

# Efficiency and survivability analysis of a point-absorber wave energy converter using DualSPHysics

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## Abstract

Smoothed Particle Hydrodynamics (SPH) method is used here to simulate a heaving point-absorber with a Power Take-Off system (PTO). The SPH-based code DualSPHysics is first validated with experimental data of regular waves interacting with the point-absorber. Comparison between the numerical and experimental heave displacement and velocity of the device show a good agreement for a given regular wave condition and different configurations of the PTO system. The validated numerical tool is then employed to investigate the efficiency of the proposed system. The efficiency, which is defined here as the ratio between the power absorbed by the point-absorber and its theoretical maximum, is obtained for different wave conditions and several arrangements of the PTO. Finally, the effects of highly energetic sea states on the buoy are examined through alternative configurations of the initial system. A survivability study is performed by computing the horizontal and vertical forces exerted by focused waves on the wave energy converter (WEC). The yield criterion is used to determine that submerging the heaving buoy at a certain depth is the most effective strategy to reduce the loads acting on the WEC and its structure, while keeping the WEC floating at still water level is the worst-case scenario.

**Keywords:** point-absorber, WEC, survivability, efficiency, CFD, SPH

## 1. Introduction

Wave energy is nowadays recognised as one of the renewable energy resources with the highest potential, availability, and predictability (Chongwei et al., 2014). However, the wave energy potential is still not fully exploited. Despite the efforts of the scientific community (Bozzi et al., 2018, Kamranzad and Hadadpour, 2020), an agreement about the proper type of Wave Energy Converters (WECs) has not been achieved yet. The wave devices are, in most cases, placed offshore, where wave energy potential is higher but where they are subjected to great forces. Eventual rogue waves arising from a random sea state are potentially dangerous for the device and need to be correctly characterised. This may be accomplished by means of single events with a specific crest height and an associated period, known as focused waves. Therefore, the WEC design needs to be based not only on the efficiency but also on the survivability of the devices, which is key to harness wave energy in a safe and cost-effective way. Many ingenious systems have been developed but only a few are generating electricity commercially (Drew et al., 2009). One of the most widespread devices are the point-absorbers, which typically consist of a floater whose oscillating motion, heaving and/or pitching, is converted into electricity by means of a Power Take-Off (PTO) system (Ahamed et al., 2020). They are non-directional devices that can absorb energy from all directions through their movement at/near the water surface. Their simplicity makes point-absorbers more resilient to extreme wave conditions than other wave energy devices.

Numerical modelling plays a fundamental role as a complementary tool for physical experiments during the design stage of WECs. It has become a game-changer in the wave energy industry thanks to the exponential growth of the computational resources, which makes possible to simulate large and complex systems at reasonable computational runtime (Folley, 2016). On one hand, numerical methods allow reducing costs and

46 time when different configurations need to be evaluated. The data obtained from the simulations can be of great  
47 help to determine design loads, stresses, or any other meaningful information, which is hard or even impossible  
48 to evaluate during physical tests. On the other hand, numerical models purposely developed for efficiency  
49 analysis of WECs may not be appropriate to evaluate their survivability. The numerical model should be able to  
50 solve the interaction between incoming waves and floating structures, and to reproduce the behaviour of the  
51 PTO systems in an accurate way. Several modelling approaches have been employed to analyse the  
52 hydrodynamic response of WECs as shown in the following review papers: Li and Yu (2012); Folley et al.  
53 (2012); Markel and Ringwood (2016); Penalba et al. (2017); Zabala et al. (2019); Davidson and Costello (2020).  
54 However, only a few numerical pieces of research include the mechanical constraints of the PTO system.

55 Traditionally, the most widely used models to describe the response of a WEC under operational sea states are  
56 based on potential flow theory (see e.g., Newman, 2018). They are either time or frequency domain models that  
57 apply the boundary element method (BEM) to solve the frequency-dependent dynamics of the device. Many  
58 works have assessed the performance of point-absorbers using potential flow theory, e.g. Beatty et al. (2015),  
59 De Andrés et al. (2013), and Rahmati and Aggilis (2016). Nevertheless, potential flow-based codes, such as  
60 WAMIT (Lee, 1995) or NEMOH (Babarit and Delhommeau, 2015), assume the fluid to be incompressible,  
61 inviscid and irrotational, the motion of the device to have small amplitude, and the waves to be linear. These  
62 assumptions are likely to be violated when a WEC is placed at sea, especially under energetic sea states.  
63 Conversely, CFD (Computational Fluid Dynamics) methods are more time consuming and complex, but they  
64 do not require any of the previous simplifications. They are based on the Navier-Stokes equations, which may  
65 be solved following an Eulerian approach (mesh-based methods) or a Lagrangian approach (mesh-free methods).  
66 The mesh-based methods have proved to be very robust since they have been developed for many years. In  
67 particular, the finite volume method has been applied to a wide range of free-surface problems providing  
68 accurate results. Power efficiency analysis of point-absorbers using these methods have been conducted by Yu  
69 and Li (2013), Jin et al. (2018), and Reabroy et al. (2019), amongst others. The interaction of focused waves  
70 with vertical cylinders has been studied by Westphalen et al. (2012) and Hu et al. (2016) using the mesh-based  
71 codes STAR-CCM+ and OpenFOAM, respectively. Nevertheless, defining an appropriate mesh can be very  
72 inefficient for complex systems with moving boundaries. On the other hand, meshless methods can be applied  
73 to highly nonlinear problems with arbitrary and changing geometries, difficult to handle with mesh-based  
74 methods.

75 Different meshless approaches have been developed in the last decades. One of the most popular methods is the  
76 Smoothed Particle Hydrodynamics (SPH), which has reached the required maturity level to be used for  
77 engineering purposes (Violeau and Rogers, 2016). The continuum fluid in SPH is treated as discrete *smoothed*  
78 quantities at locations named *particles*. The physical quantities are computed at each *particle* as an interpolation  
79 of the quantities of the surrounding particles using a weighted function (kernel) based on the distance between  
80 particles and solving the Navier-Stokes equations. The SPH technique presents several advantages over mesh-  
81 based methods to simulate free-surface flows since there is no special detection of that free surface. Large  
82 deformations can be efficiently treated (there is no mesh distortion), and violent impacts of extreme waves with  
83 fixed or fluid-driven objects can be easily tackled. In addition, rapidly moving complex geometries are handled  
84 with SPH in a straightforward way, without problems related to mesh generation or updating at each time step.  
85 There are several papers that show the robustness of SPH for coastal engineering applications, such as Gotoh  
86 and Khayyer (2018), Khayyer et al. (2018), and González-Cao et al. (2018). With a focus on the WEC modelling,  
87 the pioneering works of Rafiee et al. (2013) and Edge et al. (2014) presented the SPH simulation of oscillating  
88 wave surge devices. Westphalen et al. (2014) compared the hydrodynamic response of a point-absorber obtained  
89 with SPH and with a finite volume method, whilst Omidvar et al. (2013) and Yeylaghi et al. (2015) are the first  
90 works to deal with the interaction between extreme waves and point-absorbers using SPH methods.

91 Among the different SPH codes, DualSPHysics software is considered one of the most efficient SPH solvers  
92 (Crespo et al., 2015). DualSPHysics is open-source ([www.dual.sphysics.org](http://www.dual.sphysics.org)) and allows applying the SPH  
93 method to real engineering problems. It can be executed not only on CPUs, but also on GPU (Graphics  
94 Processing Unit) cards with powerful parallel computing that can be installed in a personal computer (Altomare

95 et al., 2018). The DualSPHysics code has been applied in this work since it includes the coupling with the open-  
 96 source multiphysics platform Project Chrono (Tasora et al., 2016), which is capable of simulating collisions and  
 97 kinematic restrictions such as springs, hinges, pulleys, etc. In this manner, the coupling of DualSPHysics with  
 98 Project Chrono allows the complex mechanisms of the PTO system to be reproduced within the same meshless  
 99 framework. DualSPHysics has proven its capability to generate and propagate waves (Altomare et al., 2017;  
 100 Domínguez et al., 2019a) and to simulate satisfactorily their interaction with WECs such as an Oscillating Water  
 101 Column in Crespo et al. (2017, 2018) and an Oscillating Wave Surge Converter in Brito et al. (2020). The first  
 102 work where DualSPHysics was employed to simulate a point-absorber device was presented in Tagliafierro et  
 103 al. (2019). Other works, like Verbrugge et al. (2018, 2019), combined the capabilities of a fully nonlinear  
 104 potential flow solver and DualSPHysics, allowing the simulation of large domains and, at the same time,  
 105 accurate and detailed modelling of the interactions between waves and the WEC.

