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In plane loaded masonry walls: DEM & FEM/DEM models. A critical review

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IN PLANE LOADED MASONRY WALLS: DEM & FEM/DEM MODELS. A CRITICAL REVIEW

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Abstract

This work is dedicated to the assessment of the nonlinear behaviour of masonry panels with regular texture and subject to in-plane loads, by means of numerical pushover analysis and an analytical homogenized model. Two numerical models are considered and adopted for performing a set of numerical tests: a Discrete Model (DEM) developed by authors and a Discrete/Finite Element Model (FEM/DEM) frequently adopted in rock mechanics field and effectively extended to masonry structures. In both models the hypotheses of rigid blocks and elastic-plastic joints following a Mohr-Coulomb yield criterion are adopted.

The aim of this work is twofold: i) a comparison and a calibration of the numerical models, evaluating their effectiveness in determining ultimate loads and collapse mechanisms of masonry panels, by assuming a nonlinear homogenized model for regular masonry as reference solution; ii) the evaluation of sensitivity of masonry behaviour and numerical models to panel dimension ratio and to varying masonry texture. Sliding collapse mechanisms changing to overturning collapse mechanisms for increasing panel and block height-to-width ratio are obtained and the results obtained with the numerical models turn out to be in good agreement.

1 INTRODUCTION

1 Masonry is one of the more common structural materials in ordinary and monumental buildings in
2 Italy and Europe, since it has been adopted for centuries up to present days. As well known,
3 masonry is a composite or heterogeneous structural material obtained by assembling natural or
4 artificial blocks by means of mortar layers or dry joints. Analytical and numerical modeling of such
5 a material represents a research field that is continuously characterized by the proposition of new
6 more or less detailed models, given that the assessment of masonry structural behavior is
7 fundamental for ensuring building safety condition and for restoration purposes.
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9 A wide set of analytical and numerical models may be adopted for studying masonry material.
10 Models may be distinguished for different aspects; for instance, the scale level considered, the type
11 of actions adopted, and the type of analysis performed. This work is dedicated to the analysis of
12 small/medium scale masonry specimens, subject to in-plane loads and nonlinear analyses are
13 carried on by the models considered.
14

15 In order to perform numerical or computer-aided analysis of masonry at small/medium scale,
16 detailed models accounting for material heterogeneity may be taken into account and, for this
17 purpose, discrete models [1] and heterogeneous finite element models [2,3] represent two main
18 model categories that may be found in literature. However, continuous models accounting for
19 masonry details at microstructure [4] represent a further important and effective tool for studying
20 masonry behavior and innovative models, in this field of analysis, are continuously developed [5-8].
21 Focusing on discrete models, it must be pointed out that they represent a class of numerical models
22 able to study the mechanical behavior of systems made of particles, blocks or multiple bodies. This
23 model type was introduced for modeling rocks [9,10] and a computer code was also created for this
24 purpose in plane case [11]. Discrete element models (DEMs) are characterized by two components:
25 elements and contacts. Elements may be modelled as infinitely rigid bodies or may be considered as
26 deformable bodies by adding strain deformation parameters to each block [12] or by subdividing
27 them into Finite Elements (FEs). In both cases, the number of degrees of freedom needed for
28 describing the model is larger with respect to the case of infinitely rigid bodies. Elements are
29 subject to displacements and rotations that may become large during analysis, then DEMs are
30 frequently formulated in the dynamic field and dynamic algorithms are adopted for obtaining
31 numerical solutions; for instance, starting with a perturbation to the initial model and solving the
32 equation of motion with a direct integration in time domain. Contacts between elements may be
33 modelled with proper elements or by evaluating element overlapping, and in many cases they are
34 often characterized by contact detection algorithms.
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Considering DEMs having elements subdivided into FEs, the resulting model is often called FEM/DEM [13,14,15,16]. In the field of masonry structures, this code has already been used for determining ultimate loads of masonry panels and arches [17,18]. Moreover, similar FEM/DEM codes have been recently introduced and applied to the field of masonry structures in-plane loaded by static and dynamic actions [19,20]. Thanks to the FE discretization, FEM/DEM codes are able to simulate masonry damage both at joint level and at block level; however, the number of degrees of freedom involved in analysis requires a large computational effort and for this reason it does not allow to model complex masonry structures.

In order to reduce the computational effort, discrete models with infinitely rigid elements may represent a simpler modelling choice, in particular if ancient masonry characterized by strong blocks and weak mortar or dry joints is studied. It must be pointed out that masonry specimens may be characterized by a regular arrangement of resisting elements having a well-defined square or rectangular shape; moreover, displacements caused by any type of load are usually smaller than those that may be found in soil and rock systems. In particular, due to the small displacements with respect to the overall specimen dimensions, contact topology does not vary during analysis. For these reasons, a simplified discrete model that neglects contact detection algorithms allows to further reduce the computational effort of the analysis. Cecchi and Sab [21] proposed a simple and effective discrete model with rigid elements and elastic interfaces for modelling regular masonry in- and out-of-plane loaded. This model was extended to the case of random masonry [22] and recently it has been extended to the in-plane modal analysis of regular masonry by introducing a matrix solution method [23]. In particular, the rigid DEM has been recently extended to the nonlinear analysis of in-plane loaded masonry panels by adopting a Mohr-Coulomb yield criterion for restraining joint actions [24]. A model adopting the same assumptions in linear and non-linear fields was studied by Trovalusci and Masiani [25], moreover it is worth noting that the hypotheses of rigid blocks and dry joints following a Mohr-Coulomb yield criterion are often adopted in the field of limit analysis of masonry [26-29].

The rigid DEM introduced previously has been already compared and calibrated with a FEM/DEM code in elasticity [30]. Moreover in a recent contribution, authors have initially compared the two models also accounting for material nonlinearity [31]. In this contribution, rigid DEM and FEM/DEM already considered by authors are deeply calibrated and compared in the field of material nonlinearity by performing a wide set of pushover analyses of masonry panels having dry joints. Such a comparison is fundamental for calibrating the joint stiffness values adopted by the rigid DEM with respect to the zero-thickness interfaces adopted by the FEM/DEM. Numerical analyses allow to determine ultimate loads and collapse mechanisms of the case studies considered;

furthermore, the influence of panel overall dimension ratio is taken into account together with the influence of block dimension ratio. Ultimate loads are also compared with analytic solutions based on a homogenized yield criterion that takes into account masonry microstructure.

This paper is organized as follows: the geometric model representing regular masonry is introduced and nonlinear behaviour at joint level is described; DEM and FEM/DEM are presented separately for first and then a parameter calibration between models is proposed; several case studies of masonry panels with varying overall dimension ratio and block dimension ratio are introduced; a homogenized yield criterion for masonry panels is defined and finally numerical tests with DEM and FEM/DEM are performed.

2 GEOMETRIC MODEL

Masonry panels having regular texture and subject to in-plane loads are investigated. Block dimensions are (Figure 1a): a (height), b (width) and t (thickness). Masonry regularity is represented by the so-called ‘running bond’ pattern, characterized by each block surrounded by six neighbors by means of six interfaces (Figure 1b). Due to the pattern considered, horizontal interfaces width is equal to half block width and vertical interfaces height is coincident with block height. In the following, four block width-to-height ratios are taken into account in order to evaluate the influence of local size effect on overall panel behavior with particular attention to ultimate loads and collapse mechanisms in case of specimens subject to self-weight and increasing lateral loads, for instance $b/a = 4, 2, 1, 0.25$ (Figure 2).

In order to represent historical masonry, blocks are modeled as rigid bodies and dry or weak/thin mortar joints are modelled as interfaces. Let $\mathbf{y}^{i,j}$ denote the position of the center of the generic $B_{i,j}$ block (Figure 1b), in the Euclidean space; it must be pointed out that j can actually take arbitrary values, while i is such that $i+j$ is always an even number. Due to rigid block hypothesis, a generic block exhibits a rigid body motion in the two-dimensional (2D) case given by:

$$\mathbf{u}^{i,j}(\mathbf{y}) = \mathbf{u}^{i,j} + \mathbf{\Omega}^{i,j}(\mathbf{y} - \mathbf{y}^{i,j}), \quad (1)$$

where $\mathbf{u}^{i,j} = \{u_1^{i,j} \ u_2^{i,j}\}^T$ is a vector collecting block horizontal and vertical translations and $\mathbf{\Omega}^{i,j}$ is a rotation skew tensor characterized by one component $\theta_3^{i,j}$, representing block rotation with respect to its center. Following the notation of the original work [21], interfaces are denoted with Σ_{k_1, k_2} with $k_1, k_2 = \pm 1$ for the horizontal case and $k_1 = \pm 2, k_2 = 0$, for the vertical one.

