



- 1 Article
- 2 Validation of RANS modelling for wave interactions
- <sup>3</sup> with sea dikes on shallow foreshores using a large-
- 4 scale experimental dataset
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20 Abstract: In this paper a RANS solver, interFoam of OpenFOAM®, is validated for wave 21 interactions with a dike, including promenade and vertical wall, on a shallow foreshore. Such a 22 coastal defence system is comprised of both an impermeable dike and a beach in front of it, forming 23 the shallow foreshore depth at the dike toe. This case necessitates the simulation of several processes 24 simultaneously: wave propagation, -breaking over the beach slope, and -interactions with the sea 25 dike, consisting of wave overtopping, bore interactions on the promenade, and bore impacts on the 26 dike-mounted vertical wall at the end of the promenade (storm wall or building). The validation is 27 done using rare large-scale experimental data. Model performance and pattern statistics are 28 employed to quantify the ability of the numerical model to reproduce the experimental data. In the 29 evaluation method, a repeated test is used to estimate the experimental uncertainty. The solver 30 interFoam is shown to generally have a very good model performance rating. A detailed analysis of 31 the complex processes preceding the impacts on the vertical wall proves that a correct reproduction 32 of the horizontal impact force and pressures is highly dependent on the accuracy of reproducing 33 the bore interactions.

34 Keywords: validation; wave modelling; shallow foreshore; dike-mounted vertical wall; wave
 35 impact loads; OpenFOAM

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# 37 1. Introduction

Low elevation coastal zones often have mildly to steeply-sloping sandy beaches as part of their coastal defence system. For countries in north-western Europe, coastal urban areas typically have high-rise buildings close to the coastline. These buildings are usually fronted by a low-crested, steepsloped and impermeable sea dike with a relatively short promenade, where the long (nourished) beach in front of the dike acts as a mildly sloping shallow foreshore. This type of coastal defence

43 system therefore combines hard and soft coastal protection against flooding. Such hybrid approaches

44 are regarded by the IPCC with high agreement as a promising way forward in terms of response to 45 sea level rise [1]. Along the cross-section of this hybrid beach-dike coastal defence system, storm 46 waves undergo many transformation processes before they finally hit the buildings on top of the 47 dike. Along the shallow waters of the mildly sloping foreshore in front of the dike, sea/swell or short 48 waves (hereafter SW,  $O(10^{1} \text{ s})$ ) shoal and eventually break, transferring energy to both their super-49 and subharmonics (or long waves: hereafter LW,  $O(10^2 \text{ s})$ ) by nonlinear wave-wave interactions. 50 Further pre-overtopping hydrodynamic processes along the mildly sloping foreshore include: wave 51 dissipation by breaking (turbulent bore formation) and bottom friction, reflection against the 52 foreshore and dike, and wave run-up on the dike slope. Finally, waves overtop the dike crest and 53 post-overtopping processes include: bore propagation on the promenade, bore impact on a wall or 54 building, and reflection back towards the sea interacting with incoming bores on the promenade.

55 For the (structural) design of storm walls or buildings on such coastal dikes, the wave impact 56 force expected for specific design conditions needs to be estimated. Semi-empirical formulas, mostly 57 based on physical model tests, are commonly used in practice to assess wave forces and pressures on 58 coastal defences, at least in a preliminary design phase. However, semi-empirical formulas are 59 usually restricted within very specific ranges of application, currently limiting force prediction to 60 dikes with deep foreshore depths [2,3]. Such formulas do exist for dikes with very/extremely shallow 61 foreshore depths as well [4,5], but their application is also strictly limited. For the final design, 62 therefore, often detailed experimental campaigns are required [6]. Alternatively, during the last 63 decade numerical modelling of these combined processes has become feasible [7–11,3]. Numerical 64 modelling is also able to provide a detailed and accurate assessment of a specific case. Moreover, 65 numerical models can provide information on physical quantities that are difficult to measure in a 66 scaled model or in prototype (e.g. detailed velocity fields, pressure distributions, etc.).

67 To study fully two-dimensional vertical (2DV) complex fluid flows, Computational Fluid 68 Dynamics (CFD) techniques are typically applied. Relatively new mesh-free Lagrangian numerical 69 methods, such as Smoothed Particle Hydrodynamics (SPH) [12] and the particle finite element 70 method (PFEM) [13], have been recently validated and applied to several coastal engineering 71 problems [14,15,9,16,17], showing much promise. However, differently from Eulerian grid-based 72 methods, multi-phase air-fluid SPH models are still quite scarce and have a high computational cost 73 [18]. The more traditional Eulerian numerical methods are already more consolidated. For example, 74 volume-of-fluid methods (VOF) based on the Reynolds-Averaged Navier-Stokes equations (RANS) 75 have been widely employed during the last decades. Using RANS models, processes such as wave 76 transformation [19,8,20], wave overtopping [21,7,22], and wave impact on coastal structures [23-26,3] 77 have been modelled and validated, but never before at the same time (to the knowledge of the 78 authors). They are computationally very expensive to apply, but have shown their value particularly 79 for wave-structure interaction phenomena involving complex geometries. In addition, two-phase 80 water-air RANS models allow taking the effects of air entrapment on the wave impact processes into 81 account [27,28].

82 Validation of numerical models is crucial before they can be reliably applied. Even though plenty 83 of works have been published on numerical modelling and validation of individual processes 84 previously listed, there is still a lack of literature about RANS model validation for wave impacts on 85 sea dikes and dike-mounted walls in presence of a very shallow foreshore. The main goal of this 86 paper is to validate a two-phase (water-air) RANS model for this specific case. Such a modelling 87 approach is deemed necessary to fully resolve the 2DV complex fluid flows of overtopped waves and 88 bore interactions on top of the promenade. The RANS solver (interFoam) for two incompressible 89 fluids within the open source CFD toolbox OpenFOAM® is chosen because of its increasing 90 popularity for application to wave-structure interactions. Validation of this numerical model is done 91 by reproducing large-scale experiments of overtopped wave impacts on coastal dikes with a very 92 shallow foreshore from the WALOWA project [29]. The large-scale nature of these experiments 93 reduces the scale effects significantly compared to small-scale experiments, which can be particularly 94 of importance to the wave impacts on the dike-mounted vertical wall, especially in case of plunging 95 breaking bore patterns and impulsive impacts [30].

96 The paper is structured as follows. First the methods used in the paper are explained in section 97 2, starting with the experimental model setup and a description of the tests used for the validation.

- 98 This is followed by a description of the applied RANS model and the numerical model setup. Finally,
- 99 the statistical model performance methods applied in this study are discussed. Next, in section 3 the
- 100 results of the qualitative and quantitative numerical model validation are provided, including a
- 101 comparison of model snapshots at key time instants during impacts on the vertical wall. This is finally
- 102 followed by section 4 with a discussion on these results and the conclusions in section 5.

#### 103 2. Methods

#### 104 2.1. Large-Scale Laboratory Experiments

105 The laboratory experiments (Froude length scale 1/4.3) were done during the research project 106 WALOWA (WAve LOads on WAlls) in the Deltares Delta Flume, which is 291 m long, 9.5 m deep 107 and 5 m wide. This wave flume is equipped with a piston-type wave maker capable of up to second-108 order wave generation (in the frequency range 0.02 Hz - 1.50 Hz) and includes Active Reflection 109 Compensation (ARC), which is an Active Wave Absorption (AWA) system to minimise reflections 110 against the wave paddle. For a detailed description of the model setup, reference is made to Streicher 111 et al. [29]. The WALOWA dataset is open access and is described by Kortenhaus et al. [31].

112 The model geometry consisted of a moveable sandy foreshore with a transition slope of 1:10 and

113 a slope of 1:35 up to the toe of the dike (Figure 1). The smooth impermeable concrete dike had a front

114 slope of 1:2, a promenade width of 2.35 m with an inclination of 1:100 in order to help drain the water

115 in case of wave overtopping, and finally a 1.60 m high wall. The wall height was designed to be high

116 enough to prevent wave overtopping during testing, but small amounts of overtopped water could

117 still be returned via a recirculation drainage pipe behind the wall.



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Figure 1. Overview of the geometrical parameters of the wave flume and WALOWA model set-up, 120 with indicated wave gauge locations.

121 The WALOWA dataset includes both bichromatic and irregular wave tests. For validation of the 122 numerical model, the bichromatic wave test Bi\_02\_6 (EXP) and its repetition Bi\_02\_6\_R (REXP) were 123 selected (Table 1). The bichromatic wave tests have the advantage to be relatively short in time, while 124 still considering the effects of wave dispersion and bound LWs, and is therefore more representative 125 of irregular waves than monochromatic waves. In this way, even numerical models with a high 126 computational demand are able to simulate the tests at a reasonable amount of computational time. 127 This specific bichromatic wave test was chosen because it is the only test that was conducted shortly 128 after a foreshore profile measurement and at the same time immediately followed by its repetition 129 and another foreshore profile measurement [32]. Since these bichromatic wave tests are relatively

130 short in duration and only limited changes ( $O(10^{-2} \text{ m})$ ) were noted between the profile measurements

before and after, a fixed bed is a reasonable assumption for the numerical modelling. In addition, the repeated test makes a validation of the numerical model possible relative to the experimental uncertainty.

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significant wave height,  $R_c$  the dike crest freeboard,  $f_i$  the SW component frequency,  $a_i$  the SW component amplitude and  $\delta (= a_2/a_1)$  the modulation factor.