106 This research is focused on the simulation of a wave energy converter consisting of a cylindrical heaving-buoy  
 107 attached to a PTO system, as described by Zang et al. (2018), who conducted experiments with a model scale of  
 108 1:10. The PTO system is a direct-drive linear generator in which the rod connected to the buoy moves the  
 109 alternator in the presence of a stationary magnetic field, inducing an electric current in the stator, according to  
 110 Faraday's law of induction (Eriksson et al., 2005). The present manuscript includes a complete numerical study  
 111 in terms of SPH modelling of a point-absorber converter since it contains: i) validation with experiments, ii)  
 112 efficiency analysis and iii) survivability under extreme waves. This work is organised as follows: Section 1 is  
 113 the introductory part and provides the state-of-the-art, Section 2 describes the DualSPHysics code, Section 3  
 114 shows the validation comparing numerical results with experimental data using one regular wave condition,  
 115 Section 4 includes an efficiency study simulating several conditions of regular waves, Section 5 presents the  
 116 loads exerted onto the point-absorber under the action of focused waves considering different scenarios and,  
 117 finally, conclusions are synthesised in Section 6.

118

## 119 2. Numerical model

120 The fundamental concept in the SPH methodology is to discretise the fluid into a set of particles, where the  
 121 physical quantities (position, velocity, density, and pressure) are obtained as an interpolation of the  
 122 corresponding quantities of the surrounding particles. The weighted contribution of those particles is obtained  
 123 using a kernel function ( $W_{ab}$ ) with an area of influence that is defined using a characteristic *smoothing length*  
 124 ( $h$ ). The quintic Wendland kernel (Wendland, 1995) is used in DualSPHysics and it is defined to vanish beyond  
 125  $2h$ . Note that particles are initially created with an interparticle distance,  $dp$ , which is used as a reference value  
 126 to define the smoothing length using  $h=2dp$ .

127 The Navier-Stokes equations can be then written in a discrete SPH formalism using  $W_{ab}$  as the kernel function,  
 128 which depends on the normalised distance between particle  $a$  and neighbouring  $b$  particles

$$129 \frac{d\mathbf{r}_a}{dt} = \mathbf{v}_a \quad (1)$$

$$131 \frac{d\mathbf{v}_a}{dt} = - \sum_b m_b \left( \frac{p_b + p_a}{\rho_b \cdot \rho_a} + \Pi_{ab} \right) \nabla_a W_{ab} + \mathbf{g} \quad (2)$$

$$133 \frac{d\rho_a}{dt} = \sum_b m_b \mathbf{v}_{ab} \nabla_a W_{ab} + 2\delta hc \sum_b (\rho_b - \rho_a) \frac{\mathbf{v}_{ab} \nabla_a W_{ab}}{r_{ab}^2} \frac{m_b}{\rho_b} \quad (3)$$

134 where  $t$  is the time,  $\mathbf{r}$  is the position,  $\mathbf{v}$  is the velocity,  $p$  is the pressure,  $\rho$  is the density,  $m$  is the mass,  $c$  is the  
 135 numerical speed of sound, and  $\mathbf{g}$  is the gravitational acceleration. The artificial viscosity ( $\Pi_{ab}$ ) proposed in  
 136 Monaghan (1992) and the density diffusion term proposed by Fourtakas et al. (2020) (using  $\delta=1$ ) are applied  
 137 here.  
 138

139 The previous equations allow computing the position, velocity, and density of each SPH particle. However, a  
 140 new equation to compute pressure is required. In the DualSPHysics code, the fluid is treated as weakly  
 141 compressible (WCSPH), so that an equation of state is used to calculate fluid pressure as a function of density,  
 142 rather than solving a Poisson-like equation. Hence the system is closed by using the polytropic equation, Eq.  
 143 (4), where the speed of sound has been adjusted to obtain a reasonable time step:

$$144 \quad p = \frac{c^2 \rho_0}{\gamma} \left[ \left( \frac{\rho}{\rho_0} \right)^\gamma - 1 \right] \quad (4)$$

145 with  $\gamma=7$  the polytropic constant (Ma, 2010), and  $\rho_0=1000 \text{ kg m}^{-3}$ , the reference density of the fluid.  
 146

147 One of the most interesting capabilities of SPH models is the simulation of fluid-driven objects (Canelas et al.,  
 148 2015). First, the net force on each individual particle of a floating object is computed as the summation of the  
 149 contributions of all surrounding fluid particles ( $b$ ). In this way, each floating particle  $q$  experiences a force per  
 150 unit of mass  $\mathbf{f}_q$  given by:

$$151 \quad \mathbf{f}_q = \frac{d\mathbf{v}_q}{dt} = \sum_{b \in \text{fluid}} \frac{d\mathbf{v}_{qb}}{dt} \quad (5)$$

152 where the interactions between particles  $q$  and  $b$  are solved according to Eq. (2).

153 It is important to note that here the object is being considered as rigid, so the basic equations of rigid body  
 154 dynamics are solved to obtain the motion of the floating object:

$$155 \quad M \frac{d\mathbf{V}}{dt} = \sum_{q \in \text{body}} m_q \mathbf{f}_q \quad (6)$$

$$156 \quad I \frac{d\boldsymbol{\Omega}}{dt} = \sum_{q \in \text{body}} m_q (\mathbf{r}_q - \mathbf{R}) \times \mathbf{f}_q \quad (7)$$

157 where  $M$  is the total mass of the object,  $I$  the moment of inertia,  $\mathbf{V}$  the velocity,  $\boldsymbol{\Omega}$  the rotational velocity,  $\mathbf{R}$  the  
 158 centre of mass, and  $m_q$  and  $\mathbf{r}_q$  are, respectively, the mass and position of each floating particle  $q$ . Equations (6)  
 159 and (7) are integrated in time in order to obtain the values of  $\mathbf{V}$  and  $\boldsymbol{\Omega}$  at the beginning of the next time step.  
 160 Each particle that belongs to the object moves according to the velocity,  $\mathbf{v}_q$ , given by:

$$161 \quad \mathbf{v}_q = \mathbf{V} + \boldsymbol{\Omega} \times (\mathbf{r}_q - \mathbf{R}) \quad (8)$$

162 The accuracy of DualSPHysics to simulate fluid-driven objects under the action of regular waves was studied  
 163 in Domínguez et al. (2019b), where the numerical results of nonlinear waves interacting with a freely floating  
 164 box were compared with the experimental data from Ren et al. (2015). A good agreement was obtained for the  
 165 motions of the box in terms of heave, surge, and pitch time series.

166 The capabilities of DualSPHysics are extended, thanks to the coupling with the multiphysics library Project  
 167 Chrono (<https://projectchrono.org/>) that allows solving mechanical constrains applied on rigid bodies during the  
 168 fluid-structure interaction. Among the different features that can be defined, springs and dampers are  
 169 straightforward. A more complete description of the coupling between DualSPHysics and Chrono is presented  
 170 in Canelas et al. (2018), which also provides validation of the features as implemented into the new framework.

171 The coupled DualSPHysics-Chrono code is employed in this work to simulate a heaving point-absorber whose  
 172 PTO system is modelled as a linear damper:

$$173 \quad F_{PTO}(t) = b_{PTO} \cdot v_z(t) \quad (9)$$

174 where  $F_{PTO}$  represents the force exerted by the PTO system,  $b_{PTO}$  its damping coefficient and  $v_z$  the heave  
 175 velocity.

