Ultra-High-Temperature Testing of Sintered ZrB₂-based Ceramic Composites in Atmospheric Re-entry Environment

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Abstract

12 An experimental campaign has been carried out to characterize a new class of Ultra-High-Temperature Ceramic 13 Matrix Composites for near-zero ablation Thermal Protection Systems. Small sized specimens, with ZrB₂-based matrix and different carbon fiber architectures, were exposed to a simulated air supersonic flow generated by an arc-jet wind 14 tunnel, achieving specific total enthalpies up to 20 MJ/kg and cold wall fully catalytic heat fluxes over 5 MW/m², in an 15 aero-thermo-chemical environment representative of atmospheric re-entry. Ablation rates were estimated by means of 16 mass and thickness measurements before and after testing, demonstrating an excellent performance of the developed 17 18 materials. Surface temperatures were monitored by means of infrared pyrometers and a thermo-camera, and during all 19 the tests a spontaneous temperature jump was observed, with temperatures that reached values over 2800 K at the 20 steady state. Post-test microstructural analyses revealed the formation of a porous oxide layer with a thickness of few 21 hundred microns, mainly consisting of zirconia, with substantial removal of both SiC and carbon fibers. Below the 22 oxide, the bulk material was unaffected. Computational Fluid Dynamics simulations allowed rebuilding the thermo-23 fluid-dynamic and chemical flow field. Moreover, it was possible to propose an innovative correlation of the temperature jump with an increased catalytic activity and a dramatic reduction of the thermal conductivity of the oxide 24 25 layers forming on the exposed part of the sample, which anyway had a key role in preserving the unoxidized bulk 26 materials at reasonable temperatures.

Keywords: Ultra-High-Temperature Ceramic Matrix Composites; Arc-jet wind tunnel testing; Near-zero ablation;
Computational Fluid Dynamic simulation; Temperature Jump

30 **1. Introduction**

New-generation hypersonic and reusable re-entry vehicles set increasingly demanding requirements for the development of high-performance Thermal Protection Systems (TPS), due to the challenges of extremely harsh aerothermo-dynamic conditions characteristic of atmospheric re-entry, including hypersonic Mach numbers, temperatures above 2000°C, the activation of gas dissociation/recombination reactions at extremely low oxygen partial pressures, which can substantially enhance the heat flux on the exposed surface of the spacecraft [1, 2].

Over the last decades, research identified Ultra-High-Temperature Ceramic (UHTC) materials, based on transition 36 37 metals carbides and diborides, as potentially promising candidates for these applications, especially in light of their high 38 melting temperatures, strength and ablation resistance at temperatures over 2000°C [3, 4, 5]. Nevertheless, some issues 39 related to poor oxidative behavior and mechanical properties (damage tolerance, fracture toughness, thermal shock 40 resistance) of single and multi-phase UHTCs at high temperatures limit the applicability of these materials. The 41 introduction of SiC or other silicon based ceramics as minority phase, in the form of particles, short/long fibers or 42 whiskers [6, 7, 8, 9, 10], in the main refractory ceramic has been often proposed to improve damage tolerance and oxidation resistance at intermediate temperature, essentially thanks to the formation of a low-viscosity borosilicate glass 43 44 protective scale [11, 12, 13]. The most recent frontiers in a research oriented to high Technology Readiness Level 45 (TRL) applications of the UHTC technology to aerospace involve the enhancement of mechanical properties by introducing short and continuous Carbon Fiber reinforcements in a UHTC matrix, leading to the definition of the Ultra-46 47 High-Temperature Ceramic Matrix Composites (UHTCMCs) [14, 15]. Recently, flexural strength values as high as 450 MPa and 200-300 MPa were collected at 1500°C and 2100°C, respectively, demonstrating the excellent performance of 48 UHTCMCs based on Carbon fibers preforms and HfC, ZrC, TaC and ZrB₂ matrices [16, 17, 18]. All the high 49 temperature values were always higher than the room temperature values. Moreover, UHTCMCs based on carbon 50 51 preforms and ZrB_2 matrix displayed also excellent thermal shock resistance [18], with 85% of the pristine flexural 52 strength retained after water quenching from 1500°C to room temperature.

The overall objective is developing large ultra-refractory aerospace transportation systems components with outstanding ablation resistance and enhanced mechanical properties and reliability [19, 20, 21, 22]. To achieve this goal, testing in a relevant environment is required to properly characterize the ceramic materials in conditions representative of the real flight applications. For atmospheric re-entry TPS, the most suitable facilities are supersonic and hypersonic arc-jet wind tunnels [23, 24].

58 Within this framework, University of Naples "Federico II" (UNINA) and the Institute of Science and Technology 59 for Ceramics of the Italian National Research Council (CNR-ISTEC) are involved in the Horizon 2020 European 60 C³HARME research project, focused on the development of a new class of UHTCMCs for near-zero ablation thermal 61 protection systems [25]. An extensive experimental characterization campaign has been carried out on in the UNINA 62 arc-jet wind tunnel, where atmospheric re-entry conditions are reproduced at maximum flow total enthalpies higher than 63 20 MJ/kg, supersonic Mach number and temperatures over 2000°C in a gas atmosphere with high concentration of 64 atomic oxygen. Non-intrusive diagnostic equipment, including two-color pyrometers and an infrared thermo-camera, is 65 employed to monitor the surface temperature of the samples. The ablation rates of the samples after the exposure to the 66 aero-thermo-chemically aggressive flow are also estimated by mass and thickness measurements.

