

1 **In vitro and in silico testing of partially and fully bioresorbable vascular scaffold**

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3 Authors:

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5 Nenad Filipovic^{1,2*}, Dalibor Nikolic^{1,2}, Velibor Isailovic^{1,2}, Miljan Milosevic^{1,2}, Vladimir Geroski^{1,2},
6 Georgia Karanasiou³, Martin Fawdry⁴, Aiden Flanagan⁴, Dimitris Fotiadis³ and Milos Kojic¹

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8 1) Bioengineering Research and Development Center, BioIRC, Kragujevac

9 2) Faculty of Engineering, University of Kragujevac

10 3) Foundation for Research and Technology Hellas, Greece

11 4) Boston Scientific Limited, Ireland

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- 13 • Corresponding author: Nenad Filipovic, fica@kg.ac.rs, BioIRC Kragujevac, Faculty of
14 Engineering, University of Kragujevac, Sestre Janjica 6, 34000 Kragujevac, SERBIA, phone:
15 +381 34 334 379.

16

17 **Abstract**

18 Coronary artery disease (CAD), one of the leading causes of death globally, occurs due to the growth of
19 atherosclerotic plaques in the coronary arteries, causing lesions which restrict the flow of blood to the
20 myocardium. Percutaneous transluminal coronary angioplasty (PTCA), including balloon angioplasty and
21 coronary stent deployment is a standard clinical invasive treatment for CAD. Coronary stents are delivered
22 using a balloon catheter inserted across the lesion. The balloon is inflated to a nominal pressure, opening the
23 occluded artery, deploying the stent and improving the flow of blood to the myocardium.

24 All stent manufacturers have to perform standard *in vitro* mechanical testing under different physiological
25 conditions. In this study, partially and fully bioresorbable vascular scaffold (BVS) from Boston Scientific
26 Limited have been examined in vitro and in silico for three different test methods: inflation, radial compression
27 and crush resistance.

28 We formulated a material model for poly-L-lactic acid (PLLA) and implemented it into our in-house software
29 tool. A comparison of the different experimental results is presented in the form of graphs showing
30 displacement-force curves, diameter – load curves or diameter - pressure curves. There is a strong correlation
31 between simulation and real experiments with a coefficient of determination (R^2)>0.99 and a correlation
32 coefficient (R)>0.99.

33 This preliminary study has shown that *in-silico* tests can mimic the applicable ISO standards for mechanical
34 *in vitro* stent testing, providing the opportunity to use data generated using *in-silico* testing to partially or fully
35 replacing the mechanical testing required for regulatory submission.

36 **Keywords:** In vitro mechanical test, bioresorbable stent, PLLA, finite element analysis

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39 Methodology for the mechanical simulations: 640

40 Results: 1728

41 Conclusions: 451

42 1 INTRODUCTION

43 Coronary artery disease (CAD) is one of the leading causes of death in the world. CAD occurs due to the
44 growth of atherosclerotic plaques in the coronary arteries. Percutaneous transluminal coronary angioplasty
45 (PTCA) was introduced as a minimally invasive treatment for CAD. The catheter balloon is inflated to a
46 nominal pressure, compressing the atherosclerotic plaque against the arterial wall and deploying the stent. This
47 restores the vessel patency and improves the flow of blood to the myocardium. PTCA generally results in high
48 clinical success rates, although a percentage of patients redevelop the initial symptoms over 6–12 months. This
49 re-narrowing of the treated artery is caused by restenosis, which is the principal limitation of this procedure
50 (Martin and Boyle, 2011).

51 Migliavacca et al. (2002) investigated the effect of the geometrical parameters (artery surface ratio, strut
52 thickness, cell length) of the Palmaz-Schatz stent on deployment characteristics. Results for this stent were
53 compared to the Carbostent and Multi-Link Tetra stent (Migliavacca et al., 2002). The expansion of each stent
54 was obtained through a pressure load applied to the inner surface. The results showed that geometrical
55 parameters had a significant influence on the deployment characteristics. When the metal to artery surface
56 ratio is lower, this is associated with higher rates of radial and longitudinal recoil and also lower rates of dog-
57 boning. This study demonstrated the potential of the finite element method in optimization of stent designs.

