1	Novel azo pyridone dyes based on dihydropyrimidinone skeleton: Synthesis, DFT study
2	and anticancer activity
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14	
15	Abstract
16	Seven novel azo dyes with 2-pyridone and dihydropyrimidinone moieties have been synthesized
17	and thoroughly characterized. The azo-hydrazone tautomerism has been investigated by
18	experimental and theoretical approaches. The optimizations of geometries have been performed
19	with density functional theory (DFT). The vibrational and NMR spectra were calculated and
20	correlated with experimental ones. Furthermore, quantum chemical descriptors were calculated
21	and MEP maps were plotted to determine biological reactivity of dyes. The antioxidant assay
22	evinced that 5, 6 and 7 are promising antioxidant candidates. In vitro cytotoxic activity was studied

against three malignant cell lines: prostate adenocarcinoma (PC-3), lung carcinoma (A549) and

24 chronic myelogenous leukemia (K562), as well as against human normal lung fibroblasts (MRC-5), using MTT assay. Examination of cytotoxic effects on human cancer cell lines showed the 25 concentration dependent cytotoxicity of all investigated compounds. The K562 cells were the most 26 sensitive to the cytotoxicity of the compounds 3, 5 and 6, wherein compound 5 was particularly 27 prominent and selective in cytotoxic action between K562 (24.97 µM) and PC-3 (48.98 µM) 28 29 cancer cells, and normal MRC-5 (91.11 μ M) cells. Moreover, the cell cycle analysis of compound 5 was examined in K562 cells, by flow cytometry, to study its mechanism of anticancer action. 30 Finally, *in silico* evaluation of physicochemical parameters, druglikeness and ADME properties 31 32 showed that investigated compounds are orally bioavailable with no permeation to the blood brain barrier. 33

34 Keywords: Biginelli adduct, azo-hydrazone tautomerism, antitumor, cell cycle arrest, ADME

35 **1. I**

1. Introduction

Azo dyes are the most significant class of colored synthetic compounds. They have diverse 36 application in various fields of science and industry, regarding their simple preparation and a broad 37 spectrum of derivatives [1]. The synthesis of azo dyes based on heterocycle moieties has gained 38 particular attention in last several decades [2,3]. Namely, the heterocyclic azo compounds exhibit 39 antibacterial [4], antifungal [5], antioxidant [6], analgesic [7], anti-inflammatory [8], antitubercular 40 [9] and anticancer properties [10, 11]. Moreover, studies on new antitumor drugs have reviled that 41 some azo compounds manifest cytotoxic action against EAC (Ehrlich-Lettre ascites carcinoma), 42 43 MCF-7 and MDA-MB-231 (breast cancer), PC-3 (human prostate cancer) and K562 (myelogenous leukemia) tumor cells [12]. 44

Among this class of azo dyes, those with 2-pyridone moiety in the structure are particularly
significant. Besides their remarkable coloration properties [13], azo pyridone dyes exhibit

antibacterial activity [14] and demonstrate the potency for cancer therapy [15]. One of the most
investigated feature of azo pyridone dyes is the phenomenon of azo-hydrazone tautomerism [1618]. The structural characterization of the dyes is crucial, since the tautomeric forms differ in
physical properties and thus, biological activity [19].

On the other side, dihydropyrimidinone scaffold (DHPM or dihydropyrimidine-5-carboxylate 51 52 core) is a heterocyclic system which has been synthesized via Biginelli reaction, including onepot multicomponent reaction of an aromatic aldehyde, urea and ethyl acetoacetate [20]. The 53 Biginelli synthesis is exceptionally attractive allowing development of numerous DHPM 54 55 derivatives by simple variation of the starting components [21]. Furthermore, the compounds based on the dihydropyrimidine-5-carboxylate core have shown remarkable biological properties 56 such as antibacterial, antiviral, antiinflammatory, antioxidant and antitumor activity [22]. 57 Recently, DHPM based compounds have emerged as the calcium channel blockers, potential 58 therapeutics against Alzheimer's disease, potential new AIDS therapy, the antihypertensive agents 59 and potent breast cancer therapy [23]. 60

In this manner, we merged dihydropyrimidinone based core with seven different 2-pyridones via 61 reaction of diazo coupling, designing a novel series of azo pyridone dyes. Since 2-pyridone and 62 DHPM moieties manifest biological activity, their conjugation can ameliorate biological 63 properties of azo dyes. Contrary to the traditional arylazo dyes, where aniline-based compounds 64 are the most commonly employed as diazotization agenesis, hereby, we use the Biginelli adduct. 65 66 The substitution of aryl diazonium salts with DHPM-diazo moiety, leads to elimination of highly toxic degradation products of azo dyes, since Biginelli adducts exhibit negligible toxicity [24]. 67 Besides, there are only few studies of DHPM-azo dyes [25,26], and according to our best 68 69 knowledge, DHPM-azo pyridone dyes have been unexplored. Nevertheless, cancer is one of the

main targets in therapeutic chemistry, so we made an effort to design azo molecules with potentialanticancer activity.

Herein, the synthesis, structural characterization and azo-hydrazone tautomerism of seven novel 72 DHPM-azo pyridone dyes have been reported. The structures of molecules have been confirmed 73 by ATR-FTIR, NMR, UV-Vis, MS and elemental analysis. The calculated structural and 74 75 spectroscopic properties of dyes have been investigated with density functional theory (DFT). The calculated vibrational spectrum of the hydrazone tautomer has been correlated with the 76 experimental one. The nuclear magnetic resonance (NMR) chemical shifts of the hydrazone 77 78 tautomer have been calculated and compared with experimental data. Molecular Electrostatic Potential (MEP) surfaces are plotted over the optimized geometry to brighten the reactivity of 79 investigated molecules. Furthermore, the biological reactivity of novel azo molecules has been 80 81 correlated with 14 quantum chemical descriptors. The antioxidant activity has been evaluated by ABTS (2,2'-azinobis-(3-ethylbenzothiazoline-6-sulfonic acid)) assay. In vitro antitumor action of 82 dihydropyrimidinone (**B2**, Figure 1) and DHPM-azo dyes (1-7, Figure 1) was determined against 83 following tumor cell lines: prostate adenocarcinoma (PC-3), lung carcinoma (A549), chronic 84 myelogenous leukemia (K562), and human normal lung fibroblast (MRC-5) using microculture 85 tetrazolium test (MTT) assay. Additionally, cell cycle analysis of the most active compound (5, 86 Figure 1) was examined in K562 cells in order to enlighten the antitumor properties. The effect of 87 dye 5 on the cell cycle was measured by flow cytometry. Finally, physicochemical parameters, 88 89 druglikeness and ADME properties of novel compounds were evaluated *in silico* by SwissADME and Vega 22. 90

- 91 **2. Experimental part**
- 92 *2.1. Materials and Measurements*

93 All starting materials were purchased from Merck, Fluka and Acros, and were used without further purification. The microwave-assisted organic synthesis was performed on Anton Paar Monowave 94 300. The chemical structures of the synthesized compounds were confirmed by melting points, 95 elemental analysis, ATR-FTIR, ¹H-NMR, ¹³C NMR, ESI-MS and UV-Vis spectral data. The 96 melting points were determined in capillary tubes on an automated melting point system Stuart 97 SMP30. Elemental analysis was done on Vario EL III elemental analyzer. Fourier transform 98 infrared spectroscopy (FT-IR) spectra of the dyes were recorded in absorbance mode, using a 99 NicoletTM iSTM 10 FT-IR Spectrometer (Thermo Fisher Scientific) with Smart iTRTM Attenuated 100 Total Reflectance (ATR) sampling accessories. The ATR-FTIR spectra were recorded in the 500-101 4000 cm⁻¹ range with 20 scans per spectrum. The ¹H-NMR and ¹³C NMR spectral measurements 102 were performed on a Bruker Ascend 400 instrument (400 Hz and 100 MHz, respectively) in 103 104 deuterated dimethylsulfoxide (DMSO- d_6) and deuterated trifluoroacetic acid for 7 (CF₃COOD). The chemical shifts were expressed in ppm values referenced to tetramethylsilane (TMS). 105 Quadrupole ion trap mass spectrometer (LCQ Advantage (Thermo Fisher Scientific, USA) was 106 107 used for the compounds detection. The electrospray ionization technique (ESI) was used and compounds were analyzed in the positive and negative ionization mode. The optimal source 108 working parameters for monitoring ions in positive and negative mode were: source voltage -4.5109 kV, sheath gas – 28 au, *i.e.* 28 arbitrary units, auxiliary gas – 4 au, capillary temperature – 220 °C 110 and capillary voltage 3 V for ESI+, or – 26 V for ESI–. The ultraviolet-visible (UV-Vis) absorption 111 spectra were recorded in ethanol, on a Schimadzu 1700 spectrophotometer, at concentration $4 \times$ 112 10^{-5} mol L⁻¹. All spectroscopic measurements were carried out at room temperature (25 °C). 113 2.2. Synthesis 114

115 To the best of our knowledge, synthesis of azo pyridone dyes with dihydropyrimidinone moiety, has not been reported in literature. In this study seven novel DHPM-azo dyes were obtained by 116 following synthetic pathway given in Figure 1. In the first step, synthesis of ethyl 6-methyl-4-(4-117 118 nitrophenyl)-2-oxo-1,2,3,4-tetrahydropyrimidine-5-carboxylate (B1) was performed according to modified literature procedure, wherein the reaction time was elongated in regard to the reported 119 method [21]. Second step includes the reduction of **B1** in order to produce Biginelli adduct 4-(4-120 121 aminophenyl)-6-methyl-2-oxo-1,2,3,4-tetrahydropyrimidine-5-carboxylate (B2) [27]. In the third step, seven different 6-hydroxy-2-pyridones (P1-7) were obtained using literature methods [28, 122 29] (the synthetic details are given in Supplementary material). Finally, seven novel azo dyes 1-7 123 were synthesized via diazotization reaction of dihydropyrimidinone (B2) followed by coupling 124 reaction, of the resulting diazonium salt (B3), with corresponding pyridones (P1-7). 125





Figure 1. The synthetic pathway of novel DHPM-azo pyridone dyes.

