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# Neutrophil diversity and plasticity in tumour progression and therapy

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# 19 Abstract

20 Neutrophils play a key role in defence against infection and in the activation and regulation of innate 21 22 and adaptive immunity. In cancer, tumour-associated neutrophils (TANs) have emerged as an 23 important component of the tumour microenvironment. Here they can exert dual functions. TANs can be part of tumour-promoting inflammation by driving angiogenesis, extracellular matrix 24 25 remodelling, metastasis and immunosuppression. On the other hand, neutrophils can mediate anti-26 tumour responses by direct killing of tumour cells and by participating in cellular networks that mediate anti-tumour resistance. Neutrophil diversity and plasticity underlie the dual potential of 27 28 TANs in the tumour microenvironment. Myeloid checkpoints as well as the tumour and tissue contexture shape neutrophil function in response to conventional therapies and immunotherapy. We 29 30 surmise that neutrophils can provide tools to tailor current immunotherapy strategies and pave the 31 way to myeloid cell-centred therapeutic strategies complementary to current approaches.

### 33 Introduction

Neutrophils have long been known to serve as an essential line of resistance against infectious agents in innate immunity and downstream of polarized T<sub>H</sub>17-driven adaptive immune responses <sup>1-3</sup>. Moreover, in addition to representing a hallmark of acute inflammation, neutrophils are an important component of circuits which orchestrate the activation, orientation and regulation of adaptive immune responses and chronic inflammation. By expressing a wide repertoire of cytokines, immunosuppressive and stimulatory molecules, neutrophils engage in complex bidirectional interactions with lymphoid cells and macrophages <sup>4,5</sup>.

The tumour microenvironment (TME) has emerged as an essential component of neoplasia <sup>6</sup>.
Inflammatory cells and components of the humoral arm of innate immunity are key players of cancerrelated inflammation <sup>6-9</sup>. Inflammatory cells and mediators contribute to tumour progression, from
initiation to seeding at distant anatomical sites <sup>6-9</sup>.

45 While attention has long been focused on macrophages as a paradigm of cancer-related inflammation <sup>10</sup>, several lines of evidence in preclinical and clinical conditions point to a role of 46 neutrophils <sup>11,12</sup>. Neutrophils infiltrate solid tumours to a variable extent, as assessed by conventional 47 48 immunohistochemistry staining for neutrophil markers (e.g. CD66b in human and Ly6G in mice) and expression of a neutrophil transcriptional signature <sup>11-17</sup>. In most but not all human tumours high 49 infiltration with tumour-associated neutrophils (TANs) has been associated with poor prognosis <sup>12</sup>. 50 Accordingly, in transplanted tumours and models of carcinogenesis TANs have been reported to be 51 a component of tumour-promoting inflammation<sup>3,11</sup>. However, neutrophils can engage in pathways 52 of anti-tumour resistance by killing tumour cells and/or by interacting with other components of 53 immunity<sup>3,11,12</sup>. Thus, neutrophils have the potential to be both pro-tumorigenic and anti-tumorigenic 54 55 within the TME and this dual function is likely a reflection of their unexpected plasticity in response to environmental cues. 56

57 Here we will review current evidence on the role of neutrophils in tumour progression and
58 metastasis in the light of their diversity and plasticity. Emphasis will be on diversity and on prognostic

and therapeutic implications. Previous reviews on the immunobiology of neutrophils and TANs <sup>1-</sup>
 <sup>4,11,12,18,19</sup> will provide a framework for the present essay.

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# 62 Homeostasis and recruitment

### 63 *Development and mobilization*

Neutrophils represent 50 to 70% and 10 to 25% of circulating leukocytes in humans and mice, 64 respectively <sup>20,21</sup>. In peripheral blood, neutrophils are short lived cells and require a constant 65 replenishment from bone marrow precursors dependent on signalling by the granulocyte-colony 66 stimulating factor receptor (G-CSFR) (Box1)<sup>2,22</sup>. Therefore, deficiency in G-CSF or G-CSFR leads 67 to severe neutropenia <sup>23,24</sup>. In addition to the essential role played by G-CSF, mediators such as 68 granulocyte-macrophage colony-stimulating factor (GM-CSF) and the proinflammatory cytokine IL-69 70 6 are involved in the regulation of the development of neutrophils, in particular during an inflammatory response 2,22,25,26. 71

72 The trafficking of neutrophils from bone marrow into peripheral blood is tightly regulated, in 73 particular through signalling by the chemokine receptors CXCR2 and CXCR4. Expression of 74 CXCL12 by bone marrow stromal cells mediates the retention of CXCR4<sup>+</sup> immature neutrophils (N<sub>I</sub>; as an operational laboratory nomenclature, we use NI, NM, NA and NISG to refer to immature, mature, 75 76 aged and interferon gene signature neutrophils, respectively; see below and see Box2). Decreased 77 expression of CXCR4 in bone marrow mature neutrophils coupled with activation of CXCR2 signalling triggers the entry of N<sub>M</sub> into the circulation <sup>27</sup>. Ageing neutrophils upregulate the 78 expression of CXCR4, driving their homing back to the bone marrow and their elimination by 79 macrophages <sup>27,28</sup>. The cellular composition and molecular signature of the hematopoietic niche, 80 81 including the number of reticular cells expressing CXCL12, is regulated by the rhythmic clearance 82 of neutrophils by macrophages <sup>28</sup>.

83 The process of neutrophil ageing, which can take place in the circulation, is regulated by gut
84 microbiota and is controlled by neutrophils themselves through a cell-autonomous transcriptional

program <sup>28-30</sup>. Indeed, circadian expression of the transcription factor Bmall controls the production
of CXCL2 in a cell-intrinsic manner. In turn, CXCL2 signals through CXCR2 to induce neutrophil
ageing <sup>30</sup>. Elimination of apoptotic neutrophils and formation of fresh cells are interconnected as a
homeostatic rheostat essential to prevent exacerbated inflammation and tissue damage <sup>30-32</sup>.

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# **Recruitment in cancer**

In established neoplasia in mice and humans, altered haematopoiesis is usually observed, a
reflection of the production of growth factors (G-CSF and GM-CSF) and inflammatory cytokines
(e.g. IL-6, IL-1β, IL-17) by tumour cells, stromal cells and tumour-infiltrating leukocytes, including
T cells, macrophages and neutrophils <sup>33-35</sup>. Neutrophilia and appearance in the circulation of immature
myeloid cells occur and these cells are potent mediators of immunosuppression as discussed below
(Figure 1a) <sup>11,12,36</sup>.

Neutrophils express high levels of the chemokine receptors CXCR1 and CXCR2, which play 97 98 a major role in their recruitment in the TME where CXC chemokines (e.g. CXCL1, CXCL2, CXCL5, CXCL6, CXCL8/IL-8) are expressed by tumour cells, tumour-infiltrating leukocytes, endothelial 99 cells and fibroblasts <sup>37,38</sup>. In addition to chemokines, inflammatory cytokines (e.g. IL-17, IL-1β and 100 101  $TNF\alpha$ ) have been implicated in neutrophil mobilization and recruitment in cancer. In particular, these cytokines are part of an inflammatory circuit that leads to the production of G-CSF and the subsequent 102 formation and mobilization of neutrophils <sup>11,33,39</sup>. Moreover, IL-1β and G-CSF dramatically prolong 103 104 the survival of neutrophils <sup>40</sup>. In addition to these molecules, tumour-derived oxysterols and the 105 complement component anaphylatoxin C5a have been shown to contribute to neutrophil recruitment in mouse tumours <sup>41-43</sup>. 106

107 The dynamics and lifespan of neutrophils in tumours remain to be fully elucidated. In a 108 transplantable model of head and neck cancer and in primary sarcomagenesis induced by 3-109 methycholanthrene (3MCA) in mice, neutrophils were already present at the site at early time points 110 (hours/days) <sup>8,15,44</sup>. Intravital multiphoton imaging revealed that neutrophils infiltrated the tumour within 3h after tumour cell injection and persisted for up to 3 days in the TME <sup>44</sup>. Interestingly, the motility of intra-tumour neutrophils was reduced compared to peri-tumour neutrophils, suggesting different states of activation or polarization <sup>44</sup>. Accordingly, early in cancer development, neutrophils from tumour-bearing mice and cancer patients showed increased spontaneous and chemokineinduced migration mediated by autocrine ATP signalling through the purinergic receptors P2Y<sub>1</sub> and P2Y<sub>2</sub>, compared to neutrophils from late stage cancer <sup>45</sup>. Therefore, neutrophils undergo dynamic changes during cancer development and progression as also discussed below <sup>45</sup>.

Neutrophils have also been reported to accumulate in the premetastatic niche where the 118 expression of G-CSF, CXCL1 and CXCL2 by cancer cells and stromal cells promoted their 119 recruitment <sup>33,46-50</sup> (Figure 1b). In an orthotopic model of breast cancer and oncogene-driven 120 mammary carcinogenesis, the mobilisation of neutrophils into the metastatic lung was regulated by 121 122 the atypical chemokine receptor 2 (ACKR2), a decoy and scavenger receptor for inflammatory CC chemokines expressed in early hematopoietic precursors <sup>47</sup>. Genetic deficiency of this molecule 123 accelerated the maturation rate, mobilization and activation of neutrophils which restrained metastasis 124 <sup>47</sup>. In patients with breast cancer, expression of ACKR2 was found to be inversely correlated with the 125 126 stage of the disease <sup>51</sup>. However, ACKR2 was also expressed by mammary epithelial cells and the relative importance of hematopoietic versus tumour cell expression in this neoplasm remains to be 127 assessed. 128

129 Thus, results obtained in preclinical mouse models and in humans suggest that neutrophil 130 recruitment and survival in neoplastic tissues involves upstream regulation of myelopoiesis and a 131 complex network of chemokines, cytokines, G-CSF, and Complement components.

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### 133 Neutrophil diversity

### 134 *Neutrophil diversity in health*

Under homeostatic conditions, circulating and tissue neutrophils exhibit considerablediversity, with phenotypic and functional heterogeneity driven by maturation and ageing as well as

tissue microenvironmental cues <sup>18</sup>. Circadian oscillation and ageing affect the neutrophil proteome,
including the repertoire of chemokine receptors, pattern recognition receptors and molecules involved
in adhesion, inflammasome and vesicular transport as well as the production of neutrophil
extracellular traps (NETs) and the capacity to migrate <sup>28-30,52</sup>.