67 We previously published the results of an arc-jet wind tunnel characterization campaign carried out on a batch of 68 two UHTCMC samples with ZrB2-10 vol% SiC as matrix and ~50 vol% of continuous coated PAN-based carbon fibers 69 as reinforcing phase [26]. The present work extends the results to 3 more samples, all based on a UHTC matrix with 70 ZrB₂ as major component and SiC and Y₂O₃ particles as minority phase, reinforced with uncoated continuous or 71 chopped ultra-high modulus pitch-based carbon fibers. Compared to the previous work [26], in this one, we notably 72 improved the manufacturing of UHTCMCs. The matrix was nearly pore-free, the matrix phase distribution in the fiber 73 preform was more homogenous. These two features are of fundamental importance to guarantee a better protection of 74 the fibers by the UHTC matrix during oxidation and hence arc-jet tests, as explained in [15].

The outcomes of the experimental activities are presented and discussed, also in light of the post-test characterizations carried out to investigate the features of the materials microstructures after the exposure to the atmospheric re-entry environment. Moreover, the experimental results are complemented by Computational Fluid Dynamics (CFD) simulations, employed to allow accurate prediction not only of the thermo-fluid-dynamic flow field around the test articles, but also of the thermal behavior of the materials samples, including an investigation of the effect of material properties, such as thermal conductivity and catalycity.

81 Specifically, a rapid temperature increment during the highest-enthalpy steps, phenomenon known as "temperature 82 jump" in the relevant literature regarding UHTCs and SiC-based ceramics, has been observed [27, 28, 29]. This paper intends to propose a thorough and detailed analysis of the materials aero-thermo-dynamic behavior at ultra-high 83 temperatures in a representative re-entry environment, aiming to provide a comprehensive interpretation of the 84 temperature jump, correlating the outcomes of infrared temperature measurements, post-test microstructural analyses 85 and numerical simulations to highlight the parameters which mainly affect the heat transfer from the flow to the 86 87 ceramic. A deep understanding of the materials response is indeed an important step in the path towards a reliable 88 engineering application of these novel TPS technologies. 89

90 2. Materials and methods

91 2.1. Material processing

Different matrix formulations and carbon fibers architectures have been compared. UHTC matrices containing different amount and type of additives (SiC and Y_2O_3) were prepared by conventional wet powder milling technique, Table 1. SiC is a common secondary phase added into UHTC matrices to increase the oxidation resistance and mechanical properties, Y_2O_3 is introduced with the aim of improving the oxide stability, i.e. preventing the ZrO₂ martensitic transformation and corresponding volume expansion upon cooling. Regarding fiber, both random chopped fibers and continuous fibers alternately arranged with 0° and 90° orientation have been considered.

Sample ID	UHTC, vol%	FVC, vol%	Fiber type
ZS5Y-SF	$ZrB_2 + 5SiC + 5 Y_2O_3$	40	short, XN60
ZS10Y-SF	$ZrB_2 + 10SiC + 5 Y_2O_2$	₃ 45	short, XN60
ZS10Y-LF	$ZrB_2 + 10SiC + 5 Y_2O_2$	₃ 35	long, XN80
	1.011 1.01		

Table 1. List of matrix compositions and fiber volume fraction (FVC) of the investigated UHTCMCs.

101 Short fibers were added to the UHTC powders and mixed for 24 hours. The slurries were then dried in a rotary 102 evaporator and de-agglomerated. Long fiber-reinforced materials were obtained by aqueous slurry infiltration, stacking 103 of the Cf foils with a 0°/90° configuration and drying in air.

104 Composites were densified to full density after sintering at 1900°C as described in [30, 19]. Typical images of the 105 microstructures taken by scanning electron microscopy (FE-SEM, Carl Zeiss Sigma NTS Gmbh, Oberkochen, DE) are 106 displayed in Fig. 1. All composites had large defect-free microstructure. Short-fiber, with length around 150 μ m, were 107 homogeneously dispersed into the ZrB₂-based matrix with preferential orientation along the xy axis, Fig. 1(a). Long-108 fiber reinforced materials presented some perpendicular micro-cracks between the fabric layers, but good fiber 109 infiltration and 0° to 90° layers adhesion was achieved.

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Fig. 1. Sample pictures (top right frame) and typical microstructure of as-sintered UHTC reinforced with a) short fiber or b) long fiber with $0^{\circ}/90^{\circ}$ architecture.

115 2.2. Arc-jet facility

Experimental tests were carried out in the arc-jet wind tunnel available at the University of Naples "Federico II", named SPES (Small Planetary Entry Simulator). This is an open circuit, continuous wind tunnel where a nitrogen plasma can be generated by an industrial torch able to operate at powers up to 80 kW and mixed to a secondary cold oxygen flow in order to simulate earth atmospheric composition. A converging-diverging nozzle is employed to expand the hot mixture to a nominal supersonic Mach number equal to 3. A detailed facility description can be found in [31].

Small sized material samples can be placed downstream the nozzle exit section by a dedicated thermally protected supporting mechanism, in order to expose them to the supersonic dissociated air flow, and characterize materials response to the extreme aero-thermo-chemical environment [32]. The nominal samples design for the present test campaign is that typically used in the arc-jet facility [28] and is displayed in Fig. 2. The UHTCMC samples were placed at a distance of 1 cm from nozzle exit.