128 2.2.1. Synthesis of ethyl 6-methyl-4-(4-nitrophenyl)-2-oxo-1,2,3,4-tetrahydropyrimidine-5129 carboxylate (**B1**)

Ethyl acetoacetate (a) (4 mmol, 0.510 ml), 4-nitrobenzaldehyde (b) (4 mmol, 0.604 g) and urea 130 (c) (4 mmol, 0.240 g) were dissolved in 10 ml of ethanol, and 4 drops of hydrochloric acid were 131 added (Figure 1). The reaction mixture was heated in the microwave reactor at 120 °C for 30 132 minutes. When the reaction was completed, the obtained mixture was left at 4 °C for 24 hours. The 133 crude product was filtered on a Buchner funnel, washed with ethanol, air dried and then 134 recrystallized from ethanol. The structure of **B1** was confirmed by ATR-FTIR and NMR analysis, 135 and has been in accordance with literature data [21]: white crystals; yield 68 %; m.p. 204–205 °C; 136 ATR-FTIR (v/cm⁻¹): 3225 (NH), 3111 (NH), 1720 (CO), 1636 (CO); ¹H NMR (400 MHz, DMSO-137 d_{6}, δ /ppm): 1.10 (3H, t, J = 7.2 Hz, COOCH₂CH₃), 2.27 (3H, s, CH₃), 3.99 (2H, q, J = 7.2 Hz, 138 139 COOCH₂CH₃), 5.27 (1H, d, *J* = 2.8 Hz, C–H), 7.51 (2H, d, *J* = 8.8 Hz, Ar–H), 7.91 (1H, s, NH), 8.23 (2H, d, J = 8.4 Hz, Ar–H), 9.38 (1H, s, NH); ¹³C NMR (200 MHz, DMSO- d_6 , δ /ppm): 14.53 140 (COOCH₂CH₃), 18.35 (CH₃), 54.13 (C-H), 59.87 (COOCH₂CH₃), 98.62 (C-COOCH₂CH₃, 141 DHPM), 124.33 (Ar), 128.13 (Ar), 147.18 (Ar), 149.88 (Ar), 152.21 (C-CH₃, DHPM), 152.46 142 (CO, DHPM), 165.22 (COOCH₂CH₃). 143

144 2.2.2. Synthesis of ethyl 4-(4-aminophenyl)-6-methyl-2-oxo-1,2,3,4-tetrahydropyrimidine-5145 carboxylate (**B2**)

B1 (2 mmol, 0.670 g) was dissolved in 40 ml of methanol and its reduction was carried out by the addition of zinc (20 mmol, 1.438 g) and aqueous solution of ammonium chloride (4 mmol, 0.235 g in 4 ml of water). The reaction was followed by TLC, and conducted at reflux for 5 hours. The reaction mixture was filtered and the filtrate was left at 4 °C for 24 hours. The resulting B2 was separated on a Buchner funnel, washed with ethanol, air dried and recrystallized from ethanol:

white crystals; yield 62 %; m.p. 212–213 °C; ATR-FTIR (v/cm⁻¹): 3531, 3383 (NH₂), 3211 (NH), 151 3104 (NH), 1681 (CO), 1635 (CO); ¹H NMR (400 MHz, DMSO- d_6 , δ /ppm): 1.11 (3H, t, J = 7.2152 Hz, COOCH₂CH₃), 2.22 (3H, s, CH₃), 3.98 (2H, q, *J* = 7.2 Hz, COOCH₂CH₃), 4.97 (1H, s, C–H), 153 154 4.98 (2H, s, NH₂), 6.47 (2H, d, *J* = 8 Hz, Ar–H), 6.88 (2H, d, *J* = 8 Hz, Ar–H), 7.52 (1H, s, NH), 9.03 (1H, s, NH); ¹³C NMR (200 MHz, DMSO-*d*₆, δ/ppm): 14.59 (COOCH₂CH₃), 18.17 (CH₃), 155 54.02 (C-H), 59.49 (COOCH2CH3), 100.48 (C-COOCH2CH3, DHPM), 114.01 (Ar), 127.72 (Ar), 156 132.77 (Ar), 147.78 (Ar-NH₂), 148.30 (C-CH₃, DHPM), 152.70 (CO, DHPM), 165.99 157 (COOCH₂CH₃); Anal. Calcd for C₁₄H₁₇N₃O₃ (275.30): C, 61.08; H, 6.22; N, 15.26%; Found: C, 158 61.18; H, 6.35; N, 15.37%; ESI-MS m/z calc. 275.13, found (positive mode): 276.08 [M+H]⁺, 159 160 550.95 [2M+H]⁺, 572.92 [2M+Na]⁺.

161 2.2.3. Synthesis of DHPM-azo pyridone dyes

162 **B2** (1 mmol, 0.275 g) was dissolved in the diluted hydrochloric acid (3 ml of HCl (37% w/w) and 60 ml of water) and then cooled to 0-5 °C. Sodium nitrite (1.1 mmol, 0.0759 g) was dissolved in 163 cold water (4 ml) and added dropwise to a solution of **B2**. The mixture was stirred in an ice bath 164 165 for 1 hour in order to obtain diazonium chloride (B3). The corresponding 6-hydroxy-2-pyridone (P1-7) (1 mmol) was dissolved in an aqueous solution of potassium hydroxide (1 mmol, 0.056 g 166 and 10 ml of water) and then cooled to 0-5 °C. The obtained diazonium chloride (B3) was added 167 dropwise to the corresponding pyridone solution. The resulting reaction mixture was stirred for 3 168 hours and maintained at 0-5 °C. When the reaction was completed the obtained azo dyes (1-7) 169 were filtered on a Buchner funnel, washed with water, air dried and recrystallized from ethanol. 170 All obtained dyes are characterized by melting points, ATR-FTIR, UV-Vis, ESI-MS, ¹H and ¹³C 171 NMR spectra and elemental analysis. In addition, the NMR analysis of dye 7 was performed in 172

173 CF₃COOD, due to the low solubility in DMSO-*d*₆. The ATR-FTIR, ESI-MS ¹H and ¹³C NMR
174 spectra for all dyes are provided in Supplementary material (Figures S1-S35).

4-(4-((5-cyano-2-hydroxy-4-methyl-6-oxo-1,6-dihydropyridin-3-yl)diazenyl)phenyl)-6-Ethyl 175 176 methyl-2-oxo-1,2,3,4-tetrahydropyrimidine-5-carboxylate (1). Dark orange powder; yield 73%; m.p. 249-250 °C; ATR-FTIR (v/cm⁻¹): 3296 (NH), 3211 (NH), 2219 (CN), 1674 (CO), 1622 (CO), 177 1506 (NH); ¹H NMR (400 MHz, DMSO- d_6 , δ /ppm): 1.10 (3H, t, J = 7 Hz, COOCH₂CH₃), 2.26 178 (3H, s, CH₃ DHPM), 2.51 (3H, s, CH₃ Py), 3.99 (2H, q, *J* = 7 Hz, COOCH₂CH₃), 5.17 (1H, d, *J* = 179 3.2 Hz, C–H), 7.33 (2H, d, J = 8.2 Hz, Ar–H), 7.64 (2H, d, J = 8.2 Hz, Ar–H), 7.79 (1H, s, NH), 180 9.25 (1H, s, NH), 12.02 (1H, s, NH Py), 14.58 (1H, s, NH hydrazone); ¹³C NMR (200 MHz, 181 DMSO-d₆, δ /ppm): 14.58 (COOCH₂CH₃), 16.92 (CH₃, Py), 18.27 (CH₃, DHPM), 54.04 (C-H, 182 DHPM), 59.73 (COOCH2CH3), 99.38 (C-COOCH2CH3, DHPM), 101.09 (CN), 115.59 (C-CN), 183 184 117. 95 (Ar), 124.04 (C=N, Py), 128.17 (Ar), 140.73 (Ar), 144.04 (Ar), 149.13 (C-CH₃, DHPM), 152.45 (CO, DHPM), 160.95 (Py), 161.32 (CO, Py), 161.97 (CO, Py), 165.72 (COOCH₂CH₃); 185 Anal. Calcd for C₂₁H₂₀N₆O₅ (436.42): C, 57.79; H, 4.62; N, 19.26%; Found: C, 57.99; H, 4.92; N, 186 187 19.36%; ESI-MS m/z calc. 436.15, found (negative mode): 435.22 [M–H]⁻; UV-Vis (EtOH) $(\lambda_{max}/nm (\log \epsilon/mol^{-1}dm^{3}cm^{-1})): 439.0 (4.55).$ 188