3 INTERFACE CONSTITUTIVE MODEL

The deformability of the model is lumped at interface level. Interfaces between blocks are modeled following an elastoplastic constitutive law, based on a Mohr-Coulomb yield criterion.

3.1 Elastic interface and isotropic joint

In the elastic field [32], the interface behaviour is given by a linear relation between interface tractions and the deformation between adjacent blocks. Then, normal and tangential stresses $\boldsymbol{\sigma} = \{\sigma_{\perp} \ \sigma_{\parallel}\}^T$ over a generic interface depend on the relative displacement between the blocks connected by the interface: $\boldsymbol{\sigma} = \mathbf{K}[[\mathbf{d}]]$, where \mathbf{n} is the vector normal to the interface, $[[\mathbf{d}]] = \{d_{\perp} \ d_{\parallel} \ d_3\}^T$ collects the relative displacements or ‘jumps’ between the blocks connected by the interface. In particular, relative displacement components are normal and tangential relative translations $[[\mathbf{u}]] = \{d_{\perp} \ d_{\parallel}\}^T$, and relative rotations d_3 .

In case of mortar joints \mathbf{K} is given by

$$\mathbf{K} = \frac{1}{e} \left[\frac{E^M}{2(1+\nu^M)} \left(\mathbf{I} + \frac{1}{(1-2\nu^M)} (\mathbf{n} \otimes \mathbf{n}) \right) \right] \quad (2)$$

where E^M and ν^M are the Young’s modulus and the Poisson’s ratio of mortar, and \mathbf{I} is the identity tensor. Note that tensor \mathbf{K} has, in this case, a diagonal form. In case of dry joints, a fictitious stiffness is defined, accounting for block surface roughness.

3.2 Interface with Mohr-Coulomb response

In both numerical models considered in this work material nonlinearity is present at interface level only, since the hypothesis of rigid blocks is adopted. Mortar or dry joints are modeled as a Mohr-Coulomb interfaces, then the yield criterion depends on cohesion $c \geq 0$ (with $c = 0$ in case of dry joints) and friction angle $0 < \phi < \pi/2$. Adopting a statically admissible approach, the interface failure condition can be expressed as

$$f(\sigma_{\perp}, \sigma_{\parallel}) = |\sigma_{\parallel}| + \sigma_{\perp} \tan \phi - c \leq 0, \quad (3)$$

where σ_{\perp} and σ_{\parallel} denote the normal and shear component of the stress vector acting on the generic interface Σ (omitting k_1, k_2 for simplicity). Adopting a cinematically admissible approach, for any point along the interface, the Mohr-Coulomb yield criterion is expressed by

$$\pi(\mathbf{n}, [[\dot{\mathbf{u}}]]) = \begin{cases} c \cdot \text{ctg} \phi [[\dot{\mathbf{u}}]] \cdot \mathbf{n} & \text{if } [[\dot{\mathbf{u}}]] \cdot \mathbf{n} \geq |[[\dot{\mathbf{u}}]]| \sin \phi \\ +\infty & \text{otherwise} \end{cases} \quad (4)$$

where $[[\dot{\mathbf{u}}]]$ denotes the velocity jump across the interface Σ when following the normal \mathbf{n} to the Σ interface. The first case may be also expressed as $u^{\perp} \geq u^{\parallel} \cdot \tan \phi$.

4 DISCRETE MODEL WITH RIGID ELEMENTS

As stated in the introduction, the rigid DEM presented here is based on the original numerical method formulated in elastic field in case of regular periodic masonry [21] and recently extended to the field of material nonlinearity [24]. Particularity of the proposed DEM is the reduction of model degrees of freedom to block centre translations and block rotation with respect to its centre:

$\mathbf{q}^{i,j} = \{u_1^{i,j}, u_2^{i,j}, \omega_3^{i,j}\}^T$ for the generic block $B_{i,j}$ (Figure 1b). Then, panel overall degrees of freedom are collected in \mathbf{q} and relative displacements between adjacent blocks connected by an interface Σ_{k_1, k_2} may be written as function of block degrees of freedom [24].

As stated previously, $\sigma \mathbf{n} = \mathbf{K}[[\mathbf{d}]]$, whereas in the non-linear field, normal and tangential stresses follow the Mohr-Coulomb yield criterion introduced in the previous section. Another particularity of the proposed DEM is the reduction of interface stresses to a set of forces and couples applied to block centers and in equilibrium with external forces. Then, the interactions between adjacent blocks through the interface are represented by actions $\mathbf{f}^{k_1, k_2} = \{f_{\perp}^{k_1, k_2}, f_{\parallel}^{k_1, k_2}, m_3^{k_1, k_2}\}^T$ (Figure 3), where f_{\perp} , f_{\parallel} are normal and tangential interface forces, respectively, and m_3 is interface couple. In the elastic case, it can be demonstrated that $\mathbf{f} = \bar{\mathbf{K}}[[\mathbf{d}]] = \mathbf{K} \mathbf{A}[[\mathbf{d}]]$, where \mathbf{A} is a diagonal matrix collecting interface area and moment of inertia [23,24]. In general, diagonal terms of tensor $\bar{\mathbf{K}}$ are K_{\perp} , K_{\parallel} , and K_m , representing normal, tangential and rotational interface stiffnesses, respectively.

In the non-linear field, interface actions must satisfy the Mohr-Coulomb yield criterion, represented by the following conditions:

$$\begin{aligned} f_{\perp} &= \mathbf{f} \cdot \mathbf{e}_{\perp} \leq f_t, \\ |f_{\parallel}| &= |\mathbf{f} \cdot \mathbf{e}_{\parallel}| \leq (f_t - f_{\perp}) \tan \phi, \\ |m_3| &\leq (f_t - f_{\perp}) l_c. \end{aligned} \quad (5)$$

that represent, respectively, detachment, sliding and rotational failure modes, where $f_t = cS/\tan \phi$ is the tensile strength of the interface, with $S = S_v = at$ or $S_h = bt/2$ for a vertical and horizontal interface, respectively. Characteristic interface length l_c is the maximum distance of the interface normal force with respect to block center.

The problem of a masonry panel subject to external actions \mathbf{F}_{ext} may be solved by means of a molecular dynamics algorithm [21,33,34] starting from the equation of motion of the masonry assemblage or by means of a traditional static solution method:

$$\mathbf{M}(\partial^2 \mathbf{q} / \partial t^2) + \bar{\mathbf{K}}_{panel}(\mathbf{q} + \mu \partial \mathbf{q} / dt) = \mathbf{F}_{ext}, \quad (6)$$

$$\bar{\mathbf{K}}_{panel} \mathbf{q} = \mathbf{F}_{ext}, \quad (7)$$

where \mathbf{M} is the (diagonal) mass matrix of the panel collecting block mass and polar inertia, $\bar{\mathbf{K}}_{panel}$ is the in plane panel stiffness matrix and μ is the damping coefficient (neglected for simplicity). It is worth noting that the first system of equations (Eq. 6) may be solved considering each equation (and degree of freedom) separately, adopting a predictor-corrector algorithm GEAR of order 2 [21] without determining explicitly panel matrices, whereas Eq. 7 needs the determination of panel stiffness matrix. In a recent contribution [24], both solution methods have been compared and the static one turned out to be faster than the dynamic one and equally effective.

However, both solution methods have to take into account material nonlinearity by updating interface actions with iterative processes. In the dynamic solution method, a generic iteration is represented by an increment of relative displacements corresponding to a time increment with fixed external loads, whereas in the static solution method, a generic iteration is represented by a relative displacement increment due to an external load increment.