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Fig. 2. Nominal design of UHTCMC samples. Dimensions in mm.

129 In the present test campaign, samples were exposed to a supersonic flow generated by the expansion of a high 130 enthalpy gas mixture of nitrogen (0.8 g/s) and oxygen (0.2 g/s). During the test, the arc power of the plasma torch and consequently the total enthalpy of the flow were gradually increased through successive increments, leading 131 correspondingly to an increase of pressure and temperature. A quasi-stationary condition generally occurs when the 132 133 maximum value of temperature is reached during the last steps of the test. In the tests discussed in the present work, the nominal duration of each step was 30 seconds, except for the last step of 120 seconds. The specific total enthalpy is 134 obtained, with an uncertainty around 10%, through an energy balance at the exit of the plasma torch, based on 135 136 measurement of temperature and flow rate of cooling water [31]. The values of specific total enthalpy corresponding to 137 each power step are reported in Table 2. After reaching the maximum enthalpy level, the arc power is gradually 138 decreased until facility shutdown.

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Table 2. Specific total enthalpies at different steps during the tests.								
Step	1	2	3	4	5	6	7	8
H ₀ [MJ/kg]	7.0	8.5	10	12	14	16	18	20

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The surface temperature of the samples was continuously measured (\pm 1% instrumental accuracy) by digital twocolor pyrometers (Infratherm ISQ5 and IGAR6, Impac Electronic GmbH, Germany) at an acquisition rate of 100 Hz. In addition, an infrared (IR) thermo-camera (TC, Pyroview 512N, DIAS Infrared GmbH, Germany) allows for the evaluation of the temperature distribution over the sample surface. The ISQ5 pyrometer exploits two overlapping infrared wavelength bands at 0.7–1.15 µm and 0.97–1.15 µm to measure the actual temperature from 1273 K up to 3273 K. The IGAR6 pyrometer operates in the bands 1.5-1.6 µm and 2.0-2.5 µm to return the sample temperature in the range 523-2273 K. The measurement area of the ISQ5 pyrometer is approximately a round spot 3.3 mm in diameter. The thermo-camera is able to detect temperature in the range 873-3273 K and it operates in the spectral range from 0.8 to 1.1 μ m. The temperature measurement of the IR-TC is dependent on the surface emissivity ε_{λ} in the instrument operating spectral range. In order to set the correct value of the spectral emittance, the TC measurement is compared to the actual temperature detected by the pyrometers in the two-color mode, and the value of ε_{λ} is adjusted until the TC gives back the same temperature as the pyrometers. High-Definition videos of the tests were recorded by means of a Camera Flea3 1.3 MP Color USB3 Vision with a resolution of 1328x1048 and a frame rate equal to 25 fps.

A balance (1 mg accuracy) and a precision caliper (0.01 mm accuracy) were used to measure mass and thickness losses of the specimen. In the post-processing analyses, two erosion rates were calculated: one based on the loss of mass (assuming uniform consumption of the sample in the axial direction) and the other evaluated by the thickness measurement made by the caliper.

160 2.3. Numerical models

In order to properly characterize the flow field inside the facility and to rebuild the thermal behavior of the samples, Computational Fluid Dynamics (CFD) simulations were performed. In particular, steady-state simulations of the flow field are performed, solving the Reynolds-Averaged Navier-Stokes equations for a turbulent multi-reacting gas mixture with five species (i.e. O, O₂, NO, N and N₂), in chemical non-equilibrium, considering finite rate chemical reactions, with the reaction rate constants specified by the Arrhenius law [33].

166 The flow simulations were performed in two steps. First the 2D-axisymmetric computational domain shown in Fig. 167 3(a) was considered, including the mixing chamber, where N₂ coming from the torch and cold O₂ are mixed, and the converging-diverging nozzle, where the high-enthalpy simulated air flow is expanded to supersonic conditions. The 168 169 total temperature and chemical composition of the gas coming from the torch, at nitrogen inlet, were evaluated by 170 means of the NASA CEA (Chemical Equilibrium with Applications) software [34] in order to match the total specific 171 enthalpy corresponding to the desired value of the torch arc power. A radial mass flow inlet provides cold oxygen 172 injection. Nozzle water-cooling was taken into account by setting a temperature boundary condition on the nozzle walls 173 (T = 400 K). The main output of these simulations were the thermo-fluid-dynamic and chemical conditions achieved at 174 nozzle exit section, which was modelled as a supersonic pressure outlet and corresponds to the inlet of the test chamber.

In the second simulation step, the aero-thermo-dynamic flow field around the sample and its supporting system was calculated, using the computational grid shown in Fig. 3(b). The main quantities of interest (temperature, pressure, velocity and chemical composition) calculated at the nozzle exit section in the previous step, were assigned to a boundary representing the inlet of the flow into the test chamber (bottom left of Fig. 3(b)). On the top and rear boundaries of the test chamber domain, a pressure-outlet condition corresponding to the experimental vacuum environment was set.



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Fig. 3. Mesh for the CFD simulations: a) mixing chamber and nozzle; b) test chamber, including test sample and solid supporting system.

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191 equation inside the solid components, considering thermal coupling between fluid and solid domain, by means of 192 temperature and heat flux continuities at the interfaces.