176

### 177 3. Validation

178 The WEC under study is the point-absorber described in Zang et al. (2018). It is composed of a heaving buoy  
 179 connected to a PTO system at its bottom. More specifically, the PTO system is a direct-drive linear generator,  
 180 whose effects on the dynamics of the WEC were simulated in the experimental campaign thanks to various air-  
 181 dampers (Zang et al., 2018) while, mathematically, they can be modelled simply as a linear damper (Eriksson  
 182 et al., 2005), as shown in Eq. (9). The heaving buoy is a cylinder 0.22 m high with a diameter ( $D$ ) of 0.50 m  
 183 and density  $500 \text{ kg/m}^3$ , which results in a mass of 21.6 kg. Therefore, the draft of the buoy at equilibrium is  
 184 half its height (0.11 m).

185 Zang et al. (2018) conducted several experiments to study the response of the WEC under regular waves for  
 186 different values of the damping coefficient  $b_{PTO}$  (Eq. 9). The physical tests conducted with regular waves of  
 187 wave height  $H=0.16$  m, period  $T=1.5$  s, water depth  $d=1.10$  m, and an associated wavelength  $L=3.40$  m are  
 188 considered here to validate the numerical code. Three values of the damping coefficient,  $b_{PTO}=0, 240, 1100$   
 189 Ns/m, are used in the validation to take the effect of the PTO into account.

190 A numerical tank (Fig. 1) is designed to mimic the physical flume. The width of the numerical domain is reduced  
 191 to twice the buoy diameter ( $2D$ ), lateral periodic boundary conditions are applied to minimise the effects of  
 192 radiated waves from the lateral walls. A piston, whose movement generates the desired wave, is located on the  
 193 left of the tank (as seen in Fig. 1). The buoy is located at one wavelength ( $L$ ) from the piston. Wave dissipation  
 194 is guaranteed on the right side of the tank (Fig. 1) thanks to the combination of a dissipative beach with a slope  
 195 of  $\alpha=1:2$ , starting at  $L/4$  from the buoy, and a numerical damping applied along the longitudinal axis ( $x$ ) of the  
 196 beach.

197 The numerical damping system consists in gradually reducing the velocity of the fluid particles at each time step  
 198 according to their location, as suggested in Altomare et al. (2017). In this manner, the velocity of a fluid particle  
 199  $a$  located within the damping zone is reduced from its initial velocity  $\mathbf{v}_{a,0}$  to its final velocity  $\mathbf{v}_a$  according to  
 200  $f_r(x_a, \Delta t)$ :

$$201 \quad \mathbf{v}_a = \mathbf{v}_{a,0} \cdot f_r(x_a, \Delta t) \quad (10)$$

202 where  $x_a$  is the longitudinal position of the particle,  $\Delta t$  is the duration of the last time step and  $f_r(x_a, \Delta t)$  is the  
 203 reduction function, which employs a quadratic decay:

$$204 \quad f_r(x_a, \Delta t) = 1 - \Delta t \cdot \beta \cdot \left( \frac{x_a - x_0}{x_1 - x_0} \right)^2 \quad (11)$$

205 being  $x_0$  and  $x_1$  the initial and final position of the damping zone along the  $x$ -axis, respectively, and  $\beta$  a coefficient  
 206 that is fixed at  $\beta = 10$  for all simulations.

207 The overall absorption capabilities of the beach with numerical damping are quantified by means of the reflection  
 208 coefficient,  $K_R$ , which is calculated here using the Healy method (Eagleson and Dean, 1966):

$$209 \quad K_R = \frac{H_{max} - H_{min}}{H_{max} + H_{min}} \quad (12)$$

210 where  $H_{max}$  and  $H_{min}$  are, respectively, the maximum and minimum numerical wave height. In this way, the  
 211 reflection coefficient of the numerical tank shown in Fig. 1, for the regular wave previously described, is lower  
 212 than 2%, which means that over 98% of the incident wave energy is being dissipated.

213

214 **Fig. 1.** Numerical tank to simulate the interaction of the WEC under regular waves.

215

216 The resolution is given by the initial interparticle distance  $dp$ , which is employed to create the particles involved  
 217 in the simulation. Altomare et al. (2017) and Rota-Roselli et al. (2018) proved that using around ten particles

218 per wave height ( $H/dp=10$ ) provides a reasonable compromise between accuracy and computational time. In  
 219 this validation, two different resolutions are employed:  $dp=0.02$  m and  $dp=0.01$  m corresponding to  $H/dp=8$  and  
 220  $H/dp=16$ , respectively. The total number of particles is approximately 800,000 for the simulations with  $dp=0.02$   
 221 m, and 6,500,000 with finer resolution  $dp=0.01$  m, as presented in Table 1. The table also shows the  
 222 computational time required to simulate fifteen seconds of physical time using a GeForce RTX 2080 Ti GPU  
 223 card. It can be observed that the lower the  $dp$ , the higher the number of particles and, therefore, longer runtimes  
 224 are needed.

225 **Table 1.** Number of particles and GPU runtimes (GeForce RTX 2080 Ti).

226

227 Figure 2 compares the experimental and numerical time series of heave displacement and velocity of the device  
 228 for the three values of  $b_{PTO}$ . Qualitatively, the agreement for the three cases is satisfactory in terms of both  
 229 amplitude and phase. Fig. 2 shows that when  $b_{PTO}=0$  Ns/m, the heave displacement amplitude is maximum, and  
 230 its value is comparable to the incident wave height ( $H=0.16$  m) since the buoy is freely floating on the surface.  
 231 As it is expected, the higher the damping coefficient of the PTO system, the lower the amplitude of the heave  
 232 displacement and velocity, reaching a reduction of over  $2/3$  when comparing  $b_{PTO}=0$  Ns/m with  $b_{PTO}=1100$  Ns/m.  
 233 Fig. 2 also proves that, regardless of the value of  $b_{PTO}$ , the period of the heave movement is always equal to the  
 234 wave period ( $T=1.5$  s) and the phase lag between heave displacement and velocity is of  $\pi/2$  rad. On the other  
 235 hand, looking closely at Fig. 2 it can be noted that varying  $b_{PTO}$  causes a slight phase shift in the time series of  
 236 both  $Z$  and  $v_z$ . This shift was analysed in detail by Zang et al. (2018).

237

238 **Fig. 2.** Numerical and experimental time series of heave displacement (a), and velocity (b) of the point-absorber for  $b_{PTO}$   
 239 = 0, 240 and 1100 Ns/m.

240

241 To quantify the accuracy of the results, the index of agreement  $d_I$  defined by Willmott et al. (1985) is used here  
 242 as non-dimensional error estimator:

$$243 \quad d_1 = 1 - \frac{\sum_{n=1}^N |C_n - E_n|}{\sum_{n=1}^N (|C_n - \bar{E}| + |E_n - \bar{E}|)} \quad (13)$$

244 where  $N$  is the total number of records of the studied variable,  $C$  and  $E$  are, respectively, the values obtained  
 245 numerically and experimentally (or theoretically when possible) and the overbar represents the average. The  
 246 index of agreement is bounded between 0 and 1, where 1 means that the numerical and experimental (or  
 247 theoretical) time series are coincident.

248 Table 2 collects the different values of  $d_I$  for the time series of  $Z$  and  $v_z$  shown in Fig. 2, i.e. for three values of  
 249  $b_{PTO}$  and two values of  $dp$ . The index of agreement ranges from 0.91 to 0.94 in all cases, which implies a very  
 250 high level of coincidence between the numerical and experimental time series. Table 2 also shows that the  
 251 improvement in accuracy obtained when using the finest resolution ( $dp=0.01$  m) is barely noticeable.  
 252 Consequently, the lower resolution ( $dp=0.02$  m) was chosen for all simulations hereinafter since the  
 253 computational time increases tenfold when using  $dp=0.01$  m (see Table 1). This proves the capability of  
 254 DualSPHysics to reproduce with accuracy the response of a point-absorber under these regular waves for  
 255 different configurations of the PTO system at very reasonable computational times.