TestID	Duration	<i>h</i> 0	<i>h</i> t	ht/Hm0,0	<i>R</i> c	<i>f</i> 1	<i>a</i> 1	<i>f</i> <sub>2</sub>	a2	δ
[-]	[s]	[m]	[m]	[-]	[m]	[Hz]	[m]	[Hz]	[m]	[-]
Bi_02_6 (EXP) & Bi_02_6_R (REXP)	209	4.14	0.43	0.33	0.117	0.19	0.45	0.155	0.428	0.951

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139 During these tests, three bichromatic wave groups were generated with first order wave control 140 over 125 s, including 10 s of tapering at the beginning and end of the wave generation. Plunging 141 breakers occurred on the 1:10 transition slope (i.e. deep water Iribarren number  $\xi_0 = \tan \alpha/(H/L_0)^{1/2}$ 142 with  $\alpha$  the foreshore slope angle, H the wave height and  $L_0$  the deep water wave length [33]:  $0.5 < \xi_0$ 143  $\approx 0.7 < 3.3$ ) and spilling breakers on the 1:35 foreshore slope ( $\xi_0 \approx 0.2 < 0.5$ ). Considering this was a test 144 of a dike with a very shallow foreshore depth (Table 1:  $0.3 < h_t/H_{m0,o} < 1.0$  [34]), the wave energy at the 145 toe of the dike was dominated by LW energy.

146 The measurement setup consisted of instruments to measure the water surface elevation along 147 the flume and on the promenade, the velocity of the overtopped flow on the promenade and the 148 impact pressure and force on the vertical wall (Figure 2). All measurements were sampled at 1000 Hz 149 frequency and synchronized in time.

150 The water surface elevation  $\eta$  (with the vertical origin at  $z = h_0$ ) was measured with resistance 151 type wave gauges (WG) deployed at seven different locations along the Delta Flume side wall (Figure 152 1 and Figure 2a). WG02-WG04 were installed over the flat bottom part of the flume close to the wave 153 paddle. These wave gauges were positioned to allow a reflection analysis following the method of 154 Mansard and Funke [35]. WG07 was installed along the transition slope; WG11 and WG13 along the 155 foreshore slope. WG14 was installed close (~0.35 m) to the dike toe. The data of WG11 is not 156 considered further in the present analysis, because of faulty data. Furthermore, to remove unwanted 157 noise in the  $\eta$  signals measured by the other WG's from the wave paddle up to the dike toe, a low-158 pass 3<sup>rd</sup> order Butterworth filter with a cut-off frequency of 1.50 Hz was applied. This frequency is 159 well above the frequencies of the super-harmonics of the primary waves and frequency components 160 due to triad interactions between the primary components and the difference frequency, which gain 161 energy in the shoaling and surf zone [36].

162 Flow layer level measurements  $\eta$  on the promenade were obtained by four resistance type Water 163 Level Distance Meters (WLDM01 - WLDM04, Figure 2d). Flow velocity measurements on the 164 promenade were obtained by four Paddle Wheels (PW01 - PW04, Figure 2b), measuring the 165 horizontal flow velocity  $U_x$  in one direction (i.e. towards the wall) 0.026 m above the promenade. 166 Additionally, a bidirectional Electromagnetic Current Meter (ECM, Figure 2c) was installed at the 167 same cross-shore location as WLDM02 and PW02 to get directional information of the incoming or 168 reflected flow. The ECM disc was positioned 0.03 m above the promenade and sampled the 169 horizontal velocity at 16 Hz. Further detailed information on the sensor setup on the promenade and 170 the post-processing of the  $\eta$  and  $U_x$  data measured on top of the promenade was provided by 171 Cappietti et al. [37]. During return flow, positive  $U_x$  values were possibly incorrectly measured by 172 the PWs, indicated by the ECM that measured negative  $U_x$  values during return flow (compared to 173 the measurements of the co-located PW02). This will be further discussed when comparing with the 174 numerical model result (section 3.1). However, no such co-located measurements are available for 175 other paddle wheels than PW02, so that no correction of the PW measurements during return flows

176 was attempted.

<sup>134</sup>**Table 1.** Hydraulic parameters for the WALOWA bichromatic wave test (EXP) and its repetition135(REXP):  $h_0$  is the offshore water depth,  $h_t$  the water depth at the dike toe,  $H_{m0,o}$  the incident offshore



178Figure 2. (a) WGs deployed along the flume side wall to measure  $\eta$ ; (b) PWs; (c) ECM to measure  $U_x$ ;179(d) WLDMs installed on the promenade to measure  $\eta$ ; (e) Hollow steel profile attached to two LCs180and (f) aluminium plate equipped with pressure sensors (PS) to measure  $F_x$  and p respectively.

181 The overtopped wave impacts on the wall were measured by horizontal force  $F_x$  and pressure p 182 measurement systems integrated into the wall. The horizontal impact force was measured by two 183 compression type load cells (LC) connecting the same hollow steel profile to the very stiff supporting 184 structure (Figure 2e). Impact pressures were measured by 15 pressure sensors (PS). The first 13 PSs 185 were spaced vertically over a metal plate flush mounted in the middle section of the steel wall, with 186 PS14 and PS15 placed horizontally next to PS05 or the fifth PS from the bottom (Figure 2f). The initial 187 post-processing of the  $F_x$  and p signals, including baseline correction and filtering, is discussed by 188 Streicher [38]. Additional filtering is applied to remove the high frequency oscillations caused by 189 stochastic processes during dynamic or impulsive impacts, so that the signal can be reproduced by a 190 deterministic numerical model [39]. To achieve this, an additional 3<sup>rd</sup> order Butterworth low-pass 191 filter with a cut-off frequency of 6.22 Hz was necessary. This corresponds to a cut-off frequency of 3.0 192 Hz at prototype scale, which is still well above the natural frequency of about 1.0 Hz for typical 193 buildings found along e.g. the Belgian coast [40]. Furthermore, local spatial variability over the width 194 of the flume of the resultant  $F_x$  (i.e. derived from the LCs and pressure integrated) and p (i.e. PS05, 195 PS14 and PS15) time series was found to be low (not shown). This spatial variability over the width 196 of the experimental flume is therefore further neglected in the quantitative numerical model 197 validation: for  $F_x$  the LC-derived signal is used and for p the PS05 signal is used.

198 2.2. Numerical model

# 199 2.2.1. Model description

In this work OpenFOAM v6 [41] is applied and validated, or more specifically interFoam, a solver of the Reynolds-Averaged Navier-Stokes (RANS) equations, where the advection and sharpness of the water-air interface is handled by an algebraic VOF method [42] based on MULES [43–45]. InterFoam with MULES has already been successfully applied before for wave propagation [44], wave breaking [20,46–49], wave run-up [20,49], wave overtopping [50,51] and bore impact on a vertical wall [26].

Several open source contributions of boundary conditions for wave generation and absorption exist for interFoam, of which the main developments are: IHFOAM [52], olaFlow [53] and waves2Foam [54]. In the present study, olaFlow was chosen, which was found to be the most computational efficient [52,55,56] and feature complete package at the time of the simulations presented in this paper.

The turbulence is modelled by the k- $\omega$ SST turbulence closure model [57], which has been shown to be one of the most proficient in modelling wave breaking [46]. Two-equation turbulence closure models are known to cause over-predicted turbulence levels beneath computed surface waves, leading to unphysical wave decay for wave propagation over constant water depth and long distance [58,59,48]. Turbulence modelling was therefore stabilized in nearly potential flow regions by Larsen and Fuhrman [48], with their default parameter values [60]. Hereafter, the OpenFOAM numericalmodel as presented here is simply referred to as OF.

### 218 2.2.2. Computational domain and mesh

Wave breaking is an inherently three-dimensional (3D) process due to the formation of 3D vortices extending obliquely downward in the inner surf zone [61]. Even so, many examples exist where the wave kinematics during wave breaking could be approximated well by vertical twodimensional (2DV) RANS modelling [62,19,8,63,46,49,47,48]. To reduce the computational time as much as possible, OF is therefore applied in a 2DV configuration (i.e. cross-shore section of the wave flume).