193 Due to the high concentration of dissociated species in the flow, particular focus was given to the effect of surface 194 catalycity, which enhances the heat transfer to the sample. The non-catalytic (NC) condition was specified by assigning, 195 for each species, a zero diffusive flux in the direction normal to the specimen surface. The fully catalytic condition (FC) 196 was set by assigning, on the sample surface, the species mass fractions corresponding to a complete recombination of 197 atoms into molecules. Intermediate values of the catalytic recombination coefficients γ_w [35, 36] can also be considered, 198 according to the model described in [26]. In this paper, to reduce the number of unknown quantities, it has been 199 assumed that the catalytic recombination coefficients are the same for the two atomic species, nitrogen and oxygen. 200 A more detailed description of the numerical models employed in this work can be found in [26, 37].

202 **3. Experimental results**

203 3.1. Arc-jet testing

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Table 3 summarizes the test conditions to which each sample was subjected, in terms of the enthalpy steps described in Table 2. The thermal histories of the different samples, measured by the ISQ5 pyrometer, are plotted in Fig. 4.

Table 3. Test conditions achieved for each sample.				
Sample ID	Enthalpy steps	T max achieved, K		
ZS5Y-SF	2-7	2690		
ZS10Y-SF	1-8	2850		
ZS10Y-LF	2-7	2700		

209 The stepwise increase in temperature is associated to the power increase procedure. At the maximum enthalpy level, 210 the temperatures of all the samples reached values close to 2700 K and even overpassed 2800 K for the only sample 211 tested at the highest power condition, ZS10Y-SF. In particular, it was clear that a sudden rise in temperature (herein 212 defined as "temperature jump") of several hundred degrees occurred during the highest-enthalpy steps, even at a 213 constant arc power, after a steady state condition had apparently been reached. The jump happened for all the samples 214 when the flow specific total enthalpy was 18 MJ/kg. For sample ZS5Y-SF the jump occurred around t = 150 s, few seconds after the surface temperature reached a stable value over 2250 K, while for samples ZS10Y-SF and ZS10Y-LF, 215 the momentary equilibrium temperature was around 2150 K (achieved a t = 160 s and 180 s, respectively). For sample 216 ZS10Y-SF the torch arc power was further increased to the maximum value ($H_0 = 20 \text{ MJ/kg}$) at t = 180 s, when the 217 jump had already been triggered and the surface temperature was 2270 K, resulting in an increased slope of the 218 219 temperature time profile and a surface temperature exceeding 2800 K at the end of the heating phase. The temperature 220 jump phenomenon is typically observed for UHTC- and SiC-based composites [27, 28, 29] and can be associated to a 221 drastic change in surface chemistry, as will be widely discussed later on.

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Fig. 4. Temperature histories of the samples, measured by the ISQ5 pyrometer.

After the tests, all samples heads appeared completely oxidized, with a white layer, mainly composed of ZrO₂, as discussed below, covering the surface. For samples described earlier [26], this layer had been found to be porous, fragile and with tendency to spall off. In the present test campaign, the samples preserved instead the original shape and a perfect structural integrity, despite the clearly noticeable signs of surface oxidation (see Fig. 5). The long-fiber layered architecture of samples ZS10Y-LF was still observable (see Fig. 5(c)).

The average mass- and thickness-based erosion rates are reported in Table 4 and all of them are on the order of 10^{-4} mm/s. Balance between oxygen inclusion and C, Si and B volatilization upon sample oxidation resulted in a net, although limited, mass loss. It is interesting to observe that, on the other hand, oxidation led to a thickening of samples heads, resulting in a negative ablation rate based on thickness measurement. Since no other samples dimensions had significant variations with respect to the nominal values (Fig. 2), only thickness data are here reported. Based on these measurements, it can be concluded that all the samples experienced a slight volume increase despite the net mass loss.

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Fig. 5. Pictures of samples after test: a) ZS5Y-SF, b) ZS10Y-SF, c) ZS10Y-LF.

Table 4. Mass and thickness data before and after the test.				
	ZS5Y-SF	ZS10Y-SF	ZS10Y-LF	
Initial mass	7.360 g	6.709 g	7.374 g	
Final mass	7.270 g	6.553 g	7.300 g	
Average erosion rate (mass)	3.0·10 ⁻⁴ mm/s	5.6·10 ⁻⁴ mm/s	2.5·10 ⁻⁴ mm/s	
Initial thickness	4.90 mm	5.01 mm	4.98 mm	
Final thickness	5.00 mm	5.05 mm	5.01 mm	
Average erosion rate (thickness)	-3.2·10 ⁻⁴ mm/s	-1.3·10 ⁻⁴ mm/s	-1.0·10 ⁻⁴ mm/s	