In the circulation, N<sub>M</sub> freshly released from the bone marrow are characterized by a high 141 142 expression of CD62L and CXCR2 and low expression of CXCR4 (Box 2). N<sub>M</sub> are released during the night and the early morning and predominate at zeitgeber time (ZT) 13 (i.e. 13h after light on)<sup>28</sup>. 143 144 The process of neutrophil ageing is a bone fide circadian process. Over a period of 6 to 8 hours, expression of CD62L is dramatically reduced and N<sub>A</sub>, characterized by a high expression of CXCR4 145 and CD11b and a hypersegmented nucleus, predominate at ZT5 <sup>28-30</sup>. These phenotypic variations 146 favour neutrophil clearance and suggest that neutrophil-dependent immune and inflammatory 147 148 responses are not stable over time and may respond to environmental changes during the circadian 149 cycle. In agreement with this hypothesis, NA displayed reduced migration into inflamed tissues, compared to  $N_M$ <sup>30</sup>. 150

151 Divergent results concerning the capacity to produce NETs by ageing neutrophils have been 152 reported <sup>29,52</sup>. This apparent divergency may reflect different methodological approaches for enriching N<sub>A</sub> (i.e. injection of antibodies to block P- and E- selectins or isolation of neutrophils at 153 154 ZT5 in untreated mice). In steady state conditions, circulating neutrophils were shown to undergo homeostatic degranulation and to lose their capacity to form NETs before they penetrate tissues, 155 limiting their tissue damaging potential <sup>52</sup>. This process is driven by a cell-intrinsic mechanism 156 controlling the circadian expression of CXCL2 induced by Bmal1, as observed for neutrophil ageing 157 (see above) 52. 158

In addition to N<sub>M</sub> and N<sub>A</sub>, results available in preprint form and obtained by single-cell RNA
 sequencing (scRNAseq) analysis of circulating neutrophils identified N<sub>ISG</sub>, which are characterized
 by the expression of a set of interferon-stimulated genes <sup>53</sup>. This neutrophil subset is present in mice

and humans and could represent a population of neutrophils primed to fight infections. Interestingly,
a similar population has been observed in tumours (see below and Box2) <sup>54</sup>.

In tissues, neutrophils can accomplish important homeostatic functions and acquire specific immunomodulatory properties, as it occurs in the lymph nodes and spleen <sup>3,32,55</sup>. In particular, under homeostatic conditions neutrophils expressing the major histocompatibility complex (MHC) class II molecules are present in lymph nodes in proximity to T cells, suggesting a role as antigen presenting cell (APC) <sup>56</sup>. Neutrophil present in the marginal zone of the spleen promote immunoglobulin class switching and production of antibodies by activating B cells through the expression of B cellactivation factor of the TNF family (BAFF), a proliferation-inducing ligand (APRIL) and IL-21 <sup>55</sup>.

Thus, in homeostasis neutrophils exhibit a previously unanticipated heterogeneity and are integrated in regulatory circuits of immunity <sup>5,30,32</sup>. Among mononuclear phagocytes, cells originating from embryonic precursors subserve mainly homeostatic functions, whereas in postnatal life the main function of bone marrow-derived macrophages is response to damage and inflammation, with plasticity of these cells as a major driver of diversity <sup>57</sup>. There is no evidence for ontogenetically distinct neutrophil or sensu stricto defined subsets. We therefore surmise that neutrophil diversity is a reflection of plasticity in response to differentiation and environmental signals.

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# Neutrophil diversity in cancer

Cancer has served as a paradigm for the plasticity and diversity of neutrophils, reflecting maturation stage, response to tissue cues and cancer progression (Box2). Neutrophil differentiation and maturation trajectories are profoundly altered in tumour-bearing mice <sup>58,59</sup>. In advanced neoplasia, immature myeloid cells endowed with immunosuppressive properties appear in the circulation, primary tumours and metastases (Box2) <sup>36,60,61</sup>. In the same vein, early unipotent neutrophil progenitors (NePs) (Box1) accumulated in a melanoma mouse model and a similar cell subset (CD66b<sup>+</sup>, CD117<sup>+</sup>, CD34<sup>+/-</sup>) was identified in the blood of melanoma patients <sup>61</sup>. Despite that these progenitors do not correspond to a subset of N<sub>M</sub>, they are part of the diversity of myeloid cells
found in patients and tumour-bearing mice.

Transcriptomic analysis of myeloid differentiation in mice bearing mammary carcinomas, 189 190 revealed a profound alteration of the transcriptional trajectory leading to an immunosuppressive phenotype, characterized by reactive oxygen species (ROS), nitric oxide (NO) and Arginase-1 (Arg1) 191 production, with potential to inhibit T cell proliferation *ex vivo* <sup>58,59</sup> (Figure 1c). In human and mouse 192 193 lung cancers, scRNAseq analysis of tumour-infiltrating myeloid cells revealed that TANs formed a 194 continuum of phenotypic states, which can be resolved in five and six cell clusters in human and mouse, respectively <sup>54</sup>. Three modules of cell subsets are conserved in human and mouse, including 195 196 a module expressing canonical neutrophil markers (e.g. MMP9, S100A8, S100A9), a module expressing molecules involved in tumour inflammation and growth (e.g. CCL3, CSF1) and a module 197 198 with a limited number of cells displaying strong expression of type I interferon-response genes (e.g. *IRF7*, *IFIT1*) <sup>54</sup>. 199

Analysis of TANs has revealed how signals present in the TME shape their function. In 200 201 primary carcinogenesis and transplantable lung tumours, TGFB was found to polarize neutrophil function in a pro-tumour direction characterized by a high expression of Arg1, CCL17 and CXCL14 202 and a low expression of CXCL10, CXCL13, CCL6, TNFa and ICAM1<sup>62,63</sup>. Mirroring the M1-M2 203 nomenclature used for polarized macrophages (for a discussion see <sup>10,57</sup>), N1 and N2 have been used 204 to refer to anti-tumour and pro-tumour neutrophils, respectively (see Glossary and Box2)<sup>62-64</sup>. In 205 3MCA-induced primary sarcomagenesis, TANs presented a hybrid phenotype between N1 and N2 206 15 207

In contrast to TGF $\beta$ , IFN $\beta$  and combined IFN $\gamma$  plus GM-CSF set neutrophils in an anti-tumour mode <sup>65-67</sup> (Figure 2a). In early, but not late, non–small-cell lung cancer (NSCLC), IFN $\gamma$  and GM-CSF have been shown to drive the differentiation of APC-like MHCII<sup>+</sup> neutrophils expressing the costimulatory molecules OX40 ligand (OX40L), CD86 and 4.1BBL (Figure 2a) <sup>66,67</sup>. A similar HLA-

212 DR<sup>+</sup> neutrophil population was observed in human head and neck cancer, spatially associated with
213 activated T cells <sup>68</sup>.

In general, early in carcinogenesis TANs are part of networks mediating anti-tumour resistance, whereas progression is associated with a functional switch setting these cells in an immunosuppressive pro-tumour mode (see also below) <sup>12,45,64,66,67,69</sup>.

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### 218 Neutrophils in tumour-promoting inflammation

Evidence in mouse models and patients, the latter discussed below, strongly suggests that neutrophils are an important component of tumour-promoting inflammation in many types of cancer (Figure 1d) <sup>11,12</sup>. Antibody-mediated neutrophil depletion (see Box3 for discussion of neutrophil depletion strategies and their limitations) resulted in protection against primary carcinogenesis and transplanted tumours <sup>33,62</sup>.

224 Within the TME, TANs have been shown to affect genetic instability, tumour cell proliferation, angiogenesis, tissue remodelling and suppression of innate and adaptive lymphoid cell-225 226 mediated immunity (immunosuppression will be discussed in detail in the next section). Production 227 of high quantity of ROS is a fundamental property of neutrophils<sup>3</sup>. In cancer, neutrophil-derived ROS have been associated with DNA damage and genetic instability in epithelial cells <sup>70-72</sup>. ROS-228 independent mechanisms include neutrophil-derived microparticles which deliver specific 229 230 proinflammatory microRNAs (i.e miR-23A and miR-155) into intestinal epithelial cells, promoting the accumulation of DNA double-strand breaks via downregulation of the nuclear envelope protein 231 lamin B1 (LB1) and of RAD51, a regulator of the homologous recombination pathway <sup>70</sup>. 232

Neutrophils can express a host of cytokines and growth factors relevant to tumour growth and
progression including epidermal growth factor (EGF), hepatocyte growth factor (HGF) and plateletderived growth factor (PDGF) (reviewed in <sup>73,74</sup>). In an *in vivo* mouse model of lung adenocarcinoma
induced by oncogenic *Kras*, the tumour burden was dramatically reduced in neutrophil elastase (NE)deficient mice and this was associated with a reduction in tumour cell proliferation <sup>75</sup>. *In vitro*, NE

activated the proliferation of human and mouse lung cancer cells by entering into an endosomal
compartment and degrading the insulin receptor substrate 1 (IRS-1), which interacted with the
phosphoinositide 3-kinase (PI3K) and limited its interaction with the PDGF receptor (PDGFR) <sup>75</sup>.
The *in vitro* mitogenic activity of NE was also observed with cells with different origin, including
human oesophageal cell lines and mammary epithelial cells, through the transactivation of the EGF
receptor (EGFR) and TLR4, and human prostate cancer cells through activation of the mitogenactivated protein kinase (MAPK) signalling pathway <sup>76-78</sup>.

Neutrophils play an important role in promoting tumour angiogenesis through the production 245 of pro-angiogenic factors, including Bv8, MMP-9 and VEGF-A (Figure 1d) <sup>49,79-82</sup>. In the 246 247 extracellular matrix, TAN-derived MMP-9 induced the liberation and activation of the VEGF and the consequent angiogenesis whereas Bv8 induced myeloid cell mobilization and acted as a mitogen for 248 endothelial cells <sup>79,81</sup>. Neutrophil-derived Bv8 has been implicated in resistance to anti-VEGF therapy 249 and inhibition of G-CSF or IL-17 increased the therapeutic efficacy of anti-VEGF <sup>83-85</sup>. These in vivo 250 studies performed in animal models support a role for neutrophils in the initial angiogenic switch 251 during tumorigenesis 79,81,83-85. 252

253 NETs have been observed in different tumours, (i.e. liver, breast, intestinal and gastric) and have been shown to be driven by hypoxia, complement, or fatty acids <sup>86-90</sup>. NET-associated molecules 254 255 such as high mobility group box 1 (HMGB1), neutrophil elastase (NE) and matrix metalloproteinase-9 (MMP9) can induce the proliferation of cancer cells (Figure 1d) <sup>86,88</sup>. In a mouse model of lung 256 metastasis, sustained lung inflammation promoted the formation of NETs, which in turn induced the 257 proliferation of dormant cancer cells<sup>88</sup>. Indeed, the proteolytic remodelling of the extracellular matrix 258 259 component laminin-111 by NE and MMP9, contained in NETs, induced the generation of a new epitope that triggered the proliferation of dormant cancer cells through  $\alpha 3\beta 1$  integrin activation <sup>88</sup>. In 260 261 addition, entrapment of circulating tumour cells (CTCs) into NETs promoted the formation of metastases, and *in vivo* administration of DNAse reduced the formation of metastasis <sup>86,89,91</sup>. 262

263 Therefore, NETs participate in tumour-promoting inflammation by driving angiogenesis,264 extracellular matrix remodelling and proliferation of tumour cells.