4-(4-((5-cyano-2-hydroxy-1-(2-hydroxyethyl)-4-methyl-6-oxo-1,6-dihydropyridin-3-189 Ethyl *yl)diazenyl)phenyl)-6-methyl-2-oxo-1,2,3,4-tetrahydropyrimidine-5-carboxylate* Orange 190 (2).powder; yield 71%; m.p. 195–196 °C; ATR-FTIR (v /cm⁻¹): 3243 (NH), 2218 (CN), 1678 (CO), 191 1626 (CO), 1500 (NH); ¹H NMR (400 MHz, DMSO- d_6 , δ /ppm): 1.11 (3H, t, J = 6.8 Hz, 192 COOCH₂CH₃), 2.27 (3H, s, CH₃ DHPM), 2.54 (3H, s, CH₃ Py), 3.56 (2H, t, *J* = 6 Hz, CH₂CH₂OH), 193 3.94–4.03 (4H, m, CH₂CH₂OH, COOCH₂CH₃), 5.18 (1H, d, J = 2.8 Hz, C–H), 7.35 (2H, d, J = 194 195 8.8 Hz, Ar–H), 7.70 (2H, d, J = 8.8 Hz, Ar–H), 7.77 (1H, d, J = 2 Hz, NH), 9.24 (1H, s, NH), 14.60

(1H, s, NH hydrazone); ¹³C NMR (200 MHz, DMSO-*d*₆, δ/ppm): 14.58 (COOCH₂CH₃), 16.77 196 (CH₃, Py), 18.27 (CH₃, DHPM), 41.92 (CH₂CH₂OH), 54.05 (C-H), 57.91 (CH₂CH₂OH), 59.72 197 (COOCH₂CH₃), 99.42 (C-COOCH₂CH₃), 100.88 (CN), 115.60 (C-CN), 118.16 (Ar), 123.51 198 199 (C=N, Py), 128.16 (Ar), 140.77 (Ar), 144.18 (Ar), 149.13 (C-CH₃, DHPM), 152.46 (CO, DHPM), 159.60 (Py), 160.66 (CO, Py), 161.18 (CO, Py), 165.73 (COOCH₂CH₃); Anal. Calcd for 200 C₂₃H₂₄N₆O₆ (480.47): C, 57.49; H, 5.03; N, 17.49%; Found: C, 57.79; H, 5.15; N, 17.69%; ESI-201 MS m/z calc. 480.18, found (positive mode): 503.12 [M+Na]⁺, 982.81 [2M+Na]⁺, found (negative 202 mode): 479.26 [M–H]⁻; UV-Vis (EtOH) (λ_{max}/nm (log $\epsilon/mol^{-1}dm^{3}cm^{-1}$)): 440.0 (4.50). 203 204 Ethyl 4-(4-((5-cyano-2-hydroxy-4-methyl-6-oxo-1-propyl-1,6-dihydropyridin-3*yl)diazenyl)phenyl)-6-methyl-2-oxo-1,2,3,4-tetrahydropyrimidine-5-carboxylate* 205 **(3)**. Orange powder; yield 65%; m.p. 160–161 °C; ATR-FTIR (v/cm⁻¹): 3593 (NH), 3507 (NH), 3220 (NH), 206 207 2233 (CN), 1709 (CO), 1677 (CO), 1621 (CO), 1514 (NH); ¹H NMR (400 MHz, DMSO-d₆, δ /ppm): 0.89 (3H, t, J = 7.6 Hz, CH₂CH₂CH₃), 1.11 (3H, t, J = 6.8 Hz, COOCH₂CH₃), 1.58 (2H, 208 m, CH₂CH₂CH₃), 2.27 (3H, s, CH₃ DHPM), 2.54 (3H, s, CH₃ Py), 3.82 (2H, t, J = 7.2 Hz, 209 210 CH₂CH₂CH₃), 4.00 (2H, q, *J* = 7.2 Hz, COOCH₂CH₃), 5.18 (1H, d, *J* = 2.8 Hz, C-H), 7.34 (2 H, d, J = 8.4 Hz, Ar-H), 7.70 (2H, d, J = 8.4 Hz, Ar-H), 7.77 (1H, s, NH), 9.23 (1H, s, NH), 14.62 211 (1H, s, NH hydrazone); ¹³C NMR (200 MHz, DMSO- d_6 , δ /ppm): 11.71 (CH₂CH₂CH₃), 14.58 212 (COOCH₂CH₃), 16.79 (CH₃, Py), 18.27 (CH₃, DHPM), 20.96 (CH₂CH₂CH₃), 41.3 (CH₂CH₂CH₃), 213 54.06 (C-H), 59.72 (COOCH2CH3), 99.41 (C-COOCH2CH3), 100.78 (CN), 115.60 (C-CN), 214

215 118.17 (Ar), 123.48 (C=N, Py), 128.16 (Ar), 140.78 (Ar), 144.20 (Ar), 149.14 (<u>C</u>-CH₃, DHPM),

- 216 152.45 (CO, DHPM), 159.68 (Py), 160.57 (CO, Py), 161.04 (CO, Py), 165.73 (<u>C</u>OOCH₂CH₃);
- 217 Anal. Calcd for $C_{24}H_{26}N_6O_5$ (478.50): C, 60.24; H, 5.48; N, 17.56%; Found: C, 60.49; H, 5.63; N,

218 17.78%; ESI-MS m/z calc. 478.20, found (negative mode): 477.18 [M–H]⁻. UV-Vis (EtOH)
219 (λ_{max}/nm (log ε/mol⁻¹dm³cm⁻¹)): 442.0 (4.52).

4-(4-((5-cvano-2-hydroxy-4-methyl-6-oxo-1-phenyl-1,6-dihydropyridin-3-220 Ethyl 221 yl)diazenyl)phenyl)-6-methyl-2-oxo-1,2,3,4-tetrahydropyrimidine-5-carboxylate (4). Dark orange powder, 70%, m.p. 243–244 °C; ATR-FTIR (v/cm⁻¹): 3248 (NH), 2219 (CN), 1678 (CO), 1629 222 (CO), 1503 (NH). ¹H NMR (400 MHz, DMSO-d₆, δ /ppm): 1.11 (3H, t, J = 7.2 Hz, COOCH₂CH₃), 223 2.26 (3H, s, CH₃ DHPM), 2.62 (3H, s, CH₃ Py), 4.00 (2H, q, *J* = 6.8 Hz, COOCH₂CH₃), 5.17 (1H, 224 d, J = 2.4 Hz, C-H), 7.28–7.34 (4H, m, Ar), 7.46–7.69 (5H, m, Phenyl substituent), 7.76 (1H, s, 225 NH), 9.23 (1H, s, NH), 14.49 (1H, s, NH hydrazone). ¹³C NMR (200 MHz, DMSO-d₆, δ /ppm): 226 14.58 (COOCH₂CH₃), 16.95 (CH₃, Py), 18.27 (CH₃, DHPM), 54.04 (C-H), 59.72 (COOCH₂CH₃), 227 99.40 (C-COOCH2CH3), 101.20 (CN), 115.58 (C-CN), 118.12 (Ar), 123.88 (C=N, Py), 128.16 228 229 (Ar), 129.10 (Ar, Phenyl substituent), 129.32 (Ar, Phenyl substituent), 129.43 (Ar, Phenyl 230 substituent), 134.59 (Ar, Phenyl substituent), 140.79 (Ar), 144.19 (Ar), 149.14 (C-CH₃, DHPM), 152.45 (CO, DHPM), 160.18 (Py), 160.65 (CO, Py), 161.11 (CO, Py), 165.73 (COOCH₂CH₃); 231 232 Anal. Calcd for C₂₇H₂₄N₆O₅ (512.52): C, 63.27; H, 4.72; N, 16.40%; Found: C, 63.49; H, 4.95; N, 16.51%; ESI-MS m/z calc. 512.18, found (negative mode): 511.18 [M–H]⁻, found (positive mode): 233 513.17 $[M+H]^+$; UV-Vis (EtOH) (λ_{max}/nm (log $\epsilon/mol^{-1}dm^3cm^{-1}$)): 443.0 (4.58). 234 4-(4-((5-cyano-2-hydroxy-6-oxo-4-phenyl-1,6-dihydropyridin-3-yl)diazenyl)phenyl)-6-235 Ethyl

methyl-2-oxo-1,2,3,4-tetrahydropyrimidine-5-carboxylate (5). Dark orange powder; yield 61%;
m.p. 275-276 °C; ATR-FTIR (*v*/cm⁻¹): 3405 (NH), 3210 (NH), 2229 (CN), 1693 (CO), 1652 (CO),
1505 (NH). ¹H NMR (400 MHz, DMSO-*d*₆, δ/ppm): 1.07 (3H, t, *J* = 7,2 Hz, COOCH₂CH₃), 2.24
(3H, s, CH₃ DHPM), 3.97 (2H, q, *J* = 8 Hz, COOCH₂CH₃), 5.11 (1H, d, *J* = 3,2 Hz, C-H), 7.20–
7.26 (4H, m, Ar–H), 7.51–7.59 (5H, m, Phenyl substituent), 7.69 (1H, s, NH), 9.18 (1H, s, NH),

12.19 (1H, s, NH pyrimidine), 14.60 (1H, s, NH hydrazone). ¹³C NMR (200 MHz, DMSO-d₆, 241 δ/ppm): 14.54 (COOCH2CH3), 18.29 (CH3, DHPM), 54.04 (C-H), 59.68 (COOCH2CH3), 99.23 242 (C-COOCH₂CH₃), 100.64 (CN), 115.73 (C-CN), 117.65 (Ar), 124.05 (C=N, Py), 128.11 (Ar), 243 244 128.32 (Ar), 129.91 (Ar, Phenyl substituent), 130.47 (Ar, Phenyl substituent), 133.35 (Ar, Phenyl substituent), 140.73 (Ar), 144.02 (Ar), 149.15 (C-CH₃, DHPM), 152.35 (CO, DHPM), 161.35 245 (Py), 161.46 (CO, Py), 162.16 (CO, Py), 165.69 (COOCH₂CH₃); Anal. Calcd for C₂₆H₂₂N₆O₅ 246 (498.49): C, 62.64; H, 4.45; N, 16.86%; Found: C, 62.80; H, 4.66; N, 16.91%; ESI-MS m/z calc. 247 498.17, found (negative mode): 497.19 [M–H]⁻. UV-Vis (EtOH) (λ_{max}/nm (log $\epsilon/mol^{-1}dm^{3}cm^{-1}$)): 248 249 442.5 (4.58).