256 **Table 2.** Index of agreement of the heave displacement and velocity for each simulation.

257

258 Five different instants of the simulations with  $b_{PTO}=0$  Ns/m and  $b_{PTO}=1100$  Ns/m ( $dp=0.02$  m) are shown in Fig.  
 259 3. Note that the instants cover one complete wave period (in fact, the first and last instants are coincident). The  
 260 colourmap represents the velocity of the fluid particles in the longitudinal axis. Minimum values are observed  
 261 at wave troughs and maximum values at the crests. The black solid line represents the initial still water level; it

262 emphasises the differences in the motion of the buoy when varying the damping coefficient of the PTO system.  
 263 For the frames at  $1/4T$  and  $3/4T$ , it can be easily observed that the heave amplitude is significantly lower using  
 264  $b_{PTO}=1100$  Ns/m than using  $b_{PTO}=0$  Ns/m.

265

266 **Fig. 3.** Different instants of the simulation using DualSPHysics with  $b_{PTO}=0$  and 1100 Ns/m.

267

#### 268 4. Efficiency

269 The previous section has proved the ability of the DualSPHysics numerical code to provide an accurate response  
 270 of the point-absorber under regular waves of  $T=1.50$  s,  $H=0.16$  m, and  $d=1.10$  m, and for three different values  
 271 of the damping coefficient. In this section, a study of the evolution of the absorbed power and the system  
 272 efficiency with the wave frequency, considering the effect of different configurations of the PTO, is performed.  
 273 Regular waves with the same wave height and depth, but with periods ranging from 0.97 s to 4.40 s are simulated  
 274 for several values of the PTO damping coefficient.

275 First, it is important to define the wave power per meter of width of the wave front, denoted as  $J$  and obtained  
 276 as indicated in Falnes (2002):

$$277 \quad J = \frac{1}{16} \rho g H^2 \frac{\omega}{k} \left[ 1 + \frac{2kd}{\sinh(2kd)} \right] \quad (14)$$

278 where  $k=2\pi/L$  is the wavenumber and  $\omega=2\pi/T$  the angular wave frequency.

279 The absorbed power by the point-absorber under study is analysed by comparison with  $J$  in order to obtain the  
 280 efficiency for different regular wave conditions. Table 3 contains the characteristics of the regular waves that  
 281 are simulated, namely period ( $T$ ), angular frequency ( $\omega$ ), wavelength ( $L$ ), Ursell number ( $Ur$ ) and wave power  
 282 per meter of width of the wave front ( $J$ ).

283 **Table 3.** Wave conditions simulated in the efficiency analysis.

284

285 In Fig. 4, the Le Méhauté abacus (Le Méhauté, 1976) shows the most appropriate theory to model each regular  
 286 wave. All of them fall within the Stokes' theory zone of the abacus: waves with period equal and lower than  
 287 1.70 s are of third order, being the rest second order Stokes' waves. Nevertheless, all of them are generated  
 288 according to the second order theory implemented in DualSPHysics (Madsen, 1971). This implies the  
 289 assumption that the third order terms of the Stokes' perturbative series are negligible with respect to the second  
 290 order terms. Furthermore, to guarantee that the second order terms do not cause spurious crests and troughs that  
 291 may prevent the wave free-surface profile from having a constant form in time, it is required that the second  
 292 order terms are significantly lower than the first order terms of the Stokes' expansion. The Ursell number (Ursell,  
 293 1953), mathematically defined as  $Ur=HL^2/d^3$ , provides the relation between the amplitudes of the second and  
 294 first order terms of the free-surface elevation. According to the theory developed by Madsen (1971) and  
 295 implemented in DualSPHysics, the wave free-surface profile is constant if  $Ur<8\pi^2/3$ . Table 3 shows that the  
 296 Ursell number increases with the wave period but it is always below the required threshold.

297

298 **Fig. 4.** Regular wave conditions as classified in Le Méhauté abacus.

299

300 The numerical tank used to perform the efficiency analysis is the same as used before (Fig. 1). The width and  
 301 still water depth are the same used for the validation case. However, since the buoy is located one wavelength  
 302 away from the piston and one quarter of wavelength away from the beginning of the beach, the total length of  
 303 the domain now varies in accordance with the wavelength of each condition. The slope of the dissipative beach,  
 304  $\alpha$ , is chosen for each wave condition such that, in combination with the numerical damping previously explained,

305 it yields a reflection coefficient always lower than 6%. Specifically,  $\alpha=1:2$  is used for regular waves with  $T=1.5$   
 306 s and lower;  $\alpha=1:4$  for  $T=1.7, 1.9, 2.1$  and  $2.4$  s;  $\alpha=1:4$  for  $T=2.8$  and  $3.3$  s; and  $\alpha=1:12$  for  $T=4.4$  s.

307 The power absorbed by the device and its energetic efficiency are computed as explained below. The instant  
 308 wave power captured by the WEC is proportional to the damping force of the PTO system, given by Eq. (9),  
 309 following:

$$310 \quad P_{abs}(t) = F_{PTO}(t) \cdot v_z(t) = b_{PTO} \cdot v_z^2(t) \quad (15)$$

311 The integral of Eq. (15) over a time period provides the averaged power absorbed by the device:

$$312 \quad P_a = \frac{1}{T} \int_{t_0}^{t_0+T} P_{abs}(t) dt \quad (16)$$

313 Taking a constant time interval  $\Delta t$ , the averaged absorbed power can be further approximated by a discrete  
 314 summation:

$$315 \quad P_a = \frac{1}{N} \sum_{n=1}^N P_{abs}(t_0 + n\Delta t) \quad (17)$$

316 where  $T=N \cdot \Delta t$ , being  $N$  the total number of records taken in a period.

317 Budal and Falnes (1975), Evans (1976), and Newman (1976) independently derived the expression for the  
 318 theoretical maximum absorbed power by an axisymmetric body oscillating only in heave, such as the point-  
 319 absorber considered in this paper, as:

$$320 \quad P_{a,max} = \frac{J}{k} \quad (18)$$

321 where  $J$  denotes the wave power per meter of width of the wave front (Eq. 14) and  $k$  is the wavenumber.

322 The efficiency of the wave energy converter can be characterised as the ratio between the power absorbed by  
 323 the device and its theoretical maximum:

$$324 \quad \frac{P_a}{P_{a,max}} = 2\pi \frac{P_a}{JL} \quad (19)$$

325 The capture width ( $CW$ ) and capture width ratio ( $CWR$ ) are two parameters often used when performing an  
 326 efficiency analysis. The former represents the width of the wave front that is being completely absorbed by the  
 327 device, whereas the latter represents the ratio between the absorbed power and the available power contained in  
 328 the wave interacting with the device, which is defined as  $P_w=JD$  (being  $D$  the buoy diameter). They can be  
 329 mathematically described as:

$$330 \quad CW = \frac{P_a}{J} \quad (20)$$

$$331 \quad CWR = \frac{P_a}{P_w} \quad (21)$$

332 Capture width has units of meters, hence  $CWR$  is a dimensionless parameter given by  $CW$  over the device  
 333 dimension perpendicular to wave propagation, in this case the buoy diameter  $D$ . Their maximum values can be  
 334 obtained from Eq. (18). Therefore, the energetic efficiency can also be characterised using the ratio  $CW/CW_{max}$   
 335 or  $CWR/CWR_{max}$  since:

$$336 \quad \frac{CWR}{CWR_{max}} = \frac{CW}{CW_{max}} = \frac{P_a}{P_{a,max}} = 2\pi \frac{P_a}{JL} \quad (22)$$

337 The response of the heaving point-absorber is highly frequency-dependent, being the energy conversion more  
 338 important near the resonance condition. When the WEC is operating at resonance, its heaving velocity and the  
 339 excitation force are in phase. The excitation force is made up of the force due to the non-perturbed incoming