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The IR video of test on sample ZS5Y-SF showed that, few seconds before the temperature jump, an unsteady 244 245 evolution of the irradiated power appeared on the side surface, resulting in a wavy oscillation of the surface 246 temperature. A comparable phenomenon has been already reported by Monteverde et al. [31], who defined it as wavesof-radiance and correlated it to the transport of a liquid glassy oxide phase from the front surface along the side of the 247 248 sample by the shear stresses induced by the supersonic flow. A qualitative visualization of the phenomenon is possible, watching the IR video provided in the Supplementary material. Evidence of the waves-of-radiance can be observed also 249 250 in Fig. 6 and Fig. 7, which are referred, as examples, to samples ZS5Y-SF and ZS10Y-LF, respectively. The diagrams 251 on the left (Fig. 6(a) and 7(a)) show the temperature axial profiles measured by the TC on the side surface of the 252 samples, each curve representing a specific time instant at the earliest stages of the temperature jump. The diagrams on 253 the right (Fig. 6(b) and 7(b)) report instead the time evolution of the temperature at different locations along the surface. 254 The curves have been obtained assuming a constant spectral emissivity along the whole surface, so they should be more 255 correctly interpreted as surface radiance profiles, rather than actual temperature diagrams. Two features can be remarked. First, the axial profiles of Figs. 6 and 7(a) are not straight, but temperature oscillations can be noticed, up to 256 257 around 20 K. The same behavior was observed in [31]. Moreover, it is possible to see that, as time advances, the 258 radiation temperature does not change uniformly along the samples length, but the distance between two curves 259 corresponding to consecutive instants is variable, and in some case the lines even cross each other. This phenomenon is 260 evidenced also in the pictures on the right (Figs. 6 and 7(b)). The curves display an oscillatory trend and tend to get closer and farther as time advances, testifying an unsteady evolution of the radiated power which is different at each 261 262 axial location. 263





Fig. 6. ZS5Y-SF: a) Temperature axial profiles, measured by IR-TC before temperature jump; b) Time evolution of the IR-measured temperature at different points along the sample side surface.



Fig. 7. ZS10Y-LF: a) Temperature axial profiles, measured by IR-TC before temperature jump; b) Time evolution of the IR-measured temperature at different points along the sample side surface.

For a sound analysis of the material thermal behavior during arc-jet testing, infrared measurements on sample ZS10Y-LF were taken as reference. During the tests, the ISQ5 pyrometer was pointed towards the sample front face, whereas the IGAR6 pyrometer looked at the side surface. Moreover, the IR thermo-camera positioning allowed to analyze both the front and the side surfaces facilitating the visualization and characterization of the temperature jump phenomenon. Fig. 8(a) and (b) show the thermal distribution on the sample respectively just before and just after the jump, during the maximum enthalpy step ($H_0 = 18 \text{ MJ/kg}$). It is evident that only the front part of the sample experienced a dramatic increase in temperature, whereas the rear body appeared to be almost unaffected by the thermal rise. The same trend is visible in Fig. 9, where the thermal histories recorded by the two pyrometers for the two surfaces of the sample are shown. It is evident that the measurements matched well in the earliest phases of the test, whereas, at temperatures over 2100 K, they started diverging, and the front face reached a steady-state temperature around 500 K higher than the side.



(a) (b) Fig. 8. Thermal images of sample ZS10Y-LF (a) before and (b) after the temperature jump.



t (s)
Fig. 9 Comparison of temperature measured by ISQ5 pyrometer (front surface) and IGAR6 pyrometer (side surface) for sample ZS10Y-LF.

293 Thermo-camera and pyrometers measurements were compared to provide an estimation of the spectral emissivity in 294 the near infrared wavelength band, which is common to all instruments. Fig. 10 shows the temperature curves collected 295 by pyrometers and thermo-camera, on front (a) and on side (b) surfaces of sample ZS10Y-LF, assuming a spectral 296 emissivity $\varepsilon_{\lambda} = 0.7$. The non-perfect overlapping suggests a change in emissivity during heating. This trend is quantified 297 in Fig. 11, where the value of ε_{λ} , evaluated by matching the pyrometer and thermo-camera measurements as described 298 in section 2.2, is plotted versus test time for both the front (black line) and side (gray line) surfaces of sample ZS10Y-299 LF. Spectral emissivity appears to increase in the earliest phases of the test, from a value below 0.4 after 30 s (end of 300 first enthalpy step) up to over 0.7, after roughly 60 s (end of second enthalpy step). Then, ε_{λ} gradually decreases during 301 heating on both surfaces, attaining a minimum value between 0.5 and 0.6 and then rapidly increasing again after the 302 temperature jump up to almost 0.8. Finally, it appears that, during the cooling phase, the emissivity rapidly decreases on 303 the front surface, while it is almost constant on the side.



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Fig. 10. Comparison between temperature measured by pyrometers and thermo-camera ($ε_λ = 0.7$) (a) on the front surface and (b) on the side surface, of sample ZS10Y-LF.



Fig. 11. Spectral emissivity in the near-infrared wavelength band on front and side surface of sample ZS10Y-LF,
versus test time.



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3.2. Post-test microstructure analysis

318 In order to implement the numerical calculation and gain an insight into the samples aerothermal behavior during 319 arc-jet testing, post-test microstructures were analyzed by SEM and energy dispersive x-ray spectroscopy (EDS, INCA 320 Energy 300, Oxford instruments, UK).

321 Comparative views of the external oxidized surface of the samples with short fibers is reported in Fig. 13. It can be 322 appreciated that in both cases, the surfaces were fiber-free, ZS5Y-SF displayed alternated areas with dense ZrO₂ or cracked and porous ZrO₂, Fig. 13(a), whereas ZS10Y-SF was featured by diffused cracking in a ZrO₂ layer with large 323 pores which coated another one where finer pores could be seen, Fig. 13(b). This structure suggests that partial oxide 324 removal took place leaving uncoated the oxide layer underneath. To note that XRD analysis on these surfaces 325 confirmed that the ZrO_2 scale maintained the tetragonal structure owing to the stabilization by Y_2O_3 . Therefore, 326 327 cracking in ZS10Y-SF might be due to the thermal stress rising from coupling of ZrO₂ with different pore size and 328 volume fraction.