At the i -th iteration, for a given increment $\{\delta d_{\perp}^i \ \delta d_{\parallel}^i \ \delta d_3^i\}^T$, the new interface actions are computed by evaluating for first the elastic contribution:

$$\begin{aligned} f_{\perp}^{i,el} &= f_{\perp}^{i-1} + K_{\perp} \delta d_{\perp}, \\ f_{\parallel}^{i,el} &= f_{\parallel}^{i-1} + K_{\parallel} \delta d_{\parallel}, \\ m_3^{i,el} &= m_3^{i-1} + K_m \delta d_3. \end{aligned} \quad (8)$$

If interface actions satisfy the yield criterion in Eq. 5, the elastic guess is correct and $\{f_{\perp}^i \ f_{\parallel}^i \ m_3^i\}^T = \{f_{\perp}^{i,el} \ f_{\parallel}^{i,el} \ m_3^{i,el}\}^T$. If $f_{\perp}^{i,el} > f_t$, then $\{f_{\perp}^i \ f_{\parallel}^i \ m_3^i\}^T = \{f_t \ 0 \ 0\}^T$, otherwise the normal projection according to the tangential force criterion is done:

$$\begin{aligned} f_{\perp}^i &= f_{\perp}^{i,el} - \lambda_s K_{\perp} \tan \phi d_{\perp}, \\ f_{\parallel}^i &= f_{\parallel}^{i,el} - [\text{sign}(f_{\parallel}^{i,el})] \lambda_s K_{\parallel} d_{\parallel}, \\ \lambda_s &= [|f_{\parallel}^{i,el}| + (f_{\perp}^{i,el} - f_t) \tan \phi] / [K_{\parallel} + K_{\perp} \tan^2 \phi] \end{aligned} \quad (9)$$

Then $\{f_{\perp}^i \ f_{\parallel}^i \ m_3^i\}^T$ is projected according to the moment criterion obtaining:

$$\begin{aligned} f_{\perp}^i &= f_{\perp}^i - \lambda_m K_{\perp} l_c, \\ m_3^i &= m_3^{i,el} - [\text{sign}(m_3^{i,el})] \lambda_m K_m, \\ \lambda_m &= [|m_3^{i,el}| + (f_{\perp}^i - f_t) l_c] / [K_m + K_{\perp} l_c^2]. \end{aligned} \quad (10)$$

Following the static solution method of Eq. 7, limit load multipliers are also obtained by solving numerically an incremental problem with increasing external loads: $\bar{\mathbf{K}}_{panel} \mathbf{q} = \lambda \mathbf{F}_{ext}$, in this case panel stiffness matrix is updated during the analysis taking into account the Mohr-Coulomb yield criterion and setting equal to zero interface stiffness values when the corresponding limit condition in Eq. 5 is not respected.

5 FEM/DEM MODEL

In recent times an increasing number of models attempted to combine the advantages of Finite and Discrete Element methods. In the late 1980's, Cundall [35] and Hart, Cundall and Lemos [36] proposed a model with deformable blocks discretized by an internal Finite Element mesh with 2D triangular plane strain elements. Shi and Goodman [12] developed a discontinuous deformation analysis method where deformable blocks are assumed to be in a state of uniform strain and stress. Barbosa [37] proposed a Discrete-Finite Element model where deformable blocks are meshed by quadrilateral isoparametric Finite Element.

One of the approaches that combines DEM and FEM is the combined Finite-Discrete Element method (FEM/DEM) developed by Munjiza in the early 1990's [13,14]. It consists in a discrete element method in which the individual elements are meshed into finite elements. The model relies into a triangular discretization of the domain with embedded crack elements that activate whenever the peak strength is reached. Finite elements allow for the reproduction of elastic strain into continuum, while discrete element algorithms allows to model interaction, fracture and fragmentation processes.

Differently from the DEM described above, blocks can be assumed to behave as elastic bodies. Mortar joints might be idealized as elastic or elastic-plastic zero-thickness Mohr-Coulomb interfaces. In the present case, blocks have been modeled by means of finite elements while interfaces are modeled as discrete elements.

These models, initially developed in the field of geo-mechanics, can properly represent the behavior of historical masonry, which could be considered as made of dry stone blocks exhibiting a periodic pattern. FEM/DEM allows to further extend the study to both linear and nonlinear masonry behavior, it has been successfully adopted to study the behavior of historical masonry construction [17-19,30,31,38].

The analyses have been performed by means of the FEM/DEM Y2D code [14], in particular the updated version Y-GUI [15] to make the input file and the Y-Geo code developed by the Geo Group of the Toronto University [16] to run the analyses, under 2D plane stress conditions.

The properties adopted for Finite Elements are: Young's modulus E^B of the blocks, Poisson's coefficient ν^B , density ρ and viscous damping μ , which depends by the mechanical properties and by the dimension of finite elements:

$$\mu = 2\xi \left(\frac{1}{h} \right) \sqrt{E^B \rho} \quad (11)$$

where ζ is the damping ratio, equal to 1 for critical damping, and h is the height of elements, which has the same order of the inverse of the wave length.

A penalty contact parameter is adopted to avoid compenetration of blocks, set equal to E^B , and a tangential penalty adopted is equal to its half. The FEM/DEM method use a dynamic molecular algorithm, therefore a time step size has to be defined, related to the mechanical properties and the density adopted and to the dimension of finite elements of the mesh. Critical time step T_c is calculated as:

$$T_c = \frac{2}{\omega} \left[\sqrt{(1 + \zeta^2)} - \zeta \right] \quad (12)$$

where ω is the angular frequency:

$$\omega = \frac{1}{h} \sqrt{\frac{E^B}{\rho}} \quad (13)$$

The ratio E^B/ρ is the speed of wave propagation inside the elements related to the minimum height of elements h .

Joints are modelled as elastic-plastic Mohr-Coulomb interfaces, by means of specific cracks elements that are embedded between all the Finite Elements of the mesh. The mechanical parameters adopted for the joints are: cohesion c , friction angle ϕ and tensile strength f_t which is set equal to $c/\tan \phi$.

Fracture energy defines the non-linear behavior of the cracks elements once the value of cohesion or tensile strength – depending by the kinematic mechanism activated - are reached. Two different fracture energies are adopted: fracture energy of first mode GI_C , related to the de-cohesion mechanism, and fracture energy of second mode GII_C , related to slippage mechanism. Fracture energy has been calculated as [39]:

$$GI_C = \frac{(l \cdot \pi \cdot c^2)}{E^M} \quad (14)$$

$$GII_C = \frac{(l \cdot \pi \cdot t_s^2)}{E^M} \quad (15)$$

Where l is the length of interface, equal to b for a horizontal one and to a for a vertical one, and E^M is the Young's modulus of the joints. In the model, the joints are modeled as zero-thickness interfaces, therefore the young's modulus E^M adopted is a Young's modulus suitable for mortar.

6 FEM/DEM AND DEM MODEL PROCEDURES

DEM and FEM/DEM are characterized by several differences related to the parameters needed for describing masonry linear and nonlinear behavior, together with different solution strategies for obtaining pushover curves for masonry panels subject to self-weight and increasing lateral loads.

Considering masonry elements, DEM is characterized by infinitely rigid blocks, hence no parameters are needed for their description, whereas FEM/DEM envisages the definition of block elastic modulus and Poisson ratio E^B , ν^B and a FE discretization of each block is adopted, as showed by Figure 4a for the four different texture types considered in this work. In order to compare the two models, blocks are considered rigid in FEM/DEM by the adoption of a very high value of E^B (1000 GPa) and assuming $\nu^B = 0$.

Considering then joints or contacts between elements, DEM joints are represented by relative actions -normal force, shear force and moment- between the centres of adjacent blocks. Such actions depend on block relative displacements and are characterized by a linear elastic behavior governed by normal, tangential and rotational interface stiffness values, that depend on mortar elastic parameters E^M , ν^M . Joint nonlinear behavior follows a simple Mohr-Coulomb yield criterion characterized by cohesion c and friction angle ϕ that are adopted for limiting interface actions. For simplicity, joint tensile strength is assumed to depend on cohesion $f_t = c/\tan\phi$. It is worth noting that in case of dry joints, a negligible cohesion value is assumed.

In FEM/DEM joints are modelled by specific zero-thickness four-noded interface elements – crack elements – that are embedded between the edges of all adjacent triangular element pairs since from the beginning of simulation [40]. Then, potential cracks can open both into block elements and both along interfaces between blocks. Here, in order to simulate historic masonry behavior, in which cracks usually occur mainly in the mortar joints [3,41], two different joints have been used: one inside the blocks and the other between adjacent blocks. The former has been set as elastic in order to avoid the breaking of blocks, while the latter has been modeled as an elastic-plastic: crack elements between blocks behave as a Mohr-Coulomb interfaces with very low cohesion value, in order to model dry joints. The parameters for joints involved in the FEM/DEM are cohesion, friction ratio, tensile strength, and fracture energy. In order to compare the two numerical models, the same value of E^M adopted by DEM has been used in order to determine fracture energy.

The rigid DEM adopted in this work is based on small displacement hypothesis. In particular, block centre positions are not updated during analysis accounting for increasing displacements and no contact detection algorithms are needed, given that texture regularity is maintained during analyses. On the other hand, FEM/DEM is based on finite displacements, therefore larger displacements are reached during non-linear behavior with respect to DEM.