58 The design of biodegradable stents would be greatly improved if one were to predict how a given structure
59 degrades over time in response to cyclic loading. This coupled contact mechanics problem, while difficult
60 under typical physiologic conditions, is manageable with many FEM packages. In papers (Soares et al., 2007;
61 Soares et al., 2008; Soares et al., 2010a; Soares et al., 2010b) a description of material properties that include
62 the effects of mechanical deformation in conditions of accelerating biodegradation is proposed. This is a basic
63 model in which the material, at fixed degradation, is characterized with a neo-Hookean, purely elastic model.
64 The energy density function for neo-Hookean material is dependent on shear modulus and the first principal
65 invariant of the right Cauchy-Green deformation tensor. Shear modulus is typically a material constant that is

66 estimated from load-deformation experiments, but in the case of biodegradable material, this material property
67 varies with time as material degrades. The parameter of degradation is 3D spatially and time dependent
68 degradation field, and for undegraded material it has value 0, and for completely degraded material it has value
69 1. Soares et al. (2008) proposed a function for rate of deformation in the case of uniaxial extension.

70 As mentioned above, using biodegradable materials for implantable stents brings numerous advantages.
71 Unfortunately, their performance is not sufficiently well characterized, either in vivo or in silico.

72 In this study we initially created a finite element model for a partially BVS stent (SYNERGY™ BP
73 (Bioabsorbable Polymer) Everolimus-Eluting Platinum Chromium Coronary Stent – Boston Scientific
74 Limited) and then for a fully BVS stent (prototype polymeric bioresorbable stent made from PLLA – Boston
75 Scientific Limited). Then we introduced our specific material model based on experimental curves
76 implemented on the PLLA material model. The PLLA experimental curves were available referring to three
77 different strain rates: 0.001 s^{-1} , 0.01 s^{-1} and 0.1 s^{-1} . In the Results section inflation, radial compression and
78 crush resistance tests are presented. A comparison of the results between simulation and real experiments is
79 given with coefficient of determination and correlation coefficient.

80 2 METHODOLOGY FOR THE MECHANICAL SIMULATIONS

81 2.1 Finite element model for SYNERGY™ BP

82 The SYNERGY™ BP Everolimus-Eluting Platinum Chromium Coronary Stent is a partially Bioresorbable
83 Vascular Scaffold (BVS) produced by Boston Scientific Limited (Galway, Ireland). The geometrical model of
84 the SYNERGY™ BP stent in pre-crimping configuration is presented in the Figure 1a. The strut section has
85 89 µm width and 79 µm thickness (Figure 1b). After crimping and expansion, the stent obtained has a nominal
86 expanded inner diameter of 3 mm and a nominal length of 16.17 mm.

87 *Figure 1*

88 Since the aim of the study is to perform structural analyses of the device, it is of primary importance to build
89 a representative discretized model of the stent. The whole model was discretized with 36786 hexahedral
90 elements (Figure 1c).

91 2.2 Material model of SYNERGY™ BP stent

92 The SYNERGY™ BP stent is made of a platinum-chromium alloy (Pt-Cr) which exhibits bi-linear
93 elastoplastic behaviour.

94 For this device, the following material parameter values were used (O'Brien et al., 2010). The values for the
95 material density, Young's modulus, Poisson's ratio and yield stress were provided by Boston Scientific
96 Limited.

97 2.3 Finite element model of a polymeric prototype of a bioresorbable stent implantation 98 system

99 The device is a **BVS prototype polymeric bioresorbable** stent made from PLLA, supplied by Boston
100 Scientific Limited. Analogous to the SYNERGY™ BP case, the geometrical model of the polymeric prototype
101 of a bioresorbable stent was provided by Boston Scientific Limited (Figure 2a). It has a length of 16 mm, an

102 internal diameter of 3 mm, the same as the tube used for laser-cutting the stent, with 184 μm strut width and
103 strut thickness of 115 μm .