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The tumour-promoting function of neutrophils covers the multistep process of dissemination and implantation at distant anatomical sites. Neutrophils have been reported to prepare the metastatic niche at organs as diverse as lung and liver <sup>48,92,93</sup>. Moreover, neutrophils have been reported to engage with CTCs in the bloodstream and to favour implantation and subsequent growth (Figure 1b) <sup>94-97</sup>.

Primary oncogene-driven cancer models in gene-modified mice, including mammary tumours 270 induced in *K14<sup>cre</sup>;Cdh1<sup>fl/fl</sup>;Trp53<sup>fl/fl</sup>*(KEP) mice and MMTV-polyoma middle T antigen (PyMT) mice 271 and colorectal cancer (CRC) induced in *villinCre<sup>ER</sup>; Kras<sup>G12D/+</sup>; Trp53<sup>fl/fl</sup>;Rosa26<sup>N1icd/+</sup>* (KPN) mice, 272 have provided insights into molecular mechanisms underlying neutrophil-mediated promotion of 273 metastasis <sup>33,34,48,93</sup>. In a mouse model of breast carcinogenesis (i.e. KEP mice), systemic 274 275 accumulation of neutrophils with immunosuppressive activity was associated with the formation of lung metastases <sup>33</sup>. Mechanistically, the loss of p53 in cancer cells promoted the secretion of WNT 276 ligands that stimulated the production of IL-1 $\beta$  by macrophages <sup>34</sup>. In turn, IL-1 $\beta$  activated the 277 production of IL-17 by  $\gamma\delta$  T cells that drives neutrophil accumulation in the circulation and in the 278 lung and promoted metastasis <sup>34</sup>. IL-17 was upstream of G-CSF, which increased the formation of 279 neutrophils and their polarization into cells with immunosuppressive activity <sup>11,33,35</sup>. Therefore, IL-280 281 17-derived from  $T_{\rm H}17$  cells or  $\gamma\delta$  T cells participates in the neutrophilia observed in tumour-bearing individuals and drives the neutrophil-derived pro-tumour activities <sup>11,33</sup>. In the pre-metastatic lung, 282 283 neutrophils produced factors facilitating the extravasation and growth of metastasis-initiating cells, 284 including the proangiogenic molecules Bv8 and matrix metallopeptidase 9 (MMP-9) (observed in MMTV-PyMT mice), the chemoattractants S100A8 and S100A9 (observed in KEP mice), the 285 286 proteases neutrophil elastase (NE) and cathepsin G that mediate the degradation of thrombospondin-1 (Tsp-1) (observed in MMTV-PyMT mice), the proinflammatory cytokine IL-1β and the leukotriene 287 B4 (LTB4) (observed in MMTV-PyMT mice) (Figure 1d) <sup>33,48,49,98</sup>. 288

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# 290 Immunosuppression

Immature and mature neutrophils can express a host of mediators capable of suppressing
innate and adaptive lymphoid cell function. These include ROS, reactive nitrogen intermediates
(RNI), Arg1, prostaglandins and ligands of immune checkpoints.

Neutrophil-derived ROS have long been associated with suppression of T cells activation in cancer (Figure 1c) in particular in advanced tumours <sup>11,45,62,99,100</sup>. In a murine model of transplantable mammary tumour, glucose deprivation in the TME triggered a metabolic switch in neutrophils that resulted in enhanced mitochondrial fatty acid oxidation, increased ROS production and consequent T cell suppression <sup>101</sup>. In addition to ROS, neutrophils can inhibit T cell activation through the inducible NO synthase (iNOS)–dependent production of NO, as observed in neutrophils from tumour-bearing KEP mice (Figure 1c) <sup>33,36</sup>.

301 The production of Arg1 by TANs reduced the availability of L-arginine in the TME, resulting 302 in T cell dysfunction and alteration of T cell-mediated anti-tumour immunity  $^{62,64,102}$ . The expression 303 of ARG1 by TANs can be driven by TGF $\beta$  (Figure 1c)  $^{62,64}$ . Importantly, production of Arg1 by 304 neutrophils has been shown to hamper the T cell response in human cancer, including in renal cell 305 carcinoma and advanced-stage of NSCLC  $^{103,104}$ .

Endoplasmic reticulum (ER) stress has been associated with altered lipid metabolism, 306 pathological activation and immunosuppressive activity of myeloid cells in cancer <sup>105-107</sup>, including 307 neutrophils in patients with NSCLC and head and neck cancer <sup>108-110</sup>. Here, immunosuppressive 308 309 neutrophils were characterized by their low-density and increased expression of genes associated with ER stress response (e.g. Chop, Xbp1, Bip and Atf4)<sup>110</sup>. Induction of ER stress in neutrophils 310 upregulated the expression of LOX-1, a scavenger receptor involved in lipid metabolism, together 311 with the onset of potent immunosuppressive activity <sup>108</sup>. In patients with NSCLC and head and neck 312 cancer, LOX-1<sup>+</sup> neutrophils showed higher expression of ROS and ARG1 compared to LOX-1<sup>-</sup> 313 neutrophils and defined the neutrophil population with immunosuppressive activity <sup>108</sup>. In addition to 314

315 LOX-1, immunosuppressive neutrophils present in tumour-bearing mice and patients with head and 316 neck, breast and lung tumours presented an upregulation of other proteins involved in trafficking of lipids, such as CD36 and fatty acid transport protein (FATP) 2<sup>109</sup>. While the role of LOX-1 in the 317 318 immunosuppressive activity of neutrophils remains to be defined, increased uptake of arachidonic acid by FATP2-expressing neutrophils drove the biosynthesis of the prostaglandin E2 (PGE2) and 319 subsequent immunosuppression (Figure 1c)<sup>109</sup>. Therefore, administration of a FATP2 inhibitor in 320 tumour bearing mice reduced the immunosuppressive activity of neutrophils and tumour growth <sup>109</sup>. 321 These results may pave the way to new strategies targeting neutrophil lipid metabolism. 322

Neutrophils can express ligands which activate immune checkpoints in T cells (Figure 3a). PD-L1 was shown to be induced by the hypoxia-inducible factor-1 $\alpha$  (HIF-1 $\alpha$ ) pathway in the mouse and by inflammatory cytokines (e.g. IL-6, IFN $\gamma$  and GM-CSF) in humans <sup>111-114</sup>. PD-L1 expressing neutrophils have been identified in hepatocellular carcinoma and gastric carcinoma and to have prognostic significance <sup>114,115</sup>. Therefore, neutrophils are part of the myeloid stromal cell network expressing PD-L1 driving checkpoint engagement and T cell exhaustion. Further studies are needed to evaluate the expression and function of PD-L1 in neutrophils in different cancer types.

330 In addition to PD-L1, V-domain immunoglobulin suppressor of T-cell activation (VISTA) is 331 expressed on tumour-associated myeloid cells, including monocytes, macrophages, dendritic cells and neutrophils <sup>116,117</sup>. In a murine model of transplantable melanoma, blockade of VISTA generated 332 333 a MyD88-mediated pro-inflammatory response that resulted in the development of anti-tumour immunity <sup>116</sup>. VISTA inhibition enhanced the production of IL-12 by tumour-associated dendritic 334 cells and monocytes and reversed their immunosuppressive activity on T cells <sup>116</sup>. In contrast, the 335 336 immunosuppressive activity of neutrophils was not altered during VISTA inhibition, indicating that further investigations are needed to determine the role of VISTA in neutrophils and its impact in anti-337 tumour immunity<sup>116</sup>. These data suggest that tumours with elevated levels of immunosuppressive 338 TANs may be resistant to treatment with antibodies targeting VISTA and approaches combining 339 340 VISTA inhibitors and neutrophil depletion or reprogramming should be considered in these tumours.

341 Although the existence of an important cross-talk between neutrophils and innate lymphoid 342 cells (ILCs), in particular natural killer (NK) cells in an inflammatory context is well established <sup>118,119</sup>, only limited findings have been reported on the bidirectional interaction between these two 343 innate cells in the TME. Neutrophils have been shown to promote metastatic dissemination by 344 preventing NK cell-mediated clearance of tumour cells from initial sites of dissemination <sup>120</sup>. In 345 humans, G-CSF-mobilized neutrophils inhibited the activation of NK cells <sup>121</sup>. On the other hand, 346 NK cells can control the tumour-promoting and angiogenic function of neutrophils in an IFNy-347 dependent manner by inhibiting VEGF-A expression <sup>122</sup>. However, significant anti-tumour NK cell-348 349 mediated activity attributable to enhanced NK cell activation and survival, has been also reported following NK cell interaction with neutrophils in hematopoietic stem cell transplantation recipients 350 <sup>123</sup>. Thus, the interaction of neutrophils with NK cells in the TME can be context-dependent. 351

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### 353 Neutrophils in anti-tumour resistance

The results discussed above and clinical correlative evidence suggest that neutrophils are an important component of tumour-promoting inflammation and immunosuppression in a number of murine and human tumours. In apparent contrast with these observations, neutrophils have been shown to mediate anti-tumour resistance *in vitro* and *in vivo*, suggesting a dual potential of these cells.

It has long been known that massive recruitment and activation of neutrophils can result in 358 359 anti-tumour activity <sup>124</sup>. Neutrophils kill tumour cells through direct contact and via the generation of ROS (Figure 2b) <sup>62,125,126</sup>. ROS-mediated killing involved the transient receptor potential cation 360 361 channel, subfamily M, member 2 (TRPM2), an H<sub>2</sub>O<sub>2</sub>-dependent channel which induces a lethal influx of Ca<sup>+</sup> in target cells (Figure 2b) <sup>127</sup>. Expression of TRPM2 was upregulated in cancer cells 362 undergoing an epithelial-to-mesenchymal transition (EMT) and this was also associated with an 363 increase in the secretion of CXCL2, suggesting that, in addition to triggering an apoptotic cascade, 364 TRPM2 sustains the recruitment of neutrophils <sup>127,128</sup>. 365

The neutrophil killing armamentarium includes NO, TNF-related apoptosis inducing ligand 366 (TRAIL) and TNF $\alpha$ <sup>129,130</sup>. The latter induced the expression of the hepatocyte growth factor receptor 367 (HGFR, also known as Met) on neutrophils <sup>129</sup>. Investigations performed in different transplanted 368 murine tumour models (e.g. Lewis lung carcinoma and a fibrosarcoma) showed that HGF present in 369 370 the TME induced neutrophil recruitment and production of NO, which resulted in killing of tumour cells <sup>129</sup>. However, in a murine transplantable melanoma, HGF-Met signalling in neutrophils lead to 371 372 an immunosuppressive phenotype associated with a limited expansion of anti-tumour T cells and a 373 reduced response to adoptive T cell transfer and checkpoint blockade therapies <sup>131</sup>. Thus, the impact 374 of the expression of Met on neutrophils remains to be fully elucidated in different tumour contexts and therapeutic conditions <sup>129,131</sup>. 375

Accumulation and activation of neutrophils in the metastatic niche can reduce the formation 376 of metastases through the elimination of cancer cells <sup>46,47,132</sup>. In mouse models of breast cancer, 377 expression of G-CSF and CCL2 by the primary tumour induced the mobilization and activation of 378 neutrophils in the pre-metastatic lung, and consequent ROS-dependent killing of tumour cells <sup>46</sup>. This 379 380 result suggests an interplay between primary tumour and neutrophils to activate their anti-tumour 381 activity and control metastatic progression. In non-obese diabetic (NOD)/severe combined 382 immunodeficient (SCID) mice, the injection of human breast cancer cells with low spontaneous 383 metastatic potential resulted in the reprogramming of neutrophils in the pre-metastatic lung, with high 384 cytotoxic activity associated with expression of the transmembrane protein 173 (TMEM173, also called STING) <sup>132</sup>. Here, breast cancer cells with low spontaneous metastatic efficiency showed 385 386 increased expression of CCL2 compared to cancer cells with high metastatic potential. Tumourderived CCL2 induced the recruitment of IFNy-producing CCR2<sup>+</sup> monocytes. In turn, IFNy up-387 regulated TMEM173 and enhanced the cytotoxic activity of neutrophils <sup>132</sup>. These observations 388 389 highlight the capacity of neutrophils to act as effector cells.