1 Focusing then on solution strategies, in this work, analyses performed with DEM adopt a static
2 solution method, based on the determination of panel stiffness matrix by assembling stiffness
3 matrices at interface level, and based on stiffness matrix update in case of interface damage. This
4 approach allows performing fast pushover analyses with a small computational effort with respect
5 to a molecular dynamics solution method [24]. FEM/DEM is based on a molecular dynamics
6 solution method, which implies a wider computational effort respect to the static solution method
7 adopted by DEM. Contact between discrete elements together with the deformability of discrete
8 elements is described in terms of nodal forces and nodal displacements. The governing dynamic
9 equations of the problem are solved adopting the central difference time integration scheme, that is
10 an explicit integration scheme of the equation for each degree of freedom. With respect to the
11 solution adopted by DEM, here it is not necessary to assemble or store stiffness matrices. The
12 stability of the scheme is achieved through reducing the time step size, resulting in an increasing of
13 computational effort for more refined mesh. Moreover, the following pushover analyses performed
14 by FEM/DEM are obtained as the results of several dynamic non-linear analyses performed for each
15 increasing value of horizontal load

16 As previously stated, in the DEM, thanks to rigid block hypothesis, forces are applied at block
17 centres (Figure 4b) and, similarly, panel restraints are imposed at block centres, whereas in the
18 FEM/DEM, forces are lumped at the inner nodes of each block subdivision (Figure 4a). Different
19 block subdivision are adopted in FEM/DEM depending by the arrangement of blocks, in order to
20 allows the definition of different joints inside or between the blocks.

37 7 ANALYTICAL REFERENCE MODEL

38 In order to have a reference solution for the following numerical tests, dedicated to masonry panels
39 subject to their own weight and proportional horizontal forces denoted by load multiplier , a
40 homogenization procedure is adopted. Then, a homogeneous material equivalent to masonry in its
41 geometry and in the material properties is defined [42]. A Representative Elementary Volume
42 (REV) of masonry is defined as one half of that in Figure 1b.

43 Following the work of De Buhan and De Felice [43], the support function $\pi(\boldsymbol{\varepsilon})$ and the yield
44 criterion $G(\mathbf{y})$ on the REV, based on rigid blocks and interfaces following a Mohr-Coulomb yield
45 criterion, are given by:

$$46 \pi(\boldsymbol{\varepsilon}) = \sup\{\boldsymbol{\sigma} \cdot \dot{\boldsymbol{\varepsilon}}, \boldsymbol{\sigma} \in G(\mathbf{y})\} \Leftrightarrow G(\mathbf{y}) = \{\boldsymbol{\sigma} \mid \boldsymbol{\sigma} \cdot \dot{\boldsymbol{\varepsilon}} \leq \pi(\boldsymbol{\varepsilon}), \forall \boldsymbol{\varepsilon}\}, \quad (16)$$

47 where $\boldsymbol{\varepsilon}$ is a second-order strain rate tensor.

48 The Mohr-Coulomb failure condition at the interfaces provides:

$$\langle \pi(\text{grad}^s(\dot{\mathbf{u}})) \rangle = \begin{cases} \frac{1}{ab} \int_{\Sigma} \left(\frac{c}{\tan \phi} \right) [[\dot{\mathbf{u}}]] \cdot \mathbf{n} & \text{if } [[\dot{\mathbf{u}}]] \cdot \mathbf{n} \geq [[\dot{\mathbf{u}}]] \sin \phi \\ +\infty & \text{otherwise} \end{cases} \quad (17)$$

Further details may be found in the works of De Buhan and De Felice, Cecchi and Vanin [43,44].

Considering a homogeneous panel having length L , height H and thickness t , analytical load collapse multipliers λ_{HOMO} are evaluated following the work of Cecchi and Vanin [44] as function of block dimension ratio $m = 2a/b$, panel scale factor $r = H/b$ and friction angle ϕ .

In case of shear failure the collapse multiplier is:

$$\lambda_{\text{HOMO}} = \tan \phi \quad (18)$$

Whereas in the case of flexural failure, a rigid body rotation mechanism is activated by a cracking line having inclination ψ from the lower-right corner of the panel (Figure 5):

$$\tan \psi \leq \left(\frac{m}{\tan \phi} \right)^{-1/2} \quad (19)$$

Then, in case of flexural failure the collapse multiplier is given by:

$$\lambda_{\text{HOMO}} = \begin{cases} \frac{1}{2} \left(\frac{m}{\tan \phi} \right)^{-1/2} & \text{if } r = \frac{H}{L} \leq \left(\frac{m}{\tan \phi} \right)^{1/2} \\ \frac{3r - 2 \left(\frac{m}{\tan \phi} \right)^{-1/2}}{3r^2 - \left(\frac{m}{\tan \phi} \right)} & \text{otherwise} \end{cases} \quad (20)$$

The values of λ provided by the equations 18 and 20 are presented in the following Figure for the four masonry textures studied in the present work (Figure 2) and for the tree panel height-to-width ratios studied.

8 NUMERICAL TESTS

In the following numerical tests, three different base supported panels are considered. Panel width L is assumed constant and equal to 1440 mm, whereas height H is assumed equal to $L/2$, L and $2L$ (Figure 7). Moreover, as stated in Paragraph 1, four different block width-to-height ratios are also assumed, then a set of twelve case studies are taken into account by combining block dimension ratios together with panel dimension ratios. Assuming a block into a ‘running bond’ pattern ($b/a = 4$) as reference, characterized by $b = 240$ mm and $a = 60$ mm, the following table resumes block dimensions and block number in both plane directions (n_1 and n_2 , respectively) for the case studies considered.

1 Negligible cohesion c is considered for representing dry joints, whereas a friction ratio $\tan \phi = 0.6$ is
2 assumed, corresponding to a friction angle of about 30° . Each panel is subject to a uniform vertical
3 load representing its self-weight and to a horizontal increasing force representing a lateral
4 acceleration statically applied. Then, nonlinear incremental analyses of the panels considered are
5 performed in order to determine their ultimate load multiplier (λ_{DEM} and $\lambda_{\text{FEM/DEM}}$) and the
6 corresponding collapse mechanisms.
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10 Ultimate load multipliers obtained with DEM and FEM/DEM are collected in Table 2 together with
11 the corresponding analytic solutions. Figure 8 collects incremental curves obtained with the
12 numerical models, compared with analytic solutions and Figures 9-11 collect collapse mechanisms.
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14 Focusing for first on the thick panel case ($H/L = 0.5$), collapse mechanisms obtained with DEM are
15 characterized by a slight sliding of horizontal joints that increases along panel height and by the
16 rotation of blocks close to the upper-right corner for b/a from 4 to 1. The case with $b/a = 1/4$ is
17 characterized by a generalized block rotation along second and third rows. Collapse mechanisms
18 obtained with FEM/DEM present a more evident sliding of horizontal joints with respect to block
19 rotation. Such differences in collapse mechanisms are motivated by the joint rotational stiffness
20 accounted by DEM that allows a more evident block rotation with respect to the FEM/DEM.
21 However, ultimate loads obtained with the two numerical models are in quite good agreement also
22 with analytical results for b/a from 4 to 1. For the case with $b/a = 1/4$ FEM/DEM is characterized
23 by an ultimate load multiplier closer to that obtained with $b/a = 1$, probably due to the same size of
24 horizontal interfaces that govern the sliding mechanism.
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28 Considering then the square panel case ($H/L = 1$), collapse mechanisms obtained with DEM and
29 FEM/DEM are typical shear failure mechanisms, characterized by a diagonal cracking line starting
30 from the lower-right corner and moving to the upper portion of panel left side. The resulting
31 triangular/trapezoidal panel portion over the cracking line is subject to a rotation or overturning
32 mechanism. Due to the rigid block hypothesis, cracking line involves subsequent horizontal and
33 vertical dry joints, however its overall inclination increases for reducing b/a ratio, as showed also
34 by the analytic solution (Eq. 19). This aspect is evident for the panel with $b/a = 1/4$, that is
35 characterized by a small triangular portion collapsing on the right side of the panel. Moreover,
36 FEM/DEM collapse mechanism shows a clear crack line with an overall inclination equal to 45° ,
37 given that horizontal joint length is coincident with vertical joint height. In case of square panels,
38 DEM and FEM/DEM collapse load multipliers are in better agreement with respect to the previous
39 case for all b/a ratios considered. Moreover, both numerical models are in quite good agreement
40 with analytic solutions, except for the ‘running bond’ case ($b/a = 4$).
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Considering finally the slender panel ($H/L = 2$), collapse mechanisms obtained with DEM and FEM/DEM are characterized by a diagonal crack similarly to the previous case. However in this case, a bigger and slender portion of the panel is subject to a rotation mechanism, leading to smaller collapse load multipliers with respect to the other panels considered. The slender panel with $b/a = 1/4$ is characterized by a small triangular portion subject to an overturning mechanism. More generally, with this panel type, collapse load multipliers are in quite good agreement with analytic solutions.