104 *Figure 2*

105 The whole model was discretized with 49464 hexahedral elements (Figure 2b).

106 This polymeric device is made of poly-L-lactic acid (PLLA), an elasto-visco-plastic polymer, which exhibits
107 a non-trivial mechanical behaviour dependent on both the strain rate and the operating temperature.

108 **2.4 Material model of BVS material**

109 The model used in PAK solver (Kojic and Filipovic) is based on experimental curves provided by manufacturer
110 (Boston Scientific Limited), Figure 3a.

111 *Figure 3*

112 The manufacturer provided the average results of uniaxial tensile tests performed on a number of dog-bone
113 samples with a gauge length $L = 5$ mm, width $W = 2$ mm and a thickness $t = 0.105$ mm. Tests were conducted
114 at three different temperatures: 25°C, 37°C and 48°C. For each temperature, three different curves were
115 available referring to three different strain rates: 0.001 s^{-1} , 0.01 s^{-1} and 0.1 s^{-1} . Results are in accordance with
116 typical PLLA behavior (Figure 3b): at each temperature, the three curves show a common initial elastic
117 response, a strain rate dependent yield point and plastic behavior ending with a strong hardening. At higher
118 temperature or lower velocity, stress values decrease despite the increasing final strains.

119 **2.5 Representation of the 3D stress-strain state by uniaxial experimental curves**

120 The principle of equivalence of virtual work in 1D and 3D stress-strain conditions is implemented. The
121 following equivalent stress $\bar{\sigma}$ and equivalent strain $\bar{\epsilon}$ are adopted (Kojic and Bathe, 2005):

122
$$\bar{\sigma} = \left\{ \frac{1}{2} \left[(\sigma_{11} - \sigma_{22})^2 + (\sigma_{22} - \sigma_{33})^2 + (\sigma_{33} - \sigma_{11})^2 + 6(\sigma_{12}^2 + \sigma_{23}^2 + \sigma_{31}^2) \right] \right\}^{1/2} \quad (1)$$

123
$$\bar{e} = \left[\frac{2}{3} (e_{11}^2 + e_{22}^2 + e_{33}^2) + \frac{1}{3} (\gamma_{12}^2 + \gamma_{23}^2 + \gamma_{31}^2) \right]^{1/2} \quad (2)$$

124 where σ_{ij} stress components; e_{ij} are strains, with γ_{ij} being engineering strains. The uniaxial constitutive
 125 relationships obtained experimentally are used with these equivalent stress and strain, evaluated at each
 126 integration points within the finite element model.

127 **2.6 Interpolation of experimental curves**

128 Uniaxial stress-strain curves for different strain rates and two temperatures are schematically shown in Figure
 129 3b.

130 We interpolate the equivalent stress for the current strain, strain-rate and temperature as

131
$$\sigma_{T1} = \left(\sigma_1 + \frac{\sigma - \sigma_1}{\sigma_2 - \sigma_1} \right)_{T1}, \quad \sigma_{T2} = \left(\sigma_1 + \frac{\sigma - \sigma_1}{\sigma_2 - \sigma_1} \right)_{T2} \quad (3)$$

132 and then interpolate for temperature,

133
$$\sigma_T = \sigma_{T1} + \frac{T - T_1}{T_2 - T_1} (\sigma_{T2} - \sigma_{T1}) \quad (4)$$

134 where it is assumed that $\sigma_1 < \sigma < \sigma_2$, $T_1 < T < T_2$; the curves 1 and 2 correspond to strain rates
 135 $\dot{e}_1 < \dot{e} < \dot{e}_2$ and temperatures T_1 and T_2 . In the case of $\dot{e} < \dot{e}_{\min}$ we use

136
$$\sigma_{T1} = \frac{\dot{e}}{\dot{e}_{\min}} \sigma_1 \quad \text{or} \quad \sigma_{T2} = \frac{\dot{e}}{\dot{e}_{\min}} \sigma_2 \quad (5)$$