- Ethyl 4-(4-((5-carbamoyl-2-hydroxy-4-methyl-6-oxo-1,6-dihydropyridin-3-yl)diazenyl)phenyl)-6-250
- methyl-2-oxo-1,2,3,4-tetrahydropyrimidine-5-carboxylate (6). Orange solid; yield 61%; m.p. 201-251
- 203 °C. ATR-FTIR (v/cm⁻¹): 3248 (NH), 1684 (CO), 1648 (CO), 1513 (NH). ¹H NMR (400 MHz, 252
- DMSO- d_6 , δ /ppm): 1.11 (3H, t, J = 7 Hz, COOCH₂CH₃), 2.22 (3H, s, CH₃ DHPM), 2.26 (3H, s, 253
- CH₃ DHPM), 3.99 (2H, q, J = 7 Hz, COOCH₂CH₃), 5.15 (1H, d, J = 2.4 Hz, C–H), 7.28 (2H, d, J 254
- 255 = 8.2 Hz, Ar–H), 7.50–7.52 (3H, m, Ar–H and CONH₂), 7.69 (1H, s, CONH₂), 7.79 (1H, s, NH),
- 9.22 (1H, s, NH), 11.66 (1H, s, NH Py), 14.23 (1 H, s, NH hydrazone). ¹³C NMR (200 MHz, 256
- DMSO-*d*₆, δ /ppm): 14.59 (COOCH₂CH₃), 14.75 (CH₃, Py), 18.26 (CH₃, DHPM), 54.01 (C-H),

- 59.70 (COOCH2CH3), 99.57 (C-COOCH2CH3), 116.49 (C-CN), 116.69 (Ar), 126.23 (C=N, Py), 258
- 128.06 (Ar), 141.38 (Ar), 145.78 (Ar), 148.94 (C-CH₃, DHPM), 152.51 (CO, DHPM), 162.19 259
- (Py), 162.22 (CO, Py), 162.42 (CO, Py), 165.76 (COOCH₂CH₃), 166.81 (CONH₂); Anal. Calcd 260
- for C₂₁H₂₂N₆O₆ (454.44): C, 55.50; H, 4.88; N, 18.49%; Found: C, 55.69; H, 4.92; N, 18.63%; 261
- ESI-MS m/z calc. 454.16, found (negative mode): 453.19 [M–H]⁻, found (positive mode): 454.99 262

263 $[M+H]^+$, found (positive mode): 477.11 $[M+Na]^+$; UV-Vis (EtOH) (λ_{max}/nm (log $\epsilon/mol^{-1}dm^3cm^{-1}$)): 428.5 (4.60).

Ethyl 4-(4-((2-hydroxy-4-methyl-6-oxo-5-pyridinium-1,6-dihydropyridin-3-yl)diazenyl)phenyl)-6-265 266 methyl-2-oxo-1,2,3,4-tetrahydropyrimidine-5-carboxylate (7). Red powder; yield 61%; m.p. 230-232; ATR-FTIR (v/cm⁻¹): 3382 (NH), 3233 (NH), 1690 (CO), 1616 (CO); ¹H NMR (400 MHz, 267 DMSO- d_6 , δ /ppm): 1.11 (3H, t, J = 7 Hz, COOCH₂CH₃), 2.14 (3H, s, CH₃ Py), 2.25 (3H, s, CH₃ 268 269 DHPM), 3.99 (2H, q, *J* = 7 Hz, COOCH₂CH₃), 5.14 (1H, s, C–H), 7.24 (2H, d, *J* = 8 Hz, Ar–H), 270 7.46 (2H, d, J = 8 Hz, Ar–H), 7.74 (1H, s, NH), 8.23 (2H, t, J = 6.8 Hz, Pyridinium substituent), 8.69 (1H, t, J = 7.6 Hz, Pyridinium substituent), 9.06 (2H, d, J = 5.6 Hz, Pyridinium substituent), 271 9.20 (1H, s, NH), 10.47 (1H, s, Py); ¹³C NMR (200 MHz, DMSO- d_6 , δ /ppm): 14.59 272 (COOCH₂CH₃), 18.26 (CH₃, DHPM), 54.20 (C-H), 59.67 (COOCH₂CH₃), 99.78 (C-273 274 COOCH₂CH₃), 127.35 (Ar), 128.31 (C=N, Py), 148.71 (Ar, Pyridinium substituent), 152.59 (CO, DHPM), 165.85 (CO, COOCH₂CH₃); ¹H NMR (400 MHz, CF₃COOD, δ /ppm): 1.34 (3H, t, *J* = 7) 275 Hz, COOCH₂CH₃), 2.46 (3H, s, CH₃ Py), 4.32 (2H, q, J = 7 Hz, COOCH₂CH₃), 5.76 (1H, s, C-276 277 H), 7.65 (2H, d, J = 8.8 Hz, Ar-H), 7.75 (2H, d, J = 8.4 Hz, Ar-H), 8.38 (2H, d, J = 7 Hz, Pyridinium substituent), 8.87-8.91 (3H, m, Pyridinium substituent), 10.01 (1H, s, NH), 10.82 (1H, 278 s, NH pyrimidine), 15.02 (1H, s, NH hydrazone); ¹³C NMR (200 MHz, CF₃COOD, δ /ppm): 14.46 279 280 (COOCH2CH3), 14.68 (CH3, Py), 57.72 (C-H), 65.25 (COOCH2CH3), 104.58 (C-COOCH2CH3), 123.70 (C-CN), 128.32 (C=N, Py), 131.21 (Ar, Pyridinium substituent), 131.53 (Ar, DHPM), 281 143.03 (Ar, DHPM), 145.69 (Ar, DHPM), 149.40 (Ar, Pyridinium substituent), 150.94 (C-CH₃, 282 DHPM), 155.64 (CO, DHPM), 163.04 (Py), 170.64 (CO, COOCH₂CH₃); Anal. Calcd for 283 C₂₅H₂₅N₆O₅ (489.50): C, 61.34; H, 5.15; N, 17.17%; Found: C, 61.51; H, 5.58; N, 17.35%; ESI-284

285 MS m/z calc. 498.19, found (positive mode) 489.29 [M⁺]; UV-Vis (EtOH) (λ_{max} /nm (log 286 ϵ /mol⁻¹dm³cm⁻¹)): 428.0 (4.60).

287 2.3. Computational details

DFT calculations of investigated dyes were performed using Gaussian 09 program package [30] with B3LYP/6-311++G(d,p) method. The default convergence criteria were used without any constraint on the geometry. The stability of the optimized geometry was checked by frequency calculations, which gave real values for all the obtained frequencies. Optimized geometry data were used in the calculations of IR and NMR spectra as well as energies of frontier molecular orbitals (FMO).

The harmonic frequencies were calculated with B3LYP/6-311++G(d,p) method and then scaled by 0.968 [31]. The assignments of the calculated wavenumbers were utilized by the animation option of GaussView 5.0 graphical interface [32] from Gaussian programs, which performed a visual presentation of the feature of vibration modes.