9 CONCLUSIONS

In this contribution, the nonlinear behaviour of masonry panels with regular texture and subject to in-plane loads has been investigated by means of two numerical models: a Discrete Model (DEM) developed by authors and a Discrete/Finite Element Model (FEM/DEM) originally introduced for studying rock mechanics problems and effectively extended to masonry structures. These models have been compared and calibrated, given that a Mohr-Coulomb yield criterion have been adopted for representing joint or interface elastic-plastic behaviour in both numerical models. Moreover, rigid block hypothesis typical of the proposed DEM has been also considered with FEM/DEM by adopting a large elastic modulus for blocks.

Numerical pushover analysis of masonry panels subject to self-weight and increasing proportional lateral loads have been performed and an analytical homogenized model has been taken as reference for the determination of collapse load multipliers. Three different panel height-to-width ratios and four different masonry textures have been considered.

The numerical test campaign showed that the DEM and FEM/DEM models represent a simple and effective tool for studying the nonlinear behavior of masonry structures, in particular both models are able to take into account the actual texture of masonry walls, thus they are able to describe with accuracy the real crack pattern that may develop in masonry walls and to reveal the potential collapse mechanisms. DEM is simpler than FEM/DEM and requires a smaller computational effort, however it is less accurate than FEM/DEM and it does not account for typical aspects of discrete models such as large displacements and contact variation during analysis. DEM turns out to be a good and fast modelling choice for studying historical masonry specimens characterized by weak or dry joints between blocks, whereas it is not able to represent block deformation and cracking in case of stronger mortar joints and in this last case the FEM/DEM represents the more accurate solution. However, the critical comparison and calibration between DEM and FEM/DEM carried out in this work allowed to obtain results in good agreement also with the analytic solution.

1 The sensitivity analysis to masonry geometric parameters showed that sliding collapse mechanisms
2 are typical of thick panels with larger horizontal joint length with respect to vertical joint height,
3 these mechanisms are characterized by ultimate load multipliers quite close to the value of friction
4 ratio. Rotation or overturning collapse mechanisms are typical of slender panels and of panels with
5 smaller horizontal joint length with respect to vertical joint height.
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8 Further developments of this work will regard the evaluation of masonry specimens with joints
9 having a not negligible cohesion, in order to better evaluate DEM limits with respect to the more
10 accurate FEM/DEM.
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FIGURE CAPTIONS

Figure 1: Block dimensions (a) and geometric model adopted (b).

Figure 2: Block width-to-height ratios adopted in this work.

Figure 3: Interface between adjacent blocks and interface actions.

Figure 4: Detail of block representation and applied forces in case of FEM/DEM (a) and DEM (b).

Figure 5: Homogenized masonry panel with rotation mechanism.

Figure 6: Ultimate load multipliers for masonry panels and increasing H/L ratio and for four masonry patterns.

Figure 7: Panels considered for the numerical tests.

Figure 8: Incremental analyses, load multiplier vs. displacement at the upper-right corner of the panel. (a) $H/L = 0.5$, (b) $H/L = 1$, (c) $H/L = 2$.

Figure 9: Collapse mechanisms of masonry panels with $H/L = 0.5$.

Figure 10: Collapse mechanisms of masonry panels with $H/L = 1$.

Figure 11: Collapse mechanisms of masonry panels with $H/L = 2$.

TABLE CAPTIONS

Table 1: Case studies considered with the corresponding panel dimensions, block dimensions and block number along plane directions.

Table 2: Comparison between ultimate load multipliers (RB = ‘running bond’, HB = ‘head bond’).

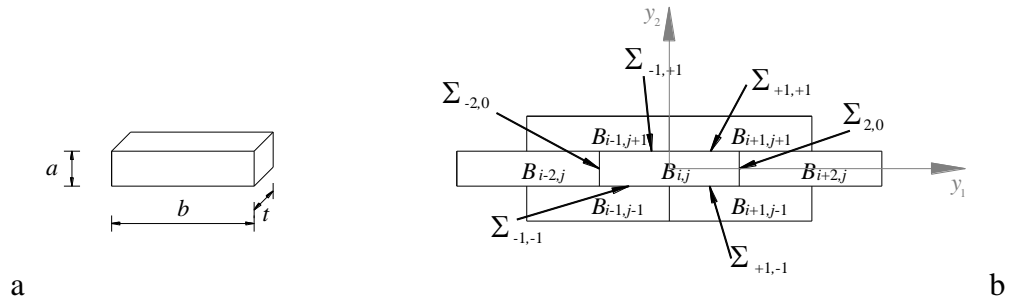


Figure 1: Block dimensions (a) and geometric model adopted (b).

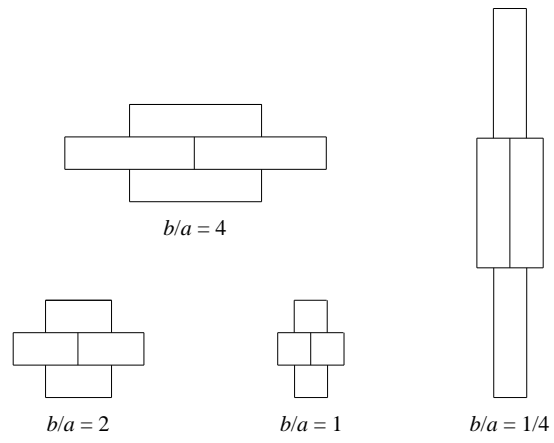


Figure 2: Block width-to-height ratios adopted in this work.

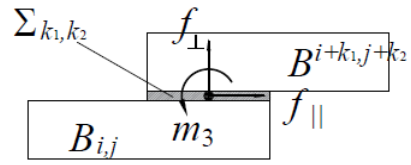


Figure 3: Interface between adjacent blocks and interface actions.

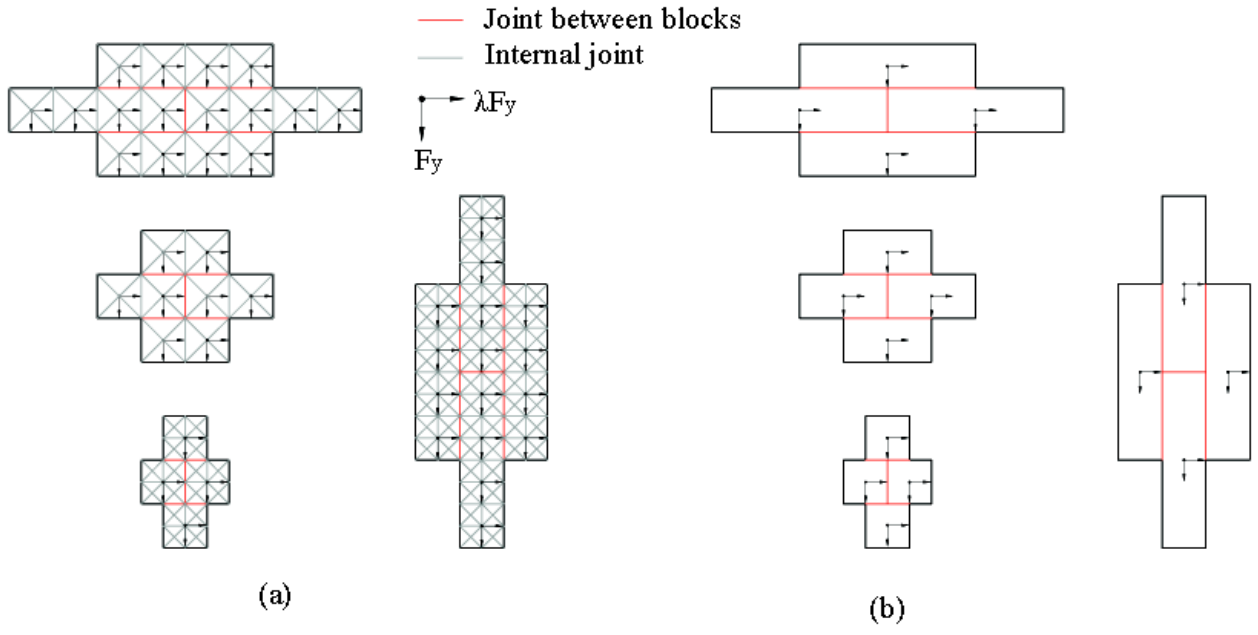


Figure 4: Detail of block representation and applied forces in case of FEM/DEM (a) and DEM (b).