In addition to mediating direct killing, neutrophils engage in circuits of T cell-dependent antitumour immunity. TANs have been shown to produce chemokines including CXCL10, CCL2, CCL3,

CXCL1 and CXCL2, which recruit T cells as well as other leukocytes <sup>3,15,133</sup>. Neutrophils can acquire
an antigen presenting cell phenotype and in early stage human lung cancer, a population of immature
CD11b<sup>+</sup>, CD15<sup>hi</sup>, CD10<sup>-</sup>, CD16<sup>int/low</sup> neutrophils stimulated the proliferation of both CD4<sup>+</sup> and CD8<sup>+</sup>
T cells <sup>66,67</sup>. In response to GM-CSF and IFNγ present in the TME, neutrophils acquired APC features,
characterized by the expression of HLA-DR and CD86 and the capacity to amplify the anti-tumour
T cell response (Figure 2a) <sup>66</sup>. In CRC, neutrophils isolated from cancer specimens amplified the
activation of CD8<sup>+</sup> T cells in response to T cell receptor (TCR) triggering <sup>134</sup>.

Intestinal microbiota play a role in inflammation and colorectal carcinogenesis <sup>135,136</sup>. 399 400 Neutrophils were reported to have a tumour-suppressive effect in CRC via the response to IL-1, which 401 enhanced the expression of antimicrobial peptides by neutrophils and their subsequent anti-bacterial activities (Figure 2c) <sup>137,138</sup>. In addition to CRC, the process of lung carcinogenesis induced by *Kras* 402 mutation coupled with p53 loss has also been associated with a dysregulation of the airway 403 microbiota, which stimulates IL-17 production by resident  $\gamma\delta$  T cells resulting in neutrophilia and 404 tumour growth <sup>35</sup>. Therefore, neutrophils can play a role in the control of the microbiota-induced 405 tumour-promoting inflammation <sup>137,138</sup>. 406

In 3MCA-induced primary sarcomagenesis, a tripartite interaction between neutrophils, 407 macrophages and a subset of CD4<sup>-</sup>, CD8<sup>-</sup>, TCR $\beta^+$  unconventional double negative T cells (UTC<sub> $\alpha\beta$ </sub>) 408 was found to be essential for the establishment of an effective anti-tumour immunity (Figure 2d)<sup>15</sup>. 409 During the early phase of sarcoma development, neutrophils amplified the production of IL-12 by 410 macrophages, which in turn promoted type 1 polarization and IFN $\gamma$  production of UTC<sub> $\alpha\beta$ </sub> (Figure 2d) 411 <sup>15</sup>. Further investigations are needed to determine the mechanism(s) by which a subset of UTC<sub> $\alpha\beta$ </sub> act 412 as an anti-tumour effector cells, as well as their presence, significance and role in human tumours. 413 Interestingly, in silico analyses suggest that this neutrophil dependent anti-tumour axis is relevant in 414 select human tumours <sup>15</sup>. 415

Thus, neutrophils can exert dual, seemingly opposite, functions in tumour immunity. Thedisease stage as well as the tumour and tissue context are key determinants of actual role of these

cells in promoting or restraining cancer. The levels and nature of inflammatory mediators found in 418 419 different tumour contexts and at different tumour stages may dictate the phenotype of neutrophils. <sup>45,64,69</sup>. The complexity of the regulatory pathways involved is underlined by the fact that the same 420 421 growth factor, G-CSF, can drive the differentiation and activation of both anti- and pro-tumour neutrophils, by stimulating their cytotoxic activity or the acquisition of immunosuppressive activity, 422 depending on the conditions <sup>59,124,139</sup>. In the perspective of crystallizing available information on the 423 424 dual role of neutrophils in tumours, we surmise that at early stages of tumour development, myeloid 425 cells are set in an anti-tumour mode <sup>66,140,141</sup>, while progression to invasion and metastasis is associated with and driven by the acquisition of a pro-tumour, immunosuppressive phenotype <sup>45,64,69</sup>. 426

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### 428 Neutrophils in human cancer

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# Occurrence and significance

430 As discussed above increased myelopoiesis is a common feature of advanced neoplasia and neutrophil diversity has been also observed in human cancer patients, including lung cancer, head 431 and neck cancer and melanoma <sup>11,12,36,54,61,108,142</sup>. By using mass cytometry by time-of-flight 432 433 (CyTOF), a study available in preprint form identified distinct phenotypes of CD66b<sup>+</sup> neutrophils at different melanoma stages <sup>142</sup>. Notably, the abundance of the terminally differentiated N<sub>M</sub> subset, 434 characterized as CD66b<sup>+</sup>, CD10<sup>+</sup>, CD101<sup>+</sup> and CD16<sup>+</sup>, was gradually decreased during tumour 435 progression, while N<sub>I</sub>, characterized as CD66b<sup>+</sup>, CD117<sup>+</sup>, CD49d<sup>+</sup> and CD79b<sup>+</sup>, were increased <sup>142</sup>. 436 It is important to note that the association between neutrophil immaturity and immunosuppressive 437 438 activity remains a matter of discussion. Indeed, immature human CD10<sup>-</sup>, CD66b<sup>+</sup> neutrophils have 439 been described to promote T cell activation while an opposite effect has been reported for the mature CD10<sup>+</sup> N<sub>M</sub><sup>143</sup>. As observed in the mouse and discussed above, the immunosuppressive activity of 440 441 neutrophils has been reported in patients with cancer and associated with the induction of ER stress and the expression of ROS and ARG1 (see above) <sup>108-110</sup>. 442

In peripheral blood, high neutrophil counts and high neutrophil-to-lymphocyte ration (NLR) 443 444 are associated with bad prognosis in a wide spectrum of solid tumours (e.g. CRC, melanoma, breast, prostate and lung cancer)<sup>12</sup>. The prognostic significance of NLR was validated in a metanalysis 445 involving one hundred studies with 40,559 patients and 22 solid tumours <sup>144</sup>. However, the actual 446 relevance of NLR in the clinic remains to be proven <sup>145,146</sup>. For instance, in patients with metastatic 447 breast cancer, NLR was found associated with the stage of the disease, the involvement of the central 448 449 nervous system and the presence of visceral metastasis but its prognostic significance was lost in multivariate analysis <sup>146</sup>. 450

Neutrophils are present in variable numbers in human solid tumours, as assessed by 451 conventional immunohistochemistry (e.g. CD66b) and transcriptional signatures <sup>13-17,147</sup>. In general, 452 high TAN infiltration is associated with worse prognosis <sup>12</sup>. For instance, in a large study using the 453 454 CIBERSORT (Cell type Identification By Estimating Relative Subsets Of known RNA Transcripts) method to quantify 22 leukocyte populations in  $\approx 18,000$  patients with 39 tumours, a neutrophil 455 signature emerged as the most significant negative prognostic factor <sup>14</sup>. In early NSCLC, TANs were 456 the most represented leukocyte and were negatively correlated with T cell infiltration <sup>16</sup>. Thus, 457 458 suppression of T cell mediated immunity is likely one of the mechanisms underlying their adverse 459 clinical significance. In addition, correlative analysis on tumour specimens from hepatocellular carcinomas revealed an association between the occurrence of neutrophils and angiogenesis <sup>148</sup>. 460

461 In apparent contrast with the above results, in selected human tumours high levels of TANs assessed by immunohistochemistry or neutrophil transcriptional signatures were associated with 462 better prognosis. These included CRC <sup>13,134,149-151</sup>, endometrial cancer <sup>125</sup>, invasive ductal breast 463 carcinoma<sup>125</sup>, low grade glioma<sup>125</sup> and undifferentiated pleomorphic sarcoma (UPS)<sup>15</sup>. In CRC, 464 TANs colocalized with CD8<sup>+</sup> T cells and combined infiltration of TANs and CD8<sup>+</sup> T cells was 465 associated with better prognostic value compared to CD8<sup>+</sup> T cell alone <sup>134</sup>. In UPS, but not in other 466 sarcomas, neutrophil signatures were associated with a type I immune response and better clinical 467 outcome <sup>15</sup>. Since UPS is likely the human counterpart of 3MCA-induced primary sarcomagenesis in 468

469 mice, here neutrophils may engage in anti-tumour resistance mediated by  $UTC_{\alpha\beta}$  (see above and 470 Figure 2d) <sup>15</sup>. Thus, in selected human tumours TANs can mediate anti-tumour resistance by direct 471 killing of tumours cells <sup>125,126</sup> or by engaging in cooperative networks with innate and adaptive 472 lymphoid cells <sup>15,134</sup>. Collectively, available information suggests that the significance of neutrophils 473 and their functions, in the circulation and in the neoplastic context, may be strongly influenced by the 474 tissue and tumor contexture.

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# Chemotherapy and immunotherapy

TAN infiltration affects response to different anticancer treatment modalities (Table 1). High neutrophil infiltration was generally reported to be associated with worse response to chemotherapy and radiotherapy (Table 1). Notable exceptions were CRC, gastric cancer and high-grade ovarian cancer where higher TANs were associated with better response to chemotherapy discordant observations regarding the predictive significance of TANs for the response to cytoreductive regimens is likely a reflection of fundamental differences in the immunobiology of these cancers.

Peripheral blood neutrophilia and high NLR have been associated with poor response to immune checkpoint immunotherapy (ICI) (Table 1). As mentioned above, neutrophils express ligands of immune checkpoints including PD-L1 and VISTA <sup>114-116</sup>. High levels of PD-L1-expressing neutrophils in the TME have been associated with poor survival in patients with hepatocellular carcinoma and gastric cancer <sup>114,115</sup>. Therefore, neutrophils represent both a target and a mechanism of resistance in ICI.

In summary, neutrophils are an important determinant of the anti-tumour efficacy of
established treatment modalities, ranging from chemotherapy, ICI and anti-tumour monoclonal
antibodies (mAbs) mediating antibody-dependent cellular cytotoxicity (ADCC) (see below).
Moreover, experimental therapies including myeloid checkpoint targeting strategies, new checkpoint
blockade immunotherapies and TGFβ inhibitors have neutrophils as one of their therapeutic targets.