- The OF model domain (Figure 3) starts at the wave paddle zero position (x = 0.00 m) and ends on top of the vertical wall (x = 178.80 m). The bottom boundary is at its lowest point (z = 0.00 m) along the flume bottom between the wave paddle and the foreshore toe, and extends up to z = 7.20 m, well above the maximum measured surface elevations along the flume. The bottom is further defined by the measured foreshore and dike geometry as described in section 2.1. The vertical wall is included up to its height of 1.60 m including the top which was given a slight inclination towards the model boundary to allow overtopped water (limited to mainly spray in this case) to exit the model domain.
- 232 The computational domain is discretised into a structured grid. To optimise the computational 233 time, a variable grid resolution is applied, where a higher resolution is defined only where it is 234 necessary. This is mostly the areas of the model domain where the water-air interface is expected to 235 pass [45,55]. The expected location of the free surface along the flume during the entire test was 236 estimated first by a fast preliminary one-layer depth-averaged SWASH calculation (not shown: see 237 [64] for the SWASH model setup description). The minimum and maximum  $\eta$  along the flume and 238 over the complete test duration were used from the SWASH model result to define areas in which 239 mesh refinement should be done. These locations are delineated by the dotted lines in Figure 3, 240 defining several areas around the still water level (SWL). In front of the wave paddle, the refinement 241 area is slightly higher to accommodate the stabilisation of the newly generated waves, after which 242 the refinement zone can decrease in height when the waves have fully developed. Then the 243 refinement area is increased in height again to allow room for wave shoaling and incipient wave 244 breaking on the foreshore. The upper limit can subsequently be lowered again due to wave breaking, 245 but the lower limit is extended to include the bottom boundary. This is to resolve properly the 246 entrained air pockets that have been shown to travel towards the bottom during the breaking process 247 in the inner surf zone [65]. The height of the refinement zone on the dike was defined based on the 248 maximum measured water level in the experiment by the WLDM's on the promenade and extended 249 to the upper model boundary along the vertical wall to resolve the run-up and splashing against the 250 vertical wall.
- 251 In terms of the grid cell size in these refinement zones, about 20 cells are typically recommended 252 over the wave height *H* of a regular wave (i.e.  $H/\Delta z = 20$ , with  $\Delta z$  being the vertical cell size) [45,56]. 253 Applied to the wave heights of the primary wave components of the bichromatic wave in Table 1, a 254 minimal vertical cell size of  $\Delta z = 0.045$  m to 0.043 m is obtained. Smaller wave heights in the 255 bichromatic wave group are less resolved with this choice, but this is deemed acceptable because of 256 their relatively low steepness. A value of  $\Delta z = 0.045$  m was chosen, because the water depth at the 257 wave paddle  $h_0$  is divisible by it (i.e.  $h_0/\Delta z = 4.14/0.045 = 92$ ), meaning that the SWL can lie perfectly 258 along cell boundaries. Or in other words,  $\alpha$ -values between 0 and 1 are thereby minimised at the start 259 of the simulation, which simplifies the initialisation of the SWL and is beneficial for an effectively still 260 SWL at the start of the simulation.

The mesh maintains an aspect ratio  $\Delta x/\Delta z$  of 1 (with  $\Delta x$  being the horizontal cell size) throughout the entire computational domain, which has been shown necessary for accuracy [54,65,45] and numerical stability in this study. One exception is a higher aspect ratio along the bottom and wall, where layers were locally added to the mesh to resolve the boundary layer. Six layers were added over the vertical cell size along those boundaries, with a growth rate of 1.2, leading to a maximum aspect ratio of 18. 267 Outside the refinement zones, in the air and water phases, the mesh can be coarser [45,56]. The 268 structured mesh was given a base grid resolution of 0.18 m. This base resolution is multiplied by a 269 refinement ratio *r*, here defined as:

$$r = \frac{1}{2^{\beta}} , \qquad (1)$$

270 in which  $\beta$  signifies the refinement level. Each refinement level effectively refines every cell into four 271 new cells. The applied refinement levels are provided for each mesh subdomain in Figure 3. For the 272 air in the model domain the base resolution was assumed ( $\beta$ =0), except for a small area over the dike 273  $(\beta = 1)$ . In the water phase, refinement level 1 was assumed  $(\Delta x = \Delta z = 0.09 \text{ m})$  and was further refined 274 in the zone of the surface elevation up to the dike toe (level 2 or  $\Delta x = \Delta z = 0.045$  m). Close to the inlet 275 boundary, however, a lower refinement level was necessary for numerical stability ( $\beta$  = 1) over a very 276 short distance (0 m < x < 0.50 m) where locally high water velocities (i.e. low Courant numbers and 277 low time steps) at the interface can occur due to the wave generation. On the dike up to the wall, the 278 mesh was refined even more (level 3 or  $\Delta x = \Delta z = 0.0225$  m) to resolve thin layer flows, the complex 279 flows of bore interactions, and impacts on the vertical wall. In addition, a refinement level 3 was 280 necessary to resolve the experimental pressure sensor locations along the vertical wall.



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**Figure 3.** Definition of the OF 2DV computational domain, with coloured indication of the model boundary types. The still water level (SWL) is indicated in blue (z = 4.14 m). The number in each of the mesh subdomains of the model domain (demarcated by black dotted lines) is the refinement level  $\beta$  applied in each subdomain (for  $\beta = 0$ , 1, 2 and 3:  $\Delta x = \Delta z = 0.18$  m, 0.09 m, 0.045 m and 0.0225 m). Note: the axes are in a distorted scale.

The mesh was generated by applying the *cartesian2DMesh* algorithm of cfMesh [66], which resulted in a mesh with 318,381 cells, for the refinement levels indicated in Figure 3.

289 The adaptive time stepping is controlled by a predefined maximum Courant number maxCo (Co 290 =  $\Delta t |U| / \Delta X$ , where  $\Delta t$  is the time step, |U| is the magnitude of the velocity through that cell and  $\Delta X$ 291 is the cell size in the direction of the velocity [67]) and a maximum Courant number in the interface 292 cells maxAlphaCo. Generally maxCo = maxAlphaCo is chosen, as well as in this paper. Larsen et al. [44] 293 have shown that a relatively low maxCo (~0.05) is necessary to obtain a stable wave profile over more 294 than five wave periods propagation duration. Here, however, a maxCo of 0.25 is used to balance the 295 accuracy and computational costs. Since the primary waves of the bichromatic wave group only 296 propagate over about three wave lengths up to the mean breaking point location ( $x_b = -120$  m), this is 297 considered an acceptable assumption. Both the refinement level in the refinement zones around the 298 surface elevation zones ( $\beta_{ez}$ ) and the *maxCo* were verified in a convergence analysis (Appendix A).

299 2.2.3. Boundary conditions

Since the model domain represents a 2DV simulation, no solution is necessary in the *y*-direction
and the lateral boundaries of numerical wave flume were assigned an "empty" boundary condition.
Non-empty boundary conditions were defined for the remaining boundaries in the *xz*-plane (Figure 303).

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elevation at the wave paddle is provided, which allows olaFlow to trigger the AWA with fewer assumptions [68]. The AWA implementation in olaFlow is most effective for shallow water waves. The primary components of the bichromatic wave group are intermediate waves for the water depth at the wave paddle, but their reflection is expected to be low, since most of their wave energy dissipates over the foreshore in the surf zone. However, reflected free long (infragravity) waves are expected to be non-negligible (section 3.2). They are shallow water waves and are by definition absorbed well by the AWA system in olaFlow, preventing their re-reflection and therefore replicating the behaviour of the ARC in the experiment.

318 Both the bottom and wall boundaries are fixed boundaries, including the sandy foreshore 319 (section 2.1), along which the velocity vector field U has a Dirichlet-type boundary condition (U = (0, 1)320 (0, 0) m/s), while the pressure p and  $\alpha$  are given a Neumann boundary condition. Along the foreshore, 321 dike and wall, no-slip boundary conditions are assumed and a continuous scalable wall function 322 based on Spalding's law (Spalding, 1961) is implemented. The six boundary layers that were 323 previously added in the mesh along these no-slip fixed boundaries make sure that the scalable wall 324 function criterion for the dimensionless wall distance  $z^+$  (i.e.  $1 < z^+ < 300$ ) is complied. For the 325 remaining boundary conditions, initial conditions and solver settings, the same settings were chosen 326 as those reported by Devolder et al. [47].

The OF simulations were run in parallel on a 24-core Intel Xeon E5-2680 @ 2500 MHz computer with 128 GB of RAM. The scotch decomposition algorithm was used to divide the mesh into equal amounts of cells for each processor, while minimising the number of processor boundaries [41]. The cells along the inlet patch were forced onto the same processor, which benefits the computational efficiency. On this setup, the simulation required a CPU time of about 85h.

332 2.2.4. Data sampling and processing

The same data was sampled in OF at the same cross-shore locations as in the experiment (section 2.1). Applying the same sampling frequency of 1000 Hz in OF, however, would increase the calculation time to unpractical levels because it affects the time stepping. Instead a sampling frequency of 80 Hz was maintained throughout, which is a compromise between temporal resolution of the output data and calculation time.

338 To obtain  $\eta$  in OF,  $\alpha$  was recorded at a fixed interval over a vertical line at each wave gauge 339 location. In post-processing,  $\eta$  was then obtained by vertical integration of  $\alpha$ , thereby excluding air 340 inclusions produced in the surf zone, but taking into account all water volumes (i.e. even air-borne 341 water, e.g. in case of plunging waves, spray,...). This corresponds best to how  $\eta$  in the experiment 342 was measured: resistive wave gauges give a response proportional to the wire wet length [69], 343 thereby similarly excluding air pockets. However, it is acknowledged that still some uncertainty 344 remains on how resistive type wave gauges measure the free surface in the presence of air-water 345 mixtures along the gauge. This could lead to discrepancies in the numerical-experimental model 346 comparisons in de surf zone and on top of the promenade [70].

347 The resulting numerical time series were filtered in the same way as the experimental data 348 (section 2.1) and were synchronised to the experimental time reference. The synchronisation was 349 done based on the  $\eta$  time series at the three most offshore located wave gauges (i.e. WG02-03-04) by 350 means of a cross-correlation. The obtained numerical-experimental time lags for each of these WG 351 locations were subsequently averaged and rounded to the nearest multiple of the time series time 352 step. This time lag was then used to synchronise all numerical time series to the experimental time 353 reference. This makes sure that numerical errors (such as phase lag), which are important for model 354 validation, were retained.

