664](https://doi.org/10.5281/zenodo.4439664)

Sessa, Carlo, & Galvini, Giorgia. (2021). Recommendations and Measures to Maximise IDR Impact on Society. Zenodo. [10.5281/zenodo.4439670](https://doi.org/10.5281/zenodo.4439670)

Vienni Baptista, Bianca, Fletcher, Isabel, Maryl, Maciej, Wciślik, Piotr, Buchner, Anna, Lyall, Catherine, Spaapen, Jack & Pohl, Christian. (2020). Final Report on Understandings of Interdisciplinary and Transdisciplinary Research and Factors of Success and Failure. Zenodo. [10.5281/zenodo.3824838](https://doi.org/10.5281/zenodo.3824838)

Spaapen, Jack, Vienni Baptista, Bianca, Buchner, Anna, & Pohl, Christian. (2020). Report on Survey among interdisciplinary and transdisciplinary researchers and post-survey interviews with policy stakeholders. Zenodo. [10.5281/zenodo.3824726](https://doi.org/10.5281/zenodo.3824726).

Vienni Baptista, Bianca, Maryl, Maciej, Wciślik, Piotr, Fletcher, Isabel, Buchner, Anna, Wallace, Doireann, & Pohl, Christian. (2019). Preliminary Report of Literature Review on Understandings of Interdisciplinary and Transdisciplinary Research. Zenodo. [10.5281/zenodo.3760416](https://doi.org/10.5281/zenodo.3760416)

Sessa, Carlo, & Galvini, Giorgia. (2019). Matrix for integration of learning cases and framework of analysis. Zenodo. [10.5281/zenodo.4118413](https://doi.org/10.5281/zenodo.4118413)

Working papers

Galvini, Giorgia, Sessa, Carlo, Wallace, Doireann, Ohlmeyer, Jane, Taylor Wesselink, Keisha, Lyall, Catherine, & Fletcher, Isabel. (2020, September 2). SHAPE-ID Learning Case Workshops Intermediate Working Paper. Zenodo. doi.org/10.5281/zenodo.4012384

Research data

Wciślik, Piotr, Maryl, Maciej, Vienni Baptista, Bianca, & Schriber, Lucien. (2020, September 17). SHAPE-ID Literature Review query strings for Web of Science and Scopus. Zenodo. doi.org/10.5281/zenodo.4275642

Wciślik, Piotr, Maryl, Maciej, Vienni Baptista, Bianca, & Schriber, Lucien. (2020). SHAPE-ID Literature Review dataset: bibliography on IDR/TDR [Data set]. Zenodo. doi.org/10.5281/zenodo.4034507



Wciślik, Piotr, Maryl, Maciej, Vienni Baptista, Bianca, & Schriber, Lucien. (2020). SHAPE-ID Literature Review dataset: subject co-occurrence matrix [Data set]. Zenodo. doi.org/10.5281/zenodo.4034589

Wciślik, Piotr, Maryl, Maciej, Vienni Baptista, Bianca, & Schriber, Lucien. (2020). SHAPE-ID Literature Review dataset: journal occurrences with ASJC codes [Data set]. Zenodo. doi.org/10.5281/zenodo.4034540

Maryl, Maciej, & Wciślik, Piotr. (2020). Inter- and transdisciplinary projects in FP7 and H2020 (May 2019) [Data set]. Zenodo. doi.org/10.5281/zenodo.4034513

Webinars

The SHAPE-ID webinar series ran from May 2020 to March 2021 and was intended to engage a wider audience in discussions around the integration of Arts, Humanities and Social Sciences in inter- and transdisciplinary research.

- ▶ [Interdisciplinarity in Times of Crisis: Why the Arts, Humanities and Social Sciences Matter](#) (May 14, 2020)
- ▶ [Pathways to Interdisciplinary and Transdisciplinary Research for the Arts, Humanities and Social Sciences: Bridging the Research-Policy Gap](#) (June 25, 2020)
- ▶ [SHAPE-ID at Vitae Connections 2020 | Capacity Building for Interdisciplinary Research](#) (September 17, 2020)
- ▶ [Best Practice in Interdisciplinary Research: Learning from the Neurohumanities](#) (October 20, 2020)
- ▶ [Funding Interdisciplinary Research with the Arts, Humanities and Social Sciences](#) (November 10, 2020)
- ▶ [Professionalising Inter- and Transdisciplinary Research Expertise](#) (December 10, 2020)
- ▶ [Infrastructures for Interdisciplinary Engagement: Lessons from the Digital Humanities](#) (February 18, 2021)
- ▶ [Transdisciplinary Dialogues: Research Partnerships for Impact](#) (March 18, 2021)