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### 496

# Neutrophil targeting and reprogramming

A better understanding of the complexity and mechanism of neutrophils' role in tumour 497 498 progression provides a basis to design therapeutic approaches. Chemokine receptors CXCR2 and CXCR1 expressed by neutrophils are important for their recruitment, modulation of their activation 499 state and circadian oscillation (see above) <sup>30,37,52</sup>. Based on results in preclinical models, inhibition of 500 neutrophil recruitment by blocking CXCR1 and/or CXCR2 37,38,50,154 has now entered clinical 501 evaluation (Figure 3b). CXCR2 inhibitors (i.e. AZD5069, Reparixin<sup>155</sup>, SX-682 and Navarixin) are 502 undergoing clinical evaluation in patients with metastatic castration-resistant prostate cancer 503 (NCT03177187<sup>156</sup>: AZD5069 in combination with Enzalutamide), early breast cancer 504 (NCT01861054<sup>157</sup>: Reparixin), metastatic breast cancer (NCT02001974<sup>158,159</sup> and NCT02370238<sup>160</sup>: 505 Reparixin in combination with Paclitaxel), metastatic melanoma (NCT03161431<sup>161</sup>: SX-682 in 506 combination with Pembrolizumab) and patients with NSCLC and CRC (NCT03473925<sup>162</sup>: Navarixin 507 in combination with Pembrolizumab). It will be important to assess whether these agents indeed affect 508 509 TAN infiltration and/or activation state given the disappointing results so far obtained with 510 chemokine inhibitors in inflammatory conditions <sup>37,163</sup>.

511 Reprogramming neutrophil function in the TME represents a challenge for which different approaches have been proposed including blocking TGF $\beta$  (Figure 3c and discussed above <sup>62</sup>). Along 512 the same line, in mouse models targeting the angiotensin converting enzyme inhibitors (ACEis) and 513 the angiotensin II type 1 receptor (AGTR1), the nicotinamide phosphoribosyltransferase (NAMPT) 514 or CXCR4 has been reported to set neutrophils in an anti-tumour mode <sup>164-166</sup>. Consistently with the 515 516 role of HIF-1 $\alpha$  in setting neutrophils in a pro-tumour mode, in a uterine cancer model, hyperoxygenation and reverting hypoxia activated the anti-tumour potential of neutrophils <sup>126</sup>. Here 517 518 we will focus on other approaches, namely ADCC and myeloid checkpoints.

#### 519 Antibody-dependent cellular cytotoxicity

520 Neutrophils share with monocytes, macrophages and NK cells the expression of Fc $\gamma$  receptors 521 (Fc $\gamma$ Rs) and mediate tumour cell elimination via ADCC (Figure 3d) <sup>167</sup>. Depletion of neutrophils 522 reduced the efficacy of treatment with mAbs directed against CD52 (anti-CD52, alemtuzumab) and 523 CD20 (anti-CD20, rituximab) in mouse lymphomas, highlighting the requirement for neutrophils in 524 this process <sup>167</sup>.

Neutrophils express the high affinity receptor for IgAs, FcaRI (CD89), a potent inducer of 525 ADCC, leading to increased killing of cancer cells compared to FcyR-mediated ADCC <sup>167,168</sup>. Human 526 IgA anti-CD20 mAb was more efficient than IgG at inhibiting lymphoma development through a 527 mechanism involving neutrophil recruitment <sup>169</sup>. Interestingly, IgA-elicited neutrophil mediated 528 529 ADCC was enhanced by concomitant blocking of the CD47-signal regulatory protein- $\alpha$  (SIRP $\alpha$ ) myeloid checkpoint (see below)  $^{170}$ . Triggering of neutrophil Fc $\alpha$ RI promoted the release of LTB4, a 530 531 potent chemoattractant factor for neutrophils, IL-1ß and TNFa, which in turn amplified the recruitment of neutrophils via the production of CXCL8 by endothelial cells <sup>171</sup>. Anti-tumour IgA 532 533 treatment sustained the activation of neutrophils and created an amplification loop for neutrophil recruitment <sup>171</sup>. Thus, FcaRI-mediated ADCC may represent a valuable neutrophil-centred 534 535 therapeutic strategy.

### 536

## Myeloid checkpoints

537 The function of myeloid cells is under control by a number of negative regulators 538 (checkpoints), which are expressed by neutrophils, monocytes and macrophages. These include 539 SIRP $\alpha$ , CD200 receptor (CD200R), leukocyte immunoglobulin-like receptor B2 (LILRB2), paired 540 immunoglobulin-like type 2 receptor alpha (PILR $\alpha$ ), and, at the level of neutrophil precursors, PD-1 541 and ACKR2 (Figure 3a). Here we will focus on molecules for which there is evidence that neutrophils 542 play a significant role in their anti-tumour activity.

543 SIRPα is highly expressed by neutrophils, monocytes and macrophages and acts as
544 phagocytosis checkpoint via its interaction with the "don't eat me" signal CD47 presented on target

cells <sup>172</sup>. CD47 is overexpressed on cancer cells, rendering them resistant to myeloid cells <sup>172,173</sup>. 545 546 Interestingly, CD47-SIRPa checkpoint blockade increased the elimination of cancer cells during an antibody-based treatment, including non-Hodgkin lymphoma cells, melanoma cells and breast cancer 547 548 cells, and potentiated the cytotoxic activity of neutrophils against breast cancer cells opsonized by trastuzumab, an anti-HER2 monoclonal antibody, through a process of trogoptosis (see Glossary) 549 <sup>174,175</sup>. In transgenic mice expressing human SIRP $\alpha$ , the administration of an anti-SIRP $\alpha$  mAb 550 551 increased the elimination of tumour cell by macrophages and neutrophils when combined with antitumour mAbs (e.g. anti-CD20, anti-HER2, or anti-EGFR mAbs)<sup>176</sup>. Importantly, full antitumor 552 553 activity was neutrophil-dependent <sup>176</sup>. Anti-CD47, combined with anti-CD20, was reported to have remarkable anti-tumour activity in patients with non-Hodgkin's lymphoma <sup>177</sup>. The significance of 554 neutrophils in this context and more in general in the activation and orientation of adaptive immunity 555 downstream of blocking CD47-SIRPa remains to be defined. LILRB2 is expressed by myeloid cells, 556 including neutrophils and acts as a negative regulator of cell activation <sup>178</sup>. LILRB2 binds to classical 557 and non-classical HLA class I and contains immunoreceptor tyrosine-based inhibitory receptor motifs 558 559 (ITIMs) in its cytoplasmic tails. Activation of LILRB2 on neutrophils by its ligand HLA-G inhibited their phagocytic activity and production of ROS<sup>178</sup>. In a model of lung cancer, LILRB2 blockade 560 suppressed infiltration of immunosuppressive neutrophils and significantly promoted anti-tumour 561 immunity when combined with anti-PD-L1<sup>179</sup>. 562

ACKR2 is expressed on hematopoietic precursors and is virtually absent in mature neutrophils 4<sup>7</sup>. Genetic deletion of ACKR2 resulted in an increase in the mobilization of neutrophils endowed with anti-tumour properties, characterized by their ROS-mediated cytotoxic activity against cancer cells <sup>47</sup>. Thus, targeting ACKR2 may on the one hand unleash the CC chemokine-mediated lymphocyte and monocyte recruitment in the periphery and on the other the neutrophil effector function.

The results discussed above suggest that myeloid cell function is under control of negative
regulators (checkpoints) which restrain their effector function. Evidence obtained dissecting the

571 function of CD47/SIRPa suggests that blocking myeloid checkpoints unleashes adaptive immune responses <sup>180-183</sup>. The myeloid checkpoints presented in Figure 3a are expressed by macrophages and 572 neutrophils, while other negative regulators (e.g. Clever-1) are present only in macrophages <sup>184-186</sup>. 573 574 Preclinical evidence suggests that neutrophils contribute to the anti-tumour activity of agents which block the CD47-SIRPα axis and LILRB2, whereas for other molecules presented in Figure 3a the 575 576 significance of neutrophil expression is unknown. Targeting neutrophil checkpoints may represent a 577 new frontier in cancer immunotherapy. As these molecules enter the clinical arena, it will be important to assess neutrophil numbers, diversity and function as candidate correlates of anti-tumour 578 579 activity

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### 581 **Conclusions and perspectives**

582 The occurrence and significance of neutrophils in cancer has long been overlooked. More 583 rigorous approaches to quantify their presence in the TME and dissection of their diversity and plasticity have opened new vistas on TAN immunobiology. TANs have therefore emerged as an 584 585 important component of the ecological niche of many murine and human tumours. Current views on 586 the yin-yang role of neutrophils in cancer are based on depletion in the mouse or correlative analysis 587 at a whole population level. A more systematic effort using gene targeting approaches for neutrophil 588 depletion and abrogation of selected functions in primary carcinogenesis rather than in transplanted 589 tumour models is needed to actually dissect the yin-yang role of neutrophils in different neoplasias. Deconvoluting the diversity of TANs at single cell level and relating this complex information to 590 591 function, prognosis and response to therapy represent important challenges in the field.

The current nomenclature of the diversity of neutrophils and related myeloid-derived suppressor cell (MDSC) populations can be a matter of disorientation for students outside and within the field (Box2). Even imperfect nomenclatures can have a "value of use" as communication tools and hence have euristic value <sup>187</sup>. Therefore, we call for a consensus effort to develop a provisional

nomenclature for neutrophils plasticity and diversity, on the track of previous exercises conductedfor ILCs, macrophages, IL-1, and more.

598 Myeloid cells at different stages of differentiation and activation represent a major pathway 599 of immune suppression at a systemic as well as at the local TME level. Dissecting the relative 600 importance and diversity of the monocytic versus the neutrophil differentiation pathway in different 601 tumour contexts and integrating it with the general immunological landscape may pave the way to 602 tailored personalized therapeutic approaches.

TANS can be part of anti-tumour resistance pathways. Neutrophils express myeloid checkpoints and there is now clinical proof of principle that targeting the negative regulator CD47, and unleashing myeloid cell function can result in clinical therapeutic benefit <sup>177</sup>. We surmise that harnessing the anti-tumour potential of neutrophils in the tumours in which there is evidence for their protective role (e.g. sarcomas, colorectal cancer) and in current immunotherapy-resistant patients may represent a strategy worth to be pursued to complement the T-cell centred therapeutic armamentarium.