Furthermore, to investigate the model performance for the SW and LW components separately, the  $\eta$  time series were separated into  $\eta_{SW}$  and  $\eta_{LW}$  by applying a 3<sup>rd</sup> order Butterworth high- and lowpass filter respectively. A separation frequency of 0.09 Hz was employed, which is in between the bound long wave frequency ( $f_1 - f_2 = 0.035$  Hz) and the lowest frequency of the primary wave components ( $f_2 = 0.155$  Hz).

# 360 2.3. Validation method

The validation of the numerical model OF to the large scale experiment EXP is done both qualitatively and quantitatively. The qualitative validation entails a comparison of time series of the main measured parameters. However, it is recommended to apply model performance statistics as well for a more quantified and objective validation [71]. Therefore, general numerical model performance will be evaluated by applying a skill score or dimensionless measure of average error, such as Willmott's refined index of agreement  $d_r$  [72]:

$$d_{r} = \begin{cases} 1 - \frac{MAE}{cMAD}, & MAE \le cMAD\\ \frac{cMAD}{MAE} - 1, & MAE > cMAD \end{cases}$$
(2)

where *c* is a scaling factor and is taken equal to 2, to obtain a balance between the number of deviations evaluated within the numerator and within the denominator of the fractional part of  $d_r$ , *MAE* is the mean-absolute-error defined by:

$$MAE = \frac{1}{N} \sum_{i=1}^{N} |P_{i} - O_{i}|,$$
(3)

370 with N the number of samples in the time series, and P the predicted time series together with the

371 pair-wise-matched observed time series *O* (for *i* = 1, 2, ..., *n*), and *MAD* is the mean-absolute deviation:

$$MAD = \frac{1}{N} \sum_{i=1}^{N} |O_i - \bar{O}|,$$
(4)

where the overbar represents the mean of the time series. This model performance index  $d_r$  is bounded by [-1.0, 1.0] and, in general, more rationally related to model accuracy than other existing model performance indices or skill scores. For the purposes in this paper,  $d_r$  is used as a general measure of the model performance and a  $d_r$  value of 0.5 is already considered to be a poor model performance. Since it is a single measure of model performance, it can be more easily used to evaluate for example the spatial model performance over the length of the wave flume.

Because a repetition of the selected experimental test is available (REXP),  $d_r$  can be evaluated between REXP and EXP as well. This can serve as a limit above which a  $d_r$  value of the numerical model signifies that the numerical model performance cannot be improved beyond the experimental model uncertainty due to model effects, etc. Therefore, similar to the relative errors as defined by van Rijn et al. [73], a *relative* refined index of agreement  $d'_r$  is proposed here which provides the performance of the numerical model relative to the experimental model uncertainty:

$$d'_{r} = \begin{cases} 1 - \frac{MAE_{num} - MAE_{rexp}}{cMAD} = 1 - (d_{r,num} - d_{r,rexp}), & MAE_{num} - MAE_{rexp} \le cMAD\\ \frac{cMAD}{MAE_{num} - MAE_{rexp}} - 1 = (d_{r,num} - d_{r,rexp}) - 1, & MAE_{num} - MAE_{rexp} > cMAD \end{cases}$$
(5)

where the subscripts *num* and *rexp* indicate that the statistic is evaluated respectively for the numerical and repeated experimental data, and c is again taken equal to 2. When the numerator  $MAE_{num} - MAE_{rexp}$  is negative (i.e. < 0), the numerical error compared to the experiment is smaller than the experimental uncertainty, which means that the numerical model performance cannot be improved. In that case  $MAE_{num} - MAE_{rexp} = 0$  is forced, so that  $d'_r = 1$ . A classification of model performance based on ranges of  $d'_r$  values and corresponding rating terminology is proposed in Table 2.

- 391
- 392

393 394

Tał	le 2. Proposed	l classification	of the relative	e refined index	x of agreement	$d'_r$ and corr	responding
							r a

rating.									
<i>d'r</i> classification	Rating								
[-]	in the second se								
0.90 - 1.00	Excellent								
0.80 - 0.90	Very Good								
0.70 - 0.80	Good								
0.50 - 0.70	Reasonable/Fair								
0.30 - 0.50	Poor								
(-1.00) - 0.30	Bad								

To obtain more insight into where the error of the model originates from, pattern statistical parameters are considered as well. They are here explained in terms of what they represent for a time series of  $\eta$ . The first additional statistical parameter is the standard deviation  $\sigma$ , which is a measure of the wave energy or wave height of a  $\eta$  time series. The normalised standard deviation is given by:

$$\sigma^* = \frac{\sigma_p}{\sigma_{o'}} \tag{6}$$

400 where  $\sigma_p$  and  $\sigma_o$  are the standard deviations of the predicted and observed time series, respectively.

401 Another important statistical parameter is the bias *B*, given by:

$$B = \bar{P} - \bar{O},\tag{7}$$

- 402 The bias indicates whether the model under- or over-predicts the observation, but provides no further
- 403 assurances on the accuracy of the model result. The bias represents the difference in wave setup
- 404 between two  $\eta$  time series. It is normalised by the standard deviation of the observed time series:

$$B^* = \frac{B}{\sigma_o} , \qquad (8)$$

405 And finally the correlation coefficient *R*, defined by:

$$R = \frac{\frac{1}{N} \sum_{i=1}^{N} (P_i - \bar{P}) (O_i - \bar{O})}{\sigma_p \sigma_o},$$
(9)

406 which is a measure of the phase similarity between two time series and the wave periods in case of  $\eta$ 407 time series.

408 The length of the time series used for the analysis is based on the duration of the generated 409 bichromatic waves including tapering (i.e. 125 s), beginning at the first time step when the baseline 410 is first significantly exceeded (i.e. indicating arrival of the first wave). Since the experimental and

411 numerical time series have different sampling frequencies, the time series with the highest sampling

412 frequency was interpolated to the time steps of the time series with the lowest sampling frequency.

For some locations where wetting and drying occurs (i.e. on the dike, promenade and vertical wall), the measurement regularly returned to the baseline or zero-line (Figure 4g-j, Figure 6 and Figure 7), meaning that as a bore passed by, reflected against the wall and ran back down the dike slope, intervals were created in the time series of (near-) zero values. Including these "non-event" times in the statistical analyses would bias the statistics by:

- unnecessarily penalising the numerical model performance for an experimental measurement error. For example, in the experimentally measured and processed time series of *p* and *F<sub>x</sub>*, often some residual instrumental noise or oscillations persisted during such non-event (or "dry") times;
- unnecessarily rewarding the model performance towards (almost) perfect agreement. For
   example, during the time between impacts no water reaches the wall and model performance
   would be perfect during such times (disregarding measurement noise).
- 425 It is therefore decided to focus the analysis on the event instances when the values of the time series 426 (either experimental or numerical, to penalise phase differences or impacts not modelled by the

numerical model) is larger than a certain threshold above the baseline. The threshold for each suchtime series is chosen to be as low as possible, but higher than the residual noise in the experiment.

#### 429 **3. Results**

#### 430 3.1. Time series

431 The numerical model results are first compared qualitatively in the time domain to the 432 experimental measurements of test EXP. The surface elevations  $\eta$  are compared in Figure 6, the 433 horizontal velocity  $U_x$  on the promenade in Figure 5, and the total horizontal force  $F_x$  and pressures 434 p on the vertical wall in Figure 6 and Figure 7, respectively.

The  $\eta$  time series compare very well between OF and EXP (Figure 4), especially at the beginning of the simulation, but more discrepancies start to show over time and further along the flume. Overall, frequency dispersion, the non-linear wave transformation processes (i.e. SW shoaling (Figure 4d), breaking (Figure 4e-f), energy transfer to the subharmonic bound LW (Figure 4d-f)), overtopping (Figure 4g), bore interactions and reflection processes (Figure 4g-j) seem to be wellrepresented by OF.



441



- The simulated  $U_x$  on top of the promenade appears to significantly underestimate the experimental measurements (Figure 5). This underestimation mostly disappears when using the OF depth-averaged velocity  $\overline{U_x}$  instead, which is done for the remainder of the validation. In addition, OF shows much better correspondence to the ECM than the PWs during return flow of a reflected bore ( $U_x < 0$ ). This confirms that the PWs did not measure correct velocities during those instances
- 450 (e.g. *t* = [57, 63] s in Figure 5b-c).



452 **Figure 5.** Comparison of  $U_x$  time series at all sensor locations. The zero-reference is the promenade 453 bottom at the sensor locations. For OF both  $U_x$  at the measured height above the promenade and the 454 depth-averaged  $\overline{U}_x$  time series are shown.

455 In terms of  $F_x$  and p on the vertical wall, OF generally reproduces the timing of the impact events, 456 including the evolution over time (Figure 6 and Figure 7). However, the EXP time series peak values 457 appear to be underestimated by OF for both  $F_x$  and p, and for a few impacts the first dynamic impact 458 peak is not entirely captured either (e.g. t = 82 s and 140 s). In the experiment, the lowest PSs were 459 loaded more often than the PSs positioned higher up the vertical wall, because of different bore 460 impact run-up heights. The lowest PSs also registered the highest values, indicating a mostly 461 hydrostatic pressure distribution along the vertical wall [74]. Both these observations are reproduced 462 by OF. Validation of the pressure distribution along the vertical wall is further investigated in section 463 3.4.



464

465 Figure 6. Comparison of  $F_x$  time series at the vertical wall. The experiment is the load cell force 466 measurement.





468 Figure 7. Comparison of *p* time series at all vertical pressure sensor locations, PS01 being the bottom469 PS and PS13 the top most PS.