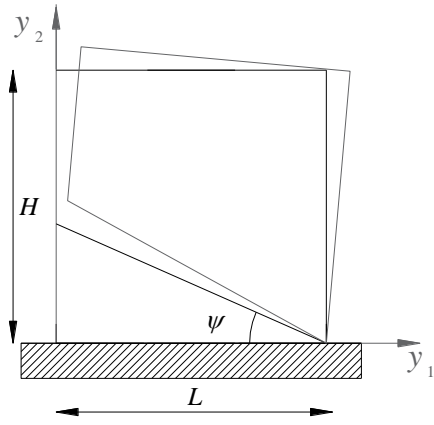


Figure 5: Homogenized masonry panel with rotation mechanism.

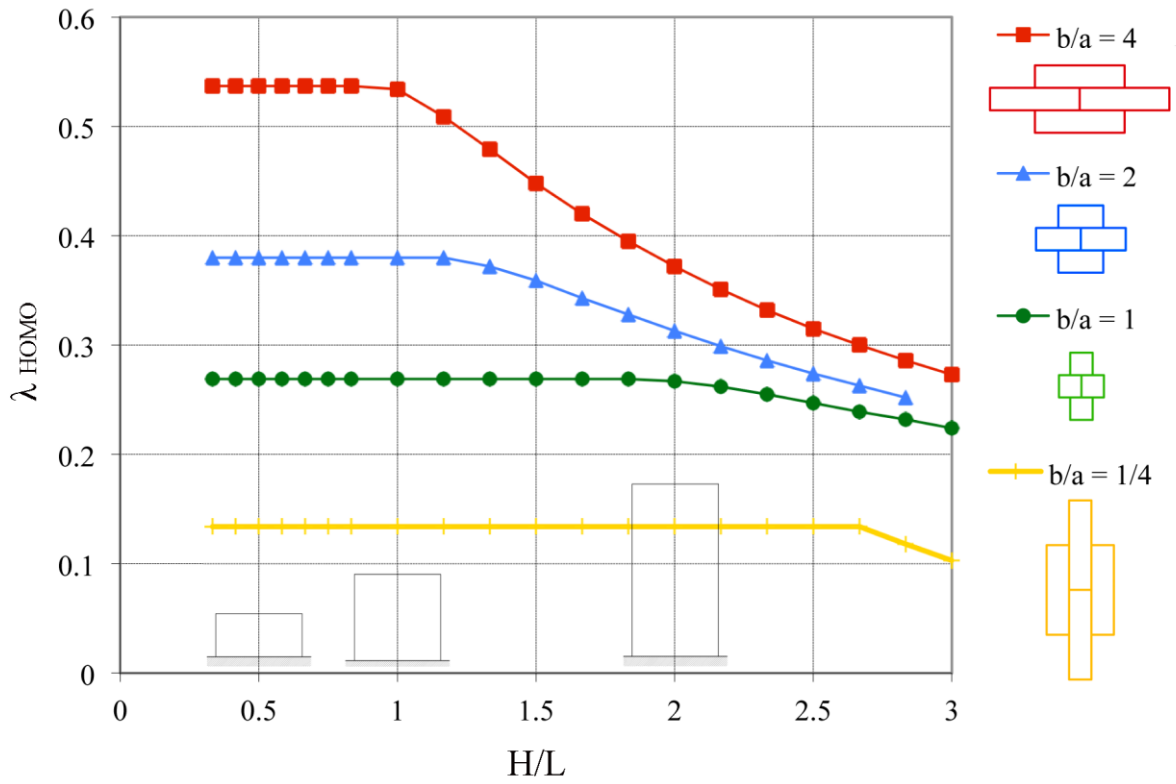


Figure 6: Ultimate load multipliers for masonry panels and increasing H/L ratio and for four masonry patterns.

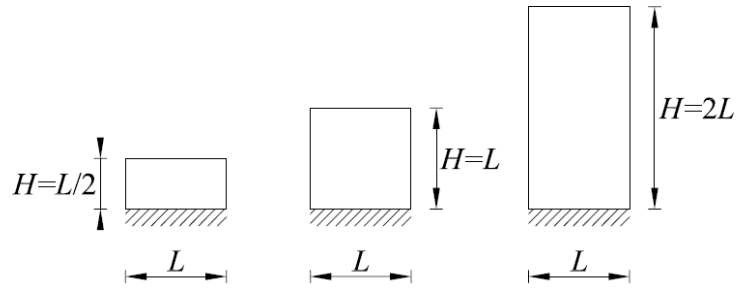
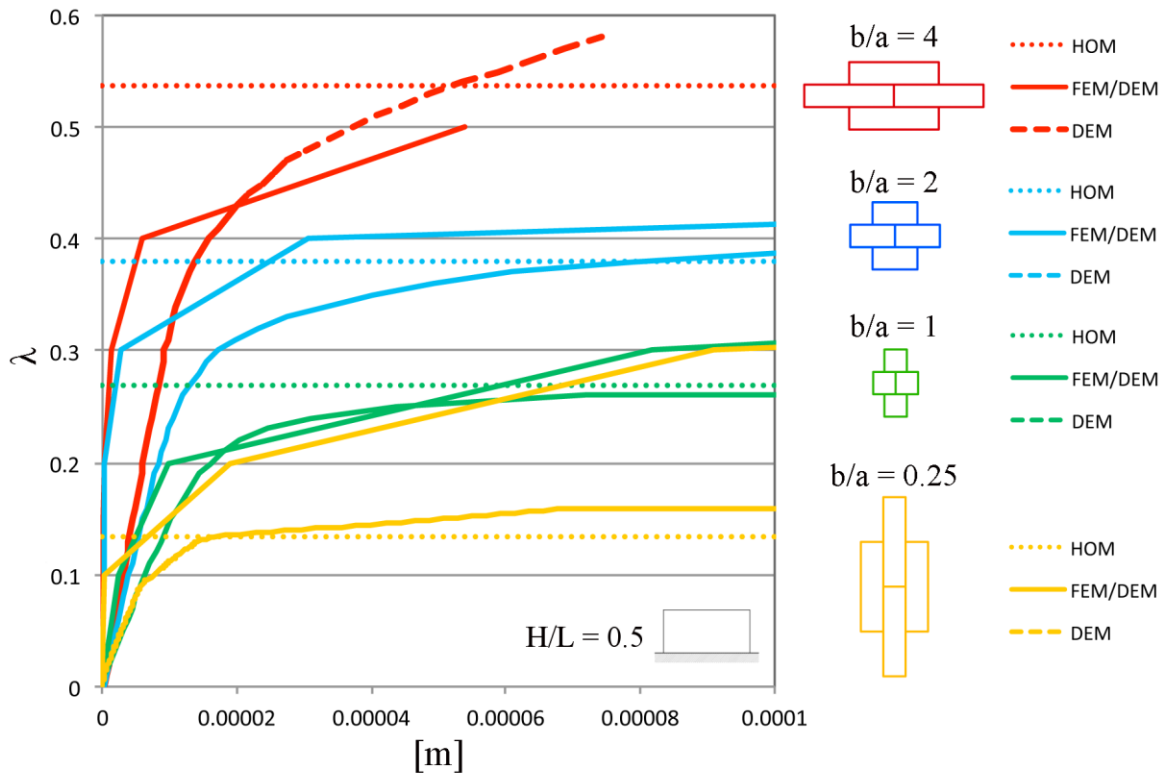
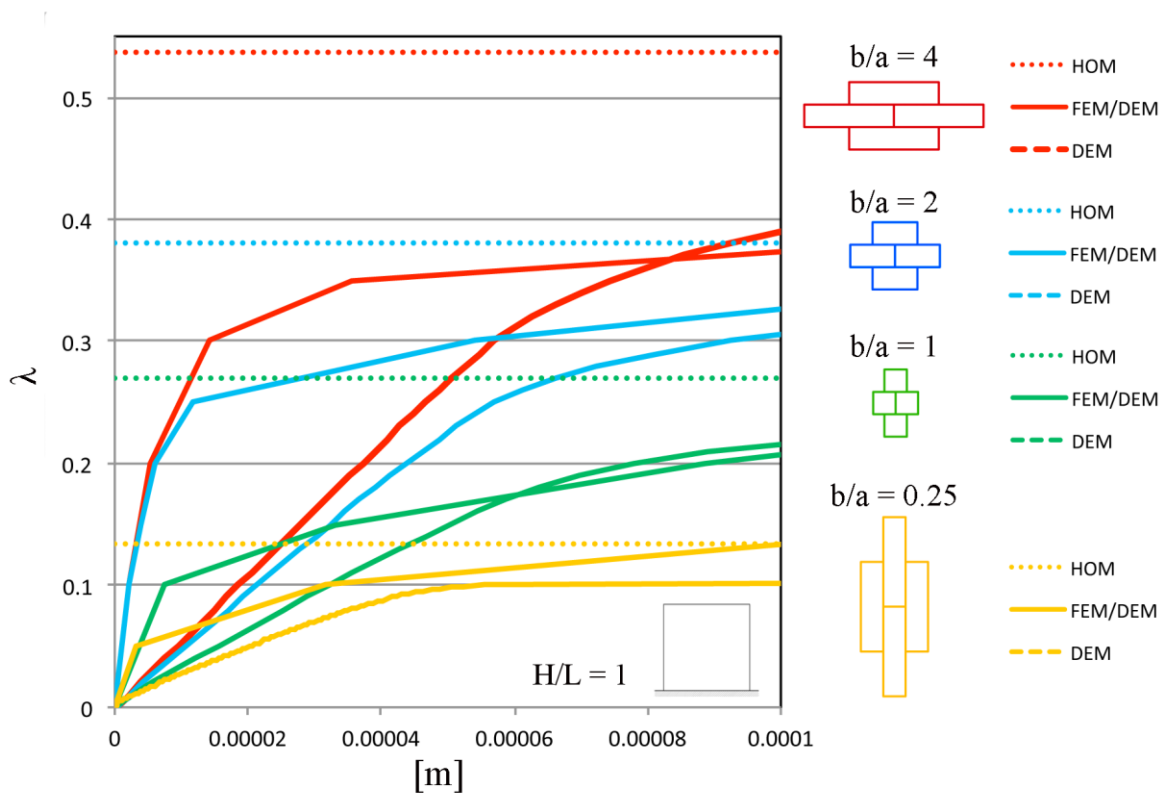