340 wave acting on the WEC (Froude-Krylov force) and the force due to the diffraction of the flow bypassing the  
 341 buoy. As shown in Falnes (2002), the resonance condition is automatically satisfied when the wave frequency  
 342 matches the natural frequency of the device, which is given by:

$$343 \quad \omega_0 = \sqrt{\frac{\rho g A_{wet}}{M + m_{add}(\omega)}} \quad (23)$$

344 where  $A_{wet}$  is the wetted surface (cross-section of the cylinder),  $M$  is the mass of the buoy, and  $m_{add}$  is the added  
 345 mass. The added mass term is due to the radiated waves emitted by the oscillating buoy, and it varies with the  
 346 wave frequency, which implies that the natural frequency is frequency-dependent as well. The open-source  
 347 solver NEMOH (Babarit and Delhommeau, 2015) is used to obtain the added mass. NEMOH is a boundary  
 348 element method (BEM) code that solves the radiation-diffraction problem assuming linear waves and neglecting  
 349 viscosity. Note that the calculation of the natural frequency is only used here to define the non-dimensional  
 350 variable  $\omega/\omega_0$ , which allows identifying whether the point-absorber is operating near its resonance condition.  
 351 Therefore, the simplifications made to obtain the natural frequency have no effect on the calculus of the absorbed  
 352 power, since this is obtained from the heave velocity time series computed with DualSPHysics, which simulates  
 353 with accuracy non-linear waves and does include viscous forces.

354 Fig. 5 shows the evolution of the absorbed power and the energetic efficiency as functions of the ratio  $\omega/\omega_0$  for  
 355 different values of  $b_{PTO}$ , namely 240, 480, 720, and 1100 Ns/m. When  $\omega$  tends to zero or infinity, so does the  
 356 ratio  $\omega/\omega_0$ , since  $\omega_0$  takes finite (and non-zero) values for all  $\omega$ , and the absorbed power and energetic efficiency  
 357 tend to be zero. Both the absorbed power and the energetic efficiency reach a maximum between  $\omega/\omega_0=0$  and  
 358  $\omega/\omega_0=1$ , respectively. However, the wave frequency that maximises  $P_a$  is different from the one that maximises  
 359 the energetic efficiency. This is due to the fact that the wave power per meter of width of the wave front, Eq.  
 360 (14), decreases when the wave frequency increases, as shown in Table 3. Fig. 5(a) shows that the maximum  
 361 absorbed power occurs at around  $\omega/\omega_0=0.5$  for  $b_{PTO}=1100$  Ns/m, and around  $\omega/\omega_0=0.8$  for  $b_{PTO}=240$  Ns/m. The  
 362 peak of  $P_a$  tends to appear at frequencies close to the natural frequency as the damping coefficient of the PTO  
 363 decreases. A similar behaviour is observed in Fig. 5(b) for the energetic efficiency (defined here as  
 364  $CWR/CWR_{max}$ ) but, in this case, the peak of efficiency takes place at frequencies slightly lower than  $\omega_0$  for all  
 365 the values of  $b_{PTO}$ . Note as well that the maximum energetic efficiency is higher as  $b_{PTO}$  decreases, being around  
 366 0.6 for  $b_{PTO}=1100$  Ns/m and close to 0.9 for  $b_{PTO}=240$  Ns/m.

367  
 368 **Fig. 5.** Variations of absorbed power (a) and  $CWR/CWR_{max}$  (b) with the frequency of regular waves for different values of  
 369  $b_{PTO}$ .

370  
 371 Fig. 6 shows the dependence on the damping coefficient of the PTO of the absorbed power ( $P_a$ ) and energetic  
 372 efficiency ( $CWR/CWR_{max}$ ) for three different wave frequencies, namely  $\omega/\omega_0=0.51$ , 0.77 and 1.00. When  $b_{PTO}=0$   
 373 Ns/m, the PTO system is disconnected and the wave energy is not being harvested, as indicated mathematically  
 374 in Eq. (15). On the other hand, when  $b_{PTO}$  tends to infinity the device response is overdamped and the absorbed  
 375 power, thus the efficiency, tends asymptotically to zero. There is a value of  $b_{PTO}$  for each wave condition that  
 376 maximises both  $P_a$  and  $CWR/CWR_{max}$ . When the device is operating at resonance ( $\omega/\omega_0=1$ ), the maximum  
 377 efficiency is achieved when  $b_{PTO}$  is between 60 and 240 Ns/m. Comparing the three different wave conditions  
 378 shown in Fig. 6, it is clear that the further away from resonance, the higher the optimum value of  $b_{PTO}$  and the  
 379 less steep the curves, i.e. the range of  $b_{PTO}$  for which  $P_a$  and energetic efficiency are near their maximum is  
 380 wider.

381  
 382 **Fig. 6.** Variations of absorbed power (a) and  $CWR/CWR_{max}$  (b) with  $b_{PTO}$  for different values of  $\omega/\omega_0$ .

## 383 384 5. Survivability

385 The final numerical analysis with the point-absorber under study in this work is related to survivability. As  
 386 previously introduced, the use of an SPH-based code presents several advantages, which make the simulation  
 387 of violent impacts between sea waves and floating devices easy and straightforward. In this section, the loads  
 388 acting on the device under an extreme wave condition are obtained numerically with DualSPHysics. Different  
 389 survival strategies are defined, considering the effects of submerging the device and simulating the WEC fixed  
 390 or oscillating. A simplified structure is assumed to show a general methodology that may be followed to design  
 391 the structure for a point-absorber.

392

### 393 5.1. Extreme wave description

394 Puertos del Estado ([www.puertos.es](http://www.puertos.es)) provides measures of the sea-state under extreme weather conditions in  
 395 the northern coast of Spain. The survivability of the WEC is analysed at a location in the north coast of Spain 4  
 396 km offshore from the Port of Gijón, where the water depth is 54 m. A directional buoy owned by Puertos del  
 397 Estado provides the irregular extreme sea-state at this location from data recorded from March 2004 to January  
 398 2017. A storm is defined as a situation during which the significant wave height  $H_s$  (mean wave height of the  
 399 highest third of the records) exceeds a predefined threshold, following the Peak Over Threshold method. The  
 400 irregular sea-state of each storm is characterised by the maximum  $H_s$  in a five-day period and its associated peak  
 401 period,  $T_p$ , is obtained from an empirical equation based on a least-squares fitting. Given a desired lifetime of  
 402 the device  $L_{WEC}$ , and a limit state which has an associated exceedance probability  $P_L$ , the design wave height  $H_d$   
 403 of the irregular extreme sea-state at the specified location can be obtained as explained below. The exceedance  
 404 probability  $P_L$  is the probability that the design wave height  $H_d$  is exceeded during the lifetime  $L_{WEC}$  and is given  
 405 by:

$$406 \quad P_L(H_d) = 1 - (1 - P_{ann}(H_d))^{L_{WEC}} \quad (24)$$

407 where  $P_{ann}(H_d)$  is the probability that  $H_d$  is exceeded in a year, defined as

$$408 \quad P_{ann}(H_d) = 1 - \exp\left(-\lambda(1 - F_w(H_d))\right) \quad (25)$$

409 being  $\lambda$  the average number of storms in a year and  $F_w$  the Weibull distribution (Weibull, 1951) of exceedance  
 410 of wave height, given by

$$411 \quad F_w(H_d) = 1 - \exp\left(-\left(\frac{H_d - \alpha_w}{\beta_w}\right)^{\gamma_w}\right) \quad (26)$$

412 The parameters  $\alpha_w$ ,  $\beta_w$  and  $\gamma_w$  define the specific Weibull distribution and are provided by Puertos del Estado,  
 413 along with  $\lambda$ . Considering a lifetime  $L_{WEC}$  of 22 years and an exceedance probability  $P_L=0.53$ , corresponding to  
 414 the Damage Limitation limit state, a design wave height of  $H_d=0.985$  m (after 1:10 Froude scaling) is obtained  
 415 from Eqs. (24) – (26). The corresponding peak period,  $T_p$ , is calculated from the design wave height by means  
 416 of the empirical equation provided by Puertos del Estado, obtaining a value of  $T_p=5.30$  s, calculated at 1:10  
 417 model scale.