The nuclear magnetic resonance (NMR) chemical shifts calculations were accomplished with 298 299 Gauge-Independent Atomic Orbital (GIAO) method, at the same level of theory in DMSO. The solvent effect was inducted with CPCM (Conductor Polarizable Continuum Model). In attempt to 300 correct the large deviation between experimental and calculated ¹H NMR shift for hydrogen in N-301 H groups in DMSO- d_6 , as solvent, three DMSO molecules were explicitly added in the position 302 that allowed the formation of hydrogen bonds in molecule. The ¹H and ¹³C isotropic chemical 303 shifts are presented in relation to the corresponding values for TMS. The energies of frontier 304 molecular orbitals (FMO) and molecular electrostatic potential (MEP) maps analyses helped to 305 explain reactivity of dye molecules. 306

307 The quantum chemical descriptors, such as the energy of the highest occupied molecular orbital (E_{HOMO}) , the energy of the lowest unoccupied molecular orbital (E_{LUMO}) , HOMO-LUMO energy 308 gap (E_{GAP}), ionization energy (I), electron affinity (A), absolute hardness (η), absolute softness (σ), 309 310 optical softness (σ_o), global softness (S), absolute electronegativity (γ), chemical potential (CP), electrophilicity index (ω), nucleophilicity index (N) and additional electronic charges (ΔN_{max}) were 311 calculated in water to investigate biological reactivity. The E_{HOMO} and E_{LUMO} were taken directly 312 from the calculation, while other QCDs were estimated by equations [33] given in Supplementary 313 material. 314

315 2.4. Antioxidant activity

The antioxidant activity of investigated dyes was determined by the ABTS radical-scavenging 316 assay [34]. A stock solution of the ABTS⁺⁺ radical cation was prepared in the reaction of ABTS 317 318 (4.912 mL, 7 mM in phosphate-buffered saline (PBS)) and potassium persulfate (0.088 mL, 140 mM in distilled water). After 16 h of incubation in the dark, the stock solution was diluted with 319 methanol until absorbance recorded at 734 nm was 0.700 ± 0.02 . Subsequently, 20 µL of the 320 321 methanolic dye solutions (2 mM) were mixed with 2 mL of the ABTS radical solution, shaken and stored in the dark for 10 min. Afterwards the absorbance was measured at 734 nm. Each test was 322 done in triplicate. The inhibition percentage of ABTS^{•+} was calculated using the formula: 323

Inhibition (%) = $(Ac-As) / Ac \times 100$, where Ac is the absorbance of the control solution (20 µL of methanol in 2 mL of ABTS solution) and As is the absorbance of the sample solution. Ascorbic acid was used as a standard antioxidant. The antioxidant ability of the most promising candidates **5**, **6** and **7** was further evaluated by determination of the IC₅₀ values. The methanolic solutions of selected dyes and ascorbic acid were prepared at concentration ranging from 2 mM do 0.5 mM, and obtained IC_{50} were compared. The tests were performed in triplicate. Resulting IC_{50} values are

330 presented as means with standard deviation (\pm SD) from three experiments (n = 3).

331 2.5. In vitro cytotoxic activity

The cytotoxic activity of seven novel dyes 1-7 and their precursor B2 was examined against three 332 human cancer cell lines: prostate adenocarcinoma PC-3, lung carcinoma A549, and chronic 333 334 myelogenous leukemia K562, as well as on human normal lung fibroblasts MRC-5. The cytotoxic evaluation of all compounds against normal cells MRC-5 was carried out to explore the toxicity 335 and selectivity of the tested compounds. All tested cell lines were obtained from the American 336 337 Type Culture Collection (Manassas, VA, USA). Stock solutions of the compounds were made in a dimethyl sulfoxide at the concentration of 10 mM. All tested cell lines were cultured in a RPMI-338 1640 nutrient medium which contained 2 mM L-glutamine, 100 µg/mL streptomycin, 100 IU/mL 339 340 penicillin, 10% heat-inactivated (56 °C) fetal bovine serum and 25 mM HEPES, adjusted to pH 7.2 with a bicarbonate solution. PC-3 (5,000 cells per well), A549 (5,000 cells per well), and MRC-341 5 cells (5,000 cells per well) were seeded into 96-well microtiter plates and the next day five 342 different concentrations of the compounds were added to the cells. K562 cells (5,000 cells per 343 well) were seeded 2 h before the addition of the compounds. The nutrient medium was added to 344 the control cells. The CDDP (cisplatin) was used as a standard reference drug. The cell survival 345 measured by MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) 346 was colorimetric assay after 72 h incubation of cells with compounds, according to the standard 347 348 protocol firstly described by Mosmann [35], and which was modified by Ohno and Abe [36]. The experiments were repeated three times and performed in triplicate. 349

350 *2.4. Cell cycle analysis by flow cytometry*

351 Chronic myelogenous leukemia K562 cells were seeded into 6-well plates, and 2 hours later the cells were treated with $2IC_{50}$ concentration of the compound 5. The control K562 cells were 352 cultured in a RPMI-1640 nutrient medium, as previously described. After 24 h treatment, the 353 control cells and the cells treated with compound 5 were harvested, washed in PBS and fixed in 354 70% ethanol on ice, according to standard protocol [37]. The cell samples were stored one week 355 on -20°C. Afterwards, the ethanol was removed and cells were washed in PBS. The cells were 356 treated with RNase A for 30 min at 37°C, and then stained with propidium iodide. The percentage 357 of K562 cells in the subG1, G1, S and G2/M phases of the cell cycle were determined using a BD 358 359 FACSCalibur flow cytometer. The analyses of acquired data (10,000 events collected for each 360 gated cell sample) were done using a CELLQuest software.

361 2.5. In silico assessment of physicochemical and ADME properties

The molecular structures of hydrazones **1–7** and zwitter ionic form **7a** (Supplementary material, Figure S24) were converted into SMILES database using ChemDraw Ultra 12.0. Then, these SMILES were inserted in SwissADME [38] website to calculate the physicochemical descriptors, pharmacokinetic properties, ADME parameters and medicinal chemistry data. In addition, the physicochemical descriptors were estimated by program Vega 22 version 2.4 [39] from previously optimized geometries with B3LYP/6-311++G(d,p) method.

- **368 3. Results and discussion**
- 369 *3.1. Synthesis*

Novel azo dyes 1–7 were synthesized *via* classical diazo coupling reactions between diazonium
salt, formed by diazotization of B2, and corresponding 6-hydroxy-2-pyridone P1–7 (Figure 1).
The obtained azo compounds were isolated in a good yield, and their structures were confirmed
by ATR-FTIR, ¹H NMR,¹³C NMR, ESI-MS, UV–Vis spectra and elemental analysis. The

374 synthesized DHPM-azo pyridone dyes 1–7, contain hydroxy group in the *ortho-* position to the
azo linkage, enabling intramolecular proton transfer, and thus the existence of the azo and the
hydrazone tautomeric forms (Figure 1) [40].

377 The ATR-FTIR and NMR data of novel dyes 1-6 suggest the existence of the hydrazone tautomeric form (Figure 1) in the solid state, as well as, in the DMSO- d_6 solution. The stretching 378 vibrations of the carbonyl groups appear in the ATR-FTIR spectra in the region of 1709–1616 cm⁻ 379 ¹. The N-H stretching vibrations of the hydrazone group appear in the region of 3210-3296 cm⁻¹. 380 Additional confirmation of the presence of the hydrazone form is intensive band appearing in the 381 region of 1514–1503 cm⁻¹ which is ascribed to mutual stretching of C=N and bending of N-H 382 vibrations. The ¹H NMR spectra of dyes **1–6**, obtained in DMSO- d_6 solution, contain the signal of 383 the hydrazone N–H group in the range of 14.23–14.62 ppm. The ¹³C NMR spectra confirmed the 384 385 presence of hydrazone form, which is evidenced by signals of two carbonyl carbons, originating from pyridone moiety, in the range of 160.65–162.22 ppm (Figure 1, C6) and 161.11–164.20 ppm 386 (Figure 1, C2). 387

Exceptionally, dye 7 is found to be in a deprotonated hydrazone form (zwitter ionic form) in a 388 solid state, as well as in DMSO- d_6 solution (Figure S36, Supplementary material, Structure 7a). 389 Since coupling reactions have been performed under the alkaline conditions, dye 7 can be simply 390 deprotonated during the synthesis. Namely, ¹H NMR spectrum of **7**, in DMSO- d_6 solution, did not 391 contain the signal of the proton resonance of hydrazone –NH group, but ¹³C NMR analysis detected 392 signal assigned to C5=N at 128.31 ppm. Also, ATR-FTIR analysis confirmed zwitter ionic 393 structure in solid state, since N–H bending vibrations, attributed to the hydrazone –NH group, have 394 not been presented in the spectrum. However, the existence of hydrazone form is confirmed by 395 396 NMR analysis in CF₃COOD solution. The signal of low intensity at 15.03 ppm, in ¹H NMR

spectrum, was ascribed to the N–H proton resonance of the hydrazone –NH group [41], and signal at 128.32 ppm, in 13 C NMR spectrum, was ascribed to C5=N.

Nevertheless, the ESI-MS spectra of the dyes 1–7 showed the molecular ion peaks at the m/z values
corresponding to the molecular weight of the investigated dyes.

The UV-Vis spectra of the studied molecules in ethanol are shown in Figure 2. The obtained absorption spectra suggest the existence of hydrazone tautomeric form in case of all investigated





404

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Figure 2. UV-Vis spectra of dyes 1–7 in ethanol.

It can be observed in Figure 2 that different substituents at the N atom, of the pyridone ring, in case of dyes 1–4, do not affect significantly the positions of the UV–Vis absorption maxima [43]. Also, the replacement of the methyl group, on the C4 atom of the pyridone ring, with phenyl group, in case of dye 5, does not make a notable difference in the position of the absorption maximum, comparing to dyes 1–4. However, in case of dye 6, the replacement of the 3-cyano group, on the pyridone moiety, with a weaker electron-accepting 3-amido group, causes a hypsochromic shift of the absorption maximum [44]. Dye 7 carrying the pyridinium ring on the pyridone moiety has absorption maximum at the lowest wavelength comparing to dyes 1–6. This behavior is assumed
to be the consequence of the planarity disruption of the molecule which causes hypsochromic shift
of the absorption maximum.