EDS elemental analysis of the cross section in the central area of the model, Fig. 14, evidenced an oxygen depth penetration of 400 and about 550 μ m in ZS5Y-SF and ZS10Y-SF, respectively, in agreement with the severity of the test conditions. Interesting to note is Si distribution across the scale: in the less harsh condition, ZS5Y-SF, Si accumulated towards the top surface and filled ZrO₂ scale in the sub-layer. In the hardest condition, ZS10Y-SF, no SiO₂-based topping accumulated owing to its instant evaporation once the outermost surface once was achieved.



Fig. 13. SEM images of the surface of the short fiber reinforced samples after arc-jet tests with enlarged views of microstructural details inset: a) ZS5Y-SF, b) ZS10Y-SF.



Fig. 14. SEM image and corresponding EDS elemental mapping of a) ZS5Y-SF, b) ZS10Y-SF samples taken from the central zone of the buttons.

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344 Magnified views of the cross section of the SF samples are shown in Fig. 15. In both cases the unaffected core and 345 oxide scale had a strong and coherent interface, carbon fibers did not survive in the ZrO_2 layer and silica-based 346 accumulation was clear only in ZS5Y-SF, Fig. 15(a). In the case of ZS10Y-SF, despite the embrittlement of the sub-347 layer owing to the fiber consumption, no spalling occurred, possibly owing to a stable oxide composition which 348 comprised ZrO₂ and a mixed Y-B-C-O phase. As for the matrix composition containing 5 or 10 vol% SiC in ZS5Y-SF 349 and ZS10Y-SF, respectively, one could think that higher amount of SiC would provide better oxidation resistance, 350 owing to the higher source of protective silica glass [38]. However, under these experimental conditions, SiC volume 351 fraction seems not to play such a relevant role, because most of the glass migrated to the surface (which could retard the 352 advancement of the oxidation front), was shear-transported away and vaporized at the ultra-high temperatures of 2700-353 2800 K.



354 Fig. 15. SEM images of the cross sections of a) ZS5Y-SF, b) ZS10Y-SF samples showing the oxide architecture. 355 356

Moving to the long-fiber UHTCMC, the outer surface presented discontinuous zones of silica-based rich glass and a 357 358 sort of ZrO₂ sheath of the carbon fiber which were ablated away, Fig. 16. Fig. 17 shows SEM pictures of the ZS10Y-LF 359 sample cross-section after exposure to the supersonic plasma flow with EDS mapping of the main components and a 360 zoom on the outermost oxide layer. The overall oxide layer had a thickness of around 360 µm, which approximately 361 corresponds to the thickness of one fabric scale. The oxide appeared more damaged and brittle in the center as 362 compared to the periphery where it was rather compact smooth. Below this layer, the pristine microstructure appeared unaffected, with evidence of the 0°/90° architecture of the fibers. EDS revealed complete boron removal in the region of 363 364 oxygen penetration, whilst silicon was slightly depleted in the subscale and accumulated close to the surface. At higher 365 magnification, the outermost layer comprised a roughly 50µm-thick pure ZrO₂ region, which displayed an irregular and 366 highly porous structure. Below this, a silica-rich layer was present, which covered a SiC-depleted region, where voids 367 were left by Si outwards diffusion. Also in this case, there was no evidence of carbon fibers survival to the exposure to 368 the arc-jet flow, all along the oxide thickness.

369 It can be stated that the long fiber configuration was very effective in limiting oxygen penetration across the material 370 depth, possibly thanks to the consumption of one carbon fabric sheet that locally enriched the environment in CO, thus 371 contrasting oxygen advancement.



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Fig. 16. SEM images of the surface of the long fiber reinforced sample after arc-jet tests, ZS10Y-LF, with enlarged views of microstructural details inset.



elemental maps showing boron, oxygen and silicon distribution across the profile. Bottom pictures show the

outermost oxide layer at higher magnification.

385 **4. Discussion**

Several phenomena were observed during UHTCMC samples exposure to arc-jet supersonic plasma flow. A comprehensive interpretation could be proposed, relating the experimental observations to the phase changes occurred on the samples surfaces due to ultra-high-temperature oxidation.