137 In the case of $\dot{e} > \dot{e}_{\min}$ we use

138
$$\sigma_{T1} = \sigma_{\max} + \frac{\dot{e} - \dot{e}_{\max}}{\dot{e}_{\max} - \dot{e}_{\max-1}} (\sigma_{\max} - \sigma_{\max-1}) \quad (6)$$

139 3 RESULTS

140 3.1 Inflation test

141 The purpose of this test is to determine the diameter required to inflate the balloon to the nominal recommended
142 pressure, analyzing the outer diameter (measured in three positions: proximal, middle, distal) and length. The
143 test provides results for recoil, foreshortening, dog boning. Foreshortening test determines the length to
144 diameter relationship of the stent, the unconstrained length of a self-expanding stent and the wall thickness of
145 a stent. Dog boning test evaluates the difference between the diameter of the implant and those of the proximal
146 and distal ends of the balloon, when the implant is released under the maximum recommended inflation
147 pressure. This test also provides information that might be useful for planning of clinical treatment. The results
148 from the test are diameter/pressure curves. The testing occurs at 37° C temperature in pH 7.4 phosphate-
149 buffered saline. For the test environment, pH and temperature are selected to simulate a clinically relevant
150 environment.

151 For the in vitro experiment, a computer-controlled Nexus 5000 with 99.96% accuracy syringe pump was used
152 for inflation. A pressure sensor with 0.1% measurement error and a Keyence laser optical micrometer was
153 used for the measurement of diameter (Figure 4a). The sample is fixed in a specially designed fixator. At the
154 start of the inflation process, on every increase of 50kPa the pump temporarily stops and performs the stent
155 outer diameter measurement with the laser micrometer, and then the process continues. Stent manufacturers
156 use a variety of methods to determine the optimal rate of stent inflation. Making the compromise between the
157 rate of inflation and internal stress in the stent material. The aim is to perform the surgical procedure as quickly
158 as possible without compromising the integrity of the stent too much. Finding this limit is a very demanding
159 process and very much depends on the experience of the manufacturer. To obtain consistently test results the
160 inflation speed used is around 3kPa/s which is much less than the manufacturer's suggestion to provide the
161 balloon material more time to adapt to new pressure and avoid possible inflation speed impact on the balloon
162 material deformation.

163

Figure 4

164 For in silico simulations, the stent is positioned in the way that the central stent axis is collinear with Z axis.
165 Node movement at one end of the stent is fixed in Z direction. Lower inflation speed provides numerical
166 stability. The pressure is set on the internal surface of the balloon, which is placed inside the stent. The contact
167 boundary condition is set between the stent internal surfaces and the balloon outer surfaces. Boundary
168 conditions for mimicking the test are presented in the **Error! Reference source not found.** Figure 4b.

169 *3.1.1 Computed results*

170 The stress distribution from the simulation of the inflation test for the SYNERGY™ BP device and BVS
171 prototype device are presented in Figure 5a and Figure 5b, respectively. In the case of the SYNERGY™ BP
172 device, the inflation test starts from a crimped device form without any residual stress and strain (material is
173 Pt-Cr alloy so residual stress and strain it is negligible and therefore was disregarded). But in the case of the
174 BVS prototype device (material PLLA), to preserve residual stress and strain, the crimping process is simulated
175 first (Figure 5b, top), then the inflation simulation is performed.

176

Figure 5

177 Comparison of diameter-pressure curve results, between real experimental data and simulations for the
178 SYNERGY™ BP and BVS devices are presented in Figure 6a and Figure 6b, respectively. In the real inflation
179 test 14 SYNERGY™ BP units and 9 BVS prototype units were tested, but only two representative diameter-
180 pressure curves from actual tests are presented in Figure 6a and Figure 6b. However, the data from all
181 SYNERGY™ BP; n=14 curves, and BVS prototype; n=9 curves were used in the calculation of the R² results
182 reported in Table 1.

183

Figure 6

184

185 The simulation of the inflation test also generates results for several different tests (Table 1):

- 186 • Foreshortening
- 187 • Dog boning
- 188 • Stent-free Surface Area.