## 470 3.2. Wave characteristics

471 Based on the  $\eta$  time series the root-mean-square wave height  $H_{rms}$  is calculated in the time 472 domain and represents a characteristic wave height and measure of the wave energy. The evolution 473 of  $H_{rms}$ , the short- and long-wave components (i.e.  $H_{rms,sw}$  and  $H_{rms,tw}$ ), and the mean surface elevation 474  $\bar{\eta}$  or wave setup over the wave flume up to the toe of the dike are displayed in Figure 8. The 475 experimental repeatability of *H<sub>rms</sub>* appears to be near-perfect, since the EXP and REXP data points are 476 almost indistinguishable. The OF results for these wave characteristics are available along the 477 complete distance from the wave paddle till the toe of the dike location. The numerical results seem 478 to follow the experiments very well, although some discrepancies can be seen. The total and SW wave 479 heights (respectively  $H_{rms}$  and  $H_{rms,sw}$  in Figure 8) decrease in the OF result from the wave paddle up 480 to the toe of the foreshore and underestimate the EXP wave height along this distance. Over the 481 foreshore, the SWs start to shoal until their steepness becomes too high and, according to OF, start to 482 break about 11 m from WG07 towards the dike. The location of incipient wave breaking (or decrease 483 in *H*<sub>rms</sub>), *x*<sub>b</sub>, cannot be validated with the experiment, because of insufficient wave gauges in the wave 484 breaking zone. In any case, the EXP wave height increase due to shoaling (WG07) and decrease due 485 to breaking (WG13-14) is reproduced well by OF. However, also over the foreshore OF slightly 486 underestimates the wave amplitude. The experimental LW wave height ( $H_{rms,lw}$  in Figure 8) is slightly 487 underestimated by OF in front of the wave paddle (WG02 - WG04), and at the dike toe (WG14).

488 In terms of the wave setup  $\bar{\eta}$ , the wave set-down observed in the experiment offshore from the 489 foreshore toe is not reproduced by OF ( $\bar{\eta}_{OF}$  remains close to zero). Further along the flume in the surf 490 zone, however,  $\bar{\eta}$  is better predicted by OF, showing a smaller overestimation.



491



# 495 3.3. Model performance and pattern statistics

In this section, the model performance and pattern statics introduced in section 2.3 are applied to obtain a quantitative numerical model performance evaluation as well. Tables 3 and 4 provide the pattern and model performance statistics for all sensor locations along the flume up to the vertical wall. The evolution of  $d_r$  at the WG locations along the wave flume up to the toe of the dike is visualised in Figure 9 for  $\eta_{SW}$  ( $d_{r,sw}$ ),  $\eta_{LW}$  ( $d_{r,tw}$ ) and  $\eta$  ( $d_{r,tot}$ ), and in Figure 11 for  $\eta$  and  $U_x$  on the promenade.

502 The evolution of  $d_{r,tot}$  along the flume is very similar for both REXP and OF (Figure 9 and Table 503 3): it remains constant till the shoaling zone (WG02-WG07), decreases over the surf zone (WG07-13), 504 and increases back up to the dike toe (WG13-14). This indicates that the decreased experimental 505 model repeatability of the surface elevation in the surf zone is at least part of the cause of the 506 decreased numerical model performance. The relative model performance  $d'_r$  for  $\eta$  is consequently 507 fairly constant, corresponding to a model performance rating of *very good*, which remains consistently 508 so up to the last sensor location in front of the vertical wall. Considering  $\eta_{\text{SW}}$  and  $\eta_{\text{LW}}$  separately, 509 reveals that  $d_{r,sw}$  mostly follows the same trend as  $d_{r,twt_r}$  and that  $d_{r,tw,OF}$  clearly has a different behaviour: 510  $d_{r,lw,OF}$  is not as high as  $d_{r,sw,OF}$  in front of the wave paddle (i.e.  $d_{r,lw,OF} = \sim 0.70$  and  $d_{r,sw,OF} = \sim 0.85$  at WG02-511

511 WG04), but steadily increases towards the dike toe, while  $d_{r,lw,rexp}$  remains relatively constant, causing 512  $d'_r$  to slightly increase as well.



513

514Figure 9. Refined index of agreement  $d_r$  of REXP and OF with EXP up to the dike toe. From top to515bottom:  $d_{r,sw}$  for  $\eta_{SW}$ ,  $d_{r,lw}$  for  $\eta_{LW}$ ,  $d_{r,lot}$  for  $\eta$ , and finally an overview of the sensor locations, SWL and516bottom profile.

517 The pattern statistics  $B^*$  and  $\sigma^*$  represent respectively the accuracy of the wave setup and wave 518 height from offshore till the dike toe, and confirm the qualitative observations made in section 3.2. 519 However, spatial information about the accuracy of the numerical wave phase modelling was not 520 included previously, and is shown separately here in Figure 10. The SW phase accuracy of OF 521 decreases significantly over the surf zone (R = ~0.90 to ~0.60), while it increases for the LWs (R = ~0.85522 to ~0.97). The total wave phase prediction accuracy of OF decreases at WG13 because it is located at 523 a node of the standing long waves in front of the dike (Figure 8), thus  $R_{sw}$  has a higher weight in R J. Mar. Sci. Eng. 2020, 8, x FOR PEER REVIEW

there. Conversely, the dike toe (WG14) is located at an antinode, and therefore  $R_{lw}$  has higher weight

525 in *R* than *R*<sub>sw</sub>, leading to an increase of *R* again at the dike toe.

526

**Table 3.** Pattern and model performance statistics for all surface elevation  $\eta$  sensor locations.

		OF					ХР	RE		
Rating	d'r	dr	R	$\sigma^*$	B*	dr	R	$\sigma^*$	<i>B</i> *	Location
[-]	[-]	[-]	[-]	[-]	[-]	[-]	[-]	[-]	[-]	Location
Very Good	0.88	0.85	0.96	0.94	0.06	0.97	1.00	1.01	-0.01	WG02
Very Good	0.87	0.85	0.95	0.92	0.05	0.97	1.00	0.99	-0.01	WG03
Very Good	0.87	0.84	0.95	0.91	0.06	0.97	1.00	1.00	-0.01	WG04
Very Good	0.87	0.84	0.94	0.94	0.06	0.97	1.00	1.00	0.01	WG07
Very Good	0.83	0.66	0.73	0.95	0.04	0.83	0.94	0.97	0.00	WG13
Very Good	0.90	0.82	0.91	0.89	0.05	0.92	0.98	1.00	0.00	WG14
Very Good	0.88	0.80	0.89	1.00	-0.08	0.92	0.99	0.99	-0.02	WLDM01
Very Good	0.89	0.82	0.91	1.01	-0.05	0.92	0.99	1.01	-0.02	WLDM02
Very Good	0.90	0.82	0.90	0.98	-0.03	0.92	0.99	0.98	0.00	WLDM03
Verv Good	0.87	0 79	0.87	1 00	-0.00	0.92	0.98	0 97	0.01	WLDM04

527



528

529Figure 10. Comparison of *R* for  $\eta$  of REXP and OF with EXP up to the dike toe. From top to bottom:530 $R_{stw}$  for  $\eta_{sw}$ ,  $R_{tw}$  for  $\eta_{LW}$ , *R* for  $\eta$ , and finally an overview of the sensor locations, SWL and bottom531profile.

Along the promenade, the  $d_r$  for  $\eta$  and  $U_x$  is shown in Figure 11 and – on first sight – seems to indicate that the OF model performance for  $U_x$  is much worse than for  $\eta$ , primarily for comparisons to the PW measurements, but also for the ECM measurement. Taking into account the experimental uncertainty, however, the model performance rating for  $U_x$  of ECM is actually *very good* ( $d'_{r,ECM}$  in

- Table 4), which is the same as the OF model performance rating for  $\eta$  on the promenade ( $d'_{r,WLDM01-04}$
- 537 in Table 3). For the PW measurements, the OF rating for  $U_x$  is still worse (*reasonable/fair* to *bad*), but
- 538 was explained before by the fact that the PW's had faulty positive  $U_x$  measurements during return
- 539 flow (section 3.1).
- 540 Although the wave setup at the dike toe is overestimated by OF ( $B^*_{WG14} > 0$ ),  $\eta$  on the promenade
- is on average underestimated ( $B^*_{WLDM01-04} < 0$ ) and  $U_x$  as well ( $B^* < 0$ ). Conversely, the bore wave height
- is well-represented on the promenade ( $\sigma^*_{WLDM01-04} = \sim 1.00$ ), while the wave height is underestimated at the dike toe ( $\sigma^*_{WG14} = 0.89$ ). The surface elevation phase difference between OF and EXP observed
- at the dike toe ( $\sigma^*_{WG14} = 0.89$ ). The surface elevation phase difference between OF and EXP observed at the dike toe ( $R_{WG14} = 0.91$ ) is carried over on the promenade ( $R_{WLDM01-04} = -0.90$ ), but higher phase
- 545 differences are detected for  $U_x$  (*R*<sub>ECM</sub> = 0.73).



547

548

549

**Figure 11.** Refined index of agreement  $d_r$  of REXP and OF with EXP from the dike toe up to the vertical wall. From top to bottom:  $d_r$  for  $\eta$  and  $U_x$ , and finally an overview of the sensor locations, SWL and bottom profile.