389 Since the exposed surface had no protective coating, surficial carbon fibers vaporized at relatively low temperature 390 [39], leaving a rough ZrB_2 -SiC surface. In agreement with ZrB_2 -SiC oxidation mechanism [40, 41], at temperatures 391 below 1400 K (where the spectral emissivity is minimum, roughly 0.3), the sample surface was covered by a boron-392 oxide glassy phase, as relevant SiC oxidation had not been triggered yet. Between 1500 and 1600 K, SiC underwent 393 passive oxidation and the formation of a stable borosilicate glass (BSG) provided the highest oxidation protection to the 394 UHTC matrix, Fig. 18(a). The maximum emissivity of around 0.75 was reached at temperatures between 1600 and 1700 395 K, when consistent B₂O₃ vaporization occurred and the glass was mostly composed of SiO₂. In the 2100-2300 K 396 temperature range, which are the maximum temperatures reached before the occurrence of the temperature jump for all the samples, emissivity was then minimum, around 0.5. This is also the temperature range in which the waves-of-397 radiance phenomenon occurred, right before the temperature jump. Since at those temperatures the BSG layer was 398 399 supposed to be mainly composed of silica, that has a melting point around 2000 K [42], we speculate that the oxide was completely liquid and therefore, in agreement with the discussion by Monteverde et al. [31], the waves phenomenon 400 401 was associated to the shear-induced transportation of the glass along the specimen side surface. The BSG layer is 402 known to perform a protective action for the ceramic, acting as a barrier preventing further oxygen diffusion and consistent material oxidation at intermediate-high temperatures [43]. A combination of shear transportation and 403 404 volatilization of the glassy phase left the underneath skeleton unprotected, exposing the ZrO_2 grains directly to the supersonic flow [41]. Meanwhile, the residual liquid phase being generated in the sub-scales was not capable to prevent 405 406 massive volatilization of the gaseous products of SiC and carbon fibers oxidation (SiO, CO₂, CO), whose vapor 407 pressure led to unsteady protrusion and bursting of liquid bubbles, as observed in a previous test campaign [26], Fig. 18(b,c). This is coherent with the microstructural analysis presented in section 3.2, showing that the outer oxide layer on 408 409 all the samples consisted of porous zirconia, after massive removal of both carbon fibers and silica glass.



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Fig. 18. Sketch of LF UHTCMCs oxidation highlighting three different stages, a) formation of borosilicate glass and compact ZrO₂ layer, b) glass bubbling, development of columnar ZrO₂ outer scale and progressive silica migration outwards from the subscale, c) exposed porous ZrO₂ layer after complete silica shear removal.

415 At this point, some considerations can be done about the temperature jump, observed for all the samples at a flow 416 total enthalpy over 18 MJ/kg. This phenomenon has been observed by several authors for SiC-containing UHTCs and 417 C-SiC, but a widely agreed interpretation is still lacking. The mechanisms proposed as possible triggers for the jump 418 include transition from passive to active oxidation of silicon carbide [44, 45], triggering of catalytic recombination of 419 nitrogen atoms due to the presence of gaseous silicon [46], formation of cracks promoting oxygen diffusion to inner SiC 420 particles and carbon fibers, resulting in carbon exothermic oxidation and nitridation [44, 47], surface modifications 421 altering properties of the samples such as emissivity and catalycity. All these factors could lead to completely different 422 surface heat flows even under the same test conditions (same arc power, in the present case). It is important to underline 423 that, whatever the reason behind the considerable temperature increase, this was not just a transitory phase, but led to a 424 new condition which persisted for all the remaining test duration. Indeed, the plots of Fig. 4 demonstrate that a steady-425 state radiative equilibrium temperature was achieved, not only during the maximum enthalpy step, but even during the cooling procedure, in which the torch arc power is stepwise decreased. In the cooling phase, the surface temperature 426 427 measured by the ISQ5 pyrometer was always higher than during the heating sequence, at all the enthalpy levels. Therefore, after the unsteady evolution corresponding to the trigger of the temperature jump, a new stable equilibrium 428 429 condition of the heat balance through the exposed surface of the sample must be established, related to variations of the 430 heat flux contributions at the solid/fluid interface.

431 One possible trigger could be a reduced capability of the material to dissipate the incoming heat flux by radiation. 432 However, in the assumption that, especially at the very high temperatures reached after the jump, the estimated value of 433 spectral emissivity is representative of the total emissivity along the whole wavelength spectrum (as most of the power is irradiated in the wavelength band around 1 μ m, where the value of ε_{λ} was calculated [48]), it could be argued that the observed increase in the emissivity after the jump should even favor an improved radiative heat dispersion. On the other hand, the general estimated emissivity trend is in agreement with the total emissivity measurements presented by Scatteia et al. [49], while the increase in spectral emissivity with temperature, observed after the occurrence of the jump, is coherent with available data related to total emittance of ZrO₂ at ultra-high-temperature [50], so a different reason is most likely to be searched.

The experimental evidence, with the temperature measurement showing the jump being confined in a thin region in proximity of the sample front surface, and the SEM images revealing a porous oxide layer mainly composed of zirconia, suggests instead that a twofold mechanism could be taken into account to justify the temperature jump:

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- a substantial reduction of the thermal conductivity in the oxide layer, on which porosity itself can have a significant influence [51, 52];
- an increased catalytic recombination efficiency due to a transition from an oxide layer mainly covered by glassy silica (relatively low γ_w [53, 54]) to a scale primarily based on zirconia (relatively high γ_w [55]).

In the next section, this interpretation will be quantitatively supported by the outcomes of numerical simulations,which will be compared with the experimental data.

4.1. Numerical simulations

451 Computational Fluid Dynamic simulations were employed to get more detailed information about the evolution of 452 the aero-thermo-chemical flow field that develops in the facility and around the samples, and to provide possible 453 interpretations for the thermal behavior of the materials.

454 Specifically, to investigate the phenomenon of the temperature jump, the last step of the test on ZS10Y-LF was 455 selected as a reference case. First, the thermo-fluid-dynamic and chemical field was simulated, employing the numerical 456 models described in section 2.3. Fig. 19 shows the Mach number and temperature distributions inside the mixing 457 chamber and supersonic nozzle of the SPES wind tunnel, in the selected conditions ($H_0 = 18$ MJ/kg). The hot jet coming 458 from the axial torch is clearly distinguishable.