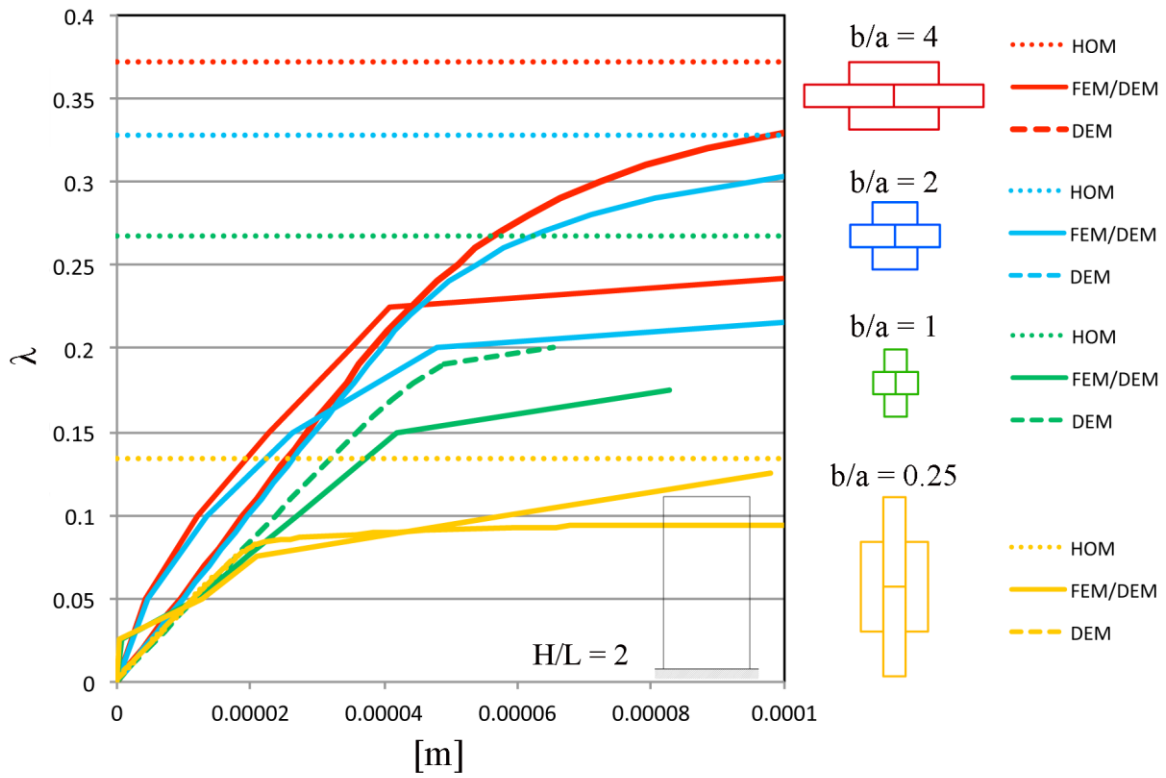
Figure 7: Panels considered for the numerical tests.



(a)



(b)



(c)

Figure 8: Incremental analyses, load multiplier vs. displacement at the upper-right corner of the panel. (a) $H/L = 0.5$, (b) $H/L = 1$, (c) $H/L = 2$.

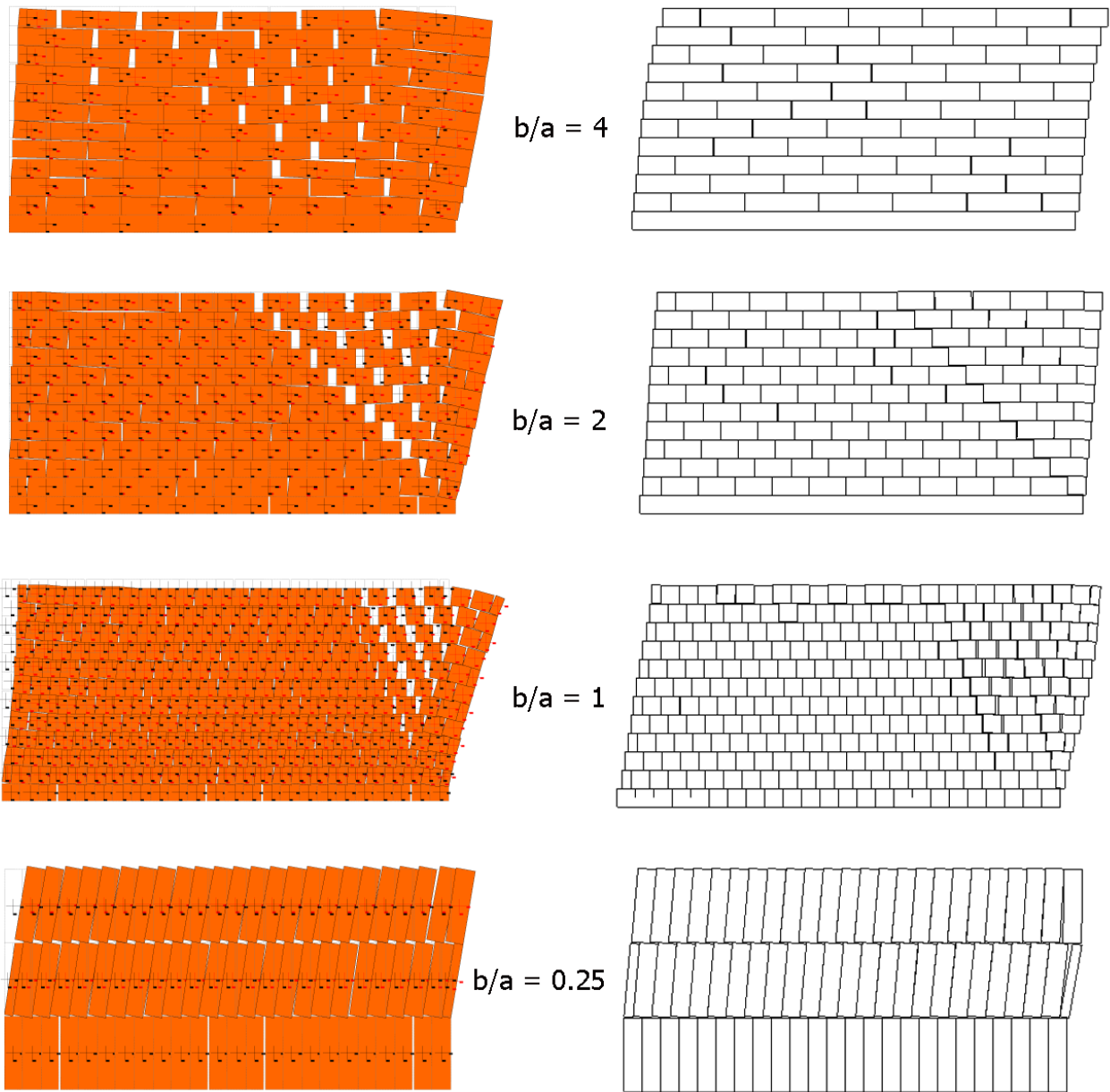


Figure 9: Collapse mechanisms of masonry panels with $H/L = 0.5$.