550

**Table 4.** Pattern and model performance statistics for  $U_x$  on the promenade.

	OF									
Location	$B^*$	$\sigma^*$	R	$d_r$	$B^*$	$\sigma^*$	R	$d_r$	d'r	Rating
Location	[-]	[-]	[-]	[-]	[-]	[-]	[-]	[-]	[-]	[-]
PW01	0.02	0.96	0.91	0.80	-1.24	1.55	0.58	-0.10	0.10	Bad
ECM	-0.02	1.05	0.87	0.81	-0.25	0.94	0.73	0.63	0.82	Very Good
PW02	-0.05	0.99	0.88	0.82	-0.66	1.22	0.65	0.29	0.48	Poor
PW03	-0.02	1.00	0.92	0.86	-0.57	1.06	0.68	0.40	0.54	Reasonable/Fair
PW04	-0.03	1.02	0.88	0.77	-0.42	0.88	0.58	0.37	0.61	Reasonable/Fair

551

552 Finally, the model performance in terms of p and  $F_x$  are evaluated at the vertical wall (Figure 12 553 and Table 5). Both REXP and OF show the highest model performance at the lowest pressure sensor

554 location and a more or less linear decreasing model performance at PS locations higher along the

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- vertical wall. The relative difference between the  $d_r$  of REXP and OF increases as well higher along the vertical wall, leading to a numerical model performance rating from *very good* for PS01-PS06, to *good* for PS05-PS11 and finally to *reasonable/fair* at the highest PS locations (PS12-PS13) (Table 5). Considering that the bottom PSs registered the highest p values and are therefore the most determinative in the calculation of  $F_x$ , it follows that the numerical model performance for  $F_x$  is rated *very good* as well. The pattern statistics in Table 5 reveal the remaining numerical errors to be that *p* and  $F_x$  are generally underestimated by OF (i.e.  $B^* < 0.00$  and  $\sigma^* < 1.00$ ) and that the impact events still
- slightly mismatch in time between OF and EXP (R < 1.00).





564 **Figure 12.** Refined index of agreement  $d_r$  of REXP and OF with EXP for p at the vertical wall (horizontal axis).

566

Table 5. Pattern and model performance statistics for all surface elevation sensor locations.

		RE	ХР		OF						
Location	$B^*$	$\sigma^*$	R	dr		<i>B</i> *	$\sigma^*$	R	dr	d'r	Rating
Location	[-]	[-]	[-]	[-]		[-]	[-]	[-]	[-]	[-]	[-]
p (PS01)	0.00	1.00	0.98	0.92		-0.14	0.84	0.84	0.80	0.88	Very Good
p (PS02)	-0.01	0.99	0.97	0.92		-0.10	0.82	0.77	0.77	0.84	Very Good
p (PS03)	0.00	1.00	0.96	0.91		-0.13	0.75	0.71	0.75	0.83	Very Good
p (PS04)	0.02	0.99	0.94	0.87		-0.13	0.74	0.66	0.72	0.85	Very Good
p (PS05)	0.01	1.00	0.96	0.91		-0.11	0.75	0.61	0.69	0.78	Good
p (PS06)	-0.01	0.97	0.96	0.90		-0.13	0.78	0.61	0.72	0.82	Very Good
p (PS07)	-0.01	0.93	0.95	0.89		-0.17	0.76	0.53	0.67	0.78	Good
p (PS08)	-0.05	0.86	0.94	0.86		-0.20	0.74	0.46	0.65	0.78	Good
p (PS09)	-0.07	0.88	0.93	0.85		-0.25	0.78	0.39	0.61	0.76	Good
p (PS10)	-0.04	0.93	0.94	0.90		-0.24	0.77	0.48	0.67	0.77	Good
p (PS11)	-0.04	0.91	0.94	0.88		-0.33	0.57	0.37	0.63	0.75	Good
p (PS12)	-0.20	0.79	0.89	0.78		-0.55	0.53	-0.05	0.42	0.65	<b>Reasonable/Fair</b>
p (PS13)	-0.15	0.57	0.92	0.77		-0.59	0.33	0.12	0.40	0.63	<b>Reasonable/Fair</b>
$F_x$ (LC)	0.00	0.97	0.90	0.90		-0.12	0.74	0.73	0.76	0.85	Very Good

#### 568 3.4. Bore interactions and impact

To explain some of the numerical successes and failures encountered in the reproduction of the experimental bore impacts on the vertical wall, a detailed analysis is done of a selection of individual impact events and the bore interactions leading up to them. The analysis is based on an investigation of snapshots at important time instants during the first two largest impact events in the modelled time series (Figure 7). The first (t = ~56 s) and second (t = ~82 s) main impact events are chosen because they are good examples of respectively a successful and less successful numerical reproduction of the experimental impacts.

576 Numerical snapshots of the flow on the dike, including the velocity distribution along the 577 vertical cross-section at the ECM location or the pressure distribution along the vertical wall are 578 compared in Figure 13 and Figure 14 to the equivalent experimental data and snapshots based on 579 side and top view video images. Key time instants of overtopped bore behaviour are selected during 580 these two main impacts and are listed chronologically in Table 6. Some of the key time instants occur 581 at slightly different times in each model (due to slight wave phase differences). In those cases, the key 582 time instants were selected from each model result based on identifiable features in the bore 583 interaction images, the  $U_x$  time series or the  $F_x$  time series (e.g. peaks, troughs,...), making sure a 584 relevant comparison is made of the bore interaction and the velocity or pressure profile.

585

Table 6. Description of the snapshots shown in Figures 13 and 14.

Time instant	Description	Figure
number	Main impact 1	
1a	Pre-impact of small overtopped wave.	Figure 13a
1b	Pre-collision of large overtopped bore and small wave reflected from vertical wall	Figure 13b
1c	Collision of large overtopped bore and reflected small wave.	Figure 13c
1d	Impact on vertical wall of high velocity spray from overturned	Figure 13d
	bore.	
1e	Dynamic impact of overturned bore on vertical wall.	Figure 13e
1f	Quasi-static impact of overturned bore on vertical wall.	Figure 13f
	Main impact 2	
2a	Very small overtopped bore.	Figure 14a
2b	Impact of small overtopped bore on vertical wall.	Figure 14b
2c	Impact of large overtopped bore on vertical wall.	Figure 14c
2d	Impact of large overtopped bore on vertical wall, continued.	Figure 14d
2e	Impact of large overtopped bore on vertical wall, continued.	Figure 14e
2f	Return flow of large bore reflected from vertical wall.	Figure 14f

586

587 The first series of impacts mainly occurred while the LWs overtopped and reflected on the dike-588 wall structure for the first time. A good indication of this time period is when  $\eta$  at the dike toe (Figure 589 4f) was larger than the freeboard (i.e. t = [47, 70] s). During the LW overtopping/reflection several 590 SWs propagated on top of the LW crest, overtopped the dike and impacted the vertical wall along 591 with the LWs: after a very small first overtopped bore (t = -48 s in Figure 6), a second larger bore 592 impacted and reflected on the vertical wall (t = -52.5 s). While the reflected second bore returned 593 seawards, a third small wave overtopped and headed towards the vertical wall (Figure 13a, termed 594 sequential overtopping bore pattern by Streicher et al. [74]). This small wave then reflected against the 595 vertical wall, while a very large turbulent bore was overtopping the dike crest (Figure 13b). At that 596 moment the small wave and large bore were propagating in opposite directions on the promenade. 597 Eventually they collided, and the larger incident turbulent bore was forced to overturn (Figure 13c). 598 This collision also caused spray to be ejected at a high velocity from the overturning wave tongue 599 (see [x, z] = [178.3 m, 4.9 m] in Figure 13c). This airborne water volume hit the vertical wall first and



**Figure 13.** Snapshots of selected key time instants chronologically over the first main impact (a-f). The OF snapshot (left) is compared to the equivalent EXP snapshot from the side view (centre) and top view (right) cameras. In the OF snapshots, the colours of the water flow indicate the velocity magnitude |U| according to the colour scale shown at the top. The red arrows are the velocity vectors, which are scaled for a clear visualisation. Each OF snapshot has two inset graphs: at the top is a time series plot of  $U_x$  (for EXP and  $\overline{U_x}$  for OF) (a-c) or  $F_x$  (d-f), in which a circle marker (o) and a plus marker (+) indicate the time instant of the numerical and experimental snapshot respectively. Along the vertical wall  $U_x$  (a-c) or p (d-f) is plotted at respectively the ECM sensor location or each PS location (the vertical axis is z [m]). Along the promenade four vertical grey dashed lines indicate the sensor locations on the promenade, of which the WLDM gauges are also visible in the experimental snapshots (topped by blue plastic bags). The location of the ECM is at the second vertical grey dashed line from the left. The time instant of the numerical snapshot is provided by *tor*.





*x* [m]

616 separately from the main overturning wave tongue (see [x, z] = [178.5 m, 4.95 m] in Figure 13d), 617 causing a local pressure peak at the location of PS10 (see the *p*-profile in Figure 13d). Subsequently, 618 the main overturning wave hit the wall, causing a dynamic force peak  $F_{x,1}$  (Figure 13e), and ran 619 vertically up the wall temporarily reducing  $F_x$  during maximum run-up (not shown). The following 620 run-down and reflection from the wall corresponds to a second force peak  $F_{x,2}$ , this time of quasi-621 static nature (Figure 13f). This type of bore interaction was called a *plunging breaking bore pattern* by 622 Streicher et al. [74], which – in this case – caused a quasi-static impact  $(F_{x,1}/F_{x,2} < 1.20)$ , according to 623 Streicher et al. [74]). This is valid for both the experiment and the numerical model result, indicating that OF was able to reproduce these processes leading to a very similar shape of the pressure distribution along the vertical wall (see pressure profiles in Figure 13d-f) and time evolution of  $F_x$  (see time series graph insets in Figure 13d-f). Comparing  $U_{x,ECM}$  from EXP with the velocity profile from OF at the ECM location (see velocity profiles in Figure 13a-c) reveals that OF locally, but consistently underestimated  $U_x$  at the vertical measurement position of the ECM, which was also observed in Figure 5b.