189 Stent-free Surface Area (SAR) is easily measured from the CAD model and ISO 25539 part 2 does not require
190 this parameter to be measured on a real test article. The simulation cannot provide a result for the
191 Profile/diameter test that can be compared with the data generated from bench testing of an actual stent. During
192 manufacturing process, a test article can be generated with different dimensions within the acceptable range
193 of the profile/diameter specification. . However, the test can provide information about stent opening and the
194 simulation can be programmed to expand the stent to a pre-defined diameter.

195 **3.2 Radial compression test (radial force)**

196 The purpose of this test is to determine the load/deformation characteristics of the stent, while a
197 circumferentially uniform radial load is applied. The stent is compressed using a uniform rate of compression,
198 starting with a diameter equal to the maximum indicated vessel diameter. In this simulation, the stent is
199 positioned in such a way that the central stent axis is collinear with Z axis. Node movement at one end of the
200 stent is fixed in the Z direction. The radial force is set on the outer surface of the cylinder placed outside of the
201 stent with the purpose of simulating the “Mylar” loop crimping device. The contact boundary condition is set
202 between the stent outer surfaces and the cylinder internal surfaces. Boundary conditions for mimicking the test
203 are presented in Figure 7a (right).

204 *Figure 7*

205 *3.2.1 Computed results*

206 The stress distribution from the simulation of crimping the SYNERGY™ BP device and BVS prototype device
207 have been presented in Figure 7b and Figure 7c, respectively.

208 Comparison of the diameter-load curve results, between real experimental data and the simulation is presented
209 in the Figure 7d and Figure 7e. In the real compression test 5 SYNERGY™ BP and 4 BVS prototype device
210 units were tested. The data from all SYNERGY™ BP: n=5 curves and BVS prototype device n=4 were used
211 in the calculation of the R² results reported in Table 1.

212 Comparison of the real experimental data for stent recoil and the results of the simulation required
213 simplification in order to allow interpretation to take place. A comparison of the mean values between the real
214 test and the simulation is presented in Table 1.

215

216 **3.3 Crush resistance / Crush test with two plates (force / distance)**

217 The purpose of this test is to determine the load required to cause clinically relevant buckling or a deflection
218 equivalent to a diameter reduction of at least 50%. In this test method, it is also necessary to determine the
219 load/deformation characteristics of the stent while a uniform axial load is applied. The stent is compressed
220 between two plates and a load force applied at a uniform rate. In this simulation, the stent is positioned in such
221 a way that the central stent axis is collinear with Z axis, between the two plates (top and bottom). Node
222 movement at one end of the stent is fixed in the Z direction. All stent nodes located in the XZ coordinate plane
223 are bounded in the Y direction and all stent nodes located in the YZ coordinate plane are bounded in the X
224 direction. The axial force is set on the top plate. The bottom plate is fixed. The contact boundary condition is
225 set between the stent outer surfaces and the surfaces of the plates. Boundary conditions for mimicking the test
226 are presented in Figure 8a.

227

Figure 8

228 *3.3.1 Computed results*

229 The stress distribution from the crush test of SYNERGY™ BP and BVS prototype device have been presented
230 in Figure 8b and Figure 8c, respectively.

231 Comparison of the diameter-load curve results, between real experimental data and the simulation is presented
232 in Figure 9a for SYNERGY™ BP devices and in Figure 9b for BVS prototype devices. In the real crush test
233 5 SYNERGY™ BP units and 3 BVS prototype units were tested. The data from all SYNERGY™ BP: n=5
234 curves and BVS prototype device n=3 were used in the calculation of the R² results reported in Table 1.

235 *Figure 9*

236 By comparing diameter-pressure curves (Inflation test: Figure 6a, Figure 6b) and diameter-load curves (Radial
237 compression test: Figure 7d, Figure 7e; Crush test: Figure 9a, Figure 9b) created from the simulation with data
238 from real experiments, it can be concluded that the simulation mimics the real inflation test with very high
239 precision. The precision of simulation is quantified by the coefficient of determination (R²) and correlation
240 coefficient (R) calculated between the simulation curve and all points from all experimental curves.