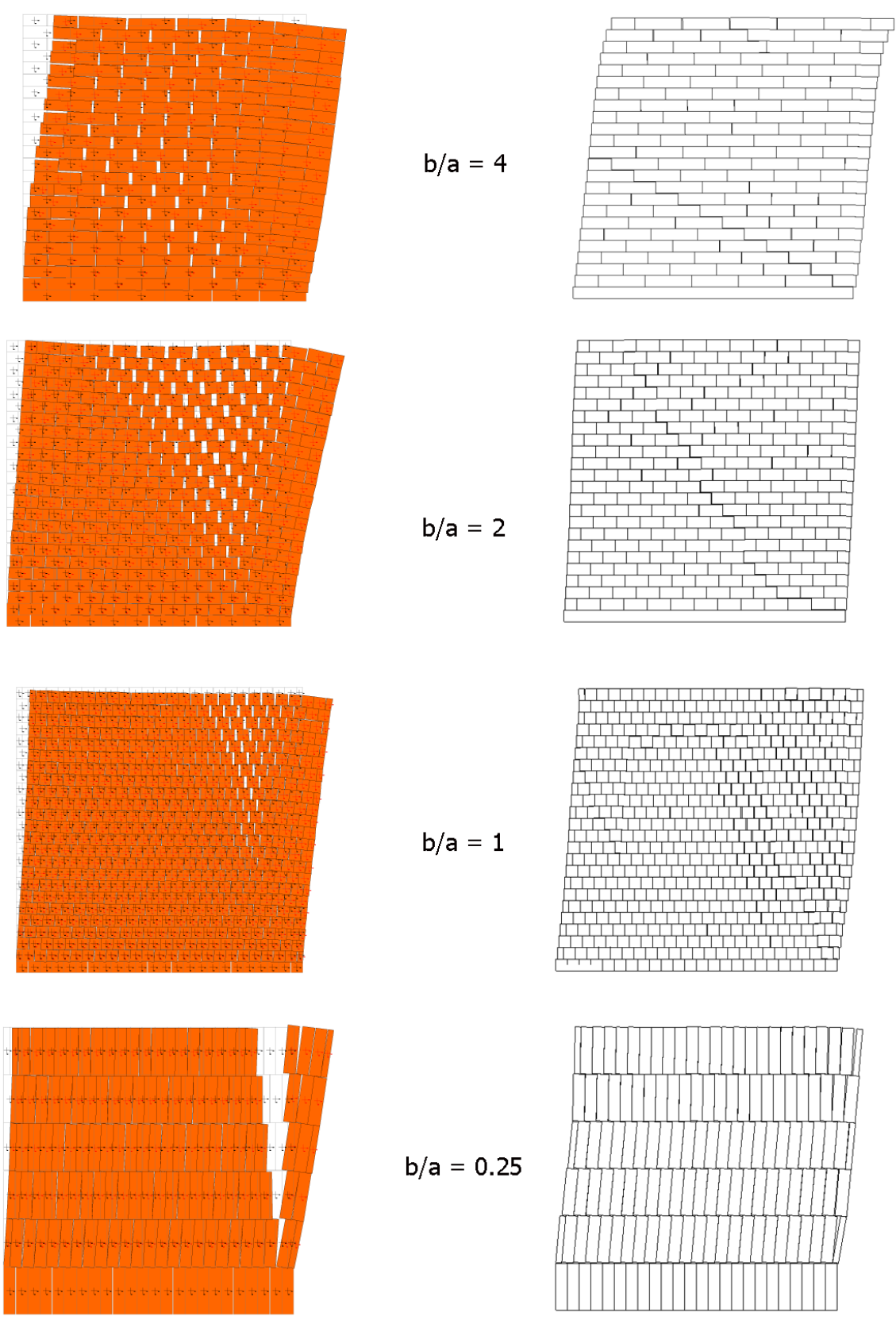


Figure 10: Collapse mechanisms of masonry panels with $H/L = 1$.

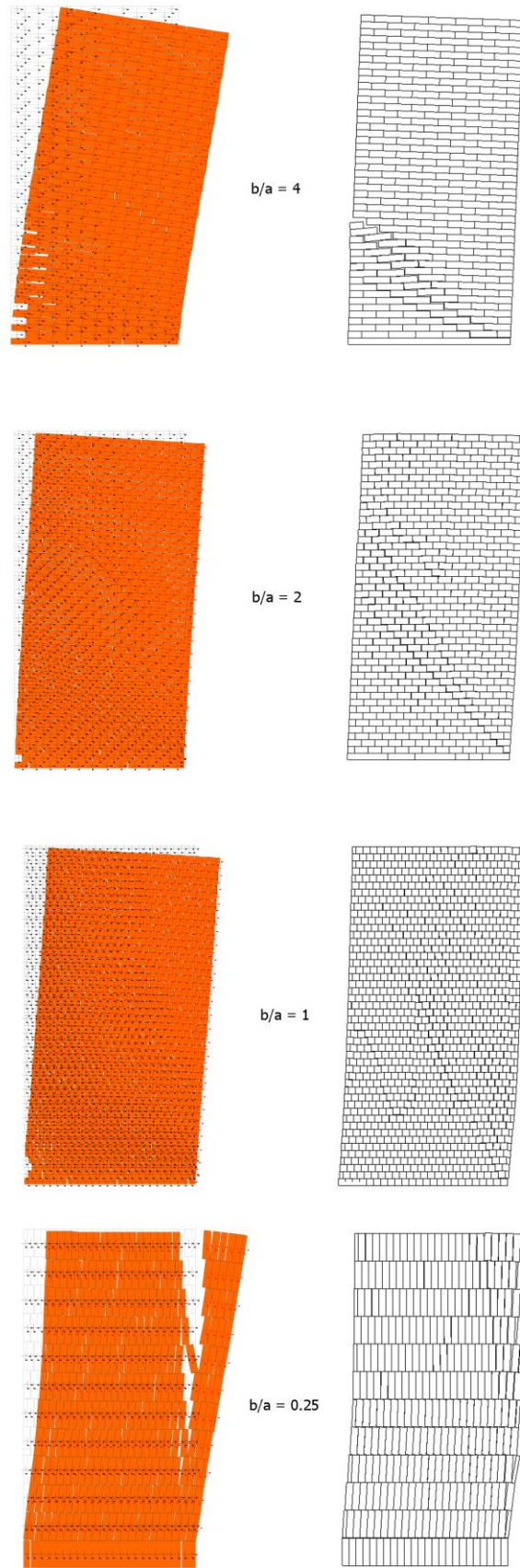


Figure 11: Collapse mechanisms of masonry panels with $H/L = 2$.

case	L [mm]	H [mm]	B [mm]	a [mm]	n_1	n_2
1	1440	720	240	60	6	12
2		1440			6	24
3		2880			6	48
4		720	120	60	12	12
5		1440			12	24
6		2880			12	48
7		720	60	60	24	12
8		1440			24	24
9		2880			24	48
10		720	60	240	24	3
11		1440			24	6
12		2880			24	12

Table 1: Case studies considered with the corresponding panel dimensions, block dimensions and block number along plane directions.

H/L	b/a	$\lambda_{\text{FEM/DEM}}$	λ_{DEM}	λ_{HOMO}
0.5	4/1 (RB)	0.500	0.570	0.537
	2/1 (HB)	0.410	0.390	0.380
	1/1	0.310	0.260	0.269
	1/4	0.310	0.160	0.134
1.0	4/1 (RB)	0.380	0.390	0.537
	2/1 (HB)	0.320	0.310	0.380
	1/1	0.225	0.230	0.269
	1/4	0.140	0.110	0.134
2.0	4/1 (RB)	0.240	0.330	0.372
	2/1 (HB)	0.220	0.310	0.328
	1/1	0.175	0.200	0.267
	1/4	0.130	0.094	0.134

Table 2: Comparison between ultimate load multipliers (RB = ‘running bond’, HB = ‘head bond’).