416 *3.2.Conformational analysis*

The calculated energy data of possible tautomeric forms confirm that the most stable form of the 417 investigated dyes is hydrazone one for dyes 1–6, and deprotonated hydrazone (zwitter ion) for dye 418 419 7. The optimized geometries of the most stable conformers of dye 1 obtained with B3LYP method are presented in Figure 3. It can be seen that the phenylazo pyridone part of molecule is planar, 420 421 while the DHPM group is orthogonal to that plane, preventing electron delocalization between these two parts of the molecule. The largest conformational difference in the molecule results from 422 the rotation of the DHPM group around the C14–C20 bond. The B3LYP/6-31+G(d) calculation in 423 424 gas phase showed that the energy barrier for this rotation is ~2.0 kcal/mol and the rotation produces two conformers with almost identical energies (Figure 4). The overall process can be also done by 425 changing the torsion angle around C9-N1 bond but the rotation energy for this process is 426 427 significantly higher, ~6 kcal/mol, which is in line with our previously published results for same bond in similar compound (Supplementary material, Figure S37) [45]. 428



Figure 3. The optimized geometries of the most stable conformers of dye **1** obtained with B3LYP

method with used atoms numeration.





Figure 4. Potential energy surface for the rotation of the DHPM group around the C14–C20 bond
of dye 1.

436 *3.3. Vibrational analysis*

The vibration frequencies of hydrazone tautomer of dye **1** were calculated with B3LYP/6-311++G(d,p) method and the most characteristic of them are compared with experimental ones. The good correlation confirmed the hydrazone form in solid state. The visual comparison of the experimental and calculated spectrum is presented in Figure 5. The calculated and experimental vibrational frequencies of dye **1** are presented in Table 1, and numeration of the dye is given in Figure 3.



Figure 5. The experimental (a) and calculated (b) IR spectra of dye 1.

446 Table 1. The observed FT-IR and calculated (B3LYP/6-311++G(d,p)) frequencies for hydrazone

447	tautomer of dye 1	[harmonic	frequency (cr	$m^{-1}),$	IR _{int} (K	$mmol^{-1})].$
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Mode no.	IR exp cm ⁻¹	Unscaled B3LYP	Scaled B3LYP	IR _{Int} ^a	Assignments
150	3450	3630	3514	86.75	vN25–H46
149	3430	3614	3498	37.9	vN22-H45
148	3296	3574	3460	87.46	vN6-H52
147	3211	3290	3185	99.00	vN1–H48
144	3114	3178	3076	7.45	v _{sym} CH
143	2977	3147	3046	12.1	vasymCH
130	2219	2330	2255	59.5	vCN
129	1700	1785	1728	773.79	vC24=O28
128	1674	1759	1703	744.8	vC7=O18
127	1640	1743	1687	363.33	vC27=O29

126	1622	1704	1649	233.25	vC5=O17
123	1570	1624	1572	184.8	$vC=C+\beta N1-H48$
122	1506	1602	1551	1163.83	$vC3 = N2 + \beta N1 - H48$
121	1450	1577	1527	51.96	vC4=C8 + vN1-N2
117	1428	1496	1448	36.56	βN25–H46
112	1401	1470	1423	119.3	β N22–H45 + β CH
109	1390	1447	1401	449.0	β N1–H48 + β N6–H52
68	847	872	844	66.15	γN1–H48
55	699	706	683	19.44	$\beta C5 = O17 + \beta C7 = O18$
54	646	689	667	71.4	γN6–H52
50	592	618	598	83.00	$\gamma N22 - H45 + \gamma N25 - H46$

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^aIR_{Int} – IR intensity; K mmol⁻¹; v – stretching; v_{sym} symmetric stretching; v_{asym} – asymmetric stretching; β – in-plane 449 bending; γ – out-of-plane bending.



Two broad bands at 3430 and 3450 cm⁻¹ in experimental spectrum are assigned to symmetric and 451 asymmetric stretching vibrations of two N22-H45 and N25-H46 groups of DHMP moiety, which 452 correlate with 3498 and 3514 cm^{-1} in calculated spectrum. For hydrazone form of dye 1, the most 453 important are two weak bands at 3211 and 3296 cm⁻¹, which belong to stretching vibrations of 454 N1-H48 and N6-H52 bonds of hydrazone and pyridone groups, respectively. These assignments 455 are supported by scaled theoretical values 3185 and 3460 cm⁻¹ (B3LYP mode nos. 147 and 148), 456 respectively, as well as with literature data. The bands centered at 2977 and 3114 cm⁻¹ in FT-IR 457 are assigned to aromatic asymmetric and symmetric C–H stretching vibrations. It can be seen from 458 Table 1 that in-plane bending vibrations of N1–H48 and N6–H52 are at 1390 cm⁻¹ and counterpart 459 in calculated spectrum is at 1401 cm⁻¹. The medium strong peaks at 646 and 847 cm⁻¹ are ascribed 460 to out-of-plane bending vibrations of N6-H52 and N1-H48 bonds, respectively. The band 461 characteristic for stretching vibrations of CN group at 2219 cm⁻¹ correlate well with calculated 462 463 value 2255 cm^{-1} .

464 The stretching bands of polar carbonyl groups C5=O17 and C7=O18 of pyridone and C24=O28 of DHMP moieties are at 1622, 1674 and 1700 cm⁻¹, respectively, and correlate well with 465 calculated values 1649, 1703 and 1728 cm⁻¹ (B3LYP mode nos. 126, 128 and 129). Vibrational 466

mode of C27=O29 of ethoxycarbonyl group is at 1640 cm⁻¹ which is in accordance with the 467 theoretically scaled frequencies at 1687 cm⁻¹. The band appearing at 699 cm⁻¹ in FT-IR spectrum 468 originated from in-plane bending vibrations of C5=O17 and C7=O18 of pyridone ring, and the 469 corresponding scaled calculated value is 683 cm⁻¹. The existence of stretching and bending bands 470 of carbonyl groups in FT-IR spectrum and their correlation with calculated values confirmed the 471 hydrazone tautomer of dye 1. Additional evidence of hydrazone tautomer is stretching N1–N2 and 472 C3=N2 group vibrations appeared as intensive sharp bands at 1450 and 1506 cm^{-1} in FT-IR 473 spectrum. The band appearing at 1527 cm^{-1} has contributions from the N1–N2 and C4=C8 474 stretching vibrations in calculated spectrum. The calculated stretching vibrations of C3=N2 group 475 is coupled with in-plane-bending vibrations of N1–H48 at 1551 cm⁻¹. The out-of-plane bending 476 vibrations of four N-H groups are in the low-frequency region at 592-847 and 598-844 cm⁻¹ in 477 experimental and calculated spectra, respectively. From Table 1 it is evident that experimental data 478 479 correlate well with the calculated data of hydrazone tautomer of 1.

480 *3.4. NMR spectral analysis*

Powerful confirmation of the tautomeric structure of dyes 1–7 results from the analysis of their NMR spectra. In this part, analysis of the most significant ¹H and ¹³C NMR chemical shifts of dye 1 is done in attempt to confirm its hydrazone tautomeric form. The calculated and experimental ¹H and ¹³C NMR chemical shifts are presented in Table 2. The recorded ¹H and ¹³C NMR spectra of **1** in DMSO-*d*₆ are shown in Figure S1 and S2 (Supplementary material).

In the experimental ¹H NMR spectrum, the chemical shift values for hydrogen of ethyl group are 1.1 and 3.99 ppm. Signals for two methyl groups of DHMP and pyridone moieties are at 2.26 and 2.51 ppm, respectively. These chemical shifts correlate well with calculated values in aliphatic region 1.14–4.0 ppm, with respect to TMS, in DMSO solution by B3LYP/6-311++G(d,p) method. The experimental peaks at 7.33–7.64 ppm are originating from aromatic hydrogen atoms. The calculated values of these peaks are in the region 7.49–8.23 ppm. Two signals of hydrogen H45 and H46 of NH groups of DHMP appear at 7.79 and 9.25 ppm in experimental spectrum. They are determined at 9.34 and 10.74 ppm in calculated spectrum. The most significant signals for hydrazone tautomer of dye **1** are signals of H52 and H48 belonging to pyridone and hydrazone NH groups, at 12.02 and 14.58 ppm. The calculated values of these signals are at 13.03 and 14.74 ppm.

The most significant signals for hydrazone tautomer in experimental ¹³C NMR spectrum of dye 1 497 are signals of two carbon atoms C5 and C7 of pyridone ring carbonyl groups, at 160.95 and 161.32 498 ppm, respectively. Counterparts of these signals in calculated spectrum are at 167.46 and 168.86 499 ppm. Signal at 124.04 ppm in experimental spectrum is assigned to carbon atom of C3=N2 group 500 501 with calculated value of 130.84 ppm. The appearance of these signals in experimental spectrum is 502 in a good correlation with the calculated chemical shifts for hydrazone tautomer of dye 1 which is a proof of the hydrazone structure. From Table 2 is evident that experimental and calculated 503 504 chemical shifts correlate very well.

Table 2. Experimental and calculated ¹H NMR and ¹³C NMR chemical shifts of dye **1** in DMSO

506 (with respect to TMS, atom positions are numbered as in Figure 3) [chemical shift (ppm)].