# 611 Items

- **612** Table 1 Predictive value of neutrophils in response to therapy.
- 613 Figure 1 Neutrophil in tumour promotion.
- 614 Figure 2 Anti-tumour potential of neutrophils.
- 615 Figure 3 Therapeutic targeting of neutrophils.
- **616** Box 1 Neutrophil differentiation.
- 617 Box 2 Nomenclature of neutrophil diversity: a guiding map.
- 618 Box 3 Strategies to deplete neutrophils.
- 619
- 620

	Tumour type	Parameter assessed	Prognostic parameter	Therapy	Predictive value of neutrophils	References
Peripheral blood neutrophils	CRC (Stage IV)	NLR	OS	Chemotherapy	-	188
	CRC (Stage III)	NLR	OS	Chemotherapy	No correlation	189
	CRC (Stage IV)	NLR	OS, PFS	Chemotherapy + Bevacizumab	-	190
	Breast Cancer (Stage II-III)	NLR	DFS	Chemotherapy	-	191
	Breast Cancer	NLR	Complete/Partia l response	Chemotherapy	-	192
	Breast Cancer (Stage I-III)	NLR	Complete response	Chemotherapy	-	193
	Melanoma (unresectable Stage III, IV)	NLR	OS, PFS, Clinical response	Immunotherapy (Ipilimumab)	-	194
	Melanoma (unresectable Stage III, IV)	NLR	OS, PFS, Clinical response	BRAF inhibitor	No Correlation	194
	Melanoma (Stage IV)	NLR	OS	Immunotherapies (Nivolumab, Ipilimumab)	-	195,196
	Melanoma (Stage IV)	ANC	OS	Chemotherapy $\pm$ IL-2	-	197
	Melanoma (Stage III- IV)	NLR	OS	Immunotherapies (Ipilimumab Ipilimumab+Nivolum ab)	-	198-201
	Ovarian cancer (Stage I-IV)	NLR	OS, DFS	Chemotherapy	-	202
	Cervical cancer	ANC	OS, MFS	Chemoradiotherapy	-	203
	mRCC	ANC	OS, PFS	MVA-5T4 vaccination	-	204
	mRCC	NLR	OS, PFS	Immunotherapy (Nivolumab)	-	205
	Esophageal Cancer (Stage I-IV)	NLR	OS, DFS	Chemotherapy	-	206
	NSCLC (Stage III, IV)	NLR	OS, PFS	Immunotherapy (Nivolumab)	-	207-212
	NSCLC (Stage IIIB-IV)	ANC	OS, PFS	Immunotherapy (Nivolumab)	-	213
	NSCLC (Stage IIIB-IV)	Peripheral CD15 <sup>+</sup> CD33 <sup>+</sup> cells (FC)	Clinical response	Bevacizumab	-	214
	NSCLC (Stage IV)	NLR	OS, PFS	Chemotherapy	-	215
	Cervix, Anal, Esophagus, Lung, Glioma, HNC	ANC	OS	Radiotherapy	-	216
	Meta-analysis (Melanoma, NSCLC, mRCC)	NLR	OS, PFS	Immunotherapies (Ipilimumab, Nivolumab, Pembrolizumab)	-	217,218
Tumour- associated neutrophils	CRC (Stage III)	Density of CD66b <sup>+</sup> TANs (IHC)	DFS	Chemotherapy	+	13
	CRC (Stage IV)	Density of CD177 <sup>+</sup> TANs (IHC)	OS	Bevacizumab	-	219
	Gastric Cancer (Stage I-IV)	Density of CD66b <sup>+</sup> TANs (IHC)	OS	Chemotherapy	+	152
	Ovarian cancer (high grade)	Density of CD66b <sup>+</sup> TANs (IHC)	PFS	Chemotherapy	+	153
	Biliary cancer (Stage I-IV)	Density of CD66b <sup>+</sup> TANs (IHC)	OS	Chemotherapy	-	220
	NSCLC	Ratio CD8/CD66b <sup>+</sup> TANs (IHC)	PFS	Immunotherapy (Nivolumab, Pembralizumab)	-	221

DLBCL	ELANE mRNA expression (in silico)	OS	Immunotherapy (Rituximab)+Chemot herapy	-	222
НСС	Density of CD66b <sup>+</sup> TAN (IHC)	OS	Sorafenib	-	223
Cervical Cancer (Stage IB-IVA)	Density of CD66b <sup>+</sup> TANs (IHC)	PFS	Radiotherapy	-	224

# 624 Title: Predictive value of neutrophils in response to therapy

Abbreviations: ANC, Absolute Neutrophil Count; CRC, Colorectal cancer; DLBCL, Diffuse Large
B cell Lymphoma; DFS, Disease-Free Survival; HCC, Hepatocellular Carcinoma; HNC, Head and
Neck Cancer; IHC, Immunohistochemistry; MFS, Metastasis-Free Survival; mRCC, metastatic
Renal Cell Carcinoma; NSCLC, Non-Small Cell Lung Cancer; NLR, Neutrophil-to-Lymphocyte
Ratio; OS, Overall Survival; PFS, Progression-Free Survival; TANs, Tumour-Associated
Neutrophils, -, Adverse prognosis; +, Favourable prognosis.

### 633 Figure legends

634

### 635 Figure 1: Neutrophils in tumour promotion

Neutrophils can sustain tumour growth via different mechanisms, including the suppression of T cell 636 637 activation, the promotion of genetic instability, tumour cell proliferation, angiogenesis and metastasis. a. The production of growth factors, IL-17, complement component C5a, oxysterols and 638 CXC chemokines drives the production, recruitment and life survival of neutrophils <sup>11,33,41,42</sup>. **b.** 639 Chemokines induce the mobilization of neutrophils in the premetastatic niche which sustain the 640 641 arrival of the metastatic cells via the production of several mediators. Circulating neutrophils escort circulating tumour cells and promote their survival and extravasation by direct interaction mediated 642 by integrins <sup>89,225</sup>. c. Molecules present in the tumour microenvironment (TME), including 643 granulocyte-colony stimulating factor (G-CSF) and transforming growth factor  $\beta$  (TGF $\beta$ ), induce the 644 645 expression of arginase-1 (Arg1), reactive oxygen species (ROS) and nitric oxide (NO) by neutrophils, which inhibit the activation of T cells <sup>33,36,62</sup>. Granulocyte-macrophage colony-stimulating factor 646 (GM-CSF) induces the expression of fatty acid transport protein 2 (FATP2) in neutrophils. FATP2 647 promotes the uptake of arachidonic acid and the synthesis of prostaglandin E2 (PGE2) <sup>109</sup>. 648 Neutrophils express the immune checkpoint ligands programmed death-ligand 1 (PD-L1) <sup>111-114</sup> and 649 V-domain immunoglobulin suppressor of T-cell activation (VISTA) <sup>116</sup>. d. Neutrophils induce 650 genetic instability via the production of ROS and the release of microparticles containing microRNA-651 652 23A (miR-23A) and miR-155, which downregulates the expression of molecules involved in nuclear integrity maintenance <sup>70-72</sup>. Neutrophils sustain tumour proliferation via the production of epidermal 653 growth factor (EGF), hepatocyte growth factor (HGF) and platelet-derived growth factor (PDGF) 654 <sup>74,129</sup>, the release of neutrophil extracellular traps (NETs) containing HGMB1 that activates a TLR9-655 656 dependent pathway on cancer cells and neutrophil elastase (NE) and matrix metalloproteinase-9 (MMP-9) that cleaves laminin-111<sup>86,88</sup>. Cleaved laminin-111 triggers the proliferation of cancer cells 657 through integrin signaling activation<sup>88</sup>. Neutrophils sustain tumor angiogenesis through the release 658 659 of the pro-angiogenic factors Bv8 and S100A8/9 and MMP-9 that activates the vascular endothelial growth factor-A (VEGF-A) in the extracellular matrix <sup>49,79-81</sup>. 660

661

# 662 Figure 2: Anti-tumour potential of neutrophils.

Neutrophils are involved in different mechanisms of anti-tumour resistance, including the activation
of T cell-dependent anti-tumour immunity (a,d), direct cytotoxic activity against tumour cells (b), or
their antimicrobial activity (c). a. IFNγ and granulocyte-macrophage colony-stimulating factor (GMCSF) present in the tumour microenvironment promote the maturation of immature neutrophils into

antigen-presenting cells (APCs) expressing the major histocompatibility complex class I (MHC-I) 667 668 and MHC-II molecules and the costimulatory molecules CD86, 4-1BB ligand (4-1BBL) and OX40 ligand (OX40L) <sup>66,67</sup>. **b**. Different stimuli, such as granulocyte-colony stimulating factor (G-CSF), 669 670 chemokines CXCL8 CXCL5 and CCL2, lipopolysaccharide (LPS) and interferon (IFNB) promote 671 an oxidative burst and the production of hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) in neutrophils. Blockade of the transforming growth factor  $\beta$  receptor (TGF $\beta$ R) signaling enhances the production of H<sub>2</sub>O<sub>2</sub><sup>62</sup>. At the 672 tumor cell level, H<sub>2</sub>O<sub>2</sub> triggers an intracellular signaling leading to the activation and opening of the 673 674 transient receptor potential cation channel, subfamily M, member 2 (TRPM2), a non-selective cation channel, which induces a lethal influx of  $Ca^{2+}$  in cancer cells <sup>127</sup>. c. In colorectal cancer (CRC), 675 signaling of the IL-1 receptor type 1 (IL-1R1) in neutrophils enhances their antimicrobial activities, 676 which limits bacteria-driven inflammation and CRC development <sup>137,138</sup>. **d.** Neutrophils engage in a 677 tripartite interaction with macrophages and CD4<sup>-</sup>, CD8<sup>-</sup> unconventional double negative T cells 678 (UTC<sub> $\alpha\beta$ </sub>)<sup>15</sup>. Neutrophils amplify the production of IL-12 by macrophages, which in turn promotes 679 type 1 polarization and IFN $\gamma$  production of UTC<sub> $\alpha\beta$ </sub>. These cells are characterized by the expression 680 of T cell receptor  $\alpha\beta$  chains (TCR $\alpha\beta$ ), IL-12 receptor (IL-12R), IL-18 receptor (IL-18R), molecules 681 related to their innate-like phenotype (e.g Ly49, CD94/NKG2) and IFN $\gamma^{15}$ . 682

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# 684 Figure 3: Therapeutic targeting of neutrophils.