459 The conditions obtained at the nozzle exit section were used as inputs for the simulation of the flow field around the 460 test article. Fig. 20(a-d) show the distributions of pressure, temperature and mass fractions of dissociated oxygen and 461 nitrogen. Static pressure and temperature contours evidence the structure of the flow field, with a normal shockwave forming at a distance of 4-5 mm from the sample, and the aforementioned quantities rising downstream the shock to 462 values about 9000 Pa and 6000 K respectively. It is also clear that the level of dissociation of molecular species is 463 464 considerably high, condition that, as discussed below, results in a relevant effect of surface catalycity on the heat fluxes. 465 Fig. 21 shows in fact the profiles of the cold wall heat flux on the front surface of the sample, for both non-catalytic 466 (NC) and fully catalytic (FC) conditions. It is evident that the heat flux is more than double in the FC condition with 467 respect to the NC, reaching values around 5 MW/m².

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479 Fig. 21. Non-catalytic and fully catalytic cold wall heat flux profiles on the front surface of the samples, 480 conditions corresponding to Step 7 ($H_0 = 18$ MJ/kg).

482 The aero-thermo-chemical field was finally coupled to the thermal analysis of the sample, performing steady-state simulations to match the temperature distribution evaluated by the thermo-camera before and after the temperature 483 484 jump. The sample density was set to 4300 kg/m³. The surface emissivity, based on the estimation presented in section 485 3.1, was set to 0.5 before the jump, and to 0.8 after the jump. In order to match the temperature axial profile before the jump, and based on experimental measurements performed within the frame of the project, a temperature dependent 486 487 thermal conductivity was considered, varying between 49 W/ m·K at room temperature to 47 W/m·K over 2273 K. 488 Even before the occurrence of the temperature jump, a certain amount of catalytic recombination needed to be taken 489 into account, with a catalytic efficiency $\gamma_w = 4 \cdot 10^{-3}$. This value is representative of SiO₂ [53], which is supposed to be the component with the highest concentration in the BSG phase before the jump. As discussed in section 3.1, at the 490 earliest stages of the temperature jump phenomenon, a liquid phase is transported downstream by the supersonic flow, 491 492 generating the waves-of-radiance phenomenon. It is opinion of the authors that this is the trigger for an unsteady 493 variation of the surface chemistry, resulting in a complete removal of SiO₂ and carbon fibers from the sub-scale layer of 494 the oxide phase, which proceeds until a new radiative equilibrium condition is reached, corresponding to the exposure 495 of a highly porous ZrO₂ scale (see Fig. 17). As anticipated above, to justify the rise in temperature associated to the 496 jump, and localized in the front part of the sample, a double effect was considered: an increase in catalytic activity (γ_w), 497 and a dramatic decrease in thermal conductivity in the oxidized region (k_{ox}) . An excellent agreement between numerical and experimental results was obtained assuming $\gamma_w = 7 \cdot 10^{-2}$ (more than one order of magnitude higher than before the 498 499 jump) and $k_{ox} = 1$ W/m·K, and considering an oxide thickness of 400 µm (based on the estimations made by the 500 microstructural analyses presented in section 3.2). The comparison between numerical and experimental temperature 501 axial profiles is shown in Fig. 22.







507 The results of these CFD simulations support the proposed interpretation for the temperature jump, demonstrating 508 that, with reasonable assumptions about the involved physical parameters, it is possible to accurately reproduce the 509 experimental behavior of the UHTCMCs, providing a sufficiently solid explanation of the temperature jump 510 phenomenon.

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512 **5.** Conclusions

513 An experimental campaign was carried out to characterize a new class of Ultra-High-Temperature Ceramic Matrix 514 Composites based on ZrB₂-SiC-Y₂O₃ matrix and different carbon fibers architectures, in an environment representative 515 of atmospheric re-entry. Small sized samples were exposed to a supersonic flow of simulated air in an arc-jet wind

tunnel, at specific total enthalpies up to 20 MJ/kg, at heat fluxes over 5 MW/m² (cold wall, fully catalytic) and in a 516 highly reactive chemical environment. All samples demonstrated an excellent ablation resistance, with erosion rates on 517 518 the order of 10^{-4} mm/s. The surface temperature was monitored by non-intrusive infrared equipment, including twocolor pyrometers and a thermo-camera. In all the tests, a spontaneous temperature jump of several hundred degrees was 519 observed at constant flow conditions, with maximum equilibrium surface temperatures of 2690-2850 K. Only a thin 520 521 layer in the front part of the samples, directly exposed to the flow, experienced the jump, while the rear material kept a 522 much lower temperature (below 2200 K even after the jump). A phenomenon of liquid phase transportation along the 523 side of the sample (waves-of-radiance) was observed on the samples right before the onset of the jump. The combined 524 effort of experimental activities and numerical simulations allowed proposing a novel and comprehensive interpretation 525 for the jump, based on a twofold mechanism affecting the heat transfer to the material, associated to the formation of a porous ZrO₂ layer on the external surface of the sample after complete removal of the liquid SiO₂ glassy phase: an 526 increase in the catalytic activity and a strong reduction in thermal conductivity in the oxidized region. Despite the jump, 527 528 the analyzed ceramics displayed promising performance, with an excellent ablation resistance at 2700-2800 K and the 529 capability of the oxide phase to shelter the underneath material, keeping it at acceptable temperatures. 530

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