No.	Calc.	Exp.	No.	Calc.	Exp.
48-H	14.74	14.58	4-C	175.46	165.72
52-H	13.03	12.02	27-С	173.56	161.97
46-H	10.74	9.25	7-C	168.86	161.32
45-H	9.34	7.79	5-C	167.46	160.95
34-H	8.23	7.64	23-C	163.42	152.45
36-H	7.69	7.33	24-C	158.25	149.13
35-Н	7.68	7.33	14-C	153.91	144.04
33-Н	7.49	7.64	9-C	149.08	140.73
47-H	5.38	5.17	13-C	137.05	128.17
40-H	4.00	3.99	15-C	136.82	128.17

41-H	3.77	3.99	3-C	130.84	124.04
50-H	2.68	2.51	11-C	127.08	115.59
49-H	2.63	2.51	10-C	123.81	117.95
39-Н	2.61	2.26	12-C	123.11	115.59
37-Н	2.46	2.26	21-C	104.60	101.09
51-H	2.45	2.51	8-C	104.34	99.38
38-H	2.27	2.26	31-C	66.56	59.73
44-H	1.37	1.1	20-С	62.08	54.04
43-H	1.15	1.1	26-C	24.01	18.27
42-H	1.14	1.1	16-C	21.58	16.92
			32-C	15.55	14.58

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508 *3.4. Calculated MEP maps of the investigated dyes*

In strive to reveal (re)activity toward electrophilic or nucleophilic attack for dye molecules, the MEP maps on the B3LYP/6-311++G(d,p) optimized geometries are calculated. From Figure S38, Supplementary material, it can be concluded that dye molecules have three possible sites for electrophilic attack, according to negative regions, which exist around the oxygen atoms of carbonyl groups of pyridone ring and nitrile group. Contrary, the possible sites for nucleophilic attack are maximum positive regions spread around hydrogen atoms belonging to two N-H groups of DHMP ring.

516 *3.5. Calculated quantum chemical descriptors for investigated dyes*

The massive efforts and substantial financial resources are being invested in studies of biological (re)activity of the molecules. However, computational chemistry may help in such researches by calculation of the biological reactivity of promising molecules [33]. In this purpose, quantum chemical descriptors (QCDs) for investigated dyes **1–7** were calculated in water, employing equations [33] given in Supplementary material (Equations S1-S12). The calculations of QCDs have been performed in water, considering that bioassays were conducted in the aqueous solution of the nutrient medium and other chemicals. Also, determination of QCDs in water can show the general tendency of biological (re)activity [46] for investigated molecules. In previous publications [33, 47], it has been explained how QCDs affect biological reactivity. The calculated QCDs for the studied molecules **1–7** are given in Table S1, Supplementary material. Also, bearing in mind that dye **7** is likely to be in a zwitter ionic form, the profiling was conducted for both structures, hydrazone (**7**) and zwitter ion (**7a**). The biological reactivity of related dyes **1–7**, regarding QCDs is given in Table 3.

530 Table 3. The biological reactivity of investigated dyes regarding QCDs

	Biological reactivity
According to E _{HOMO}	7a > 6 > 3 > 2 > 4 > 1 > 5 > 7
According to E _{LUMO}	5 > 7 > 1 > 4 > 2 > 3 > 6 > 7a
According to E _{GAP}	7a > 5 > 1 > 4 = 2 > 3 > 6 = 7
According to I	7a > 6 > 3 > 2 > 4 > 1 > 5 > 7
According to A	7a > 6 > 3 > 2 > 4 > 7 > 1 > 5
According to η	7a > 5 > 1 > 4 > 2 > 3 > 7 > 6
According to σ , σ_0 , S	7a > 5 > 1 > 2 > 4 > 3 > 6 > 7
According to χ	5 > 7 > 1> 4 > 2 > 3 > 6 > 7a
According to CP	7a > 6 > 3 > 2 > 4 > 1 > 7 > 5
According to ω , N, ΔN_{max}	7a > 6 > 3 > 2 > 4 > 7 > 1 > 5

531

Above results show that there is no solely sequence of reactivity, but dye molecules **7a** and **5** appear to be more biologically reactive comparing to others. It is important to note that QCDs show just the tendency of biological reactivity, and this reactivity can be changed with respect to the structure of biological target [47,48].

536 *3.6. Antioxidant activity*

Antioxidant properties of the investigated dyes have been evaluated using the ABTS assay. The scavenging activity of dyes was compared to the activity of ascorbic acid (Figure 6a). The results have shown variable activity of the compounds, indicating that substituents on the pyridone moiety affect the oxidant ability of the molecules. Namely, 3-pyridinium (7) and 4-phenyl substituted (5)

541 dyes showed excellent (78.74% and 76.12%), and 3-amido substituted dye (6) expressed good

(62.22%) ability to scavenge the ABTS^{•+} radical cation, comparing to the inhibition of ascorbic
acid (99.19%). Other investigated dyes exhibited moderate to weak antioxidant properties.

The promising candidates **5**, **6** and **7** have been further evaluated by determination of IC₅₀ values, which correspond to the concentration of sample able to scavenge 50% of ABTS radicals in the solution (Figure 6b). High IC₅₀ values generally suggest low antioxidant activity. The IC₅₀ values of samples, ranged from 1.26 to 1.63 mM, indicate that investigated dyes demonstrate good antioxidant capacity comparing to IC₅₀ value of ascorbic acid (1.09 mM). Based on the comparison of the IC₅₀ values, activity of the dyes was found to be as follows: 7 > 5 > 6.

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551





554 *3.7. Cytotoxic activity*

The cytotoxic activity of **B2** and dyes **1–7** was examined against three human cancer cell lines, as well as on human normal lung fibroblasts using MTT assay (Table 4). Examination of the cytotoxic effects of the investigated compounds on human cancer cell lines showed concentration dependent cytotoxicity of all tested compounds (Table 4). Considering the cytotoxic activity of the compounds on human prostate adenocarcinoma PC-3 cells, the measured IC₅₀ values ranged from

560 48.98 µM do 194.41 µM. PC-3 cells were the most sensitive to the cytotoxicity of the compound 561 5 (IC₅₀ value of 48.98 μ M). Tested compounds exerted cytotoxic effects on chronic myelogenous leukemia K562 cells, with IC₅₀ values of 24.97–193.32 µM. The highest intensity of the cytotoxic 562 563 activity on K562 cells showed compounds 5 (IC₅₀ value of 24.97 μ M), 3 (IC₅₀ value of 41.75 μ M), and **6** (IC₅₀ value of 55.40 μ M). The compounds exhibited weaker cytotoxic activity against human 564 565 lung carcinoma A549 cells when compared with these activities against PC-3 and K562 cancer cells. The A549 cells were the most sensitive to the cytotoxicity of the compound 5 (IC₅₀ value of 566 85.16 µM). All examined compounds showed cytotoxicity against normal human lung fibroblasts 567 568 MRC-5, with IC₅₀ values of 23.60–194.56 μ M. It can be noted that assayed cancer cell lines were the most sensitive to the cytotoxic effect of the compound 5. Furthermore, 5 was selective in 569 cytotoxic action against PC-3 and K562 cells when compared with this activity against normal 570 571 MRC-5 cells.

Besides, it can be seen from Table 4, that Biginelli adduct **B2** expressed very low cytotoxic activity against all investigated cancer cell lines, as well as against normal cells. This confirmed that cytotoxic activity of the investigated dyes depends on the structure of pyridone moiety. Furthermore, it can be noted that phenyl group on the pyridone moiety, at position C4 in the structure of dye **5**, was important for the cytotoxic activity against all tested cancer cell lines. However, the promising anticancer action gives compound **5** an attractive lead for further structural optimization.

Table 4. In vitro cytotoxic activities of the investigated compounds [IC₅₀^a (μ M) ± SD].

	PC-3 ^b	A549 ^b	K562 ^b	MRC-5 ^b
1	182.12±1.75	>200	191.50±12.02	179.64±11.05
2	174.60 ± 1.12	>200	144.80 ± 0.55	≈200
3	147.89 ± 5.24	>200	41.75±1.54	42.49 ± 1.70
4	194.41±9.18	>200	193.32±6.66	194.56±9.43
5	48.9848±1.59	85.16±6.03	24.97 ± 0.05	91.11±5.33

6	163.21±0.97	197.82 ± 3.09	55.40 ± 3.56	23.60±1. 58 0
7	181.31±9.35	191.14±12.54	133.45±4.99	176.26 ± 3.70
B2	169.53±5.34	>200	149.10±9.53	153.66±7.26
CDDP ^c	12.29 ± 1.60	12.74 ± 1.26	5.90 ± 0.59	5.74 ± 0.48

^aCytotoxicity, IC₅₀ for each cell line, is the concentration of compound which reduced by 50% survival of treated cells
 with respect to untreated cells using the MTT assay. ^bCell lines include human prostate adenocarcinoma (PC-3), lung
 carcinoma (A549), chronic myelogenous leukemia (K562) and human normal lung fibroblasts MRC-5. ^cCisplatin –
 standard reference drug.

585 *3.8. Cell cycle analysis*

586 The cell cycle represents an ordered series of phases that occur during the cell division [49]. The main role of the cell cycle is to accomplish duplication and distribution of DNA content to newly 587 divided daughter cells. The cell cycle is consistent of G1, S, G2 and M phases. DNA replication 588 589 takes place in S phase, while in M phase replicated DNA is distributed to the new cells. Between M and S phase there is a phase called G1 (gap 1), and between S and M phase there is another 590 phase called G2 phase (gap 2). The cells in G1 phase have normal DNA content, and cells in G2 591 phase have duplicated DNA content. All phases of the cell cycle undergo the checkpoint control, 592 which provides the cell to ensure the cell cycle progression. However, cancer cells characterize 593 the disrupted cell cycle progression, lack of the appropriate checkpoint control and the absence of 594 cell death. Thus, the initiation of the cell death in cancer cells represents an effective anticancer 595 therapy. The effect of compound 5 on the cell cycle distribution of K562 cells is studied, in order 596 597 to investigate its possible mechanism of action (Figure 7). To determine whether the decrease in cell viability involved cell cycle distribution, cell cycle analysis was conducted by flow cytometry 598 599 as previously described.