685 **a.** Neutrophils express a set of myeloid checkpoints, including signal regulatory protein- $\alpha$  (SIRP $\alpha$ ), 686 CD200 receptor (CD200R), leukocyte immunoglobulin-like receptor B2 (LILRB2), paired immunoglobulin-like type 2 receptor alpha (PILR $\alpha$ ), and, at the level of precursors, programmed cell 687 death 1 (PD-1) and atypical chemokine receptor 2 (ACKR2) <sup>47,172,176,178,179,226,227</sup>. The significance of 688 689 neutrophils in targeting SIRPa, LILRB2 and ACKR2 has been established (see main text). Neutrophils or their progenitors also express CD200R  $^{228,229},$  PILRa  $^{226}$  and PD-1  $^{227},$  but their role 690 691 in the antitumor activity of agents which target these molecules has not been demonstrated. 692 Neutrophils also express a set of ligands of lymphocyte checkpoints (i.e. V-domain immunoglobulin suppressor of T-cell activation (VISTA), programmed death-ligand 1 (PD-L1), CD86, 4-1BB ligand 693 (4-1BBL), OX40 ligand (OX40L)), representing a potential target to limit the process of neutrophil-694 mediated immunosuppression in cancer <sup>66,67,114-116</sup>. The interaction with their cognate receptors 695 expressed by T cells (P-selectin glycoprotein ligand 1 (PSGL-1), PD-1, cytotoxic T-lymphocyte-696 associated protein 4 (CTLA-4), 4-1BB (OX40)), delivers a positive (+) of negative (-) signals to T 697 698 cells. b. Inhibition of CXCR1/2 dampens the recruitment of immunosuppressive neutrophils in cancer <sup>37,38</sup>. **c.** Transforming growth factor  $\beta$  receptor (TGF $\beta$ R) blockade, interferon $\beta$  (IFN $\beta$ ) or angiotensin 699 700 II type 1 receptor (AGTR1) antagonist can increase the cytotoxic activity of neutrophils against

cancer cells. CXCR4 blockade increased the production of IL-18 by neutrophils and the activation of natural killer (NK) cells  $^{62,164-166}$ . The immunosuppressive effect of neutrophils can be impaired by blocking the fatty acid transport protein 2 (FATP2) which, in response to arachidonic acid, induces the synthesis of prostaglandin E2 (PGE2)  $^{109}$ . **d.** Neutrophils express the IgG Fc receptors (Fc $\gamma$ R) and IgA Fc receptor (Fc $\alpha$ RI) and are involved in the elimination of antibody-opsonized cancer cells  $^{167,168}$ .

707 Boxes

# 708 Box1: Neutrophil differentiation

The differentiation of neutrophils occurs in the bone marrow through a stepwise maturation 709 process. In steady state, 1 to  $2x10^{11}$  neutrophils are generated per day in one individual<sup>2</sup>. 710 Hematopoietic stem cells differentiate into common myeloid progenitors (CMPs), which give rise to 711 712 the granulocyte-monocyte progenitors (GMPs). The differentiation of neutrophils is orchestrated 713 through the expression of specific transcription factors, including IRF8, Gfi1, C/EBPs, GATA-1 and PU.1<sup>11,22,230</sup>. Neutropenia was observed in C/EBPa, C/EBPE and Gfi1 deficient mice, while IRF8 714 regulates cell fate choice promoting monocyte differentiation and reducing neutrophil differentiation 715 <sup>22,230,231</sup>. Differentiation of GMPs into neutrophils is regulated by mediators expressed by bone 716 marrow stromal cells (i.e G-CSF, GM-CSF) and begins with the formation of myeloblasts. Then, 717 718 myeloblasts differentiate into promyelocytes, which subsequently give rise to myelocytes, 719 metamyelocyte, band neutrophils and finally mature neutrophils<sup>2</sup>. Historically, this classification was based on histological staining and electron microscopy analysis<sup>2</sup>. Recent studies have characterized 720 neutrophil development and identified multiple discrete steps along their differentiation process using 721 single-cell RNA sequencing (scRNAseq) and mass cytometry by time-of-flight (CyTOF) <sup>61,142,232,233</sup>. 722 In particular, two subsets of proliferative neutrophil-committed bone marrow-residing cells, named 723 early unipotent neutrophil progenitors (NePs) and preNeutrophils (preNeus) have been identified 724 <sup>61,234</sup>. NePs might represent a precursor of preNeus. Importantly, human NePs produce only 725 neutrophils after adoptive transfer in NSG-M3 mice <sup>61</sup>. In cancer, distinct subsets of neutrophils 726 727 composed by immature and mature cells and potentially endowed with immunosuppressive and pro-728 tumour activities appear in the circulation and tumour sites (Box2).

	GMP	Early unipotent neutrophil progenitors (NePs)	preNeutrophils (preNeus)	→ O → → Band neutrophils	Mature neutrophils
Human	Lin <sup>-</sup> , CD34 <sup>+</sup> , CD38 <sup>+</sup> , CD45RA <sup>+</sup> , CXCR4 <sup>+</sup> , CXCR2 <sup>-</sup> ,	Lin-, CD117 <sup>+</sup> , CD66b <sup>+</sup> , CD38 <sup>+</sup> , CXCR4 <sup>+</sup> , CXCR2 <sup>-</sup> ,	Lin <sup>*</sup> , CD117 <sup>-</sup> , Siglec8 <sup>-</sup> , CD15 <sup>+</sup> , CD34 <sup>-</sup> , CD66b <sup>+</sup> , CD49d <sup>+</sup> , CD101 <sup>-</sup> CXCR4 <sup>+</sup> , CXCR2 <sup>-</sup> ,	CD66b <sup>+</sup> , CD15 <sup>+</sup> , CD33 <sup>mid</sup> , CD49d <sup>-</sup> , CD101 <sup>mid</sup> , CD10 <sup>-</sup> , CD16 <sup>low</sup> , CXCR4 <sup>+</sup> CXCR2 <sup>+</sup>	CD66b <sup>+</sup> , CD15 <sup>+</sup> , CD33 <sup>mid</sup> , CD49d <sup>+</sup> , CD101 <sup>mid</sup> , CD10 <sup>+</sup> , CD16 <sup>+</sup> , CXCR4 <sup>+</sup> CXCR2 <sup>+</sup>
Mouse	Lin <sup>-</sup> , IL7R <sup>-</sup> , CD117 <sup>+</sup> , Sca1 <sup>-</sup> , CD34 <sup>+</sup> , CD16/32 <sup>+</sup> , CXCR4 <sup>+</sup> , CXCR2 <sup>-</sup> ,	Lin <sup>*</sup> , CD117 <sup>+</sup> , Scal <sup>-</sup> , Siglec F <sup>*</sup> , FcεRIα <sup>-</sup> , CD16/32 <sup>+</sup> , Ly6B <sup>+</sup> , CD11a <sup>+</sup> , CD162 <sup>low</sup> , CD48 <sup>low</sup> , Ly6C <sup>low</sup> , CD115 <sup>-</sup> , Ly6G <sup>-</sup> , CXCR4 <sup>+</sup> , CXCR2 <sup>-</sup>	Lin <sup>-</sup> , CD117 <sup>mid</sup> , CD115 <sup>-</sup> , Siglec-F <sup>-</sup> , Gr1 <sup>+</sup> , CD11b <sup>+</sup> , Ly6G <sup>low</sup> , CXCR4 <sup>high</sup> , CXCR2 <sup>-</sup> ,	CD117 <sup>-</sup> , CD115-, SiglecF <sup>-</sup> , Gr1 <sup>+</sup> , CD11b <sup>+</sup> , CD101 <sup>-</sup> , Ly6G <sup>low/mid</sup> , CXCR4 <sup>+</sup> ,CXCR2 <sup>-</sup> ,	CD115 <sup>-</sup> , CD11b <sup>+</sup> , SiglecF <sup>-</sup> , Gr1 <sup>+</sup> , CD101 <sup>+</sup> , Ly6G <sup>high</sup> , CXCR4 <sup>-</sup> ,CXCR2 <sup>+</sup> ,

### 729 Box 2: Nomenclature of neutrophil diversity in cancer: a guiding map

730 There is no consensus nomenclature of the emerging complexity of neutrophil differentiation 731 and activation states, including TANs and G-MDSCs. Here, we provide readers with a toolkit to 732 navigate this complex, at times confusing, continent. Mirroring M1 and M2, N1 and N2 have been introduced to define neutrophils with anti-tumour and pro-tumour functions, respectively <sup>62-64</sup>. In 733 734 contrast to other widely used type 1 and 2 nomenclatures, the definition of N1 and N2 does not mirror 735 other dichotomous oversimplifications of polarized immune responses (e.g. Th1/Th2; type 1/2 736 immunity; M1/M2; ILC1/2) because IFNy and IL-4 are not key drivers of functional polarization of 737 these cells.

The expression on SSC<sup>hi</sup> CD45<sup>+</sup> leukocytes of CD11b and CD66b in human and CD11b, 738 Ly6C<sup>low</sup> and Ly6G<sup>high</sup> in mice represents the minimal set of surface molecules that unequivocally 739 identifies neutrophils. This broad phenotypic definition encompasses distinct subsets, including 740 741 immature neutrophils, mature neutrophils, aged neutrophil and neutrophils expressing a set of interferon-stimulated genes. As an operational laboratory nomenclature, we use NI, NM, NA and NISG 742 to refer to immature, mature, aged and interferon gene signature-neutrophils, respectively. MDSCs 743 744 are operationally defined as a heterogeneous population of mostly immature myeloid cells with 745 immunosuppressive activity related to the neutrophil (G-MDSC) or monocyte (M-MDSC) differentiation pathway <sup>102</sup>. MDSC are defined operationally based on function and therefore we feel 746 747 that G-MDSC should refer to a neutrophil population with proven immunosuppressive activity.

748 Attempts have been made to determine specific markers of neutrophil subsets but an unequivocal strategy to detect immunosuppressive neutrophils and other neutrophil subsets by flow 749 cytometry remains to be defined <sup>102</sup>. In addition to molecular markers, circulating low-density 750 neutrophils (LDNs) consisting of immature and mature neutrophils have been identified <sup>64</sup>. LDNs 751 accumulate in cancer and are generally endowed with immunosuppressive capacity <sup>64,108,235</sup>. 752 However, immunosuppressive neutrophils were observed also in the normal density neutrophil 753 (NDN) fraction <sup>143</sup>, highlighting the need for a more robust system to define the neutrophil 754 755 immunosuppressive subsets.

Here are reported selected molecules proposed to identify neutrophil subsets in cancer, including CD101 <sup>234</sup> and CD177 <sup>151</sup>, which have been associated with tumour regression and CD117 <sup>61,101,234</sup>, PD-L1<sup>61,111-114</sup>, CD170<sup>236</sup>, LOX-1<sup>68,108</sup>, CD84 and junctional adhesion molecule-like (JAML)<sup>58</sup>, which have been associated with T cell immunosuppression and disease progression.