418 These design wave height and peak period define the irregular extreme sea-state at a specific location for the  
 419 Damage Limitation limit state of a device with a lifetime of 22 years. A complete statistic representation of a  
 420 real sea state consists of an irregular wave train of at least 300 waves (Boccotti, 2004). The importance of the  
 421 time series duration in wave-structures interactions has been highlighted by other authors (e.g. Romano et al.,  
 422 2015). In practice, 1000 waves are employed to represent real sea states, when reproduced experimentally.  
 423 Numerical models based on full Navier-Stokes equations, either mesh-based or meshless, must often cope with  
 424 huge computational costs associated with such long test durations, especially for 3-D modelling. Therefore,  
 425 instead of a full sea state, a focused wave group is simulated. To account for the possibility of a sporadic freak  
 426 wave of wave height significantly higher than  $H_d$  within this sea-state, a focused wave is defined as follows: a  
 427 1000-wave train is used to build the Rayleigh distribution of the wave height and the one with only 3%  
 428 probability to be exceeded is selected as the focused wave height, being in this case  $H_f=1.31$  m.

429 In the present work, a unidirectional crest-focused wave, defined according to the so-called NewWave method  
 430 (Whittaker, 2017) is employed. The NewWave linear theory developed by Tromans et al. (1991) defines the  
 431 free-surface elevation  $\eta(x,t)$ , which is related to the Fourier Transform of the sea state power density spectrum  
 432  $S(\omega)$ , as a linear superposition of  $N$  wave modes

$$433 \quad \eta(x,t) = \frac{A_{cr}}{\sigma^2} \sum_{n=1}^N S(\omega_n) \cos(k_n(x - x_f) - \omega_n(t - t_f)) \Delta\omega \quad (27)$$

434 being  $\sigma^2 = \sum S(\omega_n) \Delta\omega$  the variance of the discrete irregular sea state,  $\omega_n$  and  $k_n$  the angular frequency and  
 435 wavenumber of each  $n$ -mode, and  $x_f$  and  $t_f$  the position and time, respectively, at which the free-surface elevation  
 436 reaches its maximum,  $\eta(x_f, t_f) = A_{cr}$ , i.e. where and when the wave train *focuses*. Whittaker (2017) noted that  
 437 whenever a focused wave group is generated by a wavemaker that moves according to the NewWave linear  
 438 theory spurious waves arise. To prevent this, the second-order wave generation theory proposed by Madsen  
 439 (1971) is used here. Correction for bound-long waves is neglected in the present application.

440 The generation and propagation of the focused wave at a desired focus location is validated by running a 2-D  
 441 simulation without the WEC. The focused wave is generated using  $H_f = 1.31$  m,  $T_p = 5.30$  s and  $d = 5.40$  m (obtained  
 442 after the 1:10 Froude scaling of the sea depth). The free-surface elevation measured numerically with  
 443 DualSPHysics at  $x_f = 15.00$  m is compared with the second-order analytical solution given by Madsen (1971) in  
 444 Fig. 7. The crest-focused wave reaches the focus location, where the mid-point of the device will be placed, at  
 445  $t_f = 18.30$  s. The matching between the numerical and theoretical free-surface elevation is quantified by means of  
 446 the index of agreement defined in Section 3. By applying Eq. (13) to the time series of  $\eta$  shown in Fig. 7, a value  
 447 of  $d_I = 0.86$  is obtained, which validates the generation and propagation of the focused wave with DualSPHysics.

448

449 **Fig. 7.** Numerical and theoretical time series of the free-surface elevation at  $x_f$ .

450

## 451 5.2. Numerical tank and setup of the cases

452 Fig. 8 depicts a lateral view of the 3-D numerical tank employed for the simulations hereinafter. As in the  
 453 previous cases, the tank width is twice the diameter of the buoy, and periodic boundary conditions are applied  
 454 to the lateral walls. Nevertheless, the still water level is now at  $d = 5.40$  m above the sea bottom, and the mid-  
 455 point of the device is placed 15.00 m away from the wavemaker. In addition, a different anti-reflective beach  
 456 has to be arranged at the end of the tank because of the high energetic content of the wave to be absorbed. To  
 457 guarantee an adequate wave dissipation, a 1:3 steep beach (beginning at 5.00 m from the axis of the buoy) acts  
 458 together with a numerical damping, as defined in Eqs. (10) and (11). The wavemaker is a piston-type one that  
 459 moves according to a steering function, which guarantees that the focused wave described in the previous section  
 460 focuses at  $x_f$  (Fig. 7).

461

462 **Fig. 8.** Numerical tank configuration for the different cases in the survivability study.

463

464 Fig. 8 also illustrates the different depths to submerge the device, being  $H_f = 1.31$  m as explained in the previous  
 465 section. Six different cases are considered in the survivability study. In all of them the PTO system is temporarily  
 466 switched off to avoid an eventual damage to the most expensive and fragile part of the WEC, which means  
 467  $b_{PTO} = 0$  Ns/m. The loads exerted on the device are measured for the different scenarios that differ about the  
 468 degrees of freedom of motion and the location of the device. Table 4 helps to define the different scenarios,  
 469 where they are named with an upper-case letter and a number. The letter refers to the different levels of  
 470 submergence, denoting A, B, and C that the centre of mass of the device is at still water level (SWL), submerged  
 471 1.42 m below SWL, or submerged 2.73 m below SWL, respectively. The number that follows refers to the

472 degrees of freedom of the device, being 1 only-heave motion and 2 all degrees of freedom restricted, i.e. the  
473 device is completely fixed.

474 **Table 4.** Setup of the different cases.

475

476 When the buoy is fully submerged, the difference between the upward buoyancy force (equal to the weight of  
477 the displaced fluid) and the downward force due to its own weight results in a vertical net force  $F_{net}$ . Since the  
478 density of the buoy is half the density of the fluid, the net force is positive (upward) and equal to the weight of  
479 the buoy:  $F_{net}=212$  N. In the cases B1 and C1, the device is fully submerged and heaving around the desired  
480 depth, thus it is necessary to have a downward force that balances the upward net force in still water.  
481 Numerically, it can be modelled as an elastic force ( $F_s$ ) using:

$$482 \quad F_s(t) = -k_s(l(t) - l_{eq}) \quad (28)$$

483 such that  $F_s(t=0)=-F_{net}$  and that the spring length  $l(t)$  is longer than the equilibrium length,  $l_{eq}$ , during the  
484 simulation to guarantee that the spring force direction remains unchanged. Setting the spring stiffness to  $k_s=321$   
485 N/m, these requirements are satisfied, and the buoy is able to oscillate at the desired depth.

486

### 487 5.3. Results

488 The focused wave presented in Section 5.1 is simulated for each scenario described in Table 4 using the  
489 numerical tank shown in Fig. 8. The forces acting on the device in each case are calculated using the post-  
490 processing tools of DualSPHysics. Figs. 9 and 10 show the time series of the forces in the  $x$  (longitudinal  
491 direction) and  $z$  (vertical direction) axis, respectively, along with the theoretical time series of the free-surface  
492 elevation at  $x_f$  in the secondary axis. For the sake of clarity, the results are split into two plots in both figures,  
493 corresponding to the cases where heave motion is allowed (a) and where the device remains fixed (b). Note that,  
494 since the focused wave is unidirectional (along the  $x$ -axis) and the geometry of the buoy is axially symmetric,  
495 the force acting in the  $y$ -axis is not taken into account.