630 The second series of impacts occurred during the second LW overtopping and reflection event 631 (Figure 4f-j: t = [74, 100] s). Again, SWs propagated on top of the LW crest, bringing bore interactions 632 to the promenade. This time, however, the bore interaction pattern modelled by OF that caused the 633 main impact was different than the pattern observed in EXP. First a very small bore overtopped the 634 dike crest and was immediately followed by a much larger bore. In EXP, the smaller bore was 635 overtaken by the larger bore (Figure 14b-c, termed *catch-up bore pattern* by Streicher et al. [74]), leading 636 to a quasi-static impact. In the result from OF, however, the very small wave overtopped sooner (Figure 637 14a), so that it had time to reflect against the wall (Figure 14b) before colliding with the incoming 638 larger bore (not shown). OF therefore modelled a collision bore pattern instead of a catch-up bore pattern, 639 greatly reducing the first impact force peak of the main impact (by ~65% compared to EXP, Figure 640 14c). This also clearly affected the pressure profiles along the vertical wall: during the first  $F_x$  peak, p 641 is severely underestimated, but the distribution is still similar, with a local peak at PS04. The p-profiles 642 differentiate more at the  $F_x$  peak of the OF result (Figure 14d) and at the quasi-static  $F_x$  peak in the 643 EXP result (Figure 14e). In the experiment a quasi-hydrostatic pressure profile was measured, at both 644 those time instants. In the OF result, however, a pressure peak is found at PS06, caused by a vortex 645 formed at the foot of the vertical wall upon which a strong flow impinged on the wall at that location. 646 After reflection of the bore, both models correspond again, showing a hydrostatic pressure profile

647 along the wall (Figure 14f).

## 648 4. Discussion

#### 649 4.1. Wave transformation processes till the dike toe

In sections 3.1 and 3.2 it was already established that OF is capable of reproducing the wave shoaling and breaking processes in terms of evolutions in  $\eta$  and  $H_{rms}$ . This section discusses the processes related to the LW transformations over the foreshore as modelled by OF and their correspondence to observations in EXP.

654 The modulation factor  $\delta$  of the SWs is high for the considered bichromatic wave conditions 655 (Table 1), indicating that the incident bound LW amplitude was relatively high as well. Furthermore, 656 the normalised bed slope parameter  $\beta$  can be calculated [36]:

$$\beta_b = \frac{h_x}{\omega} \sqrt{\frac{g}{h_b'}} \tag{10}$$

657 where  $h_x$  is the foreshore slope (= 1:35),  $\omega$  is the radial frequency of the bound LW (=  $2\pi (f_1 - f_2))$ , g the 658 gravitational acceleration and  $h_b$  a characteristic breaking depth (= 2.12 m at  $x_b$  = 115 m). A value of 659 0.28 is obtained, which means that the bound LW shoaling had a mild slope regime ( $\beta < 0.3$ ), so that 660 the growth rate of the incoming LWs was much higher than given by Green's Law (conservative 661 shoaling), indicating significant energy transfer from the primary SWs to the bound LW [75]. 662 Additionally, in a mild-slope regime, LW shoreline dissipation and shoreline reflection are high and 663 low respectively [36]. However, the beach considered here is not a beach by itself, but acts as a 664 foreshore to a steep-sloped dike. Consequently, no such expected decrease in LW energy towards the 665 shoreline is observed (i.e. *H*<sub>rms,lw</sub> in Figure 8). Indeed, the dike was positioned in the shoaling zone of 666 the long waves, thereby preventing the LWs to break. Instead, LWs reflected against the dike, 667 indicated by the oscillations of *H*<sub>rms,tw</sub> towards the dike in the OF result, which implies the presence 668 of a (partial) standing wave system. Wave gauges WG13 in the inner surf zone and WG14 at the dike 669 toe were positioned at a node and anti-node of this standing wave system. This is also clearly visible 670 in the  $\eta$  time series plot, where  $\eta_{LW}$  is much closer to zero at WG13 (Figure 4e) than at WG14 (Figure 671 4f). In the surf zone the LW previously bound to the wave group became a free wave, traveling at its 672 own wave celerity. Due to first order wave generation at the boundary, other spurious free LWs were 673 generated as well at the wavemaker and propagated as free waves towards the dike [76]. During a 674 standing LW crest at the dike toe, the LWs themselves overtopped the dike (i.e. when  $\eta$  > freeboard 675  $R_c = 0.117$  m, Figure 4f) thereby temporarily aiding several breaking SWs to overtop the crest of the 676 dike (the wave length of the free LWs was more than five times longer than the primary SW 677 components in the inner surf zone). These results have illustrated OF's ability to reproduce the wave 678 energy transfer to the subharmonics and LW transformations over the foreshore till the dike toe. All 679 these observations also confirm that the contribution of LWs to the processes on the dike, including 680 the wave impact loading on the vertical wall, is very important in the case that is considered here.

## 681 4.2. Importance of differences in wave generation methods

682 Although the overall OF model performance was rated to be very good, a few differences between 683 the OF and EXP results remain to be explained. One of the largest OF inaccuracies was an 684 underestimation of the wave height, primarily observed at the offshore WG locations (WG02-WG04, 685 see Figure 8 and Table 3), suggesting an underestimation of the incident wave energy and/or 686 numerical diffusion. The underestimation was likely caused by differences between the numerical 687 wave generation method with static boundary in OF and the physically moving wave paddle in the 688 EXP [68]. The wave boundary condition by olaFlow allows for a tuning factor to be applied to  $U_x$  and 689  $\eta$  at the boundary, to overcome a possible underestimation of the incident wave height. Such a 690 calibration of the OF model (with a tuning factor of 1.13) was found to solve the underestimation of 691 the wave height (not shown), but introduced or exacerbated other errors, finally leading to lower 692 values of  $d_r$  and decreased model performance ratings for  $U_{x,ECM}$  and  $F_x$ .

693 Another remaining discrepancy between OF and EXP is found in  $\bar{\eta}$ , which was primarily 694 overestimated by OF in the offshore region (Figure 8). Also, after calibration of the incident wave 695 height to EXP,  $H_{rms}$  – and consequently  $\bar{\eta}$  – increased in the surf zone, exacerbating the 696  $\bar{\eta}$  overestimation there (not shown). The root cause of this difference is likewise related to the different 697 wave generation methods applied in EXP and OF. In the experimental wave flume, the finite body of 698 water and conservation of mass caused water mass to be redistributed from offshore to the surf zone 699 during build-up of the wave setup, thereby causing a lowering of the mean water level in the offshore 700 region. This process developed differently in OF because of the static boundary condition including 701 AWA. The AWA assures a constant mean water level at the boundary [8,52], meaning that a net water 702 mass is added to the computational domain until a quasi-steady state is achieved when wave setup 703 is fully developed [54]. In this case, OF's method is closer to the field condition, where generally a 704 large enough body of water is available to supply water mass for the wave setup to develop without 705 noticeably lowering the offshore mean water level. Nevertheless, in the context of the validation, this 706 difference in  $\bar{\eta}$  is at the cause of many of the remaining inaccuracies in the OF result compared to 707 EXP, because the waves propagated in slightly different mean water depths, which affected the non-708 linear wave-wave interactions and wave phases in the surf zone. Consequently, it is believed to be 709 the root cause of the strong decrease of R<sub>sw</sub> observed in the surf zone (i.e. locations WG13-14 in Figure 710 10).

These two remaining inaccuracies in the OF results compared to EXP (i.e. underestimation of *H*<sub>rms</sub> and overestimation of  $\bar{\eta}$ ), are both attributable to the differences in wave generation methods applied. Although still an overall *very good* model performance rating was achieved by OF, it is expected that even better results can be obtained by applying a closed dynamic wave boundary condition in OF, which mimics the EXP wave paddle movement. However, application of the dynamic boundary condition of olaFlow proved to be highly unstable for the present case, and no result was achieved to confirm this hypothesis.

#### 718 4.3. OF model performance for impacts on a dike-mounted vertical wall

719 The accuracy of a numerical wave model to reproduce wave overtopping over a dike with a very

shallow foreshore depends on the quality of the incident waves at the dike toe location [10]. The same

should therefore hold true for impacts on a dike-mounted vertical wall by such overtopped waves.