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- 761
- 762

<b>Circulating neutrophils</b>				Tumour-associated neutrophils				
	C	5	CXCL2 Microb	oioma	C	TGFβ	IFNβ IFNY GM-CSF	
	Immature neutrophils (NI)	Mature neutrophils (N <sub>M</sub> )	Aged neutrophils (NA)	Neutrophil with interferon- stimulated genes signature (N <sub>ISG</sub> )	Tumour- associated immature neutrophils	Tumour- associated pro-tumour neutrophils	Tumour- associated anti-tumour neutrophils	Tumour- associated neutrophil with interferon- stimulated genes signature
Human	CD66b <sup>+</sup> , CD11b <sup>+</sup> , CD10 <sup>-</sup> ,CD16 <sup>low</sup> , CD62L <sup>high</sup> , CD117 <sup>+</sup> , CD49d+, CD79b+, CXCR4 <sup>+</sup> , CXCR2 <sup>+</sup>	CD66b <sup>+</sup> , CD11b <sup>+</sup> CD101 <sup>+</sup> , CD10 <sup>+</sup> , CD16 <sup>+</sup> , CD62L <sup>high</sup> , CXCR4 <sup>-</sup> CXCR2 <sup>+</sup>	CD66b <sup>+</sup> , CD11b <sup>+</sup> CD101 <sup>+</sup> , CD10 <sup>+</sup> , CD16 <sup>+</sup> , CD62L <sup>low</sup> , CXCR4 <sup>+</sup> , CXCR2-	CD66b⁺, CD11b⁺ IFIT1 IRF7, RSAD2	CD66b+, CD11b <sup>+</sup> , CD117 <sup>+</sup> , CD10 <sup>-</sup> , CD16 <sup>int/low</sup> , LOX-1 <sup>+</sup> CD84 <sup>+</sup> JAML <sup>+</sup>	CD66b <sup>+</sup> , CD11b <sup>+</sup> , CD170 <sup>high</sup> , PD-L1 <sup>+</sup>	CD66b <sup>+</sup> , CD11b <sup>+</sup> CD101 <sup>+</sup> , CD177 <sup>+</sup> (in CRC), CD170 <sup>low</sup> , CD54 <sup>+</sup> , HLA-DR <sup>+</sup> , CD86 <sup>+</sup> , CD15 <sup>high</sup>	CD66b⁺, CD11b⁺ IFIT1, IRF7, RSAD2
Mouse	Ly6G <sup>low/mid</sup> , CD11b <sup>+</sup> CD101 <sup>mid</sup> , CD62L <sup>high</sup> CD117 <sup>+</sup> , CXCR4 <sup>+</sup> , CXCR2 <sup>low</sup>	Ly6G <sup>high</sup> , CD11b <sup>+</sup> CD101 <sup>+</sup> , CD62L <sup>high</sup> , CXCR4 <sup>-</sup> , CXCR2 <sup>+</sup>	Ly6G <sup>high</sup> , CD11b <sup>+</sup> CD101 <sup>+</sup> CD62L <sup>low</sup> , CXCR4 <sup>+</sup> , CXCR2-	Ly6G⁺, CD11b⁺, IFIT1 IRF7, RSAD2	Ly6G <sup>+</sup> , CD11b <sup>+</sup> , CD177 <sup>+</sup> , CD170 <sup>low</sup> , CD101 <sup>-</sup> CD84 <sup>+</sup> JAML <sup>+</sup>	Ly6G⁺, CD11b⁺, PD-L1+, CD170 <sup>high</sup> ,	Ly6G <sup>+</sup> , CD11b <sup>+</sup> , CD170 <sup>low</sup> , CD177 <sup>+</sup> (in CRC), CD54 <sup>+</sup> , CD16 <sup>+</sup>	Ly6G <sup>+</sup> , CD11b <sup>+</sup> , CD11b <sup>+</sup> , IFIT1, IRF7, RSAD2

### 766 Box 3: Strategies to deplete neutrophils.

Two monoclonal antibodies (mAbs) RB6-8C5 and 1A8 have been extensively used to deplete 767 neutrophils in mice. The mAb RB6-8C5, characterized by its interaction with the granulocyte-768 769 differentiation antigen (Gr-1), can interact with both the Ly6G and Ly6C molecules, promoting the depletion of neutrophils and Ly6C expressing cells, including monocytes <sup>237</sup>. In contrast, the mAb 770 1A8 drives a specific depletion of neutrophils <sup>237,238</sup>. It is important to note that monitoring the 771 efficacy of depletion using the same mAbs is not reliable as target antigens are "masked" by the 772 773 depleting antibody. Thus, depletion efficacy should be assessed by using alternative antibodies (e.g. Ly6B). Investigations, including in a preprint article <sup>239</sup>, have reported that sustained administration 774 of the anti-Ly6G antibody leads to effective depletion in naïve FVB/N and BALB/c but not in 775 C57BL/6J mice <sup>46,239,240</sup>. Data suggest that the combined use of 1A8 and a secondary anti-rat antibody 776 results in increased efficacy and duration of neutrophil depletion in vivo <sup>239</sup>. 777

778 Genetic strategies represent a valuable tool to overcome the limitations of mAbs-induced 779 neutrophil depletion. Models of genetic neutropenia leverage the importance of molecules involved in neutrophil development (e.g.  $Csf3^{-/-}$ ,  $Csf3r^{-/-}$  and  $Gfi^{-/-}$  mice) <sup>15,22</sup>. These models have also 780 limitations because these genetic deficiencies can affect the development of other cell types. (i.e. 781 782 monocytes). Conditional gene deficiency can represent a more sophisticated tools to achieve specific and durable depletion of neutrophils. For instance, ablation of the anti-apoptotic protein Mcl1 in 783 784 myeloid compartment ( $LysM^{Cre}$  mice) determines neutrophil deficiency <sup>241</sup>. It is important to note that profound depletion of circulating and tissue neutrophils (>98%) using the LysM<sup>Cre</sup> Mcl1<sup>flox/flox</sup> mice 785 requires a high level of Cre-mediated deletion of Mcl1 for which a bi-allelic expression of the Cre-786 recombinase can be required <sup>241-243</sup>. The high specificity of Ly6G expression in neutrophils makes 787 targeting this locus a promising strategy <sup>244</sup>. However, results available in preprint form showed that 788 the combined used of Ly6G<sup>Cre</sup> with Cre-inducible diphteria toxin receptor (iDTR) was inefficient to 789 deplete neutrophils because these cells were resistant to DT <sup>239</sup>. In contrast, Mrp8<sup>DTR</sup> mice display 790 791 complete neutrophil depletion, suggesting that MRP8 expression during neutrophil development occurs when the progenitors are still sensitive to DT<sup>239</sup>. 792

A conceptually different strategy to assess neutrophil functions in tumours is represented by genetic ablation of the chemokine receptor CXCR2 ( $Cxcr2^{-/-}$ ). However, this approach presents significant limitations due to the expression of CXCR2 in other myeloid cells and non-immune cells, such as cancer cells <sup>37,154,245-249</sup>.

To unequivocally demonstrate the involvement of neutrophils in tumour, the extent of
neutrophil depletion in peripheral blood, distant organs and tumours should be assessed and different
approaches of neutrophil depletion should be used <sup>15</sup>.

801	Glossary
802	
803	Anaphylatoxins. Protein fragments (C3a and C5a) produced by the cleavage of the complement
804	components C3 and C5. They bind G-protein-coupled receptors expressed on myeloid cells and are
805	potent chemotactic agents.
806	
807	Antibody-dependent cellular cytotoxicity (ADCC). Lysis of an antibody-coated target cell
808	triggered via the interaction of target-bound antibodies with Fc receptors (FcRs) expressed by effector
809	cells. ADCC can occur through the release of cytotoxic molecules, the expression of cell death-
810	inducing molecules and trogoptosis.
811	
812	Atypical chemokine receptors (ACKRs). Seven transmembrane receptors belonging to the
813	chemokine receptor family that lack a DRY motif and do not mediate chemotaxis (directional cell
814	migration) but regulate chemokine bioavailability by scavenging, transcytosis or presentation of the
815	ligand.
816	
817	Circulating tumour cells (CTCs). Cancer cells stripped from a primary tumour and found in the
818	bloodstream.
819	
820	Fc receptors (FcRs). Surface receptors expressed by innate immune cells that recognize Fc fragment
821	of immunoglobulins (IgG). Neutrophils express the IgG Fc receptors (FcγRs) and IgA Fc receptor
822	(FcaRI).
823	
824	Innate lymphoid cells (ILCs). A group of cells of the innate immune response that belong to the
825	lymphoid lineage and are characterized by the lack of antigen-specific receptors.
826	
827	Mass cytometry by time-of-flight (CyTOF). Flow cytometry platform which utilizes elemental
828	mass spectrometry to detect metal-conjugated antibodies that are bound intracellularly or
829	extracellularly to antigens of interest in single cells.
830	
831	M1-M2. Terms referring to the opposite end of a continuum of macrophage polarization states.
832	Classically activated M1 macrophages in response to signals such as IFNy and lipopolysaccharide
833	mediate resistance to intracellular pathogens and tumours, whereas in response to IL-4 and IL-13

elicit an alternative form of macrophage activation (M2) which mediates resistance to parasites, tissue

834

- repair and tumour promotion. M1 and M2 are loose operational definitions of extremes of polarizationin a universe of activation states driven by the integration of environmental signals
- 837
- 838 Neutrophil extracellular traps (NETs). Extracellular neutrophil-derived networks composed by
  839 DNA and proteins such as neutrophil elastase and histones.
- 840

841 **N1-N2:** These terms have been used to discriminate anti-tumour neutrophils (N1) and pro-tumour 842 neutrophils (N2). IFN $\beta$  and TGF $\beta$  signalling pathways can trigger the formation of N1 and N2 843 neutrophils, respectively. N1 neutrophils are characterized by a normal density, a hypersegmented 844 nucleus and a cytotoxic activity towards cancer cells, whereas N2 are neutrophils with 845 immunosuppressive activity. N1 and N2 classification may represent an oversimplification of 846 neutrophils polarization, activation or maturation states.

- 847
- 848 Oxysterols. Cholesterol metabolites involved in cholesterol homeostasis and immune and
  849 inflammatory responses. Oxysterols interact with the transcription factor liver X receptor (LXR) and
  850 the G-protein-coupled receptors (GPCRs) CXCR2 and Epstein–Barr virus-induced gene 2 (EBI2).
- 851

Pattern recognition receptors. Germline-encoded host sensors which recognize essential molecules
expressed by microorganisms. The signalling through these receptors drives the initiation of the
inflammation, innate and adaptive responses.

- 855
- 856 Premetastatic niche. Environment in a secondary organ that provides favourable conditions for the857 seeding of metastatic cells.
- 858
- Reactive oxygen species (ROS). Chemically reactive species containing oxygen. ROS produced by
  the activation of the NADPH oxidase enzymatic system. They have important antimicrobial activity
  and induce genetic instability.
- 862
- 863 Sarcomagenesis. The process of initiation and development of a sarcoma, a tumour of mesenchymal864 origin.
- 865

866  $T_H 17$ . A subset of CD4<sup>+</sup> T helper cells characterized by production of IL-17.  $T_H 17$  cells are involved 867 in neutrophil-dependent defence against extracellular pathogens and implicated in inflammatory 868 disorders.

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0	09

870	<b>Trogoptosis.</b> A process of cytotoxicity mediated by an active mechanism of plasma membrane
0,0	<b>Trogoprobis</b> IT process of effortations incontants of an active incontants in or prasma memorate
871	transfer, called trogocytosis, between interacting cells.
872	
873	<b>Unconventional T cells (UTCs).</b> A group of T lymphocytes that express T cell receptor (TCR) $\alpha\beta$
874	or $\gamma\delta$ chains and characterized by the lack of recognition of classical peptide antigens, such as the
875	mucosal associated invariant T (MAIT) cells and invariant natural killer T (iNKT) cells.
876	
877	Undifferentiated pleomorphic sarcoma (UPS). An aggressive sarcoma of soft tissues or bone that
878	occurs in any part of the body. UPS is characterized by the presence of pleomorphic spindle cells
879	with large atypical cells exhibiting numerous irregular mitotic figures
880	

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Figure 2