496 As shown in Fig. 9, the time series of the force in the  $x$ -direction,  $F_x$ , follows the trend of the free-surface  
497 elevation,  $\eta$ . The maximum values of the horizontal force take place approximately during the peaks of the  
498 elevation time series. Fully submerging the buoy significantly reduces the maximum amplitude of  $F_x$ , since it is  
499 lower for cases B and C than for cases A. This difference in the behaviour of  $F_x$  with the submergence is due to  
500 the variation of the longitudinal acceleration of the fluid in the vertical direction. Comparing the results of  $F_x$   
501 for the heaving and fixed devices initially placed at the same depth, the magnitude of  $F_x$  is lower when the device  
502 is fixed. However, the effect of holding the device fixed is minimized significantly when the WEC is completely  
503 submerged.

504

505 **Fig. 9.** Time series of the forces in the  $x$ -direction ( $F_x$ ) acting on the heaving (a) and fixed (b) device for each case.

506

507 Fig. 10 shows that the forces in the  $z$ -direction,  $F_z$ , oscillate around zero when the device is initially at SWL and  
508 around the value of the vertical net force ( $F_{net}=212$  N) when it is fully submerged, since the density of the floater  
509 is lower than the density of the water. Although a slightly lower amplitude of  $F_z$  is observed for case C1, the  
510 values of the vertical force are very similar for the cases when the device is completely submerged (cases B1,  
511 B2, C1, C2), regardless of whether it remains fixed or it oscillates. However, comparing the results of  $F_z$  for the  
512 heaving and fixed device initially semi-submerged (cases A1 and A2, respectively), a great difference can be  
513 observed in Fig. 10. As a matter of fact, configuration A1 minimises the vertical force, while configuration A2  
514 maximises it.

515

516 **Fig. 10.** Time series of the forces in the  $z$ -direction ( $F_z$ ) acting on the heaving (a) and fixed (b) device for each case.

517

518 In absence of any stronger physical phenomenon, the behaviour of  $F_z$  is driven by the vertical acceleration of  
 519 the fluid particles during wave propagation. This acceleration is in antiphase with the wave free-surface  
 520 elevation, so that  $F_z$  will be in antiphase with  $\eta$  as well. Different situations can be found in Fig. 10. Case A1  
 521 (where the WEC is moving at SWL) and cases B2 and C2 (where the WEC is fixed at a certain depth) follow  
 522 the general behaviour mentioned before, i.e.,  $F_z$  is in antiphase with  $\eta$ . However, in cases B1 and C1 (where the  
 523 WEC is submerged and heaving), the spring force, needed to keep the device oscillating at the given depth,  
 524 slightly shifts  $F_z$ , being consequently in phase with the heave motion.

525 The atypical behaviour of the forces observed for case A2 (fixed device at SWL) deserves a more detailed  
 526 explanation. Fig. 7 showed that the absolute maximum and minimum values of the free-surface elevation are  
 527 clearly higher than the height of the buoy. Therefore, when the device is fixed at SWL, the focused wave crest  
 528 leads to a huge and sudden overtopping, whereas the troughs cause the free surface to be below the bottom of  
 529 the cylinder. In this way, the forces acting on the WEC increase suddenly during the crest of the focused wave.  
 530 On the other hand, the only force acting on the device during the troughs is its own weight, which explains the  
 531 interval of time observed in Figs. 9 and 10 during which  $F_x$  and  $F_z$  are constant, specifically at  $F_x=0$  N and  
 532  $F_z=212$  N. It is also worth noting that there is an instant, after the wave crest has passed the buoy and before the  
 533 next trough arrives, in which the device is also bearing the weight of the overtopping water that remains on its  
 534 top surface, leading to the negative peaks of  $F_z$ .

535 The analysis of the forces alone does not clearly determine the best and worst-case scenario. If only forces in  
 536 the  $x$ -axis are considered, case A1 would seem to be the most harmful to the structure. However, case A1 would  
 537 be the least harmful when only vertical forces are considered. Thus, a criterion that takes into account both  
 538 contributions is needed.

539 The structure considered in the present paper is a simplification of the one depicted in Zang et al. (2018), which  
 540 assumes that the buoy is connected to the seabed by means of a clamped rod of circular cross-section. In this  
 541 manner, it is possible to characterise the effects of the wave field on the buoy and its structure by performing an  
 542 elastic verification based on the yield criterion. The Designers' Guide to EN 1993-1-1 Eurocode 3 defines the  
 543 yield criterion for a critical point of a steel cross-section in the following general way:

$$544 \left( \frac{\sigma_x}{f_y/\gamma_{M0}} \right)^2 + \left( \frac{\sigma_z}{f_y/\gamma_{M0}} \right)^2 - \left( \frac{\sigma_x}{f_y/\gamma_{M0}} \right) \cdot \left( \frac{\sigma_z}{f_y/\gamma_{M0}} \right) + 3 \cdot \left( \frac{\tau}{f_y/\gamma_{M0}} \right)^2 \leq 1 \quad (29)$$

545 where  $\sigma_x$  is the longitudinal local stress,  $\sigma_z$  is the transverse local stress,  $\tau$  is the local shear stress,  $f_y$  is the yield  
 546 stress of the material and  $\gamma_{M0}$  is the partial factor, which is taken as 1. Since the structure considered here is a  
 547 slender rod of circular cross-section, the transverse and shear stresses are negligible compared with the  
 548 longitudinal stress. Thus, the yield criterion in the present application is simply given by:

$$549 \left( \frac{\sigma_x}{f_y} \right)^2 \leq 1 \quad (30)$$

550 where the longitudinal local stress is defined as:

$$551 \sigma_x = \frac{F_z}{A_{rod}} + \frac{F_x l_{arm}}{W_{rod}} \quad (31)$$

552 being  $A_{rod}=\pi D_{rod}^2/4$  the cross-section area,  $W_{rod}=\pi D_{rod}^3/32$  the elastic section modulus,  $D_{rod}$  the diameter of the  
 553 rod and  $l_{arm}$  the lever arm (distance between the point of application of the forces in the floater and the base of  
 554 the rod). A value of the yield criterion (Eq. 30) higher than 1 indicates a failure of the structure under the load  
 555 produced by the event considered in the survivability analysis. Eq. (31) shows that the elastic verification

556 considers the contribution of both  $F_x$  and  $F_z$ . Nevertheless, since  $l_{arm} \gg D_{rod}$  and therefore  $W_{rod}/l_{arm} \ll A_{rod}$ , its  
557 behaviour is dominated by the term containing  $F_x$ .

558 The time series of the yield criterion for each scenario are obtained assuming a rod made of S235 steel ( $f_y=235$   
559 MPa) and for different values of  $D_{rod}$ . The maximum value for each case is presented in Table 5. If the diameter  
560 of the rod is 40 mm and the buoy is heaving at SWL (case A1), the maximum value of the yield criterion is very  
561 close to 1 and therefore, the structure of the WEC could collapse under the extreme event considered here. To  
562 avoid this, three strategies are studied: i) fixing the buoy, ii) submerging the buoy, and iii) increasing the rod  
563 diameter of the structure. Table 5 shows that when the device is initially placed at SWL, restraining all its  
564 movements reduces by approximately one third the value of the yield criterion. Submerging the buoy 1.42 m  
565 below SWL (cases B1 and B2) reduces over thirteen times the maximum yield criterion, which proves that the  
566 common practice of submerging the device is highly effective. If the initial depth of submergence is increased  
567 from 1.42 to 2.73 m below SWL (cases C1 and C2), the maximum yield criterion is approximately halved, which  
568 is, in fact, a very slight reduction compared with the one obtained between cases A and B. The elastic verification  
569 can also be satisfied by increasing the diameter of the rod. However, an increase of 50% in the rod diameter  
570 (from  $D_{rod}=40$  mm to  $D_{rod}=60$  mm) is needed in order to achieve values of the yield criterion similar to those  
571 obtained when fully submerging the buoy.

572 **Table 5.** Maximum values of yield criterion for each case.