Treatment of human myelogenous leukemia K562 cells with $2IC_{50}$ concentration of the compound 5 for 24 h induced increase in the percentage of cells in the subG1 phase, which is followed by the increase in the percentage of cells in the G2/M phase, comparing to the control cell sample. The subG1 cell population represents dead cells with reduced DNA content. Therefore, the observed 604 increase of cells within subG1 cell cycle phase appoints the ability of compound 5 to induce cell 605 death in treated K562 cells. The compound **5** also caused a cell cycle arrest of treated K562 cells within G2/M phase. The observed G2/M cell cycle arrest might be due to inhibition of cells to 606 607 enter mitosis at G2/M checkpoint or to inhibition of cell progression through mitosis – M phase arrest. These changes in treated cells were accompanied with decrease in the percentage of cells 608 within G1 and S phases. The reduced populations of treated K562 cells within G1 and S phases 609 610 may suggest the increased sensitivity of cells within those cell cycle phases to the cytotoxic action of compound 5 [49, 50]. 611

Taken together, the results of this research may suggest the possible anticancer potential ofcompound 5, which may serve as a starting point for development of novel antitumor agents.



K562 24h



Figure 7. The changes in the cell cycle phase distribution of human chronic myelogenous

616 leukemia K562 cells treated with 2IC₅₀ concentration of the compound **5** after 24 h treatment.



619 The investigated dyes 1-7 were profiled in the computational study of physicochemical and 620 ADME (absorption, distribution, metabolism, and excretion) properties, using SwissADME [38,51]. The physicochemical parameters and drugability of the examined compounds were 621 622 evaluated with respect to the Lipinski's rule of 5 (RO5) [52]. According to the Lipinski's rule, an 623 orally active compound has to satisfy following criteria: i) the number of hydrogen bond donors is 624 not greater than 5 (donors being N–H and O–H groups); ii) the number of hydrogen bond acceptors is maximum 10 (acceptors being N or O atoms); iii) a molecular weight is less than 500 and iv) 625 lipophilicity (octanol/water partition coefficient $-\log P$) is lower than 5. When a compound 626 627 violates two or more of the stated rules it will likely have poor absorption or bioavailability. The physicochemical parameters for the hydrazone tauromeric (1–7) and zwitter ionic (7a) forms, 628 629 calculated by SwissADME, are presented in Table 5.

	Dye	HBD ^a	HBA ^b	$M \log P^{c}$	$\mathbf{M}\mathbf{W}^{\mathrm{d}}$	Lipinski's violations ^e	%ABS ^g	Rot. Bond ^g
_	1	4	7	0.37	436.42	1	53.19	6
	2	4	8	0.05	480.47	1	49.24	8
	3	3	7	1.01	478.50	1	56.22	8
	4	3	7	1.68	512.52	2	56.22	7
	5	4	7	1.21	498.49	1	53.19	7
	6	5	7	0.02	454.44	1	46.53	7
	7	4	6	1.08	489.50	1	60.05	7
	7a	3	7	1.08	488.50	1	64.21	7

Table 5. The physicochemical properties of investigated compounds.

^aThe number of hydrogen bond donors; ^bThe number of hydrogen bond acceptors; ^cThe octanol/water partition
 coefficient, ^dThe molecular weight; ^eThe number of Lipinski's rule violation; ^fThe number of rotatable bonds.

All investigated compounds, except compound 4, can be considered as orally bioavailable, because
they violate only one of the Lipinski's rule (N plus O atoms > 10, bioavailability score 0.55). The
compound 4 has two violations of the stated rules (N plus O atoms > 10 and MW > 500,

bioavailability score 0.17) and thus, may be considered poorly orally active. It can be seen from
the Table 5 that parameters obtained for the both structures, 7 and 7a, fit the Lipinski's rule.

Furthermore, absorption was calculated [53], and the obtained values were within the range of 46.53–64.21% which demonstrated that synthesized compounds have adequate cell membrane permeability and bioavailability. The number of rotatable bonds is another important parameter which is associated with good bioavailability. If the number of rotatable bonds is greater than 10, conformational flexibility of a molecule increases and binding to a biological target is difficult. The number of rotatable bonds of the investigated dyes is between 6 and 8, which indicates possibility for binding to active site [54].

The pharmacokinetics parameters, such as blood brain barrier permeability, the ability of a 646 compound to be the P-gp (permeability glycoprotein) substrate and CYP (cytochrome enzymes) 647 648 inhibitor, were analyzed (Table S2, Supplementary material). All investigated compounds have shown no permeation to the blood brain barrier, thus side effects to the central nervous system are 649 prevented [53]. The P-gp is a key player in the process of an active efflux through biological 650 651 membranes, and along with the CYPs it participates in the excretion processes [55]. All investigated structures were found to be the substrates of the P-gp, except the 7. Furthermore, the 652 pharmacokinetics parameters for CYPs inhibition have shown that novel dyes express no 653 inhibition of CYPs, excluding dye **3** and **4** which inhibit CYP2C9 enzyme. 654

Finally, the medical chemistry results, such as PAINS (pan assay interference structures) alerts and synthetic accessibility scores, were analyzed (Table S2, Supplementary material). The PAINS are molecules containing the moieties that often give false positive biological output [56]. Among the structures under evaluation, only one structure, hydrazone tautomeric form of the 7, displayed PAINS alert. Synthetic accessibility scores of novel dyes were found to be between 4.66 and 5.02

(in the scale where 1 is very easy and 10 is very difficult) indicating their relatively easypreparation.

In addition, some physicochemical descriptors such as lipophilicity (logP (Broto), lipole (Broto), virtual logP), polar and apolar surface area, gyration radius, volume and ovality of the investigated dyes, were estimated by Vega 22 (Table S3, Supplementary material). In contrast to SwissADME evaluation, wherein mentioned descriptors have been determined based on 2D structures of molecules, Vega 22 employed 3D structures of the optimized geometries, for the calculation of related parameters.

Since lipophilicity affects pharmacodynamics action of the compound, it has the significant impact
on absorption, distribution, metabolism and excretion properties of the drug. The parameters
regarding the lipophilicity (logP (Broto), lipole (Broto), virtual logP) are given in Table S3,
Supplementary material. It can be concluded that all investigated compounds satisfy the principal
drug-like property, the logP < 5, which is fundamental for the success in drug development [57].

673 **4. Conclusion**

674 In this work seven novel azo pyridone dyes based on dihydropyrimidinone scaffold have been synthesized, and their structures have been confirmed experimentally and theoretically. ATR-675 FTIR and NMR spectroscopy confirmed that obtained dyes 1-6 exist in hydrazone tautomeric 676 form, whereas dye 7 exist in zwitter ionic form, in solid state, as well as in DMSO- d_6 solution. The 677 conformational analysis was conducted and the optimized geometries of the most stable 678 679 conformers of dye 1 were obtained with B3LYP method. The largest conformational difference in the molecule resulted from the rotation of the DHPM group around the C14–C20 bond. The 680 vibrational and NMR spectral analysis have shown that experimental spectra correlate well with 681 682 the calculated data, which was powerful confirmation of the hydrazone structure. Furthermore,

683 MEP maps revealed that the sites for the electrophilic attacks are around the carbonyl oxygen atoms and nitrile group of pyridone ring, while possible sites for nucleophilic attacks are around 684 hydrogen atoms belonging to two N-H groups of DHPM. According to the calculated QCDs, the 685 molecules 7a and 5 showed prominent reactivity. The highest antioxidant activity whit respect to 686 IC_{50} value manifested dyes 5 (1.42 mM), 6 (1.63 mM) and 7 (1.26 mM), comparing to the IC_{50} 687 value of ascorbic acid (1.09 mM). Evaluation of in vitro cytotoxic activity of investigated 688 compounds, on human cancer cell lines PC-3, K562 and A549, showed the concentration 689 dependent cytotoxicity. The cytotoxic activity of the investigated dyes depends on the structure of 690 691 pyridone moiety since the Biginelli adduct **B2** expressed low cytotoxic activity against all investigated cancer cell lines. All tested cancer cell lines were the most sensitive to the cytotoxic 692 action of 5, while noticeable selectivity in cytotoxic activity is observed between human cancer 693 694 cell lines (PC-3 and K562) and normal (MRC-5) cells. Moreover, the cell cycle analysis of 5, examined in K562 cells, show the increase in percentage of cells within subG1 phase, which 695 indicates cell death. Also, 5 induces the cycle arrest within G2/M phase, affecting the decrease in 696 697 the percentage of cells within G1 and S phases, which suggests the increased sensitivity of cells to the cytotoxic action of compound 5. The ADME evaluation in silico has shown that all investigated 698 compounds may be orally bioavailable with no permeation to the blood brain barrier. In addition, 699 lipophilicity parameters indicate that all compounds satisfy the principal drug-like property, the 700 log P < 5, which is fundamental for the success in drug development. Altogether, it can be 701 702 concluded that novel dyes may serve for further structural modification and development of new anticancer drugs. 703

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