- 241 • From the obtained inflation test results there is a strong correlation for SYNERGY™ BP (R²=0.999,
242 R=0.9955) and BVS (R²=0.9973, R=0.9925) for the proximal/distal stent positions. At the central
243 position for the SYNERGY™ BP stent, the coefficient of determination (R²=0.777) and correlation
244 coefficient (R=0.8815) show a little “deviation”, because the speed of process transition is different
245 between the real test and the simulated test.
- 246 • In the case of the radial compression test it can be observed that the coefficient of determination
247 (R²=0.8099) and correlation coefficient (R = 0.9) are lower in the case of the SYNERGY™ BP device.
248 The difference is due to the setup for the radial mechanical test for a very small stent diameter. In the
249 “Mylar loop” device setup if the stent diameter is decreasing, the measurement “noise” increases. In
250 the real test measurements, when the diameter is less than 2mm, the load value is decreased. This
251 directly produces lower results in the coefficient of determination (R²) and the correlation coefficient
252 (R).
- 253 • Results from crush test present a strong correlation between the simulation and real experiments for
254 the SYNERGY™ BP device, quantified with R²=0.9957 and R=0.9979 and for the BVS device
255 quantified with R²=0.9986 and R=0.9993.

257 4 CONCLUSIONS

258 In this study, we presented in vitro and in silico mechanical tests with two different types of stent devices -
259 SYNERGY™ BP representing a partially BVS device and a prototype bioresorbable stent from Boston
260 Scientific Limited representing fully BVS devices. A comparison between the results of the real test and the
261 simulation are presented in the form of comparable curves. We presented inflation, radial compression and
262 crush resistance tests. Comparison of the tests results interpreted in form of graphs (diameter – load curves or
263 pressure – diameter curves) for the partially BVS SYNERGY™ BP (device already approved for
264 commercialization) and the fully BVS devices (polymeric prototype of a bioresorbable vascular scaffold) show
265 very good correlation with results from real mechanical tests. A newly developed material model for simulation
266 of PLLA or other similar polymer materials shows very satisfying performance and results. We proposed a
267 material model for PLLA in our program PAK (Kojic and Filipovic) for experimental curves which used
268 original experimental curves. This material model includes average results of uniaxial tensile tests performed
269 on a number of dog-bone samples and conducted at three different temperatures. The experimental curves
270 show an initial elastic response, a strain rate dependent yield point and plastic behavior ending with a strong
271 hardening. Any kind of experimental curves available for the stent material can be used, which is a significant
272 benefit in comparison with commercial software where that is not possible. For most of the results, there are
273 strong correlations between simulation and real experiments for the coefficient of determination ($R^2 > 0.99$) and
274 the correlation coefficient ($R > 0.99$).

275 In the inflation test for the SYNERGY™ BP stent, the coefficient of determination ($R^2 = 0.777$) and the
276 correlation coefficient ($R = 0.8815$) show a little “deviation” at the central stent position. This can be attributed
277 to the speed of process transition between the real test and the simulation. In the radial compression test, the
278 coefficient of determination ($R^2 = 0.8099$) and the correlation coefficient ($R = 0.9$) are lower in the case of the
279 SYNERGY™ BP device due to the setup of the radial mechanical test for a small stent diameter.

280 All simulations need several hours of preparation for boundary conditions, prescribing material models,
281 material properties and nonlinear contact. The execution of the simulation takes a few hours which is attributed
282 to the complexity of most of the tests. The residual stress and initial geometry for different tests derive directly
283 from the previous running tests like crimping and expanding.

284 Up to our knowledge there is no similar study for comparison of partially and fully BVS. In addition, a newly
285 developed material model for simulation of PLLA that uses experimental curves has shown a very good
286 agreement with experimental test results. It has been shown that in-silico tests can mimic most of the
287 appropriate ISO standards for mechanical in vitro stent devices testing. It opens a new avenue for in silico tests
288 which can partially or fully replace the real mechanical testing required for submission to a regulatory body.

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