573

574 The most effective strategy to reduce the wave-induced effects caused by an extreme event on the system is to  
575 submerge the device such that the top surface of the buoy is initially  $H_f$  below SWL (cases B1 and B2). Increasing  
576 the initial depth of immersion (cases C1 and C2) would require an extra economic cost very difficult to justify,  
577 since the associated reduction of the yield criterion is minimum. Fixing the device (case B2) reduces slightly the  
578 maximum yield criterion with respect to the heaving device (case B1), thus the costs and reliability of the  
579 mechanical systems needed in each case should be considered when making that choice.

580

## 581 **6. Conclusions**

582 The hydrodynamic response of a point-absorber under regular waves can be accurately obtained with  
583 DualSPHysics. The numerical results for different configurations of the PTO system match satisfactorily the  
584 experimental results for a given regular wave condition. Once validated, it has been shown that DualSPHysics  
585 provides a unique framework to study numerically two key aspects in the design of a WEC: efficiency and  
586 survivability under eventual extreme wave conditions.

587 The power captured by the point-absorber as well as its energetic efficiency have been obtained from the time  
588 series of the device motion for a wide range of regular waves, and for several values of the damping coefficient  
589  $b_{PTO}$ . It has been shown that when the WEC operates near its resonance condition, the efficiency is maximised.  
590 However, the wave frequency at which the absorbed power reaches its maximum depends on the value of  $b_{PTO}$ :  
591 it approaches the natural frequency (resonance condition) as  $b_{PTO}$  decreases. The analysis has also proven that  
592 there is a certain configuration of the PTO system that maximises both the absorbed power and the efficiency  
593 for each wave condition. In particular, the optimum  $b_{PTO}$  value is here between 60 and 240 Ns/m when the point-  
594 absorber is operating close to resonance and, it can be also observed that, the further away from this condition  
595 the higher the optimum value of  $b_{PTO}$ .

596 The survivability analysis has been conducted by means of a focused wave, whose characteristics are defined  
597 from the design spectrum corresponding to a certain limit state and lifetime of a device, placed at a specific  
598 location. DualSPHysics has been used to generate and propagate the desired focused wave, and the forces acting  
599 on the WEC were numerically computed. The yield criterion quantifies the effect of the loads exerted by the  
600 extreme waves on the highly-simplified structure of the WEC for each scenario. It was shown that fully  
601 submerging the device when an extreme event occurs is more effective than fixing the device or increasing the

602 size of the structure. Results for the two different depths of submergence show only a slight improvement when  
603 submerging the device significantly deeper. This indicates the existence of an optimum depth of submergence.  
604 However, its calculation would require a more extensive analysis as well as considering economic factors and  
605 its environmental impact.

606

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616

## 617 **Nomenclature**

618  $a, b$ : generic fluid particles

619  $A_{cr}$ : maximum free-surface elevation of the focused wave (m)

620  $A_{rod}$ : cross-section area of the rod (m<sup>2</sup>)

621  $A_{wet}$ : wetted surface (m<sup>2</sup>)

622  $b_{PTO}$ : damping coefficient of the PTO system (N·s/m)

623  $c$ : numerical speed of sound (m/s)

624  $C$ : numerically obtained value of a generic variable

625  $d$ : depth (m)

626  $d_I$ : index of agreement

627  $D$ : diameter (m)

628  $dp$ : initial interparticle distance (m)

629  $D_{rod}$ : rod diameter (m)

630  $E$ : experimental or theoretically obtained value of a generic variable

631  $f$ : force per unit of mass (m/s<sup>2</sup>)

632  $f_y$ : yield stress of the material (Pa)

633  $F_{net}$ : vertical net force (N)

634  $F_{PTO}$ : force exerted by the PTO system (N)

635  $F_s$ : spring force (N)

636  $F_w$ : Weibull distribution of exceedance of wave height

637  $F_x$ : force in the  $x$ -direction (N)

638  $F_z$ : force in the  $z$ -direction (N)

639  $g$ : gravitational acceleration (m/s<sup>2</sup>)

640  $h$ : smoothing length (m)

641  $H$ : wave height (m)

642  $H_d$ : design wave height (m)

643  $H_f$ : focused wave height (m)

644  $H_s$ : significant wave height (m)

645  $I$ : moment of inertia of the floating object (kg·m<sup>2</sup>)

646  $J$ : wave power per meter of width of the wave front (J/m)

647  $k$ : wavenumber (rad/m)

648  $k_s$ : spring stiffness (N/m)  
649  $K_R$ : reflection coefficient  
650  $l$ : spring length (m)  
651  $L$ : wavelength (m)  
652  $l_{arm}$ : lever arm (m)  
653  $l_{eq}$ : equilibrium length (m)  
654  $L_{WEC}$ : lifetime of the WEC (years)  
655  $m$ : mass (kg)  
656  $M$ : mass of the floating object (kg)  
657  $m_{add}$ : added mass (kg)  
658  $p$ : pressure (Pa)  
659  $P_a$ : averaged power captured by the device (J)  
660  $P_{a,max}$ : theoretical maximum absorbed power by the device (J)  
661  $P_{abs}$ : instant wave power captured by the device (J)  
662  $P_{ann}$ : annual exceedance probability  
663  $P_L$ : exceedance probability  
664  $P_w$ : available wave power contained within the width of the device (J)  
665  $q$ : generic floating particle  
666  $r$ : position (m)  
667  $\mathbf{R}$ : the centre of mass of the floating object (m)  
668  $S$ : sea state power density spectrum ( $m^2 \cdot s$ )  
669  $t$ : time (s)  
670  $T$ : wave period (s)  
671  $t_f$ : time when the focused wave reaches its maximum free-surface elevation (m)  
672  $T_p$ : peak period (s)  
673  $Ur$ : Ursell number  
674  $v$ : velocity (m/s)  
675  $V$ : linear velocity of the floating object (m/s)  
676  $v_{a,0}$ : initial velocity of fluid particle  $a$  (m/s)  
677  $v_z$ : heave velocity (m/s)  
678  $x_0$ : initial longitudinal position of the numerical damping zone (m)  
679  $x_l$ : final longitudinal position of the numerical damping zone (m)  
680  $x_a$ : longitudinal position of fluid particle  $a$  (m)  
681  $x_f$ : position at which the focused wave reaches its maximum free-surface elevation (m)  
682  $x, y, z$ : Cartesian coordinates (m)  
683  $W$ : kernel function  
684  $W_{rod}$ : elastic section modulus ( $m^3$ )  
685  $Z$ : heave displacement (m)  
686  
687 *Greek letters*  
688  $\alpha$ : beach slope  
689  $\beta$ : reduction function coefficient  
690  $\alpha_w, \beta_w$  and  $\gamma_w$ : Weibull distribution parameters  
691  $\gamma$ : polytropic constant  
692  $\gamma_{MO}$ : partial factor of the cross-section  
693  $\eta$ : free-surface elevation (m)  
694  $\lambda$ : average number of storms in a year  
695  $\Pi$ : artificial viscosity ( $m^5/kg \cdot s^2$ )  
696  $\rho$ : density ( $kg/m^3$ )



697  $\rho_0$ : reference density (kg/m<sup>3</sup>)  
698  $\sigma^2$ : variance of the discrete irregular sea state (m<sup>2</sup>)  
699  $\sigma_x$ : longitudinal local stress (Pa)  
700  $\sigma_z$ : transverse local stress (Pa)  
701  $\tau$ : local shear stress (Pa)  
702  $\Omega$ : rotational velocity of the floating object (s<sup>-1</sup>)  
703  $\omega$ : the angular wave frequency (rad/s)  
704  $\omega_0$ : natural frequency (rad/s)  
705

## 706 *Acronyms*

707 BEM: Boundary Element Method  
708 CFD: Computational Fluid Dynamics  
709 CW: Capture Width  
710 CWR: Capture Width Ratio  
711 PTO: Take-Off system  
712 SPH: Smoothed Particle Hydrodynamics  
713 SWL: Still Water Level  
714 WCSPH: Weakly Compressible Smoothed Particle Hydrodynamics  
715 WEC: Wave Energy Converter  
716

717

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