722 The overall *very good* model performance of OF in terms of p and  $F_x$  at the vertical wall can be 723 explained by a generally correct reproduction of bore interactions over the promenade of the dike. 724 Conversely, discrepancies – even small ones – in bore interactions between OF and EXP can lead to 725 significant differences in the impact type on the vertical wall, and consequently in p and  $F_x$  (section 726 3.4). In addition, the much lower values of  $B^*o_F$  and  $Ro_F$  compared to  $B^*REXP$  and RREXP for  $U_{X,ECM}$  (i.e. 727  $B^*_{REXP} = -0.02$  and  $R_{REXP} = 0.87$ ,  $B^*_{OF} = -0.25$  and  $R_{OF} = 0.73$  in Table 4) indicate an important contribution 728 of the underestimation of  $U_x$  and of phase differences in  $U_x$  between OF and EXP to the remaining 729 errors in the impact prediction by OF. The bore interactions on their part depend on the wave 730 conditions at the dike toe location. This is illustrated by the calibrated OF model results, which was 731 found to improve the wave height reproduction at the dike toe compared to the OF model (section 732 4.2), while errors increased for the wave setup and wave phases at the dike toe location, leading to a 733 lower model performance for the processes on the dike (not shown).

- Final Field State Sta
- 3D effects in EXP (i.e. irregular and oblique wave fronts, wave breaking-induced 3D vortex formation), which are unreproducible by a 2DV RANS model;
- Water-air mixing in bores and air pressure fluctuations in entrained air pockets by overturning
   wave impacts on the wall, which are both processes not resolved by a multiphase numerical
   model of two incompressible and immiscible fluids.
- Douglas and Nistor [77] have shown that compared to a dry-bed condition a bore propagating on over a thin layer of water on the bed (i.e. wet-bed condition) can substantially increase the steepness and depth of the bore-front and consequently affect the impact of the bore on the wall. The near-bed resolution of the OF grid along the promenade might not have been able to reproduce correctly wet-bed bore propagation in cases of a very thin layer of water, possibly even modelling a dry-bed bore propagation instead.
- Differences between OF and EXP in the treatment of friction on the bed of the promenade. The no-slip boundary condition and applied wall function in OF modelled a boundary layer, which lowered *U<sub>x</sub>* close to the bed more than was measured in EXP. On average, *U<sub>x</sub>* has been underestimated by OF at the measurement locations of the PWs and ECM close to the promenade bed (Figure 5, *B*\* in Table 4 and Figure 13a-c).
- From the reproduction of the impact type and the first two model limitations listed above are also apparent in the numerical reproduction of the pressure distribution along the vertical wall: higher up the wall a decreasing OF model performance rating of *p* was observed (Figure 12, Table 5). The highest PS locations are the most sensitive to errors in the impact and run-up patterns along the vertical wall and to overly simplified water-air mixture modelling.

# 758 5. Conclusions

759 A RANS multiphase solver for two incompressible and immiscible fluids (water and air), 760 interFoam of OpenFOAM® with olaFlow wave boundary conditions (OF), was applied in 2DV for 761 bichromatic wave transformations over a cross-section of a hybrid beach-dike coastal defence system, 762 consisting of a steep-sloped dike with a mildly-sloped and very shallow foreshore, and finally wave 763 impact on a vertical wall. OF was not validated before in this context, where - prior to impact - waves 764 undergo many nonlinear transformations and interact with a dike slope and promenade. A large-765 scale experiment of bichromatic waves and its repetition were selected for this validation. The 766 repeated test allowed to assess the accuracy of the measurements, uncertainty due to model effects 767 and variability due to stochastic processes in the experiment.

The validation consisted of both qualitative and quantitative comparisons. Pattern and model performance statistics were employed for the quantitative validation. Based on Willmott's refined index of agreement  $d_r$ , calculated for OF and the repeated test REXP with reference to the first test EXP, a relative refined index of agreement  $d_r'$  was proposed, which takes the experimental uncertainty, derived from REXP, into account in the numerical model performance evaluation. Based on value ranges of  $d_r'$ , a classification into model performance ratings was proposed as well.

774 After a convergence analysis of the most important numerical parameters (i.e. grid resolution 775 and CFL number), and without calibration of the numerical model, a model performance rating of 776 *very good* was achieved by OF compared to the experiment for all relevant design parameters (i.e.  $\eta$ , 777  $U_x$ , p and  $F_x$ ), which demonstrates OF's applicability for the design of such hybrid coastal defence 778 systems. Remaining discrepancies were found to be mainly caused by the different wave generation 779 methods applied in OF (static boundary) and EXP (moving wave paddle), which caused an 780 underestimation of the incident wave energy and an overestimation of the wave setup in OF 781 compared to EXP. Consequently, when applying OF for a design of a hybrid coastal defence system, 782 the incident wave energy is recommended to be calibrated, while the wave setup development for a 783 static boundary condition with active wave absorption in OF is actually closer to the field condition 784 compared to EXP (finite water mass).

A detailed comparison of snapshots at key time instants of bore interactions leading up to two selected bore impacts on the vertical wall, revealed that slight errors in wave phases can lead to very different bore interaction patterns on the promenade and finally to different bore impact types on the wall.

Future work includes a detailed inter-model comparison between the OF model presented here,
a weakly compressible SPH model (DualSPHysics), and a non-hydrostatic wave model (SWASH) for
the same case [64].

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#### 806 Appendix A. Numerical convergence analysis

807 The OF result is influenced by many of its settings, of which the spatial discretisation of the 808 model domain and time stepping are the most important [44]. Their convergence analysis is 809 presented here. The numerical model convergence analysis is based on  $\eta$  at the experimental wave 810 gauge locations over the wave flume up to the dike toe, since it is the most important driver of model 811 performance of the subsequent processes on the dike. The wave force at the vertical wall is not 812 suitable as reference for the grid convergence analysis, because relatively small differences in wave 813 phase can cause very different types of bore interactions on the promenade and therefore very 814 different resulting bore impacts (section 3.4).

- 815 A.1. Model convergence statistics
- 816 For the convergence analysis, four customised statistical error indicators are considered, among
- 817 which the first three are defined to reflect several aspects of the  $\eta$  time series considered (i.e. wave 818 setup, wave height and wave phase):
- 819 Freeboard normalised bias, NB:

$$NB = \frac{B}{R_c} , \qquad (A1)$$

- in which *R<sub>c</sub>* is the freeboard, and *B* is the bias defined by (7). The bias or difference in the wave
  setup is normalised with the freeboard which is one of the governing parameters for waves
  overtopping a dike [78].
- Residual error of the normalised standard deviation, *RNSD*:

$$RNSD = 1 - \sigma^*, \tag{A2}$$

- 824 in which  $\sigma^*$  is given by (6) and in which the observed time series is the reference time series and 825 the predicted time series is the considered time series. A positive RNSD signifies a higher wave 826 height and a negative RNSD signifies a lower wave height compared to the reference.
- Residual error of the correlation coefficient, RCC:

$$RCC = 1 - R, \tag{A3}$$

- in which *R* is the correlation coefficient, given by (9), between the reference time series and time
  series of interest. Lower RCC values indicate better phase correspondence of the considered time
  series to the reference.
- Normalised mean-absolute-error, *NMAE*, given by:

$$NMAE = \frac{MAE}{O_{max} - O_{min}} \times 100\%,\tag{A4}$$

- in which *MAE* is the mean absolute error, given by (3), and *O<sub>max</sub>* and *O<sub>min</sub>* are the maximum and
  minimum value of the reference time series.
- 834 The closer these statistics are to zero, the lower the difference is between the considered and reference 835 time series.

#### 836 A.2. Convergence analyses

837 The grid convergence analysis varies the refinement level in the surface elevation zone  $\beta_{sez}$  up to 838 the dike toe (i.e.  $\beta_{sez} = 0, 1, 2, 3$ ; Figure 3) and uses the mesh with the highest level (i.e.  $\beta_{sez} = 3$  or  $\Delta x =$ 839  $\Delta z = 0.0225$  m) as the reference to which the other – coarser – resolution simulations are compared to. 840 Convergence is achieved when no significant changes are observed anymore compared to a finer grid 841 resolution model. The time stepping convergence analysis uses the run with the lowest maxCo 842 number (i.e. maxCo = 0.15) as the reference to which other temporally coarser simulations (i.e. maxCo843 = 0.45, 0.25) are compared to. The statistical error indicators from section A.1 are provided in Figure 844 A1 and Figure A2, respectively. All errors stay close to or less than 5% at the toe of the dike for  $\beta_{sez}$  = 845 2 (i.e.  $\Delta x = \Delta z = 0.045$  m) and maxCo = 0.25. Even though maxCo = 0.45 does not show much higher 846 errors than a value of 0.25, still maxCo = 0.25 was preferred, because higher maxCo simulations were 847 found to be prone to numerical instabilities. In any case, as long as the maxCo number cannot be 848 defined separately for the air and water phases, the time stepping is mostly determined by the high 849 spurious velocities that occur at the water-air interface. Because these spurious velocities are much 850 higher (2-3 times) than the velocities in the water phase, much lower Courant numbers are actually 851 obtained in the water phase [45]. This also explains why only limited differences between the tested 852 maxCo values are observed here.

853 Moreover, the *NMAE* shows in both cases a similar value at the toe of the dike (WG14) to that of 854 the ~3% obtained between EXP and REXP. The remaining numerical error is therefore assumed 855 acceptable, and the mesh resolution and time stepping can be considered sufficiently converged for 856 those settings ( $\beta_{sez} = 2$ ; *maxCo* = 0.25).





858Figure A1. OF model grid resolution convergence analysis of the  $\eta$  time series at the WG locations859along the flume up to the dike toe (WG14), based on: a) the normalised bias, b) the residual normalised860standard deviation, c) the residual correlation coefficient and d) the normalised mean-absolute-error.861The reference is the finest mesh with a refinement level in the surface elevation zones  $\beta_{sez}$  